## INTRODUCTION TO CATEGORY THEORY

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ABSTRACT. Category Theory: Remain calm and carry on when all the mathematics you've ever known and loved gets abstracted away into dots and arrows.

## 0. MATH 697 Homework Zero

Exercise. (DF §10.2 Theorem 4): Prove Theorem 4 (Isomorphism Theorems):

(1) (The First Isomorphism Theorem for Modules) Let M, N be R-modules and let  $\varphi : M \to N$  be an R-modules homomorphism. Then  $\ker \varphi$  is a submodule of M and  $M/\ker \varphi \cong \varphi(M)$ .

*Proof.* Let M,N be R-modules and let  $\varphi:M\to N$  be an R-modules homomorphism. Then by definition  $\varphi(x+y)=\varphi(x)+\varphi(y)$  and  $\varphi(rx)=r\varphi(x)$  for all  $x,y\in M,\,r\in R$ . We want to show that  $\ker\varphi=\{m\in M:\varphi(m)=0\}$  is a submodule. Observe that since M is a module then M is an abelian group by definition so there exists  $0\in M$  such that m+0=m for all  $m\in M$ . In particular  $\varphi(0)=\varphi(0+0)=\varphi(0)+\varphi(0)$  implying  $\varphi(0)=0$ . Conclude that  $0\in\ker\varphi\neq\emptyset$ . Now let  $r\in R,\,x,y\in\ker\varphi$ . Observe that  $\varphi(x+ry)=\varphi(x)+\varphi(ry)=\varphi(x)+r\varphi(y)=0+r\cdot 0=0+0=0$ . Hence  $x+ry\in\ker\varphi$ . Conclude by the submodule criterion that  $\ker\varphi$  is in fact a submodule.

Now define  $\Phi: M/\ker \varphi \to \varphi(M)$  by  $\Phi(m + \ker \varphi) = \varphi(m)$ . We want to show that this mapping is a well-defined bijective homomorphism. We first show well-definedness. Suppose  $m + \ker \varphi = m' + \ker \varphi$  it follows by property of cosets that  $m - m' \in \ker \varphi$ , in particular  $\varphi(m - m') = \varphi(m) - \varphi(m') = 0$  and hence  $\varphi(m) = \varphi(m')$ . But since  $\varphi(m) = \Phi(m + \ker \varphi)$  and  $\varphi(m') = \Phi(m' + \ker \varphi)$  we have  $\Phi(m + \ker \varphi) = \Phi(m' + \ker \varphi)$ . Conclude that  $\Phi$  is in fact well-defined.

Suppose that  $\Phi(m + \ker \varphi) = \Phi(m' + \ker \varphi)$ . Then it follows that  $\varphi(m) = \varphi(m')$  and so  $\varphi(m - m') = 0$  and so  $m - m' \in \ker \varphi$ . By property of cosets it follows that  $m + \ker \varphi = m' + \ker \varphi$  and hence  $\Phi$  is injective.

Let  $n \in \varphi(M)$ . Then by definition of image of  $\varphi$  there exists  $m \in M$  such that  $n = \varphi(m)$ . It is immediate that  $m + \ker \varphi \in M/\ker \varphi$  and we can conclude that  $\Phi$  is surjective.

Now we must show that  $\Phi$  is an R-module homomorphism. Let  $x,y\in M/\ker\varphi$  where  $x=m+\ker\varphi$  and  $y=m'+\ker\varphi$  for some  $m,m'\in M$  and let  $r\in R$ . Observe that

$$\Phi(x+y) = \Phi(m+m'+\ker\varphi)$$

$$= \varphi(m+m')$$

$$= \varphi(m) + \varphi(m')$$

$$= \Phi(m+\ker\varphi) + \Phi(m'+\ker\varphi)$$

$$= \Phi(x) + \Phi(y)$$

and

$$\Phi(rx) = \Phi(r(m + \ker \varphi))$$

$$= \Phi(rm + \ker \varphi)$$

$$= \varphi(rm)$$

$$= r\varphi(m)$$

$$= r\Phi(m + \ker \varphi)$$

$$= r\Phi(x)$$

Hence we have shown that  $\Phi$  is a well-defined bijective homomorphism and thus we can conclude by definition of R-module isomorphism that  $M/\ker\varphi\cong\varphi(M)$ .

(2) (The Second Isomorphism Theorem) Let A, B be submodules of the R-module M. Then  $(A+B)/B \cong A/(A \cap B)$ .

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*Proof.* Define  $\varphi: A \to (A+B)/B$  by  $\varphi(a) = a+B$ . This mapping is clearly well-defined. We want to show that  $\varphi$  is a homomorphism. Let  $r \in R$ ,  $a, a' \in A$  and observe that

$$\varphi(a + a') = a + a' + B$$
$$= a + B + a' + B$$
$$= \varphi(a) + \varphi(a')$$

and

$$\varphi(ra) = ra + B$$

$$= r(a + B)$$

$$= r\varphi(a)$$

and so  $\varphi$  is an R-module homomorphism by definition. Observe that  $\ker \varphi = \{a \in A : \varphi(a) = 0\} = \{a \in A : a + B = 0\} = \{a \in A : a \in B\} = A \cap B$ . Now let  $x \in (A+B)/B$  then x = a+b+B for some  $a \in A$ ,  $b \in B$ . But observe that a+b+B=a+B by absorbption. So  $\varphi$  is immediately surjective. In particular we have  $\varphi(A) = (A+B)/B$ . Conclude by the First Isomorphism Theorem for Modules that  $A/\ker \varphi = A/(A\cap B) \cong (A+B)/B = \varphi(A)$ .

(3) (The Third Isomorphism Theorem) Let M be an R-module, and let A and B be submodules of M with  $A \subseteq B$ . Then  $(M/A)/(B/A) \cong M/B$ .

*Proof.* Define  $\varphi M/A \to M/B$  by  $\varphi(m+A) = m+B$ . We need to show  $\varphi$  is well-defined. Suppose m+A=m'+A then  $m-m' \in A \subseteq B$  by property of cosets. It also follows that m+B=m'+B. Hence  $\varphi(m+A)=m+B=m'+B=\varphi(m'+A)$  and hence  $\varphi$  is well-defined.

Now we must show  $\varphi$  is an R-module homomorphism. Let  $m, m' \in M$  and  $r \in R$ . Observe that

$$\varphi((m+A) + (m'+a)) = \varphi(m+m'+A)$$

$$= m + m' + B$$

$$= (m+B) + (m'+B)$$

$$= \varphi(m+A) + \varphi(m'+A)$$

and

$$\varphi(r(m+A)) = \varphi(rm+A)$$

$$= rm + B$$

$$= r(m+A)$$

$$= r\varphi(m+A)$$

and hence we can conclude by definition that  $\varphi$  is an R-module homomorphism.

Observe that  $\ker \varphi = \{x \in M/A : \varphi(x) = 0\} = \{m + A^* \varphi(m + A) = m + B = 0\} = \{m + A : m \in B\} = B/A$ . Let  $m + B \in M/B$ . Clearly  $\varphi(m + A) = m + B$  and hence  $\varphi$  is surjective. Now by the First Isomorphism Theorem for Modules we have  $(M/A)/\ker \varphi = (M/A)/(B/A) \cong M/B = \varphi(M/A)$ .

(4) (The Fourth or Lattice Isomorphism Theorem) Let N be a submodule of the R-module M. There is a bijection between the submodules of M which contain N and the submodules of M/N. The correspondence is given by  $A \leftrightarrow A/N$ , for all  $A \supseteq N$ . The correspondence cummutes with the processes of taking sums and intersections (i.e., is a lattice isomorphism between the lattice of submodules of M/N and the lattice of submodules of M which contain N).

*Proof.* Let N be a submodule of M. Define  $S = \{K : K \text{ is a submodule of } M, N \subseteq K\}$ ,  $T = \{L : L \text{ is a submodule of } M/N\}$ . Define  $\varphi : S \to T$  by  $\varphi(K) = K/N$ . We want to show that this mapping is bijective.

Let  $K_1, K_2 \in S$  and suppose that  $\varphi(K_1) = \varphi(K_2)$ . Then  $K_1/N = K_2/N$ . We want to show that  $K_1 = K_2$ . Let  $x \in K_1$ , then  $x + N \in K_1/N = K_2/N$ , in particular there exists  $y \in K_2$  such that x + N = y + N. By property of cosets it follows that  $x - y \in N$ . But since  $N \subseteq K_2$  by construction  $x - y \in K_2$ . Since  $K_2$  is a submodule of M, it is closed under addition and so  $(x - y) + y = x \in K_2$ . Conclude that  $K_1 \subseteq K_2$ . By symmetric argument  $K_2 \subseteq K_1$  and hence  $K_1 = K_2$ . Thus by definition  $\varphi$  is injective.

Let L be a submodule of M/N. Consider the natural projection map  $\pi: M \to M/N$  defined by  $\pi(m) = m + N$ . We want to show that there exists  $K \in S$  such that  $\varphi(K) = L$ . To do this we will show that  $\pi^{-1}(L)$  is a submodule of M and that  $N \subseteq \pi^{-1}(L)$ . Recall that  $\pi^{-1}(L) = \{m \in M : \pi(m) \in L\}$ . Observe that  $0 \in \pi^{-1}(L)$  since  $\pi(0) = 0$  and hence  $\pi^{-1}(L) \neq \emptyset$ . Let  $x, y \in \pi^{-1}(L)$  and  $r \in R$ . Observe that  $\pi(x + ry) = \pi(x) + r\pi(y)$ . Since  $\pi(y) \in L$  by definition and L is a submodule of M/N, it follows that since scalar multiplication is closed  $r\pi(y) \in L$ . Thus it follows that  $\pi(x) + r\pi(y) \in L$  and hence  $x + ry \in \pi^{-1}(L)$ . Thus by the submodule criterion we can conclude that  $\pi^{-1}(L)$  is in fact a submodule. Now let  $n \in N$  and observe that  $\pi(n) = n + N = 0 + N \in L$  so by definition it follows that  $n \in \pi^{-1}(L)$ . Conclude that  $N \subseteq \pi^{-1}(L)$  and hence  $\varphi$  is surjective.

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Conclude  $\varphi$  is bijective and result follows.

Exercise. (DF  $\S10.2$  Exercise 1): Use the submodule criterion to show that the kernels and images of R-module homomorphisms are submodules.

*Proof.* Let M, N be R-modules and  $\varphi: M \to N$  an R-module homomorphism. Recall that  $\ker \varphi = \{m \in M : \varphi(m) = 0\}$  and  $\operatorname{im} \varphi = \{n \in N : \text{there exists } m \in M \text{ with } \varphi(m) = n\}.$ 

Observe that  $\varphi(0) = 0$  so  $0 \in \ker \varphi \neq \emptyset$ . Let  $m, m' \in M$ ,  $r \in R$ . Now  $\varphi(m + rm') = \varphi(m) + r\varphi(m') = 0 + r \cdot 0 = 0 + 0 = 0$ . So  $m + rm' \in \ker \varphi$ . Thus by the submodule criterion  $\ker \varphi$  is a submodule.

Observe that  $\varphi(0) = 0 \in N$  so  $0 \in \operatorname{im} \varphi \neq \emptyset$ . Let  $n, n' \in N$ ,  $r \in R$ . Then there exists  $m, m' \in M$  such that  $\varphi(m) = n$  and  $\varphi(m') = n'$ . Now consider n + rn'.  $\varphi(m + rm') = \varphi(m) + r\varphi(m') = n + rn'$  so  $n + rn' \in \operatorname{im} \varphi$ . Conclude by submodule criterion that  $\operatorname{im} \varphi$  is in fact a submodule.

**Exercise.** (DF  $\S 10.2$  Exercise 2): Show that the relation "is R-module isomorphic to" is an equivalence relation on any set of R-modules.

*Proof.* Let X be a set of R-modules.

- Let  $M \in X$ . Observe that M is isomorphic to M trivially. So relation is reflexive.
- Let  $M, N \in X$ . Suppose M is isomorphic to N then by definition there exists  $\varphi : M \to N$  that is bijective. Immediately we have  $\varphi^{-1} : N \to M$  which is also bijective so N is isomorphic to M. By definition the relation is symmetric.
- Let  $L, M, N \in X$ . Suppose L is isomorphic to M, then by definition there exists  $\varphi : L \to M$  a bijective R-module homomorphism. Suppose M is isomorphic to N, then there exists  $\Phi : M \to N$  a bijective R-module homomorphism. Observe that  $\varphi \circ \Phi : L \to N$  is again a bijective R-module homomorphism by property of composition of mappings. Hence by definition L is isomorphic to N.

Conclude that the relation "is R-module isomorphic to" is an equivalence relation on any set of R-modules.

Exercise. (DF  $\S10.2$  Exercise 3): Give an explicit example of a map from one R-module to another which is a group homomorphism but not an R-module homomorphism.

Solution. Consider the Quaternions  $\mathbb{H}=R$ ; they form a commutative group under addition and a noncommutative group under multiplication. Hence  $\mathbb{H}$  is a noncommutative ring with unity. In particular  $\mathbb{H}$  is an R-module over itself. Define  $\varphi:\mathbb{H}\to\mathbb{H}$  by  $\varphi(h)=ih$ . This is a group homomorphism since  $\varphi(h+h')=i(h+h')=ih+ih'=\varphi(h)+\varphi(h')$ . But note that  $\varphi(j\cdot 1)=\varphi(j)=ij=k\neq -k=ji=j(i\cdot 1)=j\varphi(1)$ . Conclude that  $\varphi$  is not an R-module homomorphism since the definition is not satisfied.

**Exercise.** (DF §10.2 Exercise 4): Let A be and  $\mathbb{Z}$ -module, let a be any element of A and let n be a positive integer. Prove that the map  $\varphi_a: \mathbb{Z}/n\mathbb{Z} \to A$  given by  $\varphi(\overline{k}) = ka$  is a well-defined  $\mathbb{Z}$ -module homomorphism if and only if na = 0. Prove that  $\operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}/n\mathbb{Z}, A) \cong A_n$ , where  $A_n = \{a \in A : na = 0\}$  (So  $A_n$  is the annihilator in A of the ideal (n) of  $\mathbb{Z}$ ).

Proof. Suppose that the map  $\varphi_a: \mathbb{Z}/n\mathbb{Z} \to A$  given by  $\varphi(\overline{k}) = ka$  is a well-defined  $\mathbb{Z}$ -module homomorphism. Then by definition if  $\overline{m} = \overline{k}$  then  $\varphi(\overline{m}) = \varphi(\overline{k})$  or equivalently ma = ka. Moreover  $\varphi(a+b) = \varphi(a) + \varphi(b)$  and  $\varphi(ra) = r\varphi(a)$  for all  $a, b \in A$  and  $r \in \mathbb{Z}$ . Observe that  $\overline{0} = \overline{k}$  so by hypothesis  $\varphi(\overline{0}) = \varphi(\overline{k})$  but observe that  $\varphi(\overline{0}) = 0 \cdot a = 0$  and  $\varphi(\overline{k}) = ka$ . Hence by equality ka = 0. Conversely suppose that na = 0. We want to show that  $\varphi: \mathbb{Z}/n\mathbb{Z} \to A$  defined by  $\varphi(\overline{k}) = ka$  is a well-defined R-module homomorphism. Say  $\overline{k} = \overline{m}$  then by property of cosets  $k - m \in \mathbb{Z}/n\mathbb{Z}$  and so  $n \cong k - m$ . By definition  $n \mid k - m$  and hence there exists  $t \in \mathbb{Z}$  such that k - m = nt. Observe that

$$k - m = nt$$
$$(k - m)a = nta$$
$$ka - ma = (na)t$$
$$ka - ma = 0$$
$$ka = ma$$

Thus we have  $\varphi(\overline{k}) = \varphi(\overline{m})$  and we can conclude that  $\varphi$  is in fact a well-defined R-module homomorphism.

Now we want to show that  $\operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}/n\mathbb{Z},A) \cong A_n = \{a \in A : na = 0\}$ . Note: We are making the assumption that we want to show this in an isomorphism of R-modules as exercise does not specify group, ring or module isomorphism. Define  $\Phi: A_n \to \operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}/n\mathbb{Z},A)$  by  $\Phi(a) = \varphi_a$ . We will show that this is in fact an R-module homomorphism, then that is a bijection.

Let  $a, a' \in A_n, r \in \mathbb{Z}$ . Observe that

$$\begin{split} \Phi(a+a')(\overline{k}) &= \varphi_{a+a'}(\overline{k}) \\ &= (a+a')k \\ &= ak + a'k \\ &= \varphi_a(\overline{k}) + \varphi_{a'}(\overline{k}) \end{split}$$

$$= \Phi(a)(\overline{k}) + \Phi(a')(\overline{k})$$

So  $\Phi(a + a') = \Phi(a) + \Phi(a')$  by definition. Moreover

$$\Phi(ra)(\overline{k}) = \varphi_{ra}(\overline{k})$$

$$= rak$$

$$= r\varphi_{a}(\overline{k})$$

$$= r\Phi(a)(\overline{k})$$

Hence  $\Phi(ra) = r\Phi(a)$  by definition. Conclude by definition that  $\Phi$  is in fact an R-module homomorphism.

Recall that  $\ker \Phi = \{a \in A_n : \Phi(a) = 0\}$  and observe that

$$\begin{split} \ker \varphi &= \{a \in A_n : \Phi(a) = 0\} \\ &= \{a \in A_n : \varphi_a(\overline{k}) = 0 \text{ for all } \mathbf{k} \in \mathbb{Z}/n\mathbb{Z}\} \\ &= \{0\} \end{split}$$

So we conclude that  $\ker \Phi = \{0\}$  and hence  $\Phi$  is injective.

Let  $\varphi \in \operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}/n\mathbb{Z}, A_n)$ . Define  $a = \varphi(\overline{1})$  and hence  $na = n\varphi(\overline{1}) = \varphi(n\overline{1}) = \varphi(\overline{n}) = \varphi(\overline{0}) = 0$  and hence  $a \in A_n$ . Observe that for all  $\overline{k} \in \mathbb{Z}/n\mathbb{Z}$  it follows that  $\varphi(\overline{k}) = \varphi(\overline{k} \cdot \overline{1}) = \varphi(k\overline{1}) = k\varphi(\overline{1}) = ka = \varphi_a(\overline{k})$ . Thus by definition  $\varphi = \varphi_a$ . So for any  $\varphi \in \operatorname{Hom}(\mathbb{Z}/n\mathbb{Z}, A_n)$  we can find  $a \in A_n$  such that  $\Phi(a) = \varphi_a = \varphi$ . Conclude by definition that  $\Phi$  is surjective.

Now applying the First Isomorphism Theorem for R-modules we can conclude that  $\operatorname{Hom}(\mathbb{Z}/n\mathbb{Z}, A_n) \cong A_n$ .

**Exercise.** (DF §10.2 Exercise 5): Exhibit all  $\mathbb{Z}$ -module homomorphisms from  $\mathbb{Z}/30\mathbb{Z}$  to  $\mathbb{Z}/21\mathbb{Z}$ . By previous exercise we know  $\operatorname{Hom}(\mathbb{Z}/30\mathbb{Z},\mathbb{Z}/21\mathbb{Z}) \cong (\mathbb{Z}/21\mathbb{Z})_{30}$  where  $(\mathbb{Z}/21\mathbb{Z})_{30} = \{a \in \mathbb{Z}/21\mathbb{Z} : 30a = 0\} = A_{30}$ . Observe that  $(\mathbb{Z}/21\mathbb{Z})_{30} = 7\mathbb{Z}/30\mathbb{Z} = \{0,7,14\}$  and it has three elements. Hence we know that there are three homomorphisms from  $\mathbb{Z}/30\mathbb{Z}$  to  $\mathbb{Z}/21\mathbb{Z}$ . The three homomorphisms are the ones defined by the trivial homomorphism,  $\varphi_7(\overline{x}) = 7x$ ,  $\varphi_{14}(\overline{x}) = 14x$ .

**Exercise.** (DF §10.2 Exercise 6): Prove that  $\operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}/n\mathbb{Z}, \mathbb{Z}/m\mathbb{Z}) \cong \mathbb{Z}/(n, m)\mathbb{Z}$ .

**Exercise.** (DF §10.2 Exercise 7): Let z be a fixed element of the center of R. Prove that the map  $m \to zm$  is an R-module homomorphism from M to itself. Show that for a commutative ring R the map from R to  $\operatorname{End}_R M$  given by  $r \to rI$  is a ring homomorphism (where I is the identity endomorphism).)

*Proof.* Recall that the center of R is  $\{z \in R : zr = rz \text{ for all } r \in R\}$ . Let z be in the center of R then by definition zr = rz for all  $r \in R$ . Define  $\varphi : M \to M$  by  $\varphi(m) = zm$ . We claim that this is an R-module homomorphism. Let  $m, m' \in M$ ,  $r \in R$ . Observe that  $\varphi(m+m') = z(m+m')zm + zm' = \varphi(m) + \varphi(m')$  and  $\varphi(rm) = zrm = rzm = r\varphi(m)$ . Conclude by definition that  $\varphi$  is in fact an R-module homomorphism.

Note: We are making the assumption that "I being the identity endomorphism" means multiplicative identity. Now let R be a commutative ring and define  $\Phi: R \to \operatorname{End}_R(M)$  by  $\Phi(r) = rI$  where  $I: M \to M$ , defined by I(m) = m, is the identity endomorphism. We want to show that  $\Phi$  is a ring homomorphism. Let  $r, s \in R$  and observe that for all  $m \in M$ 

$$\begin{split} \Phi(r+s)(m) &= (r+s)I(m) \\ &= (r+s)m \\ &= rm + rs \\ &= rI(m) + sI(m) \\ &= \Phi(r)(m) + \Phi(s)(m) \end{split}$$

So by definition  $\Phi(r+s) = \Phi(r) + \Phi(s)$ . Moreover,

$$\Phi(rs) = rsI(m)$$

$$= rsI(m)I(m)$$

$$= rI(m) \cdot sI(m)$$

$$= \Phi(r)(m)\Phi(s)(m)$$

And hence  $\Phi(rs) = \Phi(r)\Phi(s)$  and we can conclude by definition that  $\Phi$  is a ring homomorphism.

**Exercise.** (DF §10.2 Exercise 8): Let  $\varphi: M \to N$  be an R-module homomorphism. Prove that  $\varphi(\text{Tor}(M)) \subseteq \text{Tor}(N)$ .

Proof. Recall that  $\operatorname{Tor}(M) = \{m \in M : rm = 0 \text{ for some } 0 \neq r \in R\}$ . Now it follows that  $\varphi(\operatorname{Tor}(M)) = \{n \in N : n = \varphi(m) \text{ for some } m \in \operatorname{Tor}(M)\}$ . Let  $n \in \varphi(\operatorname{Tor}(M))$  then  $n = \varphi(m)$  for some  $m \in \operatorname{Tor}(M)$  by definition. Since  $m \in \operatorname{Tor}(M)$  there exists  $0 \neq r \in R$  such that rm = 0. Hence  $rn = r\varphi(m) = \varphi(rm) = \varphi(0) = 0$ . Conclude that  $n \in \operatorname{Tor}(N)$  and hence  $\varphi(\operatorname{Tor}(M)) \subseteq \operatorname{Tor}(N)$ .

**Exercise.** (DF §10.2 Exercise 9): Let R be a commutative ring. Prove that  $\operatorname{Hom}_R(R,M)$  and M are isomorphic as left R-modules.

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*Proof.* Define  $\Phi: \operatorname{Hom}_R(R,M) \to M$  by  $\Phi(\varphi) = \varphi(1)$ . We must first show that this is in fact an R-module homomorphism. Observe that for all  $\varphi, \xi \in \operatorname{Hom}_R(R,M)$  and all  $r \in R$  it follows that

$$\begin{split} \Phi(\varphi + \xi) &== (\varphi + \xi)(1) \\ &= \varphi(1) + \varphi(\xi) \\ &= \Phi(\varphi) + \Phi(\xi) \end{split}$$

and also by Proposition 2 we have

$$\Phi(r\varphi) = (r\varphi)(1)$$
$$= r\varphi(1)$$
$$= r\Phi(\varphi)$$

Hence we can now conclude that  $\Phi$  is an  $R\text{-}\mathrm{module}$  homomorphism.

We must now show that  $\Phi$  is injective. Suppose that  $\Phi(\varphi) = \Phi(\xi)$  then by definition  $\varphi(1) = \xi(1)$  or equivalently  $\varphi(1) - \xi(1) = 0$  and hence  $(\varphi - \xi)(1) = 0$ . But since  $\varphi - \xi \in \operatorname{Hom}_R(R, M)$  it is an R-module homomorphism so  $(\varphi - \xi)(x) = (\varphi - \xi)(x \cdot 1) = x(\varphi - \xi)(1) = x \cdot 0 = 0$  for all  $x \in R$ . Conclude that  $\varphi(x) = \xi(x)$  for all  $x \in R$  and hence by definition  $\varphi = \xi$ . Conclude that  $\Phi$  is inective.

We must now show that  $\Phi$  is surjective. Let  $m \in M$  be arbitrary. We want to show that there exists  $\varphi \in \operatorname{Hom}_R(R,M)$  such that  $\Phi(\varphi) = m$ . Let us define  $\varphi: R \to M$  by  $\varphi(x) = xm$ . We need to show that  $\varphi \in \operatorname{Hom}_R(R,M)$ . Observe that  $\varphi(x+y) = (x+y)m = xm + ym = \varphi(x) + \varphi(y)$  for all  $x, y \in R$  and  $\varphi(rx) = rxm = r\varphi(x)$  for all  $x \in R$ ,  $r \in R$ . Hence we have shown that  $\varphi$  is in fact an R-module homomorphism. Now observe that  $\Phi(\varphi) = \varphi(1) = 1 \cdot m = m$ . Conclude by definition that  $\Phi$  is surjective.

We have shown that  $\Phi$  is a bijective R-module homomorphism. Conclude that  $\operatorname{Hom}_R(R,M) \cong M$ .

**Exercise.** (DF  $\S 10.2$  Exercise 10): Let R be a commutative ring. Prove that  $\operatorname{Hom}_R(R,R)$  and R are isomorphic as rings.

*Proof.* Define  $\Phi: \operatorname{Hom}_R(R,R) \to R$  by  $\Phi(\varphi) = \varphi(1)$ . We will first show that  $\Phi$  is a ring homomorphism. Observe that for all  $\varphi, \xi \in \operatorname{Hom}_R(R,R)$  and all  $r \in R$  by Proposition 2 we have

$$\begin{split} \Phi(\varphi + \xi) &= (\varphi + \xi)(1) \\ &= \varphi(1) + \xi(1) \\ &= \Phi(\varphi) + \Phi(\xi) \end{split}$$

and also by property of commutativity

$$\begin{split} \Phi(\varphi \circ \xi) &= (\varphi \circ \xi)(1) \\ &= \varphi(\xi(1)) \\ &= \varphi(\xi(1) \cdot 1) \\ &= \xi(1)\varphi(1) \\ &= \varphi(1)\xi(1) \\ &= \Phi(\varphi)\Phi(\xi) \end{split}$$

Hence we can conclude that  $\Phi$  is in fact a ring homomorphism.

We must now show that  $\Phi$  is injective. Suppose that  $\Phi(\varphi) = \Phi(\xi)$  then by definition  $\varphi(1) = \xi(1)$  or equivalently  $\varphi(1) - \xi(1) = 0$  and hence  $(\varphi - \xi)(1) = 0$ . But since  $\varphi - \xi \in \operatorname{Hom}_R(R,R)$  it is an R-module homomorphism so  $(\varphi - \xi)(x) = (\varphi - \xi)(x \cdot 1) = x(\varphi - \xi)(1) = x \cdot 0 = 0$  for all  $x \in R$ . Conclude that  $\varphi(x) = \xi(x)$  for all  $x \in R$  and hence by definition  $\varphi = \xi$ . Conclude that  $\Phi$  is inective.

We must now show that  $\Phi$  is surjective. Let  $r \in R$  be arbitrary. We want to show that there exists  $\varphi \in \operatorname{Hom}_R(R,R)$  such that  $\Phi(\varphi) = r$ . Let us define  $\varphi : R \to R$  by  $\varphi(x) = xr$ . We need to show that  $\varphi \in \operatorname{Hom}_R(R,R)$ . Observe that  $\varphi(x+y) = (x+y)r = xr + yr = \varphi(x) + \varphi(y)$  for all  $x,y \in R$  and  $\varphi(sx) = sxr = s\varphi(x)$  for all  $s \in R$  and all  $x \in R$ . Hence we have shown that  $\varphi$  is in fact an R-module homomorphism. Now observe that  $\Phi(\varphi) = \varphi(1) = 1 \cdot r = r$ . Conclude by definition that  $\Phi$  is surjective.

We have shown that  $\Phi$  is a bijective ring homomorphism. Conclude that  $\operatorname{Hom}_R(R,R) \cong R$ .

**Exercise.** (DF §10.2 Exercise 11): Let  $A_1, A_2, \ldots, A_n$  be R-modules and let  $B_i$  be submodules of  $A_i$  for each  $i = 1, 2, \ldots, n$ . Prove that

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$$(A_1 \times A_2 \times \cdots \times A_n)/(B_1 \times B_2 \times \cdots \times B_n) \cong (A_1/B_1) \times (A_2/B_2) \times \cdots \times (A_n/B_n).$$

Proof. Define  $\varphi: A_1 \times A_2 \times \cdots \times A_n \to (A_1/B_1) \times (A_2/B_2) \times \cdots \times (A_n/B_n)$  by  $\varphi(a_1, a_2, \dots, a_n) = (a_1+B_1, a_2+B_2, \dots, a_n+B_n)$ . We want to show that this is an R-module homomorphism and that  $\ker \varphi = B_1 \times B_2 \times \cdots \times B_n$  and that the mapping is surjective. Then the first isomorphism theorem for modules yields the result.

Let 
$$x, y \in A_1 \times A_2 \times \cdots \times A_n$$
 where  $x = (a_1, a_2, \dots, a_n)$  and  $y = (a'_1, a'_2, \dots, a'_n)$ . Observe that 
$$\varphi(x + y) = \varphi((a_1, a_2, \dots, a_n) + (a'_1, a'_2, \dots, a'_n))$$

$$= \varphi(a_1 + a'_1, a_2 + a'_2, \dots, a_n + a'_n)$$

$$= (a_1 + a'_1 + B_1, a_2 + a'_2 + B_2, \dots, a_n + a'_n + B_n)$$

$$= (a_1 + B_1 + a'_1 + B_1, a_2 + B_2 + a'_2 + B_2, \dots, a_n + B_n + a'_n + B_n)$$

$$= (a_1 + B_1, a_2 + B_2, \dots, a_n + B_n) + (a'_1 + B_1, a'_2 + B_2, \dots, a'_n + B_n)$$

$$= \varphi(a_1, a_2, \dots, a_n) + \varphi(a'_1, a'_2, \dots, a'_n)$$

$$= \varphi(x) + \varphi(y)$$

and for  $r \in R$ 

$$\varphi(rx) = \varphi(r(a_1, a_2, \dots, a_n))$$

$$= \varphi(ra_1, ra_2, \dots, ra_n)$$

$$= (ra_1 + B_1, ra_2 + B_2, \dots, ra_n + B_n)$$

$$= (r(a_1 + B_1), r(a_2 + B_2), \dots, r(a_n + B_n))$$

$$= r(a_1 + B_1, a_2 + B_2, \dots, a_n + B_n)$$

$$= r\varphi(a_1, a_2, \dots, a_n)$$

$$= r\varphi(x)$$

Thus by definition we can conclude that  $\varphi$  is an R-moduel homomorphism.

Now we want to show that  $\ker \varphi = B_1 \times B_2 \times \cdots \times B_n$ . Observe that

$$\ker \varphi = \{x \in A_1 \times A_2 \times \dots \times A_n : \varphi(x) = 0\}$$

$$= \{(a_1, a_2, \dots, a_n) \in A_1 \times A_2 \times \dots \times A_n : \varphi(a_1, a_2, \dots, a_n) = 0\}$$

$$= \{(a_1, a_2, \dots, a_n) \in A_1 \times A_2 \times \dots \times A_n : (a_1 + B_1, a_2 + B_2, \dots, a_n + B_n) = (0, 0, \dots, 0)\}$$

$$= \{(a_1, a_2, \dots, a_n) \in A_1 \times A_2 \times \dots \times A_n : a_1 \in B_1, a_2 \in B_2, \dots, a_n \in B_n\}$$

Hence  $\ker \varphi \subseteq B_1 \times B_2 \times \cdots \times B_n$  and trivially  $B_1 \times B_2 \times \cdots \times B_n \subseteq \ker \varphi$  by construction of  $\varphi$ . Conclude that  $\ker \varphi = B_1 \times B_2 \times \cdots \times B_n$ .

The mapping is trivially surjective. Applying first Isomorphism theorem yields the result.

**Exercise.** (DF  $\S10.3$  Exercise 3): Show that the F[x]-modules in Exercises 18 and 19 of Section 1 are both cyclic.

*Proof.* For Problem 18:  $F = \mathbb{R}$ ,  $V = \mathbb{R}^2$ ,  $T : V \to V$  is defined by T(x,y) = (y,-x). Let  $(a,b) \in \mathbb{R}^2$  be arbitrary. Observe that (ax+b)(0,1) = aT(0,1) + b(0,1) = a(1,0) + b(0,1) = (a,b). Hence it follows by definition that  $V = \mathbb{R}(0,1)$ . Moreover it can also be written as  $V = \mathbb{R}(1,0)$  with p(x) = a - bx, so the representation is not unique.

For Problem 19:  $F = \mathbb{R}$ ,  $V = \mathbb{R}^2$ ,  $T : V \to V$  is defined by T(x,y) = (0,y). Let  $(a,b) \in \mathbb{R}^2$  be arbitrary. Observe that (a+(b-a)x)(1,1) = (a,a)+(b-a)T(1,1) = (a,a)+(0,b-a) = (a,b). Hence it follows by definition that  $V = \mathbb{R}(1,1)$ .

**Exercise.** (DF §10.3 Exercise 4): An R-module M is called a torsion module if for each  $m \in M$  there is a nonzero element  $r \in R$  such that rm = 0, where r may depend on m (i.e., M = Tor(M) in the notation of Exercise 8 of Section 1). Prove that every finite abelian group is a torsion  $\mathbb{Z}$ -module. Give an example of an infinite abelian group that is a torsion  $\mathbb{Z}$ -module.

Proof. Let M be a finite abelian group. Let  $m \in M$ . We want to show that there exists  $0 \neq r \in R = \mathbb{Z}$  such that rm = 0. Consider  $1m, 2m, 3m, 4m, \ldots$  These are not all distinct, because if they were we would have infinitely many, a contradiction. So we are assured km = lm for some  $k, l \in \mathbb{Z}$  nonzero with  $k \neq l$ . It follows that km - lm = 0 and hence by property of modules, (k - l)m = 0. Finally observe that  $k - l \neq 0$  so  $m \in \text{Tor}(M)$ . Conclude that M = Tor(M).

As for the example. Let  $n \in \mathbb{Z}$  be greater than 1. Consider  $A = \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z} \times \cdots$  and observe that this is an infinite abelian group. This can be seen as a  $\mathbb{Z}$ -module. Let  $(a_1, a_2, \ldots) \in \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z} \times \cdots$  be arbitrary. Observe that  $n(a_1, a_2, \ldots) = (na_1, na_2, \ldots) = (0, 0, \ldots) = \mathbf{0}$ .

**Exercise.** (DF §10.3 Exercise 5): Let R be an integral domain. Prove that every finitely generated torsion R-module has a nonzero annihilator i.e., there is a nonzero element  $r \in R$  such that rm = 0 for all  $m \in M$  – here r does not depend on m (the annihilator of a module was defined in Exercise 9 of Section 1). Give an example of a torsion R-module whose annihilator is the zero ideal.

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Proof. Let M be a finitely generated torsion R-module where R is an integral domain. By definition  $M = Rm_1 + \cdots + Rm_n$  for some  $m_1, m_2, \ldots, m_n \in M$ . Let  $m \in M$ . Since M is finitely generated there exist  $r_1, r_2, \ldots, r_n \in R$  such that  $m = r_1m_1 + \cdots + r_nm_n$ . Since M is torsion, there exists  $0 \neq \overline{r_i} \in R$  such that  $\overline{r_i}m_i = 0$  for  $i = 1, 2, \ldots, n$ . Define  $r = \overline{r_1r_2}\cdots\overline{r_n}$ . Now observe that

$$rm = r(r_1m_1 + \dots + r_nm_n)$$

$$= (r_1\overline{r_2} \cdots \overline{r_n})\overline{r_1}m_1 + \dots + (\overline{r_1} \cdots \overline{r_{n-1}}r_n)\overline{r_n}m_n$$

$$= (r_1\overline{r_2} \cdots \overline{r_n}) \cdot 0 + \dots + (\overline{r_1} \cdots \overline{r_{n-1}}r_n) \cdot 0$$

$$= 0$$

hence it follows that  $0 \neq r \in \text{Ann}(m)$  and thus  $\text{Ann}(m) \neq 0$ .

As for the example: recall that  $\mathbb Q$  is not finitely generated, and hence  $\mathbb Q/\mathbb Z=m$  is also not finitely generated. observe that m is torsion since for any rational, non-integer number x, multiplication by its denominator, which **is** an integer, yields an integer, which in this case would be 0 in M. Suppose by way of contradiction that there is a nonzero annihilator, say  $0 \neq a \in R = \mathbb Z$ . Choose  $b \in \mathbb Z$  such that  $b \nmid a$ . Now  $a \cdot 1/b = 0$  by property of being annihilator so a/b = k is an integer. But then a = bk and hence  $b \mid a$ , a contradiction. So there are no nonzero annihilators.

**Exercise.** (DF §10.3 Exercise 9): An R-module M is called *irreducible* if  $M \neq 0$  and if 0 and M are the only submodules of M. Show that M is irreducible if and only if  $M \neq 0$  and M is a cyclic module with any nonzero element as its generator. Determine all the irreducible  $\mathbb{Z}$ -modules.

*Proof.* Suppose M is irreducible. By definition  $M \neq 0$ . Let  $0 \neq m \in M$ . Note that  $Rm \subseteq M$  is a nonzero since  $1m = m \in Rm$ . Since M is irreducible and we have already shown  $Rm \neq 0$ , conclude that Rm = M for any  $0 \neq m \in M$ .

Conversely suppose that  $M \neq 0$  and M is a cyclic module with any nonzero element as generator. Let N be a submodule of M, so  $0 \subseteq N \subseteq M$ . If N = 0 we are done, so suppose  $N \neq 0$ , then there exists  $n \in N$  such that  $n \neq 0$ . But  $n \in M$  and so M = Rn. Since  $Rn \subseteq N$  immediately we have just shown  $M \subseteq N$ . Since both  $N \subseteq M$  and  $M \subseteq N$  we have M = N.

Let M be an irreducible  $\mathbb{Z}$ -module then M is cyclic as an abelian group and moreover M has finite order. Since it has only two subgroups, the trivial one and the whole group itself, we can conclude that the irreducible  $\mathbb{Z}$  modules are of the form  $\mathbb{Z}/p\mathbb{Z}$  where p is prime.

**Exercise.** (DF §10.3 Exercise 10): Assume R is commutative. Show that an R-module M is irreducible if and only if M is isomorphic (as an R-module) to R/I where I is a maximal ideal of R. [By the previous exercise, if M is irreducible there is a natural map  $R \to M$  defined by  $r \mapsto rm$ , where m is any fixed nonzero element of M.]

Proof. Let M be an R-module. Suppose M is irreducible. Then by definition  $M \neq 0$  and the only submodules of M are 0 and M. Define  $\varphi_m: R \to M$  by  $\varphi(r) = rm$  where  $0 \neq m$  is a fixed element of M. We want to show that this is in fact an R-module homomorphism. Let  $x,y \in R$  and  $r \in R$  and observe that  $\varphi(x+y) = (x+y)m = xm + ym = \varphi(x) + \varphi(y)$  and  $\varphi(rx) = rxm = r\varphi(x)$ . This mapping is surjective since M is a cyclic module with any nonzero element as its generator. So by the First Isomorphism Theorem for Modules, it follows that  $R/\ker \varphi_m \cong M$ .  $\ker \varphi_m$  is a submodule by a previous exercise and is trivially an ideal of R. It will suffice to show that  $\ker \varphi_m$  is a maximal ideal for the result to follow. Let  $0 \neq \overline{r} \in R/\ker \varphi_m$  where  $\overline{r} = r + \ker \varphi_m$ . It follows that  $\varphi_m(r) = rm \neq 0$ . We want to show that  $\overline{r}$  has a multiplicative inverse. Since M is irreducible and  $rm \neq 0$ , we have M = R(rm) by previous exercise. In particular m = s(rm) for some  $s \in R$ . Then by equality  $1 \cdot m - (sr)m = 0$  resulting in  $(1 - sr) \in \ker \varphi_m$ , By property of cosets, we have  $1 + \ker \varphi_m = sr + \ker \varphi_m = (s + \ker \varphi_m)(r + \ker \varphi_m)$ . We have just shown that  $\overline{1} = \overline{s} + \overline{r}$  giving us  $\overline{s}$  as the multiplicative inverse of  $\overline{r}$ , an arbitrary nonzero element (by commutativity of R, it is both a left and right inverse). Thus  $R/\ker \varphi_m$  is a field and so  $\ker \varphi_m$  is a maximal ideal.

Conversely suppose  $M\cong R/I$  where I is a maximal ideal of R (as an R-module homomorphism). Then by definition there exists  $\varphi:M\to R/I$  such that  $\varphi(m+n)=\varphi(m)+\varphi(n)$  and  $\varphi(rm)=r\varphi(m)$  for all  $m,n\in M,\,r\in R$ . Observe that  $M\neq 0$  since  $M\cong R/I$  and I is maximal, by definition  $I\neq R$  so R/I cannot be trivially 0 so M cannot be trivially 0. Suppose N is a submodule of M, then  $0\subseteq N\subseteq M$ . Suppose, by way of contradiction, that  $0\neq N\neq M$ . Note that  $\varphi(N)$  is a submodule of R/I and trivially  $\varphi(N)$  is an ideal of R/I which is not 0 and not R/I a contradiction to  $0\neq N\neq M$  since R/I is a field, the only ideals of R/I are 0 and R/I. So either 0=N or N=M. Conclude by definition that M is irreducible.

**Exercise.** (DF §10.3 Exercise 15): An element  $e \in R$  is called a *central idempotent* if  $e^2 = e$  and er = re for all  $r \in R$ . If e is a central idempotent in R, prove that  $M = eM \oplus (1 - e)M$ . [Recall Exercise 14 in Section 1.]

Proof. Suppose r is a central idempotent in R. We want to show that  $M = eM \oplus (1 - e)M$ , that is, any  $m \in M$  can be written uniquely of the form  $em_1 + (1 - e)m_2$  for some  $m_1, m_2 \in M$ . Let  $m \in M$  and observe that m = em + (m - em) so  $M \subseteq eM + (1 - e)M$  and  $eM + (1 - e)M \subseteq M$  trivially by closure of modules. Now we need to show the uniqueness. Suppose  $m \in eM \cap (1 - e)M$  then  $m = em_1 = (1 - e)m_2$  for some  $m_1, m_2 \in M$ . But then  $em_1 = m_2 - em_2$  or equivalently  $e(m_1 + m_2) = m_2$ . Multiplying both sides by e, we get  $e^2(m_1 + m_2) = em_2$  and since  $e^2 = e$  we have  $em_1 + em_2 - em_2 = em_1 = 0$ . Hence m = 0. Conclude that  $eM \cap (1 - e)M = 0$ .

**Exercise.** (DF §10.3 Exercise 16): For any ideal I of R let IM be the submodule defined in Exercise 5 of Section 1. Let  $A_1, \ldots, A_k$  be any ideals in the ring R. Prove that the map

$$M \to M/A_1 M \times \cdots \times M/A_k M$$
 defined by  $m \mapsto (m + A_1 M, \dots, m + A_k M)$ 

is an *R*-module homomorphism with kernel  $A_1M \cap A_2M \cap \cdots \cap A_kM$ .

*Proof.* We first must show that  $\varphi: M \to M/A_1M \times \cdots \times M/A_kM$  defined by  $\varphi(m) = (m + A_1M, \dots, m + A_kM)$  is in fact an R-module homomorphism. Let  $m_1, m_2 \in M$ ,  $r \in R$ . Observe that

$$\varphi(m_1 + m_2) = (m_1 + m_2 + A_1 M, \dots, m_1 + m_2 + A_k M)$$

$$= ((m_1 + A_1 M) + (m_2 + A_1 M), \dots, (m_1 + A_k M) + (m_2 + A_k M))$$

$$= (m_1 + A_1 M, \dots, m_1 + A_k M) + (m_2 + A_1 M, \dots, m_2 + A_k M)$$

$$= \varphi(m_1) + \varphi(m_2)$$

and

$$\varphi(rm_1) = (rm_1 + A_1 M, \dots, rm_2 + A_k M) 
= (r(m_1 + A_1 M), \dots, r(m_1 + A_k M)) 
= r(m_1 + A_1 M, \dots, m_1 + A_k M) 
= r\varphi(m_1)$$

Now by the First Isomorphism Theorem for Modules we have  $M/\ker\varphi\cong\varphi(M)$  where

$$\ker \varphi = \{ m \in M : \varphi(m) = 0 \}$$

$$= \{ m \in M : (m + A_1 M, \dots, m + A_k M) = (0, \dots, 0) \}$$

$$= \{ m \in M : m \in A_1 M, \dots, m \in A_k M \}$$

$$= A_1 M \cap \dots \cap A_k M$$

**Exercise.** (DF  $\S 10.3$  Exercise 22): Let R be a Principal Ideal Domain, let M be a torsion R-module (cf. Exercise 4) and let p be a prime in R (do not assume M is finitely generated, hence it need not have a nonzero annihilator – cd. Exercise 5). The p-primary component of M is the set of all elements of M that are annihilated by some positive power of p.

- (1) Prove that the p-primary component is a submodule. [See Exercise 13 in Section 1.]
- (2) Prove that this definition of p-primary component agrees with the one given in Exercise 18 when M has a nonzero annihilator.
- (3) Prove that M is the (possibly infinite) direct sum of its p-primary components, as p runs over all primes of R.

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