

# Sets, relations and functions



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Basic set theory

Relation

Function

# Brief History of Set Theory

## ∞ Georg Cantor (1845-1918)

- German mathematician
- Founder of set theory



## ∞ Bertrand Russell (1872-1970)

- British philosopher, logician, mathematician, historian, and social critic.



## ∞ Ernst Zermelo (1871-1953)

- German mathematician, foundations of mathematics and hence on philosophy



## ∞ David Hilbert (1862-1943)

- German mathematician, one of the most influential and universal mathematicians of the 19th and early 20th centuries.



## ∞ Kurt Gödel (1906-1978)

- Austrian American logician, mathematician, and philosopher.  $ZFC \not\vdash \neg CH$ .



## ∞ Paul Cohen (1934-2007)

- American mathematician, 1963:  $ZFC \not\vdash CH, AC$ .



Hilbert's twenty-three problems are:

Problem	Brief explanation
1st	The <a href="#">continuum hypothesis</a> (that is, there is no <a href="#">set</a> whose <a href="#">cardinality</a> is strictly between that of the <a href="#">integers</a> and that of the <a href="#">real numbers</a> )
2nd	Prove that the <a href="#">axioms</a> of <a href="#">arithmetic</a> are <a href="#">consistent</a> .
3rd	Given any two <a href="#">polyhedra</a> of equal volume, is it always possible to cut the first into finitely many polyhedral pieces which can be reassembled to yield the second?
4th	Construct all <a href="#">metrics</a> where lines are <a href="#">geodesics</a> .
5th	Are continuous <a href="#">groups</a> automatically <a href="#">differential groups</a> ?
6th	Mathematical treatment of the <a href="#">axioms</a> of <a href="#">physics</a>
7th	Is $a^b$ <a href="#">transcendental</a> , for <a href="#">algebraic</a> $a \neq 0, 1$ and <a href="#">irrational algebraic</a> $b$ ?
8th	The <a href="#">Riemann hypothesis</a> ("the real part of any non-trivial zero of the <a href="#">Riemann zeta function</a> is $\frac{1}{2}$ ") and other prime number problems, among them <a href="#">Goldbach's conjecture</a> and the <a href="#">twin prime conjecture</a>
9th	Find the most general law of the <a href="#">reciprocity theorem</a> in any <a href="#">algebraic number field</a> .
10th	Find an algorithm to determine whether a given polynomial <a href="#">Diophantine equation</a> with integer coefficients has an integer solution.
11th	Solving <a href="#">quadratic forms</a> with algebraic numerical <a href="#">coefficients</a> .
12th	Extend the <a href="#">Kronecker–Weber theorem</a> on abelian extensions of the <a href="#">rational numbers</a> to any base number field.
13th	Solve 7-th degree equation using continuous <a href="#">functions</a> of two <a href="#">parameters</a> .
14th	Is the <a href="#">ring of invariants</a> of an <a href="#">algebraic group</a> acting on a <a href="#">polynomial ring</a> always <a href="#">finitely generated</a> ?
15th	Rigorous foundation of <a href="#">Schubert's enumerative calculus</a> .
16th	Describe relative positions of ovals originating from a <a href="#">real algebraic curve</a> and as <a href="#">limit cycles</a> of a polynomial <a href="#">vector field</a> on the plane.
17th	Express a nonnegative <a href="#">rational function</a> as <a href="#">quotient</a> of sums of <a href="#">squares</a> .
18th	(a) Is there a polyhedron which admits only an <a href="#">anisohedral tiling</a> in three dimensions? (b) What is the densest <a href="#">sphere packing</a> ?
19th	Are the solutions of regular problems in the <a href="#">calculus of variations</a> always necessarily <a href="#">analytic</a> ?
20th	Do all <a href="#">variational problems</a> with certain <a href="#">boundary conditions</a> have solutions?
21st	Proof of the existence of <a href="#">linear differential equations</a> having a prescribed <a href="#">monodromic group</a>
22nd	Uniformization of analytic relations by means of <a href="#">automorphic functions</a>
23rd	Further development of the calculus of variations

# What is a set ?

- ▶ By Georg Cantor in 1870s:

*A set is an unordered collection of objects.*

- The objects are called the *elements*, or *members*, of the set. A set is said to *contain* its elements.

- ▶ Notation:  $a \in A$

- Meaning that:  $a$  is an element of the set  $A$ , or,  
Set  $A$  contains  $a$ .

- ▶ Important:

- Duplicates do not matter. multiset里面的重复元素是需要计数的
- Order does not matter.

# Basic notions

- ☞  $a \in A$   $a$  is an element of the set  $A$ .
- ☞  $a \notin A$   $a$  is NOT an element of the set  $A$ .
- ☞ **Set of sets**  $\{\{a,b\}, \{1, 5.2\}, k\}$  <sup>collection</sup>
- ☞  $\emptyset$  the empty set, or the null set, is set that has no elements.
- ☞  $A \subseteq B$  subset relation. Each element of  $A$  is also an element of  $B$ .
- ☞  $A = B$  equal relation.  $A \subseteq B$  and  $B \subseteq A$ .
- ☞  $A \neq B$
- ☞  $A \subset B$  strict subset relation. If  $A \subseteq B$  and  $A \neq B$
- ☞  $|A|$  cardinality of a set, or the number of distinct elements.
- ☞ Venn Diagram



# Examples

☞  $a \in \{a, e, i, o, u\}$

☞  $a \notin \{\{a\}\}$

☞  $\emptyset \notin \emptyset$

☞  $\emptyset \in \{\emptyset\} \in \{\{\emptyset\}\}$

☞  $\{3, 4, 5\} = \{5, 4, 3, 4\}$

☞  $\emptyset \subseteq S$

☞  $\emptyset \subset \{\emptyset\}$

☞  $S \subseteq S$

☞  $|\{3, 3, 4, \{2, 3\}, \{1, 2, \{f\}\}\}| = 4$

# Set Operations

- ∞ Union
- ∞ Intersection
- ∞ Difference
- ∞ Complement
- ∞ Symmetric difference
- ∞ Power set

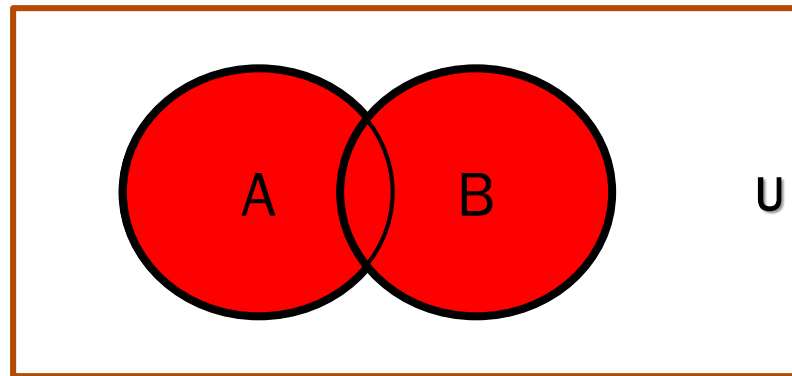


# Union

- ☞ **Definition** Let A and B be sets. The **union** of the sets A and B, denoted by  **$A \cup B$** , is the set that **contains those elements that are either in A or in B, or both.**

$$A \cup B = \{x \mid x \in A \text{ or } x \in B\}$$

- ☞ Example:  $\{1,3,5\} \cup \{1,2,3\} = \{1,2,3,5\}$
- ☞ Venn Diagram representation



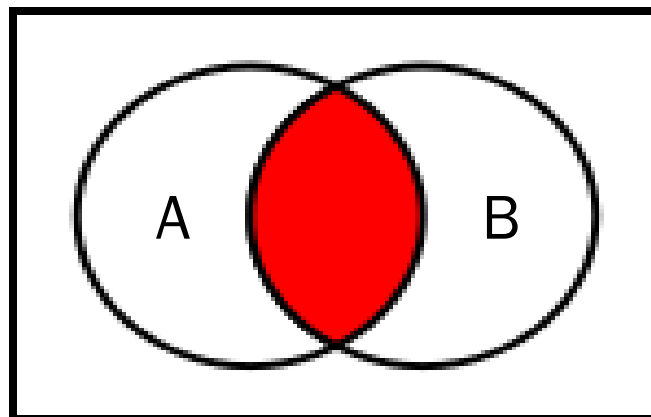
# Intersection

∞ **Definition** Let A and B be sets. The **intersection** of the sets A and B, denoted by  $A \cap B$ , is the set that **containing those elements in both A and B**.

$$A \cap B = \{x \mid x \in A \text{ and } x \in B\}$$

∞ Example:  $\{1,3,5\} \cap \{1,2,3\} = \{1,3\}$

∞ Venn Diagram Representation

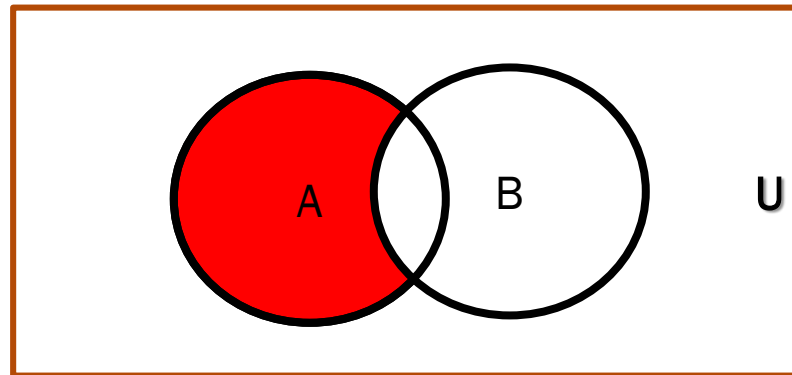


# Difference

- ∞ **Definition** Let A and B be sets. The **difference** of the sets A and B, denoted by  **$A - B$** , is the set that **containing those elements in A but not in B**.

$$A - B = \{x \mid x \in A \text{ but } x \notin B\} = A \cap \bar{B}$$

- ∞ Example:  $\{1,3,5\} - \{1,2,3\} = \{5\}$
- ∞ Venn Diagram Representation



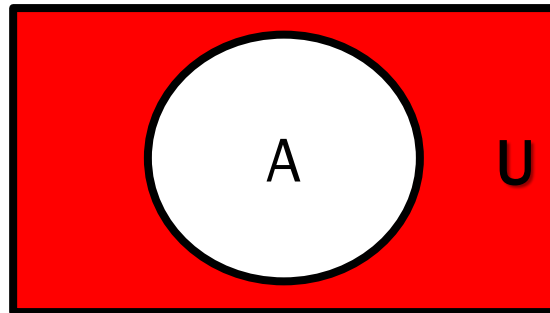
# Complement

∞ **Definition** Let  $U$  be the universal set. The **complement** of the sets  $A$ , denoted by  $\bar{A}$  or  $-A$ , is the complement of with respect to  $U$ .

$$\bar{A} = \{x \mid x \notin A\} = U - A$$

∞ Example:  $-E = O$

∞ Venn Diagram Representation



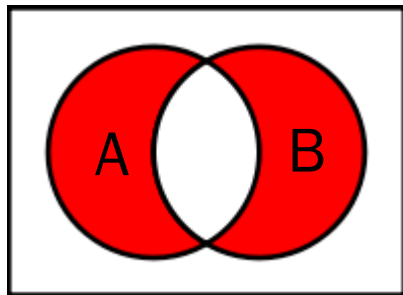
# Symmetric difference

∞ **Definition** Let A and B be sets. The **symmetric difference** of A and B, denoted by  $A \oplus B$ , is the set containing those elements in either A or B, but not in their intersection.

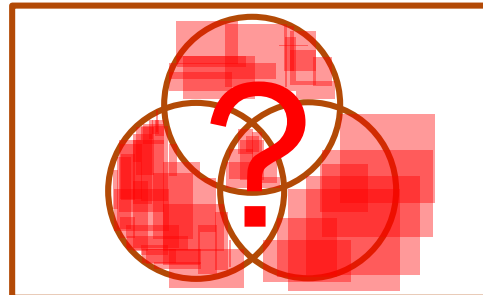
$$A \oplus B = \{x \mid (x \in A \vee x \in B) \wedge x \notin A \cap B\}$$

$$= (A - B) \cup (B - A)$$

∞ Venn Diagram:  $A \oplus B$



$A \oplus B \oplus C$



# The Power Set

- Many problems involve testing all combinations of elements of a set to see if they satisfy some property. To consider all such combinations of elements of a set  $S$ , we build a new set that has its members all the subsets of  $S$ .
- Definition: Given a set  $S$ , the **power set** of  $S$  is the set of all subsets of the set  $S$ . The power set of  $S$  is denoted by  $P(S)$  or  $2^S$ .
- Example:
  - $P(\{0,1,2\}) = \{\emptyset, \{0\}, \{1\}, \{2\}, \{0,1\}, \{0,2\}, \{1,2\}, \{0,1,2\}\}$
  - $P(\emptyset) = \{\emptyset\}$
  - $P(\{\emptyset\}) = \{\emptyset, \{\emptyset\}\}$

# Set Identities

## 1. Identity laws

$$A \cup \emptyset = A \qquad A \cap U = A$$

## 2. Domination laws

$$A \cup U = U \qquad A \cap \emptyset = \emptyset$$

## 3. Idempotent laws

$$A \cup A = A \qquad A \cap A = A$$

# Set Identities (Cont.)

## 4. Complementation law

$$\overline{(\overline{A})} = A$$

## 5. Commutative laws

$$A \cup B = B \cup A$$

$$A \cap B = B \cap A$$

## 6. Associative laws

$$A \cup (B \cup C) = (A \cup B) \cup C$$

$$A \cap (B \cap C) = (A \cap B) \cap C$$



# Set Identities (Cont.)

## 7. Distributive laws

$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$$

$$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$$

## 8. De Morgan's laws

$$\overline{A \cup B} = \overline{A} \cap \overline{B}$$

$$\overline{A \cap B} = \overline{A} \cup \overline{B}$$

# Set Identities (Cont.)

## 9. Absorption laws

$$A \cup (A \cap B) = A$$

$$A \cap (A \cup B) = A$$

## 10. Complement laws

$$A \cup \bar{A} = U$$

$$A \cap \bar{A} = \emptyset$$

# Example

**Theorem 1** (De Morgan's Law).  $\overline{S \cap T} = \bar{S} \cup \bar{T}$  or  $S \cap T = \overline{\bar{S} \cup \bar{T}}$

*Proof.* (Proved by Venn Diagram)

$$x \in \overline{S \cap T} \Rightarrow x \notin S \cap T$$

证明S是T的子集:证明x属于S可以推出x属于T

$$\Rightarrow \text{either } x \notin S \text{ or } x \notin T$$

$$\Rightarrow \text{either } x \in \bar{S} \text{ or } x \in \bar{T}$$

证明两个集合相等的常见思路: 证明x属于S可以推出x属于T; 再证明x属于T可以推出x属于S

$$\Rightarrow x \in \bar{S} \cup \bar{T}$$

$$x \in \bar{S} \cup \bar{T} \Rightarrow \text{reverse steps}$$



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# Ordered Pairs

- ∞ In set theory  $\{1,2\}=\{2,1\}$
- ∞ What if we need the object  $\langle 1,2 \rangle$  that will encode more information:
  - 1 is the **first** component
  - 2 is the **second** component
- ∞ Generally, we say

$$\langle x, y \rangle = \langle u, v \rangle \quad \text{iff} \quad x=u \wedge y=v$$

# Cartesian Product

✎  $A \times B = \{ \langle x, y \rangle \mid x \in A \wedge y \in B \}$  is the **Cartesian product** of set A and set B.

✎ Example

$$A = \{1, 2\} \quad B = \{a, b, c\}$$

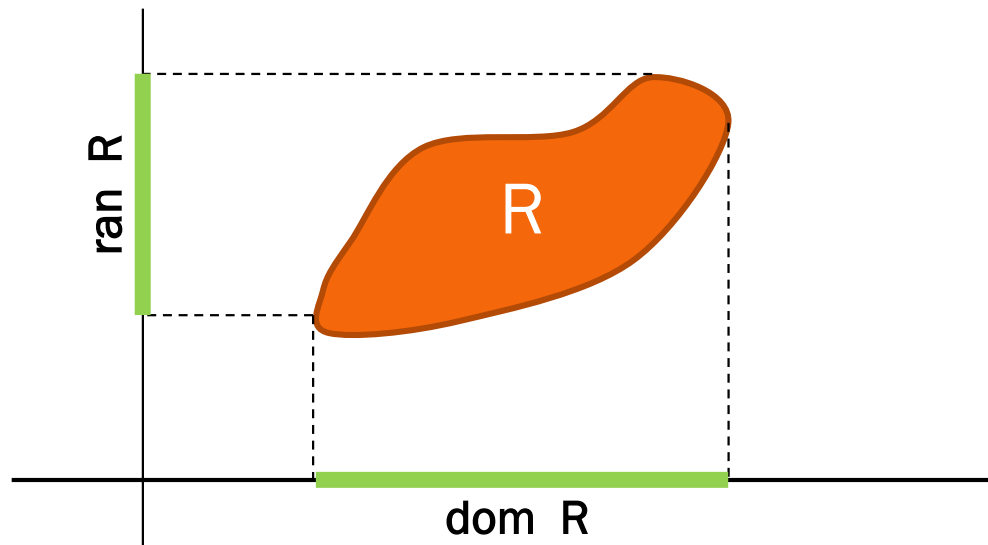
$$A \times B = \{ \langle 1, a \rangle, \langle 1, b \rangle, \langle 1, c \rangle, \\ \langle 2, a \rangle, \langle 2, b \rangle, \langle 2, c \rangle \}$$

# Relation

∞ **Definition** A **relation** is a set of ordered pairs.

∞ **Examples**

- $\leq = \{ \langle x, y \rangle \in \mathbb{R} \times \mathbb{R} \mid x \text{ is less than } y \}$
- $M = \{ \langle x, y \rangle \in \text{People} \times \text{People} \mid x \text{ is married to } y \}$



# More about the binary relation

Let  $R$  denote any binary relation on a set  $x$ , we say:

- ✧  $R$  is reflexive, if  $(\forall a \in x)(aRa)$ ;
- ✧  $R$  is symmetric, if  $(\forall a, b \in x)(aRb \rightarrow bRa)$ ;
- ✧  $R$  is transitive, if  $(\forall a, b, c \in x)[(aRb \wedge bRc) \rightarrow (aRc)]$ ;

反对称: if  $(aRb \text{ and } bRa) \rightarrow a = b$



# Equivalence relation

等价关系

Definition  $R$  is an **equivalence relation** on  $A$  iff  $R$  is a binary relation on  $A$  that is

- Reflexive
- Symmetric
- Transitive

# Partition

∞ **Definition** A *partition*  $\pi$  of a set  $A$  is a set of nonempty subsets of  $A$  that is disjoint and exhaustive. i.e.

- (a) no two different sets in  $\pi$  have any common elements, and
- (b) each element of  $A$  is in some set in  $\pi$ .

# Equivalence class

商集

- ∞ If  $R$  is an equivalence relation on  $A$ , then the quotient set (equivalence class)  $A/R$  is defined as

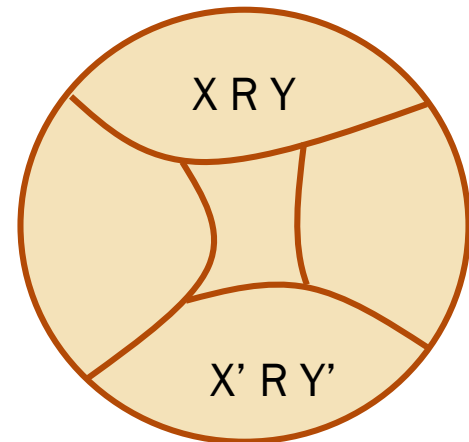
$$A/R = \{ [x]_R \mid x \in A \}$$

Where  $A/R$  is read as “ $A$  modulo  $R$ ”

- ∞ The *natural map* (or canonical map)  $\alpha: A \rightarrow A/R$  defined by

$$\alpha(x) = [x]_R$$

- ∞ **Theorem** Assume that  $R$  is an equivalence relation on  $A$ . Then the set  $\{[x]_R \mid x \in A\}$  of all equivalence classes is a partition of  $A$ .



# Examples

- Let  $\omega = \{0,1,2, \dots\}$ ; and  $m \sim n \Leftrightarrow m - n$  is divisible by 6. Then  $\sim$  is an equivalence relation on  $\omega$ . The quotient set  $\omega/\sim$  has six members:
  - $[0] = \{0,6,12, \dots\},$
  - $[1] = \{1,7,13, \dots\},$
  - .....
  - $[5] = \{5,11,17, \dots\}$
- Clique** (with self-circles on each node) : a graph in which every edge is presented. Take the existence of edge as a relation. Then the equivalence class decided by such relation over the graph would be clique.

# Ordering relations

## ∞ Linear order/total order

- transitive
- trichotomy

## ∞ Partial order

- reflexive
- anti-symmetric
- transitive

## ∞ Well order 良序关系一定是全序关系

- total order
- every non-empty subset of  $S$  has a least element in this ordering.



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# Function

- ∞ **Definition** A **function** is a relation  $F$  such that for each  $x$  in  $\text{dom } F$  there is only one  $y$  such that  $x F y$ . And  $y$  is called the **value** of  $F$  at  $x$ .
- ∞ **Notation**  $F(x)=y$
- ∞ **Example**  $f(x) = x^2$   $f : \mathbb{R} \rightarrow \mathbb{R}$ ,  $f(2) = 4$ ,  $f(3) = 9$ , etc.
- ∞ **Composition**  $(f \circ g)(x) = f(g(x))$
- ∞ **Inverse** The **inverse** of  $F$  is the **set**  
$$F^{-1} = \{ \langle u, v \rangle \mid v F u \}$$
  
 $F^{-1}$  is not necessarily a function (why?)

# Special functions

- ∞ We say that  $F$  is a function from  $A$  into  $B$  or that  $F$  maps  $A$  into  $B$  (written  $F: A \rightarrow B$ ) iff  $F$  is a function,  $\text{dom } F = A$  and  $\text{ran } F \subseteq B$ .
- If, in addition,  $\text{ran } F = B$ , then  $F$  is a function from  $A$  onto  $B$ .  $F$  is also named a **surjective function**. 满射
  - If, in addition, for any  $x \in \text{dom } F, y \in \text{dom } F$ , with  $x \neq y$ ,  $F(x) \neq F(y)$ , then  $F$  is an **injective function**. or **one-to-one** (or **single-rooted**). 单射
  - $F$  is **bijective function**:  $f$  is surjective and injective.



# References

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Thank you

