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CSU22041: Information Management I

Document Type Definition (DTD)

... an **art of making information accessible**.

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XML Example

```
<?xml version='1.0' encoding='ISO-8859-1' standalone='no' ?>
<doc type="book" isbn="1-56592-796-9" xml:lang="en">
  <title>A Guide to XML</title>
  <author>Norman Walsh</author>
  <chapter>
    <title>What Do XML Documents Look Like?</title>
    <paragraph>If you are ...</paragraph>
    <item>
      <paragraph>The document begins ...</paragraph>
    </item>
    <item>
      <paragraph>Empty elements have ...</paragraph>
      <paragraph>In a very ..</paragraph>
    </item>
    <section>...</section>
    ...
  </chapter>
  <chapter>...</chapter>
</doc>
```



VALID XML

DOCUMENT TYPE DEFINITION (DTD)



What is a DTD?

- Document Type Definition,
- Defines structure/model of XML documents
 - Elements and Cardinality
 - Attributes
 - Aggregation
- Defines default ATTRIBUTE values
- Defines ENTITIES
- Stored in a plain text file and referenced by an XML document (**external**)
- Alternatively a DTD can be placed in the XML document itself (**internal**)



Example DTD

```
<?xml version="1.0" ?>
<database>
  <person age='34'>
    <name>
      <title> Mr </title>
      <firstname> John </firstname>
      <firstname> Paul </firstname>
      <surname> Murphy </surname>
    </name>
    <hobby> Football </hobby>
    <hobby> Racing </hobby>
  </person>

  <person >
    <name>
      <firstname> Mary </firstname>
      <surname> Donnelly </surname>
    </name>
  </person>
</database>
```

```
<!DOCTYPE database [

  <!ELEMENT database (person*)>

  <!ELEMENT person (name,hobby*)>
  <!ATTLIST person age CDATA
    #IMPLIED>

  <!ELEMENT name (title?, firstname+,
    surname)>

  <!ELEMENT hobby (#PCDATA)>
  <!ELEMENT title (#PCDATA)>
  <!ELEMENT firstname (#PCDATA)>
  <!ELEMENT surname (#PCDATA)>

]>
```

- Syntax for occurrences of elements in DTDs
 - ?: zero-or-one
 - +: one-or-more
 - *: zero-or-more



Element Type Declaration

- Define occurrences of elements

?: zero-or-one

+: one-or-more

*: zero-or-more

```
<!ELEMENT doc
  (title, author+, editor?,
  chapter+, appendix*)>

<!ELEMENT chapter
  (title,
   (section+ | paragraph+))>

<!ELEMENT paragraph
  (#PCDATA)>
```

.....



Entity Declaration

- Internal entities
 - Built-in
- External entities
 - References to a file (text, images etc.)
- Parameter entities
 - Used inside DTDs

```
<!ENTITY author  
    "Norman Walsh, Sun Corp.">
```

```
<!ENTITY copyright  
    SYSTEM "copyright.xml">
```

```
<!ENTITY % part  
    "(title?, (paragraph |  
    section)*)">
```



Attribute List Declaration

- Define type of attribute
 - CDATA
 - ID
 - ENTITY
 -
- Define default values of attributes
 - #REQUIRED
 - #IMPLIED
 - #FIXED
 - A list of values with default selection

```
<!ATTLIST person  
    ssn ID #IMPLIED>
```

```
<!ATTLIST adult  
    age CDATA #REQUIRED>
```

```
<!ATTLIST mml  
    version CDATA #FIXED '1.0'>
```

```
<!ATTLIST person  
    sex (m | f) #REQUIRED>
```

```
<!ATTLIST day  
    temperature (l | m | h) "1">
```



Simple DTD Example

- Syntax for occurrences of elements in DTDs
 - ? : zero-or-one
 - + : one-or-more
 - * : zero-or-more

```
<!DOCTYPE doc[
<!ENTITY % part "(title?, (paragraph | section)*)">

<!ELEMENT doc (title, author+, chapter+, appendix*)>
<!ATTLIST doc type (book | article) "book"
            isbn CDATA #REQUIRED>

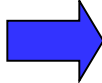
<!ELEMENT title (#PCDATA)>
<!ELEMENT author (#PCDATA)>
<!ELEMENT chapter %part;>
<!ELEMENT appendix %part;>
<!ELEMENT section %part;>
<!ELEMENT paragraph (#PCDATA | url | ol)*>
<!ATTLIST paragraph type CDATA #IMPLIED>
<!ELEMENT ol (item+)>
<!ELEMENT item (sentence+)>
<!ELEMENT sentence (#PCDATA)>
<!ELEMENT url (#PCDATA)>
]>
```



Note on UML Attribute mapping

- UML attribute **can** be represented as XML attribute
 - Note can be inappropriate for String attributes which are either **large or contain whitespaces, tabs** (as these are stripped out of XML attributes by XML parsers)

```
<Product>
  <CatalogItem.name>
    Wizard 4000
  </CatalogItem.name>
  <CatalogItem.sku>
    p123
  </CatalogItem.sku>
  <CatalogItem.listPrice>
    <Money>
      <Money.currency>USD</Money.currency>
      <Money.amount>2000</Money.amount>
    </Money>
  </CatalogItem.listPrice>
</Product>
```



```
<Product sku="123" >
  <CatalogItem.name>
    Wizard 4000
  </CatalogItem.name>
  <CatalogItem.listPrice>
    <Money currency="USD" amount="2000"/>
  </CatalogItem.listPrice>
</Product>
```

https://www.w3schools.com/xml/xml_dtd_el_vs_attr.asp



Past XML Exam Question

2.

(a) Explain using examples what constitute a well formed and valid XML document.

[10 Marks]

(b) Use DTD Notation to fully describe the XML document shown in Figure A above.
Provide explanation for your design decisions

[16 Marks]

(c) Define and explain XQuery Statements for each of the following queries posed over the document in Figure A. Show expected results and explain your design decisions

- I. Return within a single new element called “Colleagues”, all the last name values in the document separated by a “+” sign.
- II. Return just the values of the “medicalregnumber” attribute in a new element called “RegNumbers”
- III. Return only the first of the firstname for each Doctor in the document

[24 Marks]

[Total 50 Marks]

2017



For information purposes- not included in exam or assignment

XML NAMESPACES & XML SCHEMA



What are XML Schemas?

- W3C Recommendation, Part 0: Primer
 - Part 1: Structures
 - Part 2: Datatypes
- DTDs use a non-XML syntax and have a number of limitations
 - no namespace support
 - lack of data-types
- XML Schemas are an alternative to DTDs
- Supports simple/complex data-types
- https://www.w3schools.com/xml/xml_schema.asp



Why use XML Schemas?

- Uses an XML syntax
- Supports simple and complex data-types such as user-defined types
- An XML document and its contents can be validated against a Schema
- Can validate documents containing multiple namespaces
- Schemas are more powerful than DTDs and will eventually replace DTDs



Named Types – simple (can contain “only text”)

DTD

```
<!ELEMENT birthday(#PCDATA)>
```

XML Schema

```
<xsd:element name=" birthday" type="xsd:date"/>
```

XML doc. Instance

```
<birthday>01 March 2001</birthday>
```

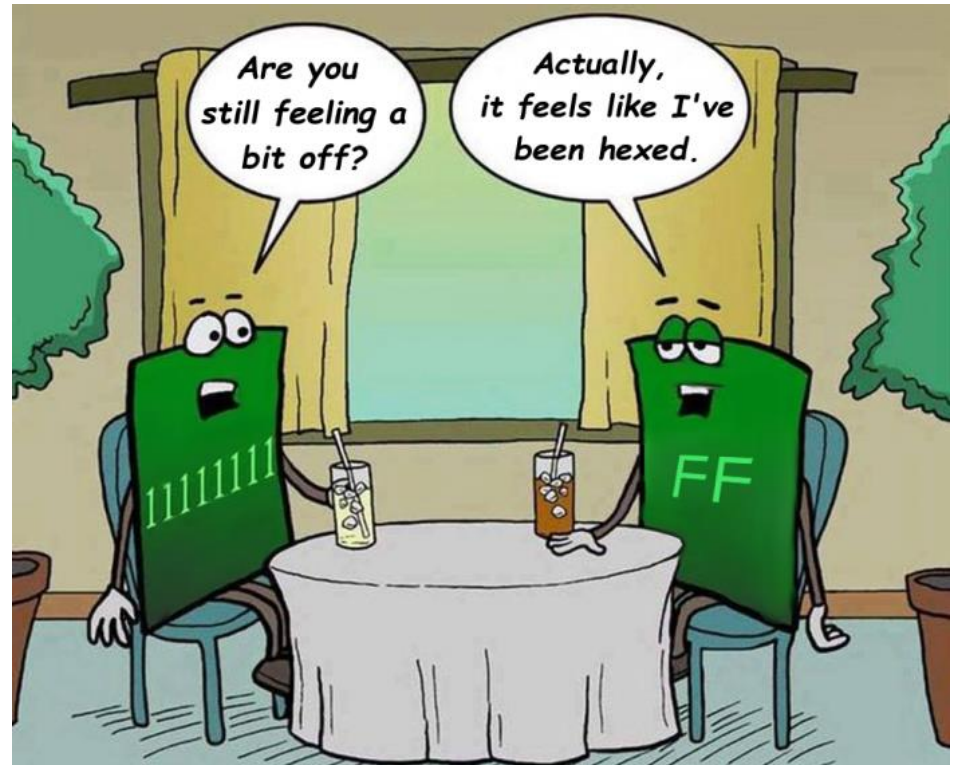


Summary

- XML Vocabularies are defined using
 - DTD
 - XSD
- DTDs/XSDs used to validate XML documents
- XSD – more powerful than DTDs
 - Supports simple and complex data-types such as user-defined types
 - Can validate documents containing multiple namespaces



**That's All
Folks
Thank You
for
Listening**



For information purposes- not included in exam or assignment

XML NAMESPACES & XML SCHEMA



Named Types – simple (can contain “only text”)

DTD

```
<!ELEMENT birthday(#PCDATA)>
```

XML Schema

```
<xsd:element name=" birthday" type="xsd:date"/>
```

XML doc. Instance

```
<birthday>01 March 2001</birthday>
```



Named Types – complex(can contain elements and attributes)

DTD

```
<!ELEMENT student_name (firstname, lastname)>
```

XML Schema

```
<xsd:complexType name="namePerson">
  <xsd:sequence>
    <xsd:element name="firstname" type="xsd:string"/>
    <xsd:element name="lastname" type="xsd:string"/>
  </xsd:sequence>
</xsd:complexType>
<xsd:element name="student_name" type="namePerson"/>
```

XML doc. Instance

```
<student_name>
  <firstname>Michael</firstname>
  <lastname>Porter</lastname>
</student_name>
```



Simple Type - Restriction

XML Schema

```
<simpleType name='celsiusBodyTemp'>
  <restriction base='decimal'>
    <totalDigits value='4' />
    <fractionDigits value='1' />
    <minInclusive value='36.4' />
    <maxInclusive value='40.5' />
  </restriction>
</simpleType>
<xsd:element name="temp" type="celsiusBodyTemp" />
```

XML doc. Instance

```
<temp>37.2</temp>
```



Simple Type - Enumeration

XML Schema

```
<xsd:simpleType name="weekday">
  <xsd:restriction base="xsd:string">
    <xsd:enumeration value="Sunday"/>
    <xsd:enumeration value="Monday"/>
    <xsd:enumeration value="Tuesday"/>
    [...]
  </xsd:restriction>
</xsd:simpleType>
<xsd:element name="delivery" type="weekday"/>
```

XML doc. Instance

```
<delivery>Tuesday</delivery>
```



Complex Type - Cardinalities

DTD

```
<!ENTITY % fullname "title?, firstname*, lastname">
<!ELEMENT student_name (%fullname;)>
```

XML Schema

```
<xsd:complexType name="fullname">
  <xsd:sequence>
    <xsd:element name="title" minOccurs="0"/>
    <xsd:element name="firstname" minOccurs="0"
      maxOccurs="unbounded"/>
    <xsd:element name="lastname"/>
  </xsd:sequence>
</xsd:complexType>
<xsd:element name="student_name" type="fullname"/>
```

XML doc. Instance

```
<student_name>
  <firstname>Michael</firstname>
  <firstname>Jason</firstname>
  <lastname>Porter</lastname>
</student_name>
```



Complex Type – Derived Type by extension

DTD

```
<!ENTITY % name "title?, firstname*, lastname">
<!ELEMENT student_name (%name;, maidenname?)>
```

XML Schema

```
<xsd:complexType name="fullnameExt">
  <xsd:complexContent>
    <xsd:extension base="fullname">
      <xsd:sequence>
        <xsd:element name="maidenname" minOccurs="0"/>
      </xsd:sequence>
    </xsd:extension>
  </xsd:complexContent>
</xsd:complexType>
<xsd:element name="student_name" type="fullnameExt"/>
```

XML doc. Instance

```
<student_name>
  <firstname>Jane</firstname>
  <lastname>Porter</lastname>
  <maidenname>Hughes</maidenname>
</student_name>
```



Complex Type – Derived Type by Restriction

XML Schema

```
<xsd:complexType name="simpleName">
  <xsd:complexContent>
    <xsd:restriction base="fullname">
      <xsd:sequence>
        <xsd:element name="title" maxOccurs="0"/>
        <xsd:element name="firstname" minOccurs="1"/>
        <xsd:element name="lastname"/>
      </xsd:sequence>
    </xsd:restriction>
  </xsd:complexContent>
</xsd:complexType>
```

XML doc. Instance

```
<name>
  <firstname>Jane</firstname>
  <lastname>Porter</lastname>
</name>
```



Structure - Sequence

DTD

```
<!ELEMENT student_name (title?, firstname*, lastname)>
```

XML Schema

```
<xsd:complexType name="fullname">
  <xsd:sequence>
    <xsd:element name="title" minOccurs="0"/>
    <xsd:element name="firstname" minOccurs="0"
      maxOccurs="unbounded"/>
    <xsd:element name="lastname"/>
  </xsd:sequence>
</xsd:complexType>
<xsd:element name="student_name" type="fullname"/>
```

XML doc. Instance

```
<student_name>
  <firstname>Michael</firstname>
  <firstname>Jason</firstname>
  <lastname>Porter</lastname>
</student_name>
```



Structure - Choice

DTD

```
<!ELEMENT pay (product, number, (cash | cheque))>
```

XML Schema

```
<xsd:complexType name="payment">
  <xsd:sequence>
    <xsd:element ref="product"/>
    <xsd:element ref="number"/>
    <xsd:choice>
      <xsd:element ref="cash"/>
      <xsd:element ref="cheque"/>
    </xsd:choice>
  </xsd:sequence>
</xsd:complexType>
<xsd:element name="pay" type="payment"/>
```

XML doc. Inst.

```
<pay>
  <product>Ericsson Telefon MD110</product>
  <number>1544-198-J</number>
  <cash>EUR150</cash>
</pay>
```



Attributes-

DTD

```
<!ELEMENT greeting (#PCDATA)>  
<!ATTLIST greeting language CDATA "English">
```

XML Schema

```
<xsd:element name="greeting">  
  <xsd:complexType>  
    <xsd:simpleContent>  
      <xsd:extension base="xsd:string">  
        <xsd:attribute name="language" type="xsd:string"/>  
      </xsd:extension>  
    </xsd:simpleContent>  
  </xsd:complexType>  
</xsd:element>
```

XML doc. Instance

```
<greeting language="German">Hello!</greeting>
```



Attribute Groups

DTD

```
<!ELEMENT img EMPTY>
<!ATTLIST img src CDATA #REQUIRED
             width CDATA #IMPLIED
             height CDATA #IMPLIED>
```

XML Schema

```
<xsd:attributeGroup name="imgAttributes">
  <xsd:attribute name="src" type="xsd:string" use="required"/>
  <xsd:attribute name="width" type="xsd:integer"/>
  <xsd:attribute name="height" type="xsd:integer"/>
</xsd:attributeGroup>

<xsd:element name="img">
  <xsd:complexType>
    <xsd:attributeGroup ref="imgAttributes"/>
  <xsd:complexType>
</xsd:element>
```

XML Inst.

```

```



Mixed Content

DTD

```
<!ELEMENT p (#PCDATA | b | i)*>  
<!ELEMENT b (#PCDATA)>
```

XML Schema

```
<xsd:complexType name="bolditalicText" mixed="true">  
  <xsd:choice minOccurs="0" maxOccurs="unbounded"/>  
    <xsd:element ref="b" />  
    <xsd:element ref="i" />  
  </xsd:choice>  
</xsd:complexType>  
  
<xsd:element name="p" type="bolditalicText"/>
```

XML doc. Instance

```
<p>This is <b>bold</b> and <i>italic</i> text</p>
```



Empty Element

DTD

```
<!ELEMENT img EMPTY>  
<!ATTLIST src CDATA #REQUIRED>
```

XML Schema

```
<xsd:element name="img">  
  <xsd:complexType>  
    <xsd:attribute name="src" type="xsd:string"/>  
  </xsd:complexType>  
</xsd:element>
```

XML doc. Instance

```

```



XML Schema Example

```
<?xml version="1.0" encoding="utf-8"?>

<xsd:schema xmlns:xsd="http://www.w3.org/2000/10/XMLSchema">
  <xsd:element name="book">

    <xsd:complexType>
      <xsd:sequence>
        <xsd:element name="title" type="xsd:string"/>
        <xsd:element name="author" type="xsd:string"/>
        <xsd:element name="character" type="xsd:string"
          minOccurs="0" maxOccurs="unbounded">
          </xsd:element>
        </xsd:sequence>

        <xsd:attribute name="isbn" type="xsd:string"/>
      </xsd:complexType>

    </xsd:element>
  </xsd:schema>
```



Named Types – complex(can contain elements and attributes)

DTD

```
<!ELEMENT student_name (firstname, lastname)>
```

XML Schema

```
<xsd:complexType name="namePerson">
  <xsd:sequence>
    <xsd:element name="firstname" type="xsd:string"/>
    <xsd:element name="lastname" type="xsd:string"/>
  </xsd:sequence>
</xsd:complexType>
<xsd:element name="student_name" type="namePerson"/>
```

XML doc. Instance

```
<student_name>
  <firstname>Michael</firstname>
  <lastname>Porter</lastname>
</student_name>
```



Simple Type - Restriction

XML Schema

```
<simpleType name='celsiusBodyTemp'>
  <restriction base='decimal'>
    <totalDigits value='4' />
    <fractionDigits value='1' />
    <minInclusive value='36.4' />
    <maxInclusive value='40.5' />
  </restriction>
</simpleType>
<xsd:element name="temp" type="celsiusBodyTemp" />
```

XML doc. Instance

```
<temp>37.2</temp>
```



Simple Type - Enumeration

XML Schema

```
<xsd:simpleType name="weekday">
  <xsd:restriction base="xsd:string">
    <xsd:enumeration value="Sunday"/>
    <xsd:enumeration value="Monday"/>
    <xsd:enumeration value="Tuesday"/>
    [...]
  </xsd:restriction>
</xsd:simpleType>
<xsd:element name="delivery" type="weekday"/>
```

XML doc. Instance

```
<delivery>Tuesday</delivery>
```



Complex Type - Cardinalities

DTD

```
<!ENTITY % fullname "title?, firstname*, lastname">
<!ELEMENT student_name (%fullname;)>
```

XML Schema

```
<xsd:complexType name="fullname">
  <xsd:sequence>
    <xsd:element name="title" minOccurs="0"/>
    <xsd:element name="firstname" minOccurs="0"
      maxOccurs="unbounded"/>
    <xsd:element name="lastname"/>
  </xsd:sequence>
</xsd:complexType>
<xsd:element name="student_name" type="fullname"/>
```

XML doc. Instance

```
<student_name>
  <firstname>Michael</firstname>
  <firstname>Jason</firstname>
  <lastname>Porter</lastname>
</student_name>
```



Complex Type – Derived Type by extension

DTD

```
<!ENTITY % name "title?, firstname*, lastname">
<!ELEMENT student_name (%name;, maidenname?)>
```

XML Schema

```
<xsd:complexType name="fullnameExt">
  <xsd:complexContent>
    <xsd:extension base="fullname">
      <xsd:sequence>
        <xsd:element name="maidenname" minOccurs="0"/>
      </xsd:sequence>
    </xsd:extension>
  </xsd:complexContent>
</xsd:complexType>
<xsd:element name="student_name" type="fullnameExt"/>
```

XML doc. Instance

```
<student_name>
  <firstname>Jane</firstname>
  <lastname>Porter</lastname>
  <maidenname>Hughes</maidenname>
</student_name>
```



Complex Type – Derived Type by Restriction

XML Schema

```
<xsd:complexType name="simpleName">
  <xsd:complexContent>
    <xsd:restriction base="fullname">
      <xsd:sequence>
        <xsd:element name="title" maxOccurs="0"/>
        <xsd:element name="firstname" minOccurs="1"/>
        <xsd:element name="lastname"/>
      </xsd:sequence>
    </xsd:restriction>
  </xsd:complexContent>
</xsd:complexType>
```

XML doc. Instance

```
<name>
  <firstname>Jane</firstname>
  <lastname>Porter</lastname>
</name>
```



Structure - Sequence

DTD

```
<!ELEMENT student_name (title?, firstname*, lastname)>
```

XML Schema

```
<xsd:complexType name="fullname">
  <xsd:sequence>
    <xsd:element name="title" minOccurs="0"/>
    <xsd:element name="firstname" minOccurs="0"
      maxOccurs="unbounded"/>
    <xsd:element name="lastname"/>
  </xsd:sequence>
</xsd:complexType>
<xsd:element name="student_name" type="fullname"/>
```

XML doc. Instance

```
<student_name>
  <firstname>Michael</firstname>
  <firstname>Jason</firstname>
  <lastname>Porter</lastname>
</student_name>
```



Structure - Choice

DTD

```
<!ELEMENT pay (product, number, (cash | cheque))>
```

XML Schema

```
<xsd:complexType name="payment">
  <xsd:sequence>
    <xsd:element ref="product"/>
    <xsd:element ref="number"/>
    <xsd:choice>
      <xsd:element ref="cash"/>
      <xsd:element ref="cheque"/>
    </xsd:choice>
  </xsd:sequence>
</xsd:complexType>
<xsd:element name="pay" type="payment"/>
```

XML doc. Inst.

```
<pay>
  <product>Ericsson Telefon MD110</product>
  <number>1544-198-J</number>
  <cash>EUR150</cash>
</pay>
```



Attributes-

DTD

```
<!ELEMENT greeting (#PCDATA)>  
<!ATTLIST greeting language CDATA "English">
```

XML Schema

```
<xsd:element name="greeting">  
  <xsd:complexType>  
    <xsd:simpleContent>  
      <xsd:extension base="xsd:string">  
        <xsd:attribute name="language" type="xsd:string"/>  
      </xsd:extension>  
    </xsd:simpleContent>  
  </xsd:complexType>  
</xsd:element>
```

XML doc. Instance

```
<greeting language="German">Hello!</greeting>
```



Attribute Groups

DTD

```
<!ELEMENT img EMPTY>
<!--ATTLIST img src CDATA #REQUIRED
      width CDATA #IMPLIED
      height CDATA #IMPLIED-->
```

XML Schema

```
<xsd:attributeGroup name="imgAttributes">
  <xsd:attribute name="src" type="xsd:string" use="required"/>
  <xsd:attribute name="width" type="xsd:integer"/>
  <xsd:attribute name="height" type="xsd:integer"/>
</xsd:attributeGroup>

<xsd:element name="img">
  <xsd:complexType>
    <xsd:attributeGroup ref="imgAttributes"/>
  </xsd:complexType>
</xsd:element>
```

XML Inst.

```

```



Mixed Content

DTD

```
<!ELEMENT p (#PCDATA | b | i)*>  
<!ELEMENT b (#PCDATA)>
```

XML Schema

```
<xsd:complexType name="bolditalicText" mixed="true">  
  <xsd:choice minOccurs="0" maxOccurs="unbounded"/>  
    <xsd:element ref="b" />  
    <xsd:element ref="i" />  
  </xsd:choice>  
</xsd:complexType>  
  
<xsd:element name="p" type="bolditalicText"/>
```

XML doc. Instance

```
<p>This is <b>bold</b> and <i>italic</i> text</p>
```



Empty Element

DTD

```
<!ELEMENT img EMPTY>  
<!ATTLIST src CDATA #REQUIRED>
```

XML Schema

```
<xsd:element name="img">  
  <xsd:complexType>  
    <xsd:attribute name="src" type="xsd:string"/>  
  </xsd:complexType>  
</xsd:element>
```

XML doc. Instance

```

```



XML Schema Example

```
<?xml version="1.0" encoding="utf-8"?>

<xsd:schema xmlns:xsd="http://www.w3.org/2000/10/XMLSchema">
  <xsd:element name="book">

    <xsd:complexType>
      <xsd:sequence>
        <xsd:element name="title" type="xsd:string"/>
        <xsd:element name="author" type="xsd:string"/>
        <xsd:element name="character" type="xsd:string"
          minOccurs="0" maxOccurs="unbounded">
          </xsd:element>
        </xsd:sequence>

        <xsd:attribute name="isbn" type="xsd:string"/>
      </xsd:complexType>

    </xsd:element>
  </xsd:schema>
```

