

CS515 - Algorithms & Data Structures

Notes

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1 Divide and Conquer

1.1 Pattern

There are 3 strategies:

A. Simply divide input to 2 equal parts, then recurse on each side and combine the solutions (merge sort, binary search).

B. **Find a clever way** to reduce the number of subproblems so that we can bypass some of the subproblems. For example, in binary search, at each iteration, we only consider a half of the problem and circumvent the other half. This helps save lots of time.

C. **Find something useful** for dividing the input. For example, quick sort relies on

1.2 Proof Skeleton

1.3 Common Recurrence

Merge sort:

$$T(n) = 2T(n/2) + O(n) = O(n \log n)$$

Integer Multiplication:

$$T(n) = 3T\left(\frac{n}{2}\right) + O(n) = O(n^{\log_2 3}) = O(n^{1.59})$$

Median Selection:

$$T(n) = T\left(\frac{n}{5}\right) + T\left(\frac{7n}{10}\right) + O(n) = T\left(\frac{9n}{10}\right) + O(n) = O(n)$$

2 Dynamic Programming

2.1 Example Problems

Problem 1

Longest Increasing Sequence: Given an array of integers, find the longest increasing sequence.

Example 1: $LIS([6, 3, 9, 12, 4, 7, 10, 3]) = len([3, 4, 7, 10]) = 4$

Example 2: $LIS([7, 8, 9, 2, 3]) = len([7, 8, 9]) = 3$

Recursive Formulation

$$LIS(k) = \begin{cases} 0 & k < 0 \\ 1 & k = 0 \\ \max() & \text{otherwise} \end{cases}$$

Proof/Explanation

For simplicity, in this section, let $LSA(k)$ denote the subarray of interest only, but not its prefix. This problem's optimal substructure property can be described as follows. The optimal solution to the problem with $A[k : n]$ can be found based on the optimal solution to the problem with $A[k+1 : n]$. In particular, assume that we know the optimal solution to the problem of $A[k+1 : n]$, adding $A[k]$ to the problem results in two new candidate largest subarrays. First, $A[k]$ can be combined with $LSA(k+1)$ to create a new solution. Note that this comes with the cost of the sum of the prefix of $LSA(k+1)$ because the new solution has to be contiguous. The second candidate is $A[k]$ itself. $LSA(k)$ has the largest sum among these two new candidates and $LSA(k+1)$. Note that the combination solution is prioritized when a tie happens in order to open up opportunities for further combination.

We can use proof by contradiction to prove this algorithm. Assume that there exists a better solution $LSA'(k)$ that is not one of the three candidates, $A[k]$, the combination, and $LSA(k+1)$. First, because $LSA'(k)$ is not $A[k]$, it must be a subarray of $A[k+1 : n]$. This makes $LSA'(1)$ the subarray of the largest sum of $A[k+1 : n]$ because $sum(LSA'(k)) > sum(LSA(k+1))$ based on our assumption. However, this contradicts another assumption that $LSA(2)$ is the optimal solution to the problem with $A[2 : n]$. The proof completes!

Pseudocode

Observe that $LSA(k)$ only depends on $LSA(k+1)$, we can implement this algorithm iteratively from $LSA(n)$ to $LSA(0)$. The solution is $LSA(0)$.

Algorithm 1 $LSA(A[1 : n])$

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last_prefix_sum  $\leftarrow 0$ 
last_largest_sum  $\leftarrow 0$ 
k  $\leftarrow n$ 
while  $k \geq 1$  do
    combined_sum  $\leftarrow A[k] + \textit{last\_largest\_sum} + \textit{last\_prefix\_sum}$ 
    max_largest_sum  $\leftarrow \max(A[k], \textit{last\_largest\_sum}, \textit{combined\_sum})$ 
    if combined_sum == max_largest_sum then
        last_prefix_sum  $\leftarrow 0$ 
        last_largest_sum  $\leftarrow \textit{combined\_sum}$ 
    else if  $A[k] == \textit{max\_largest\_sum}$  then
        last_prefix_sum  $\leftarrow 0$ 
        last_largest_sum  $\leftarrow A[k]$ 
    else if  $\textit{last\_largest\_sum} == \textit{max\_largest\_sum}$  then
        last_prefix_sum  $\leftarrow \textit{last\_prefix\_sum} + A[k]$ 
    end if
    k  $\leftarrow k - 1$ 
end while
return last_largest_sum

```

Runing Time and Space Analysis There are n iteration, each of which has constant number of operations, hence the algorithm has $O(n)$ time complexity. Furthermore, the algorithm uses $O(1)$ space.

3 Greedy Algorithms

3.1 Greedy Stays Ahead

Let A be the algorithm's solution and O be the optimal solution.

1. Align A and O in some ways so that we can compare a_i with o_i .
2. Use proof by induction to prove that a_i is better than or of the same quality as o_i .
3. Use proof by contradiction and (2) to prove the algorithm will eventually lead to the optimal solution, starting off with assume that it won't and come to an contradiction.

Refer to section 4.1 in [KT] for more details.

3.2 An Exchange Argument

References

[KT] Jon Kleinberg and Éva Tardos. Algorithm design.