

A Cloud-Based DevOps Toolchain for Efficient Software Development

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Abstract

In the traditional software development life cycle, development and operation are divided into different departments. The conflict between departments and, besides, the lack of automation usually leads to low software development efficiency and slow software delivery. Thus, the concept of DevOps is introduced which combines different departments and automates the process to make software delivery faster and easier. The DevOps toolchain is the one important component for adapting DevOps. On the other hand, the benefit of cloud technology, especially serverless computing, makes it tempting for us to investigate what benefit it brings to the DevOps toolchain. In the first research question we examine the benefits of AWS serverless platform which it can bring to DevOps toolchain, to answering the question, we (1) develop a DevOps toolchain hosted in Amazon Web Services (AWS), in addition, make use of the serverless computing services; (2) Examine what does each serverless computing services brings to the DevOps toolchain, testing how does the performance of the DevOps toolchain changes with or without make use of serverless computing service.

Our research shows that a part of the serverless computing services could reduce the cost, operation effort, and boost improves performance with the help of enabling parallel execution. The experiment shows that, in contrast to a toolchain hosted in a traditional cloud server vs the toolchain that was developed by us using serverless computing services could reduce the total runtime of parallel execution up to 65%. In the second research question, we focus on the integrated toolchain build with AWS DevOps tools from AWS serverless platform. We (3) build a demo integrated DevOps toolchain with AWS DevOps tools and (4) compare the integrated toolchain with the non-integrated toolchain we build in (1). We find out that the integrated toolchain significantly reduces the development time by providing an out-of-box solution for DevOps toolchain. In addition, the better integration with underlying cloud infrastructure provides more functionality such as global monitoring and blue/green deployment. However, we also find out from the experiment that the performance of integrated toolchain is lower due to the limitation of resources and that too come with a high cost.

Preface

Helping costumers transform to DevOps is one of the main business activity of Eficode, and DevOps toolchain is one of the key elements during the transformation process. On the other hand, as an advanced partner of multiple cloud providers, Eficode is interested in what cloud technologies could bring to the DevOps toolchain. In this thesis project, I focus on the AWS serverless platform¹ in Amazon Web Services and discover what change, especially benefit can it bring to DevOps toolchain.

The process of doing this project is not an easy task; the set up of cloud infrastructure requires an enormous number of operational and configuration tasks. The vast but unregulated plugin eco-system of Jenkins also leads problems such as lack of documentation for plugin, dependency hell and unstable plugin. There is a lack of previous researches about the serverless within DevOps toolchain. Moreover, as a student with a software development background, the lack of prior experiences in the related field means much study is needed before I can start the project. All these tedious and unexpected tasks above, plus the tight thesis schedule did make me frustrating in the middle phase of this project. Despite all of these, I managed to achieve the preset goal by answering all RQs and finish the demo implementations. Through this project, I familiar with different exciting tools for cloud and DevOps; it opens up a whole new area for me, and will help me with my future career in Eficode. I hope the result will give Eficode more insight on the capability that AWS serverless platform could bring for the DevOps toolchain.

Now I'm at the end of this two year's journey, and I feel grateful that I made this life-changing decision to come and study in Europe. I have to say, it was not an easy decision to quite the ongoing research master's study back in Shanghai, China and start all over again in a brand new environment. The two year's study was a journey full of struggle – in both financial and study. However, what it brings is more than what I expected: international experiences by EIT Digital, great friends from different countries, two intern/work experiences, and precious knowledge that combines business and technologies. Most importantly, I could study the topic that I'm interested in and start a career within this field, which what I was not able to do back in my previous research master's study.

¹<https://aws.amazon.com/serverless/>

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Chapter 1

Introduction

The Agile Manifesto [1] drafted by Kent Beck et al. in 2001, created the Agile software development method. Since then, this new software development method has drawn attention to the industry. The Agile has become a leading standard for the software development industry, with multiple further enhancements aiming to tackle certain business-specific challenges. The Agile method advocates the shorter development iteration, continuous development of software and continuous delivery of the software to the customer. The goal [1] of Agile is to satisfies the customer with early and continuous delivery of the software. The Agile, which aims at the improvement of the process within the software development team and the communication between the development team and customers [2] do makes the software development faster. However, it does not emphasis the cooperation and communication between the development team and other teams. In real life, the conflict and lack of communication between the development team and operation teams usually become the barrier for shortening the delivery time of the software project.

Hence, in answer to how to solve the gaps and flaws when applying Agile into real-life software development, the concept of DevOps emerged. The term "DevOps" is created by Patrick Debois in 2009 [3], after he saw the presentation "10 deployments per day" by John Allspaw and Paul Hammond. While Agile fills the gap between software development and business requirement from the customer, the DevOps eliminates the gap between the development team and the operation team [4]. By eliminates the barrier we mentioned in the last paragraph, DevOps further fasten software delivery. In conclusion, DevOps means a combination of practices and culture which aims to combine separate departments (software development, quality assurance and the operation and others) in the same team, in order fasten the software delivery, maximising delivered without risking high software quality [5][6].

In software engineering, the toolchain is a set of tools which combined for performing a specific objective. DevOps toolchain is the integration between tools that specialised in different aspect of the DevOps ecosystem, which support and

coordinate the DevOps practices. The DevOps toolchain assistant business in creating and maintain an efficient software delivery pipeline, simplify the task and further achieve DevOps [7]. On the other hand, DevOps strongly rely on tools. There are specialised tools exist for helping teams adopt different DevOps practices [8].

Traditionally, the DevOps toolchain is to have individual tools which are stand-alone and from different companies. The tools usually are on-premise. However, can also be deployed on cloud virtual machines. We call it **non-integrated tool-chain** in this report.

At the same period that the tools for DevOps emerged and developed, the cloud technologies also developed rapidly. This leads to the emigrations of Serverless Computing. The Serverless Computing is a new cloud computing model which all used to build and run the application on the cloud, without thinking about the servers [9]. It also allows developers to build the application with less overhead [9] and more flexibility by eliminates infrastructure management tasks [10]. With serverless computing technologies, many new cloud technologies emerged, which gives developers an alternative way than traditional cloud servers or cloud virtual machines. For examples: Functional computing allows the application to be divided by functions and designed under event-driving paradigm without managing the hardware infrastructures. The on-demand nature of the serverless computing cloud could be used to deploy certain component of a DevOps toolchain to ease the implementation difficulties and reduce the cost. Managed scalable container services in the cloud enable the user to run the container-based application directly on the cloud, which helps the toolchain becomes scalable. DevOps tools as a service [11] allow the cloud provider to deliver a DevOps tool directly on its cloud platform.

Helping the customer do the DevOps transformation is one of the main business activities of Eficode, the company which I am writing my thesis. This is done by the developing and deployment of DevOps toolchain for customers. As mentioned in the last paragraph, the new changes brought by cloud may further improve the performance and lower the cost of DevOps toolchain development – both in money and time. As part of thesis work at Eficode, We will investigate how could serverless computing help improve the DevOps toolchain.

1.1 Problem Statement

As per the last paragraph, serverless computing gives developers alternative ways to deploy the non-integrated DevOps beside cloud virtual machines. Several cloud providers utilise serverless computing. Among them, Amazon Web Services(AWS)¹ has the largest market share is the first cloud provider which provides the serverless computing services. According to the report from Gartner [12], the market share

¹<https://aws.amazon.com/>

of AWS was 47.8% in the year 2018, which makes it the largest cloud provider in the world.

Nowadays, the serverless computing services in AWS has already been expanded to a set of fully managed services called "AWS serverless platform"². This platform includes new AWS cloud products that leverage the serverless computing technologies. These products includes for instance, AWS Lambda³ for function computing, AWS Fargate⁴ for managed container services. AWS also gains the most popular among the developers that using serverless technologies. The most recent survey report [13] from Cloud Native Computing Foundation (CNCF) shows that 51% of serverless users are using AWS Lambda, while 68% of developers who are not using Kubernetes are using AWS ECS to hosting their containers. As the Advanced AWS partner, AWS is being used as the main cloud providers in the customer projects by Eficode. Furthermore, the company keeps looking for ways to leverage serverless computing services in AWS to benefit the DevOps toolchains it builds for customers.

However, despite the wide application of serverless technologies, and an enormous number of research papers about the use-case or benefit of serverless in data analysis [14], for container-based microservices [15], or for IoT applications [16] [17], the benefit of serverless to DevOps is not yet be discussed. There do have paper [18] or book [19] about DevOps toolchain for serverless applications. Nevertheless, there still lack researches about how could serverless helps DevOps toolchain itself. Thus, our first research question is to fill the gap by answering this question.

The second area we would like to investigate in our project is the integrated DevOps toolchain that is powered by serverless DevOps tooling in AWS.

The **integrated DevOps toolchain** is delivered as a cloud-based single platform that allows development teams to start using DevOps toolchain without the pain of having to choose, integrate, learn, and maintain a multitude of tools. In other words, the cloud based-integrated DevOps toolchain is to offering DevOps toolchain as a service In AWS, this is offered by AWS CodePipeline (as the platform) and Several serverless tools that integrated with CodePipeline.

This integrated toolchain is one of the new changes that serverless computing brings, but it also leaves a question to the development team who is trying to build DevOps toolchain on AWS: which kind of toolchain should they select? Should they stick on the previous non-integrated toolchain or embracing the integrated one? The integrated DevOps toolchain provides an out-of-box integrated solution for the whole DevOps lifecycle, which is tempting. However, apart from the advertisement from the vendors of these "DevOps" platforms, we still lack third party researches about the comparisons between these two.

Based on the above, the research questions could be summarised as below:

RQ1: *How can serverless computing services in Amazon Web Services helps*

²<https://aws.amazon.com/serverless/>

³<https://aws.amazon.com/lambda/>

⁴<https://aws.amazon.com/fargate/>

the DevOps toolchain?

RQ2: *How does the newly emerged integrated toolchain in Amazon Web Services compared with the traditional non-integrated toolchain?*

1.2 Research Approach

To answer the RQ 1, we first investigate the current serverless offering in Amazon Web Services (AWS), which is one of the cloud services mainly used in the Eficode. We first analyse the functionality that each service has and how does these services could be used by our DevOps toolchain that deployed to AWS in Chapter 3.

For answer RQ1 and RQ2, we implement both traditional non-integrated and integrated toolchain based on the DevOps practices and tools used by Eficode. In the design and implementation of the toolchain, we focus on the following DevOps practices: Version Control, Configuration Management, Continuous Delivery and Monitoring. The goal of the implementation is: First, validate the availability of using AWS serverless computing services in the traditional non-integrated toolchain. Thus in the process of developing and deploy the toolchain, we could already partly answer the RQ1 by answering how the Serverless computing services be used in our DevOps toolchain. Second, the implementation is served as the environment for experiments in Chapter 5, which could answer two RQs.

To further facilitate the answer to RQ1, our next step of the study is an experiment. The experiments are done by comparing the metrics measured from the toolchain with and without using certain serverless computing service from AWS. The metrics cover different perspectives includes cost, performance and development difficulties.

To answer RQ2, The non-integrated toolchain is used to compare with the integrated DevOps toolchain build by the AWS DevOps tools. Besides, we conduct a study on a comparison between an AWS based traditional toolchain and this out-of-box integrated DevOps toolchain that also provided by AWS. The reason that we keep the comparison scope within AWS is that by doing this, we make sure that hardware in both toolchains are from AWS, this could eliminate the errors caused by the hardware difference between vendors and focuses on the difference caused by toolchains themselves.

In the experiment for answering RQ2, we simulate the same DevOps lifecycle of a demo Spring Boot web app on both toolchains. We again measure the metrics in these two toolchains. The process is similar to what we do on RQ1. For software development teams, it could provide better insights on how to select the DevOps toolchains.

1.3 Thesis Structure and Main Contributions

In Chapter 2, we introduce concepts within the scope of DevOps. We also introduce the concepts in cloud computing which is related to our research. Chapter 3

is focusing on a survey on serverless computing technologies which the DevOps toolchain could make use of. Chapter 4 focuses on the designed and the implementation of our DevOps toolchains(both non-integrated and integrated). Chapter 5 focuses on the experiments and evaluations, which show how does the serverless computing services introduced in CH3 could benefit DevOps toolchain, and how these two kinds of toolchains we mentioned earlier compared with each other. We finally summarise our research and answer the research questions in Chapter 6.

The main contributions of this thesis project are:

- We provide a study on how could the DevOps tools leverage the cloud services to reduced development/deployment difficulties, lower the cost and improving the performance. This part of research could help the software team which is going to employ DevOps understand the practices needed. Besides, the research gives them a clearer scope of the tools needed for implementing the practices.
- We give the overview of 2 different types of DevOps toolchain. We also implement demo prototypes for each type of toolchain and conduct experiments with these prototypes. The experiment result shows a comparison between different toolchains. It could help the software team understand which toolchain cloud be selected based on the needs.

Chapter 2

Background and Concepts

In this chapter, we introduce several main concepts related to our study. Section 2.1-2.3 shows the definition of DevOps and two important concepts that DevOps based on. Section 2.4 introduces the serverless computing.

2.1 Agile software development

The term "Agile" represents the fast adaptation and response to the changes in the plan[20]. Agile software development is a new method of software development that implements the ideology of "agile". Agile software development advocates the continuous development of software teams. The software development under this methodology will have shorter planning/development time before it delivers to the customers and could better adapt to changes in the environment and requirements.

Iterative Software Development: Agile software development uses an iterative way in the development process. The traditional software development process, like the waterfall method, requires the long and complicated planning process, and a complicated document. Once one phase of the development is done, the teams should not change the output (document and code) of this phase [21]. In contrast, the agile software development aims to satisfy the customer with early and continuous delivery of the software [1]. Early means the shorter time before software delivery. Continuous means the development does not end with the delivery. Delivery means the end an iteration, together with a demonstration to stakeholders. After delivery, the team continue to next iteration according to the feedback it gets from stakeholders. In each iteration, the team not aims to add major features to the software, rather their goal [22] is to have a working and deliverable release. In the ideology of agile, the best design the software product comes from the iterative development [1], rather than the tedious planning.

High Software Quality: The rapid development does not mean low software development quality. On the contrast, the quality of software design is highly ap-

preciated in the agile software development. The automatic testing is widely used in Agile. The test cases will be defined and implements from the beginning of the development process. The testing goes through the whole development iteration ensure the software has a high enough quality to be released or demonstrate to customers at any point of an iteration [23].

Collaboration: The agile software development processes include collaboration across different groups, i.e. business development team, software development team, test team, and customers. It values more face to face communications [24] and feedbacks. The goal for these communications is, firstly to let everyone in the multifunctional agile team understand the whole project, secondly, to receive feedback that helps the software in the right development track that aligns with the requirement of the stakeholders [1].

According to the Manifesto for Agile Software Development, compared with traditional software development, the agile software development value these aspects [1]:

- Individuals and interactions over processes and tools.
- Working software over comprehensive documentation.
- Customer collaboration over contract negotiation.
- Responding to change over following a plan.

2.2 Continuous Integration & Continuous Delivery

In the software development, CI/CD refers to continuous integration, continuous delivery and continuous deployment [25]. As we mentioned in 2.1, agile software development requires continuous software quality assurance and iterative development. Currently, CI/CD is one set of the necessary practices for the team to become agile by achieving the requirements above. Figure 2.1 shows the relationship between these 3 practices.

2.2.1 Continuous Integration

Continuous integration is the base practice of all practices within CI/CD, and continuous delivery/deployment is based on the continuous integration [25]. The continuous integration means the team integrate each team member's work into the main codebase frequently(multiple times per day). "Integrate" means merge the code to the main codebase [26]. The continuous integration rely on 2 practices: *Build Automation* and *Test Automation*. The definition of these two practices are:

- *Test Automation:* Test automation means using separate software to execute the software automated without human intervention. It could help the team to test fast and test early [27].

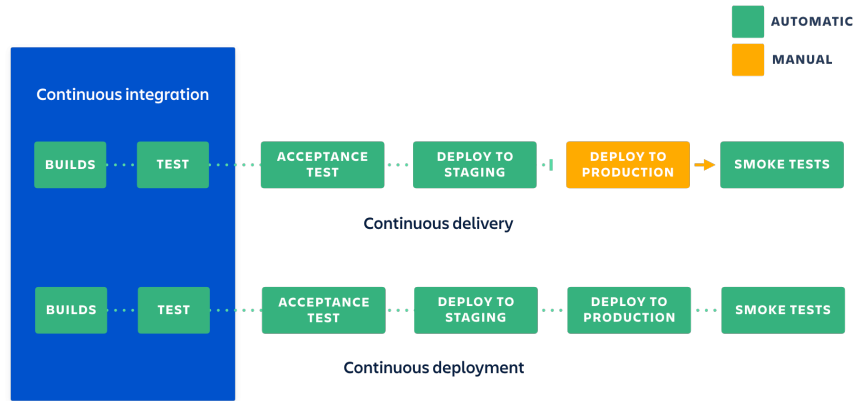


Figure 2.1: The relationship between continuous integration, continuous delivery and continuous deployment [25]

- *Build Automation:* Automate the process of creating software build. This means to automate the dependency configuration, source code compiling, packaging and testing. It is viewed as the first step to continuous integration [28].

With the help of these two practices, for each developer in the software team, the workflow [26] in continuous integration as follows: In the development of each feature, the developer first pulls the code from the main codebase. During the development, new test cases could also be added to the automated test. After the developer finishes the development, automated testing also runs on the code to maintain the code quality and minimise the number of bugs from the beginning. The build automation compiled the code locally in the development machine.

After the step above, the developer already has the executable and the high quality (passed the automated test) code in the development machine before submitting the change to the code base. This represents the principle of quality and automation in agile software development. In the next step, the developer commits changes to the repository, which is the main codebase, and the system check the conflict and do the test/build again, to make sure that there are not any bugs missed in the test on the development machine. If the code passes this build and test, it will be merged to the main codebase, and the integration is done.

2.2.2 Continuous Delivery and Continuous Deployment

Continuous delivery is practices that software development team build a software that can be released at any time of the lifecycle [29]. This means the software always maintains a high quality and in a deployable state[30]. It is a subset of agile,

which focuses on the software delivery[31]. From the last section, we introduce the concept of continuous integration. The continuous delivery is based on continuous integration but further automate the software deployment pipeline. In the software deployment pipeline, the team divide build into several stages, first build the product and then push the product into the production-like environment for further testing. This ensures that the software could be pushed to production at any time. However, in continuous delivery, the deployment of software into production is done manually. The benefit [30][29] of continuous delivery includes:

- High code quality: The automate and continuous testing ensure the quality of the software.
- Low risk: The software team could release the software at any time. The release process is easy, and it is also harder to make a mistake.
- Short time before going to the market: The iteration of software development is much shorter. The automation in testing, deployment, environment confirmation included in the process, and the always read-to-deploy status shorten the time from development to market.

The continuous deployment is based on continuous delivery. The only difference is continuous deployment automates the deployment process. In continuous delivery, the software is deployable but not deploy without manual approval. In the continuous deployment, each change that passed automated build and testing will be deployed directly. The continuous deployment is a relatively new concept that most company not yet put the practice into production [32]. While continuous delivery is the required practice for the company to be DevOps and it is already widely used.

2.3 DevOps

The fundamental goal of DevOps is to minimise the service overhead so that it can respond to change with minimal effort and deliver the maximum amount of value during its lifetime.

– Markus Suonto, Senior DevOps Consultant, Eficode

DevOps is a set of practices that aims to combine different, traditionally separated disciplines (e.g. software development, operations, QA, and others) in cross-functional teams with the help of automation of work to speed up software delivery without risking high-quality [33].

DevOps is the extension and evolution [34][35] of Agile. DevOps and Agile both driven by the collaboration ideology and the adoption of DevOps needs Agile as the key factor [34]. DevOps has a different focus on agile. DevOps focus on the delivery while agile is focused on the development with the requirement and customer [36]. Figure 2.2 shows the workflow and practices of a team working under DevOps.

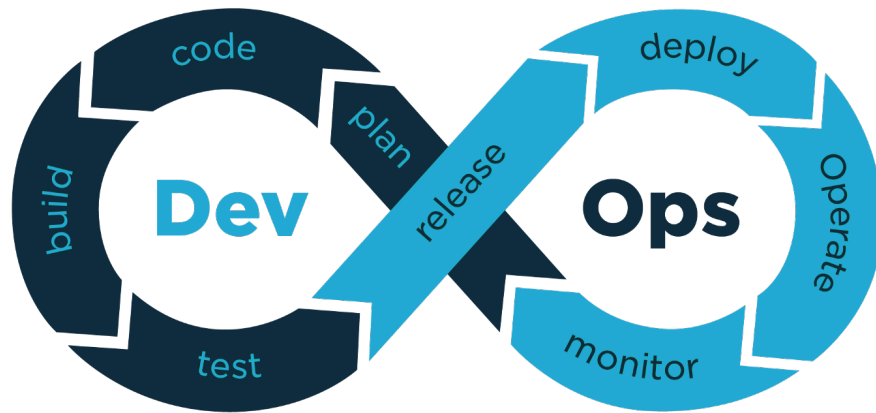


Figure 2.2: DevOps Practices and Workflow [37]

2.3.1 Elements

In this section, we will introduce the necessary elements that an organisation need to includes when employing DevOps. Four necessary elements need to be considered.

Culture

In the pre-DevOps era, the Development and Operation are two different teams with a different goal. The interface between them is based on the ticket system, which the operation team do the ticket management. As we mentioned at 2.1, the goal of Agile is to shorten the deliver life cycle and delivery software quickly to the customers. So when practice agile development method under this scenario, the development try to deliver the code, they develop earlier. However, the operation team usually will delay the process for quality control or other reasons. In practice, this causes the delay between the code change and the software delivery to the customers [35]. The lack of communication and conflict between developers and the operation team slow down the software delivery process and also make it harder for the teams to be real Agile. Therefore the concept "DevOps" is being proposed at 2008, for eliminating of the boundary between developers (Dev) and operation team (Ops). According to Walls (2013), this is being done by promoting the culture with 4 characterises: open communication, incentive and responsibility alignment, respect and trust [38].

The open communication means discussion and debate openly. As mentioned above, the traditional communication method is through a very formal and regularised ticket system. In the DevOps, the communication is not limited within the formal ticket system. Instead, the team will keep in the whole lifecycle of a product, from the requirement, schedule, and anything else [38]. The information

sharing is also important [39]. The metrics and the project status is available for everyone in the team , so each member could have a clear scope about what the team is doing.

The incentive and responsibility alignment mean the whole teams (combines Dev and Ops) shares the same goals and also takes the same responsibility. The shift from "Dev" and "Ops" to DevOps requires people who used charges in only development and operation starting sharing the responsibility from both side [39]. This means individuals or a certain part of the team will be not solely blamed if the product is failed. This "no blame" culture could help each engineer be willing to take the development responsibility for the whole system [40].

Respect means all employees should respect and recognise the contribution of other teams members. A DevOps team is not a single team without any division of jobs, there is still an operation part within a team [41]. However, the people operation team will take development responsibility, and the developers will also put their hands-on operation and management[42]. To make people with different roles works in a team, trust and respect each other is critically important.

Organisation

In the organisational level, the DevOps emphasises the collaboration between different part of an organisation. This is strongly correlated with the "culture" part of this section. Inside a team, each member should be a generalist who could understand all aspect of a project. There will not be a dedicate QA, operation or security team within a team. Instead, these are the job that belongs to everyone [40][3]. The organisation should provide the team member with opportunities to learn all skill needed for building the whole system.

The team size should be small. A small team could help to reduce the inter-team communication. The small team means that the scope of the project is small. Furthermore, it also means less bureaucracy in team management. There are four benefits [3] to have a small team:

- The smaller team allows each team member to understand the whole project easily.
- The smaller team could reduce the amount of communication needed. It could also limit the growth rate that the product could have.
- The smaller team could decentralise power. In DevOps, each team lead could define the metrics which become the overall criteria of the whole team's performance.
- In a smaller team, failure does not mean a disaster for the company. This fact allows the team to fail. Thus each employee could train their headship skill in the team without too much pressure.

Furthermore, another important organisational aspect for DevOps is to have a loosely-coupled architecture. The first benefit of this is the better safety. In the organisation with a tightly-coupled architecture, small changes could result in large failure [3]. The second benefit is productive. In a traditional organisation, the result of each team will be merged, tested together and deploy together. This means it is time-costly to configure and manage the test environment requires dependencies. A loose organisation enable each team to finish the development of lifecycle (from planing to deployment) independently. Each team could update their products independently, which gives the team more flexibly to align the product with the change in the customer requirement. This means that the update of each team's product will not affect other teams as well.

Automation

In the DevOps, automation means automation within the whole development and operation process. The organisations which employing DevOps aims for a high degree of automation[43]. With automation, people could be free from the repetitive work and reduce human error. It could help build the DevOps culture of collaboration, and it is seen as the cornerstone of the DevOps [44]. The main practices regarding Automation are the automated testing, continuous delivery and automated operation. Automated testing could be achieved by test automation. We already mentioned the benefit of this at 2.2.1.

The continuous delivery pipeline is the core of the DevOps [45]. As we discussed at 2.3.2. The continuous delivery will ultimately automate all steps between the developer to commit the code to the product in the production.

The automation of the operation part is usually done by using the concept of "Infrastructure as Code" [39]. The Infrastructure as Code (IasC) means to define everything in the software infrastructure level as code [46]. Because it is code, we could use the automation methodology used in the software development to manages and deploy these codes. According to Christof et. (2016), under IasC, infrastructure can be shared, tested, and version-controlled [6]. This could help emphasises the automation within the operation scope. With the automation in operation, the team could be free from the tedious environment configuration and shorten the product development lifecycle. Automating server configuration means the developers and operation staff can equally know the server configuration [44] which help build the culture of shared responsibility and trust.

Monitoring and Measurement

Monitoring is to continuously collect the matrices from the running system for helping the team find the problems in the system. To do the monitoring, the monitoring system needs to do the measurement, which is to collect data properly from the system. The measurement is defined as reducing the uncertainty through observation, which producing quantitative result [47]. The organisation should properly

use the result (metrics).

In the DevOps way of development, the testing is the key to maintain the quality of the software continuously. However, when the product enters the production, we cannot test the software any more. So, we need monitoring to keep track of the status of the product [48]. According to State of DevOps report from Google, the good monitoring structure and the wisely usage of the data from monitoring for making business decision could improve the software delivery performance [49]. Thus, Monitoring is an important component of DevOps.

With monitoring, the software team could keep tracking the status, and maintain the quality of deployed production. The monitoring has also enabled the team to collect the data from customers' usage behaviour. This helps the agile development team to improve in the next iteration of the product [39].

For develop a high-quality monitoring system, the development of monitoring could be in parallel with the main product, and the monitoring system can be already be used against the "staging deployment" (see Figure 2.1) at the early stage of the iteration. By this, the development team can improve the monitoring system continuously together with the main software system. The parallel development of the monitoring system and the main system helps the team to find the gap in the monitoring earlier [48].

As we mentioned in the "Culture" section, the collaboration is an important part of the DevOps culture. Collaboration needs the communication and information sharing between the development(Dev) and operation(Ops) team. The monitoring could be one of the channels between the Dev and Ops since it can expose the information of the whole system, which helps team members to understand the system as a whole. This helps the team achieving the point we mentioned at 2.3.1 (Culture) that the project status and matrices should be available to every team members.

2.3.2 Toolchain

A DevOps toolchain is a set of tools that integrated to aid the software development, deployment and management through the whole software development lifecycle, which helps the software development to fit the DevOps principles [7][50][4]. Each tool in the toolchain supports specific activities in DevOps, for example, version control, build, testing.

According to [4], Google Cloud state of DevOps reports [49][51][52] and our previous definition of the DevOps, we summarise the essential component of a DevOps toolchain as below.

Project Management & Planning

Planning software development project, track the tickets and the issues, communication between and within the teams. The project management tools help to implement the DevOps culture, which enhances collaboration and knowledge sharing.

Configuration Management

Provided a central platform to manage the configuration across the assets. This is usually done by defining the desired state of the assets in a configure file and automate the configuration process which reaching the assets to the defined status. In the cloud environment, a common practice of configuration management is through infrastructure as code, which is define the cloud infrastructure, services configuration and deployment orchestration as configuration file[53].

Continuous Integration

Continuous integration (in short: CI) is the top practice for improving the Deployment Frequency [51]. It is one of the most important parts of DevOps toolchain. As we introduced at 2.3.2, CI allows the developers to integrate their work more frequently to the production products, and it shortens the time to the market of the product. The automatic testing and code analysis integrated into the CI continuously maintain the quality of the product. CI tools also automated the most parts of the software development pipeline, In conclusion, CI helps the system fulfil the DevOps definition (2.3) by speed up the delivery by automation, maintain the quality by continuous quality assurance. So CI is the core part of the whole DevOps toolchain.

Version Control

Version control is the key component of DevOps toolchain. It is a system that could record and track the changes in a set of file overtime. Version control simplifies the collaboration between team members. Furthermore, allow the simultaneous development of the different part of a software system. According to [54] and [51], version control is the top practices when it comes to improving the multiple metrics in DevOps. Version control becomes the indicator of the software system performance [54] Infrastructure as code. An important DevOps practise we mentioned at 2.3.1 also relies on the version control.

Monitoring

The monitoring system is one of the basic practices in a DevOps toolchain[52]. It is also one of 4 basic elements of DevOps as we mentioned at 2.3.1. In the DevOps toolchain, the monitoring system detects the failure in the whole system and helps the software team find the problems earlier. The log taking by the monitoring system can also record the system activity history, which allows further analysis.

Automated Testing

The automated testing tool could verify the code before it being built. Due to the common practise of continuous integration which we mentioned at , the automated

testing usually integrated into the continuous integration pipeline. The integration of testing in the CI pipeline makes it easy for the organisation to implement the quality gate in the software development [48].

2.4 Serverless Computing

In this section, we focus on the concepts of Serverless Computing. We will have more discussion regarding the new cloud service based on Serverless Computing in the next chapter.

Serverless Computing (in short: Serverless) is a cloud execution model which the cloud provider manages the server and resources allocation. The popularity of serverless is precipitated by the development of microservices and container technologies [55]. A survey from Cloud Native Computing Foundation (CNCF) shows that, in 2019, 41% of respondents are using serverless technologies in the production, the number was 32% in 2018[13]. The report of this survey also shows that serverless architectures and cloud functions are being used by 3.3 million developers [13] in 2019.

In the traditional cloud computing service, the user rents the fixed number of cloud servers from the cloud providers, and the cloud providers charge user according to the renting length and the server type (pay-as-you-go model). While in the serverless computing services, the developer only pays according to the execution time of the program. Another difference between serverless computing and traditional computing method is that, in serverless computing. However, the task is still running on the physical cloud servers that are fully managed by the cloud providers. The means the user leave all server provisioning and administration tasks to the cloud providers [56] when using serverless.

2.4.1 History

In the early days of cloud computing, the consideration behind the design of cloud computing is that the developer simply moves their deployment environment from the local server to the server on the cloud. Therefore, the cloud virtual machine, for example, Amazon Web Service EC2 is the main form of the cloud service providing. After Amazon Web Service started offering the service with the virtual machine, Google entered this field for competing with AWS, but in another direction. In year 2008, Google released Google App Engine (GAE) ¹[57]. The platform allows developers to run their code without managing the cloud virtual machine. This makes Google the first in the main cloud providers to allow the developer to runs code on its cloud without provisioning and managing the cloud servers. However, the GAE only allows the developer to run the python code that is programmed with Google's framework, rather than running arbitrary Python code. Amazon Web Service (AWS) introduces AWS Lambda in 2014, make Amazon the

¹<https://cloud.google.com/appengine>

first public cloud provider that provides serverless computing platform[58]. Since then the serverless computing starts its rapid commercial development. Following AWS, other providers also introduced their serverless computing platforms. Only in year 2016, Google ², Microsoft ³, and IBM ⁴ released their serverless computing platform respectively.

2.4.2 Characterises

We summarise four main characterises of serverless computing.

Event Driven

Event-Driven means the serverless applications is usually triggered and start running due to an event. There are different kinds of event that could act as a trigger. The first one is the HTTP request. When an HTTP request reaches the server, the serverless application could be triggered to reads the context of this request, execute the code, return the HTTP response to the frontend. This kind of pattern matched the nature of web application which allows the developer easily build serverless API for web/mobile applications on top of serverless cloud functions. The serverless application could also be triggered by changes in the database and object storage. This allows the serverless computing to be used as a background task such as data processing. A good example is the serverless computing use case of Thomson Reuters in their social media data analysis project[59]. Thomson Reuters uses AWS Lambda to hosting a serverless application that triggered when new data is stored. The application processes the data real-time, extract the hashtag trend data and store it in Amazon DynamoDB, a database solution by AWS, which is also serverless.

Managed Resources Allocation

The managed resources allocation means the developer only need to deploy the code but to leave the operation task to the cloud. As we mentioned before The developer does not need provisioning or managing any server besides, the developer is not required to install any software or runtime [60] when deploying his/her application.

The managed resources allocation also means the cloud provider will manage the scaling of the infrastructure which the developers are running code on. In the traditional virtual machine, although some cloud providers, for example, AWS and Azure support auto-scaling; however, the scaling policy has to be defined by the user. Moreover, the user needs to set up the cloud infrastructure for using autoscaling. On contrast, in Serverless computing, the cloud provider will handle

²<https://cloud.google.com/functions>

³<https://azure.microsoft.com/en-us/overview/serverless-computing/>

⁴<https://www.ibm.com/cloud/functions>

everything related to auto-scaling. Furthermore, the availability and security issues are being taken care of by the cloud provider as well.

Pay-per-use

Pay-per-use is the significant characteristic of serverless computing from non-technical perspective. The traditional cloud server using pay-as-you-go mode. The billing is done based on the type of VM and the rental time of this VM. This is not economy flexibly for the user since they have to pay even nothing is running on the VM they are using, they still pay as the same as when their VM is fully loaded. On contrast, in serverless computing, the users do not need to pay the idle time; they only pay for the time that the application is running. In any scenario, such payment mode could lower the cost [56].

Extensive Application Scenarios

Serverless computing has extensive application. The serverless runtime that what we discussed the most above. Nevertheless, besides deploy runtime on the cloud, serverless computing also gives software teams more possibilities which cover all backend services that we could be possibly used when building a modern application. According to the definition of Amazon Web Service, it is serverless offering include not only serverless functions (AWS Lambda) but also includes the serverless database, container runtime services, data analysis and Kubernetes cluster, which we already mentioned in Chapter 1. Google cloud also advocates "full-stack serverless" [61]. Same with AWS, Google Cloud also provides, all kinds of serverless solutions, from compute, DevOps storage, to AI and data analysis. Furthermore, Azure's serverless offering also covers a wide range of the backend component, including computing, storage, ai, monitoring and analysis [62].

2.4.3 Limitations

Serverless computing is not the perfect solution. In some aspects, it still has it is limitations compared with traditional VMs.

Hardware Performance

This is mainly the problem within the compute task that runs in serverless. In the current serverless offering from cloud providers, the computation power of serverless computing is limited. For example, in the virtual machine service (AWS EC2) provided by AWS, the user could select the virtual machine which up to virtual 96 CPUs and 192 GB RAM. While in the AWS serverless computing engine, the maximum allocated RAM size is only 3008MB [63] and no maximum vCpu number is specified in the documentation. This has limited the application scenario of serverless computing by makes it unsuitable for the heavy task. The limitations to the hardware selection are also imitating the performance in some cases like

model training. The experiment in research by UC Berkley shows that, because AWS Lambda does not support GPU computation, when training the deep learning model, it is 21X slower than EC2 instance with GPU [64]. The longer execution time also makes serverless more expensive in such a case.

Cold Start

The cold start is also a disadvantage of serverless. In when running a function on serverless cloud service, the functions are being served by container [65]. As long as the functions keep being triggered, the container which hosting the functions will stay active. The cold start means the trigger event happens when the function is not being triggered for a too long time, so the cloud provider has already deactivated the container. In such a situation, the cloud has to provision a new container, and this will significantly increase the total execution time.

Communication

In current serverless computing offering from cloud providers, there is a lack of network communication between different running serverless instances [64]. For example, in AWS Lambda, the communication between executing cloud functions can only be done through slow cloud storage. While the communication between virtual machines is through the network interface, which is much faster than cloud storage. Such limitation could further affect the performance of the distributed system that hosted by serverless since the distributed algorithm largely depends on the communication between nodes.

Chapter 3

Overview of Current Serverless Cloud Services Offering

In this chapter, we will introduce the serverless cloud services in Amazon Web Services (AWS) that we will use in the DevOps toolchain and the experiments. In 3.1, we introduce the AWS Elastic Container Services and AWS Fargate. In 3.2 we introduce the AWS Lambda and AWS CloudWatch in 3.3. We introduce AWS DevOps tools in 3.4.

3.1 AWS Elastic Container Services with Fargate

In our toolchain, we make use of Elastic Container Services with Fargate to run the Jenkins build agent. In this section, we introduce ECS and Fargate, and how do they combine to host the serverless Docker container.

3.1.1 AWS Elastic Container Service(ECS)

Amazon Elastic Container Service is a service in AWS that runs Docker containers on managed EC2 cluster or AWS Fargate. AWS fully manages the ECS service, which means AWS will take care of part of the operational task, for example, auto-scaling of the running container. To introduce how does a container run on ECS, we first introduce several concepts.

Task: Task means a container instance that runs in the ECS cluster. A task is defined by task definition, which is a JSON file that contains the following information: container definition, network, hardware configuration and launch type. The task is the instantiation of a task definition [66]. The ECS task scheduler is responsible for putting the task to the cluster.

Service: A service is an abstraction of a set of tasks that include a specified number of tasks runs simultaneously.

Launch Type: Launch type define which kind of infrastructure that the task will run on. Currently, there are two options, EC2 and Fargate. EC2 launch type means to run our containers (task) in a group of EC2 virtual machines. This launch type requires the user to create and managing EC2 VMs manually. Fargate means run containers in AWS Fargate, which is a serverless container service in AWS. This launch type does not require user provision and manages the infrastructure that runs the containers. Our first experiment in chapter 5 will be related to a comparison between these two launch types.

When running a container on ECS, the first step is to have the task definition define the specification of the Docker container that is going to run. Then from task definition, a task is created. The ECS supports executing the above process with API, which make it easy for DevOps tools to run tasks as containers in ECS. In a DevOps toolchain, ECS could be used to host the Docker-based build agent in a continuous delivery pipeline. Docker-based build agent means run certain stages in the pipeline distributively in Docker container. Major continuous delivery tools are supporting this way. We will thoroughly introduce this use case as well in 4.2.

3.1.2 AWS Fargate

AWS Fargate is a serverless container service by AWS, and as we mentioned above, one of the launch types of ECS. It removes the need for provision, manages the server from the user's side. Fargate also follows the payment mode of serverless computing, which is paid for the runtime of each running container.

We notice that different from other serverless computing services in AWS, for example, AWS Lambda, Fargate cannot be used independently. To use Fargate, the user needs to select it as "Launch type" in Elastic Container Service, which means the container runs under this task definition will run in Fargate. We call this method of using Fargate as "Elastic Container with Fargate" in the following chapters. Another way of using Fargate is to run pods in Fargate when deploying Kubernetes cluster to AWS Elastic Kubernetes Service (EKS). This way user could run a fully serverless Kubernetes cluster in AWS, without managing backend infrastructure which runs each pod of the cluster.

3.2 AWS Lambda

AWS Lambda is the first serverless service of AWS, which introduced in November 2014. In AWS Lambda, the user could upload code which is called "Lambda function" to AWS Lambda. AWS Lambda runs the code in it is own managed infrastructure. The "managed" means AWS perform all administration task of backend services, include server and OS maintenance, server provision, and auto-scaling. Besides the server related task, AWS will also take care of security, monitoring and logging.

AWS Lambda is event driving which means the deployed lambda function

starts running when incoming events trigger the function. We introduced the even-driving character and application in 2.4.2. Besides, AWS allows us to associate the Lambda function with different AWS services. This means the change in AWS services could be used to trigger our Lambda function. The combination of even-driving character with the association with AWS services could allow us to extend the functionality of AWS services. We will introduce how do we make use of this combination in chapter 4.

3.3 AWS CloudWatch

As we mentioned in chapter 2, monitoring is one of the DevOps practises. AWS CloudWatch is an AWS service that helps us easily do monitoring by provides an out-of-box monitoring solution for both infrastructure and deployed applications.

The core functionality of CloudWatch is to collect metrics and logs from all running AWS services under the current user, real-time display those data and save data for further analysis. CloudWatch supports the monitoring of all services that run in AWS, both serverless and server-based. Furthermore, it could be used to monitoring the service that runs on-premises. The monitoring in CloudWatch follows workflow as below:

1. **Collect:** The log from all services is being sent to CloudWatch. The metric includes CPU/RAM utilization et. is also being sent to CloudWatch every second.
2. **Monitor:** CloudWatch visualize application and infrastructure log and metrics in dashboards. The user could check the status from dashboards and also set up CloudWatch Alarms.
3. **Act:** CloudWatch continuously monitoring the status of AWS services. When certain metrics reach the values that set within CloudWatch Alarms, the alarm triggers an action set by the user. A common use case is to set the alarm on the CPU utilization rate and use the alarm to trigger auto-scaling. The action of an alarm could also trigger a Lambda function.
4. **Analyze:** CloudWatch could save the log and analyze it later. The analyze include customizable metrics, contributor insights and log analytics.

We will introduce how the CloudWatch is being used in our toolchain in chapter 4.

3.4 AWS Developer Tools

AWS provides a set of cloud-based tools which helps us to build an integrated DevOps toolchain. These tools include the following four tools.

3.4.1 CodeBuild

CodeBuild is a fully managed build server in AWS. CodeBuild mainly takes care of the automated build and automated testing within configuration delivery. Same with all serverless services, CodeBuild free the software team from building and manage build servers.

Although as a managed service, still, CodeBuild provides the user with a configurable build environment. User is allowed to select the hardware configuration of the build machine. CodeBuild provides several out-of-box build environments which include build dependencies for the project in different programming languages. User can also use self-defined build environment in compatible with their requirement. Furthermore, CodeBuild provides good integration with popular tools. For example, CodeBuild could be integrated into Jenkins by acting as a Jenkins build agent.

3.4.2 CodeDeploy

CodeDeploy is for automating the application deployment to both AWS services and on-premise services. Besides the basic functionality as automated deployment, CodeDeploy also minimized the downtime by using advanced deployment strategies (green/blue deployment and rolling update) and continuous health checking. CodeDeploy also allows user continuously monitoring the health status of deployed applications. As we mentioned in chapter 2, monitoring is one most important component of Chapter 2.

3.4.3 CodePipeline

CodePipeline is for modelling the workflow within the continuous delivery pipeline with both graphic interface and code. The user could use different DevOps tools from AWS or third party in each stage of the CodePipeline. In the other way around, CodePipeline could connect different DevOps tools we mentioned above into an integrated continuous delivery pipeline.

3.4.4 CodeStar

CodeStar is a uniform platform that joins all DevOps tools in AWS as an integrated DevOps toolchain. We mentioned CodePipeline above which integrated different tools to an integrated continuous delivery pipeline. CodeStar brings the integration to a further step by integrating all DevOps-related tool under one roof. We will introduce what tools does CodeStar include in chapter 4, where we present our implementation of integrated DevOps toolchain in AWS

Chapter 4

Design of DevOps Toolchains

In this chapter, we will introduce the design and implementation of DevOps toolchains. Note that for the experiment that is answering RQs in Chapter 5, we implement two different continuous delivery pipelines design with two sets of tools respectively, one with tradition non-integrated tool while another one with the serverless integrated DevOps tools from AWS. In conclusion, we introduce the design of both toolchains(integrated and non-integrated) and explain how we come to this implementation in this chapter. We will also compare these two types of toolchains within the scope of functionality and ease of implementation.

In Section 4.1, we present the case software project developed by us that will be built, tested, and deployed by our DevOps toolchain in the experiment. In section 4.2, we introduce the design and implementation of our non-integrated DevOps toolchain. Section 4.3 is related to the integrated toolchain, and section 4.4 is a comparison between Integrated and non-integrated toolchain. Lastly, in section 4.5, we talk about the challenges we met during the implementation.

4.1 Case Project

We first develop the case project. The case project is an example software project which will be used to test our implementation and run the experiments in which we simulate the DevOps development process of the case project on our DevOps toolchain. Although the type of our case project has no effect on our DevOps toolchain on the architecture level, the build dependencies and the software configuration inside our toolchain could be affected by it. Thus we must have an introduction to the case project.

4.1.1 Programming Language and Framework Considerations

Java is one of the most common languages used in commercial software development. According to the TIOBE index of programming language [67], Java is the most popular or the second most popular programming language in the world since

the mid-1990s. Besides commercial software development inside companies, Java programming language is widely used in open-source software development. The report [68] from GitHub shows that Java ranked third most popular programming language in 2019, and it ranks second before 2018. Furthermore, Java has good versatility, which means it almost every kind of applications. For instance, web applications, desktop applications, besides, Java is the main development language for Android applications.

To the DevOps point of view, the Java programming language has a complete ecosystem. The complete ecosystem means there are tools for every phase of Java application development. These tools include: build, code analysis, testing frameworks, artifact management, build automation & dependency management et. These tools could be easily integrated and act as part of the DevOps toolchain.

Hence, due to the popularity, versatility and complete ecosystem of Java programming language, we select Java as the language of the case project.

One of the major application of Java in web development. Currently, 7 out of 10 [69] most popular website is using Java as a web development language (server-side). In the field of web development, Spring framework is the most popular framework for Java, and it is being used in many major internet companies including Google, Microsoft and Amazon [70].

So, we choose Spring the framework to build our application. To develop our Spring application, we use Spring Boot¹. Spring Boot is a project under Spring, which, according to its documentation, is to allow the developer to create Spring application with the minimal effort [71], by simplifying the configuration of Spring framework.

4.1.2 Project Description

The case project is a simple REST API (Figure 4.1.2) which returns the info of all installed software packages in the host machine in JSON format when the frontend sends an HTTP GET request to the backend.

4.2 Design of Non-integrated DevOps Toolchain

In section, we present our design of non-integrated DevOps toolchain. Part of the components is still based on the virtual machine those we cannot call it a serverless toolchain as in the integrated toolchain. Each section is the introduction to the design of each component. We also present the consideration when a select tool for this part of the toolchain in each section. Besides, in each section, we introduce how could serverless computing be used by this component in general and the benefits to the specific tool we select.

¹<https://spring.io/projects/spring-boot>

```

Method: GET
Endpoint: /packages
Success Response:
Code: 200
Content:
[
{
name : (Package name)
description : (Package description)
dependencies : (Dependencies)
}
]
Error Response:
Code: 500
Content: { msg: Server Error! }

```

Figure 4.1: RESTful API Interface of Case Project

4.2.1 Architecture

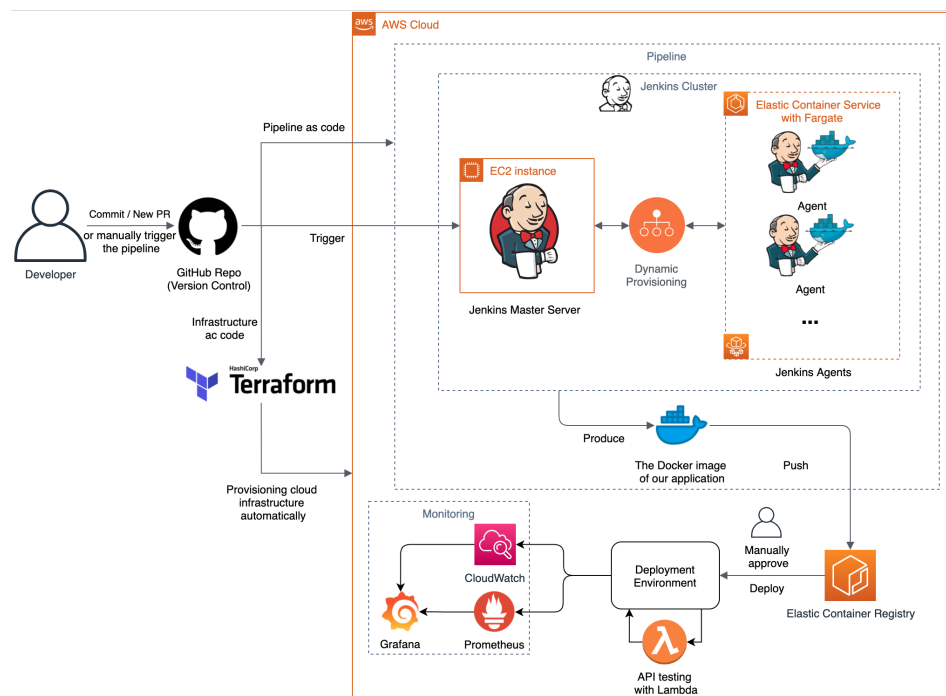


Figure 4.2: Architecture diagram of our DevOps toolchain

The toolchain implementation is based on the DevOps elements we presented

in Chapter 2, and the DevOps practises from Eficode. Figure 4.2 shows the architecture of our DevOps toolchain. In here we are only presenting architecture on a more general level. The detailed architecture of each component will be introduced in the following sections, both text and graph.

When the developer pushes a new commit to the repository in GitHub², GitHub will send HTTP POST request that contains the necessary information to the Jenkins master node. Jenkins master, which triggered by the HTTP request, will create a new job for this project according to the information that the HTTP request contains. The job will first pull the latest code from the git repository, then runs the docker containers with required build environment and build the project. In the end, a docker image for running the project will be created and be pushed to the container registry of AWS. Depends on the git branch that the developer committed to, the project will be deployed to a different development environment.

Figure 4.2 shows the architecture of our DevOps toolchain. We can see except version control, and the whole environment is running in Amazon Web Services. Due to the limitation of space, the internal architecture of certain components is not shown in the graph. Instead, we show them in the following sections.

4.2.2 Introduction to the Tools in the Implementation

One of the essential steps to build the non-integrated toolchain is to select the proper tool for each component. In this section, we describe our consideration when we select tools.

Continuous Delivery Pipeline The most popular server-based tools for build continuous delivery pipeline are Jenkins³, Drone⁴, GoCD⁵ and Circle CI⁶. Table 4.1 shows a comparison between these tools. As we can see from the table, Jenkins is the most popular option for CI/CD. Jenkins has wide application in the commercial use case, and the high popularity in the open-source community as well. Although compared with the other three newer tools, Jenkins is more focuses on the "Build" step within the continuous delivery pipeline since it was originally a build automation server. But, the open-source nature of Jenkins gives it a much wider selection of the plugin, which means Jenkins can be used for almost all steps in a continuous delivery pipeline.

²<https://github.com/>

³<https://www.jenkins.io/>

⁴<https://drone.io/>

⁵<https://www.gocd.org/>

⁶<https://circleci.com/>

⁷<https://plugins.jenkins.io/>

⁸According to GitHub search result

⁹<https://circleci.com/integrations/>

¹⁰<https://www.gocd.org/plugins/>

¹¹based on data from StackShare

	Jenkins	Drone	Circle CI	GoCD
Open Source	Yes	Yes	No	Yes
GitHub stars	15.7k	21.2k	-	5.7k
Github contributors	614	258	-	116
Plugin extensions	Over 1500 ⁷	93 ⁸	110 ⁹	88 ¹⁰
Price of self-hosted solution	Free	Free	\$35 user/month	Free
Number of companies use it in the tech stack ¹¹	2634	82	1368	42

Table 4.1: Comparison of continuous delivery tools

Created by Kohsuke Kawaguchi in 2001, Jenkins is an open-source continuous integrating tool write with Java. It is suitable for a team of all sizes and varies of languages and technologies [72]. Furthermore, Jenkins also attracts software teams with its easy-to-use and high extendibility [72] with a thousand of the plugin. More plugin keeps coming since Jenkins has an active open-source community. These plugins help Jenkins keep up with the fast-developing DevOps practices, and help Jenkins integrate with the newly emerging tools and cloud services. The extendibility makes Jenkins still the most popular tool for DevOps toolchain even it is an aged software created when the term "DevOps" just appeared.

Our continuous delivery pipeline is developed with Pipeline plugin¹² in Jenkins. Pipeline plugin allows us to define a continuous delivery pipeline as code in Jenkinsfile. In the pipeline, a conceptually distinct subset of tasks within the continuous delivery pipeline [73] is defined as a "stage"¹³ and each task within a step is called "step". Each pipeline is binding with a "project". An execution runtime of a project/pipeline is called "build", and the machine (virtual machine, container, e.t.) for running the build is called "agent".

Build & Test Automation Tool For the build stage within Jenkins pipeline, we use Gradle¹⁴ as the build tool. Gradle is a powerful build tool initially designed for JVM based language, but now it also supports other programming languages, for example, C++ and Python. Like Jenkins, Gradle also has a dynamic ecosystem with thousands of plugin. This enables the possibility to use different kinds of tools such as unit testing and code analysis within a single pipeline of Gradle. Gradle also makes the dependency management easy, and dependencies could be easily added to the project by editing the Gradle configure file of the project. Furthermore, Gradle supports configuration as code. This allows developers to define all the build configurations of a software project in a single file.

¹²<https://www.jenkins.io/doc/book/pipeline/>

¹³For example, "Build", "Test", "Deploy" step in a continuous delivery pipeline.

¹⁴<https://gradle.org/>

For unit testing within the build stage, we are using JUnit ¹⁵ as the tool for testing. For code analysis, we use SonarQube¹⁶. Both are one of the most common used tools in their specialized field in the Java ecosystem. Moreover, both tools have official Gradle plugin, which allows us easily use them with Gradle.

Deployment and Jenkins Agents We will widely use Docker ¹⁷ in our pipeline. Docker is an open-source software which could pack, deliver and run the software as a container. A container is a separate unit that includes the application and all its dependencies which allow application runs in the same way regardless of the host environment [74]. A container is the running instance of a Docker image that defined by Dockerfile.

There will be two main use cases of Docker in our toolchain. Firstly, we run the build stage within the container. Nowadays, Docker¹⁸ is being widely used as build agents in continuous integration and continuous delivery (CI/CD) pipelines. This means the pipeline will execute specific steps inside ephemeral Docker containers [75]. It is easier to manage build dependencies in the Docker container. Besides, the container-based agent requires less effort to maintain.

In our case, to build the case application, the host machine needs to have JVM installed. However, we want to make our pipeline not only suitable for Java application but also easily be used to build an application in other programming languages. Docker solves this problem by provides excellent isolation from the host machine. Thus, we can configure the built environment (operating system version, dependencies) runs within a Docker container without actually install anything on the host machine by merely editing the Dockerfile.

We also use Docker to Dockerize our application which creates a Docker image of our application. Docker allows us to specify all system dependencies in a single file (Dockerfile), so there is no need to have any Java environment pre-installed in the deployment environment which runs our application. This is because all environment is already being packed in our Docker image. By doing this, firstly, we reduce the operational effort. Secondly, we improve compatibility since Docker makes sure that the docker image could run in the same behaviour no matter what host machine it runs on. Also, all major cloud computing providers support Docker. We could easily run the container from our Docker image on their VM, and they are serverless computing services. This means our Dockerized application could easily be cloud-native and be deployed across a multi-cloud environment.

Monitoring We using CloudWatch for monitoring the status of the cloud infrastructure. CloudWatch is an tools provided by AWS, which we already introduced in Chapter 3. However, It's not possible for CloudWatch to give us insight about

¹⁵<https://junit.org/junit5/>

¹⁶<https://www.sonarqube.org/>

¹⁷<https://www.docker.com/>

¹⁸<https://www.docker.com/>

the status of Java SpringBoot application. More generally, the metrics within the application framework. In Spring Boot, these metrics are HTTP statistics, CPU load and JVM statistics. Prometheus ¹⁹, together with Grafana could fill this gap.

Prometheus is an open source monitoring and alerting solution initially built by SoundCloud in 2012 [76]. Prometheus is used for reading numeric metrics that are recorded in time series. In Spring Boot, there are some plugins ,for example, Micrometer ²⁰ exist which could export all the Spring Boot specific metrics to Prometheus in time series. Thus Prometheus has perfect fit with our case project. In addition to collecting metrics, we could also set alarm within Prometheus, which could alert user when some metrics are not in normal range. We can also query the metrics from the past. Although Prometheus supports simple graph which show the metrics' change with time, it is not user friendly enough. Thus, we introduce Grafana to better visualizes the data collected by Prometheus.

Grafana is a observation platform which it's core feature is in the visualization. Users could define dashboards according to their needs with JSON files. Another main feature if Grafana is that it could gather data from different platform into one dashboard. In our case, with Grafana, we can display the metrics from Prometheus and CloudWatch in a single page. This could gives us great overview to both Spring Boot application and cloud infrastructure as the same time.

4.2.3 Infrastructure as Code (IaC)

Configuration Management is one of the component of DevOps toolchain that we mentioned in Chapter 2. Infrastructure as code the common practices to implement configuration Management in the cloud-based environment.

Terraform ²¹ is one of the most popular tools to manage cloud Infrastructure with IaC practice. It has the thorough support of AWS. In our implementation, we define our could infrastructure and all AWS resources including EC2 virtual machine, ECS cluster, security groups and network Infrastructures in a series of configuration files. Then we create the cloud environment by simply using CLI interfaces. Figure 4.3 shows the creation of the cloud environment with Terraform.

4.2.4 Version Control

Version Control System (VCS) is the process that record the changes in files set over time [77], and versioning the history of these files. VSC is suitable for track the development progress and manages the goal within a software development team [78]. Among all software for version control, Git is the most popular one nowadays. The survey [79] from Synopsys shows that in 2019, 71% of the project today is using Git as it is versioning system while SVN that ranks in second only be used in 25% of the projects. We use Git as the version control system since it

¹⁹<https://prometheus.io/>

²⁰<https://micrometer.io/>

²¹<https://www.terraform.io/>

```
ruiyang@Ruiyangs-MacBook-Pro: ~/code/DevOps-Example/terraform/j...
module.ec2.module.security_group.aws_security_group.sg_jenkins: Modifying... [id=sg-094a32b256a877b88]
module.ec2.module.security_group.module.network.aws_internet_gateway.gw: Modifications complete after 0s [id=igw-0e888818973d4fd2a]
module.ec2.module.security_group.module.network.aws_eip.vpc_eip: Modifying... [id=eipalloc-012934dcecc92f675]
module.ec2.module.security_group.module.network.aws_subnet.public_subnet_sthm: Modifications complete after 0s [id=subnet-019c442e23fae508e]
module.ec2.module.security_group.aws_security_group.sg_jenkins: Modifications complete after 0s [id=sg-094a32b256a877b88]
module.ec2.module.security_group.module.network.aws_eip.vpc_eip: Modifications complete after 0s [id=eipalloc-012934dcecc92f675]
module.ec2.aws_instance.jenkins_master: Still destroying... [id=i-0f3d598602a39d70e, 10s elapsed]
module.ec2.aws_instance.jenkins_master: Destruction complete after 11s
module.ec2.aws_instance.jenkins_master: Creating...
module.ec2.aws_instance.jenkins_master: Still creating... [10s elapsed]
module.ec2.aws_instance.jenkins_master: Creation complete after 12s [id=i-02c30548ade5ab8ec]
module.ec2.aws_eip_association.eip_assoc: Creating...
module.ec2.aws_eip_association.eip_assoc: Creation complete after 1s [id=eipassoc-0c30cd566bdbbe00be]

Apply complete! Resources: 2 added, 6 changed, 1 destroyed.
```

Figure 4.3: Creating a cloud environment with Terraform CLI

is used by most of the software development teams nowadays. We use GitHub for hosting the case project. Github is the biggest preform in the world that hosting a version-controlled software project for free using Git. It provides interfaces with different DevOps related tools which makes it easy to be integrated into all kinds of DevOps toolchains.

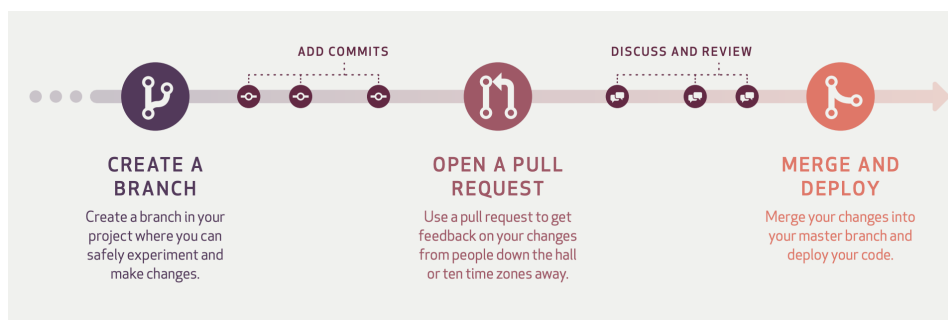


Figure 4.4: GitHub Workflow [80]

The Git flow [81] proposed in 2010 is a successful workflow for working with Git. Git flow has already widely used and has been approved by the software industries. However, to better cope with the frequent release nature of DevOps, the Github workflow – a simplified version of Git flow is proposed by GitHub.

Therefore, GitHub workflow [82] is being chosen as our workflow in the version control. The simplified version of this workflow is shown as in Figure 4.4

Several general principles followed by us when adapting GitHub flow, we refer to principals in [82] to design our workflow.

- Master branch is always deployable. This means when deploying the continuous delivery pipelines in our toolchain, only the master branch can be deployed. Moreover, there should not have any code which is not good to be deployed in the master branch.
- When working on the new feature, make a new branch for this feature. The name of this branch should be descriptive, which reflect the content of this feature. Commit the new code related to this feature to the feature branch. And push from this feature branch to the branch with the same name on the remote server (github.com) when necessary.
- Open a pull request²² when the feature is ready to merge, or when developer feel that he/she need help or comments from other team means on this feature. Others also do the code review in the pull request.
- When the code is reviewed and is good to be merged, the developer should merge the code to the master.
- After the code of this feature is in the master, the code will and should be immediately deployed. There should not be any rollback in the master branch. If there are any issues within the newly merged code, a new commit or a new branch should be made to fix the issue rather than rollback on the master.

Note that in our Git workflow, there are several time points that we need to run the continuous delivery pipeline within the toolchain. The continuous delivery pipeline will also vary with the time point within the version control workflow. We will introduce this in detail on 4.2.5.

4.2.5 Continuous Delivery Pipeline with Jenkins

Figure 4.5 shows the six Jenkins stages in our pipeline. The bottom part of this Figure shows the task distribution between the master node and agent nodes. The master node is an EC2 virtual machine while agents run on Fargate instances within an ECS cluster. In section 2.3.1 we mentioned that the development of the monitoring system should be in parallel with the main software project, thus in our pipeline, the build and deploy of monitoring system is in parallel with the case project.

As we can see from the Figure, when the master node starts a job, it will create a Docker container in AWS Fargate as the agent. The agent will pull codes from

²²<https://help.github.com/en/GitHub/collaborating-with-issues-and-pull-requests/about-pull-requests>

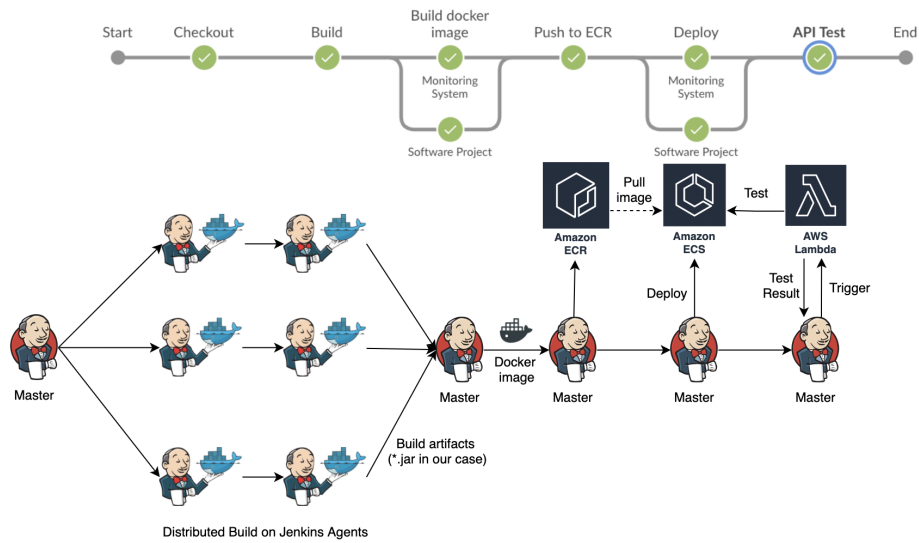


Figure 4.5: The Stages and Distributed Build in Our Pipeline

VCS, build the code, and then send the build artifacts back to the master node. After this, the container will be terminated. The master node will continue the rest steps.

Build Agents Build agent is an independent computation unit (VM or Docker container) that could exchange data with the Jenkins master node and run a certain part of the pipeline. To implement a Jenkins build cluster, we need first to implement build agents. We discussed why we use Docker-based agent in our Jenkins build a cluster on 4.2.2 and we decide to it in our implementation. The first step of our implementation is to develop our own Docker image ²³ of the Jenkins agent. We use the "jenkins/jnlp-slave"²⁴ as the base image, this allows our Jenkins agent to establish an inbound connection to the Jenkins master with TCP. The next step is to set up the built environment within the agent. We add shell script for auto-install all build dependencies of our case project when we build this Docker image. In the last step, we build the Docker image for build agent and push it to DockerHub.

We also discussed how Fargate allows us to run container serverlessly. To make use of Serverless offering of AWS, we let Docker-based Jenkins agents run on AWS Fargate to cut the operational effort and automate the scaling of Jenkins cluster. To implement this, we use Jenkins plugin "Amazon Elastic Container Service (ECS) / Fargate", which is the only Jenkins plugin allow us to host Jenkins agent in Fargate.

Considerations in Designing the Workflow of Distributed Pipeline The considerations behind to our design are that the first two steps take most of the time in

²³The Docker image we developed could be found at <https://hub.docker.com/r/dry1995/jnlp>

²⁴<https://hub.docker.com/r/jenkins/jnlp-slave/>

our pipeline and according to Figure 4.6 runs more frequently than other steps ²⁵. The running time will be further extended when building a larger project. These two stages will be the bottleneck of the pipeline if we have it on the master mode. So we need to offload these steps to Jenkins agents for better performance.

The second reason is: as we mentioned in our introduction of Docker at 4.2.2, the built environment inside the Jenkins agent that runs in Docker container is easier to be changed. When the team want to build the same code for different OS (Which happens in C/C++ development) or want to have a different build environment for different projects, they eliminate tasks such as configuration and installation different environment thanks to Docker. Instead, they can just modify the Dockerfile that defines the Docker image of the Jenkins agents. However, we cannot put the stage that builds a Docker image in Jenkins agents. This is because AWS Fargate does not allow building agent runs in a free container which means we cannot use Docker within the Jenkins agent's container that runs in Fargate. This is one significant limitation of Fargate, so we have to move the step back to the master node. Fortunately, in our case project, the Docker build only takes a short time (~1s on average). Therefore this will not slow down the whole pipeline.

We also notice that the Deploy stage also takes a long time. Still, we do not have it in the distributed build because: first, it is on the end of a pipeline so it will not block the further steps, second, the pipeline runs the stage less frequently than first two stages as shown in Figure 4.6. Thus there will be less possibility that there are many jobs runs at "Deploy" stage in parallel.

Workflow for Continuous Delivery Figure 4.6 shows our proposed workflow of a project that goes through the continuous delivery pipeline. We can see when the event on the feature branch triggers the pipeline, and it only runs through the first two stages. This is because according to the practices of continuous integration mentioned by us in 2.3.2 and by Martin Fowler in [26], a developer should merge(the "integration" in continuous integration) his/her work couple times per day. Therefore the whole pipeline will run the code with this new feature at least several times a day. This already ensures the code could frequently be tested and deployed into the test environment. Thus, in the pipeline runs after the push to the feature branch, the further steps could be skipped.

The developer only commits to the feature branch. The pipeline runs first two stages after a developer pushes local commits to Git. It first pulls the newly pushed code, and then build. In the build stage, the code first is analyzed, then we do unit testing to make sure the code could pass the test cases defined by the developer during development. In the end, the code will be built into Java ARchive file (.jar). The purpose of putting code analysis step first is that the code analysis will check syntax error and bugs. We want to make sure the code is runnable, and no syntax error before put it into the build. So we can reduce the cost by lowering pipeline running time if there is error exists in the code.

²⁵The reason will be discussed in next section "Workflow in Production"

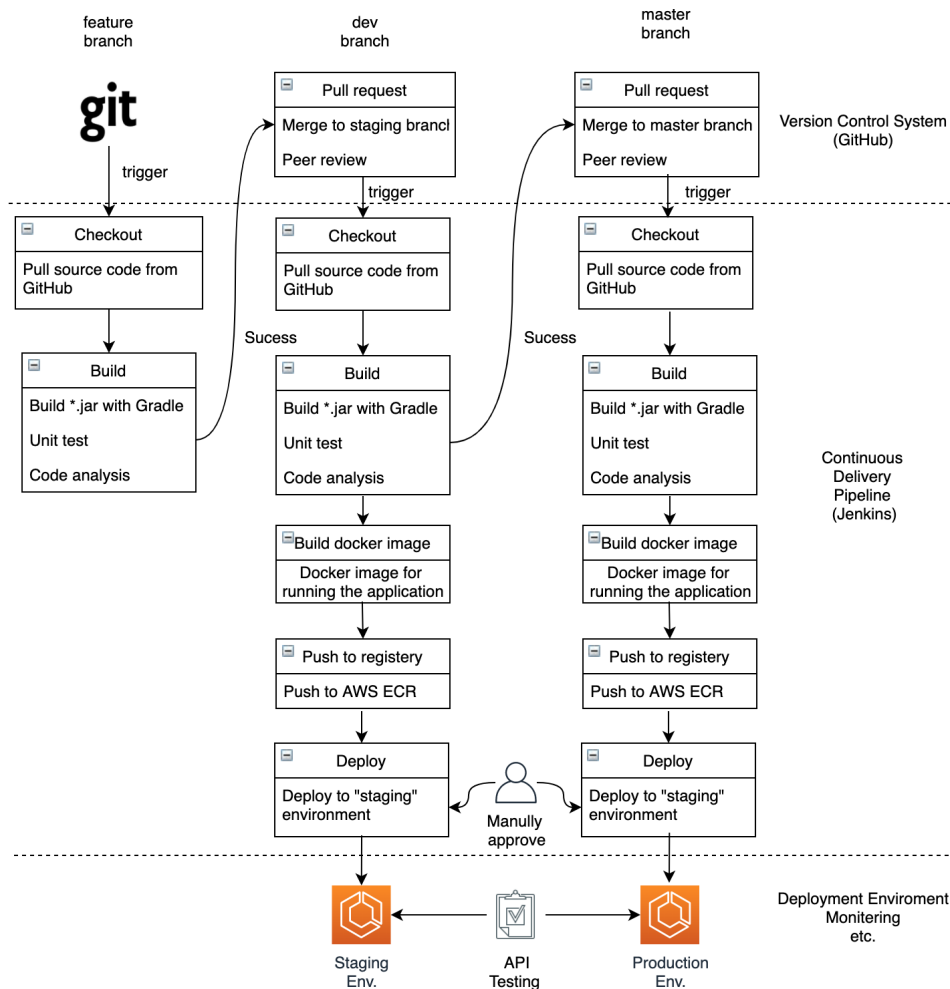


Figure 4.6: The Workflow of Continuous Delivery Pipeline in Our DevOps Tool-chain

If no error returns after finishing all the above steps, the developer can open a pull request view the code change and ready to merge the code to the dev branch. Before the merge, the pull request needs to pass the code review by another developer. Code review is to make sure that the automated tests do not miss any bugs. After the code review passed, the reviewer or the developer him/herself merge the code to the dev branch.

After the code merged to the dev branch, the pipelines run again, this time it runs the whole pipeline. First, the pipeline executes the first two stages as in the feature branch. Now we have the Java ARchive file. The Java ARchive is an executable package of our Spring Boot application. Next step is to Dockerizing our application which generates the Docker image our application. Then we push the image to the Amazon Elastic Container Registry AWS (AWS ECR) for further

use.

The next step of the pipeline is deployment, the pipeline pull image in ECR that we pushed in the last stage, and then deploy it to the deployment environment in ECS with AWS CIL. The deployment strategy we are using is the rolling update. In the rolling update, we are gradually replacing instances in our deployment environment with the newer version of code.

In the dev branch, we deploy the application to the staging environment. The deployment to staging environment should be automated. This is because the staging environment is only for testing and only visible within the team. In the staging environment, we will conduct API testing (last stage shows in Figure 4.5) for test if our deployed API works and if it works as expected. The test is being done by trigger a Lambda function. The Lambda function sends test HTTP request to the deployed endpoints, and verify if the HTTP response is correct. When the test is done,

```
Testing the url http://bluegreen-alb-1565976610.eu-north-1.elb.amazonaws.com/
Testing the url http://bluegreen-alb-1565976610.eu-north-1.elb.amazonaws.com/packages
Get result of url: http://bluegreen-alb-1565976610.eu-north-1.elb.amazonaws.com/
1 out of 2 endpoints tested, 1 succeed
Get result of url: http://bluegreen-alb-1565976610.eu-north-1.elb.amazonaws.com/packages
2 out of 2 endpoints tested, 2 succeed
All endpoints are tested, result:
{
  "http://bluegreen-alb-1565976610.eu-north-1.elb.amazonaws.com/": "Succeed, response body (first 300
characters): Hello world",
  "http://bluegreen-alb-1565976610.eu-north-1.elb.amazonaws.com/packages": "Succeed, response body (first
300 characters): [{\"name\": \"libws-commons-util-java\", \"description\": \"Common utilities from the
Apache Web Services Project\", \"dependencies\": [\"\"], \"reverseDependencies\": []}, {\"name\": \"python-pkg-
resources\", \"description\": \"Package Discovery and Resource Access using
pkg_resources\", \"dependencies\": [\"python (\\u003e= 2.6)\", \"python (\\u003c"
}]
```

Figure 4.7: API Test Result (Jenkins log output)

If the deployed function passes the test, this shows the deployment works as expected and ready for the deployment. The developer could now open a pull request, merge code to master branch. The pipeline will runs again, and deploy the application to the production environment, which is visible to the customers.

4.2.6 Deployment Environment

We create a simple deployment environment with AWS Elastic Container Service and Elastic Load Balancer. Same with Jenkins agents, we use Fargate to host our containerized case project.

AWS Fargate allow us to run our containerized application without having to manage servers, makes it easier for us to build a functionality complete DevOps toolchain implementation. We choose ECS over EKS (Elastic Kubernetes Service) is because ECS is free of charge while EKS charges extra for the runtime of the cluster. Compared with EKS, ECS also provides better integration with other AWS services, such as with AWS DevOps toolchain, and AWS CloudWatch monitoring.

Figure 4.8 shows our deployment architecture. The deployment region in Stockholm(EU-north-1). The Fargate instances in ECS cluster are automated scaled

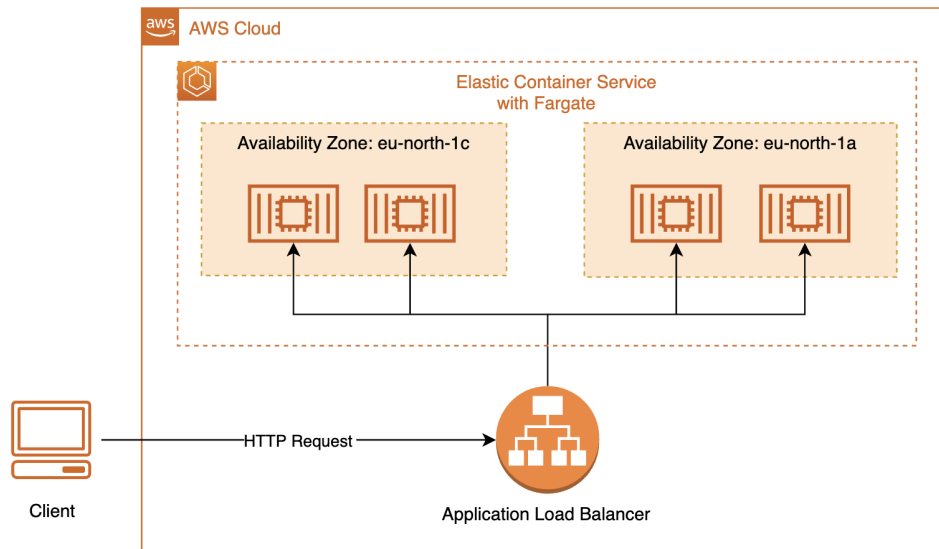


Figure 4.8: Deployment Environment

according to the number of incoming requests. To improve the availability of the product, we deploy the case project into two different availability zones within the region. When one availability zone is down, the load balancer can route the request makes sure the request can still reach the healthy availability zone. Besides the availability improvement, the load balancer also distributes incoming requests across Fargate instances which maximizing the resources rate within our ECS cluster.

4.2.7 Monitoring the Deployment

Monitoring is one of the important components in the DevOps toolchain. Different from testing, which usually integrated with the continuous delivery pipeline, the monitoring is independent of the pipeline. Usually, monitoring does not act as one step within the continuous delivery pipeline but as an independent component.

In Chapter 3, we introduced AWS CloudWatch as one of the serverless services in AWS. In our toolchain, we will use it as the tool for monitoring the cloud infrastructure. With Cloudwatch, we not only can get the realtime log from our deployed container in the ECS but also the quantitative data, for example, memory utilization and network i/o, the monitoring dashboard can seem at figure 4.2.7

In the last paragraph of section 4.2.2, we mentioned that the CloudWatch is not capable to monitor what happens inside the application framework, in our case, Spring boot. Thus, we use Prometheus to gather the metrics within our Spring Boot application. Prometheus gather the realtime metrics with the help of Micrometer plugin of Spring boot. Micrometer exports all numeric metrics in realtime, these

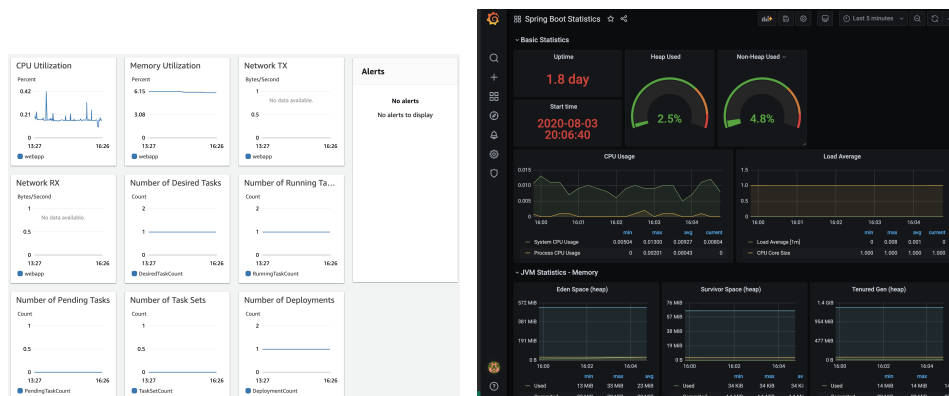


Figure 4.9: The Monitoring Dashboard of CloudWatch (Left) and Grafana (Right)

metrics includes, JVM statistics, CPU/RAM utilization, number of request to each API endpoint and the user's self-defined metrics within Java code. Prometheus records these metrics every second, and save the metrics in time series to Database. In addition to Prometheus, we use Grafana for read the metrics from Prometheus and display the metrics on the dashboard.

Another service we introduced in Chapter 3 is AWS lambda. It is the most important serverless service in AWS. We also discussed how could it be used in our DevOps toolchain in which monitoring is one of the use cases. In our monitoring system, AWS lambda is used as an extension for CloudWatch, and we use it for 2 cases.

Auto-Scaling the ECS Cluster with Custom Alarm in Cloudwatch As we mentioned in 4.8 Deployment Environment, The deployment could be auto-scaled by defining the auto-scaling policy within the ECS cluster. However, the scaling policy is not flexible enough; it only based on thresholds on certain metrics such as CPU utilization and memory utilization. Such auto-scaling in practice is: When the watched resources utilization is above/below a certain threshold, an alarm in Cloudwatch will be triggered. The alarm will further trigger the scaling event if the scaling policy was being set before.

Nevertheless, in real-life development, many projects are microservices architecture, rather than homogeneous architecture as we have in the case project. According to Luca Tiozzo's article [83], this means some service (container instance in ECS) could be CPU intensive while the others might be RAM intensive. In such a situation, with Cloudwatch alarm based scaling, we need two groups of alarm watching RAM and CPU, respectively. Nevertheless, the problem is, when the ECS cluster lack of CPU resource but lack of RAM recourse, the CPU alarm is triggered then the ECS scaled up. Now the ECS cluster has enough CPU recourse, but it may have too much RAM resource so that it triggers the scale-in alarm in RAM. So the cluster will scale in again. This will cause the cluster to keep scaling

up and back without finding a suitable size.

A good practice to solve the problem is to use a single group of alarm that only triggered by single metrics. We can set an AWS lambda function that reads different metrics and then aggregates them into a single custom metric. The software team determines the threshold and metrics according to the deployed project. Once the aggregated metric reaches the threshold, the lambda function triggers an alarm that can trigger the scaling of the ECS cluster.

Custom Project-Specific Metrics The second application scenario is related to the first one. CloudWatch has support for resource utilization metrics. However, some metrics are project-specific and not related to resource utilization and performance. For example, the number of successful payments has been made in a payment service. In such a case, Lambda could fill the gap within the scope of CloudWatch. The team could set up a Lambda function which gets the number by monitoring the log with `PutMetricData` provided by CloudWatch. This Lambda can further forward the metrics to a metrics analysis and visualization platform, for example, Grafana²⁶ to give the management team an overview of the KPIs.

4.3 Design of Integrated Serverless DevOps Toolchain

AWS provides a set of serverless DevOps tools which could help us build a completely serverless DevOps toolchain. We introduced these tools in Chapter 3. In this section, we introduce the design of a serverless toolchain based on DevOps tools of AWS. A certain part of the toolchain is the same with the non-integrated DevOps toolchain that was introduced in the last section. Therefore we will not introduce these components again. Rather we only focus on how we make use of the AWS DevOps toolchain. Figure 4.10 shows the general workflow of a project delivered by our integrated DevOps toolchain.

4.3.1 Continuous Delivery Pipeline with AWS CodePipeline

The workflow of our continuous delivery pipeline is the same as the pipeline in 2.3.2. Instead of Jenkins, which is server-based, we build the pipeline with AWS CodePipeline. Figure 4.10 shows the activity within the CodePipeline in a single graph. Different from Jenkins, which can do the whole continuous delivery process solely with the help of plugins, the CodePipeline just provides a platform which the development team can configure a workflow with AWS DevOps tools or other third-party tools.

Same as within the non-integrated version, we used GitHub as the version control system, and it has the same role as it has in the non-integrated toolchain. Although AWS also provides a version control solution which is CodeCommit. It still lacks the functionality of collaboration compared with GitHub. Also, GitHub is already

²⁶<https://grafana.com/grafana/>

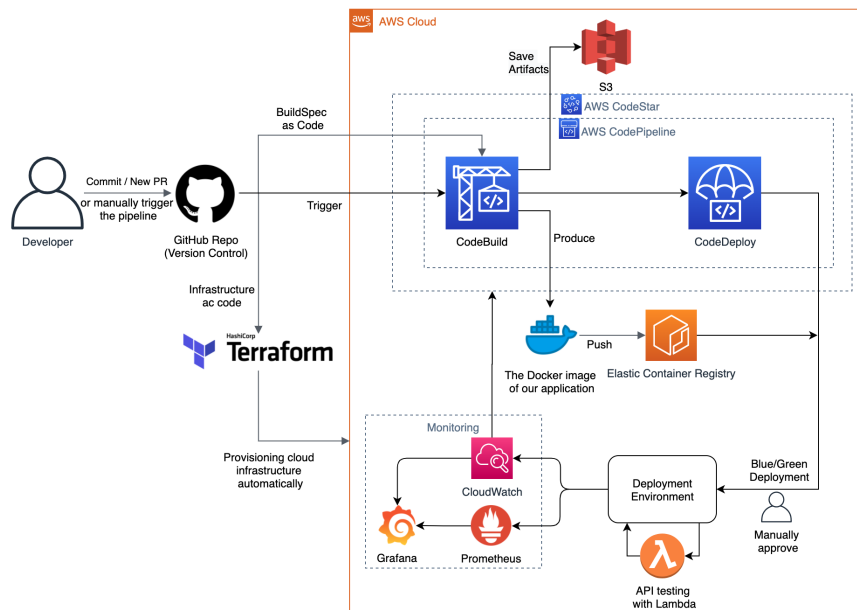


Figure 4.10: Integrated Serverless DevOps Toolchain

a serverless solution with good integration with AWS DevOps tools, so we do not need to change our version control system away from GitHub.

In the next step, we are using AWS CodeBuild, which we introduced in Chapter 3. AWS CodeBuild does the same procedure as in Jenkins pipeline. It does code analysis, unit test and builds the Java application with Gradle, build the Docker image of the application and push to the ECR. Different, the cloud deployment deploys our application to ECS with Blue-and-green deployment strategy.

The implementation of continuous delivery in CodePipeline is straightforward compared with Jenkins. In Jenkins, without the help of the plugin, the pipeline workflow can only be defined by groovy code, while CodePipeline natively provides a graphical user interface for workflow modelling. In our implementation, we just simply add each step with the graphical interfaces in CodePipeline.

4.3.2 Build and Test with AWS CodeBuild

Same with the design of our Jenkins pipeline, AWS CodeBuild also executes the build within Docker container. The image of the Docker container provided by AWS already contains environment for the build of different programming languages. It also includes the Java environment and Gradle which needed by our case project. Therefore we could save time in setting up the pipeline since we do not need to define the Docker image for the build by ourself.

As we mentioned in 4.3.1, the process within CodeBuild is the same as we have in Jenkins before the stage "Deploy". We will not describe the process again here. Same with Jenkins, the workflow of CodeBuild is defined in a YAML con-

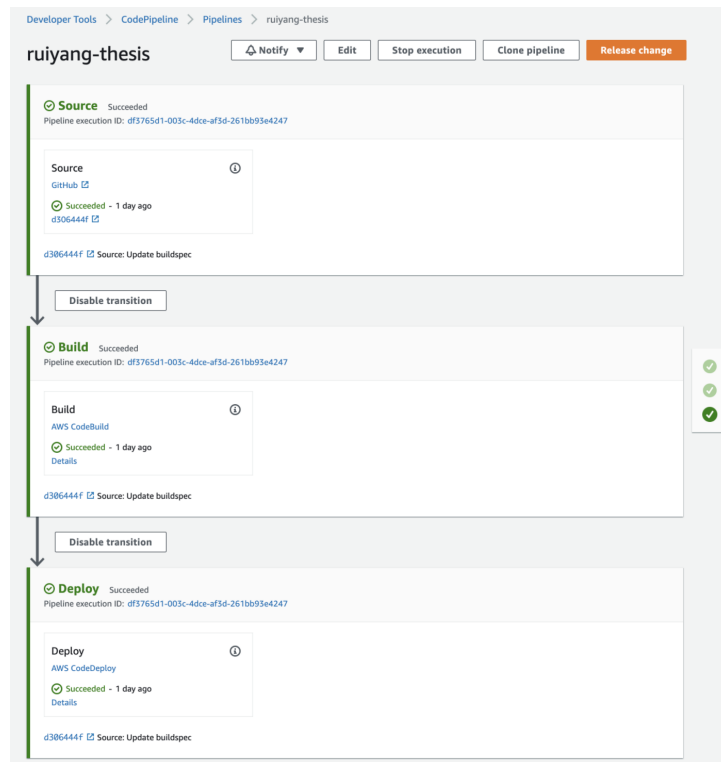


Figure 4.11: Our Workflow in CodePipeline

figuration file. The only difference in term of build workflow CodeBuild is that we store the build artifact to S3, the build artifact is the configuration file defines the deployment configuration in CodeDeploy. This is because CodeDeploy requires the deployment configuration from the step before it to run automatically.

4.3.3 Blue/Green Deployment with AWS CodeDeploy

One of the advantages of AWS DevOps tools is good integration with other AWS services. During the design and implementation of our toolchain, this advantage shows in the deployment to ECS with CodeDeploy.

In Jenkins, there is a lack of specific plugin that helps us deploy the project into ECS or EKS. Thus we have to deploy our project to ECS with AWS command-line interface(CIL). The problem with AWS CIL is that it only supports the most basic deployment strategy, which is rolling update deployment. The rolling deployment strategy is to replace the old code running on the instances with new code gradually, instance by instance.

In real-life production, the team would like to make sure the deployment is reliable with minimized downtime. Thus the safety is highly valued in deployment strategy. In answering this need, a strategy called blue/green deployment,

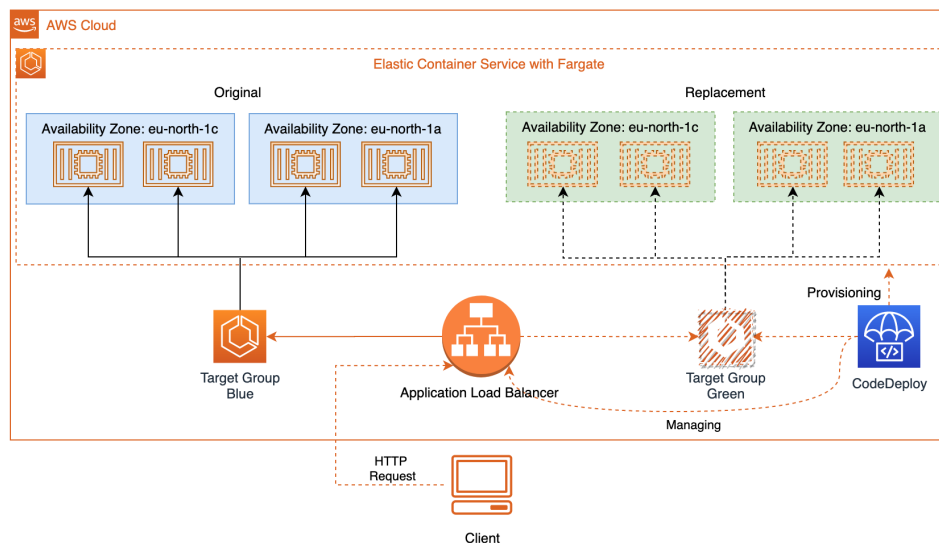


Figure 4.12: Blue and Green Deployment for Our Deployment Solution

which is now widely used in the industry. AWS CodeDeploy natively supports the blue/green strategy. A blue/green deployment is a deployment strategy that requires two sets of totally identical deployment environment that runs the new and original version of code respectively, while the load balancer is gradually routing more incoming requests to the environment that runs the newer version of code.

Figure 4.12 shows the visualisation of blue/green deployment. It also shows our design on how to implement blue/green deployment with CodeDeploy (shown before in Figure 4.8). CodeDeploy controls routing policy within the load balancer. When new deployment comes, CodeDeploy does the following steps:

- Provisioning new identical deployment environment (replacement environment) and deploy a newer version of code on it. In ECS, the deployment is called "task set".
- Control the load balancer, rerouting incoming traffic gradually to replacement ECS task set. We set the rerouting rule as 10% per minutes. We do not rerouting all traffic at once to ensure the service will not fully down if the new deployed task set not works properly. This minimizes the downtime of our deployment.
- Wait for 5 minutes after rerouting is done. During the rerouting and this 5 minutes, the load balancer keeps doing the health check to the new deployment by sending a request to the health check API endpoint. CodeDeploy read the health status from the load balancer. If the replacement tasks set is un-healthy, CodeDeploy does rollback by rerouting incoming traffic back to origin tasks set.

- If the new deployment is still healthy after 5 minutes of waiting time, CodeDeploy terminates the origin tasks set. Now the whole deploy process is done.

Compare with the rolling update we are using in Jenkins pipeline, the better safety of blue/green deployment reflected at, when the error happens with a newer version of code, we can immediately roll back to the last version by switching the rerouting to Blue [84] without redeploying the previous version. Under the same circumstance, the rollback with the rolling update is nevertheless taking a too long time, since we have to replace the already deployed code to the previous version. This could cause longer downtime of the server.

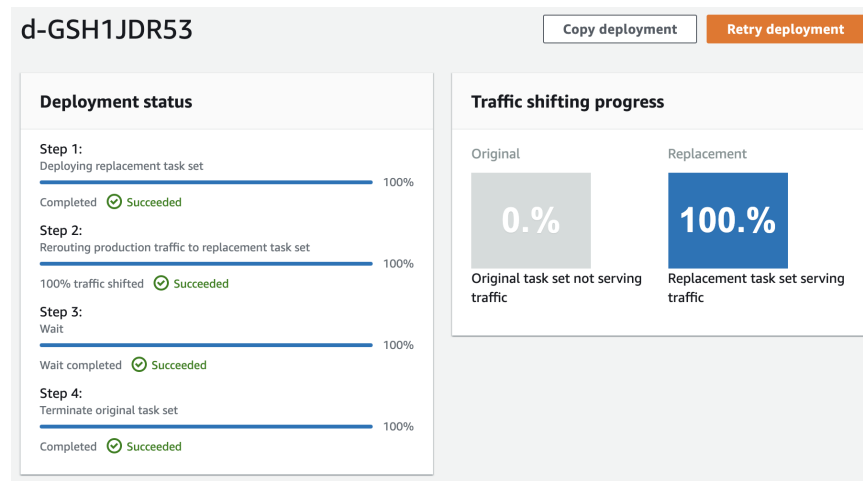


Figure 4.13: CodeDeploy Dashboard

The better integration of CodeDeploy with the rest of AWS also brings benefit in the monitoring of the deployed solution. Aside from the existing monitoring with CloudWatch, CodeDeploy also provides us with a dashboard to show the deploy progress and the traffic rerouteing process. Figure 4.13 shows the dashboard of CodeDeploy that shows the status of our case project during the deployment.

In comparison, with our non-integrated toolchain, we can not easily do the blue/green deployment. A possible solution is to set up an AWS Lambda function which will be triggered after the deployment. The invoked Lambda function control the load balancer to gradually redirect the traffic from the previous deployment to the new deployment. At the same time, the second lambda function continuously read the health status of the new deployment from the load balancer, and trigger the rollback if the new deployment is unhealthy. Besides all these, a dashboard is needed for developer to monitoring the rerouteing status. On the contrary, in CodeDeploy, all the above tasks are being taken care of.

4.3.4 Integration of AWS DevOps Tools using CodeStar

In 4.3.1, we introduce how do we integrate different stages in the continuous delivery pipeline (CD pipeline) with CodePipeline. However, an integrated continuous delivery pipeline is not called integrated toolchain. The DevOps toolchain is centred with the continuous delivery pipelines, but the pipeline is not all of the toolchains. To integrate other AWS tools with the CD pipeline and get the integrated toolchain as a single application, we use CodeStar.

Figure 4.14 show the user interface of the CodeStar dashboard. We can see, besides the CodePipeline, the CodeStar also integrate tools like monitoring, project management, and version control and then compose our integrated toolchain. In conclusion, the AWS integrated toolchain includes the following tools:

- **CodeStar:** Integrate below tools into a single toolchain. With project management functionality. The project management could be extended by integrating with third-party tools, i.e. Jira.
- **CodePipeline:** Modelling Continuous Delivery Workflow, integrate tools that used within the CD pipeline.
 - **CodeBuild:** Build code of the software project.
 - **CodeDeploy:** Deployment and monitoring.
 - **GitHub:** Version control.
- **CloudWatch:** Monitoring deployed solution.

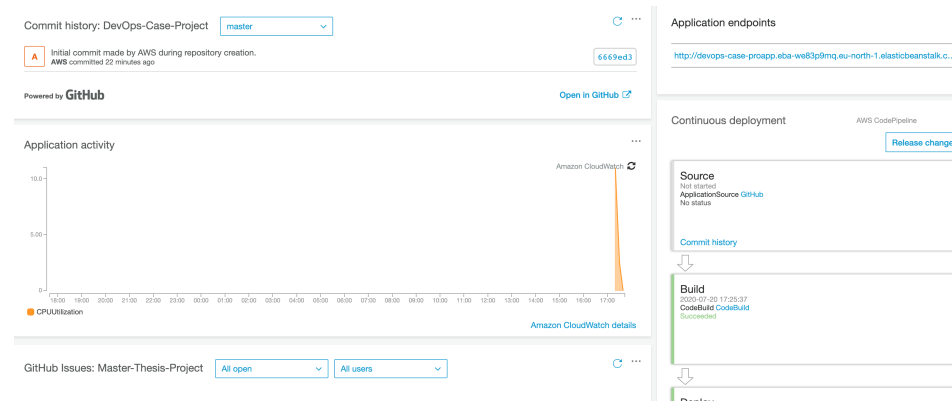


Figure 4.14: CodeStar Dashboard

4.4 Comparison between Integrated and Non-integrated Toolchain

In this section, we discuss the difference between these two kinds of toolchains. The scope of comparison will be limited within the scope of functionality and ease of implementation. Furthermore, it is only based on our experiences with the tools used in our implementation. We summarize the difference between these two toolchains as in Table 4.2. We will do more comparison related to the performance and cost in Chapter 5.

	Non-Integrated Toolchain	Integrated Toolchain
Open-source	Open-source solution existed	No, usually hosted commercial solution
Delivery method	Each part is a stand-alone tool either hosted or on-promised, depends on the tools selection	As a single cloud hosted software
Implementing time	Long	Short
Operational effort	High	Low
Visibility on status	Depends on tools, a well-integrated toolchain could gives good overview on the whole toolchain.	Easy to see the status as a whole without additional implementation effort, low visibility on under-laying server since it's hosted solution
Extendibility and tool selection freedom	Free to select tools for each part of the toolchain.	Limited integration with third-party tools

Table 4.2: Comparison of continuous delivery tools

4.4.1 Implementation and Cloud Deployment

The cloud based integrated toolchain is delivered as hosted solution in a serverless model. However we noticed that the non-integrated toolchain could be also completely serverless if we using hosted tools for all the components.

For example in our solution, we only have continuous integration pipeline

which is on-premised and need to be deployed to the VM manually. If we replace Jenkins with some other hosted tools, for example, Travis CI²⁷ we can actually build a fully hosted but non-integrated DevOps toolchain. But, for following reasons, it is not a satisfactory solution thus a non-integrated toolchain usually has some on-premised modules that needs operational effort and cloud knowledge.

- The hosted tools, especially tools for continuous integration pipeline, are all closed-source commercial solution. This means there is no community support like in Jenkins, and it can usually integrates with the certain tools that supported by vendor. Besides, commercial user always need to pay for these hosted tools.
- Hosted tools runs in the vendor's server, and it requests user log in to use this tool which brings extra integration difficulty. This means for two hosted toolchain to integrated with each other, it not only need to do the integration in the data transfer, but also need to connect their account system, for example, with OAuth. This extra inconvenience makes most hosted DevOps tools only do the integration support with other most popular tools which largely limited the extendibility.

Therefore, a non-integrated toolchain usually has some on-premised module in real-life use. In our deployment process, we find it requires lot of work to put an on-premised tool to cloud, especially if the developer is not familiar with the cloud platform that deploy this tools. For only deploying Jenkins we need to do following steps:

1. Create a cloud virtual machine (EC2 instances) for hosting the Jenkins master.
2. Setup IAM role for Jenkins master VM, make sure it has access to other AWS resource that needed during build.
3. Setup security group and networking for the VM, makes sure it can be accessed from the internet but only accessible within company's IP range, and only port needed are opened to the public.
4. Install Jenkins in the VM. Research what plugin is needed and install necessary plugins.
5. An tedious set-up process for setup Jenkins cluster that supports the distributed build. This includes setup ECS cluster for build agent. Although Terraform makes the provision of cloud resources easier, still, prior knowledge for AWS is needed. The experiences in AWS is also needs to correctly configure ECS cluster that maximizing the performance of build agents.
6. Develop Docker image for the container that runs Jenkins agents.

²⁷<https://travis-ci.org/>

7. Setup integration with other tools in toolchains by finding correct plugins and configure these plugins.

Only after these steps, we can start using Jenkins as part of our toolchain. In comparison, the core feature of the integrated toolchain in AWS is an out-of-the-box feature which means there is no previous cloud knowledge needed, and there is no deployment and environment configuration required before we use it. We are free from all the steps we mentioned above.

4.4.2 Extendibility and Flexibility

The integrated toolchain is a hosted platform that runs by a vendor. Similar to we mentioned in 4.4.1, We find all the currently integrated toolchain are all commercial and closed-source which has no community support. So the integration of their third-party tools usually only limited to popular tools. For example, AWS DevOps tools only support 21 tools within it is "DevOps Partner Solutions"²⁸. If the user wants to use anything except these tools, it is not possible.

Nevertheless, different with the single hosted tools we mentioned in 4.1.1, a hosted integrated DevOps toolchain mostly has everything needed for DevOps lifecycle, so it is not mandatory for it to able to integrates with third-party tools. Still, the limitation in third party tool support might make the software team facing trouble when they want to use certain tools which are not very supported.

A non-integrated toolchain allows the software team to pick any tools for each component, as long as those tools can be integrated. The tools in the toolchains could also be open-source, which allow so the software team modify the tools according to their need. For example, develop a plugin for Jenkins that allows the integration of internal company tool with Jenkins.

In conclusion, in terms of extendibility and flexibility, non-integrated toolchains are better than integrated toolchain.

4.4.3 Integration Between Tools

As we mentioned in 4.4.1, sometimes it is hard for tools within a non-integrated toolchain to integrate, especially between the hosted tools. During our implementation, we also realized that, first, it requires some configuration work for tools to be able to work together. Secondly, sometime the Integrated could be buggy, for example, in our toolchain, the Jenkins sometimes does not react to the build triggering signal from GitHub. This means the software teams need further maintaining of the toolchain. In integrated toolchain, the toolchains are delivered as a single cloud-based software, each part of naturally coped with each other, which makes integration much more straightforward. The better integrating between each component also makes it easier to monitor the toolchain as a whole.

²⁸<https://aws.amazon.com/devops/partner-solutions/>

4.4.4 Visibility

In 4.4.3, we mentioned that the integrated toolchain is easier to be monitored as a whole, however, when comes to every single component, in our implementation, we find out that integrated toolchain is lack of visibility. We met two difficulties when test two toolchain. The first one is that Jenkins master was having difficulty in the provision and connect to the agents. Since Jenkins is a web service deployed in our EC2 virtual machine, We solve the problem by reading the Error message within the Jenkins log file. The second problem we met was within AWS CodeDeploy. The CodeDeploy failed to deploy the case project to the ECS cluster. We could not find the reason at that time since we cannot find the log of CodeDeploy anywhere since it is not shown in the web interface, and we have no access to the underlying cloud infrastructure either. The lack of visibility is a problem with all hosted serverless services since the users do not have the visibility to the infrastructure behind the service.

4.5 Challenges in Implementation and Design of DevOps toolchains

In this section, we discuss the challenge that we met during the implementation.

4.5.1 Challenge I: The Enormous and Unregulated Jenkins Plugins System

Jenkins has more than 1600 plugins which brings the software an amazing extensibility, which is one of the main advantages of Jenkins. However, there are two problems with Jenkins' plugins; First, there are usually more than one plugins that have the same functionality, for example, there are at least five different plugins related to running Docker container as Jenkins agent. Second, most of the plugin is developed by the open-source community, so the quality of these plugins is not ensured. During our implementation, we find out there are two plugins that support run Jenkins agent in ECS cluster. However, we find only one work after we tried both plugins. Besides, the documentation of Jenkins plugins sometimes is abysmal. For example, the documentation of the plugin that we use for Jenkins agent is too brief to tell us how to use the plugin, and it is not even mentioned the security setting needed in Jenkins master node that allows agents to connect the master node.

As a result of the above three factors, we spent a very long time in selecting and configuring tools. Moreover, trying to solve the problem which nether mentioned in the documentation and on the internet.

4.5.2 Challenge II: Fargate Does not Supports Container runs in Privileged Mode

As said per title, this is some limitation in AWS Fargate for preventing container get permission to access the critical resource on the host(underlying server hosting Fargate instances in this case). As a result, we cannot use Docker within a Docker container that runs on Fargate. This makes it impossible for us to distribute the "Build docker image" and "Push to ECR" stages to agents. Instead, we have to run them on the master. Luckily, these two stages take a short time (15s in total), so this limitation will not slow down the pipeline too much when multiple builds run in parallel.

A possible solution solving this problem is to runs these two stages in AWS CodeBuild, AWS CodeBuild has support to Jenkins, which allow us to run certain Jenkins stages in CodeBuild. Moreover, CodeBuild supports fully parallel execution as in Fargate.

4.5.3 Challenge III: Slow Starting Time for Agents in AWS Fargate

On average it takes around 60s from sending a Jenkins job to agent, to running the job in an agent. To our case project that takes 90 seconds to go through the whole pipeline, this is a relatively long time. During this 60s, Jenkins master node sends task definition ²⁹ to ECS, provision a Fargate instance within ECS, then pull the image we developed for Jenkins agent, star the agent container within Fargate and then connect to the Jenkins master. This challenge is due to the nature of the serverless computing that we discussed in Chapter 2, and we believe there is not an economical way to solve the challenge with the current setup.

4.5.4 Challenge IV: No Enough Visibility in AWS DevOps tools

As we mentioned in 4.4.4, the lack of visibility to the underlying process, especially in CodeDeploy, caused some obstacle for us to debugging our pipeline. There was a problem when we try to deploy the case project to the ECS with blue/green deployment, and the CodeDeploy sucked in the creating replacement service. We know there was something wrong within either the configuration of ECS, load balancer, health-check or security/network setting. Nevertheless, there is no log output of the underlying deployment process in CodeDeploy. In the end, we have to check everything that might be caused problem one by one and found it was the problem with failed health-check, which was very time-consuming.

²⁹Define specification of a container runs in ECS.

Chapter 5

Performance Comparison and Evaluation

In this chapter, we describe our experiments regarding two research questions we proposed in chapter 1. The experiments based on the DevOps toolchains we implemented in Chapter 4.

In Section 5.1, we will examine how does the serverless compute engine for containers (Amazon ECS on AWS Fargate) could influence the performance of non-integrated toolchains. Thus, in the experiment, we implement the solution with a different type of cloud environment (with/without serverless) as a comparison group. In section 5.2, we focus on answering research question 2, in which we will compare the performance of continuous delivery pipeline composed of fully-managed serverless DevOps tools in AWS with our Jenkins-based pipeline that runs on the virtual machine.

5.1 Experiment 1: Experiment on Serverless Container Services

The Docker agent has already been supported by many CI/CD tools, for example container job¹ in Azure DevOps², Docker agent in TeamCity³, Docker agent⁴ in Jenkins and docker runner⁵ in Drone⁶

The serverless container services in AWS (AWS Fargate) provides the possibility to ease the infrastructure management task for the Docker build agents. This experiment is a controlled experiment which examines whether serverless container service could improve the continuous delivery pipeline from various perspectives.

¹<https://docs.microsoft.com/en-us/azure/devops/pipelines/process/container-phases>

²<https://azure.microsoft.com/en-us/services/devops/>

³<https://www.jetbrains.com/help/teamcity/build-agent.html>

⁴<https://www.jenkins.io/doc/book/pipeline/docker/>

⁵<https://docs.drone.io/runner/docker/overview/>

⁶<https://drone.io/>

5.1.1 Test Task and System Description

In this experiment, we run the continuous delivery process of a Spring Boot web application with our DevOps toolchain. From the experiments, we could verify our assumption in chapter 3 and better-answering RQ 1.

As we described in Chapter 4, the continuous delivery pipeline includes the following steps:

1. *Checkout*: Pull the most recent change from GitHub repository
2. *Build*: Build the application with Gradle, with automating testing with JUnit integrated into Gradle.
3. *Build the docker image*: Build the docker image of our Spring Boot application.
4. *Push to Container Registry*: Push the docker image from the last step to the AWS elastic cloud registry (ECR) for further deployment.

In these four steps, the step "Build", and "Checkout" is being done in parallel within the ECS cluster. As we mentioned in CH4, when the new job started in the Jenkins master server, Jenkins will provision a new container instance within the ECS cluster. The container is managed directly by AWS, so we don't need to create and manage the virtual machine that runs the container. We use this setup in our initial implementation as the control group.

In the experimental group, we replace AWS Fargate with traditional VM, which is EC2 in the Amazon Web Services. The parallelisation pattern remains the same; this means as in the control group, only the first two steps are being run distributively in the Jenkins nodes. The EC2 instances belong to an auto-scaling group that will scale up when CPU Utilisation rate reach 70%. The initial size for an auto-scaling group is 1.

Figure 5.1.1 shows the architecture of 2 groups in this experiment. The experimental group on the left is a Jenkins server with the traditional virtual machine as workers node that hosting the container agent. The architecture of the control group on the right has agent nodes dynamically provisioned as serverless containers hosied by AWS Fargate.

Hardware

The hardware of the instance that runs Jenkins agents is the independent variable that exposed to the change in the experiment.

The experiments are conducted on Amazon Web Services (AWS). The hardware of Jenkins master node in both experiment groups is the same, which is EC2 instance of type t3.medium with two virtual CPU, 4 GB RAM and 30 GB disk. The EC2 instances as worker node are type t3.small, with two virtual CPU and 2GB RAM. Each EC2 instance can run one container at the same time.

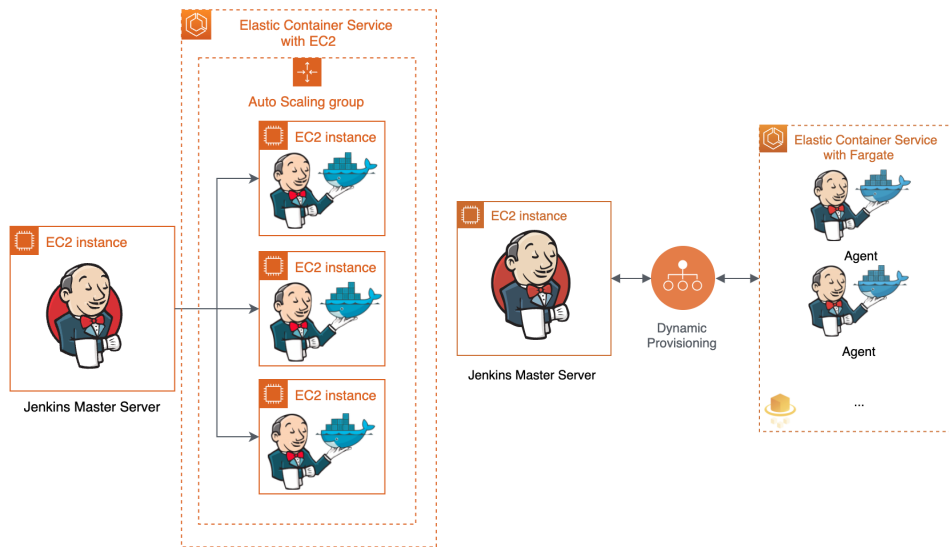


Figure 5.1: Architecture diagram of the test Jenkins cluster with agents running in traditional virtual machines (left) and on ECS with AWS Fargate (right)

In the control group, which is the implementation we presented in CH4, the Jenkins agents run on AWS ECS powered by AWS Fargate. The virtual hardware resources that are allocated to each serverless container is two virtual CPU, and 2 GB of RAM. The identical hardware setup between to groups makes sure that each container shares the same hardware resources as in another group, so the hardware will not affect the result.

Software

We maintain the same software setup in each group. The operating System for EC2 instance that runs Jenkins master node is Ubuntu Server 18.04. The version of Jenkins that runs on the server is 2.222.3. For connect ECS and Fargate which works as the Jenkins agents, we use Jenkins plugin "Amazon Elastic Container Service (ECS) / Fargate", version 1.34. The container in Fargate/EC2 for running the Checkout and Build steps is from our developed docker image, which can be seen at ⁷. The docker image includes essential dependencies that will be used to build the Spring Boot application. It's the base image include a program which allows container connects Jenkins master as an agent. The "Build" step in our pipeline uses Gradle (version: 6.2.1) as the build tool for the application, with OpenJDK 1.8.0.252 as Java virtual machine (JVM). This step also includes the automated testing and code analysis, as plugins of Gradle.

To shows how does the 2 setups performance within the teams with different sizes, we run by run the different number of tasks parallel through the pipeline.

⁷<https://hub.docker.com/r/dry1995/jnlp>

This scenario simulates the different team size and shows the scalability when it comes to the need for task parallelisation in bigger organisations. It also simulates the DevOps process of a microservices software project, which could have multiple jobs for different service runs in parallel.

5.1.2 Performance Properties and Evaluation

We run the pipeline through 2 different setups, we will get the result of the following properties:

- *Runtime* describes the total time for finishing all the jobs. If the jobs run in parallel, the runtime is from the start of jobs until the end of the last finished job.
- *Cost Structure* describes the daily cost of 2 setups under the same workload, within the same period.
- *Resource Utilisation* describes the average CPU/RAM usage for each instance during a single run of the pipeline.

5.1.3 Result and Evaluation

Here shows the result of this experiment. We also evaluate our experiment result by analysing the factors that lead to the results.

Runtime

We first compare the runtime of these 2 setups. Except for test the runtime of single job runs with two setups respectively, we also test the runtime of each pipeline setup under different number jobs executed in parallel. Figure 5.2 shows the test result.

The test result shows that when it comes to the execution of a single task. The traditional VM has a faster delivery speed over serverless solution (AWS Fargate). However, with the number of jobs that run in parallel increases, the total runtime on the traditional VM decrease. On the contract, on the serverless solution(Fargate), the runtime remains almost the same.

We analyse the reason behind this result, and we found out that the longer runtime with the single job on Fargate is because the longer starting time of Jenkins agent. In EC2, the Jenkins will simply provision a Docker container within EC2 VM, and connect to the Jenkins master node. However, in Fargate, the Jenkins can only connect to the agent once AWS finishes the initialisation of underlay infrastructure that runs the serverless container, which takes a significantly longer time. The slow provisioning shows one of the limitations of serverless computing (cold-start) that we mentioned in 2.4.3.

When it comes to parallel job execution, the performance of Fargate is significantly better. The performance difference is because, in Fargate, each container runs

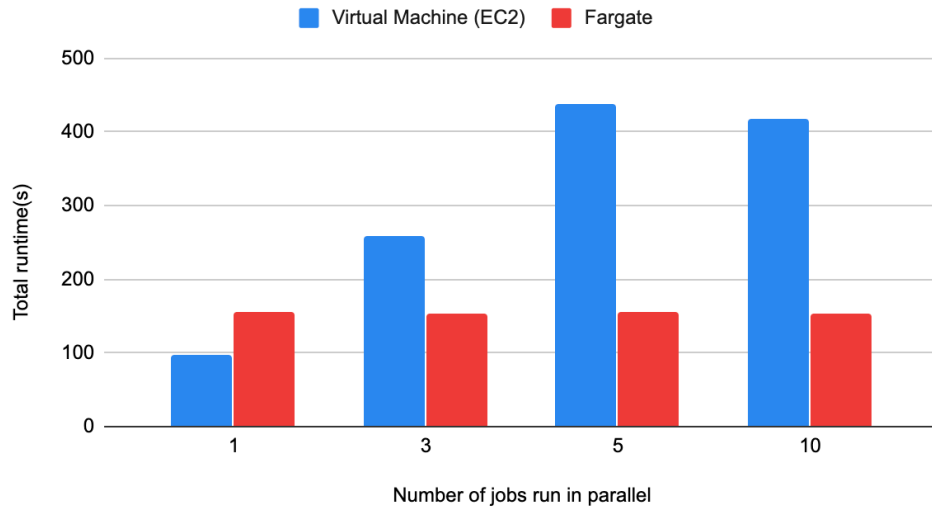


Figure 5.2: Runtime of Pipeline with Different Jenkins Agents

on an independent instance on AWS’s infrastructure. Therefore, AWS provisions one Fargate instance for each running job. The independence between Fargate instance ensures the agent will not compete for the resource. On the other hand, when we run multiple agents in our EC2 instance, due to the limitation of resource, part of running jobs has to wait until the resource on EC2 instance available until they can start the execution. To further investigate the reason for the result, we observe the parallel execution mode when it runs three jobs in parallel. Figure 5.3 shows the execution modes. We find that the easily scalable character (mentioned in 2.4.3) helps the serverless suites better with the parallel task. The long wait time is the reason that makes the total runtime in EC2 much longer.

We also notice that in Figure 5.2, when the parallel task reaches 10, the EC2 runtime becomes shorter. The shorter runtime of EC2 is because we set auto-scaling for our EC2 instance. So in the later part of our experiment, the EC2 scaled from 1 to 2 and then to 3. Nevertheless, even with 3 EC2 instances, only three jobs are allowed to run in parallel, while in Fargate is easy to have 10 jobs runs in the complete parallel method. This because the scaling of EC2 VMs is much slower because it is heavier to create a VM than create a new Fargate instance. The other reason is the auto-scaling of EC2 VM is triggered by reaching certain resource utilisation threshold, while in Fargate is based on one instance per container(Jenkins agent). Even we set the scaling policy of EC2 to a more aggressive pattern, the AWS still more ”hesitate” to create new instances compared within the Fargate.

Resource Utilization

We compared the average resource utilisation within containers that runs Jenkins agent in two setups. The data from the AWS cloud watch shows that the resource utilisation rate is similar in these 2 setups. The similarity is because the ”run in

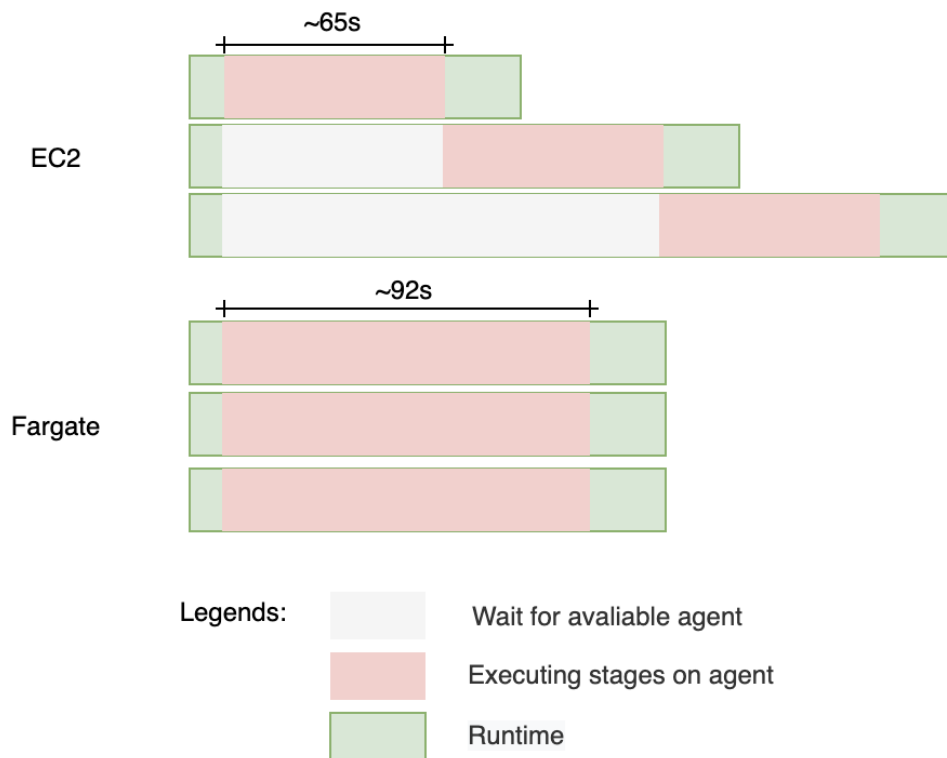


Figure 5.3: Execution Mode of Pipelines with Different Jenkins Agents

the same way regardless of the host environment” [74] feature of Docker container that we mentioned in 4.2.2.

Container performance (7)

Filter task definitions, container names, tasks...

	Task definition	Container name	Avg CPU (%)	Avg memory (%)
<input type="checkbox"/>	ecs-devops-262-m8hlw-rcb5s (EC2)	ecs-devops-262-m8hlw-rcb5s	89.9107	24.6111
<input type="checkbox"/>	ecs-devops-261-0vnsq-r642 (Fargate)	ecs-devops-261-0vnsq-r642	84.1569	27.9541

Figure 5.4: The comparison of Resource Utilization

Cost Analysis

The container orchestration service ECS itself is free of charge. We only pay for the resources we are using, which is Fargate or EC2 virtual machine.

In AWS Fargate we pay only by resource we are using and the runtime. The price for Fargate service in EU(Stockholm) is \$0.004165 per GB RAM per hour plus \$0.0049 per vCPU per hour ⁸. Thus, in our experiment setup (2vCPU, 2 GB

⁸<https://aws.amazon.com/fargate/pricing/>

RAM), the price should be \$0.01813 per running agent for one hour's runtime. In AWS EC2 our cost depends on the type of VM we are using. The type of VM we use for running Jenkins agents is t3.small that costs \$0.0216 per hour ⁹. The Fargate-based Jenkins agent is cheaper than EC2-based agent.

The pay-per-use characteristic of serverless service makes Fargate even more competitive in terms of cost. The instance only up and run when Jenkins master distributes the job. On the job finished, the AWS will terminate Fargate instance immediately when it finds no container is running on it. However, EC2 not so flexible due to the resource-utilisation-based scaling policy. The EC2 instance is not scaled in immediately after job finished, and the user has to pay for the instance runtime before it gets terminated – even there is no job running in EC2 instance any more.

5.1.4 Conclusion

In this experiment, we compare the serverless (AWS Fargate) and non-serverless solution (EC2) for hosting distributed continuous delivery pipeline. The experiment shows that the performance of the serverless solution is worse when it comes to single job execution, which is because of the cold-start issue with serverless computing. However, in terms of the parallel job executing, the serverless solution has better performance over traditional VM. The cost of the serverless solution is cheaper in AWS, and the resource utilisation is similar in both solutions.

5.2 Experiment 2: Experiment on Integrated DevOps Toolchain

For solving the RQ2, we compare the implementation of our design of two different the DevOps toolchain – the non-integrated Jenkins based toolchain and the AWS DevOps toolchain implementation with AWS DevOps tooling.

5.2.1 Test Task and System Description

This second experiment is similar to the first experiment, and we deliver our case project through two DevOps toolchainst. The first toolchain is our Jenkins-based toolchain in 4.2, with agents runs on AWS Fargate. The second toolchain is the toolchain with AWS DevOps tooling that we described in 4.3.

During the experiment, we have set up as follows:

Hardware AWS DevOps tools allow us to select the hardware configuration for underlying computing resources. The configuration we chose is two virtual CPU and 3 GB RAM. The Hardware configuration for Jenkins build agent is two virtual CPU and 4 GB RAM. We cannot set the RAM to 3 GB since AWS Fargate is not

⁹<https://aws.amazon.com/ec2/pricing/on-demand/>

allowing ¹⁰ RAM to below 4GB when using two virtual CPU. However, we still allowed to set an additional software RAM limit to the build agent runs in Fargate agent. Thus, we limit the RAM that a running build agent to 3 GB, which ensure both setups have identical hardware configuration.

Software The version of Jenkins that runs on the server is 2.222.3. We use Jenkins plugin” Amazon Elastic Container Service (ECS) / Fargate”, version 1.34 for connecting ECS and Fargate which works as the Jenkins agents. The Jenkins cluster has the same configuration as the last experiment. The built environment in both setups is the same, with Gradle (version:6.2.1) and JVM version is OpenJDK 1.8.0.252.

5.2.2 Performance Properties and Evaluation Criteria

We run the pipeline on these two different setups, we will get the result of the following properties:

- *Runtime* describes the total time for finishing all the jobs. If the jobs run in parallel, the runtime is from the start of jobs until the end of the last finished job. AWS mentions its parallel execution ability in the document¹¹¹² of both CodePipeline and CodeBuild, thus we will also validate this ability by analysing the parallel executing pattern of AWS integrated toolchain.
- *Cost Structure* describes the daily cost of 2 setups under the same workload, within the same period.

The resource utilisation comparison is not available in the experiment since we cannot get the resources utilisation in underlying hardware resource when running AWS DevOps tools.

5.2.3 Quantitative Experiment Result and Evaluation

This section shows the result of our experiment. We also give an evaluation and analysis of the reason behind the result.

Runtime

As in Experiment 1, we compare the runtime of each toolchain under a different workload ¹³. Due to the reason that different deployment mode is being used by two toolchains which has a significant difference in terms of the speed, we only compare the runtime without the final deploy stage.

Figure 5.5 shows the result of this experiment. From the graph 5.6, we can

¹⁰<https://docs.aws.amazon.com/AmazonECS/latest/developerguide/task-cpu-memory-error.html>

¹¹<https://aws.amazon.com/codepipeline/features/>

¹²<https://aws.amazon.com/codebuild/features/>

¹³workload means the different number of jobs runs in parallel in both pipelines

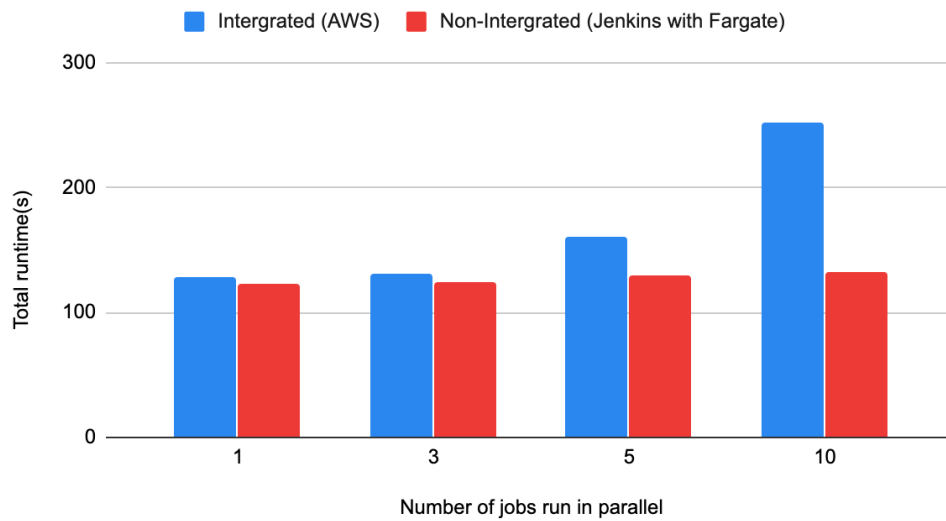


Figure 5.5: Runtime of the Pipeline with on Different Toolchain

see that during the execution of a single job, tow toolchains have similar runtime. The similar runtime is because the build stage, which takes most of the runtime, is running in a similar environment in both toolchains. Both execution environment is within a Docker container runs with the same hardware in AWS. Although, the Docker image for the container is different in two toolchains, and we are not sure what if the actual hardware is the same since we have no visibility to the hardware in both toolchains. However, still, the performance is not so different in both toolchains.

We further observe the time allocation between stages within the runtime in both toolchains. The figure shows our observation. To get the runtime in Figure 5.6, we run pipeline in each toolchain for five times and get the average runtime of each stage. The first difference shows in Figure 5.6 is that the Git Checkout stage in AWS integrated toolchain does not run on the container build agent. Instead, it is running in the unknown environment fully managed by AWS.

We observed that, during this 10 seconds of Git Checkout stage in our integrated toolchain, the actual Git checkout only takes around 5 seconds, while the AWS toolchain does not do anything in the first 5 seconds. We presume that AWS provisions environment for runs the Git checkout stage in this 5 second, so this stage is also running in a serverless environment within AWS. However, we are not allowed to configure anything in this environment. The second difference is that in AWS integrated toolchain, the provisioning of the build environment is much faster. We presume this is due to AWS manages both build agent and this toolchain, and AWS is optimising the provisioning process of the build environment. Furthermore, the cloud instance that runs build environment in CodeBuild might already be started (used for the build task of other users) before CodePipeline sends our build job to CodeBuild. On the other hand, instances (Fargate) that run Jen-

Jenkins agents is a cold start, which means it is not running before we send build job there. Nevertheless, we can also notice that the build time in the AWS integrated toolchain takes a longer time. It is hard for us to find the reason since the hardware configuration used by AWS is unknown except the size of RAM and the number of virtual CPU.

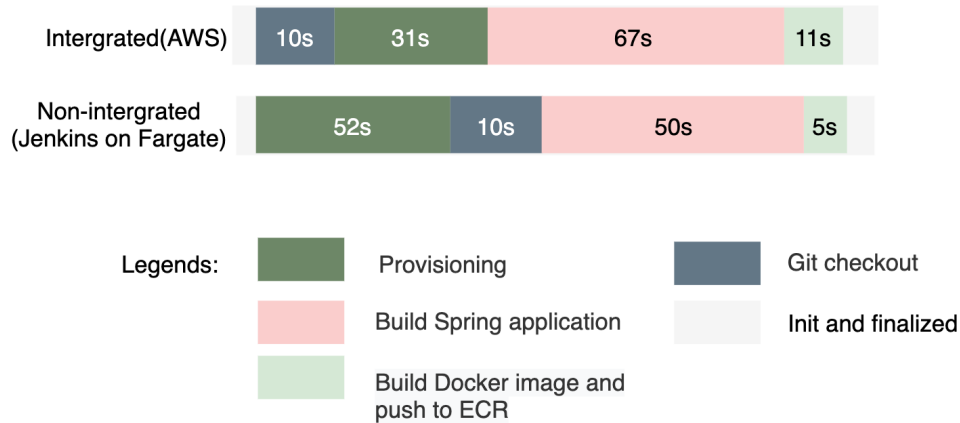


Figure 5.6: Observation of Runtime of Each Stage on Two Toolchains

Also, we observe that with the workload goes up, the runtime of AWS integrated toolchain increases with it. We already answered why the runtime of the pipeline in our non-integrated toolchain with agent runs in AWS Fargate does not change over time in 5.1.3. To explain this, we also check the runtime of each stage when runs several jobs in parallel. We notice that when it has multiple jobs runs in parallel, essential if the parallel jobs' number goes over 5. AWS will start limiting the resource allocation, which limits the number of jobs that runs at the same time. As a result, part of our running jobs has to enter the "Queued" status before entering the "Provisioning" stage. In 10 jobs we run in parallel for the experiment, the time spent for queuing for resource various from 1 second (get resource allocated directly) to 130 seconds. Among these ten jobs, four jobs were put into the queue before resource are available to them. Figure 5.7 shows the distribution of queued time among jobs. This validates the claim from AWS about parallel execution ability, which we mentioned in 5.2.2. However, the parallel is not fully in parallel but with some limitations on available resources.

The runtime of the non-integrated toolchain is also slightly going up because of the runtime of build and push container to ECR increases. The reason is obvious, we have these two stages runs on the master node, so the increased data transfer between agent and master and limited computing resource on the Jenkins master increase the runtime. However, as we observed in Experiment 1, the build stage is always fully paralleled, and the runtime of this stage remains unchanged despite the increasing workload. The queuing time for resource in each job is negligible.

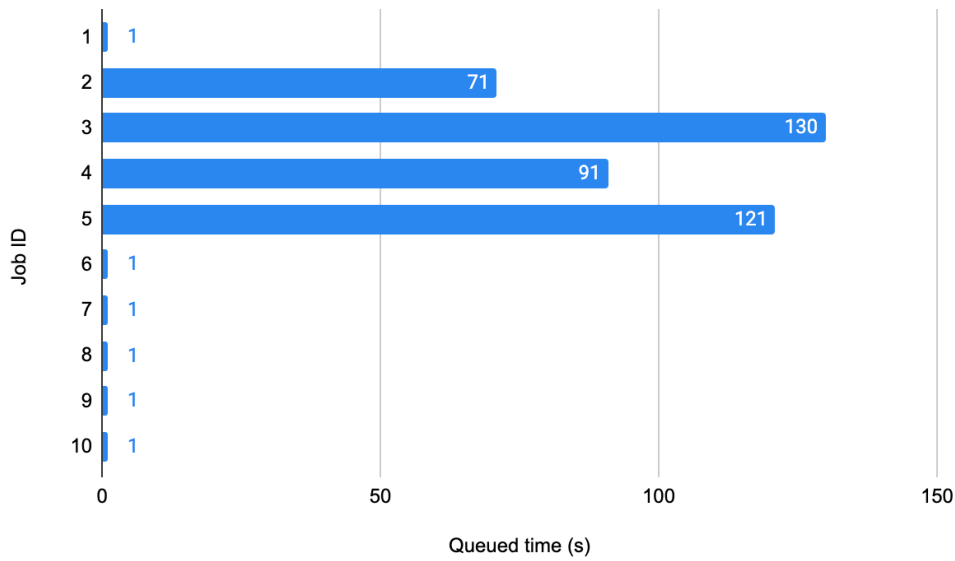


Figure 5.7: Observation of Queued Time in AWS Integrated Toolchain During The runtime Experiment, When 10 Jobs Run in Parallel

Cost Analysis

As a serverless tool, AWS DevOps tooling charges us according to the time and type of hardware configuration (in CodeBuild) we are using. Each pipeline in CodePipeline cost \$1 per month, which is a negligible amount of \$0.0014 per hour, price of with the build agent type general1.small (Linux instance with 3GB Memory and two vCPU) that we used in the experiment is \$0.3 per hour. The CodeDeploy is free of charge under the condition that we are not deploying to on-premises servers. The cost of S3 which store artifacts (1 MB in our case) is negligible according to AWS¹⁴. In conclusion, the price should be \$0.30014 per running job for one hour's runtime.

In our non-integrated toolchain, our experiment setup (2 vCPU, 4 GB RAM) is different from what we have in 5.1. The price should be \$0.02646 per running agent for one hour's runtime. While the cost of EC2 instance that hosts the Jenkins master node costs \$0.0432 per hour, this price will remain constant when multiple jobs run in parallel. In general, the cost of the non-integrated toolchain is \$0.06966 per hour when only one agent is running. From the calculation, we can see that the AWS integrated toolchain is more expensive under similar performance.

5.2.4 Conclusion

By analysing and explain the results, we could see that two toolchains have similar performance when it runs our case project. However, by further observe the

¹⁴<https://aws.amazon.com/getting-started/projects/set-up-ci-cd-pipeline/services-costs>

runtime in each stage, we notice that the AWS integrated toolchain is faster in provisioning the build environment, but, slower in running the build and testing task itself. Such runtime distribution means it might be suitable for light but more frequent build task. From the parallel execution part, we conclude that with our AWS integrated toolchain, the AWS CodeBuild, which takes most of the runtime, do have a limitation on the resource that we can use at the same time. Thus, before the system provisioning any resource, some tasks have to wait for the resource allocation in the "Queued" status,. The "Queued" status largely prolongs the total runtime.

Chapter 6

Conclusions and Future Work

The efficiency and the agility of DevOps are driving more and more software development teams to perform the transition to DevOps. This master thesis project is done in Eficode Oy, a Finnish multinational company that one of its main tasks is to help customer with the DevOps transition. The transition to DevOps needs the team to precede the change in process, culture and tools. In this master thesis project, we focus on the tools aspect within the DevOps, which is the DevOps toolchain that helps the software team to implement DevOps practises.

The development of cloud computing technologies, especially serverless computing, has brought many changes in the development and deployment of DevOps toolchains. Serverless computing services in cloud could either act as the runner of automated build and automated testing, monitoring tools, or even replace the whole DevOps toolchain with a hosted integrated DevOps toolchain. This master thesis project explore the possibility that the serverless computing could improve the DevOps toolchain in term of performance and functionality. This is done by, first, implemented a traditional non-integrated toolchain and deploy to AWS, and find what improvement could AWS serverless computing services bring to this toolchain for answering RQ1. Second, implement a integrated toolchain with AWS serverless DevOps tools, and compare with the traditional toolchains, which answer our RQ2.

In this chapter, we first make conclusions by summarise our findings in chapter 3 and chapter 4. The conclusion is presented by give answers to our research questions in section 6.1. In section 6.2, we discusses the possible future work that could be done in the future.

6.1 Conclusions

In this section we summarise our main findings during the implementation and evaluation as the answers to two research questions.

RQ1: *How can serverless computing services in Amazon Web Services helps the DevOps toolchain?*

We identified there are following serverless computing services that could improving the DevOps toolchain within AWS.

The first improvement is done by the managed serverless container service (AWS ECS with Fargate) which could works as the build agent which host the build and testing process within the CD pipeline. According to our experiments and analysis, the feature of serverless computing, combined with the beneficial brings by Docker container, could improve the parallel execution performance and save cost. The high performance in parallel pipeline execution could be helpful in, first improving the performance of continuous delivery for microservices project, second, improving the performance of pipeline of a large origination with the frequent delivery. Furthermore, the serverless container service reduces the effort when set up the environment for running the agents. Docker build agent is widely used by different CI tools, and Elastic Container Services has extensive API which could be used for deploying the Docker build agent, therefore, this benefit is not only limited to the tools that we used in our implementation. Our experiments also shows that, Pay-per-use mode makes Fargate cheap to use for hosting the build agent.

Moreover, the serverless function (AWS Lambda) could be used within the monitoring part of the toolchain. The event-driving computing model of serverless functional is perfect for processing with the logs, alarms and realtime performance metrics within monitoring. In our toolchain we use AWS Lambda for customizing metrics and do auto-scaling upon alarms. In addition to these, AWS Lambda could be helpful to in build notification system that keeps the team aware the status within the pipeline.

The third improvement is from the serverless managed tools (AWS CloudWatch e.t.). Those tools could be used directly as tool in the toolchain. For example, we use CloudWatch as the monitoring solution in the toolchain. This type of tools free the development team from developing their own solution for certain part of the toolchain. If the tools is provided by the cloud provider where the DevOps toolchain is being deployed, the good integration between the tool and cloud infrastructure may allow a more detailed monitoring than any self-build or third party tools.

There are still limitation within serverless computing services. One most significant limitation is the Fargate does not support runs privileged container. This largely limiting what we operation can we do within the running Docker build agent, for example, we cannot build Docker image for the project that is being built in the build agent. Moreover, the performance of build agent runs in the serverless computing service is lower. This is due to the cold-start problem of the serverless computing. In addition, the average time of build stage of the same software project is longer in the serverless build agent (92s) than in EC2 based build agent(65s). This difference shows that serverless computing engine has lower per-

formance when runs the automated build and automated testing within a continuous delivery pipeline.

RQ2: *How does the newly emerged integrated toolchain in Amazon Web Services compared with the traditional non-integrated toolchain?*

The integrated toolchain is a new type of DevOps toolchain that delivered as a single application. It is usually composed by serverless tools from a single vendor (in our case: AWS) but could also integrated with a limited range of third-party tools depends on the vendor. Compared with non-integrated toolchain, The first advantage for integrated toolchain is it is a out-of-box solution that needs much less time and effort to develop and setup, while a lot of works are needed when build the non-integrated toolchain, namely, tool selection, cloud infrastructure design and management, manual configuration and even software development. In addition, an integrated provides a better sight on the DevOps toolchain as a whole, this is easier to achieve because the integrated toolchain is delivered as a single application. In our experiment on performance, we find that the integrated toolchain is faster in provisioning the computing resource for continuous delivery. We believe due to the computing resources are also managed by AWS, it is easier for AWS to optimize the provisioning process.

We also find that, under the same hardware configuration, it takes the integrated toolchain longer time to run the automated build and automated testing. The gap of the runtime between integrated and non-integrated toolchain increased with the number of the parallel executing jobs. Our research shows this is because the AWS is imitating the maximum hardware resources that we could use. The restriction shows the first disadvantage of the integrated toolchain – the development team do not has fully control on the underlying hardware. A similar problem with integrated toolchain is that the team also has low visibility on the status of underlying hardware, which brought some challenges in our implementation. Moreover, our analysis shows the cost of integrated toolchain is higher in non-integrated toolchain. The high cost is because, first, not possible to mainly use open-source solution, second, the AWS charges much more on computing resource when use it from its DevOps toolchain. Furthermore, the integrated toolchains limits the tool selection, which on the one hand reduce the time needed for tool selection, on the other hand, it limiting the use of some special tools that is not supported by the toolchain.

6.2 Future Work

Based on our current implement and findings, we propose following further works could be done.

- Due to the tight schedule in finishing the thesis, our case project is rather simple, and the test cases are rather small. With the increasing popularity of microservices architecture, it could be interesting if the case project could be

expended to a microservices which include different type of services. This could better simulate the software project in the real-life development.

- Current our non-integrated toolchain does not supporting run Docker within Jenkins build agent. As a result the Docker build task has to be executed on master node. The Docker build is luckily quite light in our case project, however if the Docker build is suppose takes longer time with a heavier case project, the non-parallel execution of this step could caused serious delay. A solution is needed for solving this problem.
- The monitoring solution in both solution could be extended, currently the monitoring is only based on the solution from AWS. The extension could be done by integrating CloudWatch with third-party tools, for example Grafana.

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