

Problem #1: Insertion sort on small arrays in merge sort.

1) Show that the  $n/k$  sublists, each of length  $k$ , can be sorted by insertion sort in  $O(nk)$  worst-case time.

Note that sorting each list takes  $ak^2 + bk + c$  for some constants  $a, b, c$ . We have  $n/k$  of those, therefore:

$$\frac{n}{k} (ak^2 + bk + c) = ank + bn + \frac{cn}{k} \sim \Theta(nk) + \Theta(n) + \Theta\left(\frac{n}{k}\right) \\ \sim \Theta(nk)$$

2) Show that the sublists can be merged in  $\Theta\left(n \lg\left(\frac{n}{k}\right)\right)$  worst-case time.

- merging  $n/k$  sublists into  $n/2k$  sublists takes  $\Theta(n)$  worst-case time.
- merging  $n/2k$  sublists into  $n/4k$  sublists takes  $\Theta(n)$  worst-case time.
- ... and so on
- merging 2 sublists into list takes  $\Theta(n)$  worst-case time.
- We have  $\lg\left(\frac{n}{k}\right)$  such merges, so merging  $n/k$  sublists into one list takes  $\Theta\left(n \lg\left(\frac{n}{k}\right)\right)$

3) The largest value of  $k$ .

- In order for  $\Theta(nk + n \lg\left(\frac{n}{k}\right)) = \Theta(n \lg n)$ , either  $nk = \Theta(n \lg n)$  or  $n \lg\left(\frac{n}{k}\right) = \Theta(n \lg n)$ . From these we get the largest asymptotic value

→ for  $k$  is  $\Theta(\lg n)$ . If we substitute, we get:  $\Theta(n \lg n + n \lg\left(\frac{n}{\lg n}\right)) =$

If  $k = f(n) > \lg n$ , the complexity will be  $\Theta(n \lg n)$  a larger running time than merge sort, which is  $\Theta(n \lg n)$ .

4) In practice,  $k$  should be the largest list length on which insertion sort is faster than merge sort.