

## Shape-Based Grayscale Interslice Image Interpolation

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### Abstract

A new algorithm for interpolation between grayscale serial slice images, such as from CT, is presented. The algorithm extends shape-based (SB) binary image interpolation to shape-based interpolation of grayscale images (SBIG). Unlike algorithms, such as linear (L) or cubic spline (CS) interpolation, which rely only on pixel position, SBIG makes essential use of object distance and morphology to interpolate between pixels and structures of similar shape and intensity which may differ in size and position from slice to slice. For reasonably low noise MRI, CT, and Cine CT grayscale images, results are superior visually and quantitatively (15%) to interpolation based solely on  $(x,y)$  proximity, particularly as the interslice spacing is increased. More importantly, while both L and CS interpolation demonstrate characteristic low-pass smearing of object edges and detail, these features are preserved and well approximated with SBIG. As a result, reconstructed coronal and sagittal slices from a densely interpolated image volume using SBIG demonstrate significantly clearer representation of anatomical structures and less "staircasing" than those created using either L or CS interpolation. Clipping artifacts due to nonoverlapping structures or rapid changes in image brightness are minimized using simulated three-dimensional distance maps.

### Introduction

Acquisition of serial cross-sectional slice images has become ubiquitous in medical imaging. Because interslice spacing is typically greater than intraslice spacing, image interpolation techniques often are used to fill in the interslice spaces and produce a uniformly dense image volume.

A variety of linear, trilinear, and spline-based image interpolation techniques<sup>1-3</sup> have been used to fill the interslice spaces. However, the fundamental problem with each of these techniques is that an interpolated value  $I'(x,y)$  is based only on image intensities at or near the same  $(x,y)$  position in the original adjoining slices  $I_j$  and  $I_{j+1}$  (Fig. 1). Thus, if the cross-sectional morphology of individ-

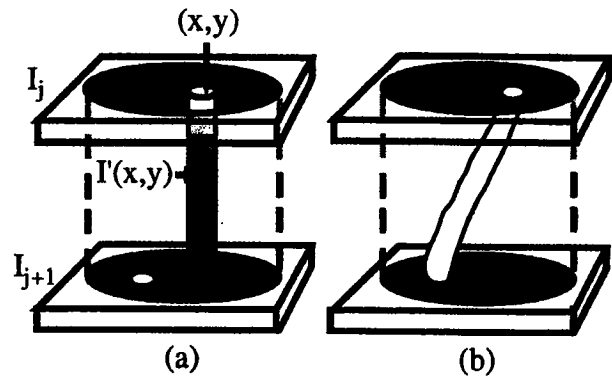


Figure 1. (a) Interpolation which averages between different tissue types. (b) ideal interpolation.

ual structures changes or is displaced significantly from slice to slice, image intensities from one tissue type may be averaged with those of another (Fig. 1a), causing spurious interpolated tissue intensities  $I'(x,y)$  and an overall blurring throughout the interpolated image  $I'$ . Hence, image interpolation based on  $(x,y)$  position alone often does not provide an appropriate correspondence between structures of the same tissue type in adjoining slices, especially near object boundaries. This problem is accentuated as the interslice spacing increases.

### Shape-based Binary Interpolation

Shape-based interpolation (SB) greatly reduces the dependency on  $(x,y)$  position, but has been applied successfully only to binary (segmented) images<sup>4</sup>, with some improvement resulting from superior estimation of euclidean distance<sup>5</sup>. SB has also been combined with grayscale interpolation to produce more accurate binary interpolations<sup>6-7</sup>. However, SB has only recently been applied directly to the interpolation of grayscale images<sup>8</sup>.

SB requires that a distance map,  $D$ , be computed for each slice. The convention here is to use positive values for pixels interior to an object and negative values for pixels exterior to the object. For example, let  $B_j$  and  $B_{j+1}$  represent corresponding rows from two adjacent binary image slices (Fig. 2). Let  $D_j$  and  $D_{j+1}$  represent the dist-

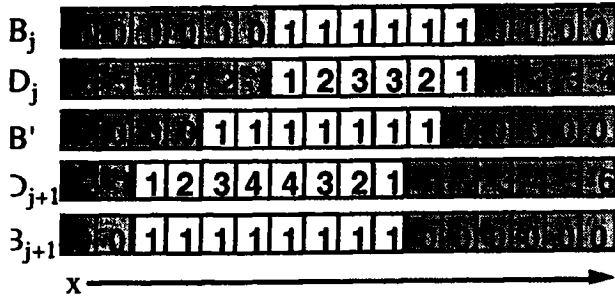


Figure 2. Shape-based binary interpolation.

distance maps for  $B_j$  and  $B_{j+1}$ . The interpolated binary slice,  $B'$ , is obtained by thresholding ( $\geq 0$ ) the weighted average of  $D_j$  and  $D_{j+1}$ . For example,  $B'(x=6) = 1$  since  $.5[D_j(6) + D_{j+1}(6)] = .5[-1 + 4] = 1.5 \geq 0$ , assuming  $B'$  is midway (.5) between  $B_j$  and  $B_{j+1}$ .

### Shape-based Grayscale Interpolation

The SB algorithm is applied to interpolation of grayscale images by treating them as  $n$  binary images,  $B_k(x,y)$ ,  $k = 1 \dots n$  where  $n$  is the bit-depth resolution of the original grayscale images  $I_j$  and  $I_{j+1}$ . Specifically, the interpolated image

$$I'(x,y) = \max [B'_k(x,y)], \text{ for } k = 1 \text{ to } n. \quad [1]$$

For example, if  $I_j$  is an 8-bit image, then  $n = 255$ . Each  $B_k$  is defined by a thresholding operation on the original image, such that

$$B_k(x,y) = \begin{cases} k & \text{for } I_j(x,y) \geq k \\ 0 & \text{otherwise} \end{cases} \quad [2]$$

Then  $I_j$  can be exactly represented as

$$I_j(x,y) = \max_k [B_{k,j}(x,y)]. \quad [3]$$

Thus, an interpolated grayscale image slice,  $I'$ , between two adjoining images  $I_j$  and  $I_{j+1}$  can be obtained by first applying equation [2] to  $I_j$  and  $I_{j+1}$  separately to produce corresponding binary images  $B_{k,j}$  and  $B_{k,j+1}$ , for each  $k = 1 \dots n$ , and then applying the SB binary algorithm to obtain an interpolated binary image  $B'_k$ , for each  $(B_{k,j}, B_{k,j+1})$ ,  $k = 1 \dots n$ .

$$B'_k = \text{SB} (B_{k,j}, B_{k,j+1}) \quad [4]$$

The final interpolated grayscale image,  $I'$ , is then

obtained by maximizing over all  $B'_k$ , as shown in equation [1].

One of the problems with SBIG is that if an object is present in one of the original slices, but absent in the next, the object may be clipped abruptly. However, this can be partially overcome by combining 2D distance maps from the original slices,  $I_j$  and  $I_{j+1}$ , with a simulated 3D distance map in order to linearly extrapolate the clipped structure from one slice to the next (SBIG+).

### Results

The complexity of the SBIG algorithm is  $O(Ng)$  time and  $O(Ng)$  memory, where  $N$  = number of pixels in the image and  $g$  = number of gray levels. The SBIG algorithm has been implemented on an HP 700 workstation. Compute times for a  $256^2 \times 8$  image are 2.1, 4.3, and 430 seconds for L, CS, and SBIG respectively.

### Visual Comparison

Comparison of SBIG with linear (L) and cubic spline (CS) interpolation, is performed by excluding an image slice,  $I_j$ , from a set of serial slices and then attempting to recreate  $I_j$  by interpolating between  $I_j$ 's neighboring slices,  $I_{j-1}$  and  $I_{j+1}$ . Let  $I_L$ ,  $I_C$ , and  $I_S$  represent  $I_j$  recreated using L, CS, and SBIG, respectively. This type of comparison is first applied to two of eight 8mm thick Cine CT scans through the opacified left ventricle at end diastole. Figures 3a-c show the original third ( $I_{j-1}=I_3$ ), fourth ( $I_j=I_4$ ), and fifth ( $I_{j+1}=I_5$ ) slices. Slices  $I_3$  and  $I_5$  are separated by a 12mm gap. The objective is to recreate  $I_4$  by interpolation.  $I_4$  is recreated in figures 3d-f by applying L, CS, and SBIG interpolation respectively to slices  $I_3$  and  $I_5$ .

Note that the SBIG algorithm *preserves* high frequency image features such as left ventricular, myocardial, and lung boundaries. Also, the structure of the left ventricle is reasonably well approximated by SBIG, whereas L and CS interpolation *introduce* low frequency information and intermediate pixel intensities (not present in  $I_3$  and  $I_5$ ) by averaging pixel intensities from differing tissue types. This is particularly noticeable along the myocardial-lung and left ventricular-myocardial boundaries.

SBIG was applied to the entire cardiac Cine CT image set, resulting in 103 total (8 original + 95 interpolated) slices from which reconstructed coronal slices were extracted (Figure 4a). The same reconstructed coronal slice obtained from L and CS are shown in figures 4b and 4c. The

smearing effect found in the L and CS cross-sectional slices is even more pronounced in the coronal view, while the coronal view produced by SBIG demonstrates well-defined anatomical boundaries and overall smoother representation of object structure.

Sagittal views were also reconstructed from a CT data set of the head containing 64 original abutting scans, 1.5mm thick. Comparisons were performed using only 15 of the original 64 slices. A mid-sagittal view comprised of all 64 slices (linearly interpolated = gold standard) is shown in figure 5a. Corresponding sagittal views for SBIG L, and CS, (shown in figures 5b-d) consist of a total of 226 (210 interpolated) slices. Note that the smearing artifacts associated with L and CS are still present, while object boundaries such as skull and skin are more faithfully represented with SBIG. SBIG also avoids "ghosts" (i.e. artificial intermediate pixel intensities which occur in L or CS (Fig. 6). However, figure 5b does demonstrate some of the limitations of SBIG. Namely, if objects fail to overlap from slice to slice, pixel intensities produced by SBIG will diminish, as demonstrated by the soft vertical banding in the skull. Also, if an object is present in one of the original slices, but absent in the next, the object may be clipped abruptly. This can be seen when comparing Fig. 5b with 5a and 7b with 7a where clipping is perceived as a diminished intensity in the bony anatomy. However, this is overcome using a simulated 3D distance map (SBIG+, Fig. 7c).

### Quantitative Comparison

Quantitative comparison of L, CS, and SBIG is obtained by computing error measures  $E_L = |I_j - I_L|$ ,  $E_C = |I_j - I_C|$  and  $E_S = |I_j - I_S|$  as a function of the number of slices skipped. The average error for  $E_S$  is consistently lower than that for  $E_L$  and  $E_C$  by about three gray levels per pixel, or about 15% less overall. In general, except for very closely spaced  $I_{j-1}$  and  $I_{j+1}$ , results show  $E_S < E_L, E_C$ , especially as the distance between  $I_{j-1}$  and  $I_{j+1}$  increases.

### Conclusion

SBIG has been applied successfully to CT, Cine CT, and MRI grayscale images. For reasonably low noise images, results are superior visually and quantitatively to interpolation based solely on (x,y) proximity. This is particularly true as the interslice spacing increases. Of greatest significance is the omission of low frequency blurring and artificial intensities associated with L and CS

and the preservation of sharp, distinguishable anatomical structures and boundaries. SBIG is more of a content based interpolation technique than L or CS in that it attempts to preserve similar regions from the original data slices that have changed in size, position or shape. SBIG is usually fairly successful in handling these changes, especially for larger regions and where the regions overlap. It is less successful at preserving small, rapidly changing regions. A summary of features and liabilities follows.

#### Features of SBIG:

- Does not introduce artificial image intensities
- Omission of low frequency blurring
- Preservation of sharp anatomical boundaries.
- Visual and quantitative improvement for low noise images.
- Possibility of requiring fewer original slices.

#### Liabilities:

- Computationally more expensive.
- Contouring and "patchy" appearance in noisy areas.
- Loss of structure in nonoverlapping regions.
- Object clipping if the object only appears in one original slice

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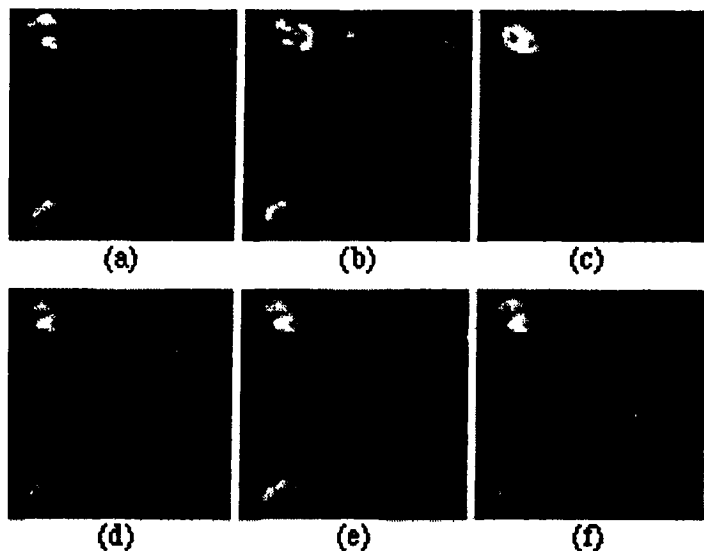


Fig. 3 (a-c) Original slices 3, 4, and 5 through the left ventricle. (d-f) Reconstruction of slice 4 (b) using (d) linear interpolation (e) cubic spline interpolation and (f) SBIG.

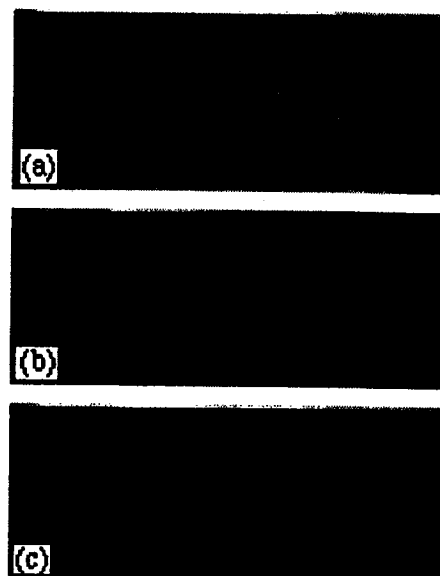


Fig. 4 Coronal view (103 slices, 8 original) using (a) SBIG (b) linear (c) cubic spline.

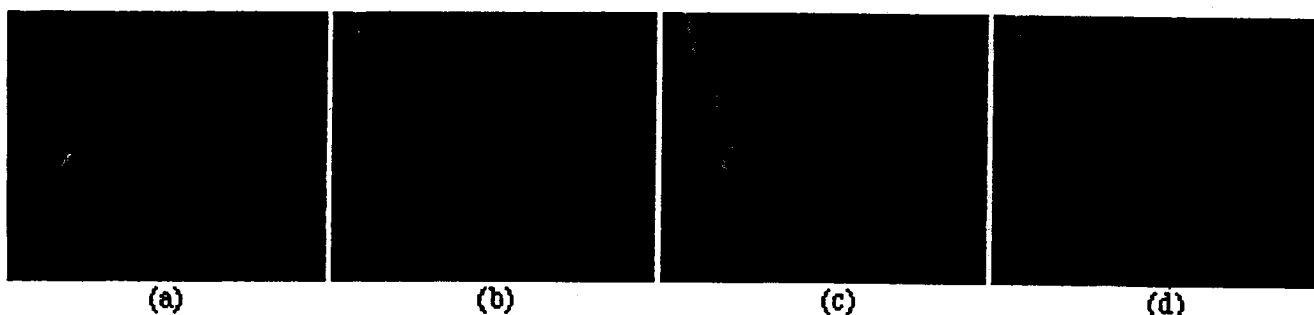


Fig. 5 Mid-sagittal reconstruction using (a) 64 original slices, 162 linearly interpolated, 226 total. (b-d) 15 of 64 original slices, 211 interpolated, 226 total using (b) SBIG (c) linear (d) cubic spline.

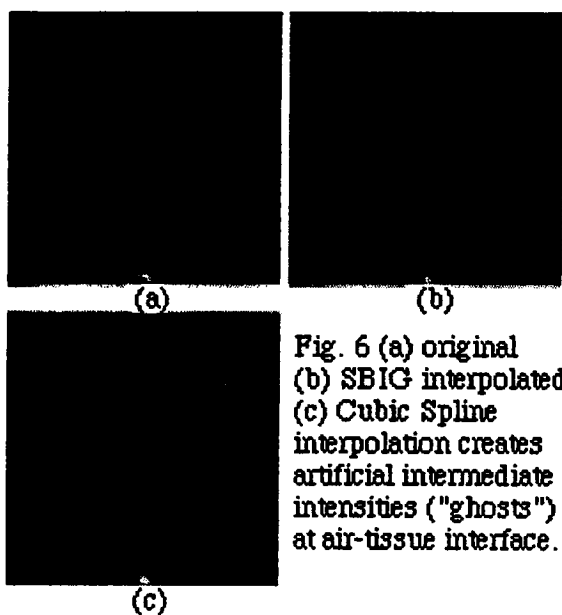


Fig. 6 (a) original (b) SBIG interpolated (c) Cubic Spline interpolation creates artificial intermediate intensities ("ghosts") at air-tissue interface.

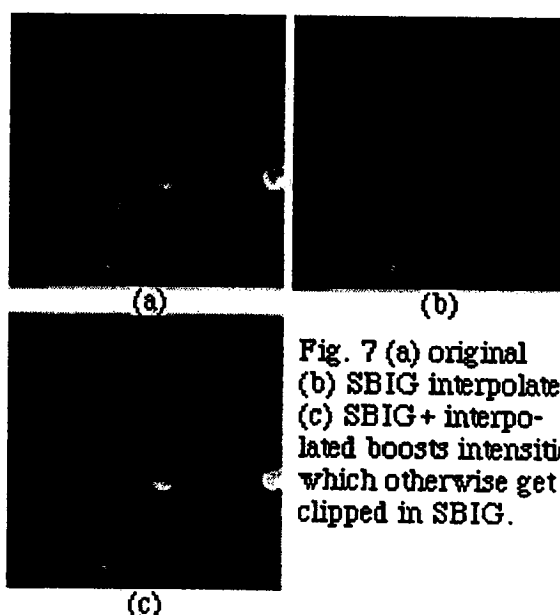


Fig. 7 (a) original (b) SBIG interpolated (c) SBIG + interpolated boosts intensities which otherwise get clipped in SBIG.