

Towards a classification of Fermionic Rational CFTs

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Based on: J. Bae, ZD, K. Lee, S. Lee, M. Sarkis, arXiv: 2010.12392/2108.01647

ZD, K. Lee, S. Lee, L. Li, arXiv: 2210.06805

Joint HEP-TH seminar



Overview

- Holomorphic modular bootstrap
- Extension to fermionic theories
- Integrality
- Summary

Motivation

- Describe critical phenomena of phase transitions
- Describe 2D world-sheet of string theory
- Deep mathematical structure

CFT Basics

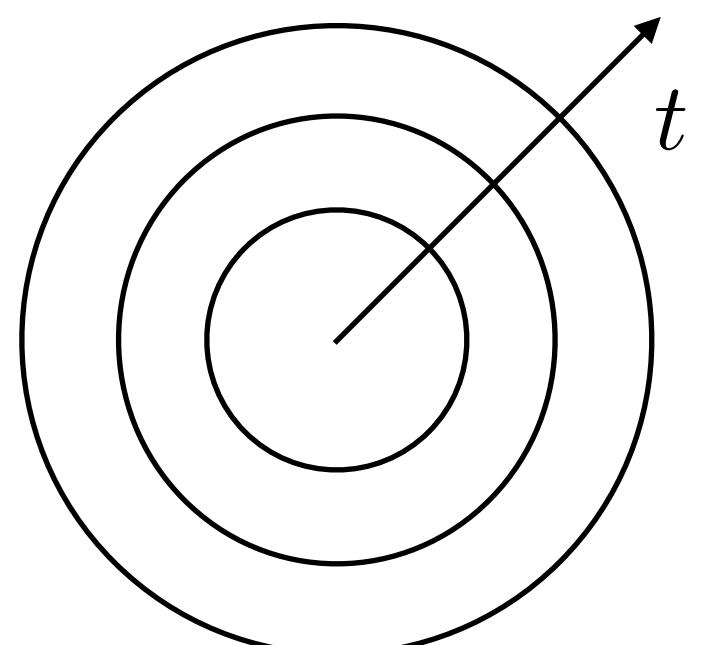
- 2D conformal field theory contains two copies of **Virasoro algebras** as its symmetry algebra

$$[L_m, L_n] = (m - n)L_{m+n} + \frac{c}{12}(m^3 - m)\delta_{m,-n} \quad (m \in \mathbb{Z})$$

- Conformal primaries are highest-weight states:

$$L_0|h\rangle = h|h\rangle, \quad L_{n>0}|h\rangle = 0 \quad \text{Conformal descendants: } L_{-k_1}L_{-k_2}\cdots L_{-k_n}|h\rangle$$

- Radial quantization



Degeneracy

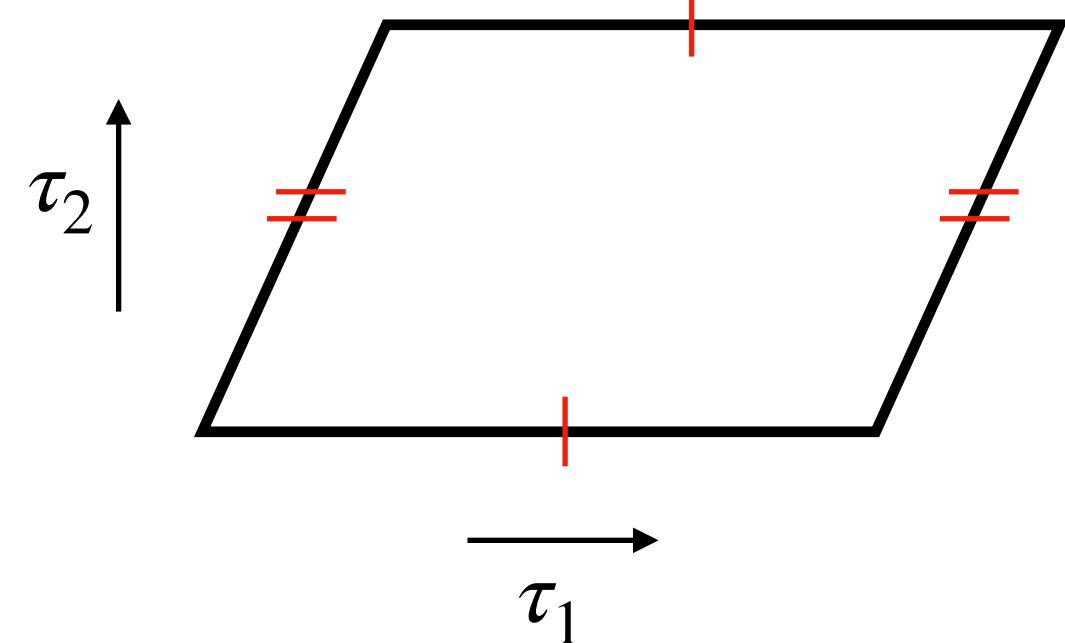
$$\mathcal{H}_{S^1} = \bigoplus_{h, \bar{h}} \widetilde{d_{h, \bar{h}}} V_h \otimes V_{\bar{h}}$$

Highest-weight Representation

Two arrows point downwards from the term $\widetilde{d_{h, \bar{h}}} V_h \otimes V_{\bar{h}}$ to the label "Highest-weight Representation".

CFT Basics

- Torus Partition Function



$$\begin{aligned}
 Z(\tau, \bar{\tau}) &= \text{Tr}_{\mathcal{H}_{S^1}} [\exp(2\pi i \tau_1 P - 2\pi \tau_2 H)] \\
 &= \text{Tr}_{\mathcal{H}_{S^1}} [q^{L_0 - c/24} \bar{q}^{\bar{L}_0 - c/24}] \\
 &= \sum_{h, \bar{h}} d_{h, \bar{h}} \underbrace{\text{Tr}_{V_h} [q^{L_0 - \frac{c}{24}}]}_{\chi_h(\tau)} \underbrace{\text{Tr}_{V_{\bar{h}}} [\bar{q}^{\bar{L}_0 - \frac{c}{24}}]}_{\chi_{\bar{h}}(\bar{\tau})}
 \end{aligned}$$

$q = e^{2\pi i(\tau_1 + i\tau_2)}$
 $= e^{2\pi i\tau}$

Character: counting states in rep.

- Modular invariance

$\text{SL}(2, \mathbb{Z})$ symmetry group generated by T and S

$$\mathcal{T} : Z(\tau, \bar{\tau}) \rightarrow Z(\tau + 1, \bar{\tau} + 1) = \text{Tr}[e^{2\pi i \textcolor{red}{J}} q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - \frac{c}{24}}] \quad \text{States must have integer spin}$$

$$\mathcal{S} : Z(\tau, \bar{\tau}) \rightarrow Z(-\frac{1}{\tau}, -\frac{1}{\bar{\tau}}) \quad \text{Impose constraints on the spectrum}$$

Rational CFT

- Rational CFT has only **finitely** many conformal primaries $\Rightarrow c$ and all h rational!

[Anderson, Moore 1988] [Vafa 1988]

$$Z(\tau, \bar{\tau}) = \sum_{i,j=0}^{n-1} \chi_i d_{ij} \bar{\chi}_j$$

Lattice CFT, WZW model, etc

$$= \underbrace{(\chi_0, \dots, \chi_{n-1})}_{\downarrow} \cdot \mathcal{M} \cdot \begin{pmatrix} \bar{\chi}_0 \\ \vdots \\ \bar{\chi}_{n-1} \end{pmatrix}$$

Classify?

- (Holomorphic) characters form a weight zero **vector-valued modular form** for $\mathrm{SL}(2, \mathbb{Z})$

$$\chi_i(\tau + 1) = \sum_{j=0}^{n-1} T_{ij} \chi_j(\tau), \quad \chi_i(-\frac{1}{\tau}) = \sum_{j=0}^{n-1} S_{ij} \chi_j(\tau)$$

Modularity

- Recall MF of weight k

$$f\left(\frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^k \tilde{f}(\tau), \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}(2, \mathbb{Z}) \quad \text{e.g.} \quad E_k(\tau) = \sum_{\substack{m, n \in \mathbb{Z} \\ m, n \neq 0}} \frac{1}{(m\tau + n)^k}$$

$\tau \in \mathbb{H}$

Graded ring of **holomorphic** modular forms $M_k(\mathrm{SL}(2, \mathbb{Z}))$ freely generated by E_4 and E_6

Modular Forms also have $q = \exp(2\pi i\tau)$ -expansion

- Characters span solutions to n -th order **Modular Linear Differential Equation (MLDE)**

Related to null vectors [Gaberdiel, Keller 0804.0489]

[Anderson, Moore 1988]

$$\left[D_\tau^n + \sum_{a=0}^{n-1} \phi_a(\tau) D_\tau^a \right] \chi_i(\tau) = 0$$

Modular form of weight $2(n - a)$

Serre Derivative $D_\tau = \frac{1}{2\pi i} \partial_\tau - \frac{k}{12} E_2 : M_k \rightarrow M_{k+2}$

MLDE

- Wronskian method

$$\det \begin{pmatrix} \chi & \chi_0 & \cdots & \chi_{n-1} \\ D\chi & D\chi_0 & \cdots & D\chi_{n-1} \\ \vdots & \vdots & & \vdots \\ D^k\chi & D^k\chi_0 & \cdots & D^k\chi_{n-1} \\ \vdots & \vdots & & \vdots \\ D^n\chi & D^n\chi_0 & \cdots & D^n\chi_{n-1} \end{pmatrix} = 0$$

$$W_k = \det \begin{pmatrix} \chi_0 & \cdots & \chi_{n-1} \\ D_\tau\chi_0 & \cdots & D_\tau\chi_{n-1} \\ \vdots & & \vdots \\ D_\tau^{k-1}\chi_0 & \cdots & D_\tau^{k-1}\chi_{n-1} \\ D_\tau^{k+1}\chi_0 & \cdots & D_\tau^{k+1}\chi_{n-1} \\ \vdots & & \vdots \\ D_\tau^n\chi_0 & \cdots & D_\tau^n\chi_{n-1} \end{pmatrix},$$

$$D_\tau^n\chi + \sum_{a=0}^{n-1} \phi_a(\tau) D_\tau^a \chi = 0$$

Meromorphic

$$\phi_k(\tau) = (-1)^{n-k} \frac{W_k}{W_n}$$

- Physical constraint: characters have no poles in upper half-plane
- Poles of $\phi_a(\tau)$ all come from **zeros** of W_n

Holomorphic modular bootstrap

[Mathur, Mukhi, Sen 1988]

- Classify RCFT by n (number of χ_i) and l (order of zeros for W_n)

- ① Physical constraints on q -expansion

Integrality; Positivity; Unique Vacuum; Unitarity...

$$\begin{cases} \text{vacuum} \\ \chi_0 = q^{-c/24}(1 + \sum a_i q^i), & \underline{a_i \in \mathbb{Z}^{\geq 0}} \\ \chi_i = q^{h_i - c/24}(1 + \sum a_i q^i), & \underline{a_i \in \mathbb{Q}^{\geq 0}} \end{cases}$$

- ② Identify or disprove the solutions

Bounded denominator

- 2nd order holomorphic ($l = 0$) MLDE [Mathur, Mukhi, Sen 1988]

$M_k(\text{SL}(2, \mathbb{Z}))$ generated by E_4 and E_6

$$[D_\tau^2 + \mu E_4] f(\tau) = 0$$

Finite unitary family

$$\mu = -\frac{c(c+4)}{576}, \quad c = \left\{ \frac{2}{5}, 1, 2, \frac{14}{5}, 4, \frac{26}{5}, 6, 7, \frac{38}{5}, 8 \right\}$$

Mathur-Mukhi-Sen family

- MMS (Cvitanović-Deligne) Series

$$\left\{ \frac{2}{5}, 1, 2, \frac{14}{5}, 4, \frac{26}{5}, 6, 7, \left(\frac{38}{5}\right), 8 \right\}$$

↓

$$\underbrace{\{LY, \mathfrak{a}_1, \mathfrak{a}_2, \mathfrak{g}_2, \mathfrak{d}_4, \mathfrak{f}_4, \mathfrak{e}_6, \mathfrak{e}_7, (\mathfrak{e}_{7\frac{1}{2}}), \mathfrak{e}_8\}}$$

Level 1 WZW model

$$\dim L(\theta) = \dim \mathfrak{g} = \frac{2(h^\vee + 1)(5h^\vee - 6)}{h^\vee + 6}$$

$$\dim L(2\theta) = 5(h^\vee)^2 \frac{(2h^\vee + 3)(5h^\vee - 6)}{(h^\vee + 12)(h^\vee + 6)} \quad \dots$$

- Appears also

- At the boundary of 2d numerical modular bootstrap [Collier, Lin, Yin 1608.06241][Bae, Lee, Song 1708.08815]
- Gauge algebra (except $\mathfrak{a}_1, \mathfrak{g}_2$) of minimal 6d $\mathcal{N} = (1,0)$ SCFTs [Morrison, Tylor 1201.1943]
- Instanton moduli space of simply laced \mathfrak{g} = Higgs branch of 4d rank 1 $\mathcal{N} = 2$ SCFT
 $[Beem et al. 1312.5344] \downarrow [Beem, Rastelli 1707.07679]$

(Modified) Schur index = Vacuum character of WZW model at level $-\frac{h^\vee}{6} - 1$ = non-unitary solution of 2nd order MLDE

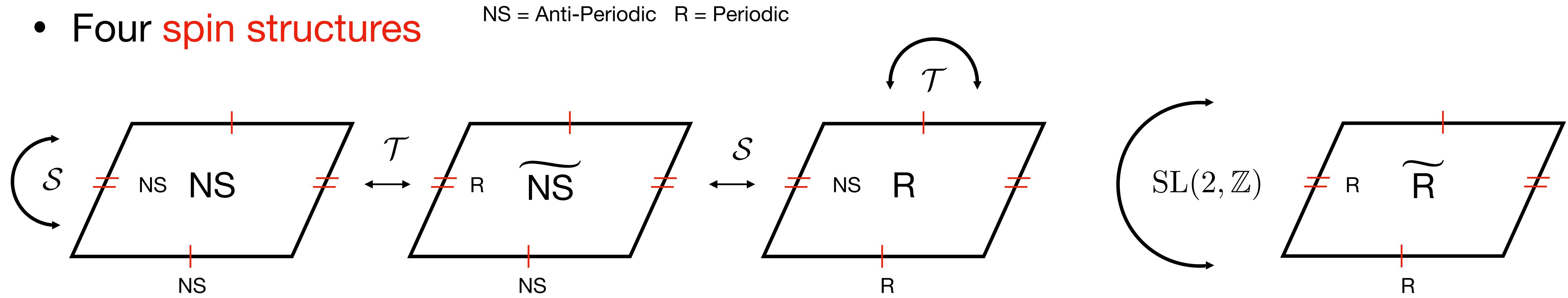
Generalize to fermionic theories!

[J. Bae, ZD, K. Lee, S. Lee, M. Sarkis, 2010.12392]

Are there analogs of MMS family?

Torus Partition Function Revisited

- Four spin structures



- Four partition functions

$$Z_{\text{NS}}(\tau, \bar{\tau}) = \text{Tr}_{\mathcal{H}_{\text{NS}}} \left[q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - c/24} \right], \quad Z_{\widetilde{\text{NS}}}(\tau, \bar{\tau}) = \text{Tr}_{\mathcal{H}_{\text{NS}}} \left[(-1)^F q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - c/24} \right]$$

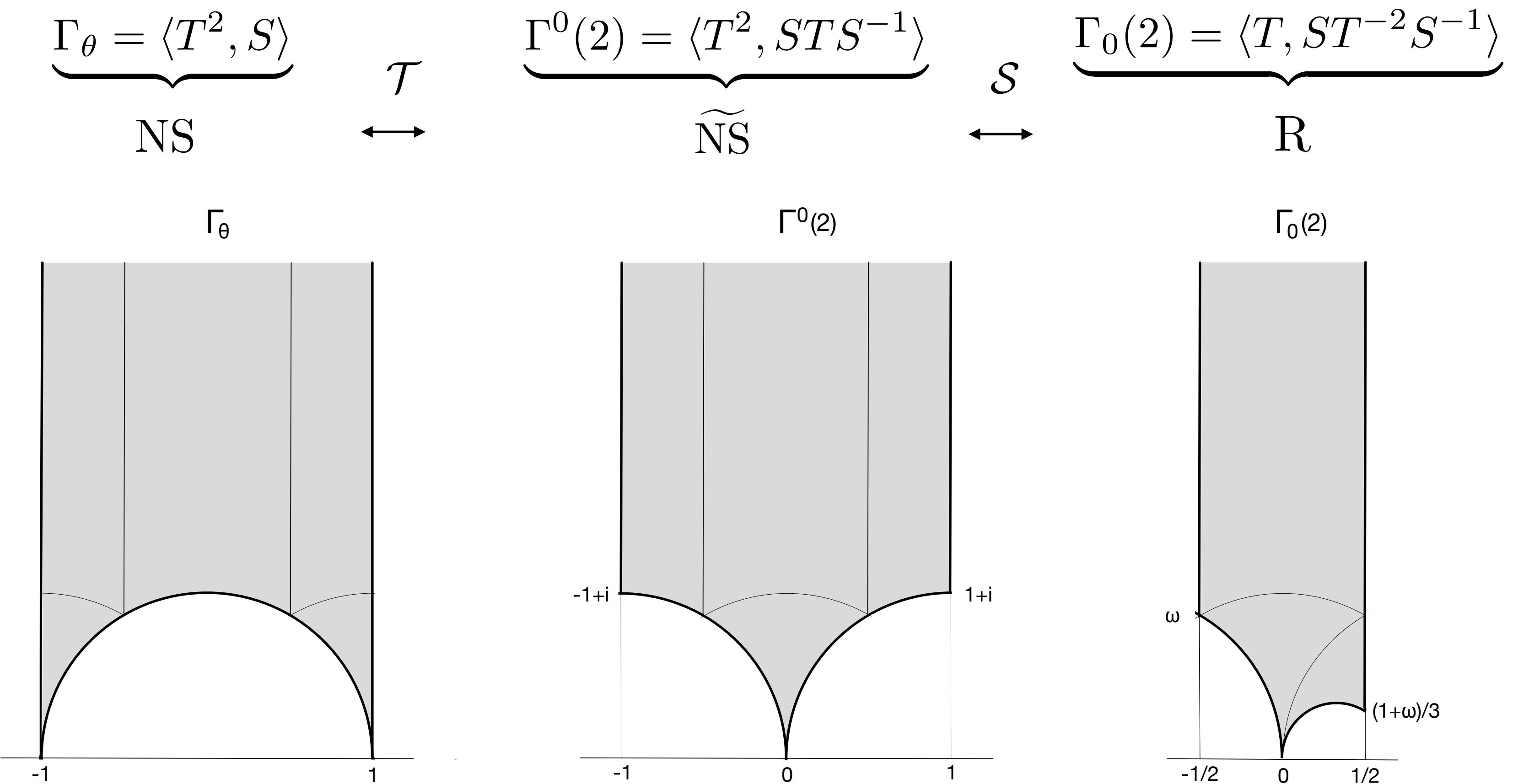
$$Z_{\text{R}}(\tau, \bar{\tau}) = \text{Tr}_{\mathcal{H}_{\text{R}}} \left[q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - c/24} \right], \quad Z_{\widetilde{\text{R}}}(\tau, \bar{\tau}) = \text{Tr}_{\mathcal{H}_{\text{R}}} \left[(-1)^F q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - c/24} \right]$$

Witten index if \exists SUSY

$$h_i \implies h_i^{\text{NS}}, \quad h_i^{\text{R}}$$

Symmetry

- Level-two congruence subgroups of $\text{SL}(2, \mathbb{Z})$



- Fundamental domain

Fermionic MLDE

- MLDE for NS, $\widetilde{\text{NS}}$ and R

Focus on →

| | | |
|---------------|--|--|
| \mathcal{T} | $\left[D_\tau^n + \sum_{a=0}^{n-1} \phi_a(\tau) D_\tau^a \right]$ | $f_i^{\text{NS}}(\tau) = 0, \quad f_i^{\text{NS}} = q^{h_i^{\text{NS}} - \frac{c}{24}} \left(\sum_{i=0}^{\infty} a_i q^{\textcolor{red}{i}/2} \right)$ |
| \mathcal{S} | $\left[D_\tau^n + \sum_{a=0}^{n-1} \phi_a(\tau) D_\tau^a \right]$ | $f_i^{\widetilde{\text{NS}}}(\tau) = 0, \quad f_i^{\widetilde{\text{NS}}} = q^{h_i^{\text{NS}} - \frac{c}{24}} \left(\sum_{i=0}^{\infty} (-1)^i a_i q^{\textcolor{red}{i}/2} \right)$ |
| \mathcal{R} | $\left[D_\tau^n + \sum_{a=0}^{n-1} \phi_a(\tau) D_\tau^a \right]$ | $f_i^{\text{R}}(\tau) = 0, \quad f_i^{\text{R}} = q^{h_i^{\text{R}} - \frac{c}{24}} \left(\sum_{i=0}^{\infty} b_i q^{\textcolor{red}{i}} \right)$ |

- Ring of **holomorphic** modular forms

$$M_{2k}(\Gamma_\theta) = \text{Sym} \langle -\vartheta_2^4, \vartheta_4^4 \rangle$$

$$M_{2k}(\Gamma^0(2)) = \text{Sym} \langle \vartheta_2^4, \vartheta_3^4 \rangle \quad \vartheta_i \text{ Jacobi theta functions, weight } 1/2$$

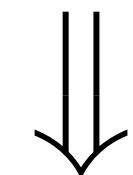
$$M_{2k}(\Gamma_0(2)) = \text{Sym} \langle \vartheta_3^4, \vartheta_4^4 \rangle$$

$$(k \geq 1)$$

Classification of fermionic RCFTs

- Holomorphic first order ($n = 1, l = 0$) MLDE for Γ_θ

$$M_k(\Gamma_\theta) = \text{Sym} \langle -\vartheta_2^4, \vartheta_4^4 \rangle \quad \left[D_\tau + \mu (-\vartheta_2^4(\tau) + \vartheta_4^4(\tau)) \right] f^{\text{NS}} = 0, \quad \mu = \frac{c}{12}$$



Change of variables

$$\left[\frac{d}{d\lambda} + \frac{c}{12} \frac{1-2\lambda}{\lambda(1-\lambda)} \right] f^{\text{NS}} = 0, \quad \lambda = \frac{\vartheta_2^4}{\vartheta_3^4}$$

- All Solutions

$$f_0^{\text{NS}}(\lambda) = \left[\frac{16}{\lambda(1-\lambda)} \right]^{\frac{c}{12}}$$

To have non-negative coefficients $c = \frac{k}{2}, \quad k \in \mathbb{N}$

- Tensor products of $k = 1$ theory. What is it? **Majorana-Weyl fermion!**

Ising Model

- Unitary minimal model $(m+1, m)$ with $c = 1 - \frac{6}{m(m+1)}$
- $m = 3$ is Ising model: $h = 0, \frac{1}{2}$ and $\frac{1}{16}$

$$\chi_0(\tau) = \frac{1}{2} \left[\sqrt{\frac{\vartheta_3(\tau)}{\eta(\tau)}} + \sqrt{\frac{\vartheta_4(\tau)}{\eta(\tau)}} \right] = q^{-\frac{1}{24} \cdot \frac{1}{2}} (1 + q^2 + q^3 + 2q^4 + \dots)$$

$$\chi_\epsilon(\tau) = \frac{1}{2} \left[\sqrt{\frac{\vartheta_3(\tau)}{\eta(\tau)}} - \sqrt{\frac{\vartheta_4(\tau)}{\eta(\tau)}} \right] = q^{\frac{1}{2} - \frac{1}{24} \cdot \frac{1}{2}} (1 + q + q^2 + q^3 + \dots)$$

$$\chi_\sigma(\tau) = \frac{1}{\sqrt{2}} \sqrt{\frac{\vartheta_2(\tau)}{\eta(\tau)}} = q^{\frac{1}{16} - \frac{1}{24} \cdot \frac{1}{2}} (1 + q + q^2 + 2q^3 + \dots)$$

They satisfy a third order holomorphic **bosonic** MLDE

Rank 1 solution

- $k = 1$ ($c = \frac{1}{2}$) solution for fermionic MLDE

$$f_0^{\text{NS}} = \left[\frac{16}{\lambda(1-\lambda)} \right]^{\frac{1}{24}} = \sqrt{\frac{\vartheta_3(\tau)}{\eta(\tau)}} = \chi_0(\tau) + \chi_\epsilon(\tau)$$

$(h = \frac{1}{2})$

$\mathcal{T} \Updownarrow$

$$f_0^{\widetilde{\text{NS}}} = \sqrt{\frac{\vartheta_4(\tau)}{\eta(\tau)}} = \chi_0(\tau) - \chi_\epsilon(\tau) \quad \Rightarrow \quad \text{MW fermion}$$

$\mathcal{S} \Updownarrow$

$$f_0^{\text{R}} = \sqrt{\frac{\vartheta_2(\tau)}{\eta(\tau)}} = \sqrt{2}\chi_\sigma(\tau)$$

- Partition function:

$$\begin{aligned} Z &= |\chi_0|^2 + |\chi_\epsilon|^2 + |\chi_\sigma|^2 \\ &= \frac{1}{2} \left(\underbrace{|\chi_0 + \chi_\epsilon|^2}_{Z_{\text{NS}}} + \underbrace{|\chi_0 - \chi_\epsilon|^2}_{Z_{\widetilde{\text{NS}}}} + \underbrace{|\sqrt{2}\chi_\sigma|^2}_{Z_{\text{R}}} + \underbrace{0}_{Z_{\widetilde{\text{R}}}} \right) \end{aligned}$$

due to fermionic zero mode

Fermionization

Ising model \longleftrightarrow Majorana fermion

Non-anomalous \mathbb{Z}_2 symmetry g Gauging \mathbb{Z}_2 $(-1)^F$ symmetry

- More precisely

e.g. [Karch, Tong, Turner 1902.05550]

Couple to spin TQFT and gauge \mathbb{Z}_2 background field

sum over all spin structures t (GSO projection)

$$Z_{\mathcal{F}}(\rho) = \frac{1}{2^g} \sum_{t \in H^1(\Sigma_g, \mathbb{Z}_2)} Z_{\mathcal{B}}[t + \rho] \exp \left[i\pi \left(\text{Arf}[t + \rho] + \text{Arf}[\rho] + \int t \cup S \right) \right]$$

$$Z_{\mathcal{B}}(T) = \frac{1}{2^g} \sum_{s \in H^1(\Sigma_g, \mathbb{Z}_2)} Z_{\mathcal{F}}[s + \rho] \exp \left[i\pi \left(\text{Arf}[T + \rho] + \text{Arf}[\rho] + \int s \cup T \right) \right]$$

Denote $Z_{(a,b)}$ the partition function with \mathbb{Z}_2 symmetry line a, b in spatial and time direction

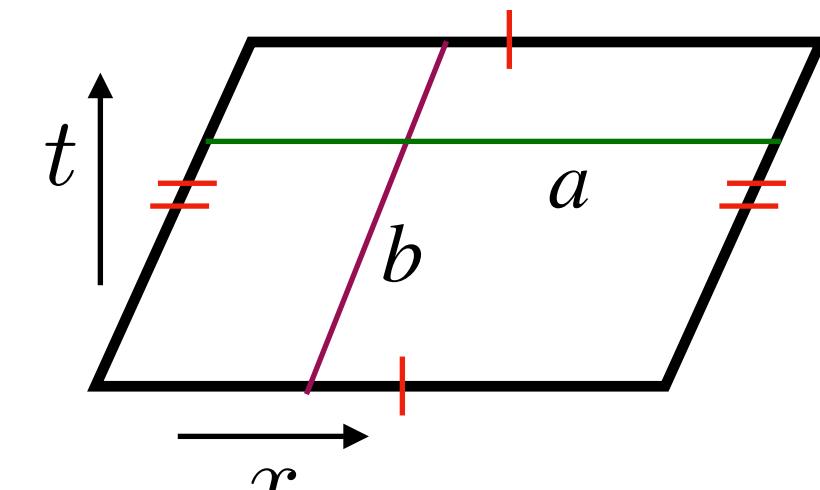
$$Z_{\mathcal{F}}^{\text{NS}} = \frac{1}{2} (Z_{(1,1)} + Z_{(g,1)} + Z_{(1,g)} - Z_{(g,g)}) ,$$

$$Z_{\mathcal{F}}^{\widetilde{\text{NS}}} = \frac{1}{2} (Z_{(1,1)} + Z_{(g,1)} - Z_{(1,g)} + Z_{(g,g)}) ,$$

$$Z_{\mathcal{F}}^{\text{R}} = \frac{1}{2} (Z_{(1,1)} - Z_{(g,1)} + Z_{(1,g)} + Z_{(g,g)}) ,$$

$$Z_{\mathcal{F}}^{\widetilde{\text{R}}} = \frac{1}{2} (Z_{(1,1)} - Z_{(g,1)} - Z_{(1,g)} - Z_{(g,g)}) .$$

$$\text{Tr}_{V_{h_b}} [a q^{L_0 - \frac{c}{24}}]$$



$$Z_{\mathcal{B}} = Z_{(1,1)} = Z_{\mathcal{F}}^{\text{NS}} + Z_{\mathcal{F}}^{\widetilde{\text{NS}}} + Z_{\mathcal{F}}^{\text{R}} + Z_{\mathcal{F}}^{\widetilde{\text{R}}}$$

Two-Character Case

- Holomorphic fermionic MLDE $\left[D_\tau^2 + \mu_1 (-\vartheta_2^4(\tau) + \vartheta_4^4(\tau)) D_\tau + \mu_2 \theta_3^8(\tau) + \mu_3 E_4(\tau) \right] f^{\text{NS}}(\tau) = 0$

$$\begin{array}{c}
 c \text{ and } h^{\text{NS}} \text{ can only fix two of } \mu_i \\
 \text{Extra constraint} \quad \mu_3 = 0 \quad \text{or} \quad a_1 = 0 \quad \text{in} \quad f_0^{\text{NS}} = q^{-\frac{c}{24}} (1 + a_1 q^{\frac{1}{2}} + \dots) \\
 \uparrow \qquad \qquad \qquad \qquad \qquad \qquad \qquad \uparrow \\
 \text{“BPS” condition} \qquad \qquad \qquad \qquad \qquad \qquad \qquad \text{Number of free MW fermions} \\
 \text{Vacuum inside NS sector}
 \end{array}$$

- Strategy
 - ① Physical constraints on q -expansion

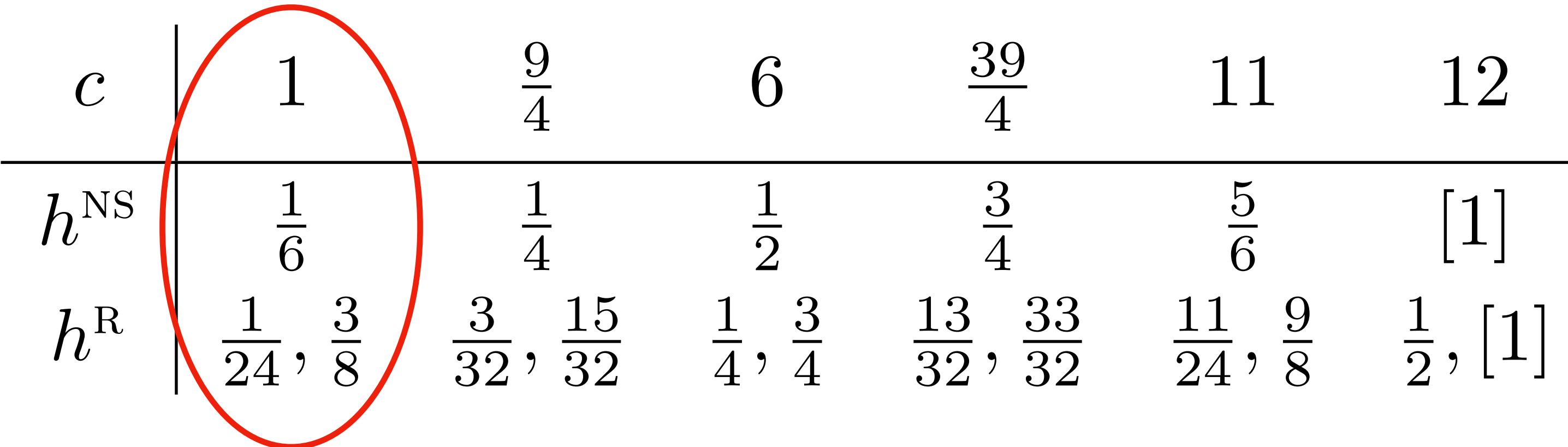
$$\begin{cases} f_0^{\text{NS}} = q^{-c/24} (1 + \sum a_i q^{\frac{i}{2}}), & \underline{a_i \in \mathbb{Z}^{\geq 0}} \\ f_1^{\text{NS}} = q^{h^{\text{NS}} - c/24} (1 + \sum a_i q^{\frac{i}{2}}), & \underline{a_i \in \mathbb{Q}^{\geq 0}} \end{cases}$$
 Put bound on the denominators of c, h^{NS} and numerically search Bounded denominator
 - ② Identify or disprove the solutions
 - Check the fusion algebra
 - Check the Ramond sector [Benjamin, Lin 2005.02394]

Result

| type | property | central charge c |
|---------------------|--|--|
| BPS, I | $h_-^R = \frac{c}{24}, a_1 = 0$ | $1, \frac{9}{4}, 6, \frac{39}{4}, 11, 12$ |
| BPS, II | $h_-^R = \frac{c}{24}, a_1 \neq 0$ | $\frac{3}{4}, \frac{3}{2}, 3, 6, 9, \frac{21}{2}, \frac{45}{4}, 12$ |
| non-BPS, I | $h_-^R > \frac{c}{24}, h_-^{\text{NS}} \neq \frac{1}{2}$ | $\frac{7}{10}, \frac{133}{10}, \frac{91}{5}, \frac{102}{5}, 21, \frac{85}{4}, 22, \frac{114}{5}$ |
| non-BPS, II | $h_-^R > \frac{c}{24}, h_-^{\text{NS}} = \frac{1}{2}$ | $\frac{9}{2}, 5, \frac{11}{2}, 6, \frac{13}{2}, 7, \frac{15}{2}$ |
| non-BPS, III | one-parameter family | 16 |
| non-BPS, IV | single-character | $\frac{17}{2}, 9, \frac{19}{2}, 10, \dots, \frac{47}{2}$ |

[J. Bae, ZD, K. Lee, S. Lee, M. Sarkis, 2010.12392]

Result



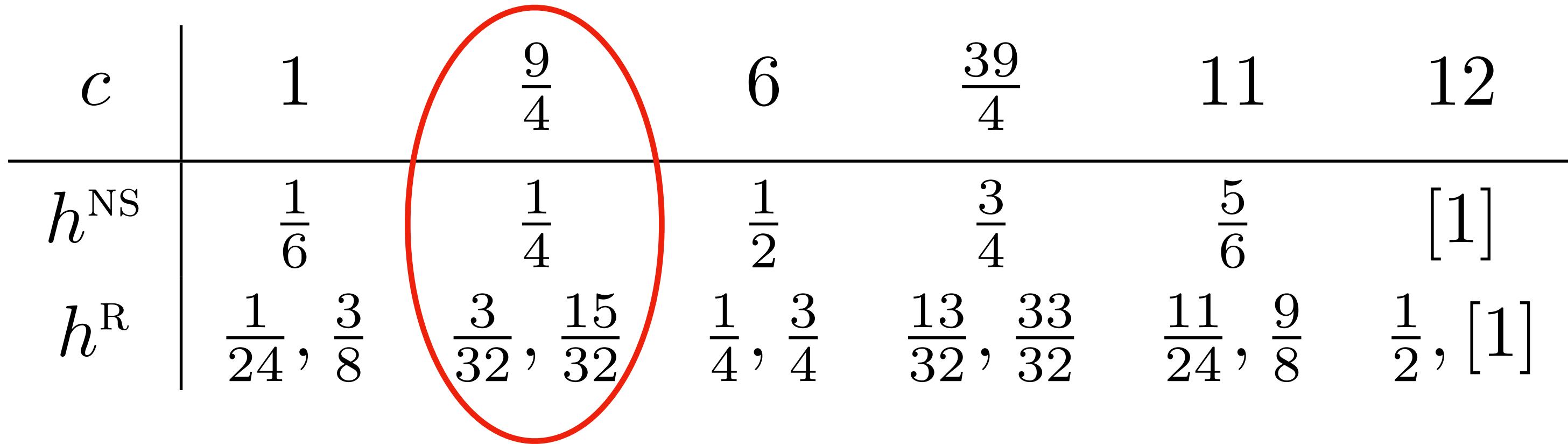
- A_k type $\mathcal{N} = 2$ superconformal minimal model

$$c(k) = \frac{3k}{k+2}, \quad (k = 1, 2, 3, \dots)$$

- For $k = 1$ ($c = 1$), $(h^{\text{NS}}, Q) = (0, 0), (\frac{1}{6}, \pm\frac{1}{3})$
 \downarrow
 $U(1)_R$

$$\begin{cases} f_0^{\text{NS}} = \chi_{(0,0)}^{\text{NS}} = q^{-\frac{1}{24}} \left(1 + q + 2q^{\frac{3}{2}} + 2q^2 + 2q^{\frac{5}{2}} + \dots \right) \\ f_1^{\text{NS}} = \chi_{(\frac{1}{6}, \pm\frac{1}{3})}^{\text{NS}} = q^{\frac{1}{6} - \frac{1}{24}} \left(1 + q^{\frac{1}{2}} + q + q^{\frac{3}{2}} + 2q^2 + \dots \right) \end{cases}$$

Result



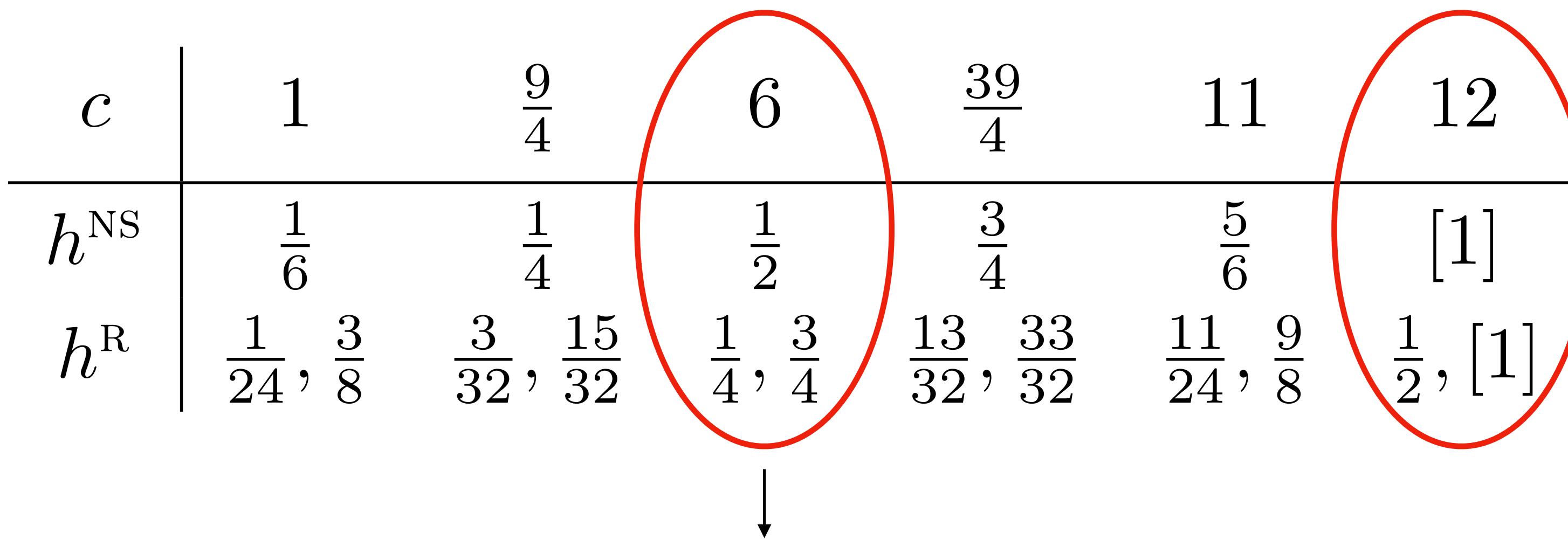
- **Fermionization** of α_1 level six WZW model (7 primaries, \mathbb{Z}_2 symmetry from Verlinde line)

At the level of partition function:

$$\begin{aligned}
 Z &= |\chi_0|^2 + |\chi_1|^2 + |\chi_2|^2 + |\chi_3|^2 + |\chi_4|^2 + |\chi_5|^2 + |\chi_6|^2 \\
 &= \frac{1}{2} \left(\underbrace{|\chi_0 + \chi_6|^2 + |\chi_2 + \chi_4|^2}_{Z_{\text{NS}}} + \underbrace{|\chi_0 - \chi_6|^2 + |\chi_2 - \chi_4|^2}_{Z_{\widetilde{\text{NS}}}} + \underbrace{|\chi_1 + \chi_5|^2 + |\sqrt{2}\chi_3|^2}_{Z_{\text{R}}} + \underbrace{|\chi_1 - \chi_5|^2}_{Z_{\widetilde{\text{R}}}} \right) = 4
 \end{aligned}$$

Macdonald
Identity (The yellow book Ex.14.9)

Result



- Fermionization of six copies of \mathfrak{a}_1 level one WZW model

Conformal weights: (lots of degeneracies) $h = 0, \frac{1}{4}, \frac{1}{2}, \frac{3}{4}, 1, \frac{5}{4}, \frac{3}{2}$ ($\chi_{\frac{1}{4}} - \chi_{\frac{5}{4}} = 2$)

$\mathcal{N} = 4$ supersymmetry? [Harvey, Moore 2003.13700]

- One-character theory. The second solution does not make sense as a character.

What is it? Why we list it here?



$C = 12$ Theory

- Solution $f_0^{\text{NS}} = K(\tau) = \frac{16}{\lambda(1-\lambda)} - 24, \quad \lambda = \frac{\theta_2^4}{\theta_3^4}$

Describe \mathbb{Z}_2 orbifold of eight free bosons + eight free fermions

$\exists \mathcal{N} = 1$ super vertex operator algebra

[Duncan 0502267]

- Very large symmetry group known as Conway group Co_0 (order $\sim 8 \times 10^8$)

Start from 24 free fermions: to generate the required supercurrent, $W = \sum_{\alpha=1}^{4096} \epsilon^\alpha W_\alpha$

A choice of ϵ^α breaks $O(24)$ symmetry to Co_0

- Conjectured to be holographically dual to the pure $\mathcal{N} = 1$ supergravity in AdS_3 [Witten 0706.3359]

Bilinear Relation

| | | | | | | | |
|--------------------|---|---------------|----|----------------|----|-----|--|
| c | 1 | $\frac{9}{4}$ | 6 | $\frac{39}{4}$ | 11 | 12 | |
| \mathcal{M} | 2 | 1 | 15 | 1 | 2 | [0] | |
| Primary degeneracy | | | | | | | $f_0^{\text{NS},(c)} f_0^{\text{NS},(12-c)} + \mathcal{M} f_1^{\text{NS},(c)} f_1^{\text{NS},(12-c)} = f_0^{\text{NS},(12)} = K(\tau)$ |

A diagram illustrating the primary degeneracy for $c=12$. It shows a rectangle divided into three vertical sections by two internal arrows pointing upwards. The left section has height 2, the middle section has height 15, and the right section has height 2. This corresponds to the \mathcal{M} column entry [0] for $c=12$.

- Decompose the one-character theory into two sub-theories

Generalized coset construction [[Gaberdiel, Hampapura, Mukhi 1602.01022](#)] Extended to 4-point functions [[Muhki, Poddar 2011.09487](#)]

Related to Hecke image of characters [[Harvey, Wu 1804.06860](#)][[ZD, Lee, Sun 2206.07478](#)]

Conway Moonshine

- Bilinear relation:

Decompose Conway CFT into theories with subgroup symmetry

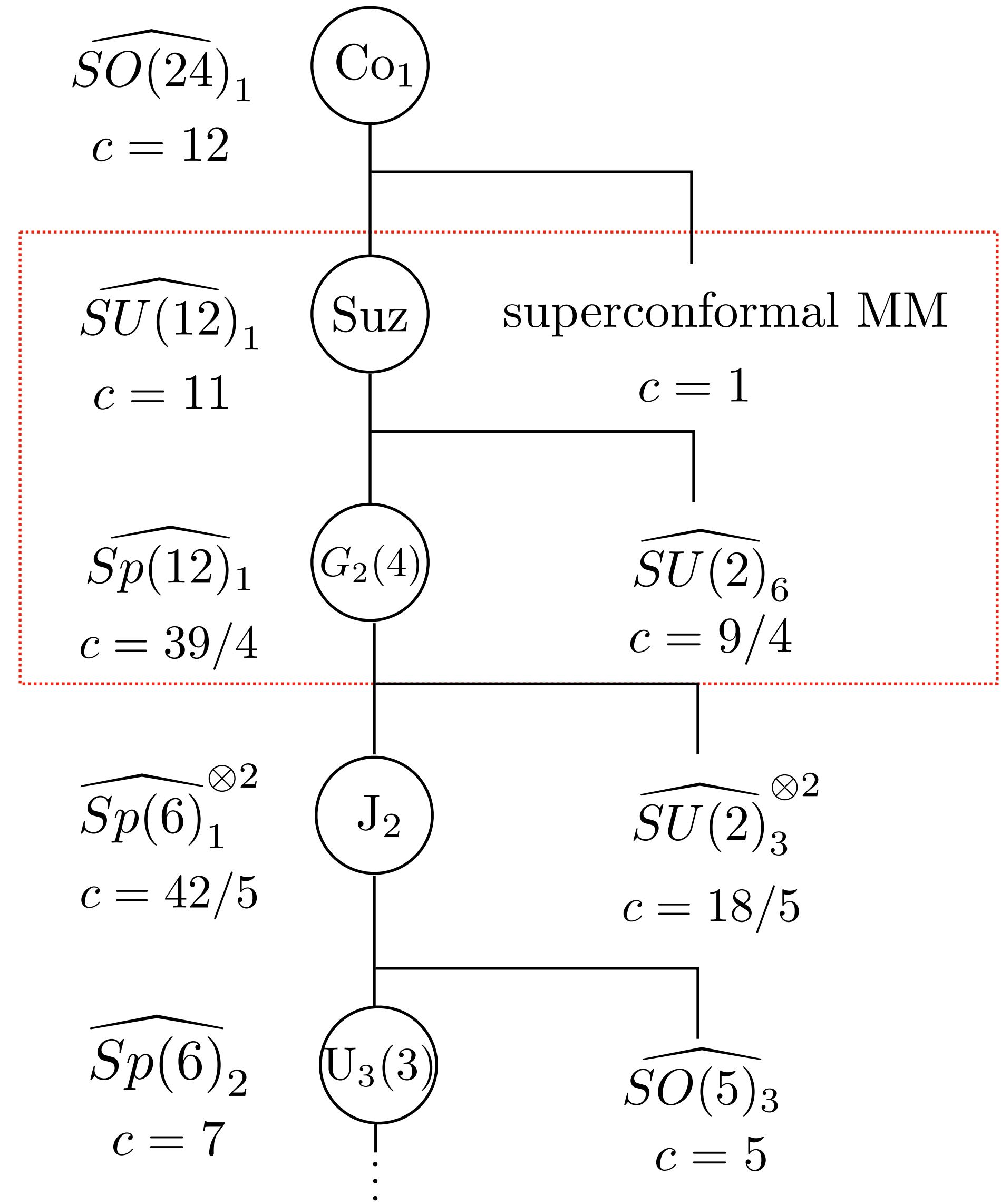
$$f_0^{\text{NS},(c)} f_0^{\text{NS},(12-c)} + \mathcal{M} f_1^{\text{NS},(c)} f_1^{\text{NS},(12-c)} = f_0^{\text{NS},(12)} = K(\tau)$$

- $\mathcal{N} = 1$ SVOA with symmetry Suzuki chain subgroups are identified in [Johnson-Freyd 1908.11012]

Dual theories naturally appear from the classification

- Beyond two characters starting from J_2 group

[J. Bae, ZD, K. Lee, S. Lee, M. Sarkis, 2108.01647]



Comparison with MTC classification

- Modular Tensor Category (MTC) contains objects with topological spin, \exists fusion, braiding operations...
- Similarity: modularity plays an important role
- Difference:

| Holomorphic bootstrap | | MTC Classification |
|---------------------------------|--------------------|-------------------------|
| Explicit form of characters | Reduce → | Modular data (T, S) |
| Cannot distinguish degeneracies | Increase rank → | No degeneracy |
| New constraints? Refinement? | Input ← | More structures |

- Fermionic theories: Spin/Super/Fermionic MTC

[Bruillard et al, 1705.05293]
[Bruillard, Plavnik, Rowell, Zhang, 1909.09843]

First non-trivial example: \mathfrak{a}_1 level six WZW model

Rank 3

- Problem: number of parameters grows too fast

For holomorphic case, $c, h_1^{\text{NS}}, h_2^{\text{NS}}$ determine three out of five; impose both “BPS” condition and absence of free fermions.

| Class | $(c, h_1^{\text{NS}}, h_2^{\text{NS}}, h_0^{\text{R}}, h_1^{\text{R}}, h_2^{\text{R}})$ |
|-------|--|
| I | $\left(\frac{3m}{2}, \frac{1}{2}, \frac{m}{8}, \frac{m}{16}, \frac{1}{16}(2m+4+ m-4), \frac{1}{16}(2m+4- m-4)\right)$ |
| I | $(12, \frac{1}{2}, 1, \frac{1}{2}, \frac{3}{2}, 1), (18, \frac{1}{2}, \frac{3}{2}, \frac{3}{4}, \frac{9}{4}, \frac{5}{4}), (24, \frac{1}{2}, 2, 1, 3, \frac{3}{2})$ |
| II | $(2, \frac{1}{6}, \frac{1}{3}, \frac{1}{12}, \frac{3}{4}, \frac{5}{12}), (\frac{18}{5}, \frac{3}{10}, \frac{2}{5}, \frac{3}{20}, \frac{3}{4}, \frac{11}{20}), (\frac{9}{2}, \frac{1}{4}, \frac{1}{2}, \frac{3}{16}, \frac{15}{16}, \frac{9}{16}), (5, \frac{1}{3}, \frac{1}{2}, \frac{5}{24}, \frac{7}{8}, \frac{5}{8}),$ $(7, \frac{1}{2}, \frac{2}{3}, \frac{7}{24}, \frac{7}{8}, \frac{5}{8}), (\frac{15}{2}, \frac{1}{2}, \frac{3}{4}, \frac{5}{16}, \frac{15}{16}, \frac{9}{16}), (\frac{42}{5}, \frac{3}{5}, \frac{7}{10}, \frac{7}{20}, \frac{19}{20}, \frac{3}{4}), (10, \frac{2}{3}, \frac{5}{6}, \frac{5}{12}, \frac{13}{12}, \frac{3}{4})$ |
| III | $(\frac{39}{2}, \frac{3}{4}, \frac{3}{2}, \frac{13}{16}, \frac{33}{16}, \frac{23}{16}), (\frac{66}{5}, \frac{4}{5}, \frac{11}{10}, \frac{11}{20}, \frac{27}{20}, \frac{3}{4}), (22, \frac{5}{6}, \frac{5}{3}, \frac{11}{12}, \frac{9}{4}, \frac{19}{12})$ |
| IV | $(1, \frac{1}{6}, \frac{1}{2}, \frac{1}{24}, \frac{3}{8}, \frac{1}{8}), (\frac{3}{2}, \frac{1}{2}, \frac{1}{4}, \frac{1}{16}, \frac{5}{16}, \frac{3}{16}), (3, \frac{1}{2}, \frac{1}{3}, \frac{1}{8}, \frac{11}{24}, \frac{3}{8}),$ $(9, \frac{1}{2}, \frac{2}{3}, \frac{3}{8}, \frac{9}{8}, \frac{25}{24}), (\frac{21}{2}, \frac{1}{2}, \frac{3}{4}, \frac{7}{16}, \frac{21}{16}, \frac{19}{16}), (11, \frac{1}{2}, \frac{5}{6}, \frac{11}{24}, \frac{11}{8}, \frac{9}{8})$ |

[J. Bae, ZD, K. Lee, S. Lee, M. Sarkis, 2108.01647]

- Improvement: make use of **integrality!**

Already appeared in MTC literature [W. Eholzer 9408160], heavily used e.g. in [Ng, Rowell, Wang, Wen, 2203.14829]

First appeared in holomorphic bootstrap [Kaidi, Lin, Parra-Martinez 2107.13557]

Integrality for $\mathrm{SL}(2, \mathbb{Z})$

- Unbounded Denominator Conjecture (congruence property in MTC):
If coefficients of a vector-valued modular form (characters in particular) are all integral,
each component is invariant under a fixed **principal congruence subgroup** $\Gamma(N)$
proved by [Calegari, Dimitrov, Tang, 2109.09040] Smallest N possible
- $\Gamma(N) := \left\{ \begin{pmatrix} a & b \\ d & e \end{pmatrix} \in \mathrm{SL}(2, \mathbb{Z}), N \in \mathbb{N}, \quad \begin{pmatrix} a & b \\ d & e \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$
- Action of $\mathrm{SL}(2, \mathbb{Z})$ is reduced to: $\mathrm{SL}(2, \mathbb{Z})/\Gamma(N) = \mathrm{SL}(2, \mathbb{Z}_N)$ Finite group
- No assumptions on positivity; $-\mathrm{id}$ acts trivially, so actually $\mathrm{PSL}(2, \mathbb{Z}_N)$ reps

Fun with Finite Group

- If $N = \prod_i p_i^{\lambda_i}$ with p_i prime, then:

$$\mathrm{SL}(2, \mathbb{Z}_N) = \prod_i \mathrm{SL}(2, \mathbb{Z}_{p_i^{\lambda_i}})$$

- Understand representation theory of $\mathrm{SL}(2, \mathbb{Z}_{p^\lambda})$, then tensor them together

Easily accessed from e.g., GAP

- Assumption:

- ① characters transform as irreducible representation
Not for degenerate theory where $\exists (i, j), h_i - h_j \in \mathbb{Z}$
- ② p^λ cannot be reduced further
Not for irreps that are pulled back from irreps of $\mathrm{SL}(2, \mathbb{Z}_{p^{\lambda-1}})$

Lesson from Finite Group

- Define exponents α_i as $\chi_i \sim q^{\alpha_i}(1 + \dots)$, i.e., $\alpha_i = h_i - \frac{c}{24}$ ($0 \leq i \leq n - 1$)
- For given n , the set of $\{\alpha_i \pmod{1}\}$ is always finite, independent of l and can be determined

| N | Exponents mod 1 |
|-----|---|
| 2 | $\{0, \frac{1}{2}\}$ |
| 6 | $\{\frac{2}{3}, \frac{1}{6}\}, \{\frac{1}{3}, \frac{5}{6}\}$ |
| 8 | $\{\frac{1}{8}, \frac{3}{8}\}, \{\frac{5}{8}, \frac{7}{8}\}$ |
| 12 | $\{\frac{1}{4}, \frac{11}{12}\}, \{\frac{3}{4}, \frac{5}{12}\} \quad \{\frac{1}{4}, \frac{7}{12}\}, \{\frac{3}{4}, \frac{1}{12}\} \quad \{\frac{1}{12}, \frac{5}{12}\}, \{\frac{7}{12}, \frac{11}{12}\}$ |
| 20 | $\{\frac{1}{20}, \frac{9}{20}\}, \{\frac{3}{20}, \frac{7}{20}\}, \{\frac{11}{20}, \frac{19}{20}\}, \{\frac{13}{20}, \frac{17}{20}\}$ |
| 24 | $\{\frac{11}{24}, \frac{17}{24}\}, \{\frac{5}{24}, \frac{23}{24}\} \quad \{\frac{1}{24}, \frac{19}{24}\}, \{\frac{7}{24}, \frac{13}{24}\}$ |
| 60 | $\{\frac{11}{60}, \frac{59}{60}\}, \{\frac{17}{60}, \frac{53}{60}\}, \{\frac{23}{60}, \frac{47}{60}\}, \{\frac{29}{60}, \frac{41}{60}\} \quad \{\frac{1}{60}, \frac{49}{60}\}, \{\frac{7}{60}, \frac{43}{60}\}, \{\frac{19}{60}, \frac{31}{60}\}, \{\frac{13}{60}, \frac{37}{60}\}$ |

- When $n = 2$

- Extend the classification to $n \leq 5$, $l < 6$ [Kaidi, Lin, Parra-Martinez 2107.13557]

Integrality for Γ_ϑ ?

- Conjecture (is it?):

If coefficients of a vector-valued modular form of Γ_ϑ are all integral,
each component is invariant under a fixed **principal congruence subgroup** $\Gamma(N)$ with N even

For super MTC under technical assumption, proved by [Bonderson, Rowell, Zhang, Wang 1704.02041]

Smallest N possible

- $T^N \in \Gamma(N) := \left\{ \begin{pmatrix} a & b \\ d & e \end{pmatrix} \in \mathrm{SL}(2, \mathbb{Z}), N \in \mathbb{N}, \quad \begin{pmatrix} a & b \\ d & e \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\} < \Gamma_\theta = \langle T^2, S \rangle$
subgroup
- Action of Γ_ϑ is reduced to: $\Gamma_\theta/\Gamma(N) < \mathrm{SL}(2, \mathbb{Z}_N)$
- Similar conjecture for $\widetilde{\text{NS}}$ sector: $\Gamma^0(2)$ and R sector: $\Gamma_0(2)$

More Fun with Finite Group

- If $N = 2^k \times \prod_i p_i^{\lambda_i}$ with p_i odd prime, then:

$$\Gamma_\theta/\Gamma(N) = \Gamma_\theta/\Gamma(2^k) \times \prod_i \mathrm{SL}(2, \mathbb{Z}_{p_i^{\lambda_i}})$$

- New ingredient: understand representation theory of $\Gamma_\theta/\Gamma(2^k)$

[Cho, Kim, Seo, You, 2210.03681]

- How to identify it?

$\Gamma_\theta/\Gamma(2^k)$ is a **2-Sylow subgroup** of $\mathrm{SL}(2, \mathbb{Z}_{2^k})$!
Order is a pure power of 2

Precisely three of them
 $\Gamma_\theta/\Gamma(2^k)$, $\Gamma^0(2)/\Gamma(2^k)$ and $\Gamma_0(2)/\Gamma(2^k)$

- Same assumptions: non-degeneracy, irreducibility of representations

More Lesson from Finite Group

- Irrep $\mathcal{R}_i \iff$ character function $\chi_i(g) = \text{Tr}_{\mathcal{R}_i} g$
- For given $\Gamma_\theta/\Gamma(2^k)$, extract eigenvalues of some elements
 $\langle T^2, S \rangle$

① $g_m = T^{2m}$ for $m = 0, 1, \dots, 2^{k-1} - 1$: T^2 acts diagonally

knowing all values $\chi_{\mathcal{R}_i}(g_m) \iff$ knowing eigenvalues of $T^2 = e^{2\pi i(2\alpha_k^{\text{NS}})}$ $2\alpha_k^{\text{NS}} = 2h_k^{\text{NS}} - \frac{c}{12} \pmod{1}$

② $h_j = (ST)^{-1}T^j(ST)$ for $j = 0, 1, \dots, 2^k - 1$: Lift \mathcal{R}_i from $\Gamma_\theta/\Gamma(2^k)$ to $\text{SL}(2, \mathbb{Z}_{2^k})$

ST Conjugates \mathbf{R} to \mathbf{NS}

knowing all values $\chi_{\mathcal{R}_i}(h_j) \iff$ knowing eigenvalues of T in $\Gamma_0(2)/\Gamma(2^k) = e^{2\pi i \alpha_k^{\text{R}}}$

$$\alpha_k^{\text{R}} = h_k^{\text{R}} - \frac{c}{24} \pmod{1}$$

Example: $\Gamma_\theta/\Gamma(4)$

gap> Display(CharacterTable(sub2));
CT1

| | 2 | 4 | 3 | 3 | 3 | 3 | 3 | 4 | 4 | 3 | 4 |
|------|----|----|----|----|----|----|----|----|----|----|---|
| | 1a | 4a | 4b | 4c | 4d | 2a | 2b | 2c | 2d | 2e | |
| X.1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| X.2 | 1 | -1 | 1 | -1 | 1 | -1 | 1 | 1 | -1 | 1 | |
| X.3 | 1 | -1 | -1 | -1 | -1 | 1 | 1 | 1 | 1 | 1 | |
| X.4 | 1 | 1 | -1 | 1 | -1 | -1 | 1 | 1 | -1 | 1 | |
| X.5 | 1 | A | -A | -A | A | 1 | 1 | -1 | -1 | -1 | |
| X.6 | 1 | -A | -A | A | A | -1 | 1 | -1 | 1 | -1 | |
| X.7 | 1 | -A | A | A | -A | 1 | 1 | -1 | -1 | -1 | |
| X.8 | 1 | A | A | -A | -A | -1 | 1 | -1 | 1 | -1 | |
| X.9 | 2 | . | . | . | . | -2 | 2 | . | -2 | | |
| X.10 | 2 | . | . | . | . | -2 | -2 | . | 2 | | |

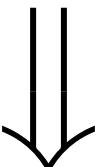
\uparrow \uparrow \uparrow \uparrow
 $A = E(4)$ id $(ST)^{-1}T(ST)$ T^2 $-\text{id}$
 $= \text{Sqrt}(-1) = i$

Pulled back from $\Gamma_\theta/\Gamma(2)$

Result

[ZD, K. Lee, S. Lee, L.Li, 2210.06805]

- Finiteness at given rank: When N is large, low dimensional irreps are all pullbacks
- For rank $n \leq 4$, we determine all possible N for $\Gamma(N)$ and irreps:
 - $n = 1, N \in \{2, 4, 6, 8, 12, 16, 24, 48\}$, 48 irreps
 - $n = 2, N \in \{4, 8, 12, 16, 20, 24, 32, 40, 48, 60, 80, 96, 120, 240\}$, 300 irreps
 - $n = 3, N \in \{6, 10, 12, 14, 20, 24, 28, 30, 40, 42, 48, 56, 60, 80, 84, 112, 120, 168, 240, 336\}$, 208 irreps
 - $n = 4, N \in \{8, 10, 12, 16, 18, 20, 24, 28, 30, 32, 36, 40, 48, 56, 60, 64, 72, 80, 84, 96, 112, 120, 144, 160, 168, 192, 240, 336, 480\}$, 1206 irreps



$2n$ exponents $\{2\alpha_i^{\text{NS}}, \alpha_i^{\text{R}}\} \bmod 1$

Rank 1

$n = 1, N \in \{2, 4, 6, 8, 12, 16, 24, 48\}$, 48 irreps

- Exponents mod 1 $\{2\alpha^{\text{NS}}, \alpha^{\text{R}}\}$ can be grouped into two families

| | | | |
|-------------------------------|--------------|----------|--|
| Ruled out by anomaly argument | \leftarrow | \times | $\left\{ \frac{i}{24}, \frac{12-i}{24} \right\}, \quad 0 \leq i \leq 23$ |
| | | 2 | $\left\{ \frac{i}{24}, \frac{24-i}{24} \right\}, \quad 0 \leq i \leq 23$ |
| MW fermions! | \downarrow | | $f^{\text{NS}} = q^{-\frac{1}{48}} (1 + q^{\frac{1}{2}} + \dots)$ $(i = 23)$ $f^{\text{R}} = \sqrt{2} q^{\frac{1}{24}} (1 + q^1 + \dots)$ |

- For higher n , exponents mod 1 can also be grouped into families with exponent differ by $\{\frac{i}{24}, -\frac{i}{24}\}$.

We can always tensor a theory with decoupled free fermions which shifts the exponent
 (But theories with exponents in the same family may be total unrelated)

Classification

- ① For each pair of exponents mod 1 $\{2\alpha_i^{\text{NS}}, \alpha_i^{\text{R}}\}$, generate a list of real exponents s.t. $|h_i^{\text{NS,R}}| <$ fixed bound

Exponents $\{2\alpha_i^{\text{NS}}, \alpha_i^{\text{R}}\}$ constrains the parameters in MLDE, when $l = 0$ determine it up to $n = 4$

Transform

$$\begin{aligned} \mathcal{T} \left[D_{\tau}^n + \sum_{a=0}^{n-1} \phi_a(\tau) D_{\tau}^a \right] f_i^{\text{NS}}(\tau) &= 0, & f_i^{\text{NS}} &\sim q^{\alpha_i^{\text{NS}}} \\ \mathcal{T} \left[D_{\tau}^n + \sum_{a=0}^{n-1} \phi_a(\tau) D_{\tau}^a \right] f_i^{\widetilde{\text{NS}}}(\tau) &= 0, & f_i^{\widetilde{\text{NS}}} &\sim q^{\alpha_i^{\text{NS}}} \\ \mathcal{S} \left[D_{\tau}^n + \sum_{a=0}^{n-1} \phi_a(\tau) D_{\tau}^a \right] f_i^{\text{R}}(\tau) &= 0, & f_i^{\text{R}} &\sim q^{\alpha_i^{\text{R}}} \end{aligned}$$

- ② Expand the solutions to high powers in q and impose other physical constraints

Confirm two character results, and bootstrap new rank three and four candidate theories

Summary and Future Directions

- Initiate the program of classifying fermionic rational CFTs from fermionic MLDE
- Classify solutions with low rank, identify many solutions and study their properties
- Explore the consequence of integrality
- Identify or disprove all solutions from holomorphic bootstrap
- Reconstruct S matrix? Make contact with MTC classification?
- Connection to 4d SCFTs, e.g. flavored MLDE

[Pan, Wang, Zheng, 2104.12180 & 2207.10463]