

There are three holiday destinations:

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B: Barbados

C: Cape Cod

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► Family member 2: $B \succ C \succ A$

There are three holiday destinations:

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- ▶ Family member 1: $A \succ B \succ C$
- ▶ Family member 2: $B \succ C \succ A$
- ▶ Family member 3: $C \succ A \succ B$

The individual rankings of the family members can be summarized in a table:

no. 1	no. 2	no. 3
A	B	C
B	C	A
C	A	B

Question: What, then, is the will of the family?

An **aggregation procedure** is a function that maps the individual preference orderings into a group (or social) ordering.

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- ▶ Hence, $A \succ_M B \succ_M C \succ_M A$, where \succ_M denotes the preference of the majority.
- ▶ Note that there is a **cycle** and that **transitivity** is therefore violated (show this!). Hence, the proposed method does not yield a group ordering in this case.

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- ▶ ...
- ▶ Assign $n - 1$ points to the highest ranked option (where n is the number of group members).
- ▶ Then add up the points of all group members.

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Return to our family-holiday example and calculate the Borda scores of options A, B and C:

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Hence, $A \sim B \sim C$.

Condorcet and Borda may yield different results!

Consider this example:

5	1	4
A	A	B
B	C	C
C	B	A

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C	B	A

1. The Condorcet Method

- ▶ A beats B 6:4.
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- ▶ B beats C 9:1.

A is the **Condorcet winner**, as A beats all other alternatives in a pairwise comparison. C is the **Condorcet loser**, as it loses against all other alternatives in a pairwise comparison.

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5	1	4
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2. The Borda Count

- ▶ $\text{Score}(A) = 5 \times 2 + 1 \times 2 = 12$
- ▶ $\text{Score}(B) = 5 \times 1 + 4 \times 2 = 13$
- ▶ $\text{Score}(C) = 1 \times 1 + 4 \times 1 = 5$

→ The group ordering is $B \succ_B A \succ_B C$, where the subscript B refers to the Borda count.

5	1	4
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3. Majority Voting

A is the majority winner as

- ▶ A is ranked first by **six** group members,

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3. Majority Voting

A is the majority winner as

- ▶ A is ranked first by six group members,
- ▶ B is ranked first by four group members,
- ▶ C is ranked first by no group member.

5	1	4
A	A	B
B	C	C
C	B	A

4. Approval Voting

Each group member submits those options that she approves of. Let us assume that each candidate approves of her first two highest ranked options. Then

- ▶ A is approved by **six** group members,

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Each group member submits those options that she approves of. Let us assume that each candidate approves of her first two highest ranked options. Then

- ▶ A is approved by six group members,
- ▶ B is approved by **nine** group members,

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- ▶ A is approved by six group members,
- ▶ B is approved by nine group members,
- ▶ C is approved by **five** group members.

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- ▶ A is approved by six group members,
- ▶ B is approved by nine group members,
- ▶ C is approved by **five** group members.

Hence, the ranking according to the **2-approval ranking** agrees (in this example) with the Borda ranking, i.e. $B \succ A \succ C$.

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May's Theorem (1952): An aggregation method for choosing between two options satisfies (i) Anonymity, (ii) Neutrality and (iii) Positive Responsiveness if and only if it is the majority rule.

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Arrow's Impossibility Theorem: For a finite number of group members (> 1) and for at least three distinct options, there is no aggregation method that satisfies (i) Universal Domain, (ii) Pareto, (iii) Independence of Irrelevant Alternatives, and (iv) Non-Dictatorship.

Borda violates Independence of Irrelevant Alternatives. Consider this ranking:

1	2	2
A	A	B
B	C	C
C	B	A

Here $\text{Score}(A) = 6$ and $\text{Score}(B) = 5$, hence $A \succ_B B$.

Next, one option (D) is added, but the relative rankings of A, B and C are oft unchanged:

1	2	2
D	A	B
A	C	C
B	B	D
C	D	A

Now, $\text{Score}(A) = 8$ and $\text{Score}(B) = 9$, hence $B \succ_B A$.

Judgment Aggregation

- ▶ A city council has to make a decision on whether to build a new harbor site (= proposition C).
- ▶ It is consensus that this project should be approved of if and only if there is sufficient demand for new sites that cannot be met by existing sites (= proposition A) and
- ▶ the consequences for a nearby natural reserve are supportable (= proposition B).

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Member	A	B	C
1,2,3	Yes	Yes	Yes
4,5	Yes	No	No
6,7	No	Yes	No

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Note that all council members accept $(A \wedge B) \leftrightarrow C$. They are **individually rational**.

The Discursive Dilemma

Member	A	B	C
1,2,3	Yes	Yes	Yes
4,5	Yes	No	No
6,7	No	Yes	No
Majority	Yes	Yes	No

Way Out 1: The Premise-Based Procedure

Member	A	B	C
1,2,3	Yes	Yes	Yes
4,5	Yes	No	No
6,7	No	Yes	No
Majority	Yes	Yes	Yes

The group verdict on C is inferred from the group verdicts on A (= Yes) and B (= Yes) using $(A \wedge B) \leftrightarrow C$.

Upshot: The harbor site will be built.

Way Out 2: The Conclusion-Based Procedure

Member	A	B	C
1,2,3	Yes	Yes	Yes
4,5	Yes	No	No
6,7	No	Yes	No
Majority	—	—	No

Upshot: The harbor site will not be built.

The Condorcet Jury Theorem

Consider a group of n voters has to make a yes-no decision on a proposition H . We make two assumptions:

1. **The Independence Assumption:** Given the truth or falsity of H , the verdict of one voter does not depend on the verdict of any other voter.

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The Condorcet Jury Theorem

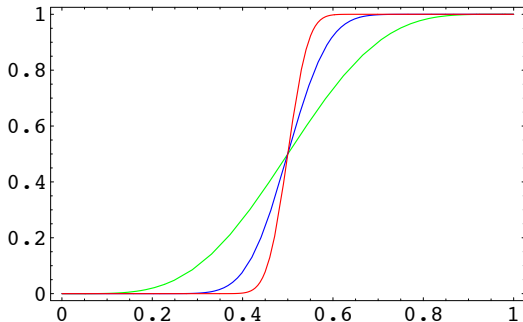
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Then: The probability that the majority makes the right decision (i) increases monotonically and (ii) goes to 1 as $n \rightarrow \infty$.

The Condorcet Jury Theorem

We plot the probability that voting tracks the truth as a function of the reliability r for 9 (in green), 49 (in blue), and 199 (in red) voters.



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- ▶ The judgments on A and B are probabilistically independent.
- ▶ All members accept $(A \wedge B) \leftrightarrow C$.
- ▶ Simplifying notation: We represent a Yes-vote by 1 and a No-vote by 0.
- ▶ There are four **admissible situations** for (A, B, C) :

$$\begin{aligned} S_1 &= (1, 1, 1) & , & & S_2 &= (1, 0, 0) \\ S_3 &= (0, 1, 0) & , & & S_4 &= (0, 0, 0) \end{aligned}$$

- ▶ Note that only these four situations satisfy $(A \wedge B) \leftrightarrow C$.
- ▶ One of these four situations is the true one. We just do not know which.

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- ▶ If S_2 is the true situation, then a council member will vote Yes on proposition A with probability r , and No on proposition B with probability r .
- ▶ Etc.

Prior Probabilities

- ▶ The prior probability that S_1 is the true situation is q_1 .
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Principle of Indifference: $q_1 = q_2 = q_3 = q_4 = 1/4$

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- ▶ Do this many times and count how often situation 1 results from the respective aggregation procedure. Works out the corresponding fraction.
- ▶ Do the same for situations 2, 3 and 4.
- ▶ Weigh the four fractions with the prior probabilities and obtain the wanted probability.
- ▶ Compare the three procedures.

Two Main Results

1. The Premise-Based Procedure performs much better than the Conclusion-Based Procedure.
2. The Premise-Based Procedure performs almost as good as the (optimal) Bayesian Procedure.

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The Bayesian Procedure

This is the optimal aggregation procedure.

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- ▶ Take the judgments of the (partially reliable) council members as evidence.
- ▶ Update the priors using Bayes' Theorem taking the evidence into account.
- ▶ Select the situation with the highest posterior probability.

Note that this method takes all available information into account (including the voting margins).