

Functional Programming

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Introduction to Functional Programming

- ▶ **Functional programming** is a paradigm that treats computation as the evaluation of mathematical functions.
- ▶ Emphasizes **immutability**, **pure functions**, and **higher-order functions**.
- ▶ Functions are **first-class citizens**, allowing them to be passed as arguments, returned from other functions, and assigned to variables.
- ▶ Promotes a **declarative** style over **imperative** programming, focusing on *what to compute* rather than *how to compute*.

ML: History

- ▶ Origins: Developed in the early 1970s by Robin Milner and others at the University of Edinburgh.
- ▶ Purpose: Created for theorem proving and to support the LCF (Logic for Computable Functions) theorem prover.
- ▶ Evolution:
 - ▶ Standard ML (SML): Standardized in the mid-1980s to provide a consistent language specification.
 - ▶ OCaml: An extension of ML developed at INRIA (Institut national de recherche en sciences et technologies du numérique), adding object-oriented features and other enhancements.
- ▶ Influence: ML has influenced many other languages, including Haskell and F#, introducing concepts like type inference and pattern matching.

Meta-Language (ML): Type System and Immutability

▶ Type System:

- ▶ ML uses a *strong, static type system*, meaning every piece of data has a defined type (like a number, text, or list), and these types are checked before the program runs.
- ▶ *Type inference* allows ML to automatically figure out the type of a variable or function, reducing errors and saving time.
- ▶ **Why it matters:** This helps catch mistakes early, making your program more reliable and easier to understand.

▶ Immutability:

- ▶ In ML, variables are *immutable* by default, meaning once you assign a value, it can't be changed.
- ▶ **Why it matters:** It prevents bugs from unexpected data changes, ensuring your code is safer and more predictable.

Meta-Language (ML): Pattern Matching and First-Class Functions

► Pattern Matching:

- ML provides *pattern matching* to simplify the analysis of complex data by breaking it down into manageable parts.
- Instead of lengthy conditional statements, you can directly define how different data shapes should be handled.
- **Why it matters:** Leads to concise and readable code, especially when working with intricate data structures.

► First-Class Functions:

- Functions are *first-class citizens* in ML, meaning they can be passed as arguments, returned from other functions, or stored in variables.
- **Why it matters:** This flexibility enables powerful abstractions and reusable components, streamlining complex system designs.

Meta-Language (ML): Modularity

- ▶ **Modularity:**
 - ▶ ML supports dividing code into *modules*, which are collections of related definitions (functions, types, etc.).
 - ▶ *Functors* allow for parameterized modules, enabling the reuse of generic code across different contexts.
 - ▶ **Why it matters:** Keeps code organized, easier to maintain, and promotes code reuse, making large projects more manageable.

ML: Factorial Function Example

Recursive Factorial Function with Step-by-Step Breakdown

- ▶ Calculates the factorial of a number using recursion.
- ▶ Demonstrates pattern matching and recursion.

```
1 (* Factorial function *)
2 fun factorial 0 = 1
3   | factorial n = n * factorial (n - 1);
4
5 (* Step-by-Step Calculation for factorial 3 *)
6 (* factorial 3 *)
7 (* = 3 * factorial 2 *)
8 (* = 3 * (2 * factorial 1) *)
9 (* = 3 * (2 * (1 * factorial 0)) *)
10 (* = 3 * (2 * (1 * 1)) *)
11 (* = 6 *)
12
```

Listing 1: Recursive Factorial in ML

Output: factorial 3 results in 6

ML: Higher-Order Functions

Example: Applying a Function Twice

- Demonstrates higher-order functions by passing a function as an argument.

```
1 (* Function to apply another function twice *)
2 fun applyTwice(f, x) = f (f x);
3
4 (* Example function to increment a number *)
5 fun increment x = x + 1;
6
7 (* Applying 'increment' twice to 3 *)
8 val result = applyTwice(increment, 3);  (* Result: 5
9      *)
```

Listing 2: Higher-Order Function Example

Output: applyTwice(increment, 3) results in 5

ML: Theorem Proving and Formal Verification

▶ Past Uses:

- ▶ ML has played a crucial role in *theorem proving* and *formal verification*, notably with tools like the **LCF theorem prover**.
- ▶ These tools are used to verify mathematical theorems and ensure the correctness of software and hardware systems.
- ▶ **Why it matters:** Ensuring system reliability and correctness is critical, especially in fields like aerospace, automotive, and critical infrastructure.

▶ Academic Impact:

- ▶ Widely used in *programming language theory* research and education.
- ▶ Students and researchers explore the fundamentals of programming constructs and language design using ML.

ML: Compilers and Language Development

► **Current Uses:**

- ML is the backbone of many modern compilers, including the **OCaml compiler**, which itself is written in OCaml.
- This showcases ML's power in building efficient, reliable tools for programming language development.
- **Why it matters:** Developing robust compilers ensures efficient code translation, optimization, and error detection, which are fundamental to software development.

► **Educational Value:**

- Learning about compiler construction through ML provides deep insights into how programming languages work under the hood.
- Students gain practical experience in language design and implementation.

ML: Financial Systems and Software Verification

▶ Financial Systems:

- ▶ ML is used in **financial systems** and **algorithmic trading** for developing reliable, high-performance trading algorithms.
- ▶ These systems require precise logic and high fault tolerance to handle real-time financial data and transactions.
- ▶ **Why it matters:** Accurate, high-speed trading algorithms can significantly impact financial markets and profitability.

▶ Software Verification:

- ▶ ML-based **software verification tools** are used to ensure software behaves correctly and meets its specifications.
- ▶ These tools help identify bugs and prove program correctness in critical systems like medical devices, avionics, and finance.
- ▶ **Why it matters:** Reliable software is essential in high-stakes industries where failures can lead to significant consequences.

ML: Scope and Influence

- ▶ Influence on Other Languages: ML introduced features like type inference and pattern matching, influencing languages such as Haskell, F#, and Scala.
- ▶ Educational Use: Widely used in computer science curricula to teach functional programming concepts.
- ▶ Tool Development: Basis for developing robust software tools and systems requiring strong type safety.

Haskell: History

- ▶ Origins: Developed in the late 1980s by a committee of academics as a standard purely functional programming language.
- ▶ Purpose: Designed to consolidate the features of various functional languages (e.g., Miranda, ML) and to advance research in functional programming.
- ▶ Evolution:
 - ▶ Haskell 98: Established as a stable, standard version.
 - ▶ Haskell 2010: Introduced minor extensions and improvements.
 - ▶ HC (Glasgow Haskell Compiler): The most widely used compiler, continually evolving with language extensions.
- ▶ Influence: Pioneered concepts like lazy evaluation and monads, which have been adopted by other languages.

Haskell: Purely Functional and Lazy Evaluation

► Purely Functional:

- Haskell emphasizes *pure functions*, meaning functions that always produce the same output for the same input without side effects.
- **Why it matters:** This leads to predictable and easier-to-debug code, as functions don't depend on external state or cause changes outside their scope.

► Lazy Evaluation:

- In Haskell, expressions are evaluated *only when needed*, which allows for efficient computation and the use of infinite data structures.
- **Why it matters:** This can lead to performance improvements by avoiding unnecessary calculations and enabling more expressive code constructs.

Haskell: Lazy Evaluation Example

Demonstrating Lazy Evaluation with Infinite Lists

- ▶ Haskell can handle infinite lists because it evaluates elements only when needed. Elements of a list are not computed or stored in memory all at once, each element is calculated only when it's specifically needed for a computation.
- ▶ Allows programmers to think in terms of potentially infinite data, unlocking powerful ways to write concise, expressive, and efficient code.

```
1  -- Define an infinite list of natural numbers
2  naturals :: [Integer]
3  naturals = [0..]
4
5  -- Take the first 5 numbers
6  takeFive :: [Integer]
7  takeFive = take 5 naturals
8
9  -- Example usage
10 main = print takeFive -- Output: [0, 1, 2, 3, 4]
11
```

Haskell: Type System and Monads

▶ Type System:

- ▶ Haskell features a *strong, static type system* with advanced capabilities like *type classes* and *algebraic data types*.
- ▶ Type classes allow for defining generic operations that can work with any type that implements the specified interface.
- ▶ **Why it matters:** These features enhance code safety, reusability, and expressiveness.

▶ Monads:

- ▶ Monads in Haskell are used to handle side effects (e.g., IO, state) in a functional way, keeping the purity of functions intact.
- ▶ They provide a framework for sequencing computations and encapsulating behaviors like state management or error handling.
- ▶ **Why it matters:** Monads allow developers to write clean, maintainable code while managing side effects in a structured way.

Haskell: Monad Example

Handling IO with Monads

- ▶ Demonstrates how Haskell manages side effects like input/output.

```
1 main :: IO ()
2 main = do
3     putStrLn "What is your name?"
4     name <- getLine
5     putStrLn ("Hello, " ++ name ++ "!")
6
```

Listing 4: Monad Example in Haskell

Output:

```
What is your name?
(User Input:  Alice)
Hello, Alice!
```

Haskell: Higher-Order Functions

► Higher-Order Functions:

- Haskell treats functions as first-class citizens, enabling *higher-order functions* that take other functions as arguments or return them.
- This feature promotes powerful abstractions and allows for concise, reusable code.
- **Why it matters:** Higher-order functions simplify common programming patterns, such as map, filter, and fold, making code more modular and expressive.

Haskell: Higher-Order Functions Example

Using Map and Filter

- Demonstrates higher-order functions with a list of numbers.

```
1  -- Doubling each number in a list
2  doubleNumbers :: [Integer] -> [Integer]
3  doubleNumbers xs = map (*2) xs
4
5  -- Filtering even numbers
6  filterEvens :: [Integer] -> [Integer]
7  filterEvens xs = filter even xs
8
9  -- Example usage
10 main = print (doubleNumbers [1, 2, 3, 4])    -- Output:
        [2, 4, 6, 8]
11 main = print (filterEvens [1, 2, 3, 4])      -- Output:
        [2, 4]
12
```

Listing 5: Map and Filter in Haskell

Output:

[2, 4, 6, 8]

[2, 4]

Haskell: Factorial Function Example

Recursive Factorial Function with Step-by-Step Breakdown

- ▶ Calculates the factorial of a number using recursion.
- ▶ Demonstrates type declarations and recursion.

```
1  -- Factorial function
2  factorial :: Integer -> Integer
3  factorial 0 = 1
4  factorial n = n * factorial (n - 1)
5
6  -- Step-by-Step Calculation for factorial 3
7  -- factorial 3
8  -- = 3 * factorial 2
9  -- = 3 * (2 * factorial 1)
10 -- = 3 * (2 * (1 * factorial 0))
11 -- = 3 * (2 * (1 * 1))
12 -- = 6
13
14 -- Example usage
15 main = print (factorial 3)  -- Output: 6
16
```

Listing 6: Recursive Factorial in Haskell

Haskell: Real-World Applications

- ▶ Past Uses:
 - ▶ Academic research in programming language theory and compiler design.
 - ▶ Teaching functional programming concepts.
- ▶ Current Uses:
 - ▶ Financial services for risk analysis and trading systems.
 - ▶ Web development (e.g., Yesod framework).
 - ▶ Data analysis and bioinformatics.
 - ▶ High-assurance systems requiring strong correctness guarantees.
- ▶ Industry vs. Academia:
 - ▶ Increasing adoption in industries that require robust and maintainable code.
 - ▶ Continues to be a favorite in academia for research and advanced studies.

Haskell: Scope and Influence

- ▶ Influence on Other Languages: Inspired languages like Elm, PureScript, and Idris; monads have been adopted in languages like Scala and JavaScript.
- ▶ Educational Use: Used in universities to teach advanced functional programming concepts.
- ▶ Tool Development: Basis for creating sophisticated compilers, type checkers, and high-assurance software systems.

F#: History

- ▶ Origins: Developed by Don Syme at Microsoft Research in the mid-2000s.
- ▶ Purpose: Designed to bring functional programming to the .NET ecosystem, blending functional and object-oriented paradigms.
- ▶ Evolution:
 - ▶ F# 1.0: Introduced in 2005, integrated with Visual Studio.
 - ▶ F# 4.0 and Beyond: Added features like type providers, async workflows, and improved interoperability with .NET languages.
- ▶ Influence: Combines functional programming strengths with the extensive .NET libraries, facilitating adoption in enterprise environments.

F#: Overview and Theory

- ▶ Functional-First: Emphasizes functional programming but supports object-oriented and imperative styles.
- ▶ Type System: Strong, static typing with type inference and generics.
- ▶ Pattern Matching: Facilitates deconstruction of data types.
- ▶ Immutability: Encourages immutable data structures, though mutable state is supported when necessary.
- ▶ Interoperability: Seamlessly integrates with .NET libraries and frameworks.

F#: Sum of a List Example

Recursive Summing Function with Step-by-Step Breakdown

- ▶ Calculates the sum of a list using recursion.
- ▶ Demonstrates pattern matching and recursion in F#.

```
1 (* Recursive function to sum a list *)
2 let rec sumList lst =
3     match lst with
4     | [] -> 0
5     | x::xs -> x + sumList xs
6
7 (* Step-by-Step Calculation for sumList [1; 2; 3] *)
8 (* sumList [1; 2; 3] *)
9 (* = 1 + sumList [2; 3] *)
10 (* = 1 + (2 + sumList [3]) *)
11 (* = 1 + (2 + (3 + sumList [])) *)
12 (* = 1 + (2 + (3 + 0)) *)
13 (* = 6 *)
14
15 (* Example usage *)
16 let result = sumList [1; 2; 3] // Result: 6
17
```

F#: Real-World Applications

- ▶ Past Uses:
 - ▶ Internal tools and applications within Microsoft.
 - ▶ Academic research and teaching in functional programming.
- ▶ Current Uses:
 - ▶ Financial modeling and quantitative analysis.
 - ▶ Web development using frameworks like Suave and Giraffe.
 - ▶ Data science and machine learning.
 - ▶ Enterprise applications leveraging .NET interoperability.
- ▶ Industry vs. Academia:
 - ▶ Widely adopted in industries that utilize the .NET ecosystem.
 - ▶ Continues to be used in academic settings for teaching and research.

F#: Scope and Influence

- ▶ Influence on Other Languages: Inspired language features in other .NET languages; serves as a model for integrating functional programming in multi-paradigm environments.
- ▶ Educational Use: Utilized in courses focused on functional programming within the context of the .NET framework.
- ▶ Tool Development: Basis for creating robust, maintainable enterprise applications with functional programming principles.

Functional Programming in Imperative Languages

- ▶ Many imperative languages have adopted functional programming features to enhance expressiveness and maintainability.
- ▶ Key functional features integrated into imperative languages:
 - ▶ **Lambda Expressions:** Anonymous functions for concise function definitions.
 - ▶ **Higher-Order Functions:** Functions that take other functions as arguments or return them.
 - ▶ **Immutability Support:** Encouraging immutable data structures through language constructs or libraries.
 - ▶ **Pattern Matching:** Simplifying complex data handling.

Example in Python: Lambda and Higher-Order Functions

Using Lambda Expressions and Higher-Order Functions

- ▶ Demonstrates functional features within an imperative language.

```
1 # Define a lambda for doubling a number
2 double = lambda x: x * 2
3
4 # Apply a function twice using a higher-order function
5 def apply_twice(f, x):
6     return f(f(x))
7
8 # Example usage
9 result = apply_twice(double, 3) # Result: 12
10 print(result) # Output: 12
11
```

Listing 8: Lambda and Higher-Order Functions in Python

Output: 12

Example in JavaScript: Arrow Functions and Map

Using Arrow Functions and Higher-Order Functions

- ▶ Demonstrates functional features within JavaScript.

```
1  const double = x => x * 2;
2
3  const applyTwice = (f, x) => f(f(x));
4
5  const result = applyTwice(double, 3); // Result: 12
6  console.log(result); // Output: 12
7
8  // Using map as a higher-order function
9  const numbers = [1, 2, 3, 4];
10 const doubledNumbers = numbers.map(double); // [2, 4,
        6, 8]
11 console.log(doubledNumbers); // Output: [2, 4, 6, 8]
12
```

Listing 9: Arrow Functions and Map in JavaScript

Output: 12, [2, 4, 6, 8]

Example in C#: Lambda Expressions and LINQ

Using Lambda Expressions and LINQ for Functional Programming

- ▶ C# integrates functional programming features, such as **lambda expressions** and **LINQ** (Language Integrated Query).
- ▶ **Lambda Expressions:** Anonymous functions that can be used as concise expressions.
- ▶ **LINQ:** A powerful tool for querying and manipulating collections in a functional style.
- ▶ This example demonstrates:
 - ▶ Defining and using a lambda expression.
 - ▶ Composing functions to apply transformations.
 - ▶ Utilizing LINQ to operate on collections.

Lambda Expressions in C#

```
1 using System;
2
3 class Program
4 {
5     static void Main()
6     {
7         // Define a lambda to double a number
8         Func<int, int> doubleFunc = x => x * 2;
9
10        // Apply a function twice
11        Func<Func<int, int>, int, int> applyTwice = (f
12        , x) => f(f(x));
13        int result = applyTwice(doubleFunc, 3); //
14        Result: 12
15        Console.WriteLine(result); // Output: 12
16    }
17 }
```

Listing 10: Defining and Using a Lambda Expression

Output: 12

LINQ in C#

```
1 using System;
2 using System.Linq;
3
4 class Program
5 {
6     static void Main()
7     {
8         int[] numbers = { 1, 2, 3, 4 };
9
10        // Using LINQ to double each number
11        var doubledNumbers = numbers.Select(x => x *
12        2).ToArray(); // {2, 4, 6, 8}
13        Console.WriteLine(string.Join(", ",
14        doubledNumbers)); // Output: 2, 4, 6, 8
15    }
16 }
```

Listing 11: Using LINQ with Lambda Expression

Output: 2, 4, 6, 8

Summary of Functional Features in Imperative Languages

- ▶ Functional programming features enhance code expressiveness, readability, and maintainability.
- ▶ They enable developers to leverage both paradigms, choosing the best approach for the problem at hand.
- ▶ Adoption of functional features in imperative languages reflects the growing recognition of their benefits in modern software development.

Comparison: Functional vs. Imperative Programming

▶ **Functional Programming:**

- ▶ Emphasizes immutability, pure functions, and recursion.
- ▶ Focuses on **what to compute**.
- ▶ Promotes declarative code that is often more concise and easier to reason about.

▶ **Imperative Programming:**

- ▶ Emphasizes mutable state, loops, and in-place updates.
- ▶ Focuses on **how to compute**.
- ▶ Promotes procedural code that can be more intuitive for step-by-step operations.

Comparison Example: Summing a List

Haskell (Functional)

```
1  -- Haskell: Sum of a list
   using recursion
2  sumList :: [Int] -> Int
3  sumList [] = 0
4  sumList (x:xs) = x + sumList
   xs
5
6  -- Example usage
7  main = print (sumList [1, 2,
   3, 4]) -- Output: 10
8
```

Python (Imperative)

```
1  # Python: Sum of a list using
   a loop
2  def sum_list(lst):
3      total = 0
4      for x in lst:
5          total += x
6      return total
7
8  # Example usage
9  print(sum_list([1, 2, 3, 4]))
   # Output: 10
10
```

Detailed Comparison: Functional vs. Imperative Approach

▶ **Functional Programming:**

- ▶ Each function call builds on previous values, creating a clear call stack.
- ▶ Encourages **reuse and composition** of smaller functions.
- ▶ Easier to parallelize due to immutability.

▶ **Imperative Programming:**

- ▶ Involves updating variables in place, which can make code less predictable.
- ▶ Efficient for **state management** but can lead to side effects.
- ▶ Often more straightforward for tasks that are inherently sequential.

Advantages and Disadvantages

Functional Programming

► Advantages:

- Easier to reason about and test due to pure functions.
- Enhanced modularity and code reuse.
- Better support for concurrent and parallel execution.

► Disadvantages:

- Can have a steeper learning curve for those accustomed to imperative styles.
- May introduce overhead due to immutability and recursion.

Imperative Programming

► Advantages:

- Intuitive for step-by-step problem solving.
- Generally more efficient for tasks involving mutable state.
- Easier to implement algorithms that require in-place updates.

► Disadvantages:

- Code can become harder to maintain and reason about due to side effects.
- More prone to bugs related to state management.

Conclusion

- ▶ Functional programming offers a robust paradigm emphasizing immutability, pure functions, and higher-order functions.
- ▶ Languages like ML, Haskell, and F# each bring unique strengths and have evolved to serve both academic and industry needs.
- ▶ Integration of functional features in imperative languages bridges the best of both worlds, enhancing code expressiveness and maintainability.
- ▶ Understanding the differences between functional and imperative paradigms empowers developers to choose the right tool for the task.

Questions

Any Questions?

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