

**Exercise 1** 30%

Recall the (Minimum) Vertex Cover and (Maximum) Independent Set problems. For the Vertex Cover problem, given a graph  $G = (V, E)$ , the goal is to find a smallest subset  $S \subseteq V$  such that for any edge  $(u, v) \in E$ , either  $u \in S$  or  $v \in S$ . For the Independent Set problem, given a graph  $G = (V, E)$ , the goal is to find a largest subset  $I \subseteq V$  such that there is no edge between any two vertices in  $I$ .

A simple observation is: if  $S^*$  is the *minimum* vertex cover in  $G$ , then  $V \setminus S^*$  is *maximum* independent set in  $G$ .

Suppose you have given a 2-approximation algorithm for Vertex Cover. Consider the following approximation algorithm for Independent Set problem: Given a graph  $G = (V, E)$ , use the 2-approximation algorithm for Vertex Cover on  $G$  to get a vertex cover  $S$  and output  $V \setminus S$ .

- Give an upper bound on the approximation ratio of the above algorithm for the Independent Set problem. (The smaller your bound is, the better.)

## Solution for Exercise 1:

First of all, we know that:

$$|S^*| + |IS^*| = |V|.$$

notation:

$$\begin{cases} |S^*|: \text{the size of Minimum Vertex Cover.} \\ |IS^*|: \text{the size of Maximum Independent Set.} \\ |V|: \text{the size of set of the whole vertices.} \end{cases}$$

and Besides, According to this algorithm.

$$|S| + |IS| = |V| \text{ also holds,}$$

where  $|S|$  and  $|IS|$  are the size of its outputted Vertex cover and Independent set, correspondingly.

Let us denote the approximation-ratio of this Algorithm, for Max Independent Set problem is " $r$ ". ( $0 < r < 1$ )

Apparently,

$$|IS| \geq r \cdot |IS^*|, \text{ always hold.}$$

( $IS^*$  is the oracle optimal solution for MIS, while  $IS$  is this algorithm's output).

$$\Rightarrow r \leq \frac{|IS|}{|IS^*|}, \text{ always hold.}$$

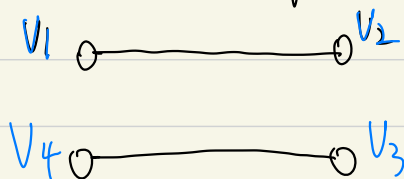
$$\Rightarrow r \leq \frac{|IS|}{|IS^*|} = \frac{|V| - |S|}{|V| - |S^*|}, \text{ always hold. } \textcircled{1}$$

meanwhile, according to "This Algorithm is a 2-approximation algorithm for Minimum Vertex Cover"

$$\Rightarrow |S| \leq 2 \cdot |S^*|, \text{ always, hold.}$$

Take the Algorithm on the slides as an example, ("GREEDY-VC" in slides 8)

as for the below example:



the greedy VC will output  $S = \{v_1, v_2, v_3, v_4\} = V$ .

$$\therefore \text{ according to } \textcircled{1}: r \leq \frac{|V| - |S|}{|V| - |S^*|} = \frac{0}{2} = 0.$$

$\Rightarrow$  the upperbound of approx. ratio for MIS problem of such a algorithm = 0.

### Exercise 2 30%

Consider the LCR algorithm for the leader election problem on the ring network.

- Give a UID assignment for which  $\Omega(n^2)$  messages are sent.
- Give a UID assignment for which only  $O(n)$  messages are sent.

Solution for Exercise 2:

(1): Give a UID assignment for which  $\Omega(n^2)$  messages are sent.

Solution for (1):

Suppose there are  $n$  different UIDs:

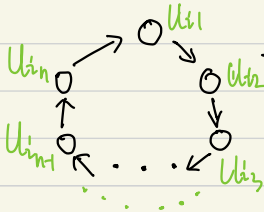
$u_1, u_2, u_3, \dots, u_n$ .

Resort these above  $n$  UIDs according to their value:

$u_{i_1} > u_{i_2} > \dots > u_{i_n}$

Let us Assume that the message will be passed clock-wisely

Then, assign  $u_{i_1}, u_{i_2}, \dots, u_{i_n}$  one-by-one clockwise, will make  $\Omega(n^2)$  messages be sent in total during the execution of LCR algorithm.



Proof for (1):

According to the description of Algorithm LCR,  
in our case, message containing UID:  $u_{i1}$  will be  
passed (sent)  $n$  times,

message containing UID:  $u_{i2}$  will be sent  $(n-1)$  times.

⋮

message containing UID:  $u_{ik}$  ( $1 \leq k \leq n$ ) will be sent  $(n+1-k)$  times

To conclude, This assignment will make  $\sum_{i=1}^n (n+1-i) = \frac{n(n+1)}{2} = \Omega(n^2)$   
messages be sent in total.

(2) Give a UID assignment for which only  $O(n)$  messages  
are sent.

Solution for (2):

Suppose that we have  $n$  different UIDs:

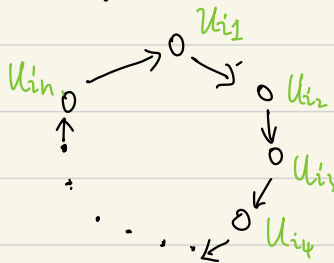
$u_1, u_2, \dots, u_n$

Resort them increasingly:  $u_{i1} < u_{i2} < \dots < u_{in}$ .

Let us still Assume that the message's will be passed  
clockwisely,

Then assign  $u_{i1}, u_{i2}, \dots, u_{in}$  one-by-one clockwisely,  
will make  $O(n)$  messages be passed during the execution.

Of LCR algorithm in Our Case.



Proof of (2): According to the description of Algorithm LCR:

message containing  $u_{i1}$  will be sent only once.

message containing  $u_{i2}$  will be sent only once.

$\vdots$

message containing  $u_{ik}$  will be sent only once ( $1 \leq k \leq n$ )

To conclude, There will be  $\sum_{i=1}^n 1 = n = O(n)$  messages being sent.

$$(1 - \frac{1}{n}) \times \frac{1}{n} \quad \frac{1}{n} \times k$$

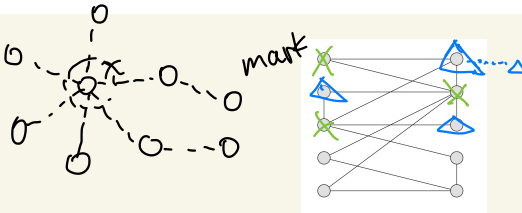
$$(1 - \frac{1}{n^2}) \quad (1 - \frac{k}{n^2})$$

$$(1 - \frac{1}{n^2})$$

### Exercise 3 40%

Consider a regularized variant of Luby's MIS algorithm as follows: The algorithm consists of  $\log \Delta + 1$  phases, each made of  $100 \cdot \log n$  consecutive rounds. Here  $\Delta$  denotes the maximum degree in the graph. Let  $S$  be a set initialized to be empty. In each round of the  $i$ -th phase, each remaining node is marked with probability  $\frac{2^i}{10\Delta}$ . Different nodes are marked independently. Then marked nodes who do not have any marked neighbor are added to  $S$ , and removed from the graph along with their neighbors. If at any time, a node  $v$  becomes isolated and none of its neighbors remain, then  $v$  is also added to  $S$  and is removed from the graph.

- Argue that the set  $S$  at the end of the algorithm is an independent set.
- Prove that with high probability (i.e., with probability at least  $1 - \frac{1}{n^C}$ , for some constant  $C > 1$ ), by the end of the  $i$ -th phase, in the remaining graph each node has degree at most  $\frac{\Delta}{2^i}$ .
- Conclude that the set  $S$  at the end of the algorithm is a maximal independent set, with high probability.



### Solution for Problem 3:

(1): Argue that the set  $S$  at the end of the algorithm is an I.S.

Let us prove this by contradiction,

First, Assume that the set  $S$  is not an independent set.

Then, We further induce that there exist at least 2 nodes

(Let's denote them as ' $v_1$ ' and ' $v_2$ ', correspondingly), so that.

$v_1$  and  $v_2$  are connected in the original graph.

①: if  $v_1$  is added to  $S$ , prior to  $v_2$ , there will be a contradiction, for after  $v_1$  is added to  $S$ , all of the neighbors (containing  $v_2$ ) are supposed to be removed from the current graph, thus making it impossible for  $v_2$  to appear in the  $S$ .

②: if  $v_2$  is added to  $S$ , prior to  $v_1$ , We can similarly induce a contradiction.

$\Rightarrow$  there will always have a contradiction.

$\Rightarrow$  Our initial assumption does not exist!

$\Rightarrow$  There are no 2 nodes in the  $S$ , so that they are directly connected in the original graph (neighbors).

$\Rightarrow S$  is an independent set.

## Solution 2

We attempt to prove it by Mathematical Induction.

that after the  $i$ -th phase, the max-degree of the remaining graph is at most  $\frac{\Delta}{2^i}$ .

1°. Considering that  $i=0$ , Then the max-degree  $\leq \Delta = \frac{\Delta}{2^i}$ .

$\Rightarrow$  The above conclusion holds when  $i=0$

2°. Supposing that when  $i=k$ , the above conclusion holds, which is that: the max-degree  $\leq \frac{\Delta}{2^k}$ , with high prob.  $(1 - \frac{1}{n^2})$ .

Then, we will induce that: the max-degree of the remaining graph after the  $(k+1)$ -phase  $\leq \frac{\Delta}{2^{k+1}}$ , with probability  $(1 - \frac{1}{n^2})$ .

Firstly, Let us assume that after  $(k+1)$ -phase, there exist nodes whose degree  $\geq \frac{\Delta}{2^{k+1}} + 1$ , denote one node in them as  $v$ , denote the set of " $v$ " as  $M$ .

Then, we know: during each round in the  $(k+1)$ -phase,

$$\begin{aligned}
& \Pr[\{\text{one of } v\text{'s neighbors got marked}\}] \\
& \geq 1 - \left(1 - \frac{2^{k+1}}{10\Delta}\right)^{\text{degree}(v)} \\
& \geq 1 - \left(1 - \frac{2^{k+1}}{10\Delta}\right)^{\frac{\Delta}{2^{k+1}}+1} \geq 1 - \left(1 - \frac{2^{k+1}}{10\Delta}\right)^{\frac{\Delta}{2^{k+1}}} \\
& \xrightarrow{\text{def: } \frac{\Delta \cdot 10}{2^{k+1}} = t} 1 - \left(1 - \frac{1}{t}\right)^{\frac{t}{10}} = 1 - \left(\frac{1}{1 + \frac{1}{t-1}}\right)^{\frac{t}{10}} = 1 - \left[\frac{1}{\left(1 + \frac{1}{t-1}\right)^t}\right]^{\frac{1}{10}} \quad (*)
\end{aligned}$$

for,  $e \leq \left(1 + \frac{1}{t-1}\right)^t \leq 4$ , we know that:

$$(*) \geq 1 - e^{-\frac{1}{10}} \geq 1 - 2^{-\frac{1}{10}} \quad ①$$

Then, if one node  $u$  is marked,  
we need to consider the probability that none of  
its neighbor got marked is:

$$\begin{aligned}
& \Pr[\text{none of } u\text{'s neighbor got marked}] \\
& \geq \left(1 - \frac{2^{k+1}}{10\Delta}\right)^{\text{upper-bound}(\text{degree}(u))} \\
& \geq \left(1 - \frac{2^{k+1}}{10\Delta}\right)^{\frac{\Delta}{2^k}} = \left(1 - \frac{2^k}{5\Delta}\right)^{\frac{\Delta}{2^k}} \\
& \xrightarrow{\text{according to the induction-assumption: degree}(u) \leq \frac{\Delta}{2^k}} \left(1 - \frac{1}{t}\right)^{\frac{t}{5}} = \left[\frac{1}{\left(1 + \frac{1}{t-1}\right)^t}\right]^{\frac{1}{5}} \\
& \xrightarrow{\text{def: } \frac{5\Delta}{2^k} = t} \left(\frac{1}{4}\right)^{\frac{1}{5}} = 4^{-\frac{1}{5}} \quad ②
\end{aligned}$$

Conjuncting ① and ②, we can induce that, in each round,

$$\Pr[v \text{ is removed}] \geq ① \cdot ② = (1 - 2^{-\frac{1}{10}}) \cdot 4^{-\frac{1}{5}} \geq \frac{1}{25}.$$



$$\Pr[v \text{ is not removed}] = 1 - \Pr[v \text{ is removed}] \leq 1 - \frac{1}{25}$$

in each phase, there are  $\lceil 100 \cdot \log n \rceil$  rounds in total.

$$\Rightarrow \Pr[v \text{ is not removed, in } (k+1)\text{-th phase}] \\ = (\Pr[v \text{ is not removed}])^{100 \cdot \log n}.$$

$$\leq \left(1 - \frac{1}{25}\right)^{100 \log n} \left(1 - \frac{1}{25}\right)^{25 \cdot 4 \log n}.$$

$$= \left[\left(1 - \frac{1}{25}\right)^{25}\right]^{4 \log n} \leq 2^{-4 \log n} = \frac{1}{n^4} \quad (3)$$

Considering all of the nodes in Set  $M$ .

$$\Pr[\text{any node in } M \text{ is not removed, in } (k+1)\text{-th phase}]$$

$$\leq \frac{1}{n^4} \times n = \frac{1}{n^3}, \Rightarrow \text{with at least } 1 - \frac{1}{n^3}, \text{ the conclusion holds.}$$

$\Rightarrow$  the new "high probability" the conclusion holds.

$$\geq \left(1 - \frac{1}{n^2}\right) \cdot \left(1 - \frac{1}{n^3}\right) = 1 - \frac{1}{n^2} - \frac{1}{n^3} + \frac{1}{n^5} \geq 1 - \frac{1}{n^2}$$

$\}^0$ . Conclude that  $S$  is a maximal-independent-set.  
With high probability.

Solution :

① in the (1)-part: we have proved that.

What we finally get is an independent set.

② in the (2)-part, we can further induce that.

after  $\log(\Delta)+1$  phases, the max-degree of nodes  
in the remaining graph  $\leq \frac{\Delta}{2^i} = \frac{\Delta}{2^{\log \Delta}} = \frac{1}{2} < 1$

$\Rightarrow$  there is no edges in the remaining graph 2.1<sup>0</sup>

Besides, if  $v \notin S$ , we can know that  $\exists u$  s.t.

$v$  and  $u$  were directly connected in the original graph. , 2.2<sup>0</sup>

Take all of the ①, 2.1<sup>0</sup>, 2.2<sup>0</sup> into consideration.

We can know that.  $S$  is a maximal independent set,  
(with a probability of  $(1 - \frac{1}{n^2})$  at least).