Complex analysis

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November 22, 2023

Contents Luka Horjak

Contents

Introduction				
1	Holomorphic functions			
	1.1	Properties of holomorphic functions	4	
	1.2	The $\overline{\partial}$ equation		
	1.3	Meromorphic functions		
	1.4	Sequences of holomorphic functions	15	
2	Theorems about holomorphic functions			
	2.1	Riemann mapping theorem	18	
	2.2	Bloch's theorem	21	
	2.3	The great Picard theorem		
3	Infinite products			
		Definition and convergence	28	
Tn	dex		31	

Introduction Luka Horjak

Introduction

These are my lecture notes on the course Complex analysis in the year 2023/24. The lecturer that year was viš. znan. sod. dr. Rafael Benedikt Andrist.

The notes are not perfect. I did not write down most of the examples that help with understanding the course material. I also did not formally prove every theorem and may have labeled some as trivial or only wrote down the main ideas.

I have most likely made some mistakes when writing these notes – feel free to correct them.

1 Holomorphic functions

1.1 Properties of holomorphic functions

Definition 1.1.1. Let $\Omega \subseteq \mathbb{C}$ be an open subset. A function $f: \Omega \to \mathbb{C}$ is *complex differentiable* in a point $a \in \Omega$ if the limit

$$\lim_{z \to a} \frac{f(z) - f(a)}{z - a}$$

exists.

Remark 1.1.1.1 (Cauchy-Riemann equations). Denoting u = Re f and v = Im f where f is real differentiable in a, f is complex differentiable in a if and only if $\frac{\partial u}{\partial x} = \frac{\partial v}{\partial y}$ and $\frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x}$

Definition 1.1.2. Wirtinger derivatives are defined as

$$\frac{\partial}{\partial z} = \frac{1}{2} \cdot \left(\frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right) \quad \text{and} \quad \frac{\partial}{\partial \overline{z}} = \frac{1}{2} \cdot \left(\frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right).$$

Remark 1.1.2.1. A function f is complex differentiable in a if and only if

$$\frac{\partial f}{\partial \overline{z}} = 0.$$

In that case, we also have

$$\frac{\partial f}{\partial z}(a) = f'(a).$$

Definition 1.1.3. Let $\Omega \subseteq \mathbb{C}$ be an open subset. A function $f: \Omega \to \mathbb{C}$ is holomorphic in a if it is complex differentiable in an open neighbourhood of a. The function f is holomorphic if it is holomorphic in every point of Ω . We denote the set of holomorphic functions in Ω as $\mathcal{O}(\Omega)$.

Theorem 1.1.4 (Inhomogeneous Cauchy integral formula). Let $\Omega \subseteq \mathbb{C}$ be a bounded domain with \mathcal{C}^1 -smooth boundary and $f \in \mathcal{C}^1(\Omega) \cap \mathcal{C}(\overline{\Omega})$. Then, for all $z \in \Omega$, we have

$$f(z) = \frac{1}{2\pi i} \oint_{\partial \Omega} \frac{f(w)}{w - z} dw + \frac{1}{2\pi i} \iint_{\Omega} \frac{\partial f}{\partial \overline{w}} \cdot \frac{1}{w - z} dw \wedge d\overline{w}$$

Proof. As Ω is an open set, there exists an $\varepsilon > 0$ such that $\overline{\Delta(z,\varepsilon)} \subseteq \Omega$. Define a new domain $\Omega_{\varepsilon} = \Omega \setminus \overline{\Delta(z,\varepsilon)}$.

We now apply Stokes' theorem to $\omega = \frac{f(w)}{w-z} dw$ on Ω_{ε} . As $d\omega = \frac{\partial f}{\partial \overline{w}} \cdot \frac{1}{w-z} d\overline{w} \wedge dw$, we have

$$\oint_{\partial\Omega_{\varepsilon}} \frac{f(w)}{w-z} dw = \iint_{\Omega_{\varepsilon}} \frac{\partial f}{\partial \overline{w}} \cdot \frac{1}{w-z} d\overline{w} \wedge dw.$$

Note that

In the limit, we have

$$\lim_{\varepsilon \to 0} \oint_{\partial \Delta(z,\varepsilon)} \frac{f(w)}{w-z} dw = \lim_{\varepsilon \to 0} \int_0^{2\pi} \frac{f(z+\varepsilon e^{it})}{\varepsilon e^{it}} \cdot \varepsilon i e^{it} dt = 2\pi i f(z)$$

by continuity. Also note that

$$\lim_{\varepsilon \to 0} \iint\limits_{\Omega_{\varepsilon}} \frac{\partial f}{\partial \overline{w}} \cdot \frac{1}{w-z} \, d\overline{w} \wedge dw = \iint\limits_{\Omega \backslash \{z\}} \frac{\partial f}{\partial \overline{w}} \cdot \frac{1}{w-z} \, d\overline{w} \wedge dw = \iint\limits_{\Omega} \frac{\partial f}{\partial \overline{w}} \cdot \frac{1}{w-z} \, d\overline{w} \wedge dw.$$

Applying the limit to the Stokes' theorem equation, it follows that

$$\frac{1}{2\pi i} \oint_{\partial \Omega} \frac{f(w)}{w - z} \, dw - f(z) = -\frac{1}{2\pi i} \iint_{\Omega} \frac{\partial f}{\partial \overline{w}} \cdot \frac{1}{w - z} \, dw \wedge d\overline{w}.$$

Theorem 1.1.5 (Power series expansion). Let $\Omega \subseteq \mathbb{C}$ be an open subset, $f \in \mathcal{O}(\Omega)$ and $a \in \Omega$. The function f can be developed into a power series about a that converges absolutely and uniformly to f in compacts inside $\Delta(a, r)$, where r is the radius of convergence. For

$$c_k = \frac{f^{(k)}(a)}{k!} = \frac{1}{2\pi i} \oint_{\partial \Delta(a,\rho)} \frac{f(w)}{(w-z)^{k+1}} dw$$

we have

$$f(z) = \sum_{k=0}^{\infty} c_k \cdot (z - a)^k.$$

Remark 1.1.5.1. The converse is also true – any complex power series defines a holomorphic function inside its radius of convergence.

Remark 1.1.5.2. The radius of convergence is given by the formula

$$\frac{1}{r} = \limsup_{k \to \infty} \sqrt[k]{|c_k|}.$$

Theorem 1.1.6 (Identity). Let $\Omega \subseteq \mathbb{C}$ be a domain and $f \in \mathcal{O}(\Omega)$ a holomorphic function. Let $A \subseteq \Omega$ be a subset such that f(z) = 0 for all $z \in A$. If A has an accumulation point in Ω , then f(z) = 0 for all $z \in \Omega$.

Proof. Let $a \in \Omega$ be an accumulation point of A. By continuity, we have f(a) = 0. We can now write

$$f(z) = \sum_{k=k_0}^{\infty} c_k (z-a)^k,$$

where we assume $c_{k_0} \neq 0$. But now $g(z) = \frac{f(z)}{(z-a)^{k_0}}$ is also holomorphic. Again, by continuity, we must have g(a) = 0, which is a contradiction. It follows that $c_k = 0$ for all $k \in \mathbb{N}_0$. It follows that the set Int $\{z \in \Omega \mid f(z) = 0\}$ is non-empty. By the same argument as above, it has an empty boundary and is therefore equal to Ω .

Lemma 1.1.7. Let $\Omega \subseteq \mathbb{C}$ be a domain and $f \in \mathcal{O}(\Omega)$. Suppose that for $a \in \Omega$ and r > 0 we have $\overline{\Delta(a,r)} \subseteq \Omega$. If

$$|f(a)| < \min_{\partial \Delta(a,r)} |f|,$$

then f has a zero in $\Delta(a, r)$.

Proof. Assume otherwise. From the inequality it follows that f has no zeroes on the boundary either. By continuity, f has no zero on an open set V with $\Delta(a,r) \subseteq V$. We can therefore define $g \in \mathcal{O}(V)$ with $g(z) = \frac{1}{f(z)}$. We now have

$$g(a) = \frac{1}{2\pi i} \oint_{\partial \Delta(a,r)} \frac{g(z)}{z - a} dz = \frac{1}{2\pi i} \int_0^{2\pi} \frac{g(a + r \cdot e^{it})}{re^{it}} \cdot rie^{it} dt = \frac{1}{2\pi} \int_0^{2\pi} g(a + re^{it}) dt.$$

We can therefore get a bound on |g(a)| as

$$|g(a)| \le \max_{\partial \Delta(a,r)} |g|,$$

but as the condition on f can be rewritten as

$$|g(a)| > \max_{\partial \Delta(a,r)} |g|,$$

we have reached a contradiction.

Theorem 1.1.8 (Open mapping). Let $\Omega \subseteq \mathbb{C}$ be a domain and $f \in \mathcal{O}(\Omega)$ a function. If f is not constant, it is an open map.

Proof. Let $U \subseteq \Omega$ be an open set and $w_0 \in f(U)$. Choose a $z_0 \in U$ such that $f(z_0) = w_0$. Choose a $\rho > 0$ such that $\Delta(z_0, \rho) \subseteq U$ and z_0 is the only pre-image of w_0 in $\Delta(z_0, 2\rho)$.

Since $\partial \mathbb{A}(z_0, \rho)$ is a compact set and

$$|f(z) - w_0| > 0$$

for all $z \in \partial \Delta(z_0, \rho)$, we can choose some $\varepsilon > 0$ such that

$$|f(z) - w_0| > 2\varepsilon$$

holds on the boundary of the disk. Choose a $w \in \Delta(w_0, \varepsilon)$. As we have

$$|f(z) - w| > |f(z) - w_0| - |w_0 - w| \ge \varepsilon$$

on the boundary and

$$|f(z_0) - w| = |w_0 - w| < \varepsilon,$$

by the above lemma, $f(z_0) - w$ has a root on $\Delta(z, \rho)$.

Theorem 1.1.9 (Maximum principle). Let $\Omega \subseteq \mathbb{C}$ be a domain. If the modulus |f| of a function $f \in \mathcal{O}(\Omega)$ attains a local maximum, the function f is constant.

¹ If such a disk does not exist, f is constant by the identity theorem.

Proof. Suppose that f is non-constant and that its modulus attains a local maximum at $z \in \Omega$. As f is an open map, it also attains the value $(1+\varepsilon) \cdot f(z)$, which is a contradiction as the modulus then equals $(1+\varepsilon) \cdot |f(z)| > |f(z)|$.

Theorem 1.1.10 (Maximum principle). Let $\Omega \subseteq \mathbb{C}$ be a bounded domain and assume that $f \in \mathcal{O}(\Omega) \cap \mathcal{C}(\overline{\Omega})$. Then, the maximum of |f| is attained in the boundary $\partial\Omega$.

Proof. As $\overline{\Omega}$ is compact, f attains a global maximum on this set. If the maximum is attained in the interior, f is constant, therefore it is also attained on the boundary. \square

Definition 1.1.11. A function $f: \Omega \setminus \{a\} \to \mathbb{C}$ is *locally bounded* near a if there exists an open neighbourhood $U \subseteq \Omega$ of a such that $f|_{U \setminus \{a\}}$ is bounded.

Theorem 1.1.12 (Riemann removable singularity theorem). Let $\Omega \subseteq \mathbb{C}$ be an open subset, $a \in \Omega$ and $f \in \mathcal{O}(\Omega \setminus \{a\})$. If f is locally bounded near a, then there exists a unique function $F \in \mathcal{O}(\Omega)$ such that $F|_{\Omega \setminus \{a\}} = f$.

Proof. Define the function $F: \Omega \to \mathbb{C}$ as

$$F(z) = \begin{cases} f(z) & z \in \Omega \setminus \{a\}, \\ \frac{1}{2\pi i} \oint_{\partial \Delta(a,\rho)} \frac{f(w)}{w-a} dw & z = a. \end{cases}$$

It remains to check that F is complex differentiable at a. Indeed, for $z \in \Delta(a, \rho)$ we have

$$\lim_{z \to a} \frac{F(z) - F(a)}{z - a} = \lim_{z \to a} \frac{1}{z - a} \oint_{\partial \Delta(a,\rho)} \left(\frac{f(w)}{w - z} - \frac{f(w)}{w - a} \right) dw$$

$$= \lim_{z \to a} \frac{1}{2\pi i} \cdot \frac{1}{z - a} \cdot \oint_{\partial \Delta(a,\rho)} f(w) \cdot \frac{z - a}{(w - z)(w - a)} dw$$

$$= \frac{1}{2\pi i} \oint_{\partial \Delta(a,\rho)} \frac{f(w)}{(w - a)^2} dw,$$

which exists. Uniqueness follows from the identity theorem.

Theorem 1.1.13 (Schwarz lemma). Let $f: \Delta \to \Delta$ be a holomorphic function with f(0) = 0. Then, $|f'(0)| \le 1$ and the inequality $|f(z)| \le |z|$ holds for all $z \in \Delta$. If |f'(0)| = 1 or |f(z)| = |z| holds for any $z \ne 0$, then $f(z) = \beta z$ for some $\beta \in \partial \Delta$.

Proof. We can write

$$f(z) = \sum_{k=1}^{\infty} c_k z^k.$$

We define

$$g(z) = \frac{f(z)}{z} = \sum_{k=1}^{\infty} c_k z^{k-1}.$$

The radius of convergence for both series is at least 1. Now apply the maximum principle for g on the domain $\Delta(\rho)$. We get

$$\sup_{z \in \Delta(\rho)} |g(z)| \le \max_{|z| = \rho} |g(z)| = \frac{1}{\rho} \max_{|z| = \rho} |f(z)| < \frac{1}{\rho}.$$

In the limit as $\rho \to 1$, it follows that

$$\sup_{z\in\mathbb{A}}|g(z)|\leq 1.$$

It immediately follows that $|f'(0)| = |g(0)| \le 1$. Also note that

$$\frac{|f(z)|}{|z|} \le \frac{1}{\rho},$$

which in the limit gives

$$|f(z)| \le |z|.$$

Suppose we have $|f(z_0)| = |z_0|$ for some $z_0 \neq 0$. As then $|g(z_0)| = 1$, it follows that g is constant, therefore $f(z) = \beta z$ for some $\beta \in \partial \Delta$. If we have |f'(0)| = 0, the same argument works for $z_0 = 0$.

1.2 The $\overline{\partial}$ equation

Lemma 1.2.1. Let $g \in \mathcal{C}^{\infty}(\mathbb{C})$ be a function with compact support. Then there exists a function $f \in \mathcal{C}^{\infty}(\mathbb{C})$ such that $\frac{\partial f}{\partial \overline{z}} = g$.

Proof. Let

$$f(z) = \frac{1}{2\pi i} \iint_{\mathbb{C}} \frac{g(w)}{w - z} dw \wedge d\overline{w}.$$

As

$$dw \wedge d\overline{w} = -2ri\,dr \wedge d\varphi$$

holds for polar coordinates centered at z, we can express the integral as

$$f(z) = -\frac{1}{\pi} \iint_{\mathbb{C}} \frac{rg(z + re^{i\varphi})}{re^{i\varphi}} dr \wedge d\varphi.$$

We can further simplify the integral, as there exists some R such that $g|_{\mathbb{C}\backslash \Delta(z,R)}=0$. We get

$$f(z) = -\frac{1}{\pi} \iint_{\mathbb{A}(z,R)} g\left(z + re^{i\varphi}\right) e^{-i\varphi} dr \wedge d\varphi,$$

which obviously converges. The function f is therefore well defined. As we are integrating a smooth function on a compact set, the function f is smooth as well.

For $u = re^{i\varphi}$, we have

$$\begin{split} \frac{\partial f}{\partial \overline{z}}(z) &= -\frac{1}{\pi} \iint_{\underline{\Delta}(z,R)} \frac{\partial}{\partial \overline{z}} g\left(z + r e^{i\varphi}\right) e^{-i\varphi} \, dr \wedge d\varphi \\ &= \frac{1}{2\pi i} \iint_{\underline{\Delta}(0,R)} \frac{\partial}{\partial \overline{z}} g(u + z) \frac{1}{u} \, du \wedge d\overline{u} \\ &= \frac{1}{2\pi i} \iint_{\underline{\Delta}(0,R)} \frac{\partial g}{\partial \overline{u}}(u + z) \frac{1}{u} \, du \wedge d\overline{u} \\ &= \frac{1}{2\pi i} \iint_{\underline{\Delta}(z,R)} \frac{\partial g}{\partial \overline{w}}(w) \frac{1}{w - z} \, dw \wedge d\overline{w}. \end{split}$$

Now we can apply the inhomogeneous Cauchy integral formula. We get

$$g(z) = \frac{1}{2\pi i} \oint_{\partial \underline{\mathbb{A}}(z,R)} \frac{g(w)}{w - z} \, dw + \frac{1}{2\pi i} \iint_{\underline{\mathbb{A}}(z,R)} \frac{\partial g}{\partial \overline{w}}(w) \frac{1}{w - z} \, dw \wedge d\overline{w}.$$

by the choice of R, we get

$$\frac{\partial f}{\partial \overline{z}}(z) = g(z). \qquad \Box$$

Lemma 1.2.2. Given bounded domain $U \subset V \subset \mathbb{R}^n$ such that $\partial U \cap \partial V = \emptyset$, there exists a smooth function $\chi \colon \mathbb{R}^n \to [0,1]$ such that $\chi|_U = 1$ and supp $\chi \subseteq V$.

Proof. There is a partition of unity on the sets V and $\mathbb{R}^n \setminus \overline{U}$.

Lemma 1.2.3. Let $\Omega \subseteq \mathbb{C}$ be an open subset. Let $h_j : \Omega \to \mathbb{C}$ be holomorphic functions. If the sequence $(h_j)_{j \in \mathbb{N}}$ converges uniformly on compact sets, the limit is also holomorphic on Ω .

Proof. Apply Morera's theorem.²

Theorem 1.2.4 (Dolbeault lemma). Let $g \in \mathcal{C}^{\infty}(\Delta(R))$ for some $R \in (0, \infty]$. Then there exists a function $f \in \mathcal{C}^{\infty}(\Delta(R))$ such that $\frac{\partial f}{\partial \overline{z}} = g$.

Proof. Define disks X_i as follows:

- i) If $R = \infty$, set $X_j = \Delta(j)$.
- ii) If $R < \infty$, set $X_j = \Delta \left(R \frac{1}{i} \right)$ (for large enough j).

Applying the above lemma, define functions χ_j with $\chi_j|_{X_j} = 1$ and supp $\chi_j \subseteq X_{j+1}$ and set

$$g_j = \begin{cases} \chi_j \cdot g & z \in \Delta(R), \\ 0 & z \notin \Delta(R). \end{cases}$$

This is of course a smooth function, so by lemma 1.2.1 there exists a function $f_j \in \mathcal{C}^{\infty}(\mathbb{C})$ with

$$\frac{\partial f_j}{\partial \overline{z}} = g_j.$$

We inductively construct a new sequence $\widetilde{f}_i \in \mathcal{C}^{\infty}(\mathbb{C})$ such that

$$\frac{\partial \widetilde{f}_j}{\partial \overline{z}} = g$$

on X_i and

$$\|\widetilde{f}_j - \widetilde{f}_{j-1}\|_{X_{j-2}} \le 2^{-j}.$$

Set $\tilde{f}_1 = f_1$. Observe the function $F = f_{j+1} - \tilde{f}_j$ on X_j . By construction, we have $\frac{\partial F}{\partial \bar{z}} = 0$ on X_j . It follows that F can be developed into a power series

$$F = \sum_{k=0}^{\infty} c_k z^k$$

on X_j . As power series converge uniformly on compact sets, there exists some polynomial $p \in \mathbb{C}[z]$ such that

$$||F - p||_{X_{j-1}} \le 2^{-j}.$$

Now just set $\tilde{f}_{j+1} = f_{j+1} - p$.

Let $z \in \Delta(R)$ be arbitrary. By construction, it is contained in some X_{j_0} , therefore, \tilde{f}_j is defined for $j \geq j_0$. As $(\tilde{f}_j(z))_{j \geq j_0}$ is a Cauchy sequence, we can define

$$f(z) = \lim_{j \to \infty} \widetilde{f}_j(z).$$

² Analysis 2b, theorem 3.4.6.

But as

$$f - \widetilde{f}_j = \sum_{k=j}^{\infty} \left(\widetilde{f}_{j+1} - \widetilde{f}_j \right)$$

is a sum of holomorphic functions that converges uniformly, the function $f-\widetilde{f}_j$ is a holomorphic function. Therefore, f is smooth and satisfies $\frac{\partial f}{\partial \overline{z}}=g$.

1.3 Meromorphic functions

Definition 1.3.1. Let $\Omega \subset \mathbb{C}$ be an open subset. We call a function f meromorphic of Ω if there exists $A \subset \Omega$ such that $f \in \mathcal{O}(\Omega \setminus A)$, A has no accumulation points in Ω and for all $a \in A$ there exists some $k \in \mathbb{N}$ such that

$$\lim_{z \to a} f(z) \cdot (z - a)^k \neq 0$$

exists. We call A the set of poles of the function f. We denote the set of meromorphic functions on Ω with $\mathcal{M}(\Omega)$.

Theorem 1.3.2. Let $0 \le r < R \le \infty$. Suppose that $f \in \mathcal{O}(D_{R,r}(a))$ is a holomorphic function, where

$$D_{R,r}(a) = \{ z \in \mathbb{C} \mid r < |z - a| < R \}.$$

Then there exists a uniquely determined Laurent series

$$\sum_{k \in \mathbb{Z}} c_k (z - a)^k$$

that converges to f uniformly and absolutely on compact subsets of $D_{R,r}(a)$. We have

$$c_k = \frac{1}{2\pi i} \oint_{\partial \Delta(a,\rho)} \frac{f(w)}{(w-a)^k} dw$$

for $r < \rho < R$.

Definition 1.3.3. Let

$$\sum_{k \in \mathbb{Z}} c_k (z - a)^k$$

be a Laurent series. The series

$$\sum_{k=1}^{-1} c_k (z-a)^k$$

is called the *principle part*.

Lemma 1.3.4. Let $f \in \mathcal{O}(\Omega \setminus \{a\})$ be a holomorphic function. Then f is meromorphic on Ω if and only if f has a finite principle part in a.

Proof. Suppose that f is meromorphic on Ω . If a is a removable singularity, f is holomorphic in a, therefore the principle part is trivial. Otherwise, set $m \in \mathbb{N}$ such that

$$\lim_{z \to a} (z - a)^m f(z) \neq 0$$

exists and set $g(z) = (z - a)^m f(z)$. As g is bounded near a, we can extend it to Ω by the Riemann removable singularity theorem. The power series of g corresponds to a finite Laurent series of f.

The converse is obvious.

Theorem 1.3.5. If $f \in \mathcal{M}(\mathbb{C})$ is a meromorphic function, there exist entire functions g and h such that $f = \frac{g}{h}$.

October 18, 202

Definition 1.3.6. Let $\Omega \subseteq \mathbb{C}$ be an open set. An additive Cousin problem on Ω is an open cover $\{U_j\}_{j\in J}$ of Ω and functions $f_j\in \mathcal{M}(U_j)$ such that $f_j-f_k|_{U_j\cap U_k}$ is holomorphic for all $j,k\in J$. A function $f\in \mathcal{M}(\Omega)$ is a solution to the additive Cousin problem if $f|_{U_j}-f_j$ is holomorphic for all $j\in J$.

Definition 1.3.7. Let $\Omega \subseteq \mathbb{C}$ be an open subset. A generalized additive Cousin problem is an open cover $\{U_j\}_{j\in J}$ of Ω and functions $f_{j,k} \in \mathcal{O}(U_j \cap U_k)$ for each $(j,k) \in J^2$, such that

- i) $f_{i,k} = -f_{k,j}$ on $U_i \cap U_k$ for all $(j,k) \in J^2$ and
- ii) $f_{i,k} + f_{k,\ell} + f_{\ell,j} = 0$ on $U_i \cap U_k \cap U_\ell$ for all $(j, k, \ell) \in J^3$.

A solution to the generalized additive Cousin problem is given by functions $f_j \in \mathcal{O}(U_j)$ for each $j \in J$ such that $f_{j,k} = f_j - f_k$ for each $(j,k) \in J^2$.

Lemma 1.3.8 (Partition of unity). Let $\Omega \subseteq \mathbb{C}$ be an open set and $\{U_j\}_{j\in J}$ be an open cover of Ω . Then there exists a partition of unity subordinate to $\{U_j\}_{j\in J}$.

Lemma 1.3.9. Given a generalized additive Cousin problem on $\Omega \subseteq \mathbb{C}$, there exist functions $g_j \in \mathcal{C}^{\infty}(U_j)$ such that $f_{j,k} = g_j - g_k$ for all $(j,k) \in J^2$.

Proof. Let $\{(V_a, \chi_a)\}_{a \in A}$ be a partition of unity, subordinate to $\{U_j\}_{j \in J}$. For all $a \in A$ choose a $j(a) \in J$ such that $V_a \subseteq U_{j(a)}$. For all $k \in J$, define

$$g_k = -\sum_{a \in A} \chi_a \cdot f_{j(a),k}.$$

This is of course a smooth function on U_k . Now note that

$$g_k - g_\ell = \sum_{a \in A} \chi_a \cdot \left(-f_{j(a),k} + f_{j(a),\ell} \right) = \sum_{a \in A} \chi_a \cdot f_{k,\ell} = f_{k,\ell}.$$

Proposition 1.3.10. The generalized additive Cousin problem is solvable for $\Omega = \Delta(r)$ and $\Omega = \mathbb{C}$.

Proof. Let $f_{j,k} = g_j - g_k$ for $g_j \in \mathcal{C}^{\infty}(U_j)$. Note that

$$\frac{\partial g_j}{\partial \overline{z}} = \frac{\partial g_k}{\partial \overline{z}},$$

therefore,

$$h|_{U_j} = \frac{\partial g_j}{\partial \overline{z}}$$

induces a smooth function $h: \Omega \to \mathbb{C}$. By the Dolbeault lemma, there exists a function $g \in \mathcal{C}^{\infty}(\Omega)$ such that $\frac{\partial g}{\partial \overline{z}} = h$. It is clear that $f_j = g_j - g$ solves the generalized additive Cousin problem.

Proposition 1.3.11. The additive Cousin problem is solvable for $\Omega = \Delta(r)$ and $\Omega = \mathbb{C}$.

Proof. An additive Cousin problem induces a generalized additive Cousin problem for functions $f_{j,k} = f_j - f_k$. Let g_j be a solution to the generalized problem. As $f_j - f_k = f_{j,k} = g_j - g_k$ on $U_j \cap U_k$, we can define a function $f \in \mathcal{M}(\Omega)$ with $f|_{U_j} = f_j - g_j$. This function is of course well defined. As $f|_{U_j} - f_j = g_j \in \mathcal{O}(U_j)$, this function indeed solves the additive Cousin problem.

Theorem 1.3.12 (Mittag-Leffler). Let $(a_k)_{k\in\mathbb{N}}$ be a sequence without repetition and accumulation points. Let

$$f_k(z) = \sum_{\ell=-m_k}^{-1} c_{k,\ell} (z - a_k)^{\ell}$$

be finite principal parts. Then there exists a meromorphic function $f \in \mathcal{M}(\mathbb{C})$ with poles in $(a_k)_{k \in \mathbb{N}}$ such that f has principle part f_k in a_k for each $k \in \mathbb{N}$.

Proof. For each a_k choose a disk U_k containing no other a_k . Also set $U_0 = \mathbb{C} \setminus \{a_k \mid k \in \mathbb{N}\}$ and $f_0 = 0$. As $\{U_k \mid k \in \mathbb{N}_0\}$ is an open cover of \mathbb{C} , there exists a meromorphic function $f \in \mathcal{M}(\mathbb{C})$ that solves the corresponding additive Cousin problem. It is easy to see that the principle parts of f at a_k are precisely f_k .

1.4 Sequences of holomorphic functions

Definition 1.4.1. A family of functions \mathcal{F} from Ω to \mathbb{C} is *locally bounded*, if for all $p \in \Omega$ there exist a $\rho > 0$ and M > 0 such that

$$\sup_{f \in \mathcal{F}} \sup_{z \in \Delta(p,\rho)} |f(z)| < M.$$

Lemma 1.4.2. Let $\Omega \subseteq \mathbb{C}$ be an open subset and $\mathcal{F} \subseteq \mathcal{O}(\Omega)$ a locally bounded family of functions. Then for all $p \in \Omega$ there exists a $\rho > 0$ such that \mathcal{F} is equi-continuous on $\Omega \cap \Delta(p, \rho)$.

Proof. Fix $p \in \Omega$ and choose r > 0 such that $D = \overline{\Delta(p, 2r)} \subseteq \Omega$. For any $z, w \in D$ and $f \in \mathcal{F}$ we have

$$f((z) - f(w)) = \frac{1}{2\pi i} \oint_{\partial D} \frac{f(\xi)}{\xi - z} d\xi - \frac{1}{2\pi i} \oint_{\partial D} \frac{f(\xi)}{\xi - w} d\xi = \frac{z - w}{2\pi i} \oint_{\partial D} \frac{f(\xi)}{(\xi - z)(\xi - w)} d\xi.$$

Note that the family \mathcal{F} is bounded on every compact. Therefore, we can write

$$\sup_{f \in \mathcal{F}} \sup_{z \in \partial D} |f(z)| < M.$$

Now, for $z, w \in \Delta(p, r)$ we have

$$|f((z) - f(w)| = \left| \frac{z - w}{2\pi i} \oint_{\partial D} \frac{f(\xi)}{(\xi - z)(\xi - w)} d\xi \right| \le |z - w| \cdot \frac{2M}{r}.$$

Theorem 1.4.3 (Arzelà-Ascoli). Let $\Omega \subseteq \mathbb{C}$ be an open subset and let $\mathcal{F} \subseteq \mathcal{O}(\Omega)$ be an infinite family such that the following conditions hold:

- i) \mathcal{F} is point-wise bounded.
- ii) \mathcal{F} is locally equi-continuous.

Then there \mathcal{F} contains a sequence that converges uniformly on compacts of Ω .

Proof. Choose a dense countable subset $A \subseteq \Omega$ and enumerate it as a sequence $(a_k)_{k \in \mathbb{N}}$. Pick any sequence $(f_n)_{n \in \mathbb{N}} \subseteq \mathcal{F}$ with pairwise distinct terms. As $|f_n(a_1)| < M$ for all n, we can choose a subsequence $(f_{1,n})_{n \in \mathbb{N}}$ such that $f_{1,n}(a_1)$ converges by Bolzano-Weierstrass.

Similarly, for every $k \in \mathbb{N}$ there exists a subsequence $(f_{k,n})_n$ of $(f_{k-1,n})_n$ such that $(f_{k,n}(a_k))_n$ converges. Now define $F_n = f_{n,n}$. Observe that (F_n) converges at every point in A.

Fix a $p \in \Omega$. By local equi-continuity, there exists a $\rho > 0$ such that for all $\varepsilon > 0$ there exists a $\delta > 0$ such that $\delta < \rho$ and $|F_n(z) - F_n(w)| < \frac{\varepsilon}{3}$ for all $z, w \in \Delta(p, \rho)$ such that $|z - w| < \delta$. Choose an element $a \in A \cap \Delta(z, \delta)$. Then, we have

$$|F_n(z) - F_m(z)| \le |F_n(z) - F_n(a)| + |F_n(a) - F_m(a)| + |F_m(a) - F_m(z)| < 3 \cdot \frac{\varepsilon}{3}$$

It follows that (F_n) is locally uniformly convergent, therefore it converges uniformly on compact sets.

³ By compactness of $\overline{\Delta(p,\rho)}$ we can choose a from a finite set.

October 25, 2023

Theorem 1.4.4 (Montel). Let $\Omega \subseteq \mathbb{C}$ be an open subset and $f_n \colon \Omega \to \mathbb{C}$ be a locally bounded sequence of holomorphic functions. Then $(f_n)_n$ contains a subsequence that converges uniformly on compacts.

Proof. As the sequence is locally bounded, it is locally equi-continuous by lemma 1.4.2. By Arzelà-Ascoli, there exists a convergent subsequence.

Definition 1.4.5. Let $\Omega \subseteq \mathbb{C}$ be an open subset. A family of functions $\mathcal{F} \subseteq \mathcal{O}(\Omega)$ is *normal* if every sequence in \mathcal{F} contains a subsequence that converges uniformly on compacts.

Theorem 1.4.6 (Montel). Let $\Omega \subseteq \mathbb{C}$ be an open subset. A family $\mathcal{F} \subseteq \mathcal{O}(\Omega)$ is normal if and only if it is locally bounded.

Proof. The proof is obvious and need not be mentioned.

Theorem 1.4.7 (Vitali). Let $\Omega \subseteq \mathbb{C}$ be a domain and $(f_n)_n \subseteq \mathcal{O}(\Omega)$ a locally bounded sequence of holomorphic functions. The following statements are equivalent:

- i) The sequence $(f_n)_n$ converges uniformly on compact subsets of Ω .
- ii) For a point $p \in \Omega$ the sequence $(f_n^{(k)}(p))_n$ converges for all $k \in \mathbb{N}_0$.
- iii) The set

$$A = \left\{ z \in \Omega \mid \lim_{n \to \infty} f_n(z) \text{ converges} \right\}$$

has an accumulation point in Ω .

Proof. Suppose that the sequence converges uniformly on compact subsets. Given a $p \in \Omega$, choose a $\delta > 0$ such that $D = \overline{\Delta(p, \delta)} \subseteq \Omega$. Note that

$$\left|g^{(k)}(p)\right| \le \frac{k!}{\delta^k} \cdot \|g\|_D$$

holds for all holomorphic functions g. As $||f - f_n||$ converges to 0, the derivatives of f_n converge.

Suppose that the sequences of derivatives converge at a point $p \in \Omega$ and choose a $\delta > 0$ such that $D = \overline{\Delta(p, \delta)} \subseteq \Omega$. As the sequence is locally bounded, there exists a constant M such that $||f_n||_D \leq M$ holds for all $n \in \mathbb{N}$. We can now develop power series

$$f_n(z) = \sum_{k=0}^{\infty} a_{k,n} (z-p)^k.$$

They converge uniformly on compact subsets of $\Delta(p,\delta)$. Note that

$$a_{k,n} = \frac{f_n^{(k)}(p)}{k!}.$$

As derivatives converge, we can define the limit

$$a_k = \lim_{n \to \infty} a_{k,n}.$$

Now define the formal power series

$$f(z) = \sum_{k=0}^{\infty} a_k (z - p)^k.$$

The Cauchy bounds give us the inequality

$$|a_{k,n}| = \frac{\left|f^{(k)}(p)\right|}{k!} \le \frac{M}{\delta^k},$$

therefore

$$\limsup_{k \to \infty} \sqrt[k]{|a_k|} \le \limsup_{k \to \infty} \frac{\sqrt[k]{M}}{\delta} = \frac{1}{\delta}.$$

We conclude that the radius of convergence is at least δ . Consider some $\rho \in (0, \delta)$ and $z \in \Delta(p, \rho)$. We have

$$|f_n(z) - f(z)| \le \left| \sum_{k=0}^m (a_{k,n} - a_k) \cdot (p - z)^k \right| + \left| \sum_{k=m+1}^\infty (a_{k,n} - a_k) \cdot (p - z)^k \right|$$

$$\le \sum_{k=0}^m |a_{n,k} - a_k| \rho^k + \sum_{k=m+1}^\infty 2M \cdot \frac{\rho^k}{\delta^k}$$

$$= \sum_{k=0}^m |a_{n,k} - a_k| \rho^k + 2M \cdot \left(\frac{\rho}{\delta}\right)^{m+1} \cdot \frac{\delta}{\delta - \rho}$$

$$= 2 \cdot \frac{\varepsilon}{2}$$

for large enough m and n. It follows that p is an accumulation point of A.

Suppose now that A has an accumulation point in Ω . By Montel's theorem there exists a subsequence $(f_{n_m})_m$ that converges uniformly on compact subsets of Ω to a limit function f. Note that all such subsequences have the same limit by the identity principle.

Assume that the sequence $(f_n)_n$ does not converge uniformly on a compact subset $K \subseteq \Omega$. We can therefore construct another subsequence $(g_n)_n$ of $(f_n)_n$ such that

$$\|g_n - f\|_K > \varepsilon$$

for all $n \in \mathbb{N}$. But note that $(g_n)_n$ also has a convergent subsequence by Montel's theorem, which is of course a contradiction, as it cannot converge to f.

2 Theorems about holomorphic functions

2.1 Riemann mapping theorem

Definition 2.1.1. A domain $\Omega \subseteq \mathbb{C}$ is *simply connected* if every closed path in Ω is homotopic to a constant path in Ω .

Lemma 2.1.2. Let $\Omega \subset \mathbb{C}$ be a domain and $a \in \Omega$. Assume that Ω admits a square root for all function $g \in \mathcal{O}^*(\Omega)$. Then there exists a holomorphic injection $f \colon \Omega \to \Delta$ such that f(a) = 0.

Proof. Fix a point $p \in \mathbb{C} \setminus \Omega$. By our assumption, there exists a function $v \in \mathcal{O}^*(\Omega)$ such that $v(z)^2 = z - p$. Note that v is injective. Similarly, we have $v(\Omega) \cap -v(\Omega) = \emptyset$. Now choose a point $b \in -v(\Omega)$. As v is not constant, it is an open map. Therefore, there exists some r > 0 such that $\Delta(b, r) \cap v(\Omega) = \emptyset$. The Möbius transformation

$$h(w) = r \cdot \left(\frac{1}{w-b} - \frac{1}{v(a)-b}\right)$$

thus maps $v(\Omega)$ into Δ . The map f is therefore given as $f = h \circ v$.

Definition 2.1.3. An expansion if a map $\kappa \colon \Omega \to \Delta$ where $0 \in \Omega \subset \Delta$ such that $\kappa(0) = 0$ and $|\kappa(z)| > |z|$ holds for all $z \neq 0$.

Lemma 2.1.4. Let $\Omega \subset \Delta$ be a domain with $0 \in \Omega$. Assume that Ω admits a square root for all function $g \in \mathcal{O}^*(\Omega)$. Choose $c \in \Delta$ such that $c^2 \notin \Omega$. For all $a \in \Delta$, let

$$g_a = \frac{z - a}{\overline{a}z - 1}$$

and choose $v \in \mathcal{O}(\Omega)$ such that $v(z)^2 = g_{c^2}(z)$ and v(0) = c. Then the map $\kappa = g_c \circ v$ is an expansion and

$$g_{c^2} \circ (z \mapsto z^2) \circ g_c \circ \kappa = \mathrm{id}_{\Omega}.$$

Proof. Note that v is indeed well-defined. Also note that

$$g_{c^2} \circ (z \mapsto z^2) \circ g_c \circ \kappa = g_{c^2} \circ (z \mapsto z^2) \circ v = g_{c^2} \circ g_{c^2} = \mathrm{id}$$
.

We of course have $\kappa(0) = 0$. Denote $\psi_c = g_{c^2} \circ (z \mapsto z^2) \circ g_c$. It remains to check that $|\kappa(z)| > |z|$, which is equivalent to $|\psi_c(z)| < |z|$ for $z \neq 0$ as $\psi_c \circ \kappa = \text{id}$. Note that $\psi_c \colon \Delta \to \Delta$ is holomorphic. As it is not a rotation (it is not injective), the conclusion follows from the Schwarz lemma.

Lemma 2.1.5 (Hurwitz). Let $\Omega \subseteq \mathbb{C}$ be a domain and let $f_n \colon \Omega \to \mathbb{C}$ be holomorphic functions. Suppose that the sequence $(f_n)_n$ converges uniformly on compacts of Ω to a non-constant function $f \colon \Omega \to \mathbb{C}$. Then for all points $p \in \Omega$ there exists a sequence $(p_n)_n \subseteq \Omega$ with limit p such that $f_n(p_n) = f(p)$ for all n > N.

Proof. Let w = f(p). There exists a disk $\Delta(p, \delta)$ such that $f(z) \neq w$ for all points $z \in \overline{\Delta(p, \delta)} \setminus \{p\}$. Note that we have

$$\min_{z \in \partial \Delta(p,\delta)} |f(z) - w| > |f(p) - w| = 0.$$

As $(f_n)_n$ converges uniformly on $\overline{\Delta(p,\delta)}$, there exists some $n_0 \in \mathbb{N}$ such that for all $n \geq n_0$ we have

$$\min_{z \in \partial \Delta(p,\delta)} |f_n(z) - w| > |f_n(p) - w|.$$

By lemma 1.1.7, $f_n(z) - w$ has a root $p_n \in \Delta(p, \delta)$. For any convergent subsequence $(p_{n_k})_k$ with limit q we have

$$f(p) = \lim_{k \to \infty} f_{n_k}(p_{n_k}) = f(q),$$

therefore p = q.

Corollary 2.1.5.1. Let $\Omega \subseteq \mathbb{C}$ be a domain and $f_n \colon \Omega \to \mathbb{C}$ be holomorphic functions such that $(f_n)_n$ converges uniformly on compacts of Ω to $f \colon \Omega \to \mathbb{C}$. If all the f_n are nowhere vanishing and $f \neq 0$, then f is nowhere vanishing.

Proof. The proof is obvious and need not be mentioned.

Theorem 2.1.6 (Hurwitz). Let $\Omega, \Omega' \subseteq \Omega$ be domains and $f_n \colon \Omega \to \Omega'$ be holomorphic functions that converge uniformly on compacts of Ω to $f \colon \Omega \to \Omega'$. Assume that f is not constant.

- i) If $f_n: \Omega \to \Omega'$ is injective, f is also injective.
- ii) We have $f(\Omega) \subset \Omega'$.

Proof.

- i) Let $p \in \Omega$ and observe the functions $g_n(z) = f_n(z) f_n(p)$. This is a sequence of nowhere vanishing functions. As f is not constant, f(z) f(p) is nowhere vanishing as well. It follows that f is injective.
- ii) Suppose otherwise and apply the Hurwitz lemma for a point p with $f(p) \notin \Omega'$. \square

Theorem 2.1.7 (Riemann mapping). For a proper domain $\Omega \subset \mathbb{C}$ the following are equivalent:

- i) Ω is simply connected.
- ii) Ω admits a logarithm for any $f \in \mathcal{O}^*(\Omega)$.
- iii) Ω admits a square root for any $f \in \mathcal{O}^*(\Omega)$.
- iv) Ω is biholomorphic to Δ .

Proof. Note that if Ω is biholomorphic to Δ , it is of course simply connected. Suppose that Ω is simply connected. Then

$$F(z) = a + \int_{z_0}^z \frac{f'(z)}{f(z)} dz$$

defines a logarithm for any $f \in \mathcal{O}^*(\Omega)$. Given a logarithm of a function, we can of course construct a square root with $\sqrt{f} = e^{\frac{1}{2}\ln f}$. It remains to check that all domains admitting square roots are biholomorphic to Δ .

By lemma 2.1.2 we can assume that $\Omega \subseteq \Delta$ and $0 \in \Omega$. Now define the family of functions

$$\mathcal{F} = \{ f \colon \Omega \to \mathbb{A} \mid f \in \mathcal{O}(\Omega) \land f(0) = 0 \land f \text{ is injective} \}.$$

If \mathcal{F} has no biholomorphic map, it is infinite. Note that \mathcal{F} is bounded, so it is normal by Montel.

Choose a point $p \in \Omega$ with $p \neq 0$. We claim that if $h \in \mathcal{F}$ and

$$|h(p)| = \sup_{f \in \mathcal{F}} |f(p)|,$$

we have $h(\Omega) = \Delta$. Indeed, if that were not the case, we'd reach a contradiction with the expansion κ of Ω as

$$|\kappa(h(p))| > |h(p)|$$

and $\kappa \circ h \in \mathcal{F}$.

Let

$$M = \sup_{f \in \mathcal{F}} |f(p)|$$

and find a sequence $(f_n)_n \subseteq \mathcal{F}$ with

$$\lim_{n\to\infty} |f_n(p)| = M.$$

As \mathcal{F} is a normal family, there exists a convergent subsequence. The limit is not constant as $f(p) \neq 0$. By Hurwitz, f is injective and $f(\Omega) \subseteq \Delta$. By the above claim, we have $f(\Omega) = \Delta$.

2.2 Bloch's theorem

Lemma 2.2.1. Let $\Omega \subseteq \mathbb{C}$ be a bounded domain and $f: \overline{\Omega} \to \mathbb{C}$ a continuous map such that $f|_{\Omega}$ is an open map. Let $a \in \Omega$ be a point such that

$$s = \min_{z \in \partial\Omega} |f(z) - f(a)| > 0.$$

Then $f(\Omega)$ contains the disk $\Delta(f(a), s)$.

Proof. By compactness, there exists a $w_0 \in \partial f(\Omega)$ such that $d(\partial f(\Omega), f(a)) = |w_0 - f(a)|$. Let $(z_k)_k \subseteq \Omega$ be a sequence, convergent to z_0 , such that

$$\lim_{k \to \infty} f(z_k) = w_0.$$

Of course $f(z_0) = w_0$. Note that, as $f|_{\Omega}$ is open, we have $z_0 \in \partial \Omega$. But then

$$d(\partial f(\Omega), f(a)) = |f(z_0) - f(a)| \ge s.$$

Lemma 2.2.2. Let f be a non-constant function, holomorphic in a neighbourhood of $\overline{\Delta(a,r)}$. Assume that

$$\sup_{z \in \underline{\mathbb{A}}(a,r)} |f'(z)| \le 2 |f'(a)|.$$

Then $\Delta(f(a), R) \subseteq f(\Delta(a, r))$, where

$$R = (3 - 2\sqrt{2}) \cdot r \cdot |f'(a)|.$$

Proof. Without loss of generality assume that a = f(a) = 0. Define

$$A(z) = f(z) - f'(0)z = \int_0^1 (f'(tz) - f'(0)) z \, dt.$$

Note that

$$f'(v) - f'(0) = \frac{1}{2\pi i} \oint_{\partial \Delta(a,r)} f'(\xi) \cdot \left(\frac{1}{\xi - v} - \frac{1}{\zeta}\right) d\xi,$$

therefore

$$|f'(v) - f'(0)| \le \frac{1}{2\pi} \cdot |v| \cdot \frac{||f'||_{\Delta(a,r)}}{r \cdot (r - |v|)} \cdot 2\pi r = |v| \cdot \frac{||f'||_{\Delta(a,r)}}{r - |v|}.$$

It follows that

$$|A(z)| \le \int_0^1 |z| \cdot |f'(tz) - f'(0)| dt$$

$$\le |z| \cdot \int_0^1 |tz| \cdot \frac{||f'||_{\Delta(a,r)}}{r - |tz|} dt$$

$$\le |z|^2 \cdot ||f'||_{\Delta(a,r)} \cdot \int_0^1 t \cdot \frac{1}{r - |z|}$$

$$= |z|^2 \cdot \frac{|f'(0)|}{r - |z|}.$$

Now, using the triangle inequality, we get

$$|f(z)| \ge |z| \cdot |f'(0)| - |A(z)|$$
.

Let $|z| = \rho \in (0, r)$. We get

$$|f(z)| \ge \rho \cdot |f'(0)| - |A(z)| \ge \rho \cdot |f'(0)| - \frac{\rho^2}{r - \rho} \cdot |f'(0)| \ge |f'(0)| \cdot \left(\rho - \frac{\rho^2}{r - \rho}\right).$$

Note that there exists a ρ_0 such that

$$\rho_0 - \frac{\rho_0^2}{r - \rho_0} = r \cdot (3 - 2\sqrt{2}).$$

Therefore, we get

$$|f(z)| \ge |f'(0)| \cdot r \cdot \left(3 - 2\sqrt{2}\right).$$

Now just apply the previous lemma to the disk $\Delta(0, \rho_0)$.

Theorem 2.2.3 (Bloch). Let f be a function, holomorphic in a neighbourhood of $\overline{\mathbb{A}}$, with f'(0) = 1. Then $f(\mathbb{A})$ contains a disk of radius $\frac{3}{2} - \sqrt{2}$.

Proof. Define $h(z) = |f'(z)| (1 - |z|) \ge 0$. Not that $h \not\equiv 0$ as f is not constant. Therefore h attains a maximum in a point $p \in \overline{\mathbb{A}}$. In particular, as $h|_{\partial \mathbb{A}} = 0$, we have $p \in \mathbb{A}$. Observe $\Omega = \mathbb{A}(p,t)$ for $t = \frac{1}{2} \cdot (1 - |p|)$. For all $z \in \Omega$, we have $1 - |z| \ge t$ and

$$|f'(z)| \cdot (1-|z|) \le |f'(p)| \cdot (1-|p|) = |f'(p)| \cdot 2t \le |f'(p)| \cdot 2 \cdot (1-|z|).$$

Now, applying lemma 2.2.2, we have $\Delta(f(p), R) \subseteq f(\Delta)$ with

$$R = (3 - 2\sqrt{2}) \cdot \frac{1}{2} \cdot (1 - |p|) \cdot |f'(p)| \ge \frac{3}{2} - \sqrt{2}$$

by choice of p.

Remark 2.2.3.1. Let

$$\mathcal{F} = \{ f \text{ holomorphic on a neighbourhood of } \overline{\mathbb{A}} \mid f'(0) = 1 \}.$$

For $f \in \mathcal{F}$, denote by L_f the supremum of radii of disks contained in $f(\Delta)$, and by B_f the supremum of radii of disks contained in $f(\Delta)$ that is a biholomorphic image of some subdomain of Δ . We then define the *Landau's constant*

$$L = \inf_{f \in \mathcal{F}} L_f$$

and the Bloch's constant

$$B = \inf_{f \in \mathcal{F}} B_f.$$

The current known bounds for the constants are

$$0.5 < L < 0.544 \quad \text{and} \quad \frac{\sqrt{3}}{4} + 10^{-14} < B \le \sqrt{\frac{\sqrt{3} - 1}{2}} \cdot \frac{\Gamma\left(\frac{1}{3}\right) \cdot \Gamma\left(\frac{11}{12}\right)}{\Gamma\left(\frac{1}{4}\right)}.$$

Corollary 2.2.3.2. Let $\Omega \subseteq \mathbb{C}$ be a domain, $f \in \mathcal{O}(\Omega)$ a function and $p \in \Omega$. Let $r = d(p, \partial\Omega)$. Then $f(\Omega)$ contains a disk of radius

$$\left(\frac{3}{2} - \sqrt{2}\right) \cdot r \cdot |f'(p)|.$$

Proof. The proof is obvious and need not be mentioned.

Remark 2.2.3.3. Liouville's theorem follows from this corollary.

Lemma 2.2.4. Let $\Omega \subseteq \mathbb{C}$ be a simply connected domain and $1, -1 \notin f(\Omega)$. Then there exists a function $F \in \mathcal{O}(\Omega)$ such that $f = \cos(F)$.

Proof. Note that, as Ω is simply connected, we can define

$$F(z) = \frac{1}{i} \cdot \ln\left(f(z) + \sqrt{f(z)^2 - 1}\right).$$

Theorem 2.2.5. Let $\Omega \subseteq \mathbb{C}$ be a simply connected domain and let $f \in \mathcal{O}(\Omega)$. Suppose that $0, 1 \notin f(\Omega)$. Then the following statements are true:

i) There exists a function $g \in \mathcal{O}(\Omega)$ such that

$$f = \frac{1}{2} (1 + \cos(\pi \cdot \cos(\pi \cdot g))).$$

ii) If any $g \in \mathcal{O}(\Omega)$ satisfies the above equality, then $g(\Omega)$ contains no disk of radius 1.

Proof.

- i) Apply the previous lemma twice.
- ii) Define

$$A = \left\{ m \pm \frac{i}{\pi} \ln \left(n + \sqrt{n^2 - 1} \right) \mid m \in \mathbb{Z} \land n \in \mathbb{N} \right\}.$$

We claim that $g(\Omega) \cap A = \emptyset$. Indeed, for $a \in A$ we have

$$f(a) = \frac{1}{2} (1 + \cos(\pm \pi \cdot n)) \in \{0, 1\}.$$

Now note that

$$\ln\left(n+1+\sqrt{n^2+2n}\right) - \ln\left(n+\sqrt{n^2-1}\right) = \ln\left(\frac{n+1+\sqrt{n^2+2n}}{n+\sqrt{n^2-1}}\right)$$

$$\leq \ln\left(\frac{2n+2}{n}\right)$$

$$\leq \ln(4)$$

$$< \pi.$$

It's straightforward to check that every disk of radius 1 intersects A.

Theorem 2.2.6 (Picard's little theorem). Every non-constant entire function omits at most one complex value.

Proof. Without loss of generality assume that f omits 0 and 1. Applying the above theorem, we can write

$$f = \frac{1}{2} \left(1 + \cos(\pi \cdot \cos(\pi \cdot g)) \right).$$

Recall that $g(\mathbb{C})$ contains no disk of radius 1. If g is not constant, $g(\mathbb{C})$ contains arbitrarily large disks by corollary 2.2.3.2, which is a contradiction.

November 15, 2023

Corollary 2.2.6.1. Suppose that $f \in \mathcal{M}(\mathbb{C})$ is a non-constant function. Then f omits at most 2 values.

Proof. Suppose that f omits distinct values a, b and c. Then

$$g(z) = \frac{1}{f(z) - a}$$

is an entire function that omits values $\frac{1}{b-a}$ and $\frac{1}{c-a}$, therefore it is constant.

Theorem 2.2.7. Let $f \in \mathcal{O}(\mathbb{C})$ be an entire function. Then either $f \circ f$ has a fixed point of f(z) = z + c.

Proof. If $f \circ f$ has no fixed point, the same holds for f. We can therefore define an entire holomorphic function g with

$$g(z) = \frac{f(f(z)) - z}{f(z) - z}.$$

Note that g omits both 0 and 1, therefore it is constant. But then

$$f(f(z)) - z = \lambda(f(z) - z)$$

for some $\lambda \notin \{0,1\}$ by Picard's little theorem. Taking the derivative, we get

$$f'(f(z)) \cdot f'(z) - 1 = \lambda (f'(z) - 1),$$

or equivalently

$$f'(z) \cdot (f'(f(z)) - \lambda) = 1 - \lambda \neq 0.$$

Note that $f' \circ f$ omits both λ and 0, therefore it is constant. But then f' is constant as well. The only option is f'(z) = 1.

Lemma 2.2.8. For all $w \in \mathbb{C}$ there exists a $v \in \mathbb{C}$ such that $\cos(\pi v) = w$ and $|v| \le 1 + |w|$.

Proof. Let $v = \alpha + i\beta$ and note that

$$|w|^2 = \cos(\pi\alpha)^2 + \sinh(\pi\beta)^2 \ge \pi^2\beta^2.$$

Observe that we can choose some α such that $|\alpha| \leq 1$, therefore

$$1 + |w| \ge 1 + \pi \cdot |\beta| \ge |\alpha| + |\beta| \ge |v|.$$

Theorem 2.2.9. Let f be a function, holomorphic on a neighbourhood of $\overline{\triangle}$, such that $0, 1 \notin f(\Omega)$. There exists a function g, holomorphic on a neighbouhood of $\overline{\triangle}$, such that

i) the equality

$$f = \frac{1}{2} \left(1 + \cos(\pi \cdot \cos(\pi \cdot g)) \right)$$

holds with $|g(0)| \leq 3 + 2|f(0)|$, and

ii) the inequality

$$|g(z)| \le |g(0)| + \frac{\theta}{\gamma(1-\theta)}$$

holds for all $|z| \leq \theta$.

Proof. Again, apply lemma 2.2.4 and let

$$2f - 1 = \cos(\pi \cdot F).$$

Using the above lemma, we can transform F such that $|F(0)| \le 1 + |2f(0) - 1|$. Applying lemma 2.2.4 again, we define g such that

$$F = \cos(\pi g)$$
.

Again, using the above lemma, set $|g(0)| \le 1 + |F(0)|$. We therefore have

$$|g(0)| \le 1 + |F(0)| \le 2 + |2f(0) - 1| \le 3 + 2|f(0)|$$
.

Recall that $g(\Delta)$ does not contain a disk of radius 1. Let $z \in \overline{\Delta(\theta)}$. Then, by Bloch's theorem, $g(\Delta)$ contains a disk of radius $R = \gamma \cdot |g'(z)| \cdot (1 - \theta)$. Therefore, we must have

$$|g'(z)| < \frac{1}{\gamma(1-\theta)}.$$

It follows that

$$|g(z)| = \left| g(0) + \int_0^z g'(\xi) \, d\xi \right| \le |g(0)| + \int_0^z |g'(\xi)| \, d\xi \le |g(0)| + |z| \cdot \frac{1}{\gamma(1-\theta)}. \quad \Box$$

Definition 2.2.10. For $r \geq 0$, let

$$S(r) = \left\{f \text{ holomorphic on a neighbourhood of } \overline{\mathbb{A}} \ \middle| \ 0, 1 \not \in f\left(\overline{\mathbb{A}}\right) \land |f(0)| \leq r \right\}.$$

For $\theta \in (0,1)$ and r > 0, let

$$L(\theta, r) = \exp\left(\pi \cdot \exp\left(3 + 2r + \frac{\theta}{\gamma(1 - \theta)}\right)\right),$$

where γ is any constant such that Bloch's theorem holds, e.g. $\gamma = \frac{3}{2} - \sqrt{2}$.

Theorem 2.2.11 (Schottky). Let $f \in S(r)$. Then for all $z \in \Delta$ such that $|z| < \theta$ we have

$$|f(z)| \le L(\theta, r).$$

Proof. Let g be a holomorphic function as in the previous theorem. Note that $|\cos(w)| \le e^{|w|}$. We must therefore also have

$$\frac{1}{2} \cdot |1 + \cos(w)| \le e^{|w|}.$$

Using this inequality, we get

$$|f(z)| \le \exp\left(\pi \cdot \exp\left(\pi \cdot |g(z)|\right)\right) \le L(\theta, r).$$

2.3 The great Picard theorem

Lemma 2.3.1. Let $\Omega \subseteq \mathbb{C}$ be a domain, $\omega \in \Omega$ and $r \in (0, \infty)$. Let

$$\mathcal{F} = \{ f \in \mathcal{O}(\Omega) \mid 0, 1 \not\in f(\Omega) \} .$$

and $\mathcal{F}_{\omega,r} \subseteq \mathcal{F}$ a subfamily with $|f(\omega)| \leq r$ for all $f \in \mathcal{F}_{\omega,r}$.

- i) There exists some t > 0 such that $\mathcal{F}_{\omega,r}|_{\Delta(\omega,t)}$ is bounded.
- ii) The family $\mathcal{F}_{\omega,1}$ is locally bounded in Ω .

Proof.

i) Choose a t>0 such that $\overline{\Delta(\omega,2t)}\subseteq\Omega$ and set $\varphi(z)=2tz+\omega$. By Schottky's theorem, we have

$$|f \circ \varphi(z)| \le L\left(\frac{1}{2}, r\right)$$

for $|z| < \frac{1}{2}$, or equivalently

$$\sup_{v\in \Delta(w,t)} |f(v)| \leq L\left(\frac{1}{2},r\right).$$

The family $\mathcal{F}_{\omega,r}$ is therefore bounded.

ii) Let

$$\mathcal{U} = \{ u \in \Omega \mid \mathcal{F}_{\omega,1} \text{ is bounded in a neighbourhood of } u \}.$$

Note that $\omega \in \mathcal{U}$, therefore the set is non-empty. Also observe that \mathcal{U} is open. Suppose that $\mathcal{U} \neq \Omega$ and let $v \in \partial \mathcal{U} \cap \Omega$. Then there exists a sequence $(f_n)_n \subseteq \mathcal{F}_{\omega,1}$ such that

$$\lim_{n \to \infty} |f_n(v)| = \infty.$$

Define $g_n = \frac{1}{f_n}$. These functions are holomorphic and omit both 0 and 1 by definition, therefore $g_n \in \mathcal{F}$. Applying the item i) for the sequence $(g_n)_n$ at point v, the sequence is bounded in a neighbourhood of v. By Montel's theorem, there exists a subsequence $(g_{n_k})_k$ that converges to a function g uniformly on compacts of $\Delta(v, s)$. By corollary 2.1.5.1, the function g is constant. But then

$$\lim_{k \to \infty} |f_{n_k}(z)| = \infty$$

for all $z \in \Delta(v, s)$, which is not possible as v is a boundary point. It follows that $\mathcal{U} = \Omega$.

Definition 2.3.2. Let $\Omega \subseteq \mathbb{C}$ be a domain and $f_n \colon \Omega \to \mathbb{C}$ a sequence of functions. We say that f_n converges to ∞ if

$$\lim_{n\to\infty} \|f_n\|_K = \infty$$

for every compact $K \subset \Omega$.

Theorem 2.3.3 (Montel – sharp). Let $\Omega \subseteq \mathbb{C}$ be a domain and

$$\mathcal{F} = \{ f \in \mathcal{O}(\Omega) \mid 0, 1 \not\in f(\Omega) \}.$$

Then \mathcal{F} is normal in Ω where we also allow convergence to ∞ .

Proof. Let $\Omega \subseteq \mathbb{C}$ be a domain and $p \in \Omega$. Consider the family $\mathcal{F}_{p,1}$. Let $(f_n)_n \subseteq \mathcal{F}$ be a sequence. If there exists a subsequence $(f_{n_k})_k \subseteq \mathcal{F}_{p,1}$, we can apply the above lemma. By the classical Montel's theorem, this subsequence has a convergent subsequence.

Suppose now that no such subsequence exists, that is $(f_n)_n$ has only finitely many terms in $\mathcal{F}_{p,1}$. But then there exists a subsequence $\left(\frac{1}{f_{n_k}}\right)_k \subseteq \mathcal{F}_{p,1}$. As before, this sequence has a convergent subsequence with limit g. If g is nowhere-vanishing, then $\frac{1}{g}$ is the limit of a subsequence of $(f_n)_n$. Otherwise, by corollary 2.1.5.1, we have g = 0 and therefore $(f_n)_n$ converges to ∞ .

Definition 2.3.4. Let $\Omega \subseteq \mathbb{C}$ be an open set and $p \in \Omega$. A function $f \in \mathcal{O}(\Omega \setminus \{p\})$ has an *essential singularity* in p if the limit

$$\lim_{z \to p} f(z)$$

does not exist and

$$\lim_{z \to p} |f(z)| \neq \infty.$$

Theorem 2.3.5 (Picard's great theorem). Let $\Omega \subseteq \mathbb{C}$ be an open set $p \in \Omega$ a point and $f \in \mathcal{O}(\Omega \setminus \{p\})$ a function. If f has an essential singularity at p, then f assumes every complex number as a value infinitely many times with at most one exception.

Proof. Without loss of generality assume that p=0 and consider $\Omega=\Delta(\varepsilon)$. Suppose that f omits two values on $\Delta(\varepsilon)$, without loss of generality 0 and 1.

We now claim that f or $\frac{1}{f}$ is bounded in a neighbourhood of 0. Define the sequence of holomorphic functions $(f_n)_n$ with $f_n(z) = f\left(\frac{z}{n}\right)$. This sequence also omits 0 and 1, therefore either $(f_n)_n$ or $\left(\frac{1}{f_n}\right)_n$ has a convergent subsequence that converges uniformly on compacts by the sharp version of Montel's theorem. Denote the subsequence by $(g_{n_k})_k$ and set g = f or $g = \frac{1}{f}$ accordingly.

Observe that there exists a constant M such that

$$\|g_{n_k}\|_{\partial \Delta\left(\frac{\varepsilon}{2}\right)} \le M$$

holds for all $k \in \mathbb{N}$. This is equivalent to

$$|g(z)| \le M$$

for $|z| = \frac{1}{n_k} \cdot \frac{\varepsilon}{2}$. By the maximum principle, we have

$$|g(z)| \le M$$

for all z such that

$$\frac{\varepsilon}{2} \cdot \frac{1}{n_k} \le |z| \le \frac{\varepsilon}{2}.$$

But as $(n_k)_k$ diverges, the inequality $g(z) \leq M$ holds for all z such that $|z| \leq \frac{\varepsilon}{2}$, therefore f or $\frac{1}{f}$ is bounded near 0.

Observe that f is not bounded in a neighbourhood of 0, as otherwise 0 is a removable singularity, which is not possible. Similarly, if $\frac{1}{f}$ is bounded, then f has either a removable singularity or a pole at 0, which is again a contradiction.

3 Infinite products

3.1 Definition and convergence

Definition 3.1.1. Let $(a_k)_k$ be a sequence of complex numbers. The sequence

$$n \mapsto \prod_{k=1}^{n} a_k$$

is called the sequence of partial products with factors a_k . We denote

$$p_{m,n} = \prod_{k=m}^{n} a_k.$$

We say that the infinite product is convergent if there exists an index $m \in \mathbb{N}$ such that the limit

$$\widehat{a}_m = \lim_{n \to \infty} p_{m,n}$$

exists and is non-zero. We then define

$$\prod_{k=1}^{\infty} a_k = p_{1,m-1} \cdot \widehat{a}_m.$$

as the limit of the infinite product.

Remark 3.1.1.1. The limit is uniquely defined.

Remark 3.1.1.2. An infinite product is convergent if and only if the product of all its non-zero factors has a non-zero limit and only finitely many factors are non-zero.

Lemma 3.1.2. Let $(a_k)_k \subseteq \mathbb{R}_{>0}$ be a sequence such that

$$\sum_{k=1}^{\infty} (1 - a_k) = \infty.$$

Then

$$\lim_{n \to \infty} \prod_{k=p}^{n} a_k = 0$$

for all $p \in \mathbb{N}$. In particular, the infinite product is divergent.

Proof. Observe that

$$0 \le \prod_{k=p}^{n} a_k \le \prod_{k=p}^{n} e^{a_k - 1},$$

which converges to 0.

Definition 3.1.3. Let $X \subseteq \mathbb{C}$ be a set.

i) A series

$$\sum_{k=1}^{\infty} g_k$$

of continuous functions $g_k \in \mathcal{C}(X)$ is normally convergent if for every compact $K \subseteq X$ the series

$$\sum_{k=1}^{\infty} \|g_k\|_K$$

converges.

Infinite products

Luka Horjak

ii) A product

$$\prod_{k=1}^{\infty} f_k$$

of continuous functions $f_k = 1 + g_k \in \mathcal{C}(X)$ is normally convergent if the series

$$\sum_{k=1}^{\infty} g_k$$

is normally convergent.

Definition 3.1.4. Let $X \subseteq \mathbb{C}$ be a set and $f_k \in \mathcal{C}(X)$ be continuous functions. Denote

$$p_{m,n} = \prod_{k=m}^{n} f_k.$$

We say that the infinite product

$$\prod_{k=1}^{\infty} f_k$$

converges uniformly on a set $L \subseteq X$ if there exists an index $m \in \mathbb{N}$ such that $f_k|_L$ has no zeroes for $k \geq m$ and

$$\lim_{n \to \infty} p_{m,n} = \widehat{f}_k$$

exists, is uniform on L and has no zeroes on L. We define

$$\prod_{k=1}^{\infty} f_k = \prod_{k=1}^{m-1} f_k \cdot \widehat{f}_m$$

on L.

Theorem 3.1.5 (Reordering of infinite products). Let

$$\prod_{k=1}^{\infty} f_k$$

be a normally convergent product in $X \subseteq \mathbb{C}$. Then there exists a functions $f: X \to \mathbb{C}$ such that for all bijections $\tau: \mathbb{N} \to \mathbb{N}$ the product

$$\prod_{k=1}^{\infty} f_{\tau(k)}$$

converges to f uniformly on compacts of X. In particular, the infinite product converges uniformly on compacts.

Proof. Recall that, for $w \in \Delta$, we can define

$$\log(1+w) = \sum_{k=1}^{\infty} \frac{(-1)^{k+1}}{k} w^k.$$

Then,

$$|\log(1+w)| \le |w| \cdot \sum_{k=0}^{\infty} |w|^k = \frac{|w|}{1-|w|}.$$

Infinite products

Luka Horjak

In particular, if $|w| \leq \frac{1}{2}$, we have

$$\left|\log(1+w)\right| \le 2\left|w\right|.$$

Let $L \subseteq X$ be a compact and write $f_k = 1 + g_k$. For all k > N we have $||g_k||_L \leq \frac{1}{2}$, therefore we can write

$$\log f_k = \log(1 + g_k) = \sum_{\ell=1}^{\infty} \frac{(-1)^{\ell+1}}{\ell} g_k^{\ell}.$$

But then

$$\left\|\log f_k\right\|_L \le 2 \left\|g_k\right\|_L.$$

It follows that the series

$$\sum_{k=N}^{\infty} \|\log f_k\|_L$$

converges. But then the series

$$h_N = \sum_{k=N}^{\infty} \log f_k$$

converges absolutely, and therefore all reorderings of the series converge as well to the same limit h_N .

Observe that

$$e^{h_N} = \prod_{k=N}^{\infty} e^{\log f_k} = \prod_{k=N}^{\infty} f_k.$$

This product therefore converges uniformly on L, independently of reorderings. We now define

$$f = \prod_{k=1}^{N-1} f_k \cdot e^{h_N}.$$

Note that this holds for all reorderings, as they differ from a suitable one by only finitely many transpositions. \Box

\mathbf{Index}

A additive Cousin problem, 13 generalized, 13 Arzelà-Ascoli theorem, 15 B Bloch's theorem, 22	P partial products, 28 Picard's great theorem, 27 Picard's little theorem, 23 pole, 12 principle part, 12
C Cauchy integral formula, 4 Cauchy-Riemann equations, 4 convergence to ∞ , 26	R reordering theorem, 29 Riemann mapping theorem, 19 removable singularity theorem, 7
D Dolbeaut lemma, 10 E essential singularity, 27 expansion, 18	S Schottky's theorem, 25 Schwarz lemma, 7 simply connected, 18
F function complex differentiable, 4 holomorphic, 4	U uniform convergence, 29 V Vitali's theorem, 16
H Hurwitz lemma, 18 theorem, 19	\mathbf{W} Wirtinger derivatives, 4
I identity theorem, 5 infinite product, 28	
L Laurent series, 12 locally bounded, 7 locally bounded functions, 15	
M maximum principle, 6 meromorphic function, 12 Mittag-Leffler theorem, 14 Montel's theorem, 16, 26	
N normal family, 16 normally convergent series, 28	
O open mapping theorem, 6	