

 De La Salle University	<b>Research Ethics Review Committee</b> Research Ethics Office, 3F Henry Sy Sr. Hall De La Salle University Manila 2401 Taft Avenue, Manila 1004, Philippines REO@dlsu.edu.ph (632) 524-4611 loc. 513	SOP No.: 2
		Form No.: 2.03
		Version No.: 1
		Effectivity Date: July 2016

## DE LA SALLE UNIVERSITY

### Checklist A

#### Research Ethics Checklist for Investigations involving Human Participants

*This checklist must be completed **AFTER** the De La Salle University Code of Research Ethics and Guide to Responsible Conduct of Research has been read and **BEFORE** gathering data. The University Code of Research Ethics is available at [http://www.dlsu.edu.ph/offices/urco/forms/URCO-Code-of-Research-Ethics\\_August2011.pdf](http://www.dlsu.edu.ph/offices/urco/forms/URCO-Code-of-Research-Ethics_August2011.pdf)*

**NOTE:** This checklist is completed after the research proponent fills out the General Checklist Form.

**Only answer this Checklist if you answered YES on question 1 of the General Checklist.**

Researcher Details	
Students	Ting Rung Chen Rebecca Chiara DeVeyra John Joseph Giron Joaquin Andres Rodriguez
Thesis Adviser	Mr. Edward Tighe
Department/College	Department of Software Technology / College of Computer Studies
Proposed Title of the Research	A Multimodal Approach on Automatic Personality Recognition of Filipino Instagram Users
Term(s) and academic year in which research project is to be undertaken	

Provide a brief description of the data collection procedure to be undertaken in the research:

This study utilizes the existing PagkataoKo Dataset (Tighe et al., 2022), which contains demographics, Instagram account data, and personality scores from Filipino users. Data was gathered via a web application that first obtained user consent and then collected social media data using Instagram's API, followed by a demographic and personality questionnaire. The DLSU Research Ethics Office approved the collection methods. Access to the dataset was granted by its owner, Edward Tighe, who is also our thesis adviser.

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**The following should be attached to the checklist:**

- A copy of the informed consent form to be used in the study.
- A copy of the instrument/tool that will be administered to the participants.
- If applicable, a copy of the letter seeking permission to collect data from participants who are under the supervision of an agency, institution, department, or office.
- If applicable, a copy of the parental consent form for participants below 18 years old.

*The following items refer to important ethical considerations in the conduct of research with human participants. Provide a check for the appropriate answer to each question.*

**Source of data**

*Please check all that apply:*

	<b>1. New data will be collected from human participants</b>	
	If you checked this item, how will the new data be gathered? Please check all that apply.	
	<b>After answering this question, please proceed to page 3</b>	
	<input type="checkbox"/>	<b>Experimental Procedures/Intervention/ Treatments</b>
	<input type="checkbox"/>	<b>Focus Group</b>
	<input type="checkbox"/>	<b>Personal Interviews</b>
	<input type="checkbox"/>	<b>Self-administered Questionnaire</b>
	<input type="checkbox"/>	<b>Researcher-administered Questionnaire</b>
	<input type="checkbox"/>	<b>Internet survey</b>
	<input type="checkbox"/>	<b>Observation</b>
<input type="checkbox"/>	<b>Telephone survey</b>	
<input type="checkbox"/>	<b>Others, please specify:</b>	
✓	<b>2. Pre-existing data from human participants, i.e., from a dataset</b>	
	<b>If you checked this item, please proceed to page 7</b>	

If both options are checked (both new data and pre-existing data), **answer all of the questions in this document.**

**Only answer if new data will be collected (item 1 above)**

**Sampling Details**

Number of Participants/Subjects	
Location where the participants will be recruited/ where subjects will be obtained?	<b>Online and through recommended Experts</b>

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How long will the data collection take place?	
Who will perform the data collection?	
Location(s) where data collection will take place	
What procedures will be employed to ensure voluntary consent from participants?	
<b>Data Retention</b>	
How long will data with participant identifiers be kept after the publication of the first paper from the project?	
How long will anonymized data be kept after the publication of the first paper from the project?	
<b>Procedure for Informed Consent</b>	
How will informed consent be recorded? (check all that applies)  Reminder: please attach informed consent that will be used in the study	<input type="checkbox"/> Written Consent <input type="checkbox"/> Audio-recorded Consent <input type="checkbox"/> Online/Email recorded Consent <input type="checkbox"/> Others, please specify:

**If you will not obtain a recorded informed consent, answer the questions that follow:**

<b>Why does the waiver of informed consent not pose a threat to the welfare and rights of the participants?</b>  
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<b>Why is recording an informed consent not practical for the proposed study?</b>  
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	Yes	No	Not Applicable
1. Will the research involve students who will be receiving course credits for their participation?			

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<p><b>If YES, please attach a copy of the consent form and a summary of the debriefing process that will help participants understand how their participation in the research has provided a relevant learning experience to the crediting course.</b></p>			
<p>2. Does the study involve participants below 18 years old or those who are unable to give their informed consent?</p> <p><b>If YES, please attach a copy of the parental consent form.</b></p>			
<p>3. Is there a possibility that the research can induce physical and/or psychological harm to the participants? Will they experience pain or some discomfort as a result from their participation in the research?</p> <p><b>If YES, please attach an acceptable argument that outlines the benefits of doing the research and how they outweigh the cost of harming the participants.</b></p>			
<p>4. Will the participants be deliberately falsely informed or made unaware that they are being observed? Will they be misled in a way that they will possibly object to or show unease when told of the real purpose of the study?</p> <p><b>YES, please attach an acceptable argument that outlines the benefits of doing the research and how they outweigh the cost of harming the participants.</b></p>			
<p>5. Will the research involve the discussion of, or questions on, sensitive topics (e.g. sexual activity, substance abuse, or mental health)?</p> <p><b>If YES, please make sure that the informed consent form explicitly states that sensitive questions will be posed and that you will safeguard the anonymity of the participants and ensure confidentiality. Please attach a copy of your informed consent form and your instrument.</b></p>			

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	Yes	No	Not Applicable
<p>6. Will the research involve the administration of drugs, or other substances to the participants?</p> <p><b>YES, please attach an acceptable argument that outlines the benefits of doing the research and how they outweigh the cost of harming the participants.</b></p> <p><b>Please also attach a description of the procedure that will ensure that the participants will be brought back to their physical and psychological states prior to their participation in the research.</b></p>			
<p>7. Will biological samples (e.g. blood, saliva, urine) be obtained from the participants?</p> <p><b>If YES, will this involve invasive procedures? Please attach a description of these procedures.</b></p>			
<p>8. Will genetic materials be obtained from the biological samples?</p> <p><b>If YES, please attach a description of the procedures that will ensure confidentiality. Please attach the informed consent form.</b></p>			
<p>9. Will financial inducements (other than reasonable expenses, like transportation or meal allowances) be offered to the participants for their participation in their research?</p> <p><b>If YES, the researcher(s) should be mindful of how the inducements can influence the participants' responses or behaviors during the research. Indicate the financial inducements offered to the participants:</b></p> <p>_____</p>			
<p>10. Is there a possibility for groups or communities to be harmed by the dissemination of the research findings?</p>			

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<b>If YES, please attach a description of procedures to ensure the anonymity and confidentiality of the research findings.</b>			
11. Will the results of this study have a commercial value?  If yes, do you intend to apply for a patent for the output of this research? Please check:  _____ Yes _____ No			

**FOR PROPONENTS WHO WILL GATHER NEW DATA ONLY, PLEASE STOP ANSWERING.**

Use of Pre-existing Data collected from Human Participants		
Indicate the dataset from which the data for the study will be sourced	<u>PagkataoKo Dataset</u>	
Is the data publicly available, i.e., the access to which does not necessitate an approval process?		Yes Please indicate where the dataset is available:
	✓	No Please indicate/attach the approval authority for access:
Was the original dataset originally collected for the present study's purpose?	✓	Yes <b>Please attach the Consent Form used in the original study.</b>
		No <b>Please attach the Information Collection Statement (i.e., the statement given to informants providing them with the rationale for the collection of specific information).</b>
Does the original data set contain sensitive data, that is information that an individual would not likely want to be disclosed publicly, e.g., data on sexual activities, substance use?	✓	Yes <b>Please describe the type of sensitive data to be used in the present research:</b> Text and image data from Filipino Instagram user's accounts. May possibly contain content from the examples listed.
		No

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Does the original dataset have personal identifiers?		No <i>(This means that neither the researcher nor the participant provided any personal identifiers)</i>
	✓	Yes, specifically:  _____ Direct (i.e., the participant provided personal details like name and address)  ✓ Indirect (i.e., the participant was given a respondent code to make the participant identifiable)
Will new data be collected and analyzed along with data from the existing dataset?		Yes <b>Please answer questions on page 3-5.</b>
	✓	No