

Regular Languages: outline

- Regular grammars
- Automata
 - Finite State Automata (FSA)
- Regular expressions
- Minimization of DFSA
- Identification of non-regular languages
 - Pumping Lemma for Regular languages

Regular Grammars

- Definition: a PSG $G = (N, T, P, S)$ is regular provided that:
 - i. If there exists a λ -production, then it is of the form $S \rightarrow \lambda$ and S does not appear on the right hand side of any production.
 - ii. All other productions are of the form:
 - $\alpha \rightarrow \beta, \alpha \in N, \beta \in T, \text{ OR}$
 - $\alpha \rightarrow \beta\gamma, \alpha, \gamma \in N, \beta \in T$
- A language generated from a regular grammar is called a **Regular Language**.
- Ex1. G_1 :
$$S \rightarrow aS \mid aB$$
$$B \rightarrow bB \mid b$$

Is G_1 a regular grammar?

Regular Grammars: cont'd

- Ex2. Write a grammar G_2 such that $L(G_2) = L(G_1) \cup \{\lambda\}$
- Theorem: Given a λ -free regular grammar $G = (N, T, P, S)$ such that $L = L(G)$, we can construct a λ -free grammar $G^+ = (N, T, P^+, S)$ such that $L(G^+) = L^+$
- Theorem: If L_1 and L_2 are two regular languages, then:
 - a. $L_1 \cup L_2$ is also a regular language
 - b. $L_1 L_2$ is also a regular language
 - c. L_1^* is also a regular language

Transition diagrams

- A regular grammar $G=(N, T, P, S)$ can be represented by a transition diagram (a directed graph with labeled arcs as follows:
 - The nodes of the graph are to contain non-terminals
 - The arcs are labeled with terminals
 - One of the nodes is an **initial node** which is designated with a pointer
 - One (or more) of the nodes is designated as **final node** which is either a square or a double circle
 - If $A \rightarrow aB$ is in P , then the arc from A to B is labeled with a
 - If $A \rightarrow a$ is in P , then the arc from A to a final state is labeled with a

Transition diagrams: cont'd

- Example:

Let $G=(N, T, P, S)$ be a regular grammar with

$P: S \rightarrow aA | bB$

$A \rightarrow aA | a$

$B \rightarrow bB | b$

Draw a transition diagram that represents G

Automata

■ Abstract machines

Characteristics

- ❑ **Input**: input values (from an input alphabet Σ) are applied to the machine
- ❑ **Output**: outputs of the machine
- ❑ **States**: at any instant, the automation can be in one of the several states
- ❑ **State relation**: the next state of the automation at any instant is determined by the present state and the present input
- ❑ **Output relation**: output is related to either state only or to both the input and the state

Automata: cont'd

- Types of automata
 - Final State Automata (FSA)
 - Deterministic FSA (DFSA)
 - Nondeterministic FSA (NFSA)
 - Push Down Automata (PDA)
 - Deterministic PDA (DPDA)
 - Nondeterministic PDA (NPDA)
 - Turing Machine (TM)

Deterministic FSA (DFSA)

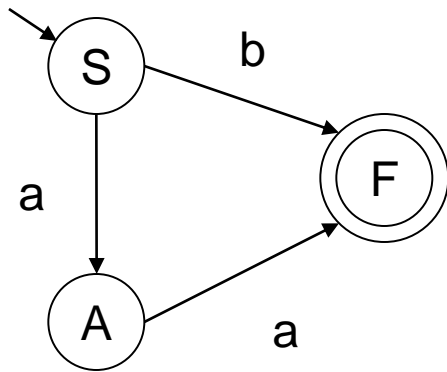
■ Definition

A DFSA is a 5-tuple $M=(Q, \Sigma, t, q_o, F)$ where:

- i. Q is a finite set of states
- ii. Σ is an input alphabet
- iii. t is a, possibly partial, function
$$t: Q \times \Sigma \rightarrow Q$$
- iv. $q_o \in Q$ is the initial state
- v. $\underline{F} \subseteq Q$ is a finite set of final states

DFSA: cont'd

■ Example:



$M = (Q, \Sigma, t, q_0, F)$

$Q = \{S, A, F\}$

$\Sigma = \{a, b\}$

$Q_0 = S$

$F = \{F\} \subseteq Q$

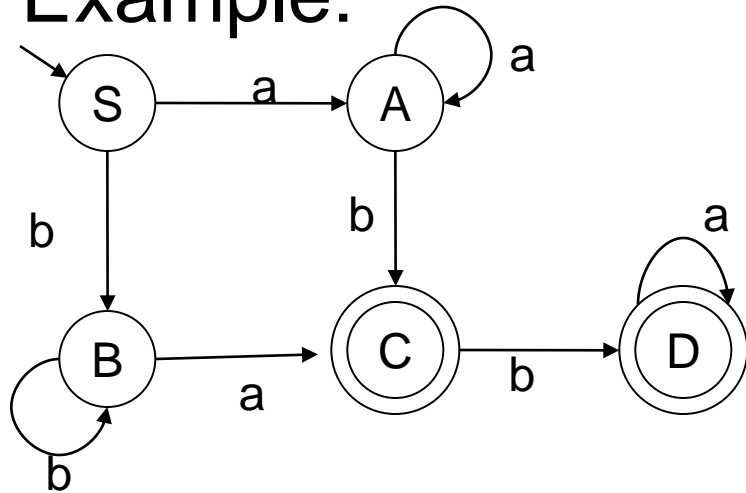
<u>State</u>	<u>Input</u>	<u>Next state</u>
S	a	A
S	b	F
A	a	F

DFSA: cont'd

- Acceptance of strings by DFSA
 - A string w in Σ^* is accepted by a DFSA M if
 - There exists a path which originates from some initial state, goes along the arrows, and terminates at some final state, and
 - The path value obtained by concatenation of all edge-labels of the path is equal to w .

DFSA: cont'd

■ Example:



$Q = \{S, A, B, C, D\}$

$\Sigma = \{a, b\}$

$q_0 = S$

$F = \{C, D\}$

<u>State</u>	<u>Input</u>	<u>Next state</u>
S	a	A
S	b	B
A	a	A
A	b	C
B	b	B
B	a	C
C	b	D
D	a	D

Check whether the following strings are accepted or not:

- ab
- ba
- bbaba
- aa
- aaabbbaaa

DFSA: cont'd

■ Extensions of t

t needs to be extended as:

$$t: Q \times \Sigma^* \rightarrow Q$$

let $x \in \Sigma^*$, then

i) $x = \lambda$

ii) $x = ay, a \in \Sigma, y \in \Sigma^*$

Then i) $t(q, \lambda) = q$

ii) $t(q, x) = t(q, ay)$
 $= t(t(q, a), y)$

Eg. a) $t(S, ab)$

b) $t(S, bbba)$

c) $t(S, aaa)$

DFSA: cont'd

- Given DFSA M , the set of strings accepted by M is given by $L(M)$
$$L(M) = \{x \in \Sigma^* \mid t(q_0, x) \in F\}$$

Nondeterministic FSA (NFSA)

■ Definition

An NFSA is a 5-tuple $M=(Q, \Sigma, t, q_0, F)$ where:

i. Q is a finite set of states

ii. Σ is an input alphabet

iii. t is a, total, function

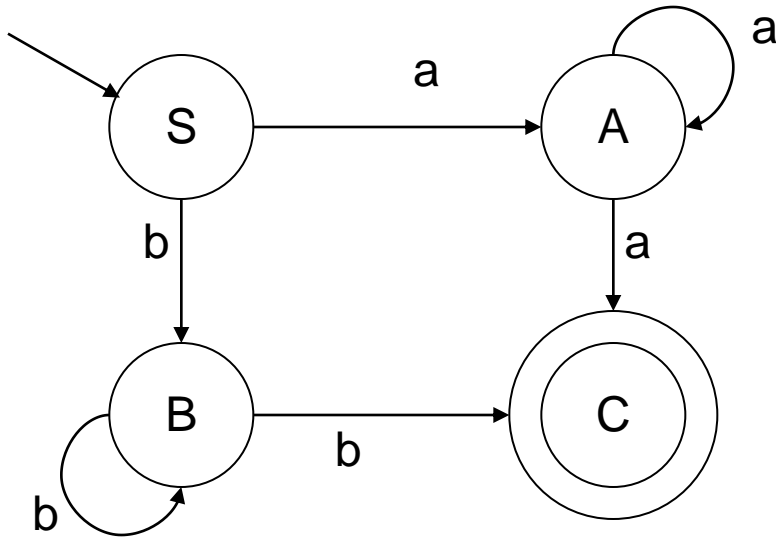
$$t: Q \times \Sigma \rightarrow 2^Q$$

iv. $q_0 \in Q$ is the initial state

v. $\underline{F} \subseteq Q$ is a finite set of final states

NFSA: cont'd

Example



<u>State</u>	<u>Input</u>	<u>Next state(s)</u>
S	a	{A}
S	b	{B}
A	a	{A, C}
A	b	{}
B	a	{}
B	b	{B, C}
C	a	{}
C	b	{}

The strings aa, bbb are both accepted by the NFSA.

NFSA: cont'd

String Acceptance by NFSA

■ Extensions of t :

1. $t: Q \times \Sigma \rightarrow 2^Q$ to $t: 2^Q \times \Sigma \rightarrow 2^Q$ by defining

$$t(Q', a) = \bigcup \{t(q, a) \mid q \in Q', Q' \subseteq Q, a \in \Sigma\}$$

1. $t: 2^Q \times \Sigma \rightarrow 2^Q$ to $t: 2^Q \times \Sigma^* \rightarrow 2^Q$

let $x \in \Sigma^*$, then

i) $x = \lambda \Rightarrow t(Q', x) = Q'$

ii) $x = ay, a \in \Sigma, y \in \Sigma^*$

$$\Rightarrow t(Q', x) = t(Q', ay) = t(t(Q', a), y)$$

Example: Evaluate the following using the NFSA in the previous slide

a) $t(S, aaa)$

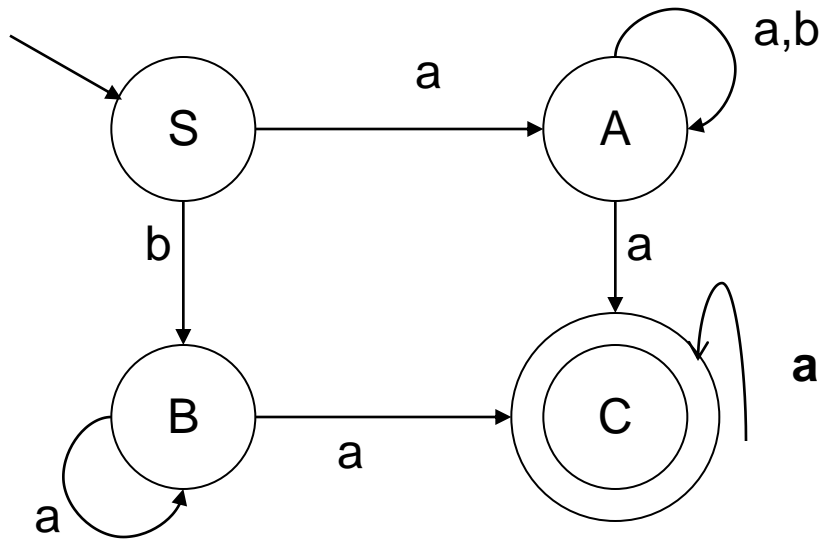
b) $t(S, aaba)$

NFSA: cont'd

- Let M be an NFSA,
 $L(M)$ = set of strings accepted by M
 $= \{x \in \Sigma^* \mid t(q_0, x) \cap F \neq \emptyset\}$

NFSA: cont'd

Example



<u>State</u>	<u>Input</u>	<u>Next state(s)</u>
S	a	{A}
S	b	{B}
A	a	{A, C}
A	b	{A}
B	a	{B,C}
B	b	{}
C	a	{C}
C	b	{}

Consider the above NDFSA, find

- i) $t(\{A,C\}, abba)$
- ii) Let $x=aab$

Equivalence of DFSA and NFSA

- Theorem: Let L be a language. L is accepted by a DFSA iff L is accepted by NFSA.

proof:

- i) (\Rightarrow) : L is accepted by DFSA $\Rightarrow L$ is accepted by NDFSA
- ii) (\Leftarrow) : L is accepted by NFSA $\Rightarrow L$ is accepted by DFSA

Any DFSA can have a total function by introducing a dummy state such that all undefined transitions are defined to that state

- i) Given a DFSA with a partial function, it is possible to convert it to a total function as follows:
- i) set $t(q,a)=\Delta$, a dummy state, where $t(q,a)$ is undefined
- ii) $t(\Delta,a)=\Delta$, for each $a \in \Sigma$
- Now assume that $M=(Q, \Sigma, t, q_0, F)$ with a total function and construct NFSA $M'(Q, \Sigma, t', q_0, F)$ such that $L(M)=L(M')$

- Define

$$t'(q,x)=\{t(q,x)\}$$

ii) (\leq) L is accepted by NFSA $\Rightarrow L$ is accepted by DFSA.

Equivalence: cont'd

Let $M = (Q, \Sigma, t, q_0, F)$ be NFSA, We construct DFSA M' such that $L(M) = L(M')$

1. Start $\{q_0\}$ and calculate $t(\{q_0\}, a)$, for all $a \in \Sigma$.
ie. Obtain possible states that are reachable from q_0 , say K .
1. Calculate $t(K, a)$ for all $a \in \Sigma$
2. Repeat this process until no new subsets of Q are constructed

Thus, $M' = (Q', \Sigma, t', q_0', F')$ where

$Q' =$ all subsets of Q reachable from q_0

$q_0' = \{q_0\}$

$F' = K' \subseteq Q$ such that $K' \cap F \neq \emptyset$

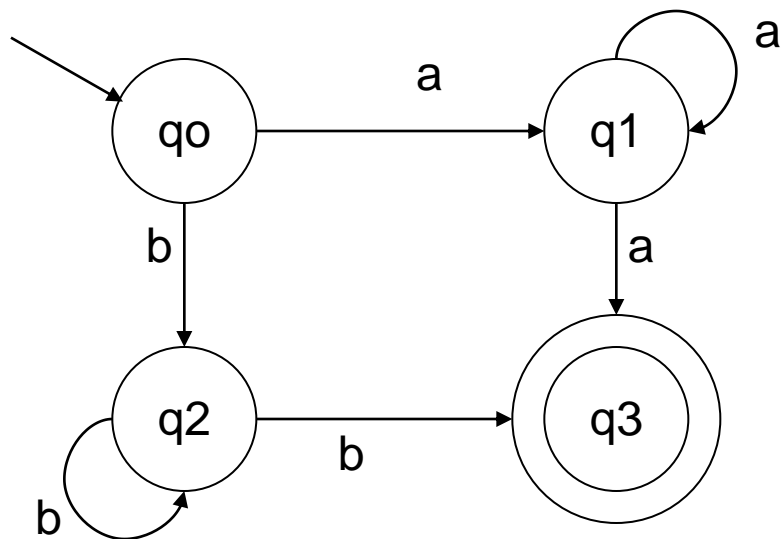
t' is an extension of t such that $t': 2^Q \times \Sigma \rightarrow 2^Q$

$L(M) = L(M')$

Illustration:

- Example:

Given the following NFSA, Construct its equivalent DFSA.



- Solution:
- Find reachable states from q_0 .

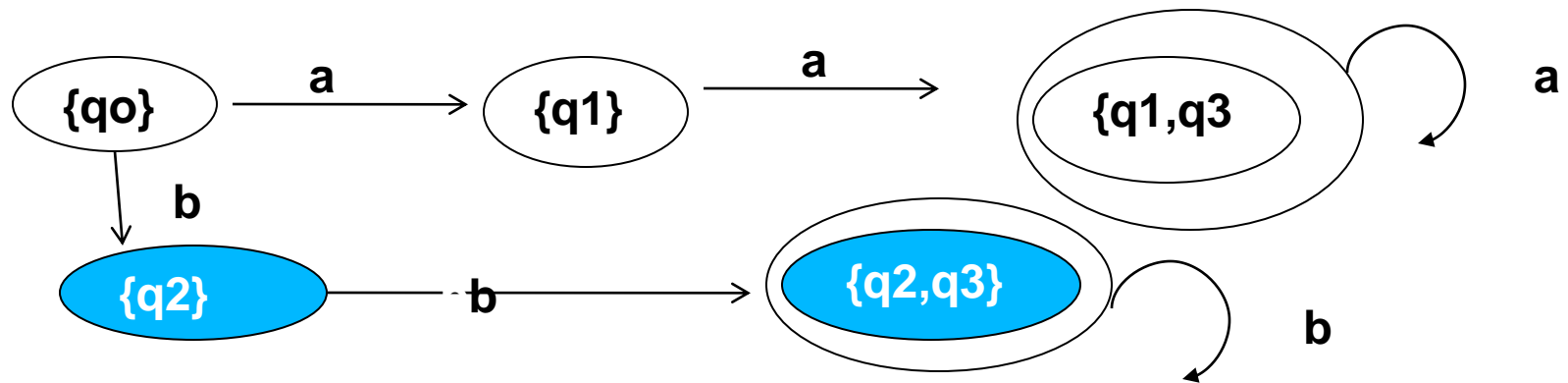
	$t(q,a)$	$t(q,b)$
State	a	b
$\{q_0\}$	$\{q_1\}$	$\{q_2\}$
$\{q_1\}$	$\{q_1, q_3\}$	$\{\}$
$\{q_2\}$	$\{\}$	$\{q_2, q_3\}$
$\{q_1, q_3\}$	$\{q_1, q_3\}$	$\{\}$
$\{q_2, q_3\}$	$\{\}$	$\{q_2, q_3\}$

- Hence $M' = (Q', \Sigma, t', q_0', F')$

Where $Q' = \{\{q_0\}, \{q_1\}, \{q_2\}, \{q_1, q_3\}, \{q_2, q_3\}\}$

$q_0 = \{q_0\}$

$F' = \{\{q_1, q_3\}, \{q_2, q_3\}\}$ and t is given by:

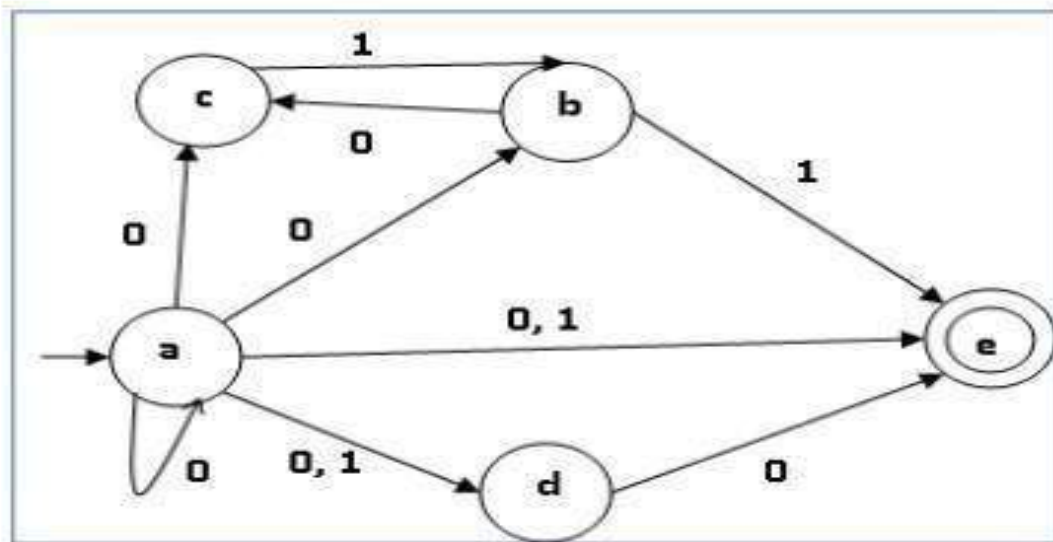


Example

The N DFA table is as follows –

q	$\delta(q,0)$	$\delta(q,1)$
a	{a,b,c,d,e}	{d,e}
b	{c}	{e}
c	\emptyset	{b}
d	{e}	\emptyset
e	\emptyset	\emptyset

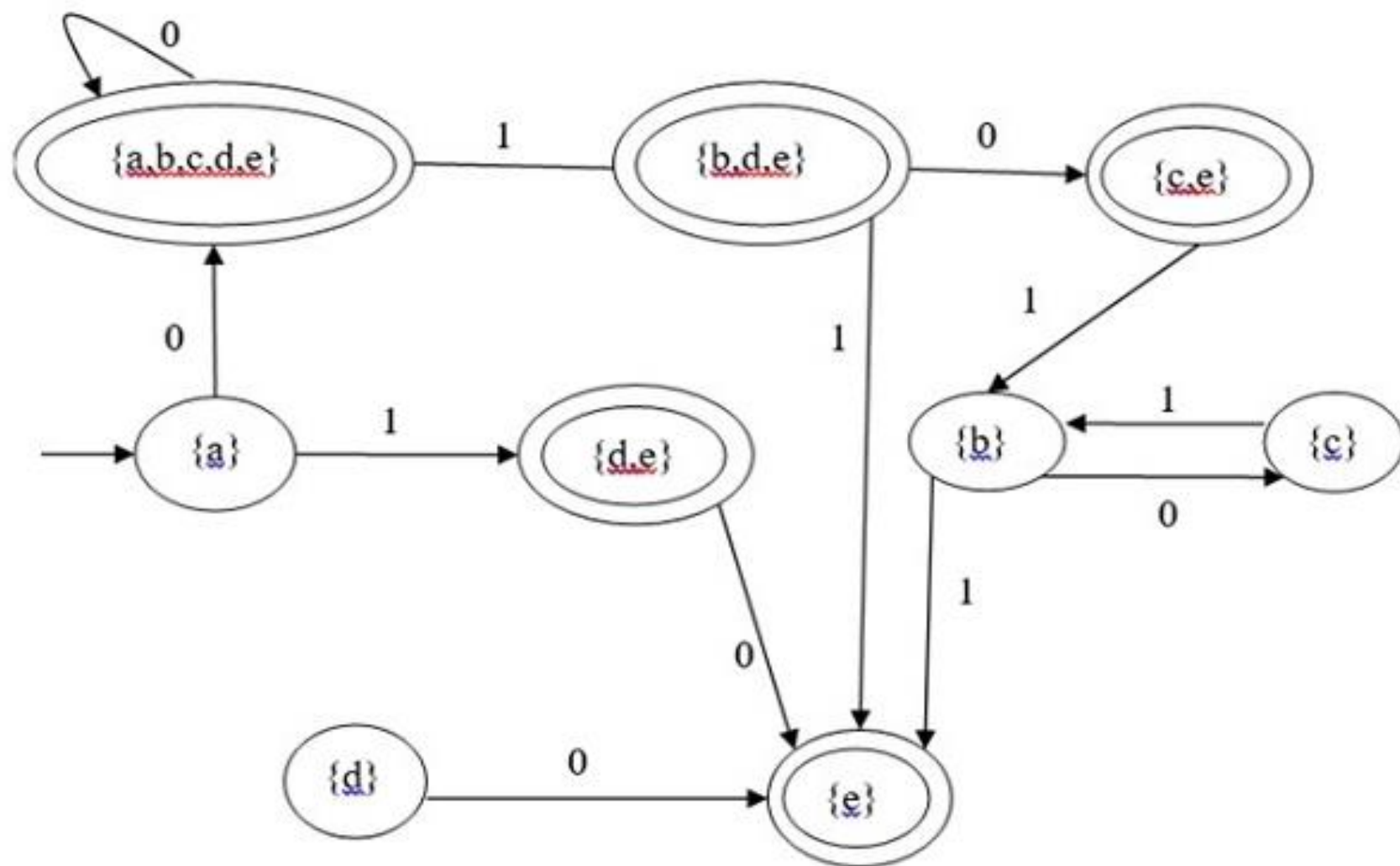
Let us consider the N DFA shown in the figure below.



Using above algorithm, we find its equivalent DFA. The state table of the DFA is shown in below.

q	$\delta(q,0)$	$\delta(q,1)$
a	{a,b,c,d,e}	{d,e}
{a,b,c,d,e}	{a,b,c,d,e}	{b,d,e}
{d,e}	e	\emptyset
{b,d,e}	{c,e}	E
e	\emptyset	\emptyset
d	e	\emptyset
{c,e}	\emptyset	B
b	c	E
c	\emptyset	B

The state diagram of the DFA is as follows –



Equivalence: cont'd

■ Theorem: The following statements are equivalent:

- i. L is accepted by NFSA
- ii. L is accepted by DFSA
- iii. L is generated by a regular grammar

Proof: (i) \Rightarrow (ii) \Rightarrow (iii) \Rightarrow (i)

(i) \Rightarrow (ii) proved in the previous theorem.

(ii) \Rightarrow (iii)

Let L be a language accepted by DFSA M. Construct a regular grammar G such that $L(M) = L(G)$

Let $M = (Q, \Sigma, t, q_0, F)$

Construct of $G = (N, T, P, S)$ as follows:

- 1. $N = Q, T = \Sigma, S = q_0$
- 2. P:
 - $q_i \rightarrow aq_j$, if $t(q_i, a) = q_j$
 - $q_i \rightarrow a$, if $t(q_i, a) \in F$

$L(M) = L(G)$

Equivalence: cont'd

(iii) \Rightarrow (i)

Let L be a language generated by regular grammar G .
We want to construct NFSA M such that $L = L(M)$
 $= L(G)$

Let $G = (N, T, P, S)$

Construct $M = (Q, \Sigma, t, q_0, F)$ as follows:

1. $Q = N$, $\Sigma = T$, $q_0 = S$, $F = \{x \in N \mid x \rightarrow a \in P, a \in T\}$
 $Q = N \cup \{F_f\}$, F_f not in N
2. t is given by:
if $q_i \rightarrow aq_j$, then $t(\{q_i\}, a) \cap \{q_j\} \neq \emptyset$
 $q_i \rightarrow a$, then $t(\{q_i\}, a) \cap F \neq \emptyset$
 $L(M) = L(G)$

Illustration:

Equivalence: cont'd

- Theorem: If L is a regular language, then so is L^c (L').

proof:

L is regular \rightarrow there exists a DFSA $M = (Q, \Sigma, t, q_0, F)$ such that $L = L(M)$

$x \in L \rightarrow t(q_0, x) \in F$

$x \in L' \rightarrow x$ is not in $L \rightarrow t(q_0, x)$ is not in F

Hence, $M' = (Q, \Sigma, t, q_0, Q \setminus F)$ such that $L' = L(M')$

Equivalence: cont'd

- Theorem: If L_1 and L_2 are regular languages, then so is $L_1 \cap L_2$.

proof:

L_1 is regular $\rightarrow L_1'$ is regular

L_2 is regular $\rightarrow L_2'$ is regular

$\rightarrow L_1' \cup L_2'$ is regular

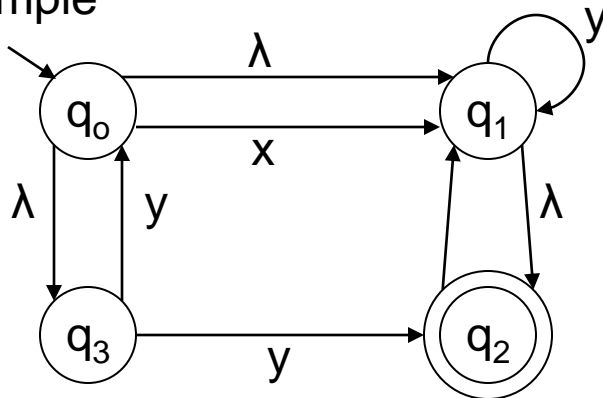
$\rightarrow (L_1' \cup L_2')'$ is regular

$\rightarrow L_1 \cap L_2$ is regular

FSA with λ moves

- Definition: Let $M = (Q, \Sigma, t, q_0, F)$ be a FSA, M is said to be with λ -moves if $t: Q \times (\Sigma \cup \{\lambda\}) \rightarrow Q$

Example

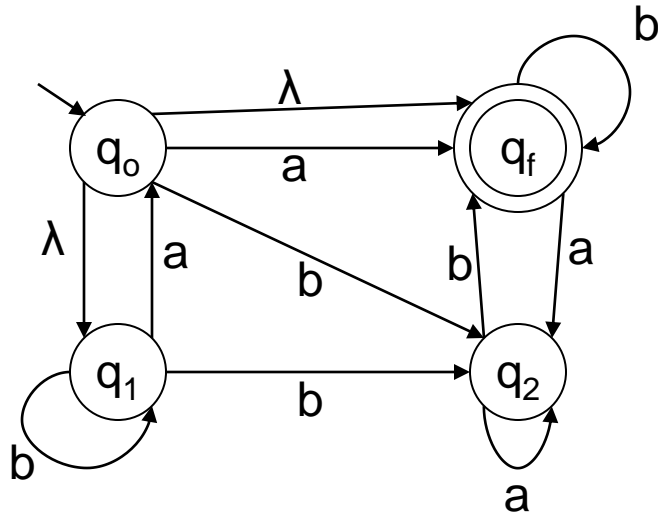


$R(q)$ = the set of λ -reachable states
For ex. $R(q_0) = \{q_0, q_1, q_2, q_3\}$

If $t(q_i, \lambda) = q_j$, then q_j is λ -reachable from q_i

For $Q' \subseteq Q$, $R(Q') = UR(q')$, $q' \in Q'$

FSA with λ moves: cont'd



$$Q = \{q_0, q_1, q_2, q_f\}$$

$$\Sigma = \{a, b, \lambda\}$$

Let $q, q' \in Q$. If $q' \in t(q, \lambda)$, then q' is λ -reachable denoted by $q \xrightarrow[\lambda]{*} q'$

Let $R(q)$ be the set of states that are reachable,

$$R(q) = \{q' \mid q \rightarrow q'\}$$

If $Q' \subseteq Q$ then $R(Q') = \bigcup_{q \in Q'} R(q)$

FSA with λ moves: cont'd

- Example: consider the previous NFSA

$R(q_0) = ?$

$R(q_1) = ? \quad R(q_2) = ? \quad R(q_3) = ? \quad R(q_f) = ?$

$R(\{q_0, q_1, q_2, q_f\}) = ?$

String acceptance by FSA with λ moves

- Extend t to t' such that
 $t': Q \times (\Sigma \cup \{\lambda\}) \rightarrow 2^Q$ defined as
 $t'(q, \lambda) = R(q)$
 $t'(q, a) = \bigcup R(t(q', a)), q' \in R(q), a \in \Sigma \cup \{\lambda\}$

Ex. consider the previous NFSA

$$t'(q_0, \lambda) = ?$$

$$t'(q_0, a) = ?$$

$$t'(q_0, b) = ?$$

- Extend t' such that $t': 2^Q \times (\Sigma \cup \{\lambda\}) \rightarrow 2^Q$ defined as
 $t'(Q', \lambda) = R(Q'), Q' \subseteq Q$
 $t'(Q', a) = \bigcup t'(q', a), q' \in Q', a \in \Sigma$

String acceptance: cont'd

- Extend t' such that $t': 2^Q \times \Sigma^* \rightarrow 2^Q$ defined as

$$t'(Q', ax) = t'(t'(Q', a), x), a \in \Sigma, x \in \Sigma^*$$

Thus, $L(M) = \{x \in \Sigma^* | t'(q_0, x) \cap F \neq \emptyset\}$
= set of strings accepted by
NFSA with λ -moves

Cont'd

- Theorem: If L is accepted by NFSA with λ -moves, then L is a regular language.

proof: It suffices to construct an equivalent NFSA without λ -moves

Let $M = (Q, \Sigma, t, q_0, F)$ be NFSA with λ -moves

To construct $M' = (Q, \Sigma, t', q_0, F')$, define

$t'(q, a) = t(q, a)$, for all $a \in \Sigma$ and t is the 3rd extension

$F' = F$ if $R(q_i) \cap F = \emptyset$

$= F \cup \{q_i\}$ otherwise

$L(M) = L(M')$

Cont'd

- Example: Construct an equivalent NFSA without λ -moves for the NFSA in the previous example.
 - For every state q , first check $R(q)$ and calculate $t(R(q), a)$ for $a \in \Sigma$
 - Then check $R(q')$ for the second time where q' is the result of $t(R(q), a)$ for $a \in \Sigma$

Regular Expressions

- Definition: A regular expression is a string over Σ if the following conditions hold:
 1. λ , \emptyset , and $a \in \Sigma$ are regular expressions
 2. If α and β are regular expressions, so is $\alpha\beta$
 3. If α and β are regular expressions, so is $\alpha+\beta$
 4. If α is a regular expression, so is α^*
 5. Nothing else is a regular expression if it doesn't follow from (1) to (4)
- Let α be a regular expression, the language represented by α is denoted by $L(\alpha)$.

Regular Expressions: cont'd

- L satisfies:
 1. $L(\emptyset) = \emptyset$, $L(\lambda) = \{\lambda\}$, $L(a) = \{a\}$, $a \in \Sigma$
 2. If α and β are regular expressions, then:
 - $L(\alpha\beta) = L(\alpha)L(\beta)$
 - $L(\alpha+\beta) = L(\alpha) \cup L(\beta)$
 - $L(\alpha^*) = L(\alpha)^*$

- Example:

$$\alpha = a^*(b+c)$$

$$\begin{aligned}\rightarrow L(\alpha) &= L(a^*(b+c)) \\ &= L(a^*)L(b+c) \\ &= L(a)^*(L(b) \cup L(c)) \\ &= \{a\}^*(\{b\} \cup \{c\}) \\ &= \{a\}^*(\{b,c\})\end{aligned}$$

Note: In the absence of parentheses, the hierarchy of operations is as follows: iteration, concatenation, and union.

Regular Expressions: cont'd

- Two regular expression P and Q are equivalent ($P=Q$) if P and Q represent the same set of strings.
- Identities for regular expressions
 - $\emptyset + R = R$
 - $\emptyset R = R\emptyset = R$
 - $\lambda^* = \lambda, \emptyset^* \lambda$
 - $R + R = R$
 - $R^* R^* = R^*$
 - $RR^* = R^*R$
 - $(R^*)^* = R^*$
 - $\lambda + RR^* = R^* = \lambda + R^*R$
 - $(PQ)^*P = P(QP)^*$
 - $(P+Q)^* = (P^*Q^*)^* = (P^*+Q^*)^*$
 - $(P+Q)R = PR + QR, R(P+Q) = RP + RQ$

Regular Expressions: cont'd

- Example: Give a regular expression for representing the set L of strings in which every 0 is immediately followed by at least two 1's.
- Theorem: If r is a regular expression, then $L(r)$ is a regular language.
proof: construct NFSA with λ -moves that accepts $L(r)$.
 - i. $r = \emptyset, r = \lambda, r = a$
 - ii. $r_1 + r_2$
 - iii. $r_1 r_2$
 - iv. r_1^*

Let r be a regular expression, $M(r)$ is a NFSA with λ -moves that accepts $L(r)$

Regular Expressions: cont'd

- Example: Construct NFSA that accepts $r=(a+b)^*ba(ba)^*$
- Exercise: Construct NFSAs equivalent to the following regular expressions:
 1. $(1+0)^*(00+11)(0+1)^*$
 2. $10+(0+11)0^*1$

Regular Expressions: cont'd

- Theorem (Arden's Theorem)

Let P and Q be two regular expressions over Σ . If P does not contain λ , then the following equation in R ,

$$R = Q + RP$$

has a unique solution given by $R = QP^*$

Regular Expressions: cont'd

- Algebraic method for finding the regular expression recognized by a FSA

Assumptions

1. No λ -moves
2. There is only one initial state, say v_1
3. Vertices are v_1, \dots, v_n
4. V_i is the regular expression representing the set of strings accepted by the system
5. α_{ij} denotes the regular expression representing the set of labels of edges from v_i to v_j

Consequently, we can get the following set of equations in v_1, \dots, v_n

$$v_1 = v_1\alpha_{11} + v_2\alpha_{21} + \dots + v_n\alpha_{n1} + \lambda$$

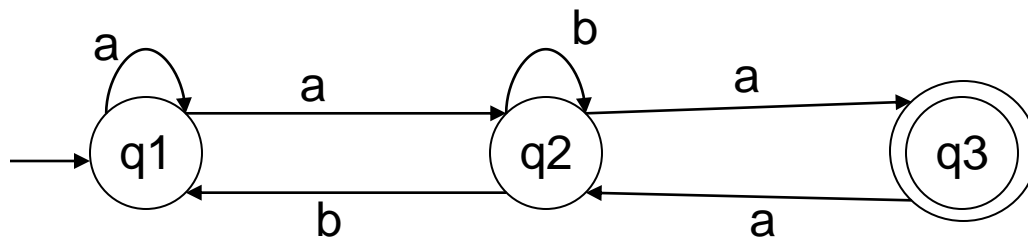
$$v_2 = v_1\alpha_{12} + v_2\alpha_{22} + \dots + v_n\alpha_{n2}$$

...

$$v_n = v_1\alpha_{1n} + v_2\alpha_{2n} + \dots + v_n\alpha_{nn}$$

Regular Expressions: cont'd

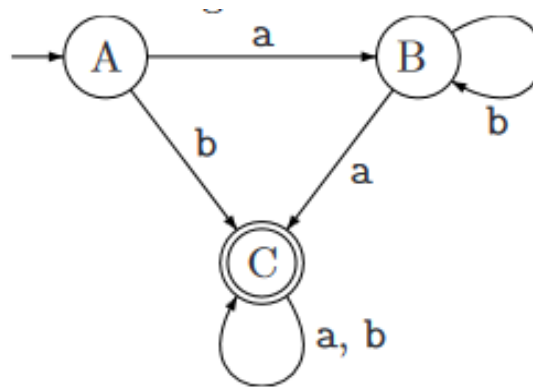
- By repeatedly applying substitution and Arden's Theorem, we can express v_i in terms of α_{ij} 's
- The set of strings recognized by the system is found by taking the 'union' of all v_i 's corresponding to the final states.
- Example:



Find the regular expression equivalent to the given NFSA

Regular Expressions: cont'd

- Exercise: Find the regular expressions equivalent to the following NFSA



Minimization of DFSA

- A DFSA with possible minimum states is called a minimal DFSA.
- Given M , DFSA that generates a language L , then we denote its minimal DFSA by M_L .

Construction of Minimal DFSA

Let $M = (Q, \Sigma, t, q_0, F)$ be DFSA such that $L = L(M)$

To construct M_L , we proceed as follows:

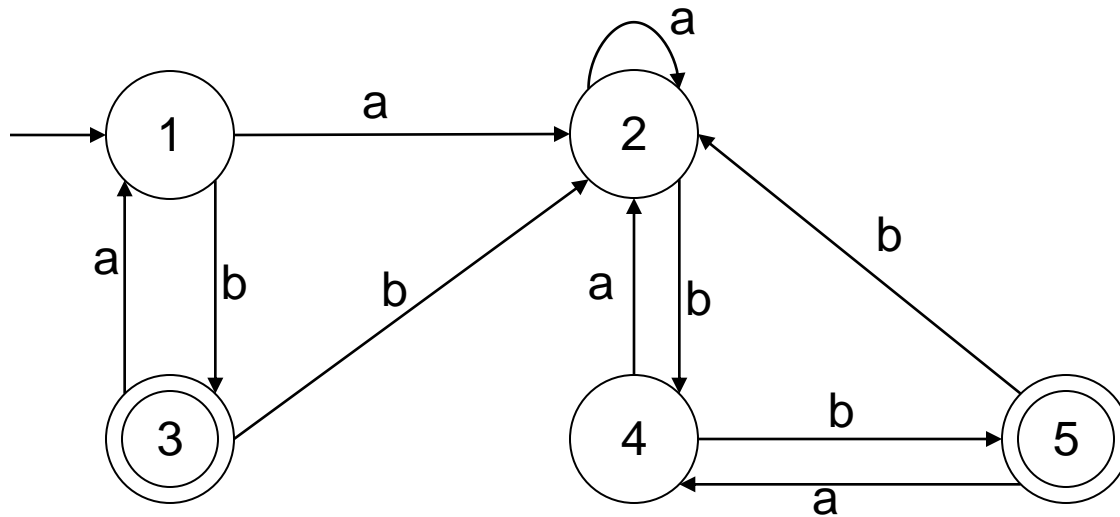
- Find all disjoint indistinguishable states of M
Define a number of relations D_0, D_1, \dots
 - Given states q and q' , q is distinguishable from q' , by a string of length 0, denoted by qD_0q' iff either:
 - $q \in F$ and $q' \notin F$ OR
 - $q \notin F$ and $q' \in F$
 - If $i > 0$, q is distinguishable from q' by a string of length $\leq i$, denoted by qD_iq' iff
 - $qD_{i-1}q'$ OR
 - if there exists $a \in \Sigma$ such that $t(q, a)D_{i-1}t(q', a)$

Minimization of DFSA: cont'd

- II. $M_L = (Q_L, \Sigma, t_L, q_{oL}, F_L)$ where
 - Q_L = indistinguishable states
 - q_{oL} = indistinguishable states containing q_o
 - F_L = Final states of M
 - t_L is given by the following:
 - Let $Q' \subseteq Q$, where Q' is indistinguishable states
 - $t_L(Q', a) = Q''$, indistinguishable states containing $t(q, a)$, $q \in Q'$

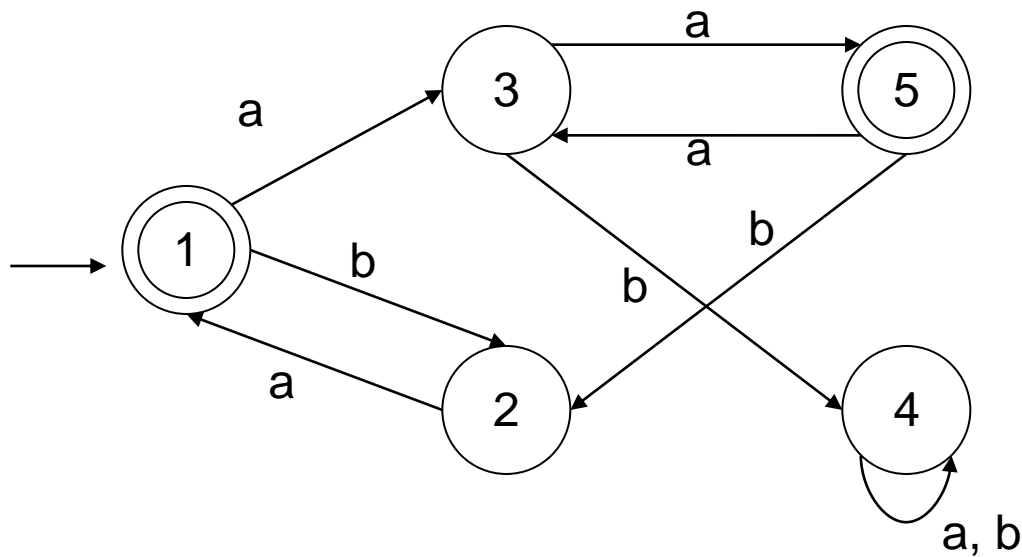
Minimization of DFSA: cont'd

- Illustration: Construct a minimal DFSA for the following DFSA



Minimization of DFSA: cont'd

- Exercise: Minimize the following DFSA



Identification of non-regular languages

■ Lemma (Pumping lemma for regular languages)

Let L be a regular language and $w \in L$.

Then there exist substrings x , y , and z of w with:

- a. $w = xyz$, $|w| \geq m$
- b. $|xy| \leq m$, for some $m \in \mathbb{Z}^+$
- c. $y \neq \lambda$

such that $xy^n z \in L$ for $n \geq 0$

Non-regular languages: cont'd

- Example: Show that the following languages are not regular.
 1. $L = \{a^n b^n \mid n \geq 0\}$
 2. $L = \{a^n : n \text{ is prime}\}$
 3. $L = \{ww^r \mid w \in \Sigma^*\}, \Sigma = \{a, b\}$