

Spectrum of quantum KdV hierarchy in the semiclassical limit

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Based on

- JHEP 09(2022)169 [2208.01062]
- JHEP 05(2020)041 [2002.08368]

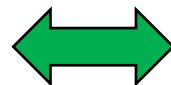
with Anatoly Dymarsky, Ashish Kakkar, Kirill Pavlenko

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Introduction

The AdS/CFT correspondence

Black hole formation in AdS



Thermalization in CFT

- Solving the dynamics of CFTs is hard.
- 2D CFT is slightly tractable because the dynamics is highly constrained by the conformal symmetry.
- The conf sym in 2D is infinite dimension (the Virasoro sym).
- Any 2D CFT has an infinite number of commuting conserved charges known as quantum KdV charges.
(Korteweg & de Vries)

Virasoro algebra

- 2D CFT is controlled by Virasoro algebra.

We consider (1+1)D CFT on a circle $\varphi \sim \varphi + 2\pi$.

Stress energy tensor $T(\varphi) = \sum_n L_n e^{-in\varphi} - \frac{c}{24}$

L_n satisfy the Virasoro algebra:

$$[L_n, L_m] = (n - m)L_{n+m} + \frac{c}{12}(n^3 - n)\delta_{n+m,0}$$

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$$[L_n, L_m] = (n - m)L_{n+m} + \frac{c}{12}(n^3 - n)\delta_{n+m,0}$$

- The sector of stress-energy tensor is integrable.

Any 2D CFT has an infinite number of commuting conserved charges known as **quantum KdV charges**.

(Korteweg & de Vries)

Quantum KdV charges

Quantum KdV charges $Q_{2k-1} = \int_0^{2\pi} \frac{d\varphi}{2\pi} T_{2k}(\varphi), \quad k = 1, 2, \dots$

- Local densities

$$T_2 = T, \quad T_4 =: T^2 :, \quad T_6 =: T^3 : + \frac{c+2}{12} : T'^2 :, \quad T_8 = \dots$$

General expressions are not known but can be constructed order by order.

$$Q_1 = \int_0^{2\pi} \frac{d\varphi}{2\pi} T(\varphi) = L_0 - \frac{c}{24} \quad \xleftarrow{\text{usual Hamiltonian}}$$

$$Q_3 = L_0^2 + 2 \sum_{n=1} L_{-n} L_n - \frac{c+2}{12} L_0 + \frac{c}{24} \left(\frac{c}{24} + \frac{11}{60} \right)$$

They commute with each other. $[Q_{2k-1}, Q_{2\ell-1}] = 0$

Generalized Gibbs ensemble in CFT

■ Equilibration with conserved charges

- We expect that a generic excited state is described by an equilibrium ensemble after a sufficient time.
- If the system has a conserved charge, the equilibrium state is generally not the Gibbs ensemble $e^{-\beta H}$. We need to introduce the chemical potential $e^{-\beta H - \mu Q}$.

Generalized Gibbs ensemble (GGE)

■ 2D CFT has infinite # of charges Q_{2k-1} $\left(H = L_0 - \frac{c}{24} = Q_1 \right)$

$\rho_{\text{GGE}} = e^{-\sum_{k=1}^{\infty} \mu_{2k-1} Q_{2k-1}}$ is typical rather than $e^{-\beta H}$

Spectrum of KdV charges

- To study GGE $\rho_{\text{GGE}} = e^{-\sum_{k=1}^{\infty} \mu_{2k-1} Q_{2k-1}}$ in CFT, we need spectrum of KdV charges.
- **Explicit expressions of the spectrum are unknown.**
- A tractable way is large c expansion.
 - a large c spectrum of KdV charges including (sub)subleading corrections. [2208.01062]
- Large c is also related to gravity.
 - New black hole solutions corresponding to non-trivial GGE [2002.08368]

Outline

- Introduction
- Review of the classical KdV hierarchy
- 3D gravity and the KdV hierarchy
[2002.08368]
- Spectrum of quantum KdV charges in large c
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KdV equation

- a mathematical model of waves on shallow water surfaces

$u(t, x)$



Fig. from wikipedia

$$\dot{u} = 6uu' - 4u'''$$

Introduced by **Boussinesq** (1877)
and rediscovered by **Korteweg** and **de Vries** (1895).

- Nonlinear but integrable
- Infinite number of local conserved charges

KdV equation on circle

- Let's consider the same eq. on 1-dim circle $\varphi \sim \varphi + 2\pi$

$$\dot{u} = 6uu' - 4u''' \quad u(t, \varphi)$$

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$$Q_1 = \int_0^{2\pi} \frac{d\varphi}{2\pi} u, \quad Q_3 = \int_0^{2\pi} \frac{d\varphi}{2\pi} \left(u^2 - \frac{4}{3} u'' \right), \quad Q_5 = \dots$$

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General charges are given by the Gelfand-Dikii polynomials

$$Q_{2k-1} = \int_0^{2\pi} \frac{d\varphi}{2\pi} R_k$$

$$R_0 = 1, \quad R_1 = u, \quad R_2 = u^2 - \frac{4}{3}\partial^2 u, \quad R_3 = u^3 - 4u\partial^2 u - 2(\partial u)^2 + \frac{8}{5}\partial^4 u$$

$$\partial_\varphi R_{k+1} = \frac{k+1}{2k+1} \mathcal{D}_u R_k, \quad \mathcal{D}_u = u' + 2u\partial_\varphi - 2\partial_\varphi^3 \quad (\dot{u} = \mathcal{D}_u u)$$

KdV hierarchy

An infinite tower of equations

$$\dot{u} = u'$$

$$\dot{u} = 6uu' - 4u''' \quad \text{← KdV eq.}$$

$$\dot{u} = 15u^2u' - 40u'u'' - 60uu''' + 24u'''''$$

...

$$\dot{u} = k\mathcal{D}_u R_{k-1}$$

KdV hierarchy

An infinite tower of equations

KdV hierarchy

$$\left. \begin{array}{l} \dot{u} = u' \\ \dot{u} = 6uu' - 4u''' \\ \dot{u} = 15u^2u' - 40u'u'' - 60uu''' + 24u'''' \\ \dots \\ \dot{u} = k\mathcal{D}_u R_{k-1} \end{array} \right\}$$

KdV eq.

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$$\left. \begin{array}{l} \dot{u} = u' \\ \dot{u} = 6uu' - 4u''' \quad \xleftarrow{\hspace{1cm}} \text{KdV eq.} \\ \dot{u} = 15u^2u' - 40u'u'' - 60uu''' + 24u'''' \\ \dots \\ \dot{u} = k\mathcal{D}_u R_{k-1} \end{array} \right\}$$

- Each eq. is integrable and the conserved charges are given by

$$Q_{2k-1} = \int_0^{2\pi} \frac{d\varphi}{2\pi} R_k$$

classical KdV charges

Poisson bracket

Mode expansion on a circle: $u = \sum_n u_n e^{in\varphi}$

Introduce a Poisson bracket for modes

$$i\{u_n, u_m\} = (n - m)u_{n+m} + 2n^3\delta_{n+m,0}$$

Poisson bracket

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Introduce a Poisson bracket for modes

$$i\{u_n, u_m\} = (n - m)u_{n+m} + 2n^3\delta_{n+m,0}$$

Each eq. in the KdV hierarchy is generated by KdV charge

$$\dot{u} = k\mathcal{D}_u R_{k-1} = \{Q_{2k-1}, u\}$$

KdV charge is a Hamiltonian in this sense.

KdV charges commute with each other in this Poisson bracket.

$$\{Q_{2k-1}, Q_{2\ell-1}\} = 0$$

Classical limit of Virasoro

The Poisson algebra $i\{u_n, u_m\} = (n - m)u_{n+m} + 2n^3\delta_{n+m,0}$
is the classical limit of the Virasoro algebra.

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the quantum Virasoro alg can be written as

$$\frac{c}{24\hbar} [u_n, u_m] = (n - m)u_{n+m} + 2n^3\delta_{n+m,0}$$

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Replacement rule $\frac{c}{24i\hbar} [\ , \] \rightarrow \{ , \}$ [usual $\frac{1}{i\hbar} [\ , \] \rightarrow \{ , \}$]

The effective Planck const $\hbar_{eff} = \left(\frac{24}{c}\right) \hbar$ is small for large c .
The large c physics is effectively classical even at finite \hbar .

Classical and quantum KdV charges

- Classical KdV charges: $Q_{2k-1}^{cl} = \int_0^{2\pi} \frac{d\varphi}{2\pi} (u^k + \dots)$
- Quantum KdV charges: $Q_{2k-1}^q = \int_0^{2\pi} \frac{d\varphi}{2\pi} (:T^k:+\dots)$
- In the large c limit, quantum KdV charges are reduced to classical ones.
- Replacement rule $T \sim \frac{c}{24} u$

$$Q_{2k-1}^q \sim \left(\frac{c}{24}\right)^k Q_{2k-1}^{cl}$$

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AdS/CFT

- Let's consider GGE in 2D CFT.

$$\rho_{GGE} = \frac{1}{Z_{GGE}} e^{-\sum_k \mu_{2k-1}^{\text{CFT}} Q_{2k-1}^q}$$

- For holographic 2D CFT, the large c physics is described by the classical gravity in 3D AdS.
- **What is the dual geometry of GGE in 2D CFT?**

Generalized Hamiltonian

- partition function $Z_{GGE} = \text{tr } e^{-\sum_k \mu_{2k-1}^{\text{CFT}} Q_{2k-1}^q}$
- We can regard this as the usual thermal partition function at $\beta = 1$ but the Hamiltonian is generalized:

$$Z_{GGE} = \text{tr } e^{-H_{gen}} \quad \text{with} \quad H_{gen} = \sum_k \mu_{2k-1}^{\text{CFT}} Q_{2k-1}^q$$

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- Partition function can be obtained by the Euclidean path-int with the generalized Hamiltonian
 - AdS/CFT says $Z_{CFT} = Z_{\text{grav}}$
-
- Dual geometry is saddle of the gravitational path-int with generalized Hamiltonian.

Relation to classical KdV

- Large c CFT is related to classical KdV hierarchy.
- classical 3D gravity should be also related to it.

Indeed, that is the case!

Relation to classical KdV

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Indeed, that is the case!

- We will see that the generalization of Hamiltonian is realized by the generalization of the boundary condition of metric.
- problem is reduced to solving Einstein eq. with new boundary conditions.
- We will see this is solving (general) KdV eqs.

3D pure gravity

- Einstein gravity can be formulated as Chern-Simons theory

$$A_{\pm} = \left(\omega^a \pm \frac{1}{\ell} e^a \right) T_a \quad [T_a, T_b] = \epsilon_{ab}{}^c T_c, \quad \text{Tr}(T_a T_b) = \frac{1}{2} \eta_{ab}$$

$$S = S_{CS}[A_+] - S_{CS}[A_-], \quad S_{CS}[A] = \frac{\ell}{16\pi G} \int \text{Tr} \left(A dA + \frac{2}{3} A^3 \right) + (\text{bdry})$$

metric $g_{\mu\nu} = \frac{\ell^2}{2} \text{Tr}[(A_\mu^+ - A_\mu^-)(A_\nu^+ - A_\nu^-)]$

- EoM is equivalent to Einstein eq. with cosmological const.

$$F_{\pm} = 0 \quad \text{bulk dynamics is topological}$$

- General sols are parametrized only by the bdry d.o.f.

Asymptotic AdS condition

- The boundary d.o.f. is called boundary graviton. $u_{\pm}(t, \varphi)$ (\sim CFT stress tensor)
- The dynamics depends on the choice of the boundary condition.
- Generic asymptotically AdS boundary condition (in CS formulation) is given by [Bunster, Henneaux, Perez, Tempo, Troncoso (2014)].

$$\left\{ \begin{array}{l} A_r^{\pm} \sim \mp \frac{1}{r} T_1 \quad \left[T_1 = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad T_- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \quad T_+ = \begin{pmatrix} 0 & -1 \\ 0 & 0 \end{pmatrix} \right] \\ A_{\varphi}^{\pm} \sim \frac{r}{\ell} T_{\pm} - \frac{\ell}{4r} \underbrace{u_{\pm}(t, \varphi) T_{\mp}}_{\text{bdry graviton}} \\ A_t^{\pm} \sim \pm \left(f_{\pm} A_{\varphi}^{\pm} \pm f'_{\pm} T_1 + \frac{\ell}{2r} f''_{\pm} T_{\mp} \right) \end{array} \right.$$

EOM for boundary gravitons

- For the gauge fixing, we have

$$F_{\varphi t}^{\pm} = \frac{\ell}{4r} (\dot{u}_{\pm} \mp \mathcal{D}_{u_{\pm}} f_{\pm})$$

- CS EoM $F_{\varphi t}^{\pm} = 0$  $\dot{u}_{\pm} = \pm \mathcal{D}_{u_{\pm}} f_{\pm}$
- On-shell Hamiltonian is given by $H^+[u_+] + H^-[u_-]$
where $2\pi \frac{\delta H^{\pm}}{\delta u_{\pm}} = f_{\pm}$
- EoM can be written by the Poisson bracket for the classical KdV hierarchy as

$$\dot{u}_{\pm} = \pm \{H^{\pm}, u_{\pm}\}$$

Identification

- boundary gravitons are CFT stress tensors as

$$u^+ = \frac{24}{c} T, \quad u^- = \frac{24}{c} \bar{T}$$

- Generalized CFT Hamiltonian

$$H_{gen} = \sum_k \mu_{2k-1}^{\text{CFT}} Q_{2k-1}^q$$

→ $H^+ = \sum_k \mu_{2k-1}^{cl} Q_{2k-1}^{cl}$ with $\mu_{2k-1}^{\text{CFT}} = \left(\frac{24}{c}\right)^k \mu_{2k-1}^{cl}$

$\dot{u}_\pm = \pm\{H^\pm, u_\pm\}$ is the generalized KdV equation.

→ Integrable EOM

Examples

- conventional choice $H^\pm = Q_1$
→ EoM $\dot{u}_\pm = \pm u'_+$ (left or right mover condition)
 $f_\pm = 1 \longrightarrow A_t^\pm \sim \pm A_\varphi^\pm$
Original Brown-Henneaux boundary cond

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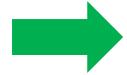
Original Brown-Henneaux boundary cond

- $H^\pm = Q_{2k-1}$



EoM $\dot{u}_\pm = \pm \{Q_{2k-1}, u_\pm\}$ k-th KdV eq.

- $H^\pm = \sum_k \mu_{2k-1}^{cl} Q_{2k-1}^{cl}$



more complicated but integrable EoM (general KdV eq.)

left-right symmetric cases

- For simplicity, we focus on the case $\begin{cases} u_+ = u_- (\equiv u) \\ H^+ = H^- (\equiv H) \end{cases}$

- From EoM $\dot{u}_\pm = \pm\{H^\pm, u_\pm\}$
only static solutions are allowed: $\dot{u} = 0$

- In terms of static $u(\varphi)$, metric is given by

$$ds^2 = g_{tt}dt^2 + g_{rr}dr^2 + g_{\varphi\varphi}d\varphi^2 \quad [\text{Perez, Tempo, Troncoso (2016)}]$$

$$\begin{cases} g_{tt} = - \left(fr - \frac{\ell^2}{4r} (uf - 2f'') \right)^2 & f = 2\pi \frac{\delta H}{\delta u} \\ g_{rr} = \frac{\ell^2}{r^2}, \quad g_{\varphi\varphi} = \left(r + \frac{\ell^2}{4r} u \right)^2 \end{cases}$$

Static solutions

$$g_{tt} = - \left(fr - \frac{\ell^2}{4r} (uf - 2f'') \right)^2, \quad g_{rr} = \frac{\ell^2}{r^2}, \quad g_{\varphi\varphi} = \left(r + \frac{\ell^2}{4r} u \right)^2$$

Static EoM $\dot{u} = D_u f = 0$ Any sol is locally AdS.

e.g. $u = -1$ pure AdS (thermal AdS in Euclidean signature)

$u = u_0 (\geq 0)$ BTZ black holes (non-rotating)

Static solutions

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- In general the metric represents non-rotating black holes

horizon: $r_h(\varphi) = \frac{\ell}{2} \sqrt{\frac{fu - 2f''}{f}}$

temperature: $T = \frac{1}{2\pi} \sqrt{f^2 u + f'^2 - 2ff''}$  const.

Conventional case

- conventional choice $H = Q_1$

Static EoM $0 = \{H, u\} = u'$  $u = \text{const.} \equiv u_0$

$$g_{tt} = - \left(r - \frac{\ell^2 u_0}{4r} \right)^2, \quad g_{rr} = \frac{\ell^2}{r^2}, \quad g_{\varphi\varphi} = \left(r + \frac{\ell^2}{4r} u_0 \right)^2$$

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- positive u_0  BTZ black holes $r_h = \frac{\ell}{2} \sqrt{u_0}$
- negative u_0  Conical sing. at $r = \frac{\ell}{2} \sqrt{-u_0}$
except for $u_0 = -1$  pure AdS

 Allowed solutions are pure AdS or BTZ (No hair theorem)

KdV charges of black holes

- conventional choice $H = Q_1$

$$\longrightarrow u = \text{const.} \equiv u_0$$

$$\longrightarrow Q_1^{cl} = u_0, \quad Q_{2k-1}^{cl} = u_0^k = (Q_1^{cl})^k$$

- For generic choices of Hamiltonian $H = \sum_k \mu_{2k-1}^{cl} Q_{2k-1}^{cl}$ angle-dependent $u(\varphi)$ is allowed.

$$\longrightarrow Q_{2k-1}^{cl} \neq (Q_1^{cl})^k$$

We will call such geometry *KdV-charged black holes*.

First nontrivial case

As a first nontrivial case, let's introduce Q_3

$$H = \mu_1^{cl} Q_1^{cl} + \mu_3^{cl} Q_3^{cl}$$

EoM  $\alpha u' + 2uu' - \frac{4}{3}u''' = 0$ $\alpha = \frac{\mu_1^{cl}}{3\mu_3^{cl}}$

total derivative

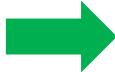
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total derivative

Integrate it  $\alpha u + u^2 - \frac{4}{3}u'' = R$

Newton eq. $u'' = -\partial_u V(u)$

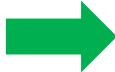
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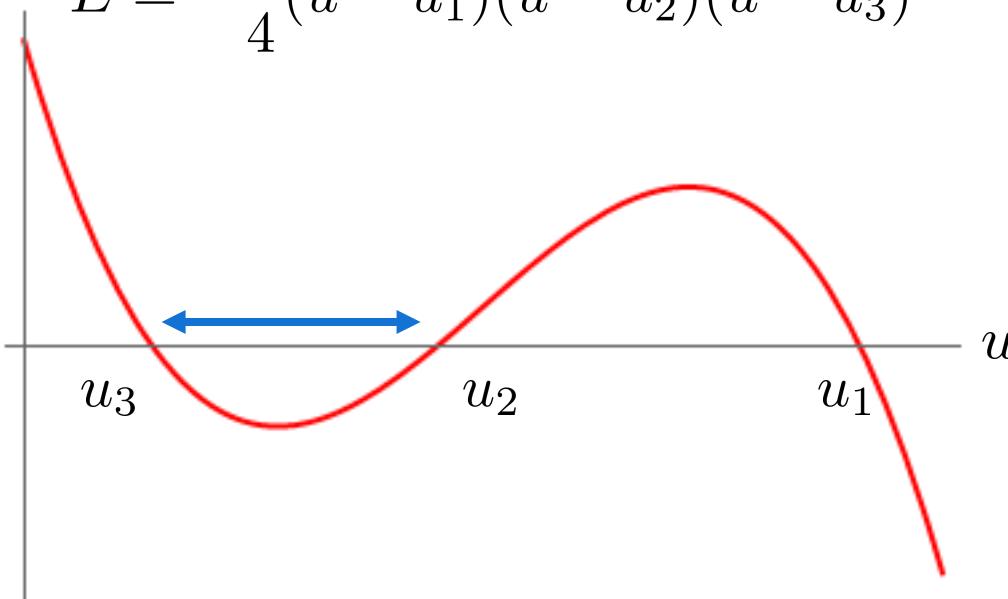
Integrate it again

 $\frac{1}{2}(u')^2 + V(u) = E, \quad V(u) = -\frac{1}{4}u^3 - \frac{3\alpha}{8}u^2 + \frac{3R}{4}u$

Potential problem

$$\frac{1}{2}(u')^2 + V(u) = E, \quad V(u) = -\frac{1}{4}u^3 - \frac{3\alpha}{8}u^2 + \frac{3R}{4}u$$

$$V(u) - E = -\frac{1}{4}(u - u_1)(u - u_2)(u - u_3)$$



→ $u(\varphi)$ oscillates in the range $u_3 \leq u \leq u_2$

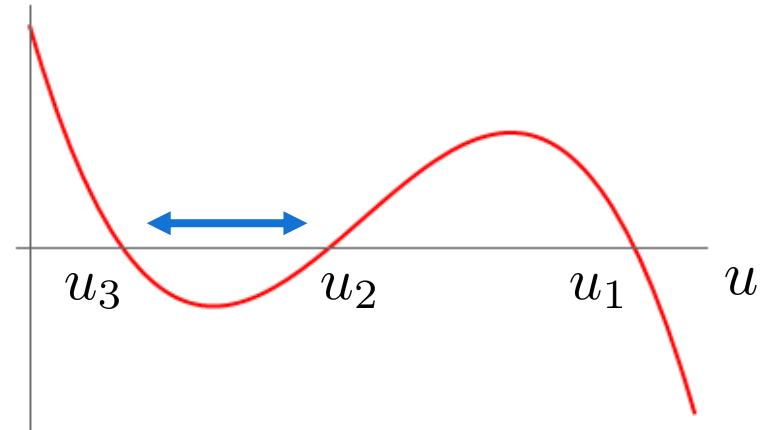
$$\varphi \propto \int \frac{du}{\sqrt{(u - u_1)(u - u_2)(u - u_3)}}$$

Elliptic integral

Periodicity

$u(\varphi)$ should have the period 2π

It should go around with period $2\pi/k$



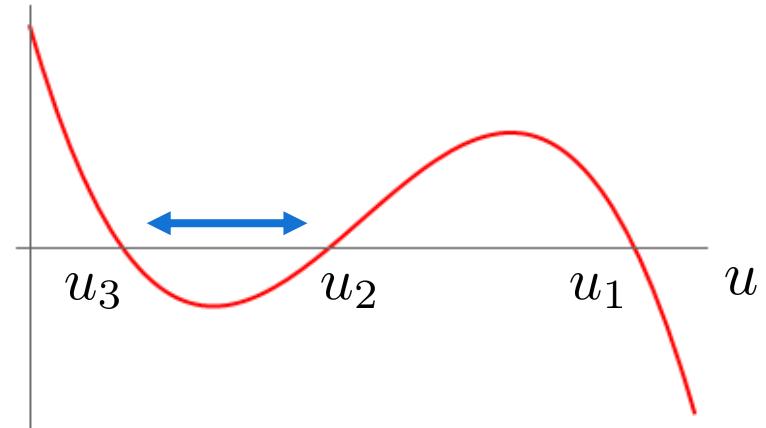
$$\frac{2\pi}{k} = 2\sqrt{2} \int_{u_3}^{u_2} \frac{du}{\sqrt{(u - u_1)(u - u_2)(u - u_3)}}$$

- This imposes a condition on u_1, u_2, u_3 .

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$$\frac{2\pi}{k} = 2\sqrt{2} \int_{u_3}^{u_2} \frac{du}{\sqrt{(u - u_1)(u - u_2)(u - u_3)}}$$

- This imposes a condition on u_1, u_2, u_3 .
- Another condition

$$u_1 + u_2 + u_3 = -\frac{3}{2}\alpha$$

One parameter is still free. $m = \frac{u_2 - u_3}{u_1 - u_3}$

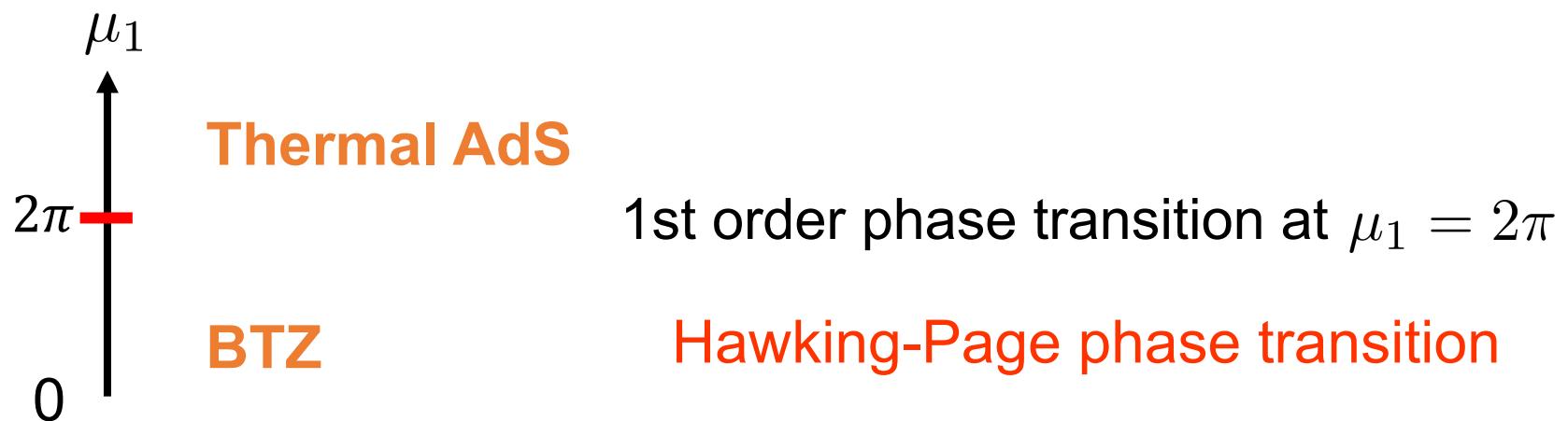
→ non-trivial KdV charged black holes parametrized by m

Leading saddle?

- Our question is what geometry is the leading saddle of
$$Z_{GGE} = \text{tr } e^{-H_{gen}}$$
- Non-trivial KdV charged black holes can be saddles but it is not sure whether they are leading saddles because BTZ is also a saddle.
- Leading saddle is a classical solution minimizing the (generalized) free energy: $F = H_{gen} - S$

Hawking-Page phase transition

- conventional case $H = \mu_1^{cl} Q_1^{cl}$
- the leading saddle is (Euclidean) BTZ black hole or thermal AdS.



New phase ?

Q: Can KdV-charged black holes be the leading saddle when we turn on chemical potentials?

$$H = \sum_k \mu_{2k-1} Q_{2k-1}$$

- **No**, if we have only μ_1 and μ_3 .

The leading saddle is BTZ or thermal AdS.

- **Yes**, if we also add μ_5 .

New phase!

Phase-diagram on μ_1 - μ_3 plane

- Leading saddle is BTZ or thermal AdS

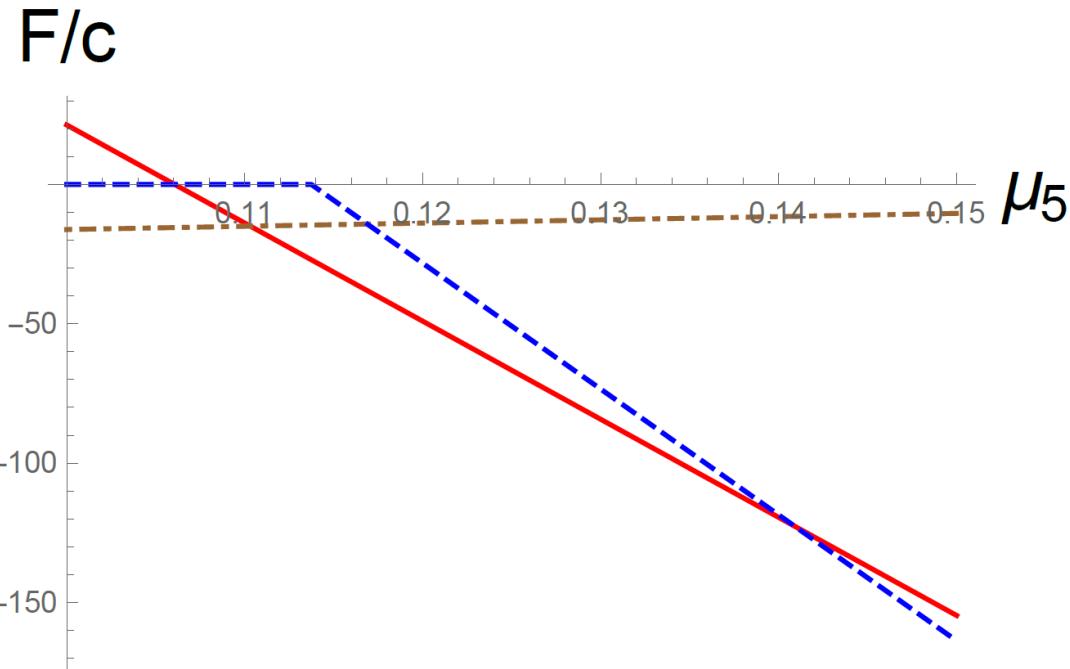


Turn μ_5 on

- Difficult to draw phase diagram on $\mu_1\text{-}\mu_3\text{-}\mu_5$ space.
- There exists a parameter region where a non-trivial solution has smaller free energy than BTZ and thermal AdS.

Strategy

- Pick up a KdV solution
- μ_1 & μ_3 are fixed in terms of μ_5
- Compare free energies



Non-trivial KdV black holes can be a leading saddle for specific parameters.

New phase (translation broken)

Outline

- Introduction
- Review of the classical KdV hierarchy
- 3D gravity and the KdV hierarchy
- Spectrum of quantum KdV charges in large c
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Spectrum of KdV charges

- To study GGE $\rho_{\text{GGE}} = e^{-\sum_{k=1}^{\infty} \mu_{2k-1} Q_{2k-1}}$ in CFT, we need spectrum of KdV charges.
- Explicit spectra of general Q_{2k-1} are not known.
- Our strategy is using large c limit and relation to classical KdV charges.

$$Q_{2k-1}^q \sim \left(\frac{c}{24}\right)^k Q_{2k-1}^{cl}$$

Rep. of Virasoro (Verma module)

- Primary state (highest weight state) $|\Delta\rangle$

$$L_0 |\Delta\rangle = \Delta |\Delta\rangle, \quad L_{n>0} |\Delta\rangle = 0$$

- Descendant states

$$|\Delta, \{n_k\}\rangle = (L_{-1})^{n_1} (L_{-2})^{n_2} \cdots (L_{-k})^{n_k} \cdots |\Delta\rangle$$

$$L_0 |\Delta, \{n_k\}\rangle = (\Delta + \underbrace{\sum_k k n_k}_{\text{level}}) |\Delta, \{n_k\}\rangle$$

- level 0 $|\Delta\rangle$ dim = 1
- level 1 $L_{-1} |\Delta\rangle$ dim = 1
- level 2 $(L_{-1})^2 |\Delta\rangle, \quad L_{-2} |\Delta\rangle$ dim = 2
- level ℓ $(L_{-1})^\ell |\Delta\rangle, \quad (L_{-1})^{\ell-2} L_{-2} |\Delta\rangle, \dots$ dim = p(ℓ)

KdV charges on Verma module

- Spectrum of Q_1 is easy

$$Q_1 = L_0 - \frac{c}{24} \quad \xrightarrow{\hspace{2cm}} \quad Q_1 |\Delta, \{n_k\}\rangle = \left(\Delta - \frac{c}{24} + \sum_k k n_k \right) |\Delta, \{n_k\}\rangle$$

- KdV charges do not change the level.

$$[L_0, Q_{2n-1}] = 0$$

Different levels are not mixed by KdV charges.

 **Block diagonal** $Q_{2n-1} = \begin{pmatrix} Q_{2n-1}^{(0)} & & & \\ & Q_{2n-1}^{(1)} & & \\ & & Q_{2n-1}^{(2)} & \\ & & & \ddots \end{pmatrix}$

However, it's hard to obtain the matrix elements of each block.
Even $Q_{2n-1}^{(0)}$ is not known for general n .

KdV charges on primary state

$$Q_{2n-1} |\Delta\rangle = Q_{2n-1}^{(0)} |\Delta\rangle$$

The exact expressions are known up to $Q_{15}^{(0)}$

$$I_1^{vac}(\Delta) = \Delta - \frac{c}{24}, \quad [\text{Bazhanov, Lukyanov, Zamolodchikov (1994)}]$$

$$I_3^{vac}(\Delta) = \Delta^2 - \frac{c+2}{12} \Delta + \frac{c(5c+22)}{2880},$$

$$I_5^{vac}(\Delta) = \Delta^3 - \frac{c+4}{8} \Delta^2 + \frac{(c+2)(3c+20)}{576} \Delta - \frac{c(3c+14)(7c+68)}{290304},$$

$$\begin{aligned} I_7^{vac}(\Delta) = & \Delta^4 - \frac{c+6}{6} \Delta^3 + \frac{15c^2 + 194c + 568}{1440} \Delta^2 - \\ & \frac{(c+2)(c+10)(3c+28)}{10368} \Delta + \frac{c(3c+46)(25c^2 + 426c + 1400)}{24883200} \end{aligned}$$

Large c KdV charges on primary state

The known results satisfy

$$Q_{2k-1}^{(0)}(\Delta) = \left(\Delta - \frac{c}{24}\right)^k + \mathcal{O}(c^{k-1})$$

where I suppose that conf dim is also large $\Delta \sim c$

This reminds us of $Q_{2k-1}^{cl} = (Q_1^{cl})^k$ for $u = \text{const.} = u_0$

$$\Delta - \frac{c}{24} \sim \frac{c}{24} u_0$$

We extend this to non-const $u = \sum_n u_n e^{in\varphi}$

Classical phase space

$$u(\varphi) = \sum_n u_n e^{in\varphi}$$

Phase space is parametrized by u_n ($n = 0, \pm 1, \pm 2, \dots$)

This space has the Poisson structure:

$$i\{u_n, u_m\} = (n - m)u_{n+m} + 2n^3\delta_{n+m,0}$$

There is a conserved quantity: $\{h, u_n\} = 0$ for any u_n

$$\text{s.t. } h = u_0 + \mathcal{O}(u^2)$$

Inversely, u_0 is parametrized by h, u_n ($n \neq 0$)

Action-angle variables

We can take more convenient coordinates $I_k, \theta_k (k = 1, 2, \dots)$ rather than $u_n (n \neq 0)$ such that

$$\{\theta_k, I_\ell\} = \delta_{k,\ell}, \quad u_0 = h + \sum_k kI_k$$

The relation between the variables are complicated.

$$\sqrt{I_k} e^{-i\theta_k} = \frac{1}{\sqrt{2k(k^2 + h)}} \left(u_k + \frac{1}{4} \sum_{\substack{p_1 + p_2 = k \\ p_i \neq 0}} \frac{1}{p_1 p_2} u_{p_1} u_{p_2} + \mathcal{O}(u^3) \right)$$

The KdV charges only depend on the action variables.

$$Q_1 = u_0 = h + \sum_k kI_k, \quad Q_{2n-1} = h^n + \mathcal{O}(I_k)$$

Old quantization

■ Classical KdV charges

$$Q_{2n-1} = h^n + \sum_{k=1}^{\infty} \sum_{j=0}^{n-1} \frac{(2n-1)\Gamma(n+1)\Gamma(\frac{1}{2})}{2\Gamma(j+\frac{3}{2})\Gamma(n-j)} h^{n-1-j} k^{2j+1} I_k + \mathcal{O}(I_k^2).$$

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We will guess the spectrum of the quantum KdV charges by using the semiclassical quantization.

■ Bohr-Sommerfeld quantization

(Einstein-Brillouin-Keller method)

$$\{\theta_k, I_\ell\} = \delta_{k,\ell}$$

Classical trajectory is a closed circle.

\hbar_{eff}

→ Action variable is quantized as $I_k = \frac{1}{2\pi} \oint I_k d\theta_k = \frac{24}{c} \left(n_k + \frac{1}{2} \right)$

We will see it works at $O(1/c)$.

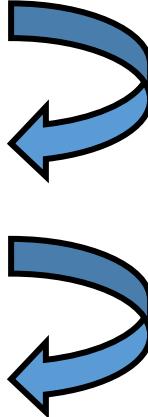
Semiclassical quantization

- Naïve guess is $Q_{2n-1}^q = \left(\frac{c}{24}\right)^n Q_{2n-1}^{cl}$
with replacement $I_k = \frac{24}{c} \left(n_k + \frac{1}{2}\right)$

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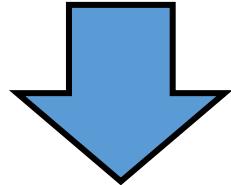
For example, $n = 1$,

$$\begin{aligned} \left(\frac{c}{24}\right) Q_1^{cl} &= \left(\frac{c}{24}\right) \left(h + \sum_{k=1}^{\infty} k I_k\right) \\ &= \frac{c}{24} h + \sum_{k=1}^{\infty} k \left(n_k + \frac{1}{2}\right) \\ &= \frac{c}{24} h - \frac{1}{24} + \sum_{k=1}^{\infty} k n_k \end{aligned} \quad \begin{array}{l} \text{zeta function regularization} \\ \zeta(-1) = -\frac{1}{12} \end{array}$$


It reproduces $Q_1^q = \Delta - \frac{c}{24} + \sum_{k=1}^{\infty} k n_k$ if we set $h = \frac{24}{c} \left(\Delta - \frac{c-1}{24}\right)$

KdV spectra at $O(1/c)$

$$Q_{2n-1}^{cl} = h^n + \sum_{k=1}^{\infty} \sum_{j=0}^{n-1} \frac{(2n-1)\Gamma(n+1)\Gamma(\frac{1}{2})}{2\Gamma(j+\frac{3}{2})\Gamma(n-j)} h^{n-1-j} k^{2j+1} I_k + \mathcal{O}(I_k^2).$$



$$Q_{2n-1}^q = \left(\frac{c}{24}\right)^n Q_{2n-1}^{cl}, \quad h = \frac{24}{c} \tilde{\Delta}, \quad I_k = \frac{24}{c} \left(n_k + \frac{1}{2}\right)$$

$$\tilde{\Delta} = \Delta - \frac{c-1}{24}$$

$$Q_{2n-1}^q = \tilde{\Delta}^n$$

$$+ \sum_{j=0}^{n-1} \frac{(2n-1)\Gamma(n+1)\Gamma(\frac{1}{2})}{2\Gamma(j+\frac{3}{2})\Gamma(n-j)} \underbrace{\left(\frac{c}{24}\right)^j \tilde{\Delta}^{n-1-j} \left[\sum_k k^{2j+1} n_k + \frac{1}{2} \zeta(-2j-1) \right]}_{\mathcal{O}(c^{n-1})} \\ + \mathcal{O}(c^{n-2})$$

It is consistent with explicit diagonalization for small level with small n and also the known results for

$$Q_{2n-1}^{(0)}(\Delta) \quad (n = 1, \dots, 8), \quad \text{Tr}(Q_{2n-1} e^{-\beta Q_1}) \quad (n = 1, \dots, 7)$$

Extension to higher orders

- At the 2nd order of the action variables, the KdV charges are

$$Q_{2n-1}^{cl} = h^n + \sum_{k=1} a_k^{(n,1)} I_k + \sum_{k=1} a_k^{(n,2)} I_k^2 + \frac{1}{2} \sum_{k,\ell=1} b_{k,\ell}^{(n)} I_k I_\ell + \mathcal{O}(I^3)$$

$$\mathcal{O}(I^2)$$

$$a_k^{(n,2)} = - \sum_{m=0}^{n-1} \frac{(2n-1)(2mn+2n-3m-2)\Gamma(n+1)\Gamma(\frac{1}{2})}{16\Gamma(m+\frac{3}{2})\Gamma(n-m)} h^{n-m-1} k^{2m}$$

$$b_{k,\ell}^{(n)} = \sum_{m=1}^{n-1} \frac{(2n-1)^2 \Gamma(n+1) \Gamma(\frac{1}{2}) h^{n-m-1}}{2^2 \Gamma(n-m) \Gamma(m+\frac{3}{2})} \sum_{s=0}^{m-1} k^{2(m-s)-1} \ell^{2s+1}$$

Naïve semiclassical results

- No reason that semiclassical quantization works at the subsubleading order. But let's try it.

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Replacement $Q_{2n-1}^q = \left(\frac{c}{24}\right)^n Q_{2n-1}^{cl}$, $h = \frac{24}{c} \tilde{\Delta}$, $I_k = \frac{24}{c} \left(n_k + \frac{1}{2}\right)$
gives

$$Q_{2n-1}^{\text{naive}} = \tilde{\Delta}^n + \sum_k \sum_{j=0}^{n-1} \frac{(2n-1)\Gamma(n+1)\Gamma\left(\frac{1}{2}\right)}{2\Gamma\left(j+\frac{3}{2}\right)\Gamma(n-j)} \left(\frac{c}{24}\right)^j \tilde{\Delta}^{n-1-j} k^{2j+1} \tilde{n}_k$$

$$\mathcal{O}(c^{n-2}) \quad \left[- \sum_k \sum_{m=0}^{n-1} \frac{(2n-1)(2mn+2n-3m-2)\Gamma(n+1)\Gamma\left(\frac{1}{2}\right)}{16\Gamma\left(m+\frac{3}{2}\right)\Gamma(n-m)} \left(\frac{c}{24}\right)^{m-1} \tilde{\Delta}^{n-m-1} k^{2m} \tilde{n}_k^2 \right.$$

$$+ \frac{1}{2} \sum_{k,\ell} \sum_{m=1}^{n-1} \frac{(2n-1)^2\Gamma(n+1)\Gamma\left(\frac{1}{2}\right)}{2^2\Gamma(n-m)\Gamma\left(m+\frac{3}{2}\right)} \left(\frac{c}{24}\right)^{m-1} \tilde{\Delta}^{n-m-1} \sum_{s=0}^{m-1} k^{2(m-s)-1} \ell^{2s+1} \tilde{n}_k \tilde{n}_\ell$$

$$+ \mathcal{O}(c^{n-3})$$

$$\tilde{n}_k = n_k + \frac{1}{2}$$

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$$+ \mathcal{O}(c^{n-3}) \quad \tilde{n}_k = n_k + \frac{1}{2}$$

It fails because it is **not consistent** with the known results.

Thermal 1pt function

The differences of thermal 1-pt functions

$$\langle Q_3 \rangle_q - \langle Q_3 \rangle_{sc} = -\frac{3(2E_2 + 1)\tilde{\Delta}}{2c} + \frac{1}{288}E_4$$

$$\langle Q_5 \rangle_q - \langle Q_5 \rangle_{sc} = \frac{(-15E_2 - \frac{15}{2})\tilde{\Delta}^2}{c} + \frac{7}{96}E_4\tilde{\Delta} - \frac{E_6c}{2592}$$

$$\langle Q_7 \rangle_q - \langle Q_7 \rangle_{sc} = \frac{(-42E_2 - 21)\tilde{\Delta}^3}{c} + \frac{259}{720}E_4\tilde{\Delta}^2 - \frac{E_6c\tilde{\Delta}}{200} + \frac{127E_8c^2}{2592000}$$

...

$$\langle Q_{13} \rangle_q - \langle Q_{13} \rangle_{sc} =$$

Guess

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$$\langle Q_{13} \rangle_q - \langle Q_{13} \rangle_{sc} =$$

$$-\frac{n(n-1)(2n-1)}{4}(2E_2 + 1)\frac{\tilde{\Delta}^{n-1}}{c}$$

Our guess about general terms:

Guess

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Our guess about general terms:

$$\begin{aligned} & \frac{(2n-1)\Gamma(n+1)\Gamma(\frac{1}{2})\zeta(-2j-1)}{16\Gamma(j+\frac{3}{2})\Gamma(n-j)} \left((2n-1) \sum_{\ell=0}^j \frac{1}{2\ell+1} + \frac{13j+6}{6} \right) \\ & \quad \times E_{2j+2} \left(\frac{c}{24} \right)^{j-1} \tilde{\Delta}^{n-1-j} \end{aligned}$$

KdV spectra at $O(1/c^2)$

Our conjectured result:

$$\begin{aligned}
 Q_{2n-1}^q = & \tilde{\Delta}^n + \sum_k \sum_{j=0}^{n-1} \frac{(2n-1)\Gamma(n+1)\Gamma(\frac{1}{2})}{2\Gamma(j+\frac{3}{2})\Gamma(n-j)} \left(\frac{c}{24}\right)^j \tilde{\Delta}^{n-1-j} k^{2j+1} \tilde{n}_k \\
 & - \frac{n(n-1)(2n-1)\tilde{\Delta}^{n-1}}{4c} \\
 & + \sum_k \sum_{j=0}^{n-1} \frac{(2n-1)\Gamma(n+1)\Gamma(\frac{1}{2})}{48\Gamma(j+\frac{3}{2})\Gamma(n-j)} \left(-13j + 6(2n-1) \sum_{\ell=0}^j \frac{1}{2\ell+1} - 6\right) \left(\frac{c}{24}\right)^{j-1} \tilde{\Delta}^{n-1-j} k^{2j+1} \tilde{n}_k \\
 & - \sum_k \sum_{m=0}^{n-1} \frac{(2n-1)(2mn+2n-3m-2)\Gamma(n+1)\Gamma(\frac{1}{2})}{16\Gamma(m+\frac{3}{2})\Gamma(n-m)} \left(\frac{c}{24}\right)^{m-1} \tilde{\Delta}^{n-m-1} k^{2m} \tilde{n}_k^2 \\
 & + \frac{1}{2} \sum_{k,\ell} \sum_{m=1}^{n-1} \frac{(2n-1)^2\Gamma(n+1)\Gamma(\frac{1}{2})}{2^2\Gamma(n-m)\Gamma(m+\frac{3}{2})} \left(\frac{c}{24}\right)^{m-1} \tilde{\Delta}^{n-m-1} \sum_{s=0}^{m-1} k^{2(m-s)-1} \ell^{2s+1} \tilde{n}_k \tilde{n}_\ell \\
 & + \mathcal{O}(c^{n-3})
 \end{aligned}$$

It is consistent with the known results for thermal 1pt functions:

$$\text{Tr}(Q_{2n-1} e^{-\beta Q_1}) \quad (n = 1, \dots, 7)$$

Check

- Our result at $O(1/c^2)$ also passes other consistency checks.

$$Q_{2n-1}^q$$

- Explicit diagonalization for small level with small n .
- For primary states, we can compute the large c spectrum of KdV charges recursively from small n using the OED/IM correspondence.

The result reproduces our conjecture for primary states.

$$n_k = 0$$

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- Introduction
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Summary 1

- Any 2D CFT has an infinite number of commuting local charges (KdV charges).
- The generalized Gibbs ensemble including KdV charges is related to understanding the thermalization in 2D CFT and formation of 3D black holes.
- To analyze the GGE, the spectra of KdV charges are useful.
- We are investigating the spectra at the large c limit.
- Guessed the spectra at $O(c^{-2})$ from the semiclassical quantization.
- Proof?

Summary 2

- We have also investigated the gravitational theory corresponding to the generalized Hamiltonian.
- There are non-trivial black holes corresponding to the solutions of KdV hierarchy.
- What microstates correspond to these solutions?
- There are a lot of things that we have to understand both in CFT and gravity sides.

BLZ's work

- Bazhanov, Lukyanov, Zamolodchikov introduced T-operator which is quantum analog of classical transfer matrix.

[Bazhanov, Lukyanov, Zamolodchikov (1996)]

The T-operator is a generating function of KdV charges.

$$\log \mathbf{T}(\lambda) \simeq \kappa \lambda^{1+\xi} \mathbf{I} - \sum_{n=1}^{\infty} C_n \lambda^{(1-2n)(1+\xi)} Q_{2n-1}^q \quad (\lambda \rightarrow \infty)$$

$$\kappa = \frac{2\sqrt{\pi}\Gamma\left(\frac{1}{2} - \frac{\xi}{2}\right)}{\Gamma\left(1 - \frac{\xi}{2}\right)} (\Gamma(1 - \beta^2))^{1+\xi}, \quad C_n = \frac{\sqrt{\pi}(1 + \xi)\beta^{2n}\Gamma\left((n - \frac{1}{2})(1 + \xi)\right)}{\Gamma(n+1)\Gamma\left(1 + (n - \frac{1}{2})\xi\right)} (\Gamma(1 - \beta^2))^{-(2n-1)(1+\xi)}$$
$$\beta \equiv \sqrt{\frac{1-c}{24}} - \sqrt{\frac{25-c}{24}}, \quad \xi \equiv \frac{\beta^2}{1-\beta^2}$$

- classical case $T^{cl}(\lambda) = \text{tr } M(\lambda)$

M is the monodromy matrix for Schrodinger op. $L = \partial_\varphi^2 + u(\varphi) - \lambda^2$
 $L\psi_i = 0$

$$(\psi_1(\varphi + 2\pi), \psi_2(\varphi + 2\pi)) = (\psi_1(\varphi), \psi_2(\varphi))M(\lambda)$$

BLZ's Q operator

- BLZ also introduced Q-operator $[\mathbf{T}(\lambda), \mathbf{Q}(\lambda)] = 0$

For primary states, we define

$$T(\Delta, \lambda) = \langle \Delta | \mathbf{T}(\lambda) | \Delta \rangle, \quad A(\Delta, \lambda) = \lambda^{-2\pi ip/\beta^2} \langle \Delta | \mathbf{Q}(\lambda) | \Delta \rangle$$
$$\Delta = \frac{p^2}{\beta^2} + \frac{c-1}{24}$$

They satisfy $T(\lambda)A(\lambda) = e^{2\pi ip} A(q\lambda) + e^{-2\pi ip} A(q^{-1}\lambda)$, $q = e^{i\pi\beta^2}$

In principle we can obtain the KdV charges for primary states if we know the function $A(\Delta, \lambda)$.

ODE/IM correspondence 1

[Dorey, Tateo (1998), Bazhanov, Lukyanov, Zamolodchikov (1998)]

- There is a miracle relation between $A(\Delta, \lambda)$ and the spectral determinant for QM on half line.

$$\partial_x^2 \Psi(x) + \left(E - x^{2\alpha} - \frac{l(l+1)}{x^2} \right) \Psi(x) = 0 \quad (x > 0)$$

$$\alpha = \frac{1}{\beta^2} - 1, \quad l = \frac{2p}{\beta^2} - \frac{1}{2}$$

- Let E_n be the eigenvalues for the following boundary cond:

$$\Psi(x) \rightarrow \sqrt{\frac{2\pi}{1+\alpha}} (2+2\alpha)^{-\frac{2l+1}{2+2\alpha}} \frac{x^{l+1}}{\Gamma\left(1+\frac{2l+1}{2+2\alpha}\right)} + \mathcal{O}(x^{l+3}) \quad (x \rightarrow 0),$$

$$\Psi(x) \rightarrow 0 \quad (x \rightarrow \infty)$$

- Spectral determinant: $D(E, l) = \prod_{n=1}^{\infty} \left(1 - \frac{E}{E_n}\right)$

ODE/IM correspondence 2

[Dorey, Tateo (1998), Bazhanov, Lukyanov, Zamolodchikov (1998)]

- Spectral determinant: $D(E, l) = \prod_{n=1}^{\infty} \left(1 - \frac{E}{E_n}\right)$
- Set $E = \rho\lambda$ with $\rho = \left(\frac{2}{\beta^2}\right)^{2-2\beta^2} \Gamma^2(1 - \beta^2)$

We then have $A(\Delta, \lambda) = D(\rho\lambda, l)$

- Determining $A(\Delta, \lambda)$ is equivalent to finding the spectral determinant.