

# GLOBAL METHODS FOR THE SYMPLECTIC TYPE OF CONGRUENCES BETWEEN ELLIPTIC CURVES

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**ABSTRACT.** We describe a systematic investigation into the existence of congruences between the mod  $p$  torsion modules of elliptic curves defined over  $\mathbb{Q}$ , including methods to determine the symplectic type of such congruences. We classify the existence and symplectic type of mod  $p$  congruences between twisted elliptic curves over number fields, giving global symplectic criteria that apply in situations where the available local methods may fail.

We report on the results of applying our methods for all primes  $p \geq 7$  to the elliptic curves in the LMFDB database, which currently includes all elliptic curves of conductor less than 500 000. We also show that while such congruences exist for each  $p \leq 17$ , there are none for  $p \geq 19$  in the database, in line with a strong form of the Frey-Mazur conjecture.

## 1. INTRODUCTION

Let  $p$  be a prime,  $K$  be a number field and  $G_K = \text{Gal}(\overline{K}/K)$  the absolute Galois group of  $K$ . Let  $E$  and  $E'$  be elliptic curves defined over  $K$ , and write  $E[p]$  and  $E'[p]$  for their  $p$ -torsion  $G_K$ -modules.

Let  $\phi : E[p] \rightarrow E'[p]$  be an isomorphism of  $G_K$ -modules. There is an element  $d(\phi) \in \mathbb{F}_p^*$  such that the Weil pairings  $e_{E,p}$  and  $e_{E',p}$  satisfy

$$e_{E',p}(\phi(P), \phi(Q)) = e_{E,p}(P, Q)^{d(\phi)}$$

for all  $P, Q \in E[p]$ . We say that  $\phi$  is a *symplectic isomorphism* or an *anti-symplectic isomorphism* if  $d(\phi)$  is a square or a non-square modulo  $p$ , respectively. When two elliptic curves have isomorphic  $p$ -torsion modules we say that there is a mod  $p$  *congruence* between them, or that they are *congruent mod  $p$*  or  *$p$ -congruent*.

For example, suppose that  $\phi$  is induced by an isogeny (also denoted  $\phi$ ) from  $E$  to  $E'$ , of degree  $\deg(\phi)$  coprime to  $p$ . Then, using standard properties of the Weil pairing, we have

$$e_{E',p}(\phi(P), \phi(Q)) = e_{E,p}(P, \hat{\phi}\phi(Q)) = e_{E,p}(P, \deg(\phi)(Q)) = e_{E,p}(P, Q)^{\deg(\phi)},$$

where  $\hat{\phi}$  denotes the dual isogeny; thus  $d(\phi) = \deg \phi \pmod{p}$ . Hence  $\phi$  is symplectic or antisymplectic according as  $\deg \phi$  is a quadratic residue or nonresidue mod  $p$ , respectively. We will refer to this condition as the *isogeny criterion*.

Given  $G_K$ -isomorphic modules  $E[p]$  and  $E'[p]$  as above, it is possible they admit isomorphisms with both symplectic types. This occurs if and only if  $E[p]$  admits an anti-symplectic

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automorphism. The following proposition, which follows from results in [10], gives several equivalent conditions for this property.

**Proposition 1.1.** *Let  $E$  be an elliptic curve over a number field  $K$ . Let  $p$  be an odd prime, and  $\bar{\rho}_{E,p} : G_K \rightarrow \mathrm{GL}_2(\mathbb{F}_p)$  the representation arising from the action of  $G_K$  on  $E[p]$ . Let  $G = \bar{\rho}_{E,p}(G_K) \subset \mathrm{GL}_2(\mathbb{F}_p)$  be the image of  $\bar{\rho}_{E,p}$ . Then the following are equivalent:*

- (1)  $E[p]$  does not admit anti-symplectic automorphisms;
- (2)  $G$  is not contained in a (split or nonsplit) Cartan subgroup;
- (3) the centralizer of  $G$  in  $\mathrm{GL}_2(\mathbb{F}_p)$  contains only matrices with square determinant;
- (4) either (A)  $G$  is non-abelian,  
or (B)  $\bar{\rho}_{E,p} \cong \begin{pmatrix} \chi & * \\ 0 & \chi \end{pmatrix}$  where  $\chi : G_K \rightarrow \mathbb{F}_p^*$  is a character and  $* \neq 0$ .

In particular, these conditions are satisfied when  $\bar{\rho}_{E,p}$  is absolutely irreducible, since then condition (A) holds.

*Proof.* See [10, Lemma 6], [10, Lemmas 7 and 8], and the proof of [10, Corollary 3].  $\square$

We say that  $E[p]$  satisfies condition **(S)** if and only if one of the equivalent conditions of Proposition 1.1 is satisfied. In the case  $K = \mathbb{Q}$ , condition **(S)** is satisfied by  $E[p]$  for all  $p \geq 7$ , by [10, Corollary 3 and Proposition 2]. Hence, when a  $G_{\mathbb{Q}}$ -isomorphism  $\phi : E[p] \simeq E'[p]$  exists, normally there is only one possible symplectic type for any such  $\phi$ . For an example where both types exist, take  $p = 5$  and  $E, E'$  to be the curves<sup>1</sup> 11a1 and 1342c2, respectively (see [10, Example 5.2] for more details).

It is then natural to consider triples  $(E, E', p)$  where  $E/K$  and  $E'/K$  are elliptic curves with isomorphic  $p$ -torsion such that the  $G_K$ -modules isomorphisms  $\phi : E[p] \rightarrow E'[p]$  are either all symplectic or all anti-symplectic. In this case, we will say that the *symplectic type* of  $(E, E', p)$  is respectively symplectic or anti-symplectic. The problem of determining the symplectic type of  $(E, E', p)$  over  $K = \mathbb{Q}$  was extensively studied by the second author and Alain Kraus in [10].

The isogeny criterion gives an easy solution when  $(E, E', p)$  arises from an isogeny  $h : E \rightarrow E'$  of degree  $n$  coprime to  $p$ , since in such cases  $d(h|_{E[p]}) = n$  and the symplectic type of  $(E, E', p)$  is symplectic if  $n$  is a square mod  $p$  and anti-symplectic otherwise.

Given a generic triple  $(E, E', p)$ , in principle, one could compute the  $p$ -torsion fields of  $E$  and  $E'$ , write down the Galois action on  $E[p]$  and  $E'[p]$  and check if they are symplectically or anti-symplectically isomorphic. However, the degree of the  $p$ -torsion fields grows very fast with  $p$ , making this method not practical already over  $\mathbb{Q}$  for  $p = 5$ .

One way to circumvent this computational problem, at least over  $\mathbb{Q}$ , is to use the methods presented in [10]. Indeed, the main objective of *loc. cit.* was to establish a complete list of *local symplectic criteria*, allowing one to determine the symplectic type of  $(E, E', p)$  using only standard information about the local curves  $E/\mathbb{Q}_\ell$  and  $E'/\mathbb{Q}_\ell$  at a single prime  $\ell \neq p$  and congruence conditions on  $p$ . Further, it is also proved in [10] that if the symplectic type of  $(E, E', p)$  is encoded in local information at a single prime  $\ell \neq p$ , then one of the local criteria will successfully determine it. There are cases where the local methods are insufficient: however, this can occur only when the representation  $\bar{\rho}_{E,p} : G_{\mathbb{Q}} \rightarrow \mathrm{GL}_2(\mathbb{F}_p)$  attached to  $E$  has image without elements of order  $p$ ; see [10, Proposition 16] for an example.

<sup>1</sup>Throughout the paper we use Cremona labels for elliptic curves over  $\mathbb{Q}$ ; these curves may be found in the LMFDB (see [20]).

This paper has the following main objectives. The first two concern theoretical results and methods which apply to elliptic curves defined over arbitrary number fields, while the last applies these methods to the LMFDB database of elliptic curves over  $\mathbb{Q}$  (see [20]):

- (i) We give global methods to determine the symplectic type of  $(E, E', p)$  when the local methods of [10] may not apply.
- (ii) We study in detail the case of congruences between twists.
- (iii) We systematically identify and determine the symplectic type of all congruences between the elliptic curves defined over  $\mathbb{Q}$  in the LMFDB for all  $p \geq 7$ .

Towards (i), we give in Section 3 a complete resolution for the case  $p = 7$  over  $\mathbb{Q}$  using modular curves; this is the most relevant case as explained in §1.2. For (ii), in Section 2 we give, for general  $p$ , global criteria for the existence of congruences, and to decide their symplectic type, when  $E$  and  $E'$  are twists of each other; Theorem 2.4 shows that (under condition **(S)**) congruences between quadratic twists occur if and only if the projective image is dihedral, and Theorem 2.8 establishes the symplectic type of such congruences. Theorems 2.15 and 2.16 study the existence and the symplectic type of congruences between higher order twists. As a special case of the latter results, we prove the following.

**Theorem 1.2.** *Over  $\mathbb{Q}$  we have the following  $p$ -congruences between higher twists:*

- *Quartic twists between curves of the form  $E_a : Y^2 = X^3 + aX$ , with  $a \in \mathbb{Q}^*$ , which have  $j$ -invariant 1728:*
  - $E_a$  is symplectically 3-congruent to  $E_{-1/3a}$ , and also anti-symplectically 3-congruent to both  $E_{-4a}$  and  $E_{4/3a}$ , the latter two curves being 2-isogenous to the former;
  - $E_a$  is symplectically 5-congruent to  $E_{5/a}$ , and also anti-symplectically 3-congruent to both  $E_{-4a}$  and  $E_{-20/a}$ , the latter two curves being 2-isogenous to the former.
- *Sextic twists between curves of the form  $E_b : Y^2 = X^3 + b$ , with  $b \in \mathbb{Q}^*$ , which have  $j$ -invariant 0:*
  - $E_b$  is symplectically 5-congruent to  $E_{4/5b}$ , and also anti-symplectically 5-congruent to both  $E_{-27b}$  and  $E_{-108/5b}$ , the latter two curves being 3-isogenous to the former.
  - $E_b$  is symplectically 7-congruent to  $E_{-28/b}$ , and also anti-symplectically 7-congruent to both  $E_{-27b}$  and  $E_{756/b}$ , the latter two curves being 3-isogenous to the former.

For objective (iii), we have implemented in MAGMA [21] and SAGEMATH [26] the methods from objective (i) together with those from [10]. We have used our code to classify the symplectic types of all  $p$ -congruences for  $p \geq 7$  between curves in the LMFDB, namely all elliptic curves defined over  $\mathbb{Q}$  of conductor less than 500 000. In Section 3 we give details of these computations, including details of all the congruences found in the database for  $p$  in the range  $7 \leq p \leq 17$ . We also include a discussion on how to determine whether  $E[p]$  and  $E'[p]$  are isomorphic (ignoring the symplectic structure) in both the irreducible and reducible cases. Finally, in Section 4, we prove the following:

**Theorem 1.3.** *Let  $p > 17$  be a prime. Let  $E/\mathbb{Q}$  and  $E'/\mathbb{Q}$  be elliptic curves with conductors at most 500 000. Suppose that  $E[p] \simeq E'[p]$  as  $G_{\mathbb{Q}}$ -modules. Then  $E$  and  $E'$  are  $\mathbb{Q}$ -isogenous.*

The Frey-Mazur conjecture states there is a constant  $C \geq 17$  such that, if  $E/\mathbb{Q}$  and  $E'/\mathbb{Q}$  satisfy  $E[p] \simeq E'[p]$  as  $G_{\mathbb{Q}}$ -modules for some prime  $p > C$ , then  $E$  and  $E'$  are  $\mathbb{Q}$ -isogenous. Theorem 1.3 shows that for curves of conductor at most 500 000, this holds with  $C = 17$ . In view of this conjecture, any  $(E, E', p)$  with  $p > C$  arises from an isogeny, hence its symplectic type is easily determined by the isogeny criterion.

**1.1. Modular parametrizations.** The modular curve  $X(p)$  parametrizes elliptic curves with full level  $p$  structure. It has genus 0 for  $p = 2, 3, 5$ , genus 3 for  $p = 7$  and genus  $\geq 26$  for  $p \geq 11$ . Fixing an elliptic curve  $E/K$ , the curve  $X_E(p)$ , which is a twist of  $X(p)$  (and hence has the same genus), parametrizes pairs  $(E', \phi)$  such that  $\phi$  is a symplectic isomorphism  $E[p] \cong E'[p]$ ; similarly  $X_E^-(p)$  parametrizes antisymplectic isomorphisms. Note that the pair  $(E, \text{id})$  constituted by  $E$  itself and the identity map gives a base point defined over  $K$  on  $X_E(p)$ , while  $X_E^-(p)$  may have no  $K$ -rational points.

It follows that congruences modulo  $p$  for  $p \leq 5$  are common. There are certainly many mod 3 and mod 5 congruences in the database, but we have not searched for these systematically. Indeed, since  $X_E(3)$  and  $X_E(5)$  have genus 0, for each fixed  $E$ , there will always be congruences that are not part of the database independently of its range. In contrast, for primes  $p \geq 7$ , the curve  $X_E(p)$  has genus  $\geq 3$  and so for each  $E$  there are only finitely many mod  $p$  congruences with  $E$ , hence the database might contain all such congruences; however proving this fact for a fixed  $E$  is a hard problem.

For convenience we sometimes also write  $X_E^+(p)$  to denote  $X_E(p)$ . By “explicit equations” for  $X_E^\pm(p)$ , we mean the following.

- An explicit model for a family of curves, with equations whose coefficients are polynomials in  $\mathbb{Q}[a, b]$ , such that specializing  $a, b$  gives a model for  $X_E^\pm(p)$  where  $E$  is the elliptic curve with equation  $Y^2 = X^3 = aX + b$ ; each rational point  $P$  is either a cusp of the modular curve or encodes a pair  $(E', \phi)$  such that  $(E, E', p)$  is a symplectic (respectively, antisymplectic) triple.
- A rational function with coefficients in  $\mathbb{Q}(a, b)$  defining the map  $j : X_E^\pm(p) \rightarrow \mathbb{P}^1$ , taking a point  $P = (E', \phi)$  to  $j(E')$ . The degree of this map is the index  $[\text{PSL}_2(\mathbb{Z}) : \Gamma(p)] = |\text{PSL}_2(\mathbb{F}_p)|$ : for example, when  $p = 7$  the degree is 168.
- Rational functions  $c_4$  and  $c_6$  such that for each non-cuspidal point  $P = (E', \phi)$ , a model for  $E'$  is  $Y^2 = X^3 + a'X + b'$  where  $(a', b') = (-27c_4(P), -54c_6(P))$ .

For  $p = 3$  and  $p = 5$ , the curves themselves have genus 0 and hence we do not need equations, but the formulas for  $j$ ,  $c_4$  and  $c_6$  are still useful. They may be found in [23], [24] and [25] for the symplectic case, and in [6] and [7] for the anti-symplectic case.

Equations for  $X_E(7)$ , in this sense, were obtained by Kraus and Halberstadt in [14], though the functions  $c_4$  and  $c_6$  in [14] are only defined away from 5 points (which may or may not be rational). Fisher gives more complete equations for this, together with  $X_E^-(7)$  and  $X_E^\pm(11)$ , in [8].

**1.2. Further motivation.** We finish this introduction with a discussion on how the methods of this paper complement the local methods in [10].

From the discussion so far we know that triples  $(E, E', p)$  as above give rise to  $\mathbb{Q}$ -points on one of the modular curves  $X_E(p)$  or  $X_E^-(p)$ .

As mentioned above, it follows from [10] that if no local symplectic criterion applies to  $(E, E', p)$  then the image of  $\bar{\rho}_{E,p}$  is irreducible and contains no element of order  $p$ . Moreover, when  $\bar{\rho}_{E,p}$  is reducible only the local criteria at primes of multiplicative or good reduction may succeed and the bounds on a prime  $\ell$  for which a local criterion at  $\ell$  applies may be very large. From the strong form of Serre’s uniformity conjecture, these ‘bad’ cases for the local methods imply that either  $E$  has complex multiplication (CM), or one of the following holds:

- (i)  $p = 3, 5, 7$  and  $\bar{\rho}_{E,p}$  is reducible or has image the normalizer of a Cartan subgroup;
- (ii)  $p = 11$  and  $\bar{\rho}_{E,p}$  has image the normalizer of non-split Cartan subgroup;
- (iii)  $p = 13$  and  $\bar{\rho}_{E,p}$  is reducible;
- (iv)  $p = 13$  and  $\bar{\rho}_{E,p}$  has exceptional image projectively isomorphic to  $S_4$ ;
- (v)  $p = 11, 17$  or  $37$ , and  $j(E)$  is listed in [5, Table 2.1]; in particular,  $\bar{\rho}_{E,p}$  is reducible.

In [2, Corollary 1.9] there is a list of 3 rational  $j$ -invariants of elliptic curves over  $\mathbb{Q}$  satisfying (iv); it has recently been shown (see [1]) that the associated genus 3 modular curve  $X_{S_4}(13)$  found explicitly in [2] has no more rational points, and hence that this list is complete. By Theorem 2.4, none of these curves is mod 13 congruent to a twist of another of them (including itself); the same is true for the curves and values of  $p$  in case (v). Thus no examples arise in cases (iv) and (v).

The case (iii) includes the infinitely many curves with  $\bar{\rho}_{E,13}$  reducible (recall that  $X_0(13)$  has genus 0). However, we know of no reducible mod 13 congruences between rational elliptic curves, so there are no known examples in this case either. Nevertheless, in spite of the lack of helpful bounds as mentioned above, a putative congruence between such curves will often be addressed by local methods in practice. Indeed, the bounds are very large as they depend on Tchebotarev density theorem to predict a prime  $\ell$  of good reduction for  $E$  where Frobenius has order multiple of  $p$  but, in practice, it is usually easy to find such a prime  $\ell$  after trying a few small primes. We refer to [10, Example 31.2] for an example with  $p = 7$  analogous to the discussion in this paragraph.

Our method described in Section 3 for  $p = 7$  could be adapted by replacing the modular curves  $X_E(7)$  by  $X_E(p)$  when explicit equations for the latter are known, which is the case for  $p = 3, 5$  and  $11$ . This method works independently of the image of  $\bar{\rho}_{E,p}$ , so covers the remaining cases (i), (ii) entirely and also case (v) with  $p = 11$ .

**1.3. Notation.** For  $p$  an odd prime, define  $p^* = \pm p \equiv 1 \pmod{4}$ , so that  $\mathbb{Q}(\sqrt{p^*})$  is the quadratic subfield of the cyclotomic field  $\mathbb{Q}(\zeta_p)$ .

Let  $D_n$  denote the dihedral group with  $2n$  elements and  $C_n \subset D_n$  for a normal cyclic subgroup of order  $n$ ; note that  $C_n$  is unique unless  $n = 2$ , in which case  $D_n \simeq C_2 \times C_2$  and there are three such subgroups. We will also denote by  $C \subset \mathrm{GL}_2(\mathbb{F}_p)$  a Cartan subgroup (either split or non-split) and by  $N$  its normalizer in  $\mathrm{GL}_2(\mathbb{F}_p)$ .

For a number field  $K$  we denote by  $G_K$  the absolute Galois group of  $K$ .

Let  $\varepsilon_d$  be the quadratic character of  $G_K$  associated to the extension  $K(\sqrt{d})/K$ .

For  $a, b \in K$ , we write  $E_{a,b}$  for the elliptic curve defined over  $K$  by the short Weierstrass equation  $Y^2 = X^3 + aX + b$ ; every elliptic curve over  $K$  has such a model, unique up to replacing  $(a, b)$  by  $(au^4, bu^6)$  with  $u \in K^*$ .

We will denote by  $I$  the identity matrix in  $\mathrm{GL}_2(\mathbb{F}_p)$ .

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## 2. CONGRUENCES BETWEEN TWISTS

Congruences between elliptic curves which are twists of each other arise in a number of ways in our study; these are often between quadratic twists but they also occur between higher order twists. In this section we study all types of congruences between twists.

Let  $p$  be an odd prime.

Let  $K$  be a number field and  $E/K$  an elliptic curve. If the representation  $\bar{\rho}_{E,p}$  has image contained in the normaliser  $N$  of a Cartan subgroup  $C$  of  $\mathrm{GL}_2(\mathbb{F}_p)$  but not in  $C$  itself, then the projective image  $\mathbb{P}\bar{\rho}_{E,p}(G_K)$  is isomorphic to  $D_n$  for some  $n \geq 2$  (see [19, Theorem XI.2.3]). The preimage of  $C$  in  $G_K$  then cuts out a quadratic extension  $M = K(\sqrt{d})$  satisfying  $\mathbb{P}\bar{\rho}_{E,p}(G_M) \simeq C_n$ . We will see in Theorem 2.4 that in this setting there is a mod  $p$  congruence between  $E$  and its quadratic twist by  $d$ . This construction is the main source of congruences between twists and it appeared already in [12, §2], including a determination of the symplectic type of the congruence; our contribution for this type of congruences is to show that congruences between quadratic twists only occur via this construction, when the projective image is dihedral: see Theorem 2.8. The second contribution of this section is to classify congruences between higher order twists in Theorem 2.15 and describe their symplectic type in Theorem 2.16.

**2.1. Twists of elliptic curves.** Here we recall some standard facts about elliptic curves and their twists. Let  $E$  be an elliptic curve defined over any field  $K$  of characteristic 0.

The twists of  $E$  over  $K$  are parametrized by  $H^1(G_K, \mathrm{Aut}(E))$ . If  $E'$  is a twist of  $E$ , then by definition there exists a  $\bar{K}$ -isomorphism  $t : E \rightarrow E'$  so that, for all  $P \in E(\bar{K})$ , we have  $\sigma(t(P)) = \psi(\sigma)t(\sigma(P))$  where  $\psi : G_K \rightarrow \mathrm{Aut}(E') \cong \mathrm{Aut}(E)$  is a cocycle. Here,  $\mathrm{Aut}(E) \cong \mu_n$  is cyclic of order  $n = 2, 4$  or  $6$  according as  $j(E) \notin \{0, 1728\}$ ,  $j(E) = 1728$  and  $j(E) = 0$  respectively. By Kummer theory,  $H^1(G_K, \mathrm{Aut}(E)) \cong H^1(G_K, \mu_n) \cong K^*/(K^*)^n$ ; hence each  $n$ -twist is determined by a parameter  $u \in K^*$  whose image in  $K^*/(K^*)^n$  determines the isomorphism class of the twist.

In the cases where  $\mathrm{Aut}(E) \neq \{\pm 1\}$ , we make two elementary, but important, observations. First,  $G_K$  acts non-trivially on  $\mathrm{Aut}(E)$ , unless  $-1$  or  $-3$  (respectively for the cases  $n = 4$  and  $n = 6$ ) are squares in  $K$ , so the cocycle is usually not a homomorphism; secondly, there are *two* isomorphisms  $\mathcal{O} = \mathbb{Z}[\zeta_n] \cong \mathrm{End}(E)$  (differing by complex conjugation in  $\mathcal{O}$ ), when  $n = 4$  or  $6$ , and hence two actions of  $\mathcal{O}^* \cong \mathrm{Aut}(E)$  on  $E$ . We fix isomorphisms  $\mathcal{O} \cong \mathrm{End}(E)$  and  $\mathcal{O} \cong \mathrm{End}(E')$ , and hence isomorphisms  $\mu_n = \mathcal{O}^* \cong \mathrm{Aut}(E) \cong \mathrm{Aut}(E')$ , which are normalised (in the sense of [28, Prop. I.1.1]), so that  $\zeta \cdot t(P) = t(\zeta \cdot P)$  for  $P \in E(\bar{K})$  and  $\zeta \in \mathcal{O}^*$ . Then the twist isomorphism  $t$  is an isomorphism of  $\mathcal{O}$ -modules, and we may view the twisting cocycle  $\psi$  as taking values in  $\mathcal{O}^*$ .

Explicitly, in terms of a short Weierstrass equation  $E_{a,b}$  for  $E$ , we fix the action of  $\zeta \in \mathcal{O}^*$  to be  $(x, y) \mapsto (\zeta^2 x, \zeta^3 y)$ , and the  $n$ -twist by  $u \in K^*$  to be  $t : (x, y) \mapsto (v^2 x, v^3 y)$  where  $v \in \bar{K}$  satisfies  $v^n = u$ . Then the associated cocycle is  $\psi(\sigma) = \sigma(v)/v$ , we have

$$\sigma(t(P)) = \psi(\sigma)t(\sigma(P)) = t(\psi(\sigma)\sigma(P)) \quad \text{for all } \sigma \in G_K, P \in E(\bar{K}) \quad (2.1)$$

and  $E$  and its  $n$ -twist by  $u$  become isomorphic over  $K(\sqrt[n]{u})$ , which is an extension of  $K$  of degree dividing  $n$ . We end this subsection with a brief discussion of each kind of twists.

*Quadratic twists* ( $n = 2$ ): we denote by  $E^d$  the quadratic twist of  $E$  by  $d \in K^*$ . If  $E = E_{a,b}$  then  $E^d = E_{ad^2, bd^3}$ .

*Higher twists* ( $n = 4$  and  $n = 6$ ): Quartic twists only exist when  $j(E) = 1728$ . The short Weierstrass model of such a curve has the form  $E_{a,0}$ , and its quartic twist by  $u$  is  $E_{au,0}$ . When  $u = d^2$ , the quartic twist by  $u$  is the same as the quadratic twist by  $d$ .

Sextic twists only exist when  $j(E) = 0$ . The short Weierstrass model of such a curve has the form  $E_{0,b}$ , and its sextic twist by  $u$  is  $E_{0,bu}$ . The sextic twist by  $u = d^3$  is the same as the quadratic twist by  $d$ .

*Remark 2.1.* The quartic twist by  $u = -4$  is trivial if and only if  $-1$  is a square in  $K$ , since  $-4 = (1 + \sqrt{-1})^4$ . The curves  $E_{a,0}$  and  $E_{-4a,0}$  are 2-isogenous over  $K$  (see [27, p. 336]); over  $K(\sqrt{-1})$  this 2-isogeny is the endomorphism  $1 + \sqrt{-1}$ . Note that this twist is *not* a quadratic twist, despite the fact that the curve and its twist become isomorphic over a quadratic extension. Taking  $v = 1 + \sqrt{-1}$  so that  $v^4 = -4$ , the cocycle  $\psi$  takes value  $\psi(\sigma) = \sigma(1 + \sqrt{-1})/(1 + \sqrt{-1}) = -\sqrt{-1}$  when  $\sigma$  does not fix  $\sqrt{-1}$ .

The sextic twist by  $u = -27$  is the quadratic twist by  $-3$ , and is trivial if and only if  $-3$  is a square in  $K$ . The curves  $E_{0,b}$  and  $E_{0,-27b}$  are 3-isogenous over  $K$ ; over  $K(\sqrt{-3})$  this 3-isogeny is the endomorphism  $\sqrt{-3}$ .

**2.2. The mod  $p$  Galois representations of twists.** We now consider the effect of twisting  $E$  on the associated mod  $p$  Galois representations  $\bar{\rho}_{E,p}$ . This is straightforward in the case of quadratic twists, but more involved for higher twists.

Let  $K$  be a number field. Let  $E$  and  $E'$  be elliptic curves over  $K$  having the same  $j$ -invariant  $j = j(E) = j(E')$ . Assume they are not isomorphic over  $K$ . Then  $E$  and  $E'$  are (non-trivial) twists and become isomorphic over an extension  $L/K$ . Write  $d = [L : K]$ .

From the discussion in section 2.1, we have a twist map  $t : E \rightarrow E'$  with an associated cocycle  $\psi : G_K \rightarrow \mu_m \subseteq \mathcal{O}^*$ , where  $m \in \{2, 3, 4, 6\}$ , the *order* of the twist, is the order the subgroup of  $\mathcal{O}^*$  generated by  $\psi(G_K)$ . We have the following cases:

- (i) for arbitrary  $j$ :  $m = d = 2$  (quadratic twists); and additionally,
- (ii) for  $j = 1728$  only:  $m = 4$  and  $d \in \{2, 4\}$  (quartic twists); and
- (iii) for  $j = 0$  only:  $m = d \in \{3, 6\}$  (cubic or sextic twists).

When  $m = 4$ , the case  $d = 2$  occurs only for the special quartic twist by  $-4$  as in Remark 2.1.

Denote by  $t_p : E[p] \rightarrow E'[p]$  the restriction of  $t$  to the  $p$ -torsion. Then (2.1) becomes

$$\sigma(t_p(P)) = \psi(\sigma) \cdot t_p(\sigma(P)) = t_p(\psi(\sigma) \cdot \sigma(P)) \quad \text{for all } \sigma \in G_K, P \in E[p]. \quad (2.2)$$

Let  $P_1, P_2$  be a basis of  $E[p]$ , so that  $t_p(P_1), t_p(P_2)$  is a basis of  $E'[p]$ . With respect to these bases, the map  $t_p$  is represented by the identity matrix in  $\text{GL}_2(\mathbb{F}_p)$  and  $\psi(\sigma)$  by a matrix  $\Psi(\sigma)$  (the same matrix on both  $E[p]$  and  $E'[p]$ ). Then (2.2) implies, for all  $\sigma \in G_K$ , the matrix equation

$$\bar{\rho}_{E',p}(\sigma) = \bar{\rho}_{E,p}(\sigma) \cdot \Psi(\sigma). \quad (2.3)$$

For quadratic twists,  $\Psi(\sigma) = \pm I$ , but in general  $\Psi(\sigma)$  is not scalar. Note that  $\det \Psi(\sigma) = 1$  in all cases, since the determinants of  $\bar{\rho}_{E,p}$  and  $\bar{\rho}_{E',p}$  are both given by the cyclotomic character.

The map  $\Psi : G_K \rightarrow \text{SL}_2(\mathbb{F}_p)$  becomes a homomorphism over an extension  $K'/K$  given by  $K' = K$  if  $m = 2$ ,  $K' = K(\sqrt{-1})$  if  $m = 4$  and  $K' = K(\sqrt{-3})$  if  $m = 3, 6$ . In the quadratic case,  $\Psi$  matches the quadratic character  $\varepsilon_d$  associated to the quadratic extension  $K(\sqrt{d})$  of  $K$  over which the curves become isomorphic.

In general, the representation attached to the twist of  $E$  is obtained from that of  $E$  itself by twisting by the cocycle  $\Psi$ , with values in  $\text{GL}_2(\mathbb{F}_p)$ . For quadratic twists this is just the tensor product by a quadratic character. We summarize this discussion in the following.

**Lemma 2.2.**

- (1) Let  $E'$  be the twist of  $E$  by a cocycle  $\psi$ . Then there is an isomorphism  $t : E \rightarrow E'$ , defined over an extension of  $K$  of degree at most 6, satisfying (2.1). In the case of a quadratic twist with  $E' = E^d$ , the isomorphism  $t$  is defined over  $K(\sqrt{d})$  and satisfies

$$\sigma(t(P)) = \varepsilon_d(\sigma)t(\sigma(P)) \quad \text{for all } \sigma \in G_K \text{ and } P \in E(\overline{K}). \quad (2.4)$$

- (2) For all primes  $p$  we have in general the matrix equation (2.3). In the case of quadratic twists this simplifies to

$$\bar{\rho}_{E^d,p} \cong \bar{\rho}_{E,p} \otimes \varepsilon_d. \quad (2.5)$$

Assuming that  $E$  and  $E'$  are  $p$ -congruent, there exists an isomorphism  $\phi : E[p] \rightarrow E'[p]$  of  $G_K$ -modules. Choosing compatible bases for  $E[p]$  and  $E'[p]$  as above, let  $A \in \mathrm{GL}_2(\mathbb{F}_p)$  be the matrix representing  $\phi$  with respect to them. Then, using (2.3), we have

$$A\bar{\rho}_{E,p}(\sigma)A^{-1} = \bar{\rho}_{E',p}(\sigma) = \bar{\rho}_{E,p}(\sigma) \cdot \Psi(\sigma) \quad \text{for all } \sigma \in G_K \quad (2.6)$$

and the symplectic type of  $\phi$  is determined by the square class of  $\det A$ ; this latter conclusion follows from the fact that  $t_p$  preserves the Weil pairing and [10, Lemma 6]. Moreover, if there is another  $A' \in \mathrm{GL}_2(\mathbb{F}_p)$  satisfying (2.6) then  $\det A' = \det A \cdot \lambda^2$  by Proposition 1.1 (under the natural assumption that  $E[p]$  satisfies condition **(S)**) and so the symplectic type of  $\phi$  is also determined by  $\det A' \bmod$  squares.

**2.3. Projectively dihedral images.** From Proposition 1.1, condition **(S)** follows from absolute irreducibility. Conversely, the next result shows that, in the presence of a  $p$ -congruence between twists, condition **(S)** implies that  $\bar{\rho}_{E,p}$  is absolutely irreducible. Clearly, elliptic curves with CM can only satisfy condition **(S)** when the CM is not defined over the base field  $K$ , or when  $p$  ramifies in the CM field, as otherwise the image is abelian.

**Lemma 2.3.** *Let  $E/K$  be an elliptic curve and  $p$  an odd prime such that  $E[p]$  satisfies condition **(S)**. Assume further that  $j(E) \neq 0$  if  $p = 3$ .*

*If  $E$  is  $p$ -congruent to a twist  $E'$  then  $\bar{\rho}_{E,p}$  is absolutely irreducible.*

*Proof.* For a contradiction, suppose  $\bar{\rho}_{E,p}$  is absolutely reducible. Thus

$$\bar{\rho}_{E,p} \otimes \overline{\mathbb{F}}_p \cong \begin{pmatrix} \chi_1 & h \\ 0 & \chi_2 \end{pmatrix} \quad \text{with } h \neq 0, \quad (2.7)$$

where  $h \neq 0$  follows directly from Proposition 1.1 part (4).

Note that we cannot have  $j(E) = 0$  or 1728, as then  $E$  would have CM by  $\mathbb{Q}(i)$  or  $\mathbb{Q}(\sqrt{-3})$ , and the hypothesis on  $p$  would imply that the image would be in the normalizer of a Cartan subgroup, contradicting (2.7). Therefore  $E$  only admits quadratic twists, and we have  $E' = E^d$  for some non-square  $d \in K^*$ .

Let  $\varepsilon_d$  be the quadratic character associated to the extension  $K(\sqrt{d})/K$ . From the hypothesis  $E[p] \simeq E^d[p]$ , part 2) of Lemma 2.2 and (2.7) it follows that  $\chi_1 = \chi_1 \varepsilon_d$  since both give the Galois action on the unique fixed line. This contradicts  $\varepsilon_d \neq 1$  as  $p \neq 2$ .  $\square$

Under the natural condition **(S)**, the previous lemma tells us we can assume that  $\bar{\rho}_{E,p}$  is absolutely irreducible for our study of congruences between twists. In fact more is true: the next proposition says that congruences between twists arise when the projective image is dihedral of order at least 4 (equivalently, when the image is contained in the normaliser of a Cartan subgroup but not in the Cartan subgroup itself), and only then. The converse part



of the following result is already contained in [12, §2], with a different proof and without the uniqueness statement.

**Theorem 2.4.** *Let  $E/K$  be an elliptic curve and  $p$  an odd prime such that  $E[p]$  satisfies condition (S).*

1) *Assume further  $j(E) \neq 0$  if  $p = 3$ . If  $E$  is  $p$ -congruent to a twist, then the image of  $\bar{\rho}_{E,p}$  is contained in the normaliser of a Cartan subgroup of  $\mathrm{GL}_2(\mathbb{F}_p)$  but not in the Cartan subgroup itself and  $\mathbb{P}\bar{\rho}_{E,p} \simeq D_n$  for some  $n \geq 2$ .*

2) *Conversely, if the image of  $\bar{\rho}_{E,p}$  is absolutely irreducible and contained in the normaliser of a Cartan subgroup of  $\mathrm{GL}_2(\mathbb{F}_p)$  but not in the Cartan subgroup itself, then we have  $E[p] \cong E'[p]$  where  $E'$  is the (non-trivial) twist associated to the quadratic extension  $K(\sqrt{d})$  cut out by the Cartan subgroup. This is the quadratic twist  $E^d$  unless  $j(E) = 1728$  and  $d = -1$ , in which case it is the quartic twist by  $-4$ .*

*Moreover, there is a unique such twist  $E'$  which is  $p$ -congruent to  $E$ , except when the projective image has order 4, in which case there are three (non-trivial) such twists.*

*Proof.* 1) Let  $E'$  be a  $p$ -congruent twist of  $E$ . If  $E'$  is a quartic or sextic twist of  $E$  then  $j(E) = 0, 1728$  and  $E$  has CM (not defined over  $K$ , since the image is not in a Cartan subgroup by condition (S)) by  $\mathbb{Q}(\sqrt{-1})$  or  $\mathbb{Q}(\sqrt{-3})$ , respectively; moreover, since  $p$  is not ramified in the CM field, the image is inside the normalizer of a Cartan subgroup and is projectively isomorphic to  $D_n$  for some  $n \geq 2$ .

Therefore we can assume  $E' = E^d$  is the quadratic twist of  $E$  by a non-square  $d \in K^*$ . From Lemma 2.3 we know that  $\bar{\rho}_{E,p}$  is absolutely irreducible.

Taking traces in (2.5), for all  $\sigma \in G_K$  with  $\varepsilon_d(\sigma) = -1$ , the image  $\bar{\rho}_{E,p}(\sigma)$  has trace 0. So the image  $H = \bar{\rho}_{E,p}(G_K)$  has a subgroup  $H^+ = \bar{\rho}_{E,p}(G_{K(\sqrt{d})})$  of index 2 such that all elements of  $H \setminus H^+$  have trace 0; such elements have order 2 in  $\mathrm{PGL}_2(\mathbb{F}_p)$ . From the irreducibility of  $E[p]$  and the classification of subgroups of  $\mathrm{PGL}_2(\mathbb{F}_p)$  ([19, Theorem XI.2.3]), it follows that  $H$  is contained in the normaliser of a Cartan subgroup  $C$  and  $H^+ = H \cap C$ .

2) For the converse, suppose that  $\bar{\rho}_{E,p}$  is absolutely irreducible and has image contained in the normalizer  $N \subset \mathrm{GL}_2(\mathbb{F}_p)$  of a Cartan subgroup  $C$ , but is not contained in  $C$  itself. Thus  $\mathbb{P}\bar{\rho}_{E,p} \simeq D_n$  for  $n \geq 2$  and  $\mathrm{Tr} \bar{\rho}_{E,p}(\sigma) = 0$  for all  $\sigma \in G_K$  such that  $\bar{\rho}_{E,p}(\sigma) \notin C$ .

Let  $K(\sqrt{d})$  be the quadratic extension cut out by  $\bar{\rho}_{E,p}^{-1}(C)$ , with associated character  $\varepsilon_d$  as above. First suppose that we are not in the special case where  $j(E) = 1728$  and  $K(\sqrt{d}) = K(\sqrt{-1})$ . Set  $E' = E^d$ , the quadratic twist. By (2.5), for all  $\sigma \in G_K$  we have the following equality of traces

$$\mathrm{Tr} \bar{\rho}_{E^d,p}(\sigma) = \varepsilon_d(\sigma) \cdot \mathrm{Tr} \bar{\rho}_{E,p}(\sigma).$$

Clearly, if  $\varepsilon_d(\sigma) = 1$  then  $\mathrm{Tr} \bar{\rho}_{E,p}(\sigma) = \mathrm{Tr} \bar{\rho}_{E^d,p}(\sigma)$ . If  $\varepsilon_d(\sigma) = -1$  then  $\bar{\rho}_{E,p}(\sigma) \in \bar{\rho}_{E,p}(G_K) \setminus C$  and  $\mathrm{Tr} \bar{\rho}_{E,p}(\sigma) = 0$ , so also  $\mathrm{Tr} \bar{\rho}_{E^d,p}(\sigma) = 0$ . Then,  $\mathrm{Tr} \bar{\rho}_{E,p}(\sigma) = \mathrm{Tr} \bar{\rho}_{E^d,p}(\sigma)$  for all  $\sigma \in G_K$ . Since  $\bar{\rho}_{E,p}$  and  $\bar{\rho}_{E^d,p}$  are absolutely irreducible and have the same traces, they are isomorphic.

In the special case,  $E'$  is the quartic twist of  $E$  by  $-4$ , since these become isomorphic over  $K(\sqrt{-1})$ ; now  $E$  and  $E'$  are isogenous, so are  $p$ -congruent for all odd  $p$ .

For the last part, we note that  $D_2 \cong C_2 \times C_2$  has three cyclic subgroups of index 2, while  $D_n$  for  $n \geq 3$  has only one such subgroup.  $\square$

**2.4. The symplectic type of congruences between quadratic twists.** A special case of the situation described in Theorem 2.4 is the case of elliptic curves with CM. Here, the quadratic twists are isogenous to the original curve so we may already determine the symplectic nature of the congruence. For simplicity we state such a result only over  $\mathbb{Q}$ .

**Corollary 2.5.** *Let  $E/\mathbb{Q}$  be an elliptic curve with CM by the imaginary quadratic order of (negative) discriminant  $-D$ . Set  $M = \mathbb{Q}(\sqrt{-D})$ .*

- (1) *For  $D \neq 3, 4$ :  $E[p] \simeq E^{-D}[p]$  for all primes  $p \geq 5$  unramified in  $M$ . This congruence is symplectic if and only if  $\left(\frac{D}{p}\right) = +1$ . For each such  $p$ ,  $E^{-D}$  is the unique quadratic twist of  $E$  which is  $p$ -congruent to  $E$ .*
- (2) *For  $D = 3$ :  $E[p] \simeq E^{-D}[p]$  for all primes  $p \equiv \pm 1 \pmod{9}$ . This congruence is symplectic if and only if  $\left(\frac{D}{p}\right) = +1$ . For each such  $p$ ,  $E^{-D}$  is the unique quadratic twist of  $E$  which is  $p$ -congruent to  $E$ .*
- (3) *For  $D = 4$ : let  $E'$  be the quartic twist of  $E$  by  $-4$ , so that  $E$  and  $E'$  are isomorphic over  $M$  but not over  $\mathbb{Q}$ . Again,  $E[p] \simeq E'[p]$  for all primes  $p \geq 5$ . This congruence is symplectic if and only if  $\left(\frac{2}{p}\right) = +1$ . For each such  $p$ , there are no quadratic twists of  $E$  which are  $p$ -congruent to  $E$ .*

*Proof.* Since  $E$  has CM by  $M$  it follows from [29, Propositions 1.14 and 1.16] that the image of  $\bar{\rho}_{E,p}$  is the full normalizer of a Cartan subgroup. (Here, the condition  $p \equiv \pm 1 \pmod{9}$  is needed to ensure this when  $D = 3$ .) Moreover,  $\mathbb{P}\bar{\rho}_{E,p} \simeq D_n \neq C_2 \times C_2$  (since  $p \geq 5$ ) and  $\mathbb{P}\bar{\rho}_{E,p}(G_M) \simeq C_n$ . Thus, for each such  $p$ , in the notation of Theorem 2.4, we have  $K(\sqrt{d}) = M$ , so  $E[p] \cong E^{-D}[p]$  except for  $D = 4$  when  $E[p] \cong E'[p]$  with  $E'$  the quartic twist of  $E$  by  $-4$ . Since the projective image is not  $C_2 \times C_2$ , in each case there are no more  $p$ -congruences with curves isomorphic to  $E$  over quadratic extensions (cf. Theorem 2.4).

For the symplectic types, observe that  $E$  and  $E'$  are isogenous via an isogeny of degree 2 for  $D = 4$  (see Remark 2.1), while for  $D \neq 4$  there is an isogeny of degree  $D$  from  $E$  to  $E^{-D}$ , obtained by composing the twist isomorphism with the endomorphism  $\sqrt{-D}$ , which is defined over  $\mathbb{Q}$ .  $\square$

*Remark 2.6.* In our computed data in Section 3, we do not see usually isomorphisms arising from CM curves as in this corollary. This is because (apart from the CM cases where quartic or sextic twists occur) all such mod  $p$  isomorphisms occur within an isogeny class, and we have omitted these from consideration.

Note that if  $\mathbb{P}\bar{\rho}_{E,p}(G_K)$  is cyclic then  $\bar{\rho}_{E,p}(G_K)$  is abelian. In particular, the smallest projective image occurring when  $\bar{\rho}_{E,p}$  is absolutely irreducible is  $\mathbb{P}\bar{\rho}_{E,p}(G_K) \simeq D_2 \simeq C_2 \times C_2$ . The next result will be used below to determine the symplectic type of congruences in the presence of this kind of image.

**Lemma 2.7.** *Let  $p$  be an odd prime. Let  $N$  be a subgroup of  $\mathrm{PGL}_2(\mathbb{F}_p)$  isomorphic to  $C_2 \times C_2$ , so that there are three subgroups  $C < N$  of index 2.*

*If  $N \leq \mathrm{PSL}_2(\mathbb{F}_p)$ , then every such  $C$  is contained in a Cartan subgroup and  $N$  in its normalizer, and each  $C$  is split when  $p \equiv 1 \pmod{4}$  and non-split when  $p \equiv 3 \pmod{4}$ .*

If  $N \not\leq \mathrm{PSL}_2(\mathbb{F}_p)$ , then for  $p \equiv 1 \pmod{4}$ , one such subgroup  $C$  is contained in a split Cartan subgroup while the other two are contained in non-split Cartan subgroups; while if  $p \equiv 3 \pmod{4}$  then one  $C$  is non-split and the other two are split.

*Proof.* First note that in  $\mathrm{PGL}_2(\mathbb{F}_p)$ , the condition of having zero trace is well-defined, and the determinant is also well-defined modulo squares. Also,  $\mathrm{PSL}_2(\mathbb{F}_p)$  is the subgroup of  $\mathrm{PGL}_2(\mathbb{F}_p)$  of elements with square determinant, which has index 2. Hence either  $N \leq \mathrm{PSL}_2(\mathbb{F}_p)$ , and all elements of  $N$  have square determinant, or  $[N : N \cap \mathrm{PSL}_2(\mathbb{F}_p)] = 2$ , in which case exactly one of the elements of order 2 has square determinant.

Examination of the characteristic polynomial shows that the elements of order 2 in  $\mathrm{PGL}_2(\mathbb{F}_p)$  are precisely those with trace zero, and these elements are split (having 2 fixed points on  $\mathbb{P}^1(\mathbb{F}_p)$ ) if the determinant is minus a square, and non-split (having no fixed points) otherwise. Hence when  $p \equiv 1 \pmod{4}$ , elements of order 2 are split if and only if they lie in  $\mathrm{PSL}_2(\mathbb{F}_p)$ , while for  $p \equiv 3 \pmod{4}$  the reverse is the case. The result follows.  $\square$

The next theorem describes the symplectic type of congruences between general quadratic twists. Since the projective image is dihedral (by Theorem 2.4), this is a situation where the local methods from [10] may not apply, as is illustrated by Example 2.9 below. The first part of the theorem (with  $K = \mathbb{Q}$ ) is again already in [12, §2], with essentially the same proof; we include it here in order to include the second part, which describes a situation that cannot occur over  $\mathbb{Q}$  except for very small primes. See Example 2.10 below for an example with  $p = 3$ .

**Theorem 2.8.** *Let  $p$  be an odd prime. Let  $E/K$  be an elliptic curve  $p$ -congruent to some quadratic twist  $E^d$ . Assume  $E[p]$  is an absolutely irreducible  $G_K$ -module, so that  $j(E) \neq 0$  if  $p = 3$ .*

- (1) *Let  $C$  be the Cartan subgroup of  $\mathrm{GL}_2(\mathbb{F}_p)$  associated to the extension  $K(\sqrt{d})/K$  in Theorem 2.4. Then the congruence is symplectic if and only if either  $C$  is split and  $p \equiv 1 \pmod{4}$ , or  $C$  is non-split and  $p \equiv 3 \pmod{4}$ .*
- (2) *When  $\mathbb{P}\bar{\rho}_{E,p} \cong C_2 \times C_2$ , there are three different quadratic<sup>2</sup> twists of  $E$  which are  $p$ -congruent to  $E$ . If  $\sqrt{p^*} \in K$  then all three congruences are symplectic. Otherwise, one of the quadratic twists is by  $K(\sqrt{p^*})$  and is symplectic while the other two are anti-symplectic.*

*Proof.* (1) We will define a matrix  $A \in \mathrm{GL}_2(\mathbb{F}_p)$  satisfying (2.6) and  $\det(A) = -\delta$ , where  $\delta \in \mathbb{F}_p^*$  is a square if  $C$  is split and a non-square if  $C$  is non-split. Then, the map  $E[p] \rightarrow E'[p]$  corresponding to  $A$  is then a  $G_K$ -equivariant isomorphism which, by the discussion following (2.6), is symplectic if and only if  $-\delta$  is a square. From this, part (1) follows by considering the four cases:  $\delta$  square/non-square and  $p \equiv \pm 1 \pmod{4}$ .

Let  $H = G_{K(\sqrt{d})}$  be the index 2 subgroup cut out by the homomorphism  $\varepsilon_d : G_K \rightarrow \{\pm 1\}$ .

The projective image  $\mathbb{P}\bar{\rho}_{E,p}(H)$  is a subgroup of  $\mathbb{P}(C) \subset \mathrm{PGL}_2(\mathbb{F}_p)$  and the latter is cyclic of even order  $p \pm 1$  (depending on whether  $C$  is split or non-split). Hence  $\mathbb{P}(C)$  contains a unique element of order 2. Let  $A \in C \subset \mathrm{GL}_2(\mathbb{F}_p)$  be any lift of this element. Then  $A$  is not scalar, while  $A^2$  is scalar, so by Cayley-Hamilton we have  $\mathrm{Tr}(A) = 0$  and, by the proof of Lemma 2.7, we have that  $-\det(A) = \delta$  is square if and only if the Cartan subgroup  $C$  is split.

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<sup>2</sup>when  $j(E) = 1728$  one of these is the quartic twist by  $-4$  so not in fact quadratic.

Since  $A$  is central in  $\bar{\rho}_{E,p}(G_K)$  modulo scalars, for all  $g \in \bar{\rho}_{E,p}(G_K)$  we have  $AgA^{-1} = \lambda(g)g$  with a scalar  $\lambda(g) = \pm I$  (comparing determinants). Now  $\lambda(g) = I$  if and only if  $g$  commutes with  $A$  which—since  $A$  is a non-scalar element of the Cartan subgroup—is if and only if  $g$  is itself in the Cartan subgroup, that is, if and only if  $g = \bar{\rho}_{E,p}(\sigma)$  with  $\sigma \in H$ , so (2.6) holds.

(2) Since the determinant of  $\bar{\rho}_{E,p}$  is the mod  $p$  cyclotomic character, we have  $\mathbb{P}\bar{\rho}_{E,p}(G_K) \subseteq \mathrm{PSL}_2(\mathbb{F}_p)$  if and only if  $\sqrt{p^*} \in K$ . When this is the case, by Lemma 2.7 we see that each index 2 subgroup of the projective image is split when  $p \equiv 1 \pmod{4}$  and each is non-split when  $p \equiv 3 \pmod{4}$ . By part (1), it follows in both cases that the congruences between  $E$  and each of the three twists are all symplectic.

Now suppose that  $\sqrt{p^*} \notin K$ . By Lemma 2.7 again, exactly one of the three subgroups is split when  $p \equiv 1 \pmod{4}$ , and exactly one is non-split when  $p \equiv 3 \pmod{4}$ , so in both cases exactly one congruence is symplectic. Moreover, since the subgroup  $C$  inducing the symplectic congruence is the unique one contained in  $\mathrm{PSL}_2(\mathbb{F}_p)$ , the associated quadratic extension is  $K(\sqrt{p^*})$ .  $\square$

**Example 2.9.** *Consider the elliptic curve*

$$E : y^2 + y = x^3 - x^2 - 74988699621831x + 238006866237979285299,$$

*which has conductor  $N_E = 7^2 \cdot 2381 \cdot 134177^2 > 2 \cdot 10^{15}$ , so is not in the LMFDB database. This example was found using the explicit parametrization of curves for which the image of the mod 7 Galois representation is contained in the normalizer of a non-split Cartan subgroup; we verified by explicit computation that the mod 7 image is equal to the full normalizer and that the Cartan subgroup cuts out the field  $\mathbb{Q}(\sqrt{d})$  where  $d = -7 \cdot 134177$ .*

*Consider  $E^d$ , the quadratic twist of  $E$  by  $d$ . From Theorem 2.4 we have that  $E[7] \simeq E^d[7]$  as  $G_{\mathbb{Q}}$ -modules and part (1) of Theorem 2.8 yields that  $E[7]$  and  $E^d[7]$  are symplectically isomorphic (and not anti-symplectically isomorphic). We note that the same conclusion can be obtained via the general method from Section 3 and, more interestingly, none of the local criteria in [10] applies for this case.*

**Example 2.10.** *For an example with projective image  $C_2 \times C_2$ , let  $E$  be the elliptic curve with label 6534a1, of conductor  $6534 = 2 \cdot 3^3 \cdot 11^2$ .*

*The image of the mod 3 Galois representation is the normalizer of the split Cartan subgroup, which is projectively isomorphic to  $D_2 = C_2 \times C_2$ . The three quadratic subfields of the projective 3-division field are  $\mathbb{Q}(\sqrt{-3})$ ,  $\mathbb{Q}(\sqrt{-11})$ , and  $\mathbb{Q}(\sqrt{33})$ ; the corresponding quadratic twist of  $E$  are  $E^{-3} = 6534v1$ ,  $E^{-11} = 6534p1$ , and  $E^{33} = 6534h1$  respectively. All four curves have isomorphic mod 3 representations by Theorem 2.4, the isomorphism being anti-symplectic between  $E$  and  $E^{-11}$  and  $E^{33}$ , and symplectic between  $E$  and  $E^{-3}$ , in accordance with part (2) of Theorem 2.8.*

**2.5. Congruences between higher order twists.** In this section we will study, under condition (S), the congruences between an elliptic curve  $E/K$  and its quartic, cubic or sextic twists by  $u \in K$ .

Note that if  $u = -s^2$  then  $u = -4(s/2)^2$  and the quartic twist by  $u$  is obtained by composing the quartic twist by  $-4$  with a quadratic twist; similarly, if  $u = -3s^2$  then  $u = -27(s/3)^2$  and the sextic twist by  $u$  is obtained by composing the quadratic twist by  $-27$  with a cubic twist. Since the quartic twist by  $-4$  and the quadratic twist by  $-27$  correspond to isogenies (see Remark 2.1) their effect on the symplectic type is known; observe

also that both these cases are covered by the theory in Sections 2.3 and 2.4. In view of this, we fix the following natural assumptions for this and the next section.

Let  $p$  be an odd prime. Let  $E/K$  be an elliptic curve with  $j(E) = 0, 1728$  and let  $u \in K^*$ .

- If  $j(E) = 1728$ , assume also  $K' = K(\sqrt{-1}) \neq K$ ,  $u \neq -1$  modulo squares;
- If  $j(E) = 0$ , assume also  $K' = K(\sqrt{-3}) \neq K$ ,  $u \neq -3$  modulo squares and  $p \neq 3$ .

**Lemma 2.11.** *Let  $E/K$  be as above.*

- (1) *The projective image  $\mathbb{P}\bar{\rho}_{E,p}(G_K)$  is dihedral  $D_n$  for some  $n \geq 2$ , and the projective image of  $G_{K'}$  is  $C_n$ .*
- (2) *After extending scalars from  $\mathbb{F}_p$  to  $\mathbb{F}_{p^2}$  if necessary, there is a basis for  $E[p]$  with respect to which for  $\sigma \in G_{K'}$  we have*

$$\bar{\rho}_{E,p}(\sigma) = c(\sigma) \cdot \text{diag}(1, \varepsilon(\sigma)) \quad (2.8)$$

*with  $c(\sigma) \in \mathbb{F}_p^*$  and  $\varepsilon : G_{K'} \rightarrow \mathbb{F}_p^*$  a character of exact order  $n$ .*

*Proof.* Part (1) follows from standard facts on CM curves plus our running assumptions and (2) follows by diagonalising the Cartan subgroup over  $\mathbb{F}_p$  in the split case and over  $\mathbb{F}_{p^2}$  in the non-split case.  $\square$

**Lemma 2.12.** *Let  $E/K$  and  $u \in K^*$  be as above. For  $m \in \{3, 4, 6\}$ , let  $\psi$  be the order  $m$  cocycle associated to  $u$ , with values in  $\text{Aut}(E) \cong \mathcal{O}^*$  where  $\mathcal{O} \cong \mathbb{Z}[\zeta_m]$ .*

*After extending scalars to  $\mathbb{F}_p$  and changing basis so that (2.8) holds, the matrices  $\Psi(\sigma)$  in (2.3) become diagonal. More precisely,*

$$\Psi(\sigma) = \text{diag}(\eta(\sigma), \eta(\sigma)^{-1})$$

*where  $\eta : G_K \rightarrow \mathbb{F}_p^*$  is a map whose restriction to  $G_{K'}$  is a homomorphism of order exactly  $m$ .*

*Proof.* Recall that we have fixed an isomorphism  $\text{End}(E) \cong \mathcal{O}$  and that  $\bar{\rho}_{E,p}(G_{K'}) \subset C$  for some Cartan subgroup  $C \subset \text{GL}_2(\mathbb{F}_p)$ . Hence  $\mathcal{O}$  acts on  $E[p]$  via matrices which are in  $C$  since the endomorphisms are all defined over  $K'$  and so their action commutes with that of  $G_{K'}$ , and the action of  $G_{K'}$  is not scalar. Note that the basis giving (2.8) diagonalizes the whole of  $C$ , therefore  $\Psi(\sigma)$  is diagonal. Finally, an endomorphism  $\alpha$  acts via a matrix of determinant  $\deg(\alpha)$  so  $\mathcal{O}^*$  acts via diagonal matrices of determinant 1 as stated.

The map  $\eta$  is obtained as follows. In the split case  $p\mathcal{O} = \mathfrak{p}\bar{\mathfrak{p}}$  and  $\eta(\sigma)$  is the image of  $\psi(\sigma)$  under the isomorphism  $\text{End}(E) \cong \mathcal{O}$  followed by the reduction  $\mathcal{O} \rightarrow \mathcal{O}/\mathfrak{p} \cong \mathbb{F}_p$  which induces a reduction homomorphism  $\eta : \mathcal{O}^* \rightarrow \mathbb{F}_p^*$ . Interchanging  $\mathfrak{p}$  and  $\bar{\mathfrak{p}}$  has the effect of replacing  $\eta$  by its inverse; we make an arbitrary but fixed choice.

In the non-split case,  $p\mathcal{O} = \mathfrak{p}$  and  $\eta(\sigma)$  is the image of  $\psi(\sigma)$  under the isomorphism followed by the reduction  $\mathcal{O} \rightarrow \mathcal{O}/\mathfrak{p} \cong \mathbb{F}_{p^2}$ . This induces a homomorphism  $\eta : \mathcal{O}^* \rightarrow \mathbb{F}_{p^2}^*$  for which there are two choices since we may compose with the nontrivial automorphism of  $\mathbb{F}_{p^2}$ .

By definition, the map  $\eta$  becomes a homomorphism of order  $m$  when restricted to  $G_{K'}$  because this is the case for  $\psi$  by cases (ii) and (iii) of Section 2.2, given that we have excluded the special quartic twist by  $u = -4$ .  $\square$

**Lemma 2.13.** *Keeping the notation of Lemmas 2.11 and 2.12, let  $E'$  be the order  $m$  twist of  $E$  by  $u$  with  $m \in \{3, 4, 6\}$ , and suppose also that  $E$  and  $E'$  are  $p$ -congruent. Then  $\varepsilon(\sigma) = \eta(\sigma)$  for all  $\sigma \in G_{K'}$ , and  $\mathbb{P}\bar{\rho}_{E,p}(G_K) \simeq D_n$  where  $n = m$ .*

*Proof.* Let  $A \in \mathrm{GL}_2(\mathbb{F}_p)$  be the matrix of an isomorphism  $E[p] \rightarrow E'[p]$ . For  $\sigma \in G_{K'}$  we have, from (2.6) and after cancelling the scalar factor  $c(\sigma)$  from each side,

$$A \operatorname{diag}(1, \varepsilon(\sigma)) A^{-1} = \operatorname{diag}(1, \varepsilon(\sigma)) \operatorname{diag}(\eta(\sigma), \eta(\sigma)^{-1}).$$

Now since  $A$  conjugates a non-scalar diagonal matrix into another diagonal matrix, it is either itself diagonal or is anti-diagonal. But  $A$  cannot be diagonal since that would imply  $\eta(\sigma) = 1$  for all  $\sigma \in G_{K'}$  (which is not the case because we have excluded the special quartic twist), so  $A$  is anti-diagonal. Therefore, conjugating any diagonal matrix by  $A$  just interchanges the two diagonal entries, so the previous equation becomes

$$\operatorname{diag}(\varepsilon(\sigma), 1) = \operatorname{diag}(1, \varepsilon(\sigma)) \operatorname{diag}(\eta(\sigma), \eta(\sigma)^{-1}).$$

Hence  $\varepsilon(\sigma) = \eta(\sigma)$  for all  $\sigma \in G_{K'}$ , thus  $n = m$  and the statement of the lemma follows.  $\square$

The preceding lemma shows that, for  $n \in \{3, 4, 6\}$ , a necessary condition for the existence of a  $p$ -congruence between  $E$  with a twist  $E'$  of order  $n$  is that the projective mod  $p$  image is isomorphic to  $D_n$ . We next show that this condition is also sufficient. First we have an elementary lemma.

**Lemma 2.14.** *Let  $n \in \{3, 4, 6\}$ , and let  $K$  be a number field not containing the  $n$ th roots of unity. Let  $F/K$  be a Galois extension with  $\operatorname{Gal}(F/K) \cong D_n$ , and assume that the subfield  $K'$  of  $K$  fixed by the unique cyclic subgroup of order  $n$  is  $K' = K(\sqrt{-1})$  if  $n = 4$  and  $K' = K(\sqrt{-3})$  if  $n = 3, 6$ .*

*Then there exists  $u \in K$  such that  $F$  is the splitting field of  $X^n - u$ .*

*Proof.* Write  $\operatorname{Gal}(F/K) = \langle \sigma, \tau \mid \sigma^n = \tau^2 = 1, \tau\sigma\tau = \sigma^{-1} \rangle$ . The fixed field of  $\sigma$  is  $K'$ . Since  $K'$  contains the  $n$ th roots of unity, by Kummer Theory,  $F = K'(\sqrt[n]{u})$  for some  $u \in K'$ . Now  $\sigma(\sqrt[n]{u}) = \zeta \sqrt[n]{u}$  with  $\zeta$  a primitive  $n$ th root of unity, and either  $\tau(u) = u$  or  $\tau(u) = \bar{u}$  (the  $K'/K$ -conjugate of  $u$ ). In the first case,  $u \in K$  and the result follows.

Suppose that  $\tau(u) = \bar{u} \neq u$ . Since  $(\tau(\sqrt[n]{u}))^n = \tau(u) = \bar{u}$ , we may set  $\sqrt[n]{\bar{u}} = \tau(\sqrt[n]{u})$ . Using  $\sigma = \tau\sigma^{-1}\tau$  we find that  $\sigma(\sqrt[n]{\bar{u}}) = \zeta \sqrt[n]{\bar{u}}$ . Hence  $v = \sqrt[n]{\bar{u}}/\sqrt[n]{u} \in K'$ , so  $\sqrt[n]{\bar{u}} = \sqrt[n]{u}v$ ; applying  $\tau$  gives

$$\sqrt[n]{u} = \sqrt[n]{\bar{u}} \bar{v} = \sqrt[n]{u} v \bar{v},$$

so  $v\bar{v} = 1$ . Then  $v(1 + \bar{v}) = 1 + v$  and it follows that  $u_1 = u(1 + v)^n \in K$ . Replacing  $u$  by  $u_1$  completes the argument.  $\square$

**Theorem 2.15.** *Let  $E/K$  and  $p$  be as above. Suppose that  $\mathbb{P}\bar{\rho}_{E,p}(G_K) \simeq D_n$  where  $n = 4$  if  $j(E) = 1728$  and  $n \in \{3, 6\}$  if  $j(E) = 0$ . Then  $E$  is  $p$ -congruent to an  $n$ -twist.*

*Moreover, for  $n = 3$  there is a unique such twist, while for  $n = 4$  there are two which are 2-isogenous to each other and for  $n = 6$  there are two which are 3-isogenous to each other.*

*Proof.* The projective  $p$ -division field of  $E$  is the Galois extension  $F/K$  fixed by  $\mathbb{P}\bar{\rho}_{E,p}$  with  $\operatorname{Gal}(F/K) \simeq D_n$ . By Lemma 2.5 we can write it as  $F = K'(\sqrt[n]{u})$  for some  $u \in K^*$ , which is unique up to replacing  $u$  by  $u^{-1}$  and multiplication by an element of  $K^* \cap (K'^*)^n$ . (Here we use that fact that  $\operatorname{Aut}(C_n) \cong \{\pm 1\}$  for  $n \in \{3, 4, 6\}$ .)

Keeping the notations from the previous lemmas, to prove the first part of the theorem we will construct a matrix  $A \in \mathrm{GL}_2(\mathbb{F}_p)$  giving the  $G_K$ -isomorphism  $E[p] \rightarrow E'[p]$ .

Indeed, the character  $\varepsilon : G_{K'} \rightarrow \overline{\mathbb{F}}_p^*$  has exact order  $n$  and cuts out the extension  $F/K'$ . Now let  $\psi : G_K \rightarrow \mu_n \subseteq \mathcal{O}^*$  be the cocycle associated to  $u$ , namely

$$\sigma \mapsto \psi(\sigma) = \sigma(\sqrt[n]{u})/\sqrt[n]{u}.$$

The restriction of  $\psi$  to  $G_{K'}$  is a character of order  $n$  which cuts out the same extension  $F/K'$ , so it is either  $\varepsilon$  or  $\varepsilon^{-1}$ . Replacing  $u$  by  $u^{-1}$  if necessary, we may assume that  $\psi|_{G_{K'}} = \varepsilon$ .

Now let  $E'$  be the order  $n$  twist of  $E$  by  $u$ . As before, we have  $\Psi(\sigma) = \text{diag}(\eta(\sigma), \eta^{-1}(\sigma))$  where  $\eta|_{G_{K'}} = \psi|_{G_{K'}} = \varepsilon$  by Lemma 2.13. Thus, for  $\sigma \in G_{K'}$ , we have

$$\Psi(\sigma) = \text{diag}(\varepsilon(\sigma), \varepsilon(\sigma)^{-1}),$$

and so, the same computation as in the proof of Lemma 2.13 gives that, for any anti-diagonal matrix  $A$  and all  $\sigma \in G_{K'}$ , we have

$$A \text{diag}(1, \varepsilon(\sigma)) A^{-1} = \text{diag}(\varepsilon(\sigma), 1) = \text{diag}(1, \varepsilon(\sigma)) \Psi(\sigma),$$

that is (2.6) holds for  $\sigma \in G_{K'}$ . To show that (2.6) holds for all  $\sigma \in G_K$ , it suffices, since both sides of (2.6) are homomorphisms  $G_K \rightarrow \text{GL}_2(\mathbb{F}_p)$ , to do so for a single element  $\tau \in G_K \setminus G_{K'}$ .

Write  $\Psi(\tau) = \text{diag}(w, w^{-1})$  with  $w \in \mathbb{F}_{p^2}^*$ . Define

$$A = \bar{\rho}_{E,p}(\tau) \text{diag}(w, 1) = \text{diag}(1, w) \bar{\rho}_{E,p}(\tau),$$

the second equality following since  $\bar{\rho}_{E,p}(\tau)$  is antidiagonal. Then  $\bar{\rho}_{E,p}(\tau) A^{-1} = \text{diag}(1, w^{-1})$ , so

$$A \bar{\rho}_{E,p}(\tau) A^{-1} = \bar{\rho}_{E,p}(\tau) \Psi(\tau)$$

as required.

In the split Cartan case we are done, as the diagonalization of  $C$  occurs in  $\text{GL}_2(\mathbb{F}_p)$  and so (2.6) holds with  $A \in \text{GL}_2(\mathbb{F}_p)$ . In the non-split case, we have shown that equation (2.6) holds over  $\text{GL}_2(\mathbb{F}_{p^2})$ . By undoing the initial change of coordinates we obtain that (2.6) holds in  $\text{GL}_2(\mathbb{F}_p)$ .

We will now prove the second statement. First, recall from the first paragraph that  $u \in K^*$  is unique up to inverse and multiplication by an element in  $K^* \cap (K'^*)^n$ . Therefore, up to  $n$ th powers in  $K^*$ , we have either four or two possible choices for  $u$ , namely

- $\{u, u^{-1}, -4u, -4u^{-1}\}$  if  $n = 4$ ;
- $\{u, u^{-1}, -27u, -27u^{-1}\}$  if  $n = 6$ ;
- $\{u, u^{-1}\}$  if  $n = 3$ .

This follows from the observation that the natural map  $K^*/(K^*)^n \rightarrow (K'^*)/(K'^*)^n$  is injective when  $n = 3$ , and has kernel  $\{1, -4\}$  for  $n = 4$  and  $\{1, -27\}$  for  $n = 6$ .

Secondly, observe that the construction in the first part of the proof only works for exactly one out of each inverse pair  $u, u^{-1} \bmod n$ th powers, so we have two quartic or sextic twists when  $n = 4$  or  $n = 6$  respectively, and just one cubic twist when  $n = 3$ . The two quartic twists differ by the quartic twist by  $-4$ , so by a 2-isogeny, while the two sextic twists differ by the sextic twist by  $-27$ , so by a 3-isogeny (see Remark 2.1).  $\square$

See Theorem 1.2 for applications of this theorem, including the determination of the symplectic type of the congruences using Theorem 2.16 below.

**2.6. The symplectic type of congruences between higher order twists.** We have classified above, under condition (S), exactly when congruences between twists occur. To complete this part of our study we are left to describe the symplectic type of congruences between higher order twists. This is given by the following result.

**Theorem 2.16.** *Let  $p$  be an odd prime,  $K$  a number field,  $E/K$  an elliptic curve, and  $u \in K^*$ . Assume that*

- either:  $j(E) = 1728$ ,  $\sqrt{-1} \notin K$ ,  $u \neq \pm 1$  modulo squares, and  $n = 4$ ;
- or:  $j(E) = 0$ ,  $\sqrt{-3} \notin K$ ,  $u \neq 1, -3$  modulo squares, and  $n = 3$  or  $6$ .

*Let  $E'/K$  be the order  $n$  twist of  $E/K$  by  $u$ . Suppose that  $E$  and  $E'$  are  $p$ -congruent.*

- (1) *If  $\sqrt{p^*} \in K$  then  $E[p]$  and  $E'[p]$  are symplectically isomorphic and  $p \equiv \pm 1 \pmod{2n}$ .*
- (2) *Assume  $\sqrt{p^*} \notin K$ . Then:*
  - (a) *if  $n = 3$  then  $E[p]$  and  $E'[p]$  are anti-symplectically isomorphic;*
  - (b) *if  $n = 4$  then  $E[p]$  and  $E'[p]$  are symplectically isomorphic if and only if  $\sqrt{up^*} \in K$ , and the congruence with the quartic twist by  $-4u$  has the opposite symplectic type; moreover,  $p \equiv \pm 3 \pmod{8}$ ;*
  - (c) *if  $n = 6$  then  $E[p]$  and  $E'[p]$  are symplectically isomorphic if and only if  $\sqrt{up^*} \in K$ , and then the sextic twist by  $-27u$  is antisymplectic; moreover,  $p \equiv \pm 5 \pmod{12}$ .*

*Proof.* This proof builds on the proof of Theorem 2.15. Indeed, we have chosen  $\tau \in G_K$  to be such that it fixes  $\sqrt[n]{u}$  and is non-trivial when restricted to  $K'$  and we let  $A = \bar{\rho}_{E,p}(\tau)$ . We have shown that  $A$  satisfies (2.6) and so, by the discussion following (2.6), the symplectic type of the congruence is given by the square class of  $\det A$ .

We also know that the projective  $p$ -division field is  $F = K'(\sqrt[n]{u})$  and  $\text{Gal}(F/K) \simeq D_n$ .

We have  $\det(A) = \det \bar{\rho}_{E,p}(\tau) = \chi_p(\tau)$  where  $\chi_p$  denotes the mod  $p$  cyclotomic character. Since the  $p$ -division field of  $E$  contains the  $p$ -th roots of unity, and the projective  $p$ -division field contains  $\sqrt{p^*}$ , the congruence is symplectic if and only if  $\tau$  fixes  $\sqrt{p^*}$ . Clearly, when  $\sqrt{p^*} \in K$  the congruence is symplectic, proving (1) except for the congruence condition.

Suppose now that  $\sqrt{p^*} \notin K$ . We divide into cases:

(a) Suppose  $n = 3$ . We have  $\text{Gal}(F/K) \simeq D_3$  and so  $K'$  is the unique quadratic subfield of  $F$ , therefore  $\sqrt{p^*} \in K'$ . Since  $\tau$  acts non-trivially on  $K'$  the congruence is anti-symplectic.

(b) Suppose  $n = 4$ . We have  $\text{Gal}(F/K) \simeq D_4$  and there are exactly three quadratic subextensions of  $F/K$ . Furthermore, the fields  $K' = K(\sqrt{-1})$ ,  $K(\sqrt{p^*})$ ,  $K(\sqrt{u})$  are quadratic extensions of  $K$  satisfying  $K' \neq K(\sqrt{p^*})$ ,  $K(\sqrt{u})$ .

(b1) Suppose  $K(\sqrt{p^*}) = K(\sqrt{u})$ . By definition,  $\tau$  fixes  $\sqrt{u}$ , thus it also fixes  $\sqrt{p^*}$ , and the congruence is symplectic; note that in this case  $\sqrt{up^*} \in K$ .

(b2) Suppose  $K(\sqrt{p^*}) \neq K(\sqrt{u})$ . Then  $K(\sqrt{p^*}) = K(\sqrt{-4u})$  and since  $\tau(\sqrt{-4u}) = -\sqrt{-4u}$  the congruence is anti-symplectic; note that in this case  $\sqrt{up^*} \notin K$ .

Recall from Theorem 2.15 that there is also a  $p$ -congruence between  $E$  and its quartic twist by  $-4u$ . Applying the previous argument to this congruence gives that it is symplectic if and only if  $\sqrt{-4up^*} \in K$ . Thus the two congruences are of the same type if and only if  $\sqrt{-1} \in K$ , which is not the case by assumption.



(c) Suppose  $n = 6$ . We have  $\text{Gal}(F/K) \simeq D_6$  and again there are exactly three quadratic sub-extensions of  $F/K$ . Furthermore, the fields  $K' = K(\sqrt{-3})$ ,  $K(\sqrt{p^*})$ ,  $K(\sqrt{u})$  are quadratic extensions of  $K$  satisfying  $K' \neq K(\sqrt{p^*})$ ,  $K(\sqrt{u})$ . The rest of the argument follows similarly to case (b).

For the congruence conditions on  $p$ , which are only non-trivial when  $n = 4$  or  $6$  (since  $p > 3$  implies  $p \equiv \pm 1 \pmod{6}$ ) recall that when  $n = 4$  or  $6$  the two quartic (respectively, sextic) twists are 2-isogenous (respectively 3-isogenous) to each other. When  $\sqrt{p^*} \in K$  these isogenies induce symplectic congruences, since both the twists of  $E$  are symplectically congruent. By the isogeny criterion, this implies that 2 (respectively 3) is a quadratic residue, so  $p \equiv \pm 1 \pmod{8}$  (respectively,  $\pmod{12}$ ). When  $\sqrt{p^*} \notin K$  these isogenies induce anti-symplectic congruences, so  $p \not\equiv \pm 1 \pmod{8}$  (respectively,  $\pmod{12}$ ).  $\square$

We end this section by proving Theorem 1.2 from the Introduction, as an illustration of how Theorems 2.15 and 2.16 may be applied. These include all possibilities for quartic and sextic twist congruences over  $\mathbb{Q}$  with  $p = 3$  or  $5$  in the quartic case and  $p = 5$  or  $7$  in the sextic case.

*Proof of Theorem 1.2.* Let  $K = \mathbb{Q}$ . We consider curves with  $j$ -invariant 1728 and 0 in turn.

$j = 1728$ . First consider the curves  $E_a := E_{a,0} : Y^2 = X^3 + aX$ , for  $a \in \mathbb{Q}^*$ , which have  $j$ -invariant 1728.

By Proposition 1.14(ii) in [29], the mod 3 projective image is the normaliser of a non-split Cartan subgroup, isomorphic to  $D_4$ . The projective division field  $F$  is obtained by adjoining the roots of the 3-division polynomial  $3X^4 + 6aX^2 - a^2$ , and  $F = \mathbb{Q}(\sqrt{-1}, \sqrt[4]{u})$  where  $u = -a^2/3$  and it is not hard to see that  $a/\sqrt{-3}$  is not a square in  $\mathbb{Q}(\sqrt{-1}, \sqrt{-3})$ .

Following the proof of Theorem 2.15, one checks that up to 4th powers, the subgroup of  $\mathbb{Q}^*/(\mathbb{Q}^*)^4$  which become 4th powers in  $F$  is generated by  $u$  and  $-4$ : the two maps  $\mathbb{Q}^*/(\mathbb{Q}^*)^4 \rightarrow \mathbb{Q}(\sqrt{-1})^*/(\mathbb{Q}(\sqrt{-1})^*)^4$  and  $\mathbb{Q}(\sqrt{-1})^*/(\mathbb{Q}(\sqrt{-1})^*)^4 \rightarrow F^*/(F^*)^4$  have kernels generated by  $-4$  and  $u$  respectively. Hence, from Theorem 2.15, we expect a 3-congruence between  $E_a$  and either  $E_{-1/(3a)}$  (twisting by  $u$ ) or  $E_{-3/a}$  (twisting by  $u^{-1} = -3/a^2$ ). An explicit computation shows that only the first holds.

Finally, we have  $p^* = -3$  and  $u = -a^2/3$ , hence  $\sqrt{p^*u} = a \in \mathbb{Q}$  and the congruence is symplectic by Theorem 2.16. Moreover, there is a congruence with the quartic twist by  $-4u = 4a^2/3$  which is antisymplectic.

In summary,  $E_a$  is symplectically 3-congruent to  $E_{-1/3a}$  and anti-symplectically 3-congruent to both  $E_{-4a}$  and  $E_{4/3a}$ . Note that in passing from the special case of the 3-congruence between  $E_1$  and  $E_{-1/3}$  to the general case of the congruence between  $E_a$  and  $E_{-1/3a}$ , we apply the quartic twist by  $a$  to the first curve, but the inverse twist (by  $a^{-1}$ ) to the second.

Now let  $p = 5$ . The projective image is the normaliser of a split Cartan subgroup, isomorphic to  $D_4$ , by Proposition 1.14(i) of [29]. One can check that up to 4th powers, the subgroup of  $\mathbb{Q}^*/(\mathbb{Q}^*)^4$  which become 4th powers in the projective 5-division field of  $E_a$  is generated by  $5/a^2$  and  $-4$ . Hence we expect 5-congruences between  $E_a$  and either  $E_{5/a}$  (twisting by  $u = 5/a^2$ ) or  $E_{a^3/5}$  (twisting by  $u = a^2/5$ ). Only the first holds (by a computation similar to the previous example, though a little simpler since we are in the split case so do not need to extend scalars). Since  $u = 5/a^2$  is 5 times a square, and  $p^* = 5$ , the congruence is symplectic. There is also a congruence with the quartic twist by  $-4u = -20/a^2$ , which is antisymplectic.

$j = 0$ . Next consider the family of curves  $E_b := E_{0,b} : Y^2 = X^3 + b$ , which have  $j$ -invariant 0.

For  $p = 5$ , we can apply Proposition 1.14(iv) of [29] to see that the projective image is the normaliser of a non-split Cartan subgroup, isomorphic to  $D_6$ , unless  $b/10$  is a cube, in which case the projective image is  $D_2$ . In the case where  $b/10$  is not a cube, we expect a 5-congruence between  $E_b$  and  $E_{bu}$  where  $u \equiv 5$  (modulo squares) and  $u \equiv b/10$  or  $10/b$  (modulo cubes), since one may check that  $b/10$  is a cube in  $\mathbb{Q}(E_b[5])$ . Hence, modulo 6th powers, we have either  $u \equiv 4/5b^2$  or  $u \equiv 5b^2/4$ . The first works, hence  $E_b$  and  $E_{4/(5b)}$  are 5-congruent. This congruence is symplectic; composing with the 3-isogeny we also have an anti-symplectic congruence between  $E_b$  and  $E_{-108/(5b)}$ . In case  $b/10$  is a cube, the sextic twist by  $b/10$  is a quadratic twist, and we have three different 5-congruent quadratic twists, as expected when the projective image is  $D_2$ .

When  $p = 7$ , by Proposition 1.14(iii) of [29], the projective image is the normaliser of a split Cartan subgroup, isomorphic to  $D_6$ , unless  $7b/2$  is a cube, in which case the projective image is again  $D_2$ . In the general case, we expect a 7-congruence between  $E_b$  and  $E_{bu}$  where  $u \equiv -7$  (modulo squares) and  $u \equiv 7b/2$  or  $2/(7b)$  (modulo cubes), since one may check that  $7b/2$  is a cube in  $\mathbb{Q}(E_b[7])$ . Hence, modulo 6th powers, we have either  $u \equiv -28/b^2$  or  $u \equiv -b^2/28$ . The first works, hence  $E_b$  and  $E_{-28/b}$  are 7-congruent. This congruence is symplectic; composing with the 3-isogeny we also have an anti-symplectic congruence between  $E_b$  and  $E_{756/b}$ . In case  $7b/2$  is a cube, the sextic twist by  $7b/2$  is a quadratic twist, and we have three different 7-congruent quadratic twists, as expected when the projective image is  $D_2$ .  $\square$

### 3. FINDING CONGRUENCES AND THEIR SYMPLECTIC TYPE

In this section we discuss our systematic study of mod  $p$  congruences between elliptic curves in the LMFDB database. As of September 2019, this database contains all elliptic curves defined over  $\mathbb{Q}$  of conductor  $N \leq 500\,000$ , as computed by the first author using the methods of [4]; there are 3 064 704 curves, in 2 164 259 isogeny classes.

Recall first that isogenous curves have mod  $p$  representations which are isomorphic up to semisimplification, and actually isomorphic if the degree of the isogeny is not divisible by  $p$ . Secondly, two representations have isomorphic semisimplification if and only if they have the same traces, so that we can test this condition by testing whether

$$a_\ell(E) \equiv a_\ell(E') \pmod{p} \quad \text{for all primes } \ell \nmid pNN',$$

where  $N$  and  $N'$  are the conductors of  $E$  and  $E'$  respectively. This test can very quickly establish rigorously that two curves do *not* have isomorphic  $p$ -torsion up to semisimplification, by finding a single prime  $\ell$  such that  $a_\ell(E) \not\equiv a_\ell(E') \pmod{p}$ . Moreover, it is possible to prove that two curves have isomorphic  $p$ -torsion up to semisimplification using this test for a finite number of primes  $\ell$ , as we explain in Step 2 below.

We divide our procedure to determine all mod  $p$  congruences between non-isogenous curves, and their symplectic type, for a fixed prime  $p$ , into five steps. Note that, as remarked in the Introduction, Condition (S) is satisfied for  $p \geq 7$  for all elliptic curves defined over  $\mathbb{Q}$ . We first outline the steps, and then consider each in detail in the following subsections.

1. Partition the set of isogeny classes of elliptic curves in the LMFDB into subsets  $S$ , such that whenever two curves have mod  $p$  representations with isomorphic semisimplifications, their isogeny classes belong to the same subset  $S$ , but not necessarily conversely.

2. For each subset  $S$  prove that the curves in each isogeny class in  $S$  really do have isomorphic mod  $p$  representations up to semisimplification, if necessary further partitioning the subsets. Discard all “trivial” subsets of size 1.
3. Separate the remaining subsets resulting from the previous step into those which have irreducible mod  $p$  representations and the reducible ones.
4. For each irreducible subset  $S$ , and each pair of isogeny classes in  $S$ , pick curves  $E$  and  $E'$ , one from each class in the pair; determine the symplectic type of the triple  $(E, E', p)$ ; then use the isogeny criterion to partition the set of all the curves in all the isogeny classes in  $S$  into one or two parts such that curves in the same part are symplectically isomorphic while those in different parts are antisymplectically isomorphic.
5. For each reducible subset  $S$ , determine whether, for each pair  $E, E'$  chosen as in Step 4, there is an isomorphism between  $E[p]$  and  $E'[p]$  and not just between their semisimplifications, if necessary replacing  $E'$  with the curve  $p$ -isogenous to it. If not, this means that  $E[p]$  and  $E'[p]$  are not in fact isomorphic. Thus we further partition each reducible set  $S$  into subsets of isogeny classes of curves whose mod  $p$  representations are actually isomorphic, not just up to semisimplification. For each of these new subsets, if nontrivial, proceed as in Step 4.

Next we will explain each step in further detail. For the first three steps,  $p$  is arbitrary, and we have carried these steps out for  $7 \leq p \leq 97$ . According to Theorem 1.3, no congruences (other than those induced by isogenies) exist for larger  $p$ . For the last two steps, we restrict to  $p = 7$  which is the most interesting case, as remarked in the Introduction.

**3.1. Sieving.** In order that  $E[p] \cong E'[p]$  up to semisimplification, it is necessary and sufficient that for all primes  $\ell$  not dividing  $pNN'$  we have  $a_\ell(E) \equiv a_\ell(E') \pmod{p}$ . In this step we may take one curve from each isogeny class, since isogenous curves have the same traces  $a_\ell$ , and have mod  $p$  representations with isomorphic semisimplifications.

Fix an integer  $B \geq 1$ . Let  $\mathcal{L}_B$  be the set of the  $B$  smallest primes greater than 500 000. All curves in the database have good reduction at each prime in  $\mathcal{L}_B$ . Assume also that  $p \notin \mathcal{L}_B$ . Hence a necessary condition for two curves  $E$  and  $E'$  in the database to be congruent mod  $p$  is that  $a_\ell(E) \equiv a_\ell(E') \pmod{p}$  for all  $\ell \in \mathcal{L}_B$ .

To each curve  $E$  in the database we assign a “hash value” which is a simple function of the set  $\{a_\ell(E) \pmod{p} \mid \ell \in \mathcal{L}_B\}$ . For example we may enumerate  $\mathcal{L}_B = \{\ell_0, \ell_1, \dots, \ell_{B-1}\}$  and use the integer value  $\sum_{i=0}^{B-1} \bar{a}_{\ell_i}(E)p^i$ , where for  $a \in \mathbb{Z}$ ,  $\bar{a}$  denotes the reduction of  $a$  mod  $p$  which lies in  $\{0, 1, \dots, p-1\}$ . Curves whose mod  $p$  representations are isomorphic up to semisimplification will have the same hash, and we may hope that clashes will be rare if  $B$  is not too small.

We proceed to compute this hash value for one curve in each isogeny class in the database, recording the curve’s label in a list indexed by the different hash values encountered. At the end of this step we can easily form a partition of the set of isogeny classes by taking these lists for each hash value. We then discard any such lists which are singletons. Using  $B = 40$ , this process takes approximately 40 minutes for a single prime  $p$ . Note, however, that as most of the computation time taken is in computing  $a_\ell(E)$  for all curves  $E$  (up to isogeny), it is more efficient to compute the hash values for several primes in parallel.

**Example.** After carrying out this step for  $7 \leq p \leq 17$ , using  $B = 50$ , we find: 23 735 nontrivial subsets for  $p = 7$ ; 731 for  $p = 11$ ; 177 for  $p = 13$ ; and 8 for  $p = 17$ . There are no nontrivial subsets for any primes  $p$  with  $19 \leq p \leq 97$ , so we can immediately conclude that

there are no congruences in the database between non-isogenous curves modulo any prime in this range. See also Theorem 1.3.

**3.2. Proving isomorphism up to semisimplification.** For each pair of isogeny classes within one subset obtained in the previous step, we use a criterion of Kraus–Oesterlé (see [18, Proposition 4]), based on the Sturm bound and hence on the modularity of elliptic curves over  $\mathbb{Q}$ , to either prove isomorphism up to semisimplification, or reveal a “false positive”. The latter would happen if two curves which are not congruent mod  $p$  have traces of Frobenius  $a_\ell$  which are congruent modulo  $p$  for all  $\ell \in \mathcal{L}_B$ .

**Example (continued).** For  $7 \leq p \leq 17$  we find no such false positives, so the curves within each subset do have mod  $p$  representations which are genuinely isomorphic up to semisimplification.

*Remark 3.1.* To avoid false positives, it is necessary to use a value of  $B$  which is large enough. In our initial computations with conductor bound 400 000 we initially used 30 primes above 400 000. But the curves with labels 25921a1 and 78400gw1 have traces  $a_\ell$  which are *equal for all*  $\ell \in \mathcal{L}_{35}$ , that is, for all  $\ell$  with  $400000 \leq \ell < 400457$  (though not for  $\ell = 400457$ ). These curves have CM by the order of discriminant  $-7$ , and are quadratic twists by 230; both have  $a_\ell = 0$  for all  $\ell \equiv 3, 5, 6 \pmod{7}$ , and 230 is a quadratic residue modulo all other primes in  $\mathcal{L}_{35}$ . In our first computational runs (with  $N \leq 400\,000$ ), we used  $B = 30$  and discovered this pair of curves giving rise to a false positive for every  $p$ .

The sizes of the subsets of isogeny classes we find after the first two steps are as follows: for  $p = 7$  the 23 735 subsets have sizes between 2 and 80; for  $p = 11$ ,  $p = 13$  and  $p = 17$  they all have size 2.

**3.3. Testing reducibility.** For each set of isogeny classes of curves obtained in the previous step, we next determine whether the curves in the set have irreducible or reducible mod  $p$  representations. To do this we apply a standard test of whether an elliptic curve admits a rational  $p$ -isogeny. For the curves in the database this information is already known.

**Example (continued).** For  $p = 7$ , of the 23 735 nontrivial sets from Step 2, we find that 23 448 are irreducible, *i.e.* consist of curves whose mod 7 representations are irreducible, while 287 are reducible.

The irreducible sets have size at most 5. In detail, there are 21 653 sets of size 2; 1 502 sets of size 3; 283 sets of size 4; and 10 sets of size 5.

The reducible sets have size up to 80. In Step 5 below we will further partition these sets after testing whether the curves are actually congruent mod 7 (not just up to semisimplification), after which the largest subset has only 4 isogeny classes.

For  $p = 11, 13$ , and 17, all the nontrivial subsets are of size 2, and all are irreducible.

**3.4. Distinguishing symplectic from antisymplectic: irreducible case.** After the previous step we have a collection of sets of isogeny classes, such that for each pair of curves  $E, E'$  taken from isogeny classes in each set, the  $G_{\mathbb{Q}}$ -modules  $E[p]$  and  $E'[p]$  are isomorphic and irreducible. Moreover, from Proposition 1.1 we know that all isomorphisms  $\phi : E[p] \simeq E'[p]$  have the same symplectic type. We wish to determine whether this type is symplectic or anti-symplectic. We may assume that  $E$  and  $E'$  are not isogenous, as otherwise we may simply apply the isogeny criterion.

The local criteria of [10] suffice to determine the symplectic type for all the mod  $p$  congruences found in the database for  $p = 7$  and  $p = 11$  (and also for  $p = 13, 17$ ), but this does not have to be the case as discussed in Section 1.2 (see [10, Proposition 16] for an example with  $p = 3$  where the local methods fail). Therefore, we will now describe a procedure, using the modular curves  $X_E(7)$ , to obtain a method that works in all cases. We will use the modular parametrizations and explicit formulae of Kraus–Halberstadt [14], Poonen–Schaefer–Stoll [22], and as extended and completed by Fisher [8].

In [14], Halberstadt and Kraus give an explicit model for the modular curve  $X_E(7)$ , for any elliptic curve  $E$  defined over a field  $K$  of characteristic not equal to 2, 3 or 7. Recall that the  $K$ -rational points on  $X_E(7)$  parametrize pairs  $(E', \phi)$  where  $E'$  is an elliptic curve defined over  $K$  and  $\phi : E[7] \rightarrow E'[7]$  is a symplectic isomorphism of  $G_K$ -modules; we identify two such isomorphisms  $\phi$  when one is a scalar multiple of the other.

The model for  $X_E(7)$  given in [14] is a plane quartic curve, a twist of the classical Klein quartic  $X(7)$ , given by an explicit ternary quartic form  $F_{a,b}(X, Y, Z)$  in  $\mathbb{Z}[a, b][X, Y, Z]$  where  $E$  has equation  $Y^2 = X^3 + aX + b$ . The 24 flexes on  $X_E(7)$  are the cusps, that is, they are the poles of the rational function of degree 168 giving the map  $j : X_E(7) \rightarrow X(1)$ .

The base point  $P_E = [0 : 1 : 0] \in X_E(7)(K)$  corresponds to the pair  $(E, \text{id})$ . In [14] one can also find explicit formulas for the rational function  $j : X_E(7) \rightarrow X(1)$  and for the elliptic curve  $E'$  associated with all but finitely many points  $P = (x : y : z) \in X_E(7)$ . More precisely, explicit polynomials  $c_4, c_6 \in \mathbb{Z}[a, b][X, Y, Z]$  of degree 20 and 30, respectively, are given and the curve  $E'$  associated with (all but finitely many)  $P$  has model

$$Y^2 = X^3 - 27c_4(P)X - 54c_6(P).$$

The finitely many common zeros of  $c_4$  and  $c_6$  are the exceptions, which Kraus and Halberstadt treat only incompletely. However, in [8] one may find formulas for four such pairs of polynomials  $(c_4, c_6)$ , of which the first is the pair in [14], and such that at each point  $P \in X_E(7)$  at least one pair  $(c_4(P), c_6(P)) \neq (0, 0)$ , thus supplying us with a model for the associated elliptic curve  $E'$  at each point  $P$ .

We make use of this model and formulas as follows, given curves  $E, E'$  with  $E[7] \cong E'[7]$ . Using one curve  $E$  we write down the model for  $X_E(7)$ . Then we find all preimages (if any) of  $j' = j(E')$  under the map  $X_E(7) \rightarrow X(1)$ . While over an algebraically closed field there are 168 distinct preimages of each  $j'$ , except that the ramification points  $j = 0$  and  $j = 1728$  have 56 and 84 preimages, over  $\mathbb{Q}$ , there are fewer: in the irreducible case there are at most 4 by the results of Section 2.

If there are no preimages of  $j'$ , we conclude that the isomorphism  $E[7] \cong E'[7]$  is not symplectic. Otherwise, for each preimage  $P \in X_E(7)(\mathbb{Q})$  we compute the curve associated to  $P$ , which may be a twist of  $E'$ , and test whether it is actually isomorphic to  $E'$ . If this holds for one such point  $P$  in the preimage of  $j(E')$ , then the isomorphism between  $E[7]$  and  $E'[7]$  is symplectic.

A similar method may be applied to test for antisymplectic isomorphisms, using another twist of  $X(7)$  denoted  $X_E^-(7)$ , first written down explicitly in [22], for which Fisher provides explicit formulae for the  $j$ -map and  $c_4, c_6$  as above in [8].

We note that it is not necessary to apply both the symplectic and antisymplectic tests to a triple  $(E, E', 7)$  if we know already that  $E[7] \cong E'[7]$  as  $G_{\mathbb{Q}}$ -modules, since one will succeed if and only if the other fails (by Proposition 1.1). However we did apply both tests in our computations with the curves in the database as a test of our implementation, verifying that

precisely one test passes for each pair. We also checked that the results obtained for each pair using the local criteria are the same, so that we can be confident in the correctness of the results.

These tests have only been carried out using a single curve in each isogeny class, since we know how to distinguish symplectic from antisymplectic isogenies. As a last step, we consider the full isogeny classes to obtain, for each elliptic curve  $E$  in the database, the complete sets of all curves  $E'$  (non-isogenous to  $E$ ) which have symplectically and anti-symplectically isomorphic 7-torsion modules to  $E$ .

The output of this step consists of, for each of the subsets resulting from Steps 1–3, one or two sets of curves whose union is the set of all curves in the isogeny classes in the subset. All curves in the same set have symplectically isomorphic 7-torsion modules; when there are two sets, curves in different sets have antisymplectically isomorphic 7-torsion.

**Example (continued).** Of the 23 448 non-trivial sets of isogeny classes with mutually isomorphic irreducible mod 7 representations, we find that in 16 285 cases all the isomorphisms are symplectic, while in the remaining 7 163 cases antisymplectic isomorphisms occur.

Using the local criteria of [10] for  $p = 11, 13, 17$  we find: for  $p = 11$ , of the 731 congruent pairs of isogeny classes, 519 are symplectic and 212 are antisymplectic; for  $p = 13$ , of the 177 congruent pairs of isogeny classes, 105 are symplectic and 72 are antisymplectic; for  $p = 17$ , all of the 8 congruent pairs of isogeny classes are antisymplectic.

**Example 3.2.** Let  $p = 7$ . One of the subsets resulting from Steps 1–3 consists of the pair of isogeny classes  $\{344025bc1, 344025bd1\}$ . Our test shows that 344025bc1 and 344025bd1 are symplectically isomorphic. The isogeny class 344025bc contains two 2-isogenous curves, while class 344025bd contains only one curve. Since 2 is a quadratic residue mod 7, all three curves have symplectically isomorphic 7-torsion, and hence Step 4 returns a single set

$$\{344025bc1, 344025bc2, 344025bd1\}.$$

Another subset resulting from Steps 1–3 is  $\{100800gw1, 100800hc1\}$ . The same procedure results in the output of two sets of curves

$$\{100800gw1\}, \quad \{100800hc1, 100800hc2\},$$

since our tests show that 100800gw1 and 100800hc1 are antisymplectically isomorphic, and the last two curves are 2-isogenous.

**Example 3.3.** Consider the set of six elliptic curves

$$\{9225a1, 9225e1, 225a1, 225a2, 11025c1, 11025c2\}$$

which form four complete isogeny classes. All have isomorphic mod 7 representations with image the normaliser of a split Cartan subgroup. The last four curves all have  $j$ -invariant 0 and CM by  $-3$ . The first two are  $-3$  quadratic twists of each other.

The general methods of Step 4 of this section split this set into two subsets:

$$\{9225a1, 225a1, 11025c1\}, \quad \{9225e1, 225a2, 11025c2\}$$

Curves 9225a1 and 9225e1 give a non-CM example of Theorem 2.4. Curves 225a1 and 11025c1 are sextic (but not quadratic or cubic) twists and illustrate Theorem 2.15.

**3.5. Auxiliary results for the reducible case.** Compared to the irreducible case, establishing reducible congruences requires extra work because when working with the semisimplifications  $E[7]^{ss}$  and  $E'[7]^{ss}$  important information is lost. The objective of this section is to establish Theorem 3.6 which will allow us to rigorously prove congruences in the reducible case.

Let  $B \subset \mathrm{GL}_2(\mathbb{F}_p)$  be the standard Borel subgroup, i.e. the upper triangular matrices. Let  $H \subset B$  be a subgroup of order divisible by  $p$ . We can write  $H = D \cdot U$  where  $D \subset B$  is a subgroup of diagonal matrices and  $U$  is cyclic generated by  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Moreover,  $U$  is a normal subgroup of  $H$  and we write  $\pi : H \rightarrow H/U \simeq D$  for the quotient map.

**Proposition 3.4.** *Let  $H = D \cdot U \subset B$  and  $\pi$  be as above. Let  $\phi$  be an automorphism of  $H$ . Assume that  $\pi(x) = \pi(\phi(x))$  for all  $x \in H$ .*

*Then  $\phi$  is given by conjugation in  $B$ , i.e. there is  $A \in B$  such that  $\phi(x) = AxA^{-1}$ .*

*Proof.* First note that  $\phi$  fixes all scalar matrices in  $H$ , since the assumption on  $\phi$  implies that  $\phi\left(\begin{pmatrix} \lambda & 0 \\ 0 & \lambda \end{pmatrix}\right) = \begin{pmatrix} \lambda & b \\ 0 & \lambda \end{pmatrix}$  for some  $b \in \mathbb{F}_p$ ; however,  $b$  must be 0, as otherwise the image has order divisible by  $p$ , but  $\begin{pmatrix} \lambda & 0 \\ 0 & \lambda \end{pmatrix}$  has order dividing  $p - 1$ .

Next, let  $a \in \mathbb{F}_p^*$  be such that  $\begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix}$  generates  $D$  modulo scalars, which is cyclic; then (using the assumption on  $\phi$  again),  $\phi\left(\begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix}\right) = \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix}$  for some  $b \in \mathbb{F}_p$ , and  $b = 0$  if  $a = 1$ .

Finally, we have  $\phi\left(\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}\right) = \begin{pmatrix} 1 & r \\ 0 & 1 \end{pmatrix}$  for some  $r \in \mathbb{F}_p^*$ .

Now set  $A = \begin{pmatrix} r & b(1-a)^{-1} \\ 0 & 1 \end{pmatrix}$  (or  $A = \begin{pmatrix} r & 0 \\ 0 & 1 \end{pmatrix}$  if  $a = 1$  and  $b = 0$ ). A simple check shows that conjugation by  $A$  has the same effect as  $\phi$  on both  $\begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix}$  and  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Since  $H$  is generated by these, together with scalar matrices, the result follows.  $\square$

**Proposition 3.5.** *Let  $p$  be a prime. Let  $E/K$  be an elliptic curve such that  $\bar{\rho}_{E,p}$  is reducible. Assume there is an element of order  $p$  in the image of  $\bar{\rho}_{E,p}$ .*

*Then, there is an extension  $F/K$  of degree  $p$ , unique up to Galois conjugacy, such that  $E$  acquires a second isogeny over  $F$ .*

*Proof.* We can choose a basis of  $E[p]$  such that

$$\bar{\rho}_{E,p} = \begin{pmatrix} \chi & h \\ 0 & \chi' \end{pmatrix},$$

where  $h \neq 0$  since the image contains an element of order  $p$ . One such element is then  $g = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Let  $H$  be the set of elements  $\sigma \in G_K$  such that  $h(\sigma) = 0$ . Since  $\bar{\rho}_{E,p}$  is a homomorphism it follows that  $H$  is a subgroup of  $G_K$ , and it has index  $p$  since the powers of  $g$  are coset representatives. Let  $F \subset K(E[p])$  be the field fixed by  $H$ ; then  $[F : K] = p$ .

For the uniqueness, note that precisely one of the  $p + 1$  one-dimensional subspaces of  $E[p]$  is fixed by  $\bar{\rho}_{E,p}$ , and that  $g$  permutes the remaining subspaces cyclically. It follows that  $E$  has exactly one  $p$ -isogeny defined over  $K$ , and the remaining  $p$ -isogenies are defined over the fixed fields of the conjugate subgroups  $g^i H g^{-i}$ , which are the extensions conjugate to  $F/K$ .  $\square$

**Theorem 3.6.** *Let  $p$  be a prime. Let  $E_1, E_2$  be elliptic curves over  $K$  such that*

- (i)  $\bar{\rho}_{E_1,p}^{ss} \simeq \bar{\rho}_{E_2,p}^{ss} \simeq \chi \oplus \chi'$ , where  $\chi, \chi' : G_K \rightarrow \mathbb{F}_p^*$  are characters;
- (ii) both  $\bar{\rho}_{E_1,p}$  and  $\bar{\rho}_{E_2,p}$  have an element of order  $p$  in their image.

*For  $i = 1, 2$ , let  $F_i/K$  be a degree  $p$  extension where  $E_i$  acquires a second isogeny, as given by Proposition 3.5.*

After replacing  $E_2$  by a  $p$ -isogenous curve if necessary, we have  $\bar{\rho}_{E_1,p} \simeq \bar{\rho}_{E_2,p}$  if and only if  $F_1 \simeq F_2$  (as extensions of  $K$ ).

*Proof.* If  $\bar{\rho}_{E_1,p} \simeq \bar{\rho}_{E_2,p}$ , then  $F_1 \simeq F_2$  as extensions of  $K$ , by the uniqueness part of Proposition 3.5. We now prove the opposite direction.

From (i) it follows that  $\bar{\rho}_{E_i,p}$  is reducible and that, after replacing  $E_2$  by a  $p$ -isogenous curve if necessary (to swap  $\chi$  with  $\chi'$ ), we have, for  $i = 1, 2$ ,

$$\bar{\rho}_{E_i,p} = \begin{pmatrix} \chi & h_i \\ 0 & \chi' \end{pmatrix} \quad \text{with} \quad h_i : G_K \rightarrow \mathbb{F}_p.$$

Let  $L$  be the field cut out by  $\chi \oplus \chi'$ . It follows from (ii) that  $h_i|_{G_L} \neq 0$ , and hence the matrix  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  is in the image of  $\bar{\rho}_{E_i,p}$  for  $i = 1, 2$ .

Write  $K_i = K(E_i[p])$ . We have  $[K_i : K] = [K_i : L][L : K] = p[L : K]$ . Since the degree  $[L : K]$  divides  $(p-1)^2$  it is coprime to  $p = [F_i : K]$  therefore we have  $K_i = LF_i$ .

Suppose  $F_1 \simeq F_2$ . Since  $K_1$  is Galois, we have  $F_2 \subset K_1$  and therefore  $K_p := K_1 = K_2$  is the field cut out by both  $\bar{\rho}_{E_1,p}$  and  $\bar{\rho}_{E_2,p}$ , i.e. these representations have the same kernel.

Write  $G = \text{Gal}(K_p/K)$ . From now on we think of  $\bar{\rho}_{E_i,p}$  as an injective representation of  $G$ . Note that the images of  $\bar{\rho}_{E_1,p}$  and  $\bar{\rho}_{E_2,p}$  are the same subgroup  $H$  of the Borel.

All the elements in  $H$  are of the form  $\bar{\rho}_{E_2,p}(\sigma)$  for  $\sigma \in G$ , so we can consider the map  $\phi = \bar{\rho}_{E_1,p} \circ \bar{\rho}_{E_2,p}^{-1} : H \rightarrow H$ . It is an automorphism of  $H = D \cdot U$  satisfying the hypothesis of Proposition 3.4, where  $D$  are the matrices  $\begin{pmatrix} \chi & 0 \\ 0 & \chi' \end{pmatrix}$ . Then,  $\phi$  is given by conjugation, that is

$$\phi(\bar{\rho}_{E_2,p}(\sigma)) = A\bar{\rho}_{E_2,p}(\sigma)A^{-1}.$$

Since we also have

$$\phi(\bar{\rho}_{E_2,p}(\sigma)) = \bar{\rho}_{E_1,p} \circ \bar{\rho}_{E_2,p}^{-1}(\bar{\rho}_{E_2,p}(\sigma)) = \bar{\rho}_{E_1,p}(\sigma)$$

we conclude that  $\bar{\rho}_{E_1,p}(\sigma) = A\bar{\rho}_{E_2,p}(\sigma)A^{-1}$ , as desired.  $\square$

**3.6. Distinguishing symplectic from antisymplectic: reducible case.** From Steps 1–3 we have (for certain pairs  $(E, E')$ ) established that  $E[7]^{\text{ss}} \simeq E'[7]^{\text{ss}}$  but this is insufficient to conclude  $E[7] \simeq E'[7]$  when these are reducible  $G_{\mathbb{Q}}$ -modules. To decide this we will apply Theorem 3.6 and its proof.

Recall that  $E[7]$  is reducible if and only if  $E$  admits a rational 7-isogeny. Over  $\mathbb{Q}$  there is only ever at most one 7-isogeny, since otherwise the image of the mod 7 representation  $\bar{\rho}_{E,7}$  attached to  $E$  is contained in a split Cartan subgroup of  $\text{GL}(2, \mathbb{F}_7)$ , and this cannot occur over  $\mathbb{Q}$  (see [11, Theorem 1.1]). Furthermore, it is well known that the size of the  $\mathbb{Q}$ -isogeny class of  $E$  is either 2, consisting of two 7-isogenous curves, or 4, consisting of two pairs of 7-isogenous curves linked by 2- or 3-isogenies (but not both). Examples of these are furnished by the isogeny classes 26b, 49a, and 162b respectively.

Fix an elliptic curve  $E$  with  $E[7]$  reducible. The image of  $\bar{\rho}_{E,7}$  has the form

$$\begin{pmatrix} \chi_1 & * \\ 0 & \chi_2 \end{pmatrix},$$

where  $\chi_1, \chi_2 : G_{\mathbb{Q}} \rightarrow \mathbb{F}_7^*$  are characters and  $*$  (the upper right entry) is non-zero by the previous discussion. Moreover, the product  $\chi_1\chi_2$  is the cyclotomic character, so in particular



$\chi_1 \neq \chi_2$ . This last observation is valid over any field not containing  $\sqrt{-7}$ , so that the determinant is not always a square.

Now let  $E'$  be a second curve such that  $E[7]^{\text{ss}} \cong E'[7]^{\text{ss}}$ . The image of  $\bar{\rho}_{E',7}$  has the form

$$\begin{pmatrix} \chi'_1 & *' \\ 0 & \chi'_2 \end{pmatrix},$$

where  $\{\chi_1, \chi_2\} = \{\chi'_1, \chi'_2\}$  and  $*' \neq 0$  for the same reason as before. In particular, there is an element of order 7 in the images of both  $\bar{\rho}_{E,7}$  and  $\bar{\rho}_{E',7}$ . The next step in applying Theorem 3.6 is to decide if we need to replace  $E'$  with its 7-isogenous curve to obtain  $\chi_1 = \chi'_1$  and  $\chi_2 = \chi'_2$ . For this we determine the “isogeny characters” characters  $\chi_1$  and  $\chi'_1$ : the kernel of  $\chi_1$  (respectively  $\chi'_1$ ) cuts out the cyclic extension of  $\mathbb{Q}$  of degree dividing 6 generated by the coordinates of a point in the kernel of the unique 7-isogeny from  $E$  (respectively  $E'$ ). In this way we can determine whether  $\chi_1 = \chi'_1$  and  $\chi_2 = \chi'_2$  or  $\chi_1 = \chi'_2$  and  $\chi_2 = \chi'_1$ . In the second case, we replace  $E'$  with its 7-isogenous curve, which has the effect of interchanging  $\chi'_1$  and  $\chi'_2$  (as well as changing  $*'$ ). Now the image of  $\bar{\rho}_{E',7}$  has the form

$$\begin{pmatrix} \chi_1 & *' \\ 0 & \chi_2 \end{pmatrix},$$

with the same characters, in the same order, as for  $\bar{\rho}_{E,7}$ . From Theorem 3.6 we have that  $E[7] \cong E'[7]$  if and only if  $F_1 \simeq F_2$ , where  $F_i$  are the fields in the statement of Theorem 3.6.

The field  $F_1$  is the common field of definition of all of the other seven 7-isogenies from  $E$  (see also Proposition 3.5). The map from  $X_0(7)$  to the  $j$ -line is given by the classical rational function (see Fricke)

$$j = \frac{(t^2 + 13t + 49) \cdot (t^2 + 5t + 1)^3}{t},$$

where  $t$  is a choice of Hauptmodul for the genus 0 curve  $X_0(7)$ . Hence the roots of the degree 8 polynomial  $(t^2 + 13t + 49) \cdot (t^2 + 5t + 1)^3 - t \cdot j(E)$  determine the fields of definition of the eight 7-isogenies from  $E$ . In our setting, it has a single rational root (giving the unique 7-isogeny from  $E$  defined over  $\mathbb{Q}$ ) and an irreducible factor of degree 7, which defines  $F_1$  as an extension of  $\mathbb{Q}$ . Similarly, starting from  $E'$  we determine  $F_2$ ; finally, we check whether  $F_1$  and  $F_2$  are isomorphic.

In this way, for each pair  $(E, E')$  whose mod 7 representations are reducible with isomorphic semisimplifications, we may determine whether or not we do in fact have an isomorphism  $E[7] \cong E'[7]$ , possibly after replacing  $E'$  by its unique 7-isogenous curve.

In most of the reducible cases encountered in the database, we found that there was no isomorphism between the 7-torsion modules themselves. In those cases where there is such an isomorphism, we can determine whether or not it is symplectic using the same methods as in the irreducible case, noting that the test using the parametrizing curves  $X_E(7)$  and  $X_{E'}(7)$  do not at any point rely on the irreducibility or otherwise of the representations. Finally, if there are also 2- or 3-isogenies present we can include these appropriately, since the former induce symplectic and the latter antisymplectic congruences.

**Example (continued).** For  $p = 7$ , after Steps 1–3, there are 287 reducible sets of isogeny classes with isomorphic semisimplification, of size up to 80. Step 5 refines these into smaller subsets which have actually isomorphic 7-torsion modules, of which 384 are nontrivial. Among these there are 38 classes also admitting a 2-isogeny and 22 classes admitting a 3-isogeny, making a total of 849 curves, partitioned into mutually 7-congruent

subsets of size 2, 3 or 4: there are 263 sets of size 2, of which the congruence is symplectic in 142 cases and anti-symplectic in 121 cases; 101 of size 3, of which all the congruences are symplectic in 56 cases, and in the remaining 45 cases, only one congruence is symplectic; and 20 of size 4, in which all congruences are symplectic in 8 cases, there are two pairs of symplectically congruent curves (with congruences between curves in different pairs being anti-symplectic) in 2 cases, and in 10 cases there are 3 curves mutually symplectically congruent and anti-symplectically congruent to the fourth curve.

For  $p \geq 11$  there are no reducible cases to consider.

**3.7. Twists.** If there is a mod  $p$  congruence between two elliptic curves  $E_1$  and  $E_2$ , then for any  $d \in \mathbb{Q}^*$  there will also be a congruence (with the same symplectic type) between their quadratic twists  $E_1^d$  and  $E_2^d$  (see [10, Lemma 11]). Nevertheless, it is hard to say precisely how many congruences there in the database “up to twist”, since twisting changes conductor (in general), so we may have a set of mutually 7-congruent elliptic curves in the database, but with one or more of their twists not in the database, so the twisted set in our data will be smaller.

Instead, to have a measure of how many congruences we have found up to twist, we simply report on how many distinct  $j$ -invariants we found, excluding as before curves which are only congruent to isogenous curves. For  $p = 7$  there are 11 761 distinct  $j$ -invariants of curves with irreducible mod 7 representations which are congruent to at least one non-isogenous curve, and 154 distinct  $j$ -invariants in the reducible case.

For  $p = 11$  there are 212 distinct  $j$ -invariants and for  $p = 13$  there are 39. For  $p = 17$ , all 17-congruent isogeny classes consist of single curves, the eight pairs are quadratic twists, and the  $j$ -invariants of the curves in each pair are  $48412981936758748562855/77853743274432041397$  and  $-46585/243$ . One such pair of 17-congruent curves consists of 47775b1 and 3675b1.

#### 4. EVIDENCE FOR THE FREY-MAZUR CONJECTURE

Theorem 1.3 states that the strong form of the Frey–Mazur conjecture with  $C = 17$  holds for the congruences available in the LMFDB database. We refer to [15] for one theoretical result towards this very challenging and still open conjecture.

*Proof of Theorem 1.3.* We must prove that if  $p \geq 19$  then the only  $p$ -congruences between elliptic curves of conductor at most 500 000 are those induced by isogenies.

Let  $p \geq 5$  be a prime. Let  $N_E$  and  $\Delta_E$  denote the conductor and the minimal discriminant of  $E$ , respectively. Write also  $\tilde{N}_E$  to denote  $N_E$  away from  $p$  and let  $N_p$  be the Serre level (i.e. the Artin conductor away from  $p$ ) of  $\bar{\rho}_{E,p}$ . We have  $N_p \mid \tilde{N}_E$ .

Recall that the conductor of an elliptic curve at primes  $p \geq 5$  divides  $p^2$ . Moreover, from Kraus [17, p. 30] it follows that, for each  $\ell \neq p$ , if  $v_\ell(N_p) \neq v_\ell(N_E) = v_\ell(\tilde{N}_E)$  then  $v_\ell(N_E) = 1$  and  $p \mid v_\ell(\Delta_E)$ . Therefore, we can find primes  $q_i \nmid pN_p$  such that

$$N_E = p^s \cdot N_p \cdot q_0 \cdot \dots \cdot q_n, \quad p \mid v_{q_i}(\Delta_E), \quad 0 \leq s \leq 2 \quad (4.1)$$

where the number of  $q_i$  occurring is  $\geq 1$  if and only if  $\tilde{N}_E \neq N_p$ .

Now let  $E'/\mathbb{Q}$  be another elliptic curve satisfying  $E[p] \simeq E'[p]$  as  $G_{\mathbb{Q}}$ -modules. Write  $N_{E'}$ ,  $\tilde{N}_{E'}$ ,  $\Delta_{E'}$ ,  $N'_p$  and  $\bar{\rho}_{E',p}$  to denote analogous quantities attached to  $E'$ . We have  $N'_p \mid \tilde{N}_{E'}$ .

By assumption, we have  $\bar{\rho}_{E',p} \simeq \bar{\rho}_{E,p}$  so these representations have the same Serre level, i.e.  $N_p = N'_p$  and (similarly as for  $E$ ) we can find primes  $q'_i \nmid pN'_p$  such that  $N_{E'}$  factors as

$$N_{E'} = p^{s'} \cdot N_p \cdot q'_0 \cdot \dots \cdot q'_m, \quad p \mid v_{q'_i}(\Delta'_E), \quad 0 \leq s' \leq 2. \quad (4.2)$$

The representations  $\bar{\rho}_{E,p}$  and  $\bar{\rho}_{E',p}$  also have the same Serre weights  $k$  and  $k'$ , respectively. Note that for  $s = 0$  ( $E$  has good reduction at  $p$ ) we have  $k = 2$  and for  $s = 1$  ( $E$  has multiplicative reduction at  $p$ ) we have  $k = 2$  if  $p \mid v_p(\Delta_E)$  or  $k = p + 1$  otherwise (see for example [17, p. 3]); moreover, for  $s = 2$  it follows from [17, Théorème 1] that  $k \notin \{2, p + 1\}$  for  $p \geq 19$ . Similar conclusions apply to  $E'$ ,  $s'$  and  $k'$ . Therefore, we have 2 cases: (i) if  $s = 2$  or  $s = 1$  and  $p \nmid v_p(\Delta_E)$  then  $s' = s$ ; (ii) if  $s = 0$  or  $s = 1$  and  $p \mid v_p(\Delta_E)$  then  $s' \in \{0, 1\}$ .

Suppose  $E'$  is a non-isogenous curve with the same conductor. Taking differences of traces of Frobenius at different primes shows that there are no congruence between any two of them for  $p \geq 19$ , otherwise  $p$  needs to divide the differences (see (1) below). Thus  $N_E \neq N_{E'}$ .

Suppose  $\tilde{N}_E = \tilde{N}_{E'}$ , so that the only difference in the conductors is at  $p$ . From the possibilities above for the Serre weights, after interchanging  $E$  and  $E'$  if needed, we can assume  $s = 1$  and  $s' = 0$  and we also know that  $p \mid v_p(\Delta_E)$ . On the other hand, if  $\tilde{N}_E \neq \tilde{N}_{E'}$  then, after interchanging  $E$  and  $E'$  if needed, we have  $N_p \neq \tilde{N}_E$  and so there is at least one prime  $q_i \neq p$  appearing in the factorization (4.1), which in particular satisfies  $p \mid v_{q_i}(\Delta_E)$ .

Let  $\mathcal{M}_E$  be the set of pairs  $(q, p)$  where  $q$  is a multiplicative prime of  $E$  and  $p \geq 19$  is a prime satisfying  $p \mid v_q(\Delta_E)$ . Note that we can have  $q = p$ . Let  $\mathcal{M}_{E'}$  be the analogous set for  $E'$ . From the previous paragraph we conclude that  $p$  has to occur in the second entry of one of the pairs  $(q, p)$  in  $\mathcal{M}_E$  or  $\mathcal{M}_{E'}$ .

To complete the proof, we carried out the following computations on the LMFDB database of all elliptic curves defined over  $\mathbb{Q}$  and conductor at most 500 000:

- (1) For each  $N \leq 500\,000$  and each pair of non-isogenous curves  $E_1, E_2$  of conductor  $N$  (if there are at least two such isogeny classes), we computed  $\gcd_{\ell \leq B, \ell \nmid N} (a_\ell(E_1) - a_\ell(E_2))$  for increasing  $B$  until the value of the gcd was  $\leq 17$ . The success of this computation shows that there are no congruences mod  $p$  between non-isogenous curves of the same conductor for  $p \geq 19$ .
- (2) For one curve  $E$  in each isogeny class we computed the set  $\mathcal{M}_E$  from the conductor and minimal discriminant. We found that the largest prime  $p$  occurring in any  $\mathcal{M}_E$  was 97: in fact, all  $p$  with  $19 \leq p \leq 97$  occur except for  $p = 89$ . Hence any mod  $p$  congruence between non-isogenous curves in the database must have  $p \leq 97$ . In view of the computations of Section 3, there are no such congruences for  $19 \leq p \leq 97$ .

Note that the set  $\mathcal{M}_E$  is unchanged if we replace  $E$  by a curve isogenous to it, provided that the isogeny has degree divisible only by primes less than 19. But the only curves defined over  $\mathbb{Q}$  with isogenies of prime degree  $p \geq 19$  are the CM curves for  $p = 19, 43, 67, 163$ , which have no multiplicative primes, and the pairs of 37-isogenous curves, which have the same property (the smallest conductor being  $1225 = 5^2 \cdot 7^2$ ). Hence in this step it suffices to consider just one curve in each isogeny class.

□

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