


Classification of rank one 5d $N=1$

& 6d $(1,0)$ SCFTs

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Motivation:

Try to classify 5d & 6d C(1,0)
SLFTs by compactifying them to
4d & look at their SW
solutions on Coulomb branch.

Review of $N=2$ Coulomb branch

Coulomb branch = a branch of the moduli space, and the low energy effective theory at generic point is given by

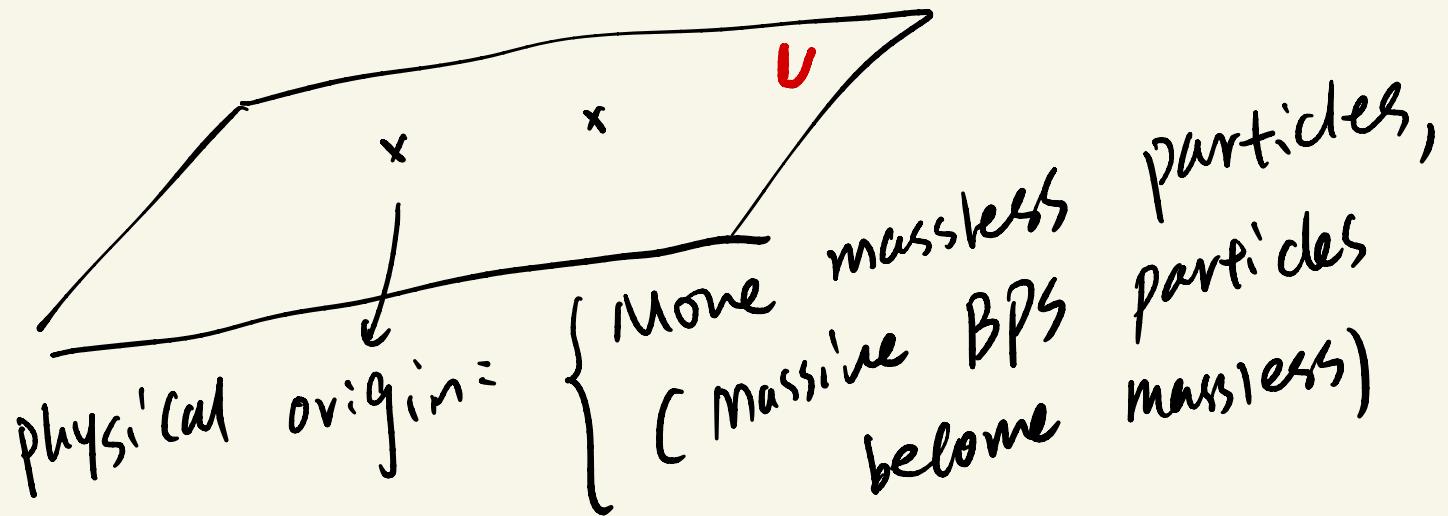
$U(r)$ gauge theory

$r =$ called the rank of the theory

In general, the low energy theory
at generic point could have more components:

- 1) free hypermultiplets
(i.e. $N=2^4$) (Extended Coulomb branch)
- 2) strongly-coupled SCFT which
does not have a Coulomb branch
(Rare)

However, there are special points
on the Coulomb branch, where the
low energy theory is quite complicated



The low energy theory at special points could be

a) a IR free theory
i.e. $U(1)$ & N hypermultiplets
non-abelian gauge theory
coupled with matter

b) SLFT

The goal of finding the Coulomb branch solution

- a) determine the effective coupling for the abelian gauge theory,
- b) determine the low energy theory at the special points, (most difficult)
- c) The central charge for BPS particles

For $su(2)$ gauge theory, Seiberg & Witten solved above problem by finding

a SW curve $F(x, y, v, m) = 0$,

and a SW differential λ , and one can find the low energy theory as follows:

1) For a given v, w , if the cone is smooth, then it is a generic vacua, and the photon coupling is given by the Complex structure of F !

2) if the cone is singular, then it is a special vacua,

they agree that there are massless
more particles at this point

- 3) one can use SW differential
 λ to calculate the period integral

$$a = \int_A \lambda, \quad a^D = \int_B \lambda$$

$$m_i = \int_{\gamma_i} \lambda$$

and the central charge for BPS
particle is given as

$$Z = n a + m a^D + \sum_i S_i M_i$$

(so finding SW solvtn, one
need to find a family of curves,
& a SW differential)

Some remarks: The crucial physical insights are the electric-magnetic duality for the low energy theory, and this fact is implemented in geometric picture automatically!
⇒ the complex structure of the elliptic curve has a $SL(2, \mathbb{Z})$ action!

The above solution should be interpreted
in following more general terms (D.X., D.X.,
Zhang)

a) The cohomology group of the

$H^1(F, C)$ has dimension
 $CH^1(F, \mathbb{Z})$ (also refn)
 $2r + f,$

at generic points, we have a flat
holomorphic vector
bundles

To describe the physics of $N=2$ theory, one need more structures on $H^1(F.C)$ of generic point,

- a) we need to distinguish the electric-magnetic part & flavor part \Rightarrow we need a Mixed Hodge structure

Mixed Hodge structure on a vector space

Two filtrations (i.e.)

weight filtration

$$\{W\} = W^0 \subset W^1 \subset \dots \subset W^2 = H$$

Hodge filtration

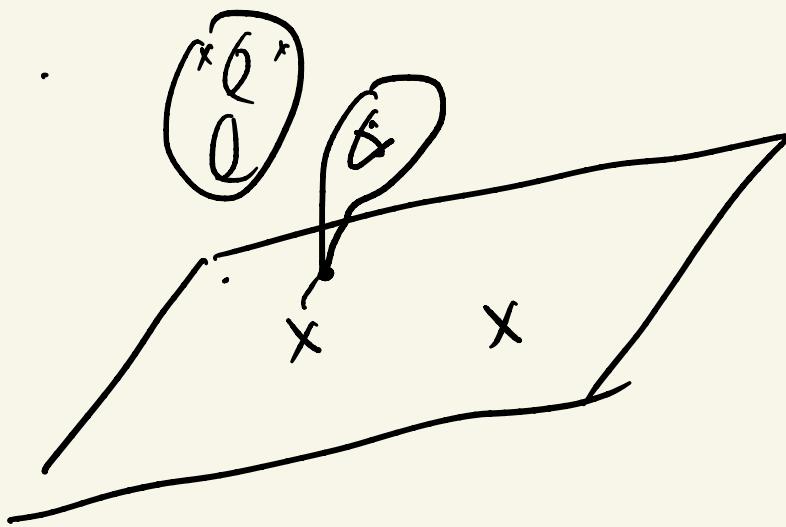
$$H = F^0 \subset F^1 \subset \dots \subset F^k = H$$

We have two spaces

$$Gr_w^1 = \frac{w'}{w^0} \quad (\text{electric-magnetic part})$$

$$Gr_w^2 = \frac{w^2}{w^0} \quad (\text{flavor-part})$$

To ensure the positivity of the photon coupling, one also need a polarization on $H^1(F, C)$.



b) In the original SW description,
the monodromy group around singularity
is important. However, one has
little to say about the singularity.

In my previous work, we show that
one can define a vector space H ,
(whose rank is the same as $2r+f$),
and another vector space called
(vanishing cycles)

One can get some useful information from these new structures

a) one can define a set of rational numbers

$$(N_1, \dots, n_s)$$

from which one can find the
Coulomb branch spectrum

These rational numbers satisfy

$$\lambda_k = \exp(z\pi i w_k)$$

here λ_k is the eigenvalue !!

There are three vector spaces

H_{dim} , H_{van} , (H_S) defined at the

Singularity

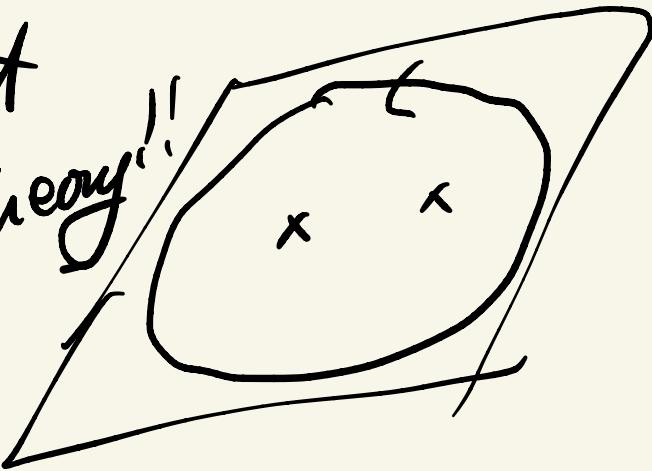
previously, only
this one

& all of them carry Mixed Hodge structure

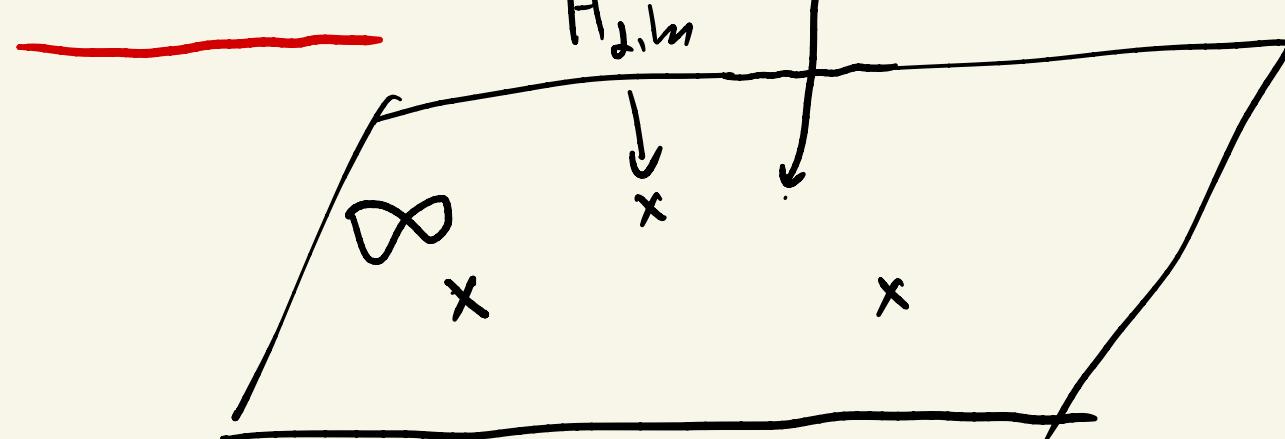
c) one can do the similar calculation
at $V \rightarrow \infty$, \Rightarrow we can

get information about
the theory!!

$V V$



Summary: $H^1(MHS)$ $H^1(MHS)$



(They form a so-called
mixed Hodge module)

Let's now try to use the above SW solution to classify 4d $N=2$ SCFT (Argyres et al).

The first simplification is that it appears that the monodromy

group acts on weight 2 part
in a simple way:

The monodromy group acting on
weight 2 part is trivial!

The second simplification is that
the monodromy satisfies following
Condition (Quasi-unipotent)

$(T^k - I)^2 = 0$, namely
the maximal size of Jordan
block is TWO !!

So we could just look at weight one part, and furthermore, we

focus on rank one case

\Rightarrow So H' has just two dimensional and the monodromy group satisfies the condition $(T^k - 1)^2 = 0$

we also assume that there is an integral structure, so monodromy group is in $SL(2, \mathbb{Z})$, so the local monodromy is classified by the conjugacy class of $SL(2, \mathbb{Z})$ satisfying $(T^k - 1)^2 = 0$

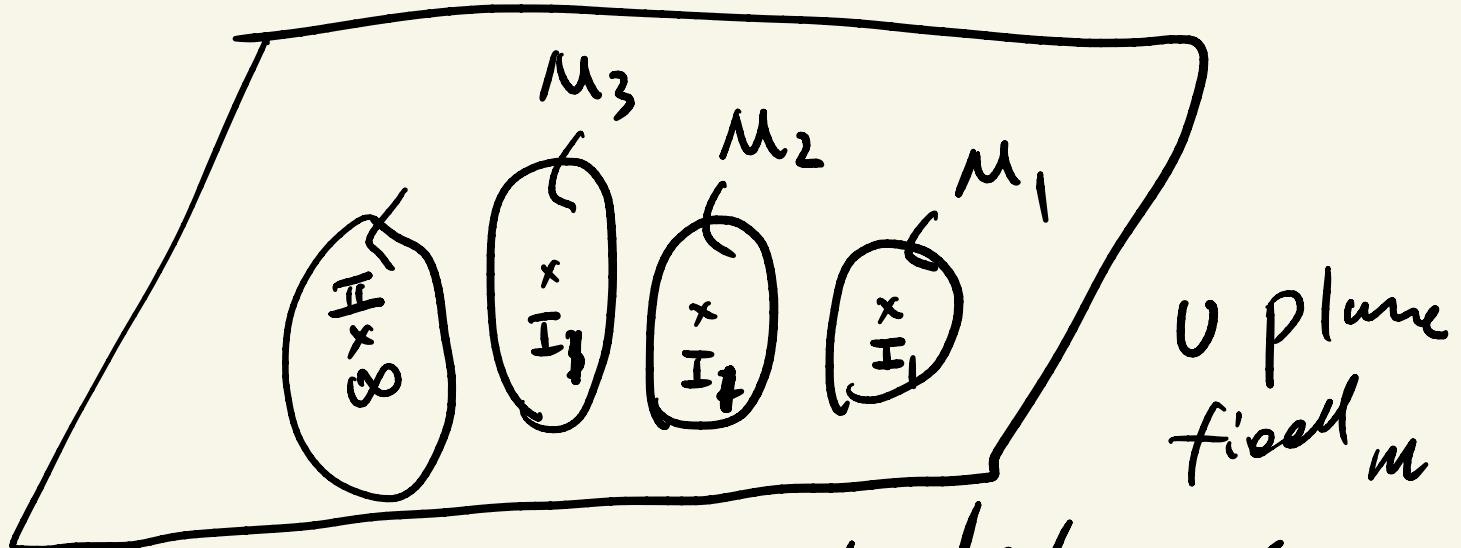
Such Conjugacy class has been
classified as follows

$$I_n = \begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix} \quad II = \begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix} \quad II^* = \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}$$

$$I_n^* = \begin{pmatrix} -1 & -n \\ 0 & -1 \end{pmatrix} \quad III = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad III^* = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

$$IV = \begin{pmatrix} 0 & 1 \\ -1 & -1 \end{pmatrix} \quad IV^* = \begin{pmatrix} -1 & -1 \\ 1 & 0 \end{pmatrix}$$

Let's emphasize that, by looking at
the weight one part, we lose some
information, (as most literature did).



So we get topological data as
shown above !

At generic point, we also have a holomorphic function $T(v)$ (which can be defined using Hodge filtration on H^1), and is multi-valued !! & defined up to $SL(2, \mathbb{C})$ transformation !! (we can form a j invariant)

Classification strategy

- 1) We look at the consistent configuration on the \mathcal{V} plane.
There are some global constraints.
It can be best understood using
the correspondence with

rational elliptic surface !!

{ the monodromy data & the j
invariant gives us a rational
elliptic surface !!

Moreover, all the rational elliptic
surface has been classified!
(Persson's dist)

⇒ So we have a data
base! (finite set)

2) we require the theory is UV complete, and this put the constraint on the possible singular fiber at ∞ !! this condition puts constraint on the eigenvalues of the monodromy group

As we discussed earlier, one can define a set of rational numbers which is actually determined by the eigenvalues (in one case

$$\lambda = e^{\frac{2\pi i}{k}}$$

the conclusion is that, the
fiber at infinity can not be

I_n type !!

3) the third constraint is that
there is only
one-dimensional deformation space
for the singularity at the bulk!

(so-called undeformable singularity)
(This terminology is a bit
confusing)

This constraint immediately
remove the choice of II, III, IV
type singularity at the bulk !!

⇒ the Coulomb branch operator
has dimension < 2, so a relevant
deformation is possible !!

4) A final constraint is called
Dirac quantization. Namely,
for a In Singularity, we assume
that flux is a $\sqrt{n}(1,0)$ BPS
particle which would become massless,

The Dirac pairing is defined
as $(P_1, q_1) \cdot (P_2, q_2)$

$$= P_1 q_2 - q_1 P_2$$

so

$$I_2 I_1 I_1 \quad X$$

$$I_4 I_1 I_1 \quad \checkmark$$

I can now use all above constraints to classify the theories. We still do not have control

over those strange singularities

(I_n^* , II^* , III^* , IV^*), so

We do the following

1) First, we classify configurations
using only T_n fibers.

2) We use the so-called
discrete gauge, which in
the geometric terms, is just

the base change (possibly with quadratic twist(s))

$$g_n : \mathbb{Z} \rightarrow \mathbb{Z}^n$$

and one can get a different rational elliptic surface. If we use the previous configurations with only In fibres, we should get new theories!

Here is the result:

$$\underline{I_1 \text{ Series}} = (\text{II}, I_1^{10}), (\text{III}, I_1^9) (\text{IV}, I_1^8)$$

$$(I_0^4, I_1^6) (\text{IV}^4, I_1^4) (\text{III}^4, I_1^3) (\text{I}^4, I_1^2)$$

$$\underline{I_2 \text{ Series}} = (I_0^4, I_2^3)$$

$$\underline{I_4 \text{ Series}} = (\text{II}, I_4 I_1^5) (\text{III}, I_4 I_1^4) \\ (\text{IV}, I_4 I_1^3)$$

$$(I_0^*, I_4 \bar{I}^2) \quad (\bar{I}, I_4^2 I_1)$$

$$Z_4 \text{ covering } (IV^*, \bar{I}^4) \rightarrow (\bar{I}, \bar{II}^* I_1)$$

$$Z_3 \text{ covering } (I_0^*, \bar{I}^6) \rightarrow \underline{(\bar{I}, IV^* \bar{I}^2)}$$

$$(\bar{III}^*, \bar{I}^3) \rightarrow \underline{(\bar{III}, IV^* I_1)}$$

$$(I_0^*, \bar{I}_2^3) \rightarrow \underline{(\bar{II}, IV^* \bar{I}_2)}$$

Z_2 covering

$$(IV, I_4 I_1^4) \rightarrow (II, I_2^{\neq} I_1^2)$$

$$(IV, I_1^8) \rightarrow (II, I_0^{\neq} I_1^4)$$

$$(I_0^{\neq}, I_4 I_1^2) \rightarrow (III, I_2^{\neq} I_1)$$

$$(I_0^{\neq}, I_2^3) \rightarrow (III, I_1^{\neq} I_2)$$

$$(I_0^{\neq}, I_1^6) \rightarrow (III, I_0^{\neq} I_1^3)$$

$$(IV^4, I_1^4) \rightarrow (IV, I_0^4 I_1^2)$$

To understand those theories further,
I find the D7 brane constructions
are very useful !!

Such D7 brane configuration is
very helpful in finding the
} flavor symmetry
} BPS quiver
} one-form symmetry, etc !!

A (p, q) 7-brane is characterized
by the monodromy

$$K_{[p, q]} = \begin{pmatrix} 1-pq & -p^2 \\ q^2 & 1+pq \end{pmatrix}$$

⋮
⋮
⋮

The basic branes are

$$A = [1, 0]$$

$$B = [1, -1]$$

$$C = [1, 1]$$

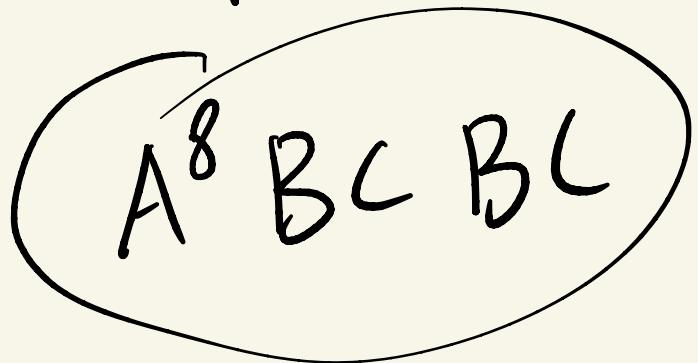
These D7 brane can engineer
Kodaira singularities

$$I_n = A^n \quad \text{II, III, IV, } A^n C$$

$$I_n^* = A^{n+4} BC$$

$$\text{II}^* \text{ III}^* \text{ IV}^* = A^n BC^2, \quad n=7, 6, 5$$

For all the previous theories, we
can find a brane configuration



fundamental
one

trivial total monodromy

Example: $\begin{pmatrix} X_{[4, 1]} & X_{[-3, -1]} \end{pmatrix} A^8 X_{[2, -1]} C$

↓

infinity

($\underline{\mathbb{II}}$, \mathbb{I}_1^{10})

Now let's consider classification
of 5d $N=1$ & 6d (1.0) theory.

We put 5d $N=1$ theory on a circle,
and so at low energy, we get
effectively a 4d $N=2$ theory!

such theory also have a Coulomb branch & the solvables are the same !! There are two important differences :

1) the singular fiber at infinity

Can only be I_n type !!

2) The BPS particle can carry

winding number charge n !

so the dimension of charge lattice
is $2r+f+1$

The classification strategy is the same: we find

$$\begin{aligned} I_1 \text{ series} = & (I_9, I_1^3), (I_8, I_1^4)_a (I_8, I_1^4)_b \\ & (I_7, I_1^5) (I_6, I_1^6), (I_5, I_1^7) (I_4, I_1^8) \\ & (I_3, I_1^9) (I_2, I_1^{10}) (I_1, I_1^{11}) \end{aligned}$$

These are the familiar rank one
fd SCFTs !! (defined as M theories
on cover over del
Ricci surfaces)

I_2 series : (I_2, I_2^5) (I_4, I_2^4)

I_3 series : (I_3, I_3^3)

I_4 series:

$$(I_1, I_4 I_1^7) \quad (I_2, I_4 I_1^6) \quad (I_3, I_4 I_1^5)$$

$$(I_4, I_4 I_1^4)_a \quad (I_4, I_4 I_1^4)_b \quad (I_5, I_4 I_1^3)$$

$$(I_1, I_4^2 I_1^3)_a \quad (I_2, I_4^2 I_1^2)$$

Z_6 covering

$$(I_6, \bar{I}_1^6) \rightarrow (I_1, \bar{II}^4 I_1)$$

Z_4 covering

$$(I_8, \bar{I}_1^4) \rightarrow (I_2, \bar{III}^4 I_1)$$

$$(I_4, \bar{I}_2^4) \rightarrow (I_1, \bar{III}^4 \bar{I}_2)$$

$$(I_4, \bar{I}_1^8) \rightarrow (I_1, \bar{III}^4 \bar{I}_1^2)$$

\exists_3 (overly

$$(I_9, I_1^3) \rightarrow (I_3, I_{\sqrt{4}} I_1)$$

$$(I_3, I_3^3) \rightarrow (I_1, I_{\sqrt{4}} I_3)$$

$$(I_6, I_1^6) \rightarrow (I_2, I_{\sqrt{4}} I_1^2)$$

$$(I_3, I_1^9) \rightarrow (I_1, I_{\sqrt{4}} I_1^3)$$

\mathcal{Z}_2 covers

$$(I_8, I_1^4) \rightarrow (I_4, I_0^4 I_1^2) \quad \left| \begin{array}{l} (I_6, I_1^6) \rightarrow (I_3, I_0^4 I_1^3) \\ (I_4, I_2^4) \rightarrow (I_2, I_0^4 I_2^2) \end{array} \right.$$

$$(I_4, I_2^4) \rightarrow (I_2, I_0^4 I_2^2)$$

$$(I_2, I_4 I_1^6) \rightarrow (I_1, I_2^4 I_1^3)$$

$$(I_4, I_1^8) \rightarrow (I_2, I_0^4 I_1^4)$$

$$(I_4, I_4 I_1^4) \rightarrow (I_2, I_2^4 I_1^2)$$

$$(I_2, I_2^5) \rightarrow (I_1, I_1^4 I_2^2)$$

$$(I_2, I_1^{10}) \rightarrow (I_1, I_0^4 I_1^5)$$

bd (1,0)

We can put bd theory on $T^2 \times$
get low energy Qd $n=2$ theory.

Two differences

a) : The fiber at infinity is

$I_0 \cup$

b) : Two more charges for BPS
particles

I_1 series: I_1^{12} (\bar{E}_8 SCFT)

I_2 series: I_2^6

I_3 series: I_3^4

I_4 series: $I_4 I_1^8$ $(I_4^2 I_1^4)_a$ $(I_4^2 I_1^4)_b$

Z_6 cover: $I_1^{12} \rightarrow \text{II}^* I_1^2$

Z_4 cover: $I_1^{12} \rightarrow \text{III}^* I_1^3$

\exists coverly

$$I_1^{12} \rightarrow I_1^4 I_1^4$$

\exists_2 coverly $I_4 I_1^8 \rightarrow I_2^4 I_1^4$

$$I_2^6 \rightarrow I_0^4 I_2^3$$

$$I_4^2 I_1^4 \rightarrow I_0^4 I_4 I_1^2$$

$$I_1^{12} \rightarrow I_0^4 I_1^6$$

Many of these theories
deserve further study !!