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Advanced Encryption Standard (AES)

- Also known as Rijndael (Rain-Dal)
 - Rijndael is the name of the algorithm adopted by NIST in 2001 as the Advanced Encryption Standard
- Rijndael was designed by two Belgian Cryptographers – Vincent **Rijmen** and Joan **Daemen**
- AES is a family of three algorithms each having a block size of 128-bits and differing in key size i.e. AES-128, AES-192 and AES-256
 - For AES- n , n is the key size

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Advanced Encryption Standard (AES)

- AES is a
 - Symmetric
 - Block cipher
 - Product cipher – number of rounds differs based on the key size
- Each round of AES has four transformations
 - SubBytes
 - ShiftRows
 - MixColumns
 - AddRoundKey
- AES operates in finite field of $GF(2^8)$
 - Uses $x^8 + x^4 + x^3 + x + 1$ as its prime polynomial

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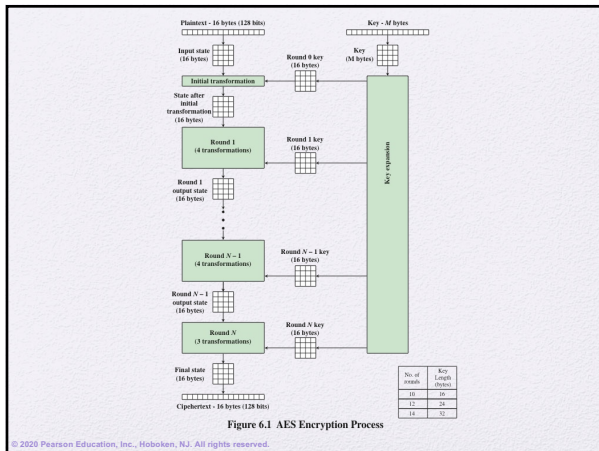
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Finite Field Arithmetic

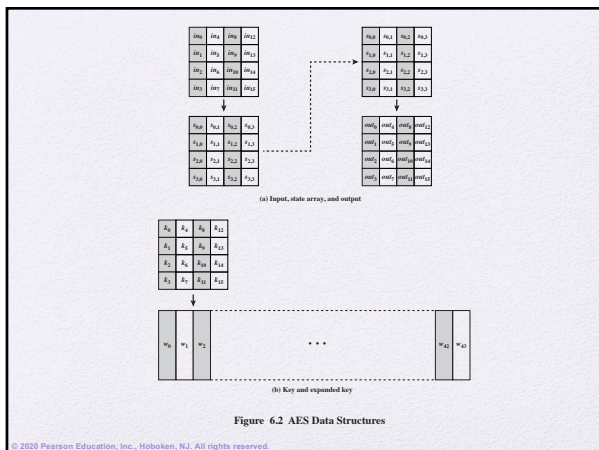
- In the Advanced Encryption Standard (AES) all operations are performed on 8-bit bytes
- The arithmetic operations of addition, multiplication, and division are performed over the finite field $GF(2^8)$
- A field is a set in which we can do addition, subtraction, multiplication, and division without leaving the set
- Division is defined with the following rule:
 - $a/b = a(b^{-1})$
- An example of a finite field (one with a finite number of elements) is the set Z_p consisting of all the integers $\{0, 1, \dots, p-1\}$, where p is a prime number and in which arithmetic is carried out modulo p

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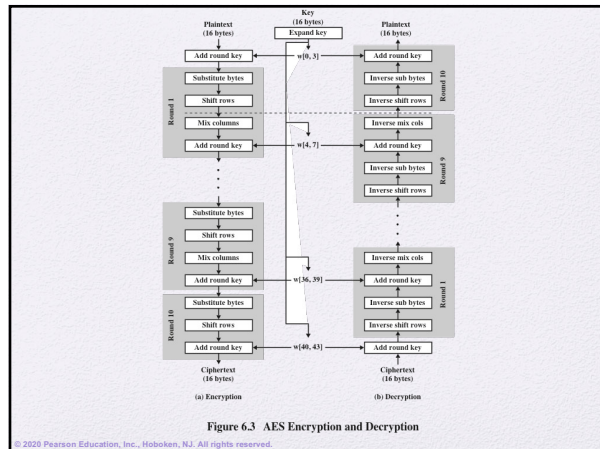
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Table 6.1 AES Parameters

	AES - 128	AES - 192	AES - 256
Key Size (words/bytes/bits)	4/16/128	6/24/192	8/32/256
Plaintext Block Size (words/bytes/bits)	4/16/128	4/16/128	4/16/128
Number of Rounds	10	12	14
Round Key Size (words/bytes/bits)	4/16/128	4/16/128	4/16/128
Expanded Key Size (words/bytes)	44/176	52/208	60/240

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Detailed Structure

- Processes the entire data block as a single matrix during each round using substitutions and permutation
- The key that is provided as input is expanded into an array of forty-four 32-bit words, $w[i]$

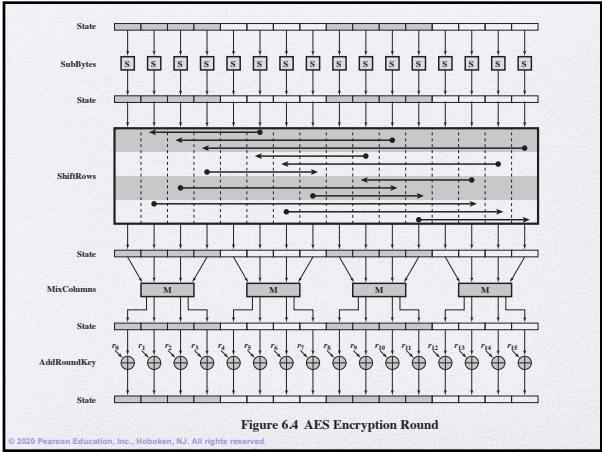
Four different stages are used:

- Substitute bytes – uses an S-box to perform a byte-by-byte substitution of the block
- ShiftRows – a simple permutation
- MixColumns – a substitution that makes use of arithmetic over $GF(2^8)$
- AddRoundKey – a simple bitwise XOR of the current block with a portion of the expanded key

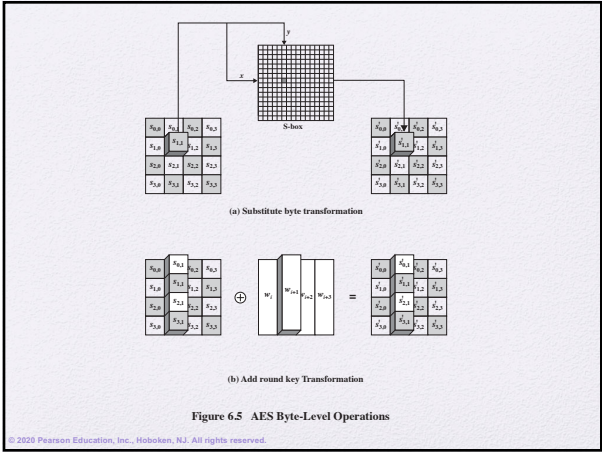
- The cipher begins and ends with an AddRoundKey stage
- Can view the cipher as alternating operations of XOR encryption (AddRoundKey) of a block, followed by scrambling of the block (the other three stages), followed by XOR encryption, and so on
- Each stage is easily reversible
- The decryption algorithm makes use of the expanded key in reverse order, however the decryption algorithm is not identical to the encryption algorithm
- State is the same for both encryption and decryption
- Final round of both encryption and decryption consists of only three stages

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Table 6.2																
		y														
x	0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
	63	7C	77	7B	F2	6B	6F	C5	30	01	67	2B	FE	D7	AB	76
	1	CA	82	C9	7D	FA	59	47	F0	AD	D4	A2	AF	9C	A4	72
	2	B7	FD	93	26	36	3F	F7	CC	34	A5	E5	F1	71	D8	31
	3	04	C7	23	C3	18	96	05	9A	07	12	80	E2	EB	27	B2
	4	09	83	2C	1A	1B	6E	5A	A0	52	3B	D6	B3	29	E3	2F
	5	53	D1	00	ED	20	FC	B1	5B	6A	CB	BE	39	4A	4C	58
	6	D0	EF	AA	FB	43	4D	33	85	45	F9	02	7F	50	3C	9F
	7	51	A3	40	8F	92	9D	38	F5	BC	B6	DA	21	10	FF	F3
	8	CD	0C	13	EC	5F	97	44	17	C4	A7	7E	3D	64	5D	19
	9	60	81	4F	DC	22	2A	90	88	46	EE	B8	14	DE	5E	0B
	A	E0	32	3A	0A	49	06	24	5C	C2	D3	AC	62	91	95	E4
	B	E7	C8	37	6D	8D	D5	4E	A9	6C	56	F4	EA	65	7A	AE
	C	BA	78	25	2E	1C	A6	B4	C6	E8	DD	74	1F	4B	BD	8B
	D	70	3E	B5	66	48	03	F6	0E	61	35	57	B9	86	C1	1D
	E	E1	F8	98	11	69	D9	8E	94	9B	1E	87	E9	CE	55	28
	F	8C	A1	89	0D	BF	E6	42	68	41	99	2D	0F	B0	54	BB

(a) S-box

(Table can be found on page 155 in textbook)

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Table 6.2

		y															
x		0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
		52	09	6A	D5	30	36	A5	38	BF	40	A3	9E	81	F3	D7	FB
1	7C	E3	39	82	9B	2F	FF	87	34	8E	43	44	C4	DE	E9	CB	
2	54	7B	94	32	A6	C2	23	3D	EE	4C	95	0B	42	FA	C3	4E	
3	08	2E	A1	66	28	D9	24	B2	76	5B	A2	49	6D	8B	D1	25	
4	72	F8	F6	64	86	68	98	16	D4	A4	5C	CC	5D	65	B6	92	
5	6C	70	48	50	FD	ED	B9	DA	5E	15	46	57	A7	8D	9D	84	
6	90	D8	AB	00	8C	BC	D3	0A	F7	E4	58	05	B8	B3	45	06	
7	DA	2C	1E	8F	CA	3F	0F	02	C1	AF	BD	03	01	13	8A	5B	
8	3A	91	11	41	4F	67	DC	EA	97	F2	C7	CE	F0	B4	E6	73	
9	96	AC	74	22	E7	AD	35	85	E2	P9	37	E8	1C	75	DF	6E	
A	47	F1	1A	71	1D	29	C5	89	6F	B7	62	0E	AA	18	BE	1B	
B	FC	56	3E	4B	C6	D2	79	20	9A	DB	C0	FE	78	CD	5A	F4	
C	1F	DD	A8	33	88	07	C7	31	B1	12	10	59	27	80	EC	5F	
D	60	S1	7F	A9	19	B5	4A	0D	2D	E5	7A	9F	93	C9	9C	EF	
E	A0	E0	3B	4D	AE	2A	F5	B0	C8	EB	BB	3C	83	53	99	61	
F	17	2B	04	7E	BA	77	D6	26	E1	69	14	63	55	21	0C	7D	

(b) Inverse S-box

(Table can be found on page 155 in textbook)

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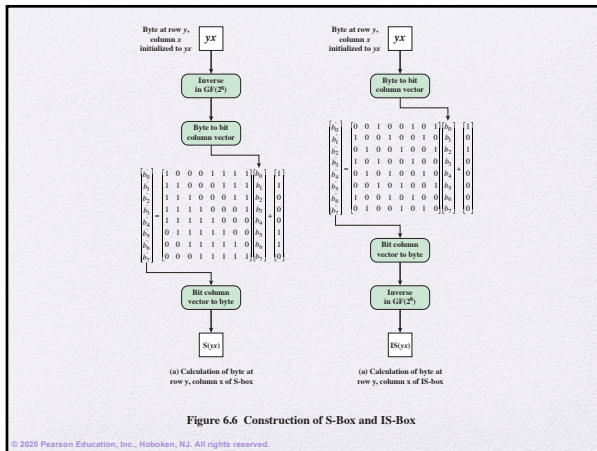


Figure 6.6 Construction of S-Box and IS-Box

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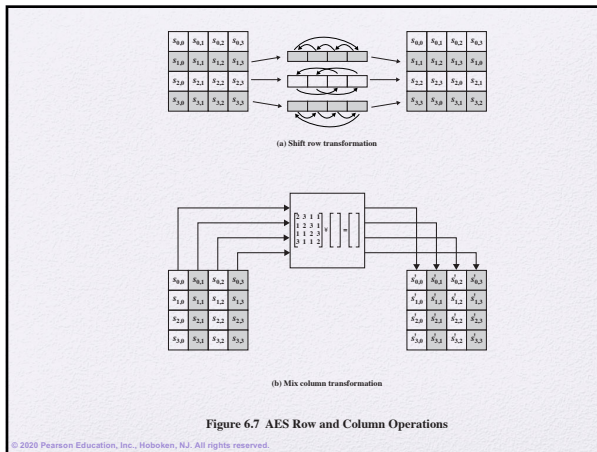
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S-Box Rationale

- The S-box is designed to be resistant to known cryptanalytic attacks
- The Rijndael developers sought a design that has a low correlation between input bits and output bits and the property that the output is not a linear mathematical function of the input
- The nonlinearity is due to the use of the multiplicative inverse

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Shift Row Rationale

- More substantial than it may first appear
- The State, as well as the cipher input and output, is treated as an array of four 4-byte columns
- On encryption, the first 4 bytes of the plaintext are copied to the first column of State, and so on
- The round key is applied to State column by column
 - Thus, a row shift moves an individual byte from one column to another, which is a linear distance of a multiple of 4 bytes
- Transformation ensures that the 4 bytes of one column are spread out to four different columns

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Mix Columns Rationale

- Coefficients of a matrix based on a linear code with maximal distance between code words ensures a good mixing among the bytes of each column
- The mix column transformation combined with the shift row transformation ensures that after a few rounds all output bits depend on all input bits

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AddRoundKey Transformation

- The 128 bits of State are bitwise XORed with the 128 bits of the round key
- Operation is viewed as a columnwise operation between the 4 bytes of a State column and one word of the round key
 - Can also be viewed as a byte-level operation

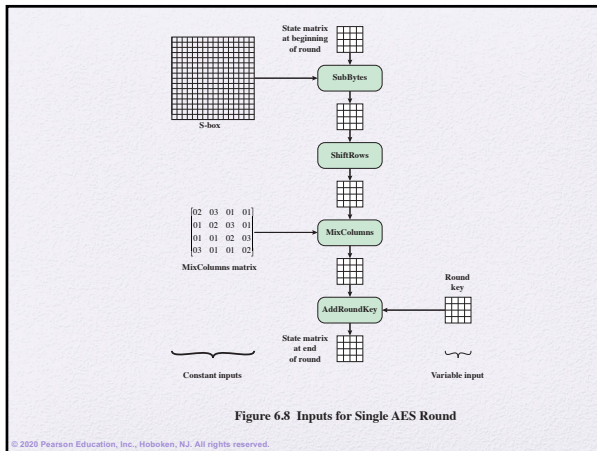
Rationale:

Is as simple as possible and affects every bit of State

The complexity of the round key expansion plus the complexity of the other stages of AES ensure security

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AES Key Expansion

- Takes as input a four-word (16 byte) key and produces a linear array of 44 words (176) bytes
 - This is sufficient to provide a four-word round key for the initial AddRoundKey stage and each of the 10 rounds of the cipher
- Key is copied into the first four words of the expanded key
 - The remainder of the expanded key is filled in four words at a time
- Each added word $w[i]$ depends on the immediately preceding word, $w[i-1]$, and the word four positions back, $w[i-4]$
 - In three out of four cases a simple XOR is used
 - For a word whose position in the w array is a multiple of 4, a more complex function is used

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AES Key Expansion

- The key expansion algorithm is as follows:

If $i \bmod 4 = 0$ then

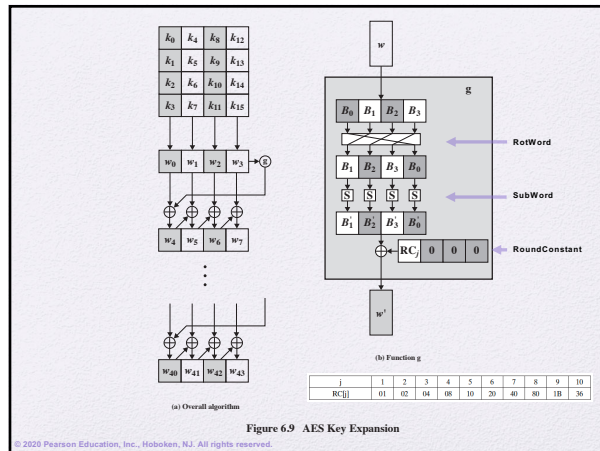
$$w[i] = g(w[i-1]) \oplus w[i-4]$$

else $w[i] = w[i-1] \oplus w[i-4]$

- The g function consists of three rounds of transformation
 - RotWord – Circular byte shift
 - SubWord – S-Box substitution
 - RoundConstant – Bitwise XOR with the round constant

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AES Key Expanded Key Calculation

	#Rounds*	Words per Round	Size of Expanded Key Array (Words)	Size of Initial Key (Words)	Expanded Key Values Derived from Initial Key	Expanded Key Values Calculated
AES-128	10 + 1	4	44	4	$w[0-3]$	$w[4-43]$
AES-192	12 + 1	4	52	6	$w[0-5]$	$w[6-51]$
AES-256	14 + 1	4	60	8	$w[0-7]$	$w[8-59]$

* The extra added round is for the initial transformation

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Key Expansion Rationale

- The Rijndael developers designed the expansion key algorithm to be resistant to known cryptanalytic attacks
- Inclusion of a round-dependent round constant eliminates the symmetry between the ways in which round keys are generated in different rounds

The specific criteria that were used are:

- Knowledge of a part of the cipher key or round key does not enable calculation of many other round-key bits
- An invertible transformation
- Speed on a wide range of processors
- Usage of round constants to eliminate symmetries
- Diffusion of cipher key differences into the round keys
- Enough nonlinearity to prohibit the full determination of round key differences from cipher key differences only
- Simplicity of description

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Table 6.3 Example Round Key Calculation

Description	Value
i (decimal)	36
temp = w[i - 1]	7F8D292F
RotWord (temp)	8D292F7F
SubWord (RotWord (temp))	5DA515D2
Rcon (9)	1B000000
SubWord (RotWord (temp)) ⊕ Rcon (9)	46A515D2
w[i - 4]	EAD27321
w[i] = w[i - 4] ⊕ SubWord (RotWord (temp)) ⊕ Rcon (9)	AC7766F3

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Table 6.4

Key Expansion

for

AES Example

Key Words	Auxiliary Function
w0 = ff 15 71 15 w1 = 47 09 48 59 w2 = 50 67 a8 06 w3 = af 7f 67 98	RotWord(w2) = 09 48 59 af SubWord(w1) = d2 85 46 79 = y1 Rcon(1) = 01 00 00 00
w4 = w0 ⊕ w1 = 9b 9c 37 b0 w5 = w4 ⊕ w1 = 9b 9c 49 df a9 w6 = w5 ⊕ w2 = 9f 50 72 3f w7 = w6 ⊕ w3 = 38 81 15 a7	y1 ⊕ Rcon(1) = 93 85 46 79 = y2 RotWord(w3) = 7f 67 98 af SubWord(w4) = 0e 59 5c 07 = y2 Rcon(2) = 02 00 00 00
w8 = w4 ⊕ w2 = d2 c9 6b 07 w9 = w8 ⊕ w5 = a9 80 b4 5a w10 = w9 ⊕ w6 = da 7a c6 61 w11 = w10 ⊕ w7 = e5 fe d3 c5	y2 ⊕ Rcon(2) = 0e 59 5c 07 = y2 SubWord(w2) = 16 66 b4 8a = y3 Rcon(3) = 04 00 00 00
w12 = w8 ⊕ w3 = c3 af df 39 w13 = w12 ⊕ w9 = 89 2f 6a 07 w14 = w13 ⊕ w10 = 57 51 a8 06 w15 = w14 ⊕ w11 = b5 a6 7a c0	RotWord(w1) = ff 15 71 15 = y3 SubWord(w2) = 16 66 b4 8a = y3 Rcon(4) = 08 00 00 00
w16 = w12 ⊕ w4 = 70 5c 85 01 w17 = w16 ⊕ w13 = 45 73 0a 96 w18 = w17 ⊕ w14 = f2 22 a3 90 w19 = w18 ⊕ w15 = 43 8c dd 50	y3 ⊕ Rcon(3) = 12 66 b4 8a = y3 RotWord(w4) = 9f 50 72 3f = y4 SubWord(w5) = 09 5a 7a 29 = y4 Rcon(5) = 10 00 00 00
w20 = w16 ⊕ w5 = f2 9a 38 a6 w21 = w20 ⊕ w7 = f2 wa 38 7d w22 = w21 ⊕ w18 = 01 00 8a dd w23 = w22 ⊕ w19 = 62 8c dd 00	RotWord(w3) = 7f 67 98 af = y4 SubWord(w6) = 0e 59 5c 07 = y4 Rcon(6) = 10 00 00 00
w24 = w20 ⊕ w8 = 71 e7 4c e2 w25 = w24 ⊕ w21 = 8a 29 7a b8 w26 = w25 ⊕ w22 = 83 a5 ef 52 w27 = w26 ⊕ w23 = c5 af a9 ef	RotWord(w5) = 0e 59 5c 07 = y5 SubWord(w7) = 16 66 b4 8a = y5 Rcon(7) = 10 00 00 00
w28 = w24 ⊕ w7 = 37 14 93 48 w29 = w28 ⊕ w25 = 1a 3d 47 f7 w30 = w29 ⊕ w26 = 38 08 08 a5 w31 = w30 ⊕ w27 = f7 7d a1 4a	RotWord(w6) = 09 5a 7a 29 = y5 SubWord(w8) = 0e 59 5c 07 = y5 Rcon(8) = 10 00 00 00
w32 = w28 ⊕ w6 = 48 26 a5 20 w33 = w32 ⊕ w29 = f3 1a a2 d7 w34 = w33 ⊕ w30 = 0b c3 0a 72 w35 = w34 ⊕ w31 = 3e 3a 0a 38	RotWord(w7) = 9f 50 72 3f = y6 SubWord(w9) = 09 5a 7a 29 = y6 Rcon(9) = 10 00 00 00
w36 = w32 ⊕ w9 = f2 60 f2 c6 w37 = w36 ⊕ w33 = 0a 1a 0c 1c w38 = w37 ⊕ w34 = c5 d5 4a 6a w39 = w38 ⊕ w35 = f5 0b 41 56	RotWord(w8) = 0e 59 5c 07 = y6 SubWord(w10) = 0e 59 5c 07 = y6 Rcon(10) = 10 00 00 00
w40 = w36 ⊕ w10 = 3a 8a f3 52 w41 = w40 ⊕ w37 = 3a 98 13 4a w42 = w41 ⊕ w38 = 7f 4d 59 20 w43 = w42 ⊕ w39 = 85 26 18 76	RotWord(w9) = 09 5a 7a 29 = y6 SubWord(w11) = 0e 59 5c 07 = y6 Rcon(11) = 10 00 00 00

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Table 6.4

AES
EXAMPLE

Table 6.4 AES Example

Start of Round	After SubBytes	After ShiftRows	After MixColumns	Round Key
01 89 7a 14 23 ab 5d 54 45 cd ba 32 e7 ef 98 10				02 17 00 2c 15 89 b7 7f 71 a8 ad 47 c9 58 d8 89
0a ee f2 29 36 72 8b 2b 34 20 17 50 aa b4 4a 88	4b 8b 89 35 05 40 7f f1 05 06 18 3f e4 4a 2f c4	4b 8b 89 35 60 7f f1 05 05 06 18 3f c4 e4 4a 2f	b9 94 57 75 e4 8a 16 51 47 20 8a 3e c5 d6 f3 3b	4c 3e 37 38 90 49 9a 81 37 47 32 15 b0 a3 3e 27
65 3f c4 c4 74 c7 a8 00 70 4c 8b 24 75 3f 0a 9c	62 7a 3a c3 82 c6 8b 70 31 14 9b a5 8d 75 74 da	62 7a 3a c3 c6 8b 70 92 8b 05 31 14 da 9d 75 74	8a 22 d6 12 b2 f2 d6 92 8b 05 31 14 da c5 1a 52	02 18 0a 4e c9 80 7a ff 08 0a 4b 43 b7 5a 41 c5
0c 6b 05 64 7b 7a a2 6d b4 3d 51 12 9a 8b 7f 84	4a 7f 6b bf 21 40 3a 3c 8d 16 c7 c9 3d 14 d2 d2	4a 7f 6b bf 49 3a 3c 21 c7 c9 8d 16 23 3d 14 d2	b3 c1 0b e0 ba f3 8b 07 f9 1f 4a c3 10 19 2a 5c	c0 89 37 b1 a7 7f 51 aa 02 0b ad 7a 39 47 5c c0
71 48 9a 74 15 da da a9 26 74 c7 b6 24 7a f2 3c	43 52 4a ff 58 8a 37 a3 f7 92 c6 7a 36 f3 83 da	43 52 4a ff 8a 37 a3 58 c6 7a f7 92 36 f3 83 da	d4 11 f6 0f 3a 44 0a 73 db ab 62 37 10 b7 07 e0	2c a3 f2 43 3a 73 d2 8a 63 0a a3 d4 f1 8a 05 52
f9 b4 0a 4c 47 37 14 ff aa a5 c1 aa a8 23 97 3c	41 8a f6 29 8a 3a 14 85 a4 06 78 87 3b f6 88 c5	41 8a f6 29 8a 3a 14 85 78 87 a4 06 3b f6 88 c5	2a 47 c4 48 83 a8 1a ba 84 18 27 23 ab 10 0a f3	58 f6 0f 4c 8a aa c0 49 3a 38 8a 4a ab 1a ad b6
72 ba cb 04 1a 06 44 fa b2 20 bc 65 00 6d a7 4a	40 f4 1f f2 72 4f 48 24 37 b7 63 6a 43 3c 84 2f	40 f4 1f f2 4f 48 24 37 63 6a 43 37 43 3c 84 2f	7b 05 42 4a 1a 00 20 40 94 83 18 32 84 c4 43 fb	71 8a 83 c0 c7 19 a3 a3 4c 74 ee a9 c0 b6 5c ef
0a 89 c1 85 f9 f9 c1 a5 d8 ff ff 3b 56 7b 11 14	47 a7 78 97 39 a9 49 35 61 68 08 0f 5a b1 21 82	47 a7 78 97 a9 a5 49 35 08 0f 61 68 5a b1 21 82	ec 1a c0 80 0c 10 53 c7 3b d7 00 ef b7 22 72 a0	37 bb 38 ff 14 3a 0a 74 93 a7 08 a1 48 ff 0c 4a
db a1 f8 77 19 6d 8b 3a a8 30 08 4a ff d9 67 aa	b9 32 41 f3 a6 3a 5d fa c0 04 30 2f 14 c2 00 ac	b9 32 41 f3 3a 5d fa a6 30 2f c0 04 14 c2 00 ac	b1 1a 44 17 3a 2f a6 b6 0a 6b 2f 42 e6 42 b1 0a	48 f3 cb 3c 26 0a 0c 3a 43 a3 aa 0b e6 42 b1 0a
f9 a9 ff 2b 3b 34 2e 3a 4f c9 85 49 3f bf 41 89	89 1a 73 f1 4f 15 10 8a d8 97 3b 08 00 c0 a7	89 1a 73 f1 15 10 4f 15 97 3b 8a d8 08 00 c0 a7	31 30 3a c2 aa 71 8e c4 4c 65 48 ab 8a 1c 31 d2	f4 0a c3 f9 e6 05 05 0a 42 a0 4a 41 8a 1c 31 d2
0e 3a ff 3b a1 67 59 a7 04 65 02 aa a1 00 ff 34	4b 32 1a a2 32 85 0b 79 f2 87 77 a0 39 c3 cf 18	4b 32 1a a2 85 0b 79 32 77 a0 f2 87 18 39 c3 cf	4a 7c 1e a2 8a 79 32 85 77 a0 f2 87 18 39 c3 cf	4a 7c 1e a2 8a 79 32 85 77 a0 f2 87 18 39 c3 cf
ff 08 4a 44 00 53 34 14 84 bf ab 4c 4a 7c 43 b9				

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Table 6.5

Avalanche
Effect
in AES:
Change
in Plaintext

Table 6.5 Avalanche Effect in AES: Change in Plaintext

Round		Number of Bits that Differ
	0123456789abcdeffedcba9876543210 0023456789abcdeffedcba9876543210	1
0	0e3634aace7225b6f26b174ed92b5588 0f3634aace7225b6f26b174ed92b5588	1
1	657470750cf7ff3f0e8e8ca4dd02a9c cfa9ad090ec7ff3f0e8e8ca4dd02a9c	20
2	5c7bb49ab72349b05a2317ff46d1294 fed2a569f7aeb9b8c1f5a2b37ef53d5	58
3	7115262448dc747e5cdac7227da9bd9c ac0934fb7c45343d68017507d485a62	59
4	f867aee8b437a5210c24c1974cffeabc 43efdb697244df808ab9364ee0aef5	61
5	721eb200ba06206dcbdbce704fa654e 7b28a5d5ed643287e06c099bb375302	68
6	0ad9d85689f9f77bc1c5f71185e5fb14 3bc2d8b6798d8acfe36a1d891ac181a	64
7	db18a8ffa1643045f88b08d777ba4eaa 9fb0b5452023c70280e5c4bb9a55a4b	67
8	f91b4fbc934c9b9f2f85812b084989 2054ae1126211aef7eb3f82d66d40	65
9	cca104a13ef7850ff59025f3bafaa34 b56a0341b2290ba7dfdfddcd8578205	61
10	ff0b844a0853bf7c6934ab4364148fb9 612b89398d600cde116227ce72433f0	58

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Table 6.7

Avalanche
Effect
in
AES:
Change in
Key

Round		Number of Bits that Differ
	0123456789abcdeffedcba9876543210 0123456789abcdeffedcba9876543210	0
0	0e3634aace7225b6f26b174ed92b5588 0f3634aace7225b6f26b174ed92b5588	1
1	657470750cf7ff3f0e8e8ca4dd02a9c c5a9ad090ec7ff3f0e8e8ca4cd02a9c	22
2	5c7bb49ab72349b05a2317ff46d1294 90905fa9563356d15f3760f3b8259985	58
3	7115262448dc747e5cdac7227da9bd9c 18aeb7aa794b3b6629448d575c7cebff	67
4	f867aee8b437a5210c24c1974cffeabc f81015f993c978a876ae017cb49e7eec	63
5	721eb200ba06206dcbdbce704fa654e 5955c91b4e769f3cb4a94768e98d5267	81
6	0ad9d85689f9f77bc1c5f71185e5fb14 dc60a24d137662181e45b6d3726b2920	70
7	db18a8ffa16d3045f88b08d777ba4eaa feb342b8f88b8ef6cab7e9774005a03c	74
8	f91b4fbc934c9b9f2f85812b084989 da7dad581d1725c5b72fa0f9d9d1366a	67
9	cca104a13ef7850ff59025f3bafaa34 0ccb4ec6bbfd912f4b511d72996345e0	59
10	ff0b844a0853bf7c6934ab4364148fb9 fc8923ee501a7d207ab670686839996b	53

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Implementation Aspects

- AES can be implemented very efficiently on an 8-bit processor
- AddRoundKey is a bitwise XOR operation
- ShiftRows is a simple byte-shifting operation
- SubBytes operates at the byte level and only requires a table of 256 bytes
- MixColumns requires matrix multiplication in the field $GF(2^8)$, which means that all operations are carried out on bytes

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Implementation Aspects

- Can efficiently implement on a 32-bit processor
 - Redefine steps to use 32-bit words
 - Can precompute 4 tables of 256-words
 - Then each column in each round can be computed using 4 table lookups + 4 XORs
 - At a cost of 4Kb to store tables
- Designers believe this very efficient implementation was a key factor in its selection as the AES cipher

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Summary

- Present an overview of the general structure of the Advanced Encryption Standard (AES)



- Understand the four transformations used in AES

- Explain the AES key expansion algorithm
- Understand the use of polynomials with coefficients in $GF(2^8)$

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