Application Layer

- I. Principles of network applications
- Socket programming with UDP and TCP
- 3. Web and HTTP
- 4. P2P applications

Some network apps

- e-mail
- web
- text messaging
- remote login
- P2P file sharing
- multi-user network games
- streaming stored video (YouTube, Hulu, Netflix)

- voice over IP (e.g., Skype)
- real-time video conferencing
- social networking
- search
- • •
- • •

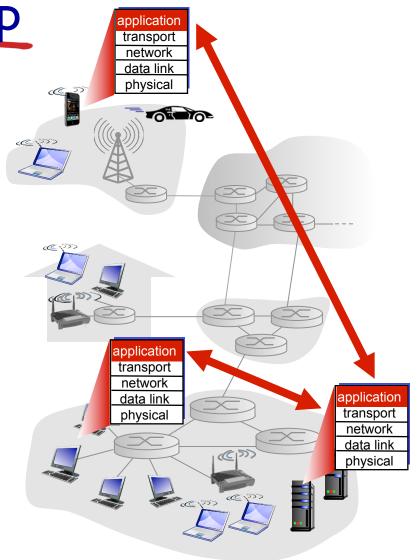
Creating a network app

Write programs that:

- run on (different) end systems
- communicate over network
- e.g., web server software communicates with browser software

No need to write software for network-core devices

- network-core devices do not run user applications
- applications on end systems allows for rapid app development, propagation

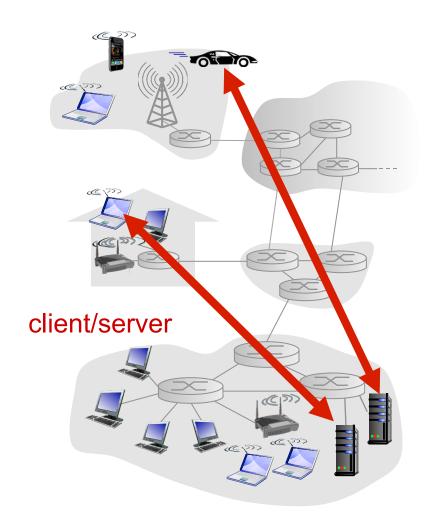


Application architectures

possible structure of applications:

- client-server
- peer-to-peer (P2P)

Client-server architecture



Server:

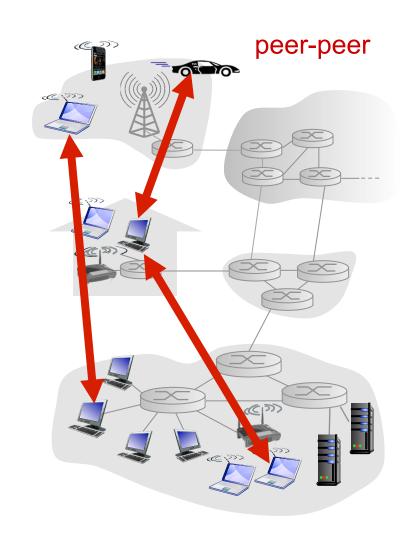
- always-on host
- permanent IP address
- data centers for scaling

Clients:

- communicate with server
- may be intermittently connected
- may have dynamic IP addresses
- do not communicate directly with each other

P2P architecture

- no always-on server
- arbitrary end systems directly communicate
- peers request service from other peers, provide service in return to other peers
 - self scalability new peers bring new service capacity, as well as new service demands
- peers are intermittently connected and change IP addresses
 - complex management



Processes communicating

process: program running within a host

- within same host, two processes communicate using inter-process communication (defined by OS)
- processes in different hosts communicate by exchanging messages

clients, servers

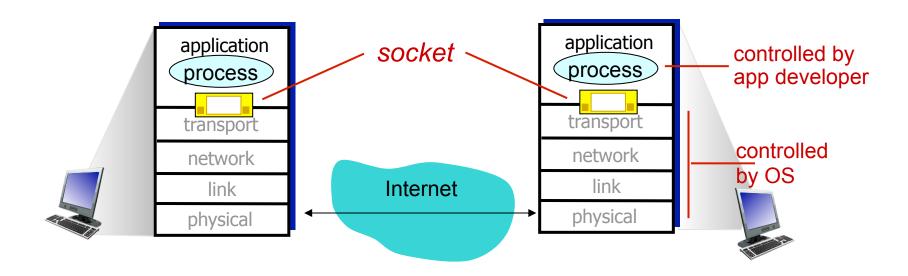
client process: process that initiates communication

server process: process that waits to be contacted

 aside: applications with P2P architectures have client processes & server processes

Sockets

- process sends/receives messages to/from its socket
- socket analogous to door
 - sending process shoves message out door
 - sending process relies on transport infrastructure on other side of door to deliver message to socket at receiving process



Addressing processes

- to receive messages, process must have identifier
- host device has unique 32bit IP address
- Q: does IP address of host on which process runs suffice for identifying the process?
 - A: no, many processes can be running on same host

- identifier includes both IP address and port numbers associated with process on host.
- example port numbers:
 - HTTP server: 80
 - mail server: 25
- to send HTTP message to gaia.cs.umass.edu web server:
 - IP address: 128.119.245.12
 - port number: 80
- more shortly...

App-layer protocol defines

- types of messages exchanged,
 - e.g., request, response
- message syntax:
 - what fields in messages
 & how fields are
 delineated
- message semantics
 - meaning of information in fields
- rules for when and how processes send & respond to messages

open protocols:

- defined in RFCs
- allows for interoperability
- e.g., HTTP, SMTP

proprietary protocols:

e.g., Skype

What transport service does an app need?

data integrity

- some apps (e.g., file transfer, web transactions) require
 100% reliable data transfer
- other apps (e.g., audio) can tolerate some loss

timing

 some apps (e.g., Internet telephony, interactive games) require low delay to be "effective"

throughput

- some apps (e.g., multimedia) require minimum amount of throughput to be "effective"
- other apps ("elastic apps")
 make use of whatever
 throughput they get

security

encryption, data integrity,

Transport service requirements: common apps

application	data loss	throughput	time sensitive
file transfer	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5kbps-1Mbps	yes, 100's
		video:10kbps-5Mbps	s msec
stored audio/video	loss-tolerant	same as above	yes, few secs
interactive games	loss-tolerant	few kbps up	yes, 100's msec
text messaging	no loss	elastic	yes and no

Internet transport protocols services

TCP service:

- reliable transport between sending and receiving process
- flow control: sender won't overwhelm receiver
- congestion control: throttle sender when network overloaded
- does not provide: timing, minimum throughput guarantee, security
- connection-oriented: setup required between client and server processes

UDP service:

- unreliable data transfer between sending and receiving process
- does not provide: reliability, flow control, congestion control, timing, throughput guarantee, security, or connection setup,

Q: why bother? Why is there a UDP?

Internet apps: application, transport protocols

application	application layer protocol	underlying transport protocol
e-mail	SMTP [RFC 2821]	TCP
remote terminal access	Telnet [RFC 854]	TCP
Web	HTTP [RFC 2616]	TCP
file transfer	FTP [RFC 959]	TCP
streaming multimedia	HTTP (e.g., YouTube),	TCP or UDP
_	RTP [RFC 1889]	
Internet telephony	SIP, RTP, proprietary	
	(e.g., Skype)	TCP or UDP

Securing TCP

TCP & UDP

- no encryption
- cleartext passwds sent into socket traverse Internet in cleartext

SSL

- provides encrypted TCP connection
- data integrity
- end-point authentication

SSL is at app layer

apps use SSL libraries, that "talk" to TCP

SSL socket API

cleartext passwords sent into socket traverse Internet encrypted

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Socket programming

<u>Goal:</u> learn how to build client/server application that communicate using sockets

Socket API

- introduced in BSD4.1 UNIX, 1981
- explicitly created, used, released by apps
- client/server paradigm
- two types of transport service via socket API:
 - unreliable datagram
 - reliable, byte streamoriented

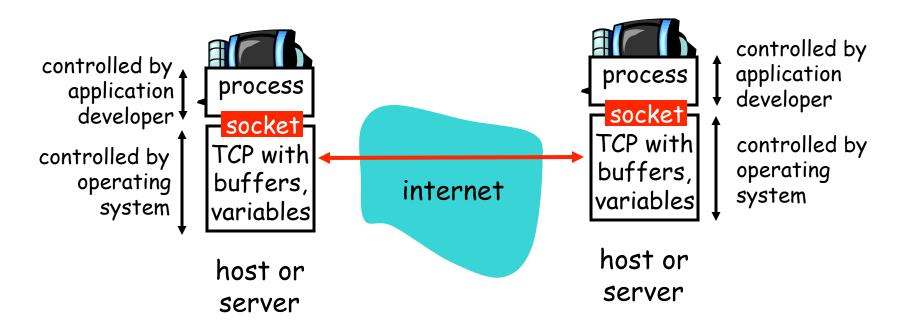
socket

a host-local,
application-created,
OS-controlled interface
(a "door") into which
application process can
both send and
receive messages to/from
another application
process

Socket-programming using TCP

Socket: a door between application process and end-end-transport protocol (UCP or TCP)

TCP service: reliable transfer of bytes from one process to another



Socket programming with TCP

Client must contact server

- server process must first be running
- server must have created socket (door) that welcomes client's contact

Client contacts server by:

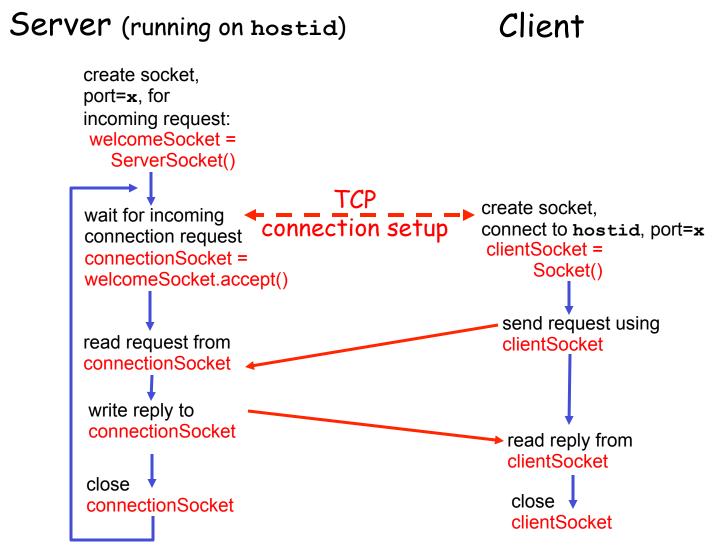
- creating client-local TCP socket
- specifying IP address, port number of server process
- When client creates socket: client TCP establishes connection to server TCP

- When contacted by client, server TCP creates new socket for server process to communicate with client
 - allows server to talk with multiple clients
 - source port numbers used to distinguish clients (more in Chap 3)

application viewpoint

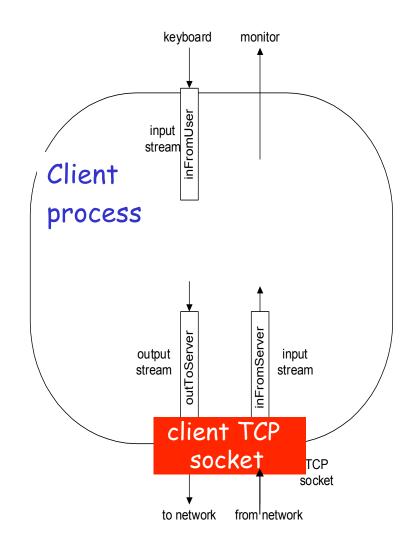
TCP provides reliable, in-order transfer of bytes ("pipe") between client and server

Client/server socket interaction: TCP



Stream jargon

- A stream is a sequence of characters that flow into or out of a process.
- An input stream is attached to some input source for the process, e.g., keyboard or socket.
- An output stream is attached to an output source, e.g., monitor or socket.



Socket programming with TCP

Example client-server app:

- client reads line from standard input (inFromUser stream), sends to server via socket (outToServer stream)
- 2) server reads line from socket
- 3) server converts line to uppercase, sends back to client
- 4) client reads, prints modified line from socket (inFromServer stream)

Example: Java client (TCP)

```
import java.io.*;
                    import java.net.*;
                    class TCPClient {
                       public static void main(String argv[]) throws Exception
                         String sentence;
                         String modifiedSentence;
            Create
                         BufferedReader inFromUser =
      input stream
                          new BufferedReader(new InputStreamReader(System.in));
            Create
     client socket,
                         Socket clientSocket = new Socket("hostname", 6789);
 connect to server_
                         DataOutputStream outToServer =
            Create
                          new DataOutputStream(clientSocket.getOutputStream());
    output stream
attached to socket
```

Example: Java client (TCP), cont.

```
Create BufferedReader inFromServinput stream → new BufferedReader(new
                       BufferedReader inFromServer =
attached to socket _
                         InputStreamReader(clientSocket.getInputStream()));
                        sentence = inFromUser.readLine();
          Send line to server
                        outToServer.writeBytes(sentence + '\n');
          from server_
                        System.out.println("FROM SERVER: " + modifiedSentence);
                        clientSocket.close();
```

Example: Java server (TCP)

```
import java.io.*;
                        import java.net.*;
                        class TCPServer {
                         public static void main(String argv[]) throws Exception
                           String clientSentence;
                           String capitalizedSentence;
            Create
 welcoming socket
                           ServerSocket welcomeSocket = new ServerSocket(6789);
     at port 6789_
                           while(true) {
Wait, on welcoming
socket for contact
                               Socket connectionSocket = welcomeSocket.accept();
           by client
                              BufferedReader inFromClient =
      Create input
                                new BufferedReader(new
 stream, attached
                                InputStreamReader(connectionSocket.getInputStream()));
          to socket
```

Example: Java server (TCP), cont

```
Create output
stream, attached
                         DataOutputStream outToClient =
         to socket
                          new DataOutputStream(connectionSocket.getOutputStream());
     Read in line
                         clientSentence = inFromClient.readLine();
     from socket
                         capitalizedSentence = clientSentence.toUpperCase() + '\n';
   Write out line
                         outToClient.writeBytes(capitalizedSentence);
                               End of while loop,
loop back and wait for
another client connection
```

Socket programming with UDP

UDP: no "connection" between client and server

- no handshaking
- sender explicitly attaches IP address and port of destination to each packet
- server must extract IP address, port of sender from received packet

UDP: transmitted data may be received out of order, or lost

rapplication viewpoint -

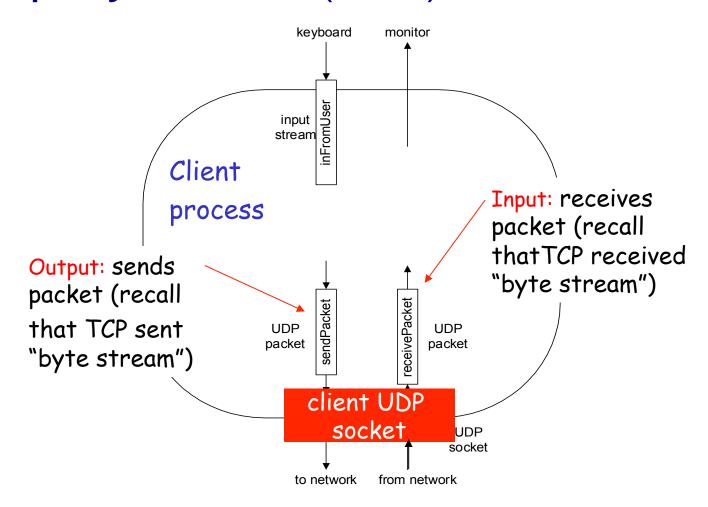
UDP provides <u>unreliable</u> transfer of groups of bytes ("datagrams") between client and server

Client/server socket interaction: UDP

Client Server (running on hostid) create socket, create socket. clientSocket = port = x. DatagramSocket() serverSocket = DatagramSocket() Create datagram with server IP and port=x; send datagram via clientSocket read datagram from serverSocket write reply to serverSocket read datagram from specifying clientSocket client address. port number close

clientSocket

Example: Java client (UDP)



Example: Java client (UDP)

```
import java.io.*;
                      import java.net.*;
                      class UDPClient {
                         public static void main(String args[]) throws Exception
             Create
       input stream
                          BufferedReader inFromUser =
                           new BufferedReader(new InputStreamReader(System.in));
              Create
       client socket
                          DatagramSocket clientSocket = new DatagramSocket();
          Translate T
                          InetAddress IPAddress = InetAddress.getByName("hostname");
    hostname to IP
address using DNS
                          byte[] sendData = new byte[1024];
                          byte[] receiveData = new byte[1024];
                          String sentence = inFromUser.readLine();
                          sendData = sentence.getBytes();
```

Example: Java client (UDP), cont.

```
Create datagram
  with data-to-send,
                        DatagramPacket sendPacket =
length, IP addr, port new DatagramPacket(sendData, sendData.length, IPAddress, 9876);
    Send datagram-
                     clientSocket.send(sendPacket);
          to server
                        DatagramPacket receivePacket =
                          new DatagramPacket(receiveData, receiveData.length);
    Read datagram
                       clientSocket.receive(receivePacket);
       from server
                        String modifiedSentence =
                           new String(receivePacket.getData());
                        System.out.println("FROM SERVER:" + modifiedSentence);
                        clientSocket.close();
```

Example: Java server (UDP)

```
import java.io.*;
                       import java.net.*;
                       class UDPServer {
                        public static void main(String args[]) throws Exception
            Create
 datagram socket
                           DatagramSocket serverSocket = new DatagramSocket(9876);
     at port 9876_
                          byte[] receiveData = new byte[1024];
                          byte[] sendData = new byte[1024];
                          while(true)
 Create space for
                             DatagramPacket receivePacket =
received datagram
                               new DatagramPacket(receiveData, receiveData.length);
            Receive
                             serverSocket.receive(receivePacket);
           datagram
```

Example: Java server (UDP), cont

```
String sentence = new String(receivePacket.getData());
       Get IP addr
port #, of
                         InetAddress IPAddress = receivePacket.getAddress();
                        int port = receivePacket.getPort();
                         String capitalizedSentence = sentence.toUpperCase();
                         sendData = capitalizedSentence.getBytes();
Create datagram
                        DatagramPacket sendPacket =
to send to client
                           new DatagramPacket(sendData, sendData.length, IPAddress,
                                       port);
        Write out
        datagram
                         serverSocket.send(sendPacket);
        to socke
                                  End of while loop,
loop back and wait for
another datagram
```

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Web and HTTP

First, a review...

- web page consists of objects
- object can be HTML file, JPEG image, Java applet, audio file,...
- web page consists of base HTML-file which includes several referenced objects
- each object is addressable by a URL, e.g.,

www.someschool.edu/someDept/pic.gif

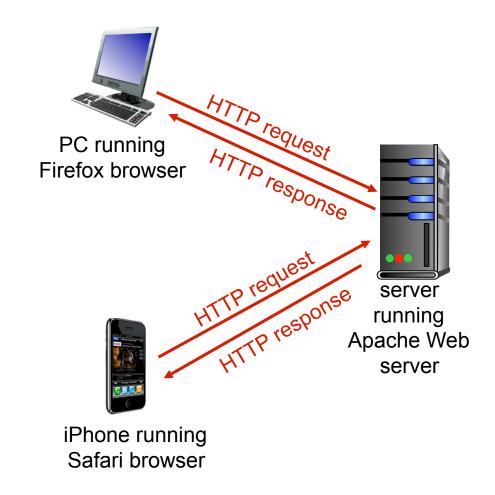
host name

path name

HTTP overview

HTTP: hypertext transfer protocol

- Web's application layer protocol
- client/server model
 - client: browser that requests, receives, (using HTTP protocol) and "displays" Web objects
 - server: Web server sends (using HTTP protocol) objects in response to requests



HTTP overview (continued)

uses TCP:

- client initiates TCP connection (creates socket) to server, port 80
- server accepts TCP connection from client
- HTTP messages

 (application-layer protocol messages) exchanged
 between browser (HTTP client) and Web server
 (HTTP server)
- TCP connection closed

HTTP is "stateless"

server maintains no information about past client requests

aside

protocols that maintain "state" are complex!

- past history (state) must be maintained
- if server/client crashes, their views of "state" may be inconsistent, must be reconciled

HTTP connections

non-persistent HTTP

- at most one object sent over TCP connection
 - connection then closed
- downloading multiple objects required multiple connections

persistent HTTP

 multiple objects can be sent over single TCP connection between client, server

Non-persistent HTTP

suppose user enters URL:

www.someSchool.edu/someDepartment/home.index

(contains text, references to 10 jpeg images)

- Ia. HTTP client initiates TCP connection to HTTP server (process) at www.someSchool.edu on port 80
- 2. HTTP client sends HTTP request message (containing URL) into TCP connection socket.

 Message indicates that client wants object someDepartment/home.index
- Ib. HTTP server at host
 www.someSchool.edu waiting
 for TCP connection at port 80.
 "accepts" connection, notifying client
- 3. HTTP server receives request message, forms response message containing requested object, and sends message into its socket

Non-persistent HTTP (cont.)



5. HTTP client receives response message containing html file, displays html. Parsing html file, finds 10 referenced jpeg objects

4. HTTP server closes TCP connection.



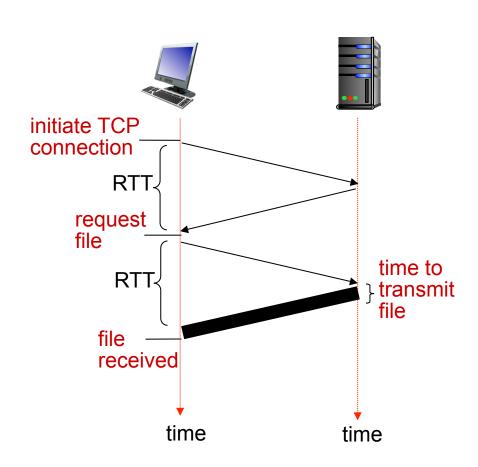
6. Steps 1-5 repeated for each of 10 jpeg objects

Non-persistent HTTP: response time

RTT (definition): time for a small packet to travel from client to server and back

HTTP response time:

- one RTT to initiate TCP connection
- one RTT for HTTP request and first few bytes of HTTP response to return
- file transmission time
- non-persistent HTTP
 response time =
 2RTT+ file transmission
 time



Persistent HTTP

non-persistent HTTP issues:

- requires 2 RTTs per object
- OS overhead for each TCP connection
- browsers often open parallel TCP connections to fetch referenced objects

persistent HTTP:

- server leaves connection open after sending response
- subsequent HTTP
 messages between same
 client/server sent over
 open connection
- client sends requests as soon as it encounters a referenced object
- as little as one RTT for all the referenced objects

HTTP request message

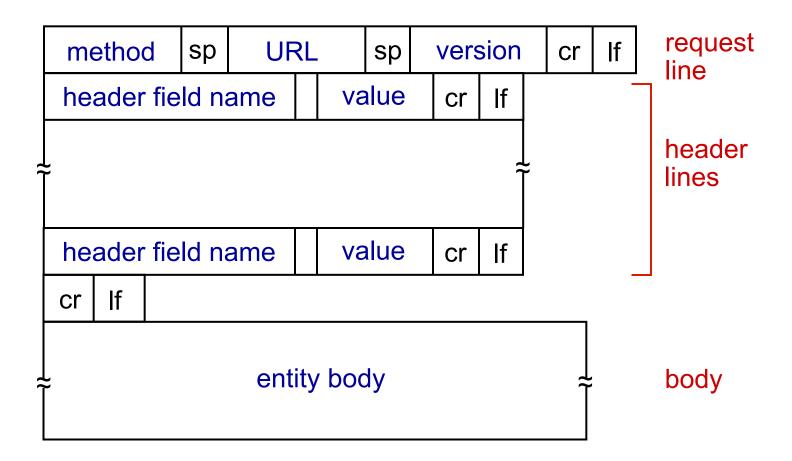
- two types of HTTP messages: request, response
- HTTP request message:
 - ASCII (human-readable format)

```
line-feed character
request line
(GET, POST,
                    GET /index.html HTTP/1.1\r\n
                    Host: www-net.cs.umass.edu\r\n
HEAD commands)
                    User-Agent: Firefox/3.6.10\r\n
                    Accept: text/html,application/xhtml+xml\r\n
           header
                    Accept-Language: en-us,en;q=0.5\r\n
              lines
                    Accept-Encoding: gzip,deflate\r\n
                    Accept-Charset: ISO-8859-1, utf-8; q=0.7\r\n
carriage return,
                    Keep-Alive: 115\r\n
line feed at start
                    Connection: keep-alive\r\n
of line indicates
                    \r\n
end of header lines
```

carriage return character

^{*} Check out the online interactive exercises for more examples: http://gaia.cs.umass.edu/kurose ross/interactive/

HTTP request message: general format



Uploading form input

POST method:

- web page often includes form input
- input is uploaded to server in entity body

URL method:

- uses GET method
- input is uploaded in URL field of request line:

www.somesite.com/animalsearch?monkeys&banana

Method types

HTTP/I.0:

- GET
- POST
- HEAD
 - asks server to leave requested object out of response

HTTP/I.I:

- GET, POST, HEAD
- PUT
 - uploads file in entity body to path specified in URL field
- DELETE
 - deletes file specified in the URL field

HTTP response message

```
status line
(protocol
status code
                HTTP/1.1 200 OK\r\n
                Date: Sun, 26 Sep 2010 20:09:20 GMT\r\n
status phrase)
                Server: Apache/2.0.52 (CentOS) \r\n
                Last-Modified: Tue, 30 Oct 2007 17:00:02 GMT
                  \r\n
                ETag: "17dc6-a5c-bf716880"\r\n
     header
                Accept-Ranges: bytes\r\n
       lines
                Content-Length: 2652\r\n
                Keep-Alive: timeout=10, max=100\r\n
                Connection: Keep-Alive\r\n
                Content-Type: text/html;
                  charset=ISO-8859-1\r\n
data, e.g.,
                \r\n
requested
                data data data data ...
HTML file
```

^{*} Check out the online interactive exercises for more examples: http://gaia.cs.umass.edu/kurose ross/interactive/

HTTP response status codes

- status code appears in 1st line in server-toclient response message.
- some sample codes:

200 OK

request succeeded, requested object later in this msg

301 Moved Permanently

 requested object moved, new location specified later in this msg (Location:)

400 Bad Request

request msg not understood by server

404 Not Found

requested document not found on this server

505 HTTP Version Not Supported

Trying out HTTP (client side) for yourself

I. Telnet to your favorite Web server:

2. type in a GET HTTP request:

```
GET /kurose_ross/interactive/index.php HTTP/1.1

Host: gaia.cs.umass.edu

by typing this in (hit carriage return twice), you send this minimal (but complete)

GET request to HTTP server
```

3. look at response message sent by HTTP server! (or use Wireshark to look at captured HTTP request/response)

User-server state: cookies

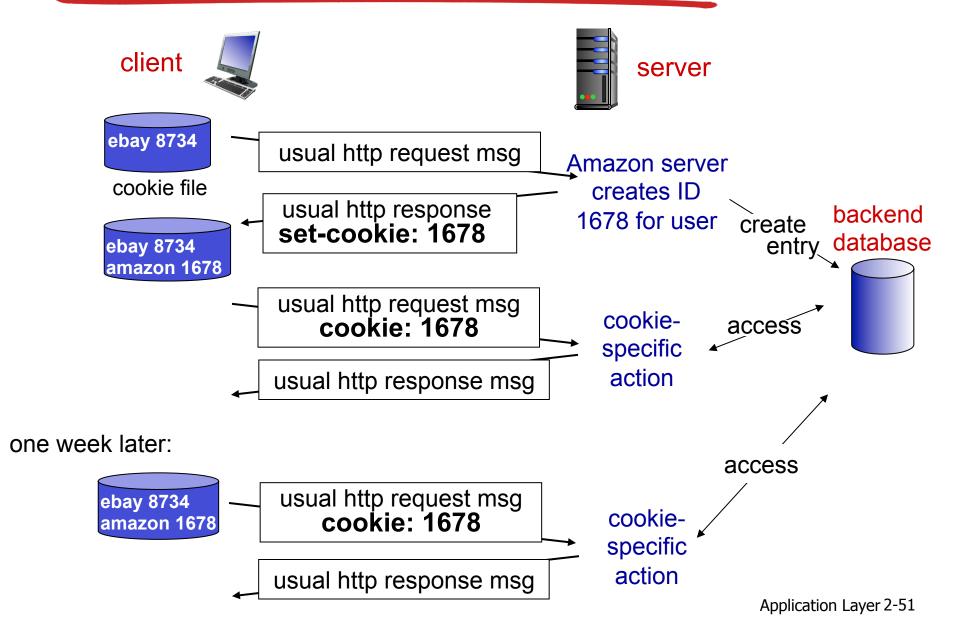
many Web sites use cookies four components:

- I) cookie header line of HTTP response message
- 2) cookie header line in next HTTP request message
- 3) cookie file kept on user's host, managed by user's browser
- 4) back-end database at Web site

example:

- Susan always access Internet from PC
- visits specific e-commerce site for first time
- when initial HTTP requests arrives at site, site creates:
 - unique ID
 - entry in backend database for ID

Cookies: keeping "state" (cont.)



Cookies (continued)

what cookies can be used for:

- authorization
- shopping carts
- recommendations
- user session state (Web e-mail)

aside

cookies and privacy:

- cookies permit sites to learn a lot about you
- you may supply name and e-mail to sites

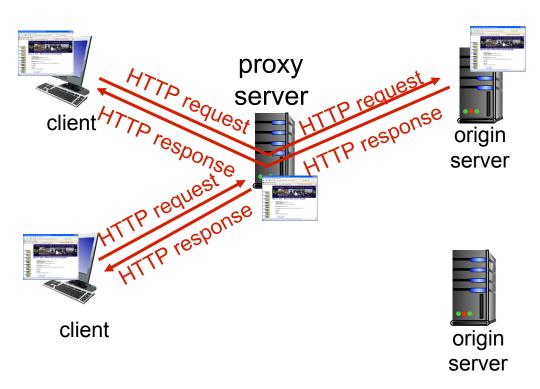
how to keep "state":

- protocol endpoints: maintain state at sender/receiver over multiple transactions
- cookies: http messages carry state

Web caches (proxy server)

goal: satisfy client request without involving origin server

- user sets browser: Web accesses via cache
- browser sends all HTTP requests to cache
 - object in cache: cache returns object
 - else cache requests object from origin server, then returns object to client



More about Web caching

- cache acts as both client and server
 - server for original requesting client
 - client to origin server
- typically cache is installed by ISP (university, company, residential ISP)

why Web caching?

- reduce response time for client request
- reduce traffic on an institution's access link
- Internet dense with caches: enables "poor" content providers to effectively deliver content (so too does P2P file sharing)

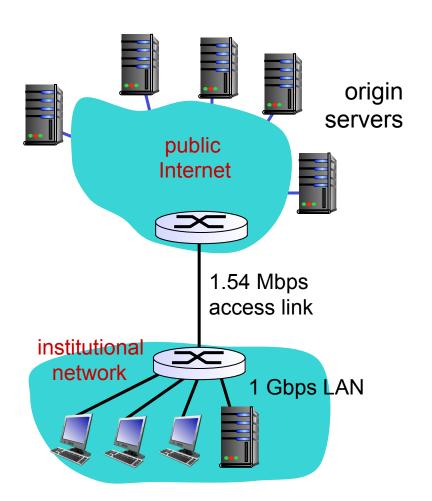
Caching example:

assumptions:

- avg object size: I00K bits
- avg request rate from browsers to origin servers: I 5/sec
- avg data rate to browsers: 1.50 Mbps
- RTT from institutional router to any origin server: 2 sec
- access link rate: 1.54 Mbps

consequences:

- LAN utilization: 15% _problem!
- access link utilization = 99%
- total delay = Internet delay + access delay + LAN delay
 - = 2 sec + minutes + usecs



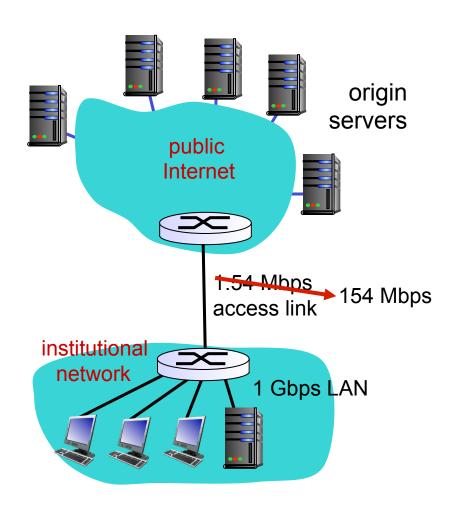
Caching example: fatter access link

assumptions:

- avg object size: I00K bits
- avg request rate from browsers to origin servers: I 5/sec
- avg data rate to browsers: 1.50 Mbps
- RTT from institutional router to any origin server: 2 sec
- access link rate: 1.54 Mbps

consequences:

- LAN utilization: 15%
- access link utilization = 99% 9.9%
- total delay = Internet delay + access delay + LAN delay



Cost: increased access link speed (not cheap!)

Caching example: install local cache

assumptions:

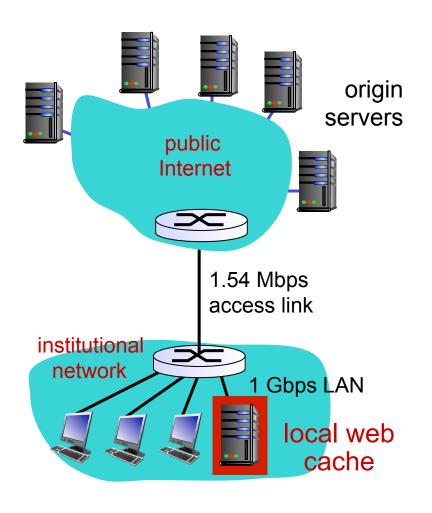
- avg object size: I00K bits
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- avg data rate to browsers: I.50 Mbps
- RTT from institutional router to any origin server: 2 sec
- access link rate: 1.54 Mbps

consequences:

- LAN utilization: 15%
- access link utilization = ?
- total delay = ?

How to compute link utilization, delay?

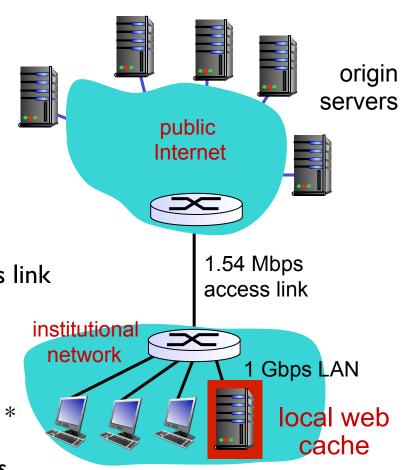
Cost: web cache (cheap!)



Caching example: install local cache

Calculating access link utilization, delay with cache:

- suppose cache hit rate is 0.4
 - 40% requests satisfied at cache,
 60% requests satisfied at origin
- access link utilization:
 - 60% of requests use access link
- data rate to browsers over access link
 - = 0.6*1.50 Mbps = .9 Mbps
 - utilization = 0.9/1.54 = .58
- total delay
 - = 0.6 * (delay from origin servers) +0.4 * (delay when satisfied at cache)
 - \bullet = 0.6 (2.01) + 0.4 (~msecs) = ~ 1.2 secs
 - less than with 154 Mbps link (and cheaper too!)



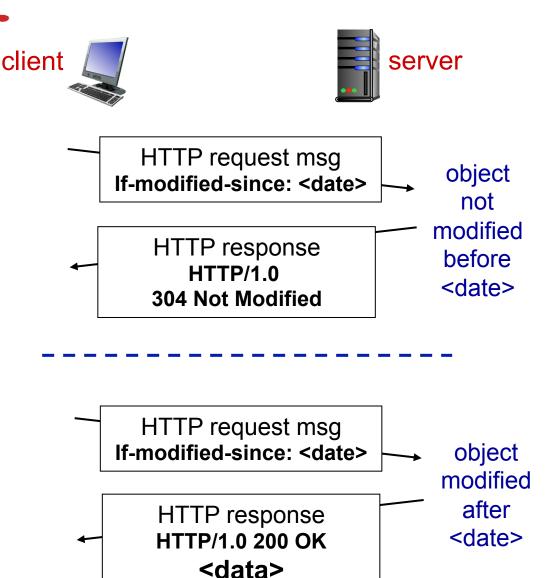
Conditional GET

- Goal: don't send object if cache has up-to-date cached version
 - no object transmission delay
 - lower link utilization
- cache: specify date of cached copy in HTTP request

If-modified-since:
 <date>

 server: response contains no object if cached copy is up-to-date:

HTTP/1.0 304 Not Modified



Application Layer

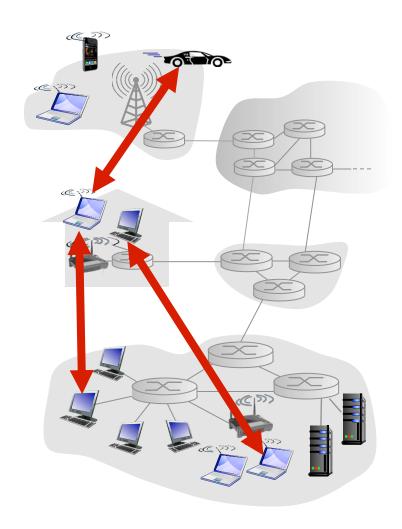
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Pure P2P architecture

- no always-on server
- arbitrary end systems directly communicate
- peers are intermittently connected and change
 IP addresses

examples:

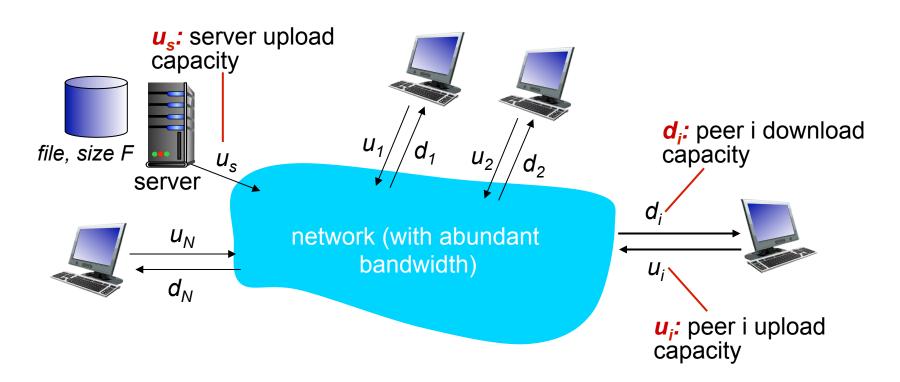
- file distribution (BitTorrent)
- Streaming (KanKan)
- VoIP (Skype)



File distribution: client-server vs P2P

Question: how much time to distribute file (size F) from one server to N peers?

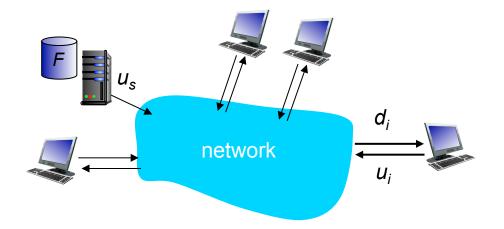
peer upload/download capacity is limited resource



File distribution time: client-server

- server transmission: must sequentially send (upload) N file copies:
 - time to send one copy: F/u_s
 - time to send N copies: NF/u_s
- client: each client must download file copy
 - d_{min} = min client download rate
 - min client download time: F/d_{min}

time to distribute F to N clients using client-server approach

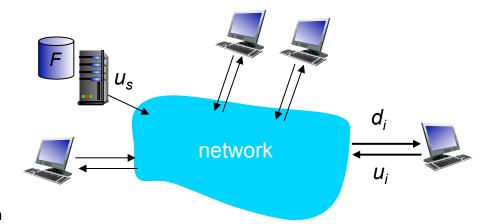


 $D_{c-s} \ge max\{NF/u_{s,},F/d_{min}\}$

increases linearly in N

File distribution time: P2P

- server transmission: must upload at least one copy
 - time to send one copy: F/u_s
- client: each client must download file copy
 - min client download time: F/d_{min}



- clients: as aggregate must download NF bits
 - max upload rate (limiting max download rate) is $u_s + \Sigma u_i$

time to distribute F to N clients using P2P approach

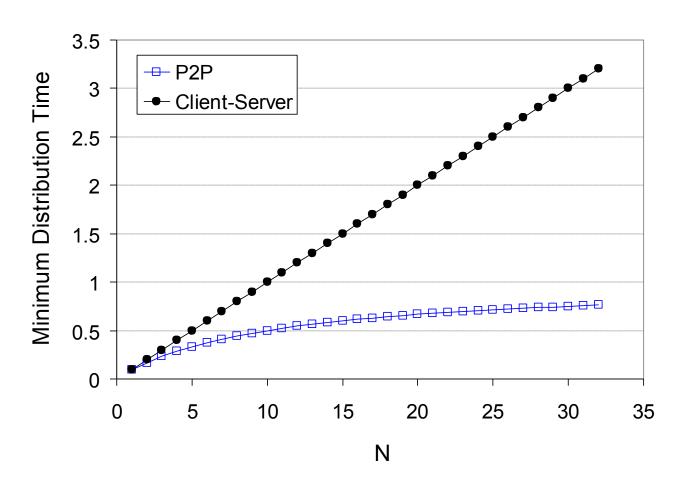
$$D_{P2P} \geq max\{F/u_{s,}, F/d_{min,}, NF/(u_s + \Sigma u_i)\}$$

increases linearly in N ...

... but so does this, as each peer brings service capacity

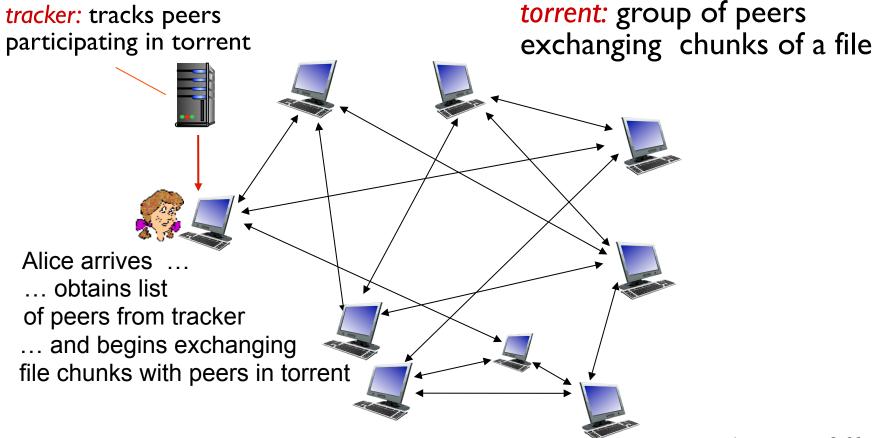
Client-server vs. P2P: example

client upload rate = u, F/u = 1 hour, $u_s = 10u$, $d_{min} \ge u_s$



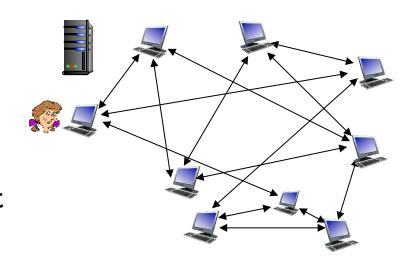
P2P file distribution: BitTorrent

- file divided into 256Kb chunks
- peers in torrent send/receive file chunks



P2P file distribution: BitTorrent

- peer joining torrent:
 - has no chunks, but will accumulate them over time from other peers
 - registers with tracker to get list of peers, connects to subset of peers ("neighbors")



- while downloading, peer uploads chunks to other peers
- peer may change peers with whom it exchanges chunks
- churn: peers may come and go
- once peer has entire file, it may (selfishly) leave or (altruistically) remain in torrent

BitTorrent: requesting, sending file chunks

requesting chunks:

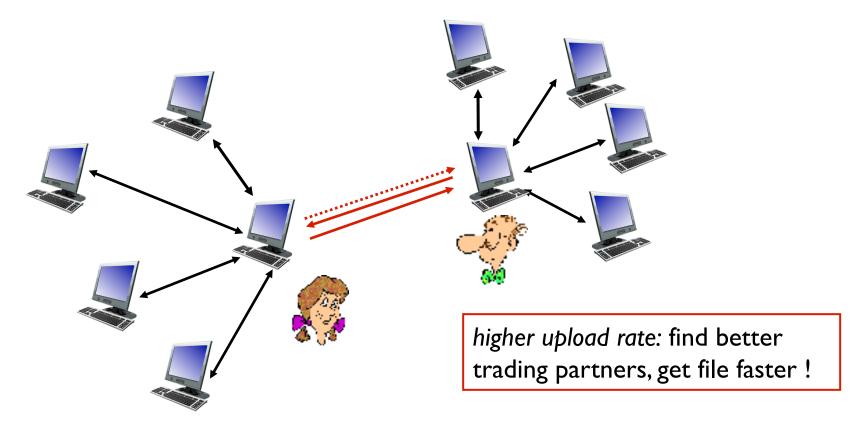
- at any given time, different peers have different subsets of file chunks
- periodically, Alice asks each peer for list of chunks that they have
- Alice requests missing chunks from peers, rarest first

sending chunks: tit-for-tat

- Alice sends chunks to those four peers currently sending her chunks at highest rate
 - other peers are choked by Alice (do not receive chunks from her)
 - re-evaluate top 4 every 10 secs
- every 30 secs: randomly select another peer, starts sending chunks
 - "optimistically unchoke" this peer
 - newly chosen peer may join top 4

BitTorrent: tit-for-tat

- (I) Alice "optimistically unchokes" Bob
- (2) Alice becomes one of Bob's top-four providers; Bob reciprocates
- (3) Bob becomes one of Alice's top-four providers



Summary

- application architectures
 - client-server
 - P2P
- application service requirements:
 - reliability, bandwidth, delay
- Internet transport service model
 - connection-oriented, reliable: TCP
 - unreliable, datagrams: UDP

- socket programming:TCP, UDP sockets
- specific protocol:
 - HTTP, BitTorrent

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