

# Optimization of Assignments for Teaching Assistants at UW

Chongyi Xu, Weifan Jiang  
University of Washington  
MATH 381

chongyix@uw.edu

wfjiang@uw.edu

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## Abstract

For this project, we are focusing on developing a method to assign teaching-assistant candidates to different courses. Assigning candidates to their preferred teaching positions is important to course coordinators at UW for a long time. Our purpose is to recommend a method of teaching-assistant assignments to solve this problem. We used simulated data to compare different methods that we would use in the project. View <https://github.com/weifanjiang/AssignTA> for our repository.

**Keywords**— Mathematical Model; Algorithm; Maximum Matching; Hungarian; Stable Marriage

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## 1 Problem Description

The assignment of different teaching positions is a complicated task. The word "teaching position" includes teaching assistants, graders and instructors here at UW. Each type of position has its unique qualifications and requirements. Some positions require teaching, while others do not. Most students are deemed to be certified to teach. Those whose first language is not English must pass the SPEAK test to be certified. The position qualifications do not only appear in different roles but also in different courses. For instance, the instructor positions would mostly be restricted to graduate students or faculty. Meanwhile, the teaching assistant positions could open to both undergraduate students and graduate students.

The UW introduction courses to programming, such as CSE 142(Computer Programming I), are always popular. There are over 700 students registered for around 50 sections each quarter. On the other hand, there are also tiny-sized courses that designed for under 10 students. Therefore, the assignments of teaching positions must satisfy the requirements for every single course each quarter such that everyone who registered for the course could have equal opportunity and fairly distributed teaching resources.

During the process of assignments, the course coordinators, who are in charge of assigning student candidates to appropriate roles, must consider the preference lists submitted by the candidates. Taking the example of UW CSE TA application form, as the candidates apply for CSE TA positions, they need to choose their preferences ("prefer not" or "neutral" or "prefer") for 12 distinct categories:

- AI and Robotics
- Architecture
- Computational Biology
- Databases, Information Retrieval
- Graphics, Vision, Animation
- Hardware
- Human-Computer Interaction
- Introductory (CSE100,14x, 190)
- Languages, Compilers, Software Engineering
- Systems, Networks
- Theory
- Uncategorize

Following the information provided by CSE department, they initialize the preference value for each course that candidates prefer, are neutral to, or prefer not to TA to 0.8, 0.5, and 0.2, respectively. This helps "push" candidates assignment towards courses in ar-

as they prefer and away from courses in areas they do not prefer. Without choosing preferences directly, candidates could establish their course preferences as well. If candidates choose to make up their own list, they would be asked to fill in a numerical number between 0.0 and 1.0 that represents their preference to teach each course that they are certified to TA. Besides preferences from the candidates side, instructors preferences should also be considered. Instructors would be asked to fill in a form of preferred students.

Our motivation for the project is from the interview with undergraduate TAs and graduate TAs about their teaching experience in early quarters. (Hongtao Huang, hongth@cs.washington.edu, undergraduate teaching assistant at CSE; Tejas Devanur, tdevanur@uw.edu, graduate teaching fellow at Math Department) They noticed that many times, even though they self-report their preferences, they got assigned to a course which indicated as "less-preferred". Therefore, we would like to recommend a method that assigns candidates to courses, in such way that respects the following considerations:

1. Each candidate must be assigned to at most one course.
2. Each course must be assigned an appropriate number of candidates.
3. Each candidate must be assigned only to the courses for which they are qualified.
4. Both candidates' and professors' preferences will be satisfied as much as possible.

## 2 Simplification

In order to determine the best assignment that satisfy the above constraints, we will consider two things: what information is needed to find the optimal solution, and what assumption we need to make.

### 2.1 Input

Below are the information needed to find the optimal solution:

- Preference of candidates for each role (grader, teaching assistant, instructor) for each course as

numerical values between 0.0 and 1.0 where 0.0 indicates minimal preference and 1.0 indicates maximal preference.

- Qualification for each role for each course, which could be represented as an indicating matrix, where 1 entry indicates qualified for the role and 0 indicates not qualified.
- Preference of courses for each candidate to each role, which should also be a numerical value between 0.0 and 1.0 (larger indicates higher preference level).
- Capacity for each course (number of candidates needed for each role of any course, each course may differ).

### 2.2 Assumption

There were some assumptions we considered about in the draft phase

1. The quantifications were interpreted as qualifications. In other words, we would consider candidates' teaching experiences and GPA as components of qualifications instead of quantifications. For instance, a no-teaching-experience candidate with GPA less than 3.7 would not consider to be qualified for the course he or she was applying for.
2. Student candidates do not care about any factors other than their preferences, such as payment and work time.
3. Candidate's time conflict with other courses they are taking is considered within qualifications.
4. Student candidates will apply to all courses that they are qualified for. For those they are not interested in, they will give a low preference.
5. All candidates are legally registered UW students.

For this project, we will ignore the need of other roles, and only focus on teaching assistant role.

## 3 Mathematical Model

Let  $X = x_1, \dots, x_m$  represent  $m$  student candidates, let  $Y = y_1, \dots, y_n$  represent  $n$  courses.

Let  $c_j$  represent the number of teaching assistants required for course  $y_j$  for  $1 \leq j \leq n$ .  
Let

$$q_{ij} = \begin{cases} 1, & \text{if } x_i \text{ is qualified to teach } y_j \\ 0, & \text{otherwise} \end{cases}$$

The goal is to produce an assignment of candidates to courses, which should significantly consider the preference level of courses and candidates to each other. An assignment can be represented as

$$a_{ij} = \begin{cases} 1, & \text{if } x_i \text{ is assigned to } y_j \\ 0, & \text{otherwise} \end{cases},$$

subject to the following hard constraints:

1. Each candidate must be assigned to at most one course:

$$\forall x_i \in X, \sum_{j=1}^n a_{ij} = 1.$$

2. Each course must be assigned an appropriate number of candidates:

$$\forall y_j \in Y, \sum_{i=1}^m a_{ij} = c_j.$$

3. Each candidate must be assigned only to the courses for which they are qualified:

$$\forall x_j \in X, q_{ij} \geq a_{ij} \forall y_j \in Y.$$

## 4 Solution of the Mathematical Problem

### 4.1 Stable Marriage Algorithm

In the field of computer science and mathematics, the stable match problem or stable marriage problem states that given  $N$  men and  $N$  women, where each person has ranked all members of the opposite sex in order of preference, marry the men and women together such that there are no 2 people of opposite sex who would both rather have each other than their current partners. If there are no such people, all the

marriages are "stable".

In 1962, D. Gale and L. S. Shapley, proved that, for any equal number of men and women, it is guaranteed that there is a stable matching. In their paper "College Admission and the Stability of Marriage", they defined the stability as following, an assignment of applications to colleges will be called unstable if there are two applicants  $\alpha$  and  $\beta$  who are assigned to colleges A and B, respectively, although  $\beta$  prefers A to B and A prefers  $\beta$  to  $\alpha$ . They considered a stable assignment to be optimal if every applicant is at least as well off under it as under any other stable assignments.

```
*Gale-Shapley Algorithm*
INPUT: preference list for men and
women
INITIALIZE matching set S to an empty
set
WHILE (some woman w in W is still
unmatched and hasn't proposed
to every man in M)
m <- first man on w's preference
list to whom w has not yet
proposed
IF (m is unmatched)
ADD pair (m, w) to S
ELSE IF (m prefers w to existing
pair w')
REPLACE (m, w') with (m, w)
FREE w'
ELSE
w REJECT m
RETURN: matching S
```

In this project, we have to slightly modify the algorithm in order to achieve our goal. Since each course may have need of more than one candidate to be assigned, such changes will be made to the original Gale-Shapley Algorithm:

1. During each round of proposing, a currently unmatched candidate proposes to his/her top-choice course which he/she has not proposed to yet.
2. After candidates finish proposing to courses, each course takes the new proposers, put them into the same "set" with other candidates that are already

matched with this course, to form a "temporary" waitlist.

3. If the waitlist's length exceeds the course capacity, the waitlist will be sorted by course's preference to waitlist's members, and only the top  $k$  ones will be kept, with  $k$  being the capacity of that course.
4. The algorithm terminates when there are no unmatched candidates or all candidates have proposed to all courses.

## 4.2 Hungarian Algorithm

The Hungarian Algorithm is a combinatorial optimization algorithm that solves the assignment problem in polynomial time. It was developed and published in 1955 by Harold Kuhn, who gave "Hungarian Algorithm" its name according to the previous works of two Hungarian mathematicians.

```
*Hungarian Algorithm*
INPUT: n*n cost matrix A
FOR EACH (row R_A in A)
    SUBTRACT min(R_A) from R_A
FOR EACH (column C_A in A)
    SUBTRACT min(C_A) from C_A
LABEL appropriate entries so that all
    zero entries are covered and
    minimum number of labels are
    used
IF (# labels = n)
    RETURN: labels as assignment
ELSE:
    SUBTRACT min(A) from unlabeled
        R_A
    ADD min(A) to unlabeled C_A
    REPEAT from LABEL
```

For this problem, the original Hungarian Algorithm has to be modified to be compatible with this problem:

1. The result of this problem is a many-to-one matching (multiple candidates assigned to one course). In order to convert the problem to one-to-one matching, we will be splitting each course into slots (for example, if course A requires 5 candidates to be assigned, we will have 5 "slots"

from A1 to A5, to corresponds to the 5 candidates wanted by A).

2. Hungarian Algorithm also needs to run on a square matrix. We assume that there will always be more candidates than slots (if not, the department will need to advertise more to get more student apply as candidate). Thus, we can add "dummy" slots to make number of candidates and number of slots equal to each other. If a candidate matches to one of the dummy slots, this candidate is unselected for the row of teaching assistant.
3. A "cost matrix" needs to be constructed for Hungarian Algorithm, and optimal solution (which is the output of Hungarian Algorithm) has minimized total cost. We let the row of cost matrix to be candidates, and column be the slots. Therefore, the value of  $(i,j)$  should be:
  - if  $j$  is a "dummy" slot, then  $(i,j)$  should be 0 regardless of  $i$ . We need all "dummy" slots to have the same value, so the optimality if all "non-dummy" matches are not influenced by the dummy variables.
  - if  $i$  is not qualified to teach  $j$ , the value of  $(i,j)$  should be infinity, therefore the Hungarian algorithm will avoid large cost and not choosing the unqualified entry. If the output assignment's cost is infinity, it indicates that no possible matching is available.
  - If  $i$  qualified to teach  $j$ , the  $(i,j)$  entry should be  $2 - i$ 's preference to  $j - j$ 's preference to  $i$  therefore the cost is smaller if the sum of preference of candidate and course to each other is larger.

After making such modifications, Hungarian's algorithm will output an assignment of candidates to slots, which can be transferred to an assignment of candidates to courses. The sum of preferences to each other for all matched pair of candidates and courses is maximized.

## 4.3 Maximum Matching Algorithm

Consider an undirected graph  $G = (V, E)$ . A matching  $M$  is said to be maximal if  $M$  is not properly contained in any other matching. Formally,  $M \not\subseteq M'$

for any matching  $M'$  of  $G$ . Intuitively, this is equivalent to saying that a matching is maximal if we cannot add any edge to the existing set. And a matching  $M$  is said to be Maximum if for any other matching  $M'$ ,  $|M| \geq |M'|$ . Generally, maximum matching applied to unweighted graph more but for this project, we would like to modify the algorithm with weights in order to meet our propose. With researching, we will implement the method introduced by Zvi Galil, Department of Computer Science, Columbia University, in 1986. In his study "Efficient Algorithms for Maximum Matching in Graphs", he developed this method based on Berge's Theorem, "the matching  $M$  has maximum cardinality if and only if there is no augmenting path with respect to  $M$ ."

Given a graph,  $G = (V, E)$  and a matching  $M \subset E$ , a path  $P$  is called an augmenting path for  $M$  if:

- The two end points of  $P$  are unmatched by  $M$
- The edges of  $P$  alternate between edges  $\in M$  and edges  $\notin M$ .

```
*Maximum Matching*
INPUT: Graph G
M <- random selected matching
WHILE (there is a blossom and there
      is an augmenting path in M)
  GROW the forest, labeling the
    vertices even/odd
  IF (there is a blossom in the
    graph)
    SHRINK the blossom to obtain
      a new graph G'
    CONTINUE foresting
  ELSE
    FIND such even - even edges
      to obtain a maximally
      disjoint set of
      augmenting paths
      (P1,...,Pk)
    M <- switching edges along P's
      from in-to-out of M and
      vice-versa
EXPAND all blossoms to obtain the
  maximum matching in the
  original graph G.
```

In order to fit Maximum Matching algorithm to

our model, we decide to construct a bipartite graph utilizing the data we are working with. The first group of vertices will be implemented as distinct candidates, say, candidate group. And the other group of vertices will be the courses we are assigning to, say, course group. Meanwhile, it has to be considered that for each course in the course group, there is a required number of candidates they are looking for. So we will modify our construction as the following:

- For each course  $y_j \in Y$  in the course group, get the required number of candidates  $c_j$ .
- Construct a vertex  $x_i$  representing course  $y_j$ . And then make  $c_j$  copies of the same vertex denoted as  $y_j^k$ ,  $1 \leq k \leq c_j$ .
- For each candidate  $x_i \in X$ , connect  $x_i$  with all  $y_j^k$  for which  $x_i$  is qualified for.
- Weight the edge with a preference score  $s = \lambda_1 p_{ij} + \lambda_2 p_{ji}$ , where  $\lambda_1$  = the weight of candidates' preferences,  $p_{ij}$  = candidate  $x_i$ 's preference to course  $y_j$ ,  $\lambda_2$  = the weight of courses' preferences,  $p_{ji}$  = course  $y_j$ 's preference to candidate  $x_i$ . And for most cases, we are weighting courses' preferences and candidates' preferences equally. In the other word,  $\lambda_1 = \lambda_2 = 1$ .

**Note:** When we say "courses' preferences", we are basically indicating the list submit by the professor(or instructor) teaching that course, ranking his or her preferences to the candidates who are applying for that course.

## 5 Evaluation of methods

### 5.1 Scoring of assignments

In order to compare and contrast each algorithm discussed above, we will develop a "score function", which takes input of a produced assignment of candidates and courses, and output a numerical score, which higher score indicates better quality of the assignment.

The input of the scoring function should be a serie of binary variables:

$$\sigma_{i,j} = \begin{cases} 1, & \text{if candidate } i \text{ is assigned to course } j \\ 0, & \text{else} \end{cases}$$

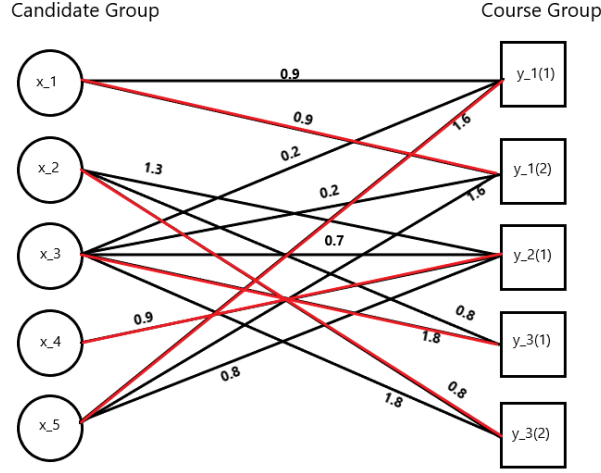


Figure 1: A sample graph including 5 candidates and 3 courses, where the  $y_1$  is looking for 2 candidates,  $y_2$  is looking for only 1 candidate, and  $y_3$  also needs 2 candidates. The edge weights are the linear combination of candidate-to-course preferences and course-to-candidate preferences with a maximum of  $\lambda_1 + \lambda_2$ . If not specified,  $\lambda_1 + \lambda_2 = 2$  in general. Red lines indicate the best assignment generated by Maximum Matching Algorithm.

for each candidate  $i$  and each course  $j$  in the assignment.

Let  $m_{i,j}$  be candidate  $i$ 's preference score to course  $j$ , and  $n_{j,i}$  be course  $j$ 's preference to candidate  $i$ , both  $m$  and  $n$  have value between 0 and 1. The return value of score function should be:

$$\sum_i \sum_j \sigma_{i,j} (\lambda_1 m_{i,j} + \lambda_2 n_{j,i})$$

. Which  $\lambda_1$  and  $\lambda_2$  are different weights we consider course's and candidates's preferences to the other. Currently, we use 1 for both weights to value candidates and courses' opinions equally when scoring an assignment. All invalid assignments which breaks any of rule 1, 2, 3 in the problem description will not receive a score and should not be considered. (Theoretically, each algorithm described above should avoid generating an invalid assignment.)

## 5.2 Additional Metrics

In addition to sum the numerical preferences in the assignment, we also measure the percentage of courses and candidates which has their top-3 requests satisfied.

In more detail, in an assignment, for a matched pair (a, b), which a is candidate and b is course:

- if a's preference score for b is within the top 3 scores of all a's preference scores, we consider b is a "top-3" choice for a. Same logic applied to b when checking if a is a "top-3" choices for a.
- If there are ties: suppose there are five courses: c1, c2, c3, c4, c5, and candidate a's preference score to these courses are: 0.9, 0.8, 0.8, 0.7, 0.6 in order, then the top 3 scores should be: 0.9, 0.8, 0.7. In this case c1, c2, c3, c4 all satisfy as "top-3" choices.

After getting the "top-3" satisfaction count for both candidates and courses, we calculate the satisfaction rate by:

$$\frac{\text{number of matches satisfying "top-3"}}{\text{all matches}} \times 100\%,$$

and "all matches" should be sum of capacity (number of candidates wanted) of all courses.

We will use both the score, and preference satisfaction rate of courses and candidates, to evaluate each method, and determine the best one.

## 6 Results

### 6.1 Simulations

By using Python, we implemented the following functionalities. By inputting a `num_candidate` and a `num_course` parameters, our program can:

1. Generate  $m$  candidates and  $n$  courses, which `num_candidate = m` and `num_course = n`.
2. Generate random preference levels of each candidate for courses, and each course to candidates, which are floats between 0 and 1 inclusively.
3. Generate capacity for each course, which is an integer between 1 and 5 inclusively.
4. Generate qualification for each student for each course, which is an integer either 0 or 1, with 1 indicates being qualified.
5. Run the generated data on one (or all) of the three algorithms mentioned above.

Note: for the stable-marriages method, we coded the algorithm as described in an article from Cornell University; for the Hungarian Algorithm, we constructed the cost matrix as defined in section 4.2, and used the `scipy.optimize.linear_sum_assignment` package which exactly performs the Hungarian Algorithm; for the maximal matching algorithm, we used the `networkx.max_weight_matching` package.

For each set of random data (includes course preference, candidate preference, capacity and qualifications) generated, we will run all three algorithms on it, and record the evaluations (score, preference satisfaction rate for both sides) for each method.

### 6.2 Simulation Result

We first start with a small sample size (50 student candidates and 5 courses) to be assigned. From Figure 2, we can see that Hungarian Algorithm and Maximum Matching Algorithm perform extremely well on assigning candidates to one of their top 3 choices with a mean satisfaction rate of  $\approx 99.5\%$ . Stable Marriage Algorithm is also acceptable with a mean of 80.58% satisfaction rate. Considering the professors' satisfaction rate, Stable Marriage give us the best result

Candidate	Course 2	Course 0	Course 1
Candidate 0	0.5	0.4	0.1
Candidate 1	0.3	0.4	1.0
Candidate 2	0.4	0.1	0.7
Candidate 3	1	0.8	0.6
Candidate 4	0.9	0.4	0.5
Candidate 5	0.3	0.8	0.9
Candidate 6	-	0.1	0.4
Candidate 7	0.3	-	0.9
Candidate 8	0.5	0	0.4
Candidate 9	0.1	0.7	0.2

Table 1: Sample Input Data for Candidates' Preference with Qualification, - indicates unqualified

Course	Capacity	Candidate 9	...
Course 0	4	0.1	...
Course 1	1	0.9	...
Course 2	4	0.6	...

Table 2: Sample Input Data for Professors' Preference with Course Capacity

with a mean of 99.49% satisfaction. And Hungarian Algorithm produced assignment with an average of  $\approx 81\%$  top-3 satisfied to professors, as well as Maximum Matching Algorithm. And for the histogram of score, we can see an interesting phenomenon that the distributions of Hungarian Algorithm and Maximum Matching Algorithm are exactly the same. Is that an edge case due to small sample size? We then run the simulations with a larger size (200 candidates and 15 courses).

From Figure 3, we can see that the distribution of satisfaction rates becomes more dense, which is reasonable because that with larger data set, the model we built should have a better stability. And it is surprising that Hungarian Algorithm and Maximum Matching Algorithm perform better on satisfying professors' preferences. However, the candidate satisfaction rate evaluated from Stable Marriage Algorithm is worse than the rate we got from smaller candidate-course size. Furthermore, we find that the score distributions of Hungarian Algorithm and Maximum Matching Algorithm are still the same.

Finally, we tested our model using relatively realistic sizes of candidates and courses (300 candidates 50

course2:	candidate2	candidate0	candidate3	candidate7
course0:	candidate9	candidate5	candidate6	candidate4
course1:	candidate1			
Score for assignment: 9.6				
Percentage of courses get top 3 choice of candidates: 55.56				
Percentage of candidates get top 3 choice of courses: 100.0				

Table 3: Sample Output Assignment using Maximum Matching with Sample Evaluation

courses). We can see that Stable Marriage Algorithm gets a lower satisfaction rate for candidates to around 60%, which is not ideal. However, the other two algorithms still give back a high-stand performance that we are looking for. And considering professors' preferences, all three algorithms have 100% satisfaction rate. Our algorithms really make professors happy! Focusing on the distribution, Stable Marriage Algorithm has a more denser distribution that is close to 100% rather than other two. In fact, Maximum Matching Algorithm also lies on 100% satisfaction rate for professors for approximately 80 trails over 100 trails of simulation.

## 7 Conclusion

It is found from all sample sizes that Maximum Matching Algorithm and Hungarian Algorithm have an exactly like distribution of score values in the Monte Carlo simulation process with trail number  $n = 100$ . In Maximum Matching Algorithm, the "score" is  $s = \lambda_1 p_{ij} + \lambda_2 p_{ji}$  which weights edges connecting vertices from candidates group to courses group. In Hungarian Algorithm, the "score" is the entries in the cost matrix which we defined as  $2 - i$ 's preference to  $j - j$ 's preference to  $i$ . And we were minimizing the cost. Therefore, they are optimizing the same score function but we implemented the score function differently to fit the algorithms. So a convincing reason to the phenomena is that both Maximum Matching Algorithm and Hungarian Algorithm were trying to build their assignments to maximize the same score function.

In addition, comparing the performances for different algorithms under tested sizes of candidates and courses, it could be safe to conclude that both Hungarian Algorithm and Maximum Matching Algorithm performs well in satisfying student candi-

dates' top-3 preferences. And with the current input method (weighting professors' preferences and candidates' preferences equally), it is more likely that Stable Marriage Algorithm will give a best result to satisfy professors' preferences for any data size. Meanwhile, as the size of data increases, Hungarian Algorithm and Maximum Matching Algorithm will produce better assignments that also could meet professors' top-3 preferences with a mean of 100% probability.

Moreover, with the current input and evaluation method, Hungarian Algorithm and Maximum Matching will both have optimized score with the exact same value. On the other hand, the assignment score computed using Stable Marriage Algorithm is slightly less than the score retrieved from the other two methods but not far below.

Also, it is necessary to consider the runtime. From our tested trails, we found that in general, Maximum Matching Algorithm always finished up with the least runtime. Therefore, consider all factors we are interested in, we would like to give our suggestion that if our community partners are looking for an algorithm which could both consider the preferences of professors and student candidates equally and can perform stably even with large data set with less runtime, we would like to suggest the Maximum Matching Algorithm we have developed in this paper.

## 8 Improvements

We are currently only focusing on teaching assistant positions, but the real world problem includes more various teaching positions such as graders and instructors. We would also like to consider various positions for further studies.

Currently we value course's preference to candidates and candidates' preference to courses equally



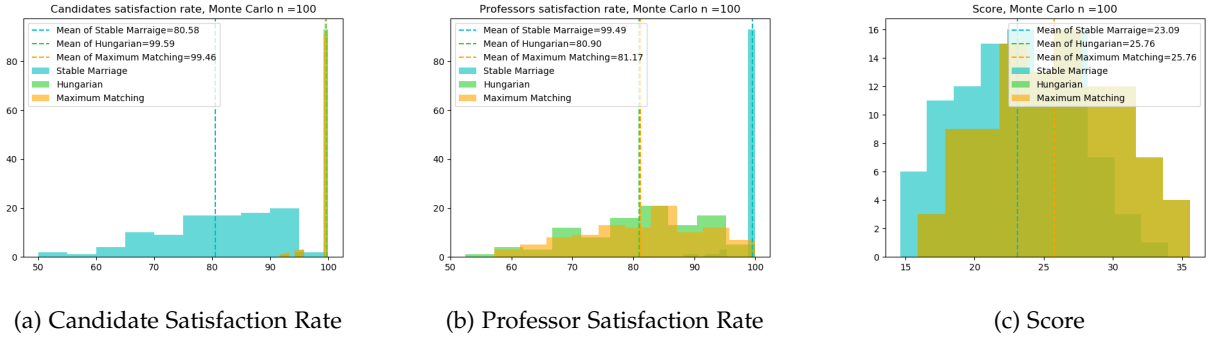


Figure 2: Histograms with 50 candidates and 5 courses

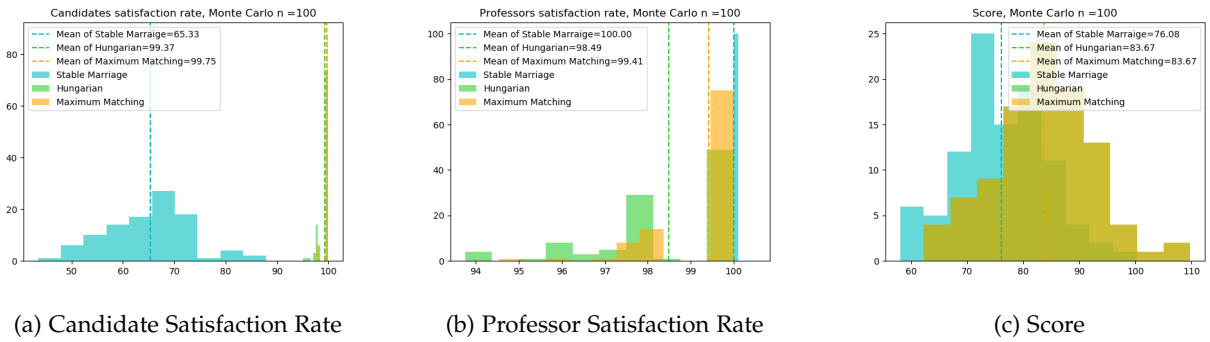


Figure 3: Histograms with 200 candidates and 15 courses

likely. We will test additional weights of them. This can be achieved by

- Changing the direction of proposing in Stable Marriage Algorithm
- Adjusting computation of the cost matrix in Hungarian Algorithm
- Changing the  $\lambda_1$  and  $\lambda_2$  while weighting the edge in Maximum Matching Algorithm

We want to know the real-world implications if different weights are used.

Another point to improve our method is to find out some other constraints to let our model fit the real world problem more. For example, we would like to construct quantification inputs instead of "qualified" or "not-qualified". Past teaching experience and English proficiency level should also contribute to a candidate's chance of being selected.

Besides, if our community partners are willing to take our suggestion by chance, we would modify our

input method from randomly generating to construct our data map using given input data such as comma-separated-value files (.csv) and SQL or NoSQL tables.

And most importantly, our analysis of results is based on randomly generated data (such as each candidate's preference score is generated uniformly between 0.0 to 1.0). In real world, the actual distribution of preference scores may not be uniformly distributed. The course capacities also may not necessarily be uniformly distributed between 1 and 5. If we can get real-world data, we can generate more real-world like data distributions. It will be better if we can perform our model on the past data from one quarter, and compare our result with the actual assignment.

In such way, I think our model could be more realistic and might be more acceptable to our community partners.

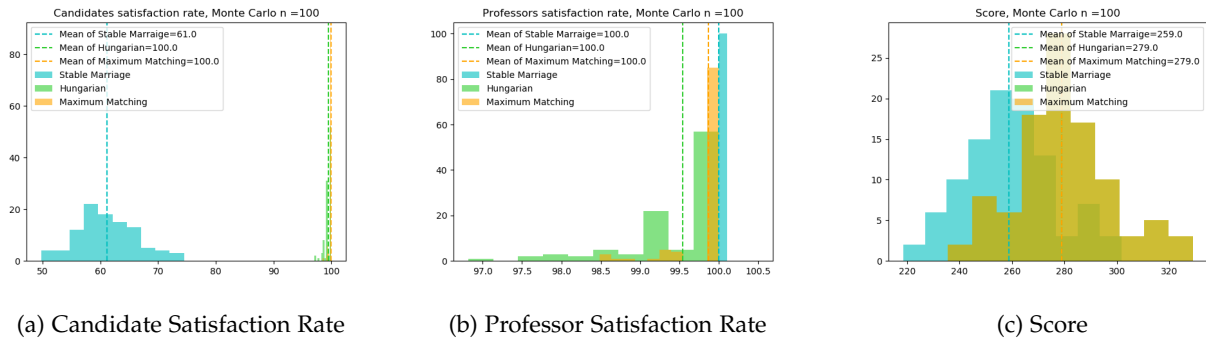


Figure 4: Histograms with 300 candidates and 50 courses

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