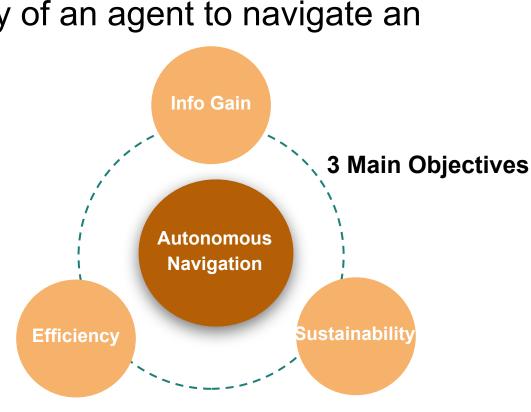
Introduction

Autonomous Navigation

Autonomous Navigation: ability of an agent to navigate an environment on its own.

Objectives:

- Balancing exploration vs exploitation
- Robustness/Handling uncertain environments.
- Avoiding **myopic**, or inefficient behavior.



Reinforcement Learning

Reinforcement Learning: Dominant machine learning approach. Often used for autonomous navigation. Certain behaviors are rewarded to train agent. **Major Issues**

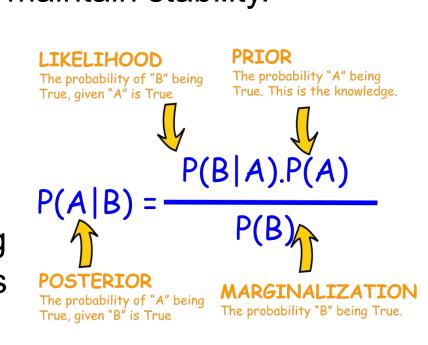
Hyperparameter Sensitivity	Training	Exploration vs Exploitation	Robustness
Very sensitive to hyperparameter changes and requires extensive fine tuning.	Needs extensive training in many environments to learn.	Balances poorly, often favoring one over the other.	Poor performance in new scenarios when missing in training.

Active Inference

If training data is unavailable, where do we begin?

Predictive Processing: Biological creatures aim to build beliefs about the world that are as accurate as possible to reduce their surprise or uncertainty, which helps maintain stability.

Predictive processing is rooted in Bayesian principles as belief updates rely on Bayes' Theorem and the Law of Total Probability. Directly calculating this for numerous amounts of states requires increasing amounts of computational power.



(Taken from Chana 24)

Free Energy Principle: That's why living beings approximate surprise with a value called variational free energy.

$$\underbrace{\Im(y|m)}_{\text{Surprise}} = -\ln \underbrace{P(y|m)}_{\text{Model evidence}} \le \underbrace{D_{KL}[Q(x) || P(x|y,m)] - \ln P(y|m)}_{\text{Varieties of Green events}}$$

It has two main parts:

- A comparison between predicted states and actual states
- Evidence, a probability distribution encompassing existing information and its likelihood of being true, resulting from agent's beliefs

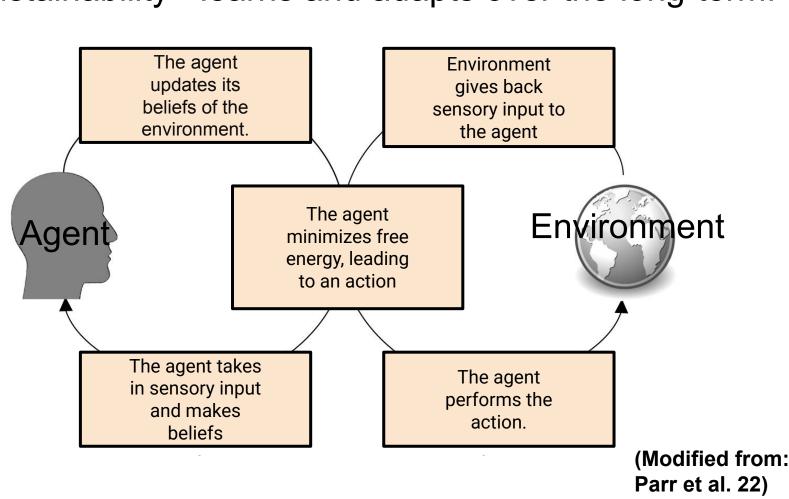
During **predictive processing**, the model attempts to minimize future uncertainty, considering both exploitation (pragmatic value) and exploration (information gain)

$$G(\pi) = -\mathbb{E}_{\tilde{Q}}[D_{KL}[Q(\tilde{s}|\tilde{o},\pi)||Q(\tilde{s}|\pi)]] - \mathbb{E}_{\tilde{Q}}[\ln P(\tilde{o}|C)]$$
Information gain
Pragmatic value

Active Inference: Unified neuroscience framework of sentient behavior that encompasses these principles.

Can be applied to Al Agents:

- No training required
- Sustainability learns and adapts over the long-term.



An Active Inference Approach to Autonomous Navigation

Areas of Research

- 1) How does Active Inference compare with Reinforcement Learning in autonomous systems navigating unknown environments, while avoiding myopic behavior, thereby balancing exploration and exploitation, while mitigating uncertainty?
- 2) How does the Free Energy Principle contribute to efficient autonomous navigation, particularly in unknown environments?

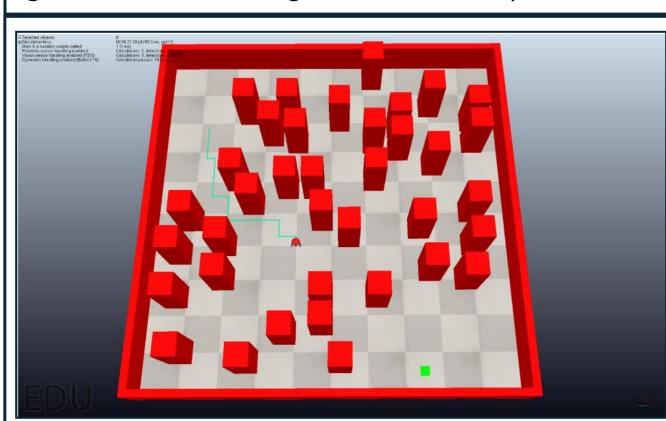
Methods

Environment/Task

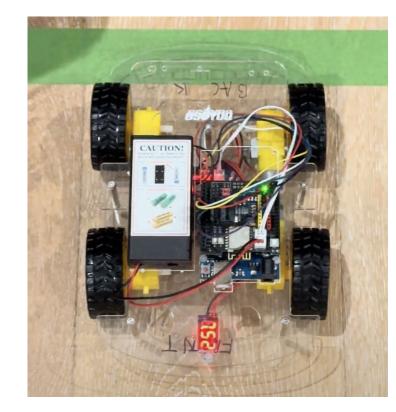
Environment: CoppeliaSim based 3D environment with walls, obstacles, and a goal.

Task: Efficiently navigate towards a goal location while avoiding obstacles.

Observations Given: Current and goal location coordinates, and surrounding locations' colors within a 2-unit radius (red = danger, green = rewarding, white = safe).



Environment (CoppeliaSim)



Robot

Control Group

Deep-Q Network (DQN): Deep reinforcement learning model that combines deep neural networks with Q-learning. 29 neuron input.

Experimental Group

Active Inference: Created with 2-dimensional states (location and attribute - safe, dangerous, or rewarding)

Data Metrics

Conducted navigation in 150 unique trials and collected:

How often it reached goal **Success Rate Revisited locations**

Repeated location visits

Total timesteps

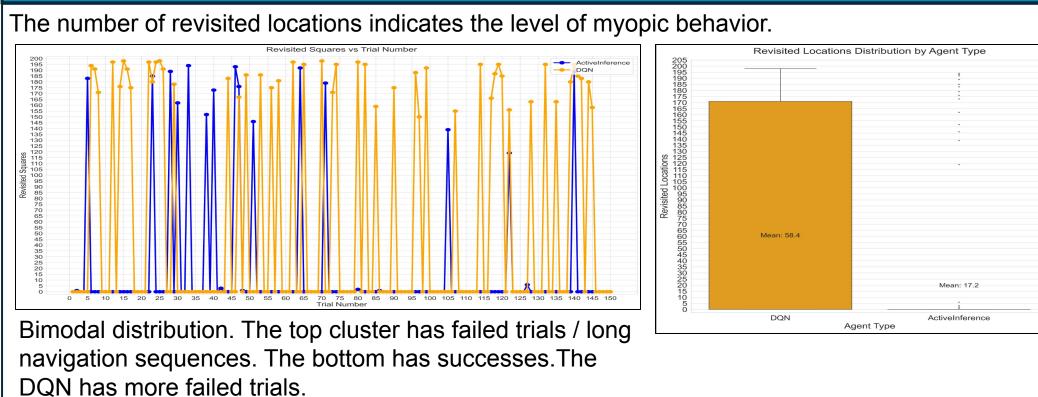
Steps to reach goal (max 200).

Shannon entropy

Metric for uncertainty

Results and Analysis

Myopic Behavior (Revisited Locations)



Uncertainty Reduction (Shannon Entropy) 0 5 10 15 20 25 30 35 40 45 50 55 60 65 70 75 80 85 90 95 100 105 110 115 120 125 130 135 140 145 150 Penalized shannon entropy indicates agent's certainty about the states around it and efficiency of exploration, low entropy implies more uncertainty reduction by agent

Active Inference had lower

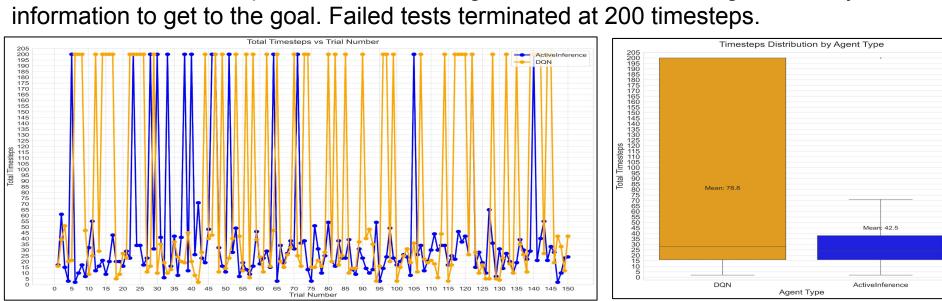
Coverage Penalty State Visit Entropy $CP = 1 - e^{\frac{(|X|/N - 0.5)^2}{0.1}}$ $H(S) = -\sum P(s) \log P(s)$

shannon entropy than the DQN and less outliers.

Efficiency (Timesteps)

The number of timesteps took to reach the goal demonstrates the agent's ability to use

Active Inference performed better, being lower and near zero on average and having less



The distribution is bimodal with the top cluster including failures and bottom cluster having successes.

Based on mean and median, Active Inference took less time steps to reach the goal, and the DQN failed more often, reaching 200 timesteps.

Success Rate

Success Rate by Agent Type

Active Inference had a 90%

at 73%, a 17% difference.

Overall, Active inference outperformed the success rate, while the DQN was Deep-Q Network across all metrics measured, demonstrating its efficiency, exploration and exploitation balance, and management of uncertainty.

Agent	Succ ess Rate (%)	Median # of Timestep s	Mean # of Timestep s	Mean # of Revisited Locations	Mean Shannon Entropy
DQN	73	28.00	78.81	58.43	1.1369
Active Inference	90	24.00	42.50	17.23	1.0060

Summary

Conclusions

Findings

Active interence outpending remote tearning in unknown environments while avoiding myopic behavior, balancing exploration and exploitation, and mitigating uncertainty.

The Free Energy Principle heavily contributes to the Active Inference agent's ability to conduct efficient autonomous navigation as it inherently balances information gain and use, allowing it to balance exploration and exploitation.

Impacts/Applications

Autonomously navigating unknown environments has many applications in the real world, including:

- Disaster response, especially in unsafe and unknown environments.
- Rescue missions.
- Deep-sea and deep-space exploration where data is limited.
- And other environments where there is a lack of available training data.

Challenges

Possible Improvements

- Active Inference is computationally intensive, which leads to scaling issues without GPUs
- Hard to define for more complex environments.
- Code efficiency, particularly with functions with the simulation
- Movement accuracy with physical robot.

Future Research

- More RL: Testing against more RL models and methods
- Complex Decision Making: Active Inference has the capability to be used in ethics. For instance, it can be used for self driving car and human interactions in autonomous navigation.
- Complex Environments: Design more dynamic environments with moving obstacles and more tasks for exploitation.
- Complex Inference: More states than location and danger level like obstacle weight,
- Real-World Implementation: Move to a more realistic simulation (Ex: Carla). Implement all parts of active inference into a physical robot, not just actions, use sensors for gathering information for instance
- Hybrid Models: Use Active Inference as a fine-tuning mechanism for Reinforcement Learning models and as a perception unit for agents to better choose actions

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