

Perceiving Temporal Environment for Correlation Filters in Real-Time UAV Tracking

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Abstract—Discriminative correlation filter (DCF)-based methods applied for UAV object tracking have received widespread attention due to their high efficiency. However, these methods are usually troubled by the boundary effect. Besides, the violent environment variations severely confuse trackers that neglect temporal environmental changes among consecutive frames, leading to unwanted tracking drift. In this letter, we propose a novel DCF-based tracking method to promote the insensitivity of the tracker under uncertain environmental changes. Specifically, a regularization term is proposed to learn the environment residual between two adjacent frames, which can enhance the discrimination and insensitivity of the filter in fickle tracking scenarios. Further, we design an efficient strategy to acquire the environment information based on the current observation without additional computation. Exhausted experiments are conducted on two well-known UAV benchmarks, *i.e.*, UAV123_10fps and DTB70. Results verify that the proposed tracker has comparable performance with other 22 state-of-the-art trackers while running at ~ 53 FPS on a low-cost CPU.

Index Terms—Visual tracking, unmanned aerial vehicle, discriminative correlation filter, residual learning.

I. INTRODUCTION

AS ONE of the most vital members in the visual system of the unmanned aerial vehicle (UAV), visual tracking has attracted increasing research interest [1]–[3] in recent years. Its task is to estimate the target position in subsequent videos under the condition that the ground truth in the first frame is known. Discriminative correlation filter (DCF)-based trackers [1]–[7], especially with handcrafted features [3]–[6], have been active in UAV tracking community on account of their high efficiency on a single CPU. However, UAV-specific issues, *e.g.*, fast object motion, camera viewpoint change, and limited resources, require the tracker to possess high performance as well as low energy loss.

The core idea of DCF paradigm [8]–[10] is using ridge regression to train a filter for distinguishing the target from its environment. It is well recognized that the environmental information is of vital for raising the tracking performance. To weaken the environmental interference, Mueller *et al.* [10] took

the global environment into consideration and added multiple regularization terms to suppress the response of the filter to the environment. However, the tracker [10] only considers the current environment, which causes over-fitting and overlooks the temporal environmental changes. In real-world UAV tracking, the environment of the tracked target usually undergoes drastic and uncertain changes. It is a potential factor for the tracker that is sensitive to environment changes to occur tracking drift. Furthermore, the acquisition of environmental information in [10] has the following drawbacks. i) It is time-consuming to extract features of multiple environment samples, which also impedes the fast optimization of the filter. ii) These environment samples may introduce irrelevant information outside the search region as they have the same size as the training samples of the target. Later, meaningful attempts [5], [7], [11] have been made by selecting more reasonable environment samples. Nevertheless, the above mentioned problems are still not solved.

To the above concerns, this letter proposes a novel UAV tracking algorithm with environmental mutation-insensitive correlation filters (EMCF). Specifically, a simple method is designed to efficiently obtain the environmental information based on the training sample of each frame. Then, the environment residual is computed and then fed into the training phase to learn a more powerful filter. Thus, the proposed tracker can maintain robustness in violent environments. Extensive and comprehensive experiments on two challenging benchmarks, *i.e.*, UAV123_10fps [12] and DTB70 [13], manifest the effectiveness of the proposed method. Our tracker has comparable accuracy with other state-of-the-art (SOTA) trackers while possessing satisfactory tracking speed on a single CPU. Fig. 1 shows the tracking pipeline of the proposed MECF.

The contributions of this work are summarized as follows:

- A novel regularization term to learn environment residual is proposed to keep the tracker insensitive to drastic environmental changes.
- We obtain the environmental information off the shelf without additional feature extraction via a simple yet efficient method.
- Experimental results demonstrate that our tracker achieves promising performance and is suitable for real-time on-board UAV platforms.

II. METHODS

A. Mutation-Insensitive Correlation Filter

We select the BACF [14] tracker as our baseline. A novel environmental mutation-insensitive regularization is added to strengthen the discrimination of the tracker. The desired filter $\mathbf{h} = [\mathbf{h}^1, \mathbf{h}^2, \dots, \mathbf{h}^D] \in \mathbb{R}^{N \times D}$ can be optimized through minimizing the following objective

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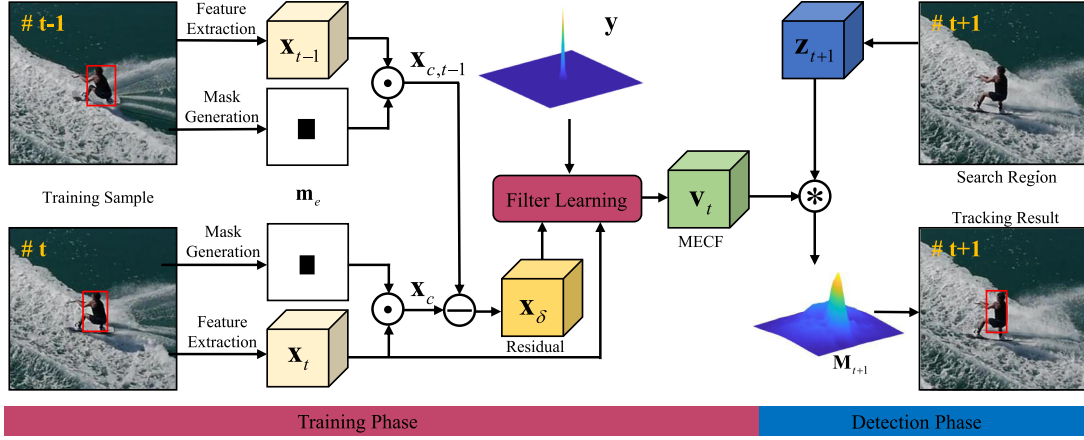


Fig. 1. Overall flowchart of the proposed MECF. In the training phase: Our tracker is trained with the environment residual information, which can be efficiently acquired through fast environment awareness. In the detection phase: the MECF tracker performs the tracking task.

function:

$$\Pi(\mathbf{h}) = \frac{1}{2} \left\| \sum_{d=1}^D \mathbf{B} \mathbf{x}^d \circledast \mathbf{h}^d - \mathbf{y} \right\|_2^2 + \frac{\lambda}{2} \sum_{d=1}^D \|\mathbf{h}^d\|_2^2 + \frac{\gamma}{2} \left\| \sum_{d=1}^D \mathbf{B} (\mathbf{x}_c^d - \mathbf{x}_{c,t-1}^d) \circledast \mathbf{h}^d \right\|_2^2, \quad (1)$$

where $\mathbf{x}^d \in \mathbb{R}^N$ and $\mathbf{x}_c^d \in \mathbb{R}^N$ are the feature maps of object patch and environment patch in the d -th channel, respectively. D is the total number of channels. $\mathbf{B} \in \mathbb{R}^{M \times N}$ ($M \ll N$) represents a binary matrix to acquire the middle elements of \mathbf{x} . \circledast denotes the correlation operator. λ is the regularization parameter. γ is the sensitivity factor, which controls the sensitivity of the filter to the environment. $t-1$ means the last frame.

Due to the temporal continuity of video sequences, it is reasonable to assume that the environment changes in short intervals are similar. Through learning the environment residual $\mathbf{x}_c - \mathbf{x}_{c,t-1}$, the proposed tracker can maintain robustness to environmental change in the next frame.

Since the correlation calculation can be efficiently processing in Fourier domain, the optimization will be carried out in Fourier form. Introducing an auxiliary variable $\mathbf{v}^d = \mathbf{B}^T \mathbf{h}^d \in \mathbb{R}^N$ and denoting the environment residual $\mathbf{x}_\delta = \mathbf{x}_c - \mathbf{x}_{c,t-1}$, the Fourier form of (1) can be written as:

$$\Pi(\mathbf{h}, \hat{\mathbf{v}}) = \frac{1}{2N} \left\| \sum_{d=1}^D \hat{\mathbf{x}}^d \odot \hat{\mathbf{v}}^d - \hat{\mathbf{y}} \right\|_2^2 + \frac{\lambda}{2} \sum_{d=1}^D \|\mathbf{h}^d\|_2^2 + \frac{\gamma}{2N} \left\| \sum_{d=1}^D \hat{\mathbf{x}}_\delta^d \odot \hat{\mathbf{v}}^d \right\|_2^2, \quad (2)$$

where \odot is the Hadamard product and the superscript $\hat{\cdot}$ is the DFT operator.

Then, the Augmented Lagrangian form of (2) can be formulated as:

$$\Pi(\mathbf{h}, \hat{\mathbf{v}}, \hat{\boldsymbol{\zeta}}) = \frac{1}{2N} \left\| \sum_{d=1}^D \hat{\mathbf{x}}^d \odot \hat{\mathbf{v}}^d - \hat{\mathbf{y}} \right\|_2^2$$

$$+ \frac{\lambda}{2} \sum_{d=1}^D \|\mathbf{h}^d\|_2^2 + \frac{\gamma}{2N} \left\| \sum_{d=1}^D \hat{\mathbf{x}}_\delta^d \odot \hat{\mathbf{v}}^d \right\|_2^2 + \frac{\mu}{2} \sum_{d=1}^D \left\| \hat{\mathbf{v}}^d - \sqrt{N} \mathbf{F} \mathbf{B}^T \mathbf{h}^d \right\|_2^2 + \sum_{d=1}^D (\hat{\mathbf{v}}^d - \sqrt{N} \mathbf{F} \mathbf{B}^T \mathbf{h}^d)^T \hat{\boldsymbol{\zeta}}^d, \quad (3)$$

where $\boldsymbol{\zeta} = [\boldsymbol{\zeta}^1, \boldsymbol{\zeta}^2, \dots, \boldsymbol{\zeta}^D] \in \mathbb{R}^{N \times D}$ and μ are the Lagrangian vector and the penalty factor, respectively.

Next, (3) can be converted into three subproblems via ADMM [15] algorithm:

$$\begin{cases} \mathbf{h}_{i+1}^d = \underset{\mathbf{h}^d}{\operatorname{argmin}} \left\{ \frac{\lambda}{2} \|\mathbf{h}^d\|_2^2 + \frac{\mu}{2} \left\| \hat{\mathbf{v}}^d - \sqrt{N} \mathbf{F} \mathbf{B}^T \mathbf{h}^d \right\|_2^2 + (\hat{\mathbf{v}}^d - \sqrt{N} \mathbf{F} \mathbf{B}^T \mathbf{h}^d)^T \hat{\boldsymbol{\zeta}}^d \right\} \\ \hat{\mathbf{v}}_{i+1} = \underset{\hat{\mathbf{v}}}{\operatorname{argmin}} \left\{ \frac{1}{2N} \left\| \sum_{d=1}^D \hat{\mathbf{x}}^d \odot \hat{\mathbf{v}}^d - \hat{\mathbf{y}} \right\|_2^2 + \frac{\gamma}{2N} \left\| \sum_{d=1}^D \hat{\mathbf{x}}_\delta^d \odot \hat{\mathbf{v}}^d \right\|_2^2 + \frac{\mu}{2} \sum_{d=1}^D \left\| \hat{\mathbf{v}}^d - \sqrt{N} \mathbf{F} \mathbf{B}^T \mathbf{h}^d \right\|_2^2 + \sum_{d=1}^D (\hat{\mathbf{v}}^d - \sqrt{N} \mathbf{F} \mathbf{B}^T \mathbf{h}^d)^T \hat{\boldsymbol{\zeta}}^d \right\} \\ \hat{\boldsymbol{\zeta}}_{i+1} = \hat{\boldsymbol{\zeta}}_i + \mu (\hat{\mathbf{v}}_{i+1} - \hat{\mathbf{h}}_{i+1}), \end{cases} \quad (4)$$

where i stands for the iterations.

Subproblem \mathbf{h}^d : Given \mathbf{v} and $\boldsymbol{\zeta}$, the optimal \mathbf{h} can be obtained as:

$$\mathbf{h}^d = \frac{\boldsymbol{\zeta}^d + \mu \mathbf{v}^d}{\lambda/N + \mu}. \quad (5)$$

Subproblem $\hat{\mathbf{v}}$: If other variables \mathbf{h} and $\boldsymbol{\zeta}$ are available, the solution of subproblem $\hat{\mathbf{v}}$ can be optimized by decomposing the third problem in (4) into N smaller problems:

$$\begin{aligned} \hat{\mathbf{v}}(n)^* = & \frac{1}{2N} \left\| \hat{\mathbf{x}}(n)^T \hat{\mathbf{v}}(n) - \hat{\mathbf{y}}(n) \right\|_2^2 + \frac{\gamma}{2N} \left\| \hat{\mathbf{x}}_\delta(n)^T \hat{\mathbf{v}}(n) \right\|_2^2 \\ & + (\hat{\mathbf{v}}(n) - \hat{\mathbf{h}}(n))^T \hat{\boldsymbol{\zeta}}(n) + \frac{\mu}{2} \left\| \hat{\mathbf{v}}(n) - \hat{\mathbf{h}}(n) \right\|_2^2. \end{aligned} \quad (6)$$

Algorithm 1: EMCF Tracker.

Input: Continuous video sequences and ground truth in the first frame.

Output: Esitimated target position in $t > 1$ frame.

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1 for frame  $t = 1$  to  $\text{end}$  do
2   if  $t > 1$  then
3     Extract the feature  $\mathbf{z}$  of the search region.
4     Generate the response map using Eq. 10.
5     Search the peak of response and return the position.
6   end
7   Update the target appearance model using Eq. 9.
8   Compute the environment residual  $\mathbf{x}_\delta$ .
9   Learn the filter  $\hat{\mathbf{v}}$  with the residual information using Eq. 7.
10 end

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For clarity of description, we define $\hat{\mathbf{x}}$ and $\hat{\mathbf{x}}_\delta$ as $\hat{\mathbf{x}}_0$ and $\hat{\mathbf{x}}_1$, respectively. Then, the closed-form solution of $\hat{\mathbf{v}}$ can be accelerated through Sherman-Morrison [16] fomula:

$$\hat{\mathbf{v}}(n)^* = \frac{1}{\mu N} \left(\hat{\mathbf{x}}(n) \hat{\mathbf{y}}(n) - N \hat{\boldsymbol{\zeta}}_f + \mu N \hat{\mathbf{h}}(n) \right) - \frac{\sum_{k=0}^1 p_k \hat{\mathbf{x}}_k(n)}{\mu \theta} \left(\frac{1}{N} \eta \hat{\mathbf{y}}(n) - \sum_{k=0}^1 \hat{\mathbf{x}}_k(n)^T \hat{\boldsymbol{\zeta}}(n) + \mu \sum_{k=0}^1 \hat{\mathbf{x}}_k(n)^T \hat{\mathbf{h}}(n) \right), \quad (7)$$

where $p_0 = 1$, $p_1 = \gamma$, $\theta = \mu N + \sum_{k=0}^1 p_k \hat{\mathbf{x}}_k(n)^T \hat{\mathbf{x}}_k(n)$, and $\eta = \sum_{k=0}^1 p_k \hat{\mathbf{x}}_k(n)^T \hat{\mathbf{x}}(n)$.

B. Fast Environment Awareness

We design a simple method to acquire environmental information without bells and whistles. As shown in the training phase of Fig. 1, the environmental information can be directly obtained through the Hadamard product between the environment-aware mask \mathbf{m}_e and the feature of the current training sample \mathbf{x} , which can be formulated as:

$$\mathbf{x}_c = \mathbf{x} \odot \mathbf{m}_e. \quad (8)$$

The process of the mask generation is described as follows: Firstly, an all-one matrix is generated with the same size as the training sample. Then, the area corresponding to the target (red rectangle) is set to 0. Finally, the generated matrix is resized to obtain an environment-aware mask \mathbf{m}_e (same size as \mathbf{x}).

As a result, this environment awareness strategy avoids additional feature extraction of the environment patch as well as irrelevant background information.

C. Model Update

To raise the robustness, the update of the target appearance model is defined as follows:

$$\hat{\mathbf{x}}^M = (1 - \beta) \hat{\mathbf{x}}_{t-1}^M + \beta \hat{\mathbf{x}}, \quad (9)$$

where $\hat{\mathbf{x}}^M$ and $\hat{\mathbf{x}}_{t-1}^M$ denote the model in the current and last frames, respectively. $\hat{\mathbf{x}}$ is the training sample of the current frame. β represents the online learning rate.

TABLE I
AVERAGE PERFORMANCE COMPARISON WITH HANDCRAFTED-BASED TRACKERS ON TWO BENCHMARKS. RED, GREEN, AND BLUE REPRESENT THE TOP THREE TRACKERS IN TERMS OF DP, AUC, AND FPS, RESPECTIVELY

Tracker	Venue	Prec.	Succ.	FPS	Tracker	Venue	Prec.	Succ.	FPS
EMCF	-	0.690	0.484	52.805	ECO_HC [19]	'17CVPR	0.648	0.461	62.163
AutoTrack [4]	'20CVPR	0.694	0.478	50.263	CSR-DCF [22]	'17CVPR	0.645	0.444	11.535
ARCF [3]	'19ICCV	0.680	0.472	23.930	KCC [20]	'18AAAI	0.485	0.333	40.730
STRCF [18]	'18CVPR	0.635	0.447	26.630	BACF [14]	'17ICCV	0.581	0.407	49.515
MCCT_H [21]	'18CVPR	0.600	0.419	18.125	staple_CA [10]	'17CVPR	0.545	0.386	56.255
SAMF_CA [10]	'17CVPR	0.528	0.355	10.170	SRDCF [23]	'15ICCV	0.543	0.393	12.310

The superscript * means GPU speed.

D. Tracking

As shown in the detection phase of Fig. 1, when a new frame is upcoming, we acquire the search region \mathbf{z} with the motion-aware search method [6]. Then, the response map \mathbf{M}_{t+1} for localization is produced according to the following equation:

$$\mathbf{M}_{t+1} = \mathcal{F}^{-1} \left(\sum_{d=1}^D \hat{\mathbf{z}}_{t+1}^d \odot \hat{\mathbf{v}}_t^d \right), \quad (10)$$

where \mathcal{F}^{-1} denotes the inverse DFT operator.

The detailed tracking procedure of our tracker is dispalyed in Algorithm 1.

III. EXPERIMENTS**A. Implementation**

Our tracker uses Hog, CN, and Grayscale for feature representation. The learning rate and the sensitivity factor are empirically chosen as $\beta = 0.0199$ and $\gamma = 0.2$, respectively. We use two ADMM iterations to train the filter quickly. The penalty factor is updated following $\mu_{i+1} = \min(\mu_{\max}, \rho \mu_i)$, where $\mu_{\max} = 10^4$, $\mu_0 = 1$, and $\rho = 10$. Our tracker runs on a PC with an i7-9750H CPU (2.60 GHz), 32 GB RAM, and a single RTX 2060 GPU. The matlab project of our tracker is available on <https://github.com/FreeZhang96/EMCF>.

For all benchmarks, we evaluate the performance of all trackers based on the one pass evaluation (OPE) [17]. Two metrics, *i.e.*, area under the curve (AUC) and distance precision (DP), are employed for ranking all trackers. Besides, frame per second (FPS) is for measuring the tracking speed.

B. Comparison With Handcrafted-Based Trackers

1) *Quantitative Evaluation:* We exhibit quantitative evaluation as well as qualitative evaluation on two well-known UAV benchmarks, *i.e.*, UAV123_10fps [12] and DTB70 [13].

Overall Analysis. We compare the proposed tracker with other 11 SOTA handcrafted-based trackers on all two well-known UAV benchmarks. These trackers include STRCF [18], ECO_HC [19], KCC [20], SAMF_CA [10], ARCF [3], AutoTrack [4], MCCT_H [21], CSR-DCF [22], SRDCF [23], BACF [14], and Staple_CA [10]. As shown in Fig. 2, the proposed tracker achieves the best AUC scores on all benchmarks. In terms of DP score, our tracker ranks first and second on UAV123_10fps [12] and DTB70 [13] benchmarks, respectively. Besides, Table I shows the average performance of all trackers on two benchmarks. The proposed tracker has comparable performance with the recent SOTA tracker, *i.e.*, AutoTrack [4] and ARCF [3], while having more faster tracking speed.

Attribute-based Analysis. Fig. 3 provides success plots under different attributes (viewpoint change, low resolution, fast camera motion, and background clutter) from two benchmarks. In terms of these attributes, the scores of EMCF exceed that of other algorithms by a large margin. In particular, our tracker surpasses the second-best 6.6% under background clutter.

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