## Operator Algebras in Lean

Jireh Loreaux

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## 0.1 Introduction

## 0.2 Continuous functional calculus

**Definition 1** (Continuous functional calculus). A \*-R-algebra is said to have a continuous functional calculus for elements satisfying a predicate p if, for each a satisfying p, there is a \*-homomorphism  $\phi_a: C(\sigma_R(a), R) \to A$  sending the identity function to a, and which is a closed embedding. Moreover,  $\sigma_R(a)$  is compact and nonempty, and  $\phi_a$  satisfies the spectral mapping property (i.e.,  $\sigma_R(\phi_a(f)) = f(\sigma_R(a))$ ).

**Definition 2** (Non-unital continuous functional calculus). A non-unital \*-R-algebra is said to have a non-unital continuous functional calculus for elements satisfying a predicate p if, for each a satisfying p, there is a non-unital \*-homomorphism  $\phi_a: C(\sigma_R'(a),R)_0 \to A$  (here  $C(\sigma_R'(a),R)_0$  is the collection of functions vanishing at zero on the quasispectrum) sending the identity function to a, and which is a closed embedding. Moreover,  $\sigma_R'(a)$  is compact (it's always nonempty because it contains 0), and  $\phi_a'$  satisfies the spectral mapping property (i.e.,  $\sigma_R'(\phi_a'(f)) = f(\sigma_R'(a))$ ).

**Definition 3.** Given  $a \in A$  satisfying p and  $f : R \to R$  continuous on  $\sigma_R(a)$ , we define  $f(a) := \phi'_a(f)$  (and we give it a junk value of zero when either a does not satisfy p or f is not continuous on the spectrum).

**Definition 4.** Given  $a \in A$  satisfying p and  $f: R \to R$  continuous on  $\sigma_R(a)$  and f(0) = 0, we define  $f(a) := \phi'_a(f)$  (and we give it a junk value of zero when and of the conditions on a and f are not met).

**Theorem 5.** For every normal element a in a unital  $C^*$ -algebra A there is a \*-isomorphism between  $C(\sigma(a), \mathbb{C})$  and the  $C^*$ -subalgebra of A generated by a.

Proof.	Use the Gelfand	transform.	
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**Theorem 6.** Every unital  $C^*$ -algebra has a continuous functional calculus for normal elements.

*Proof.* Compose the \*-isomorphism of Theorem 5, which is an isometry because its an isomorphism of  $C^*$ -algebras, with the inclusion of  $C_1^*(a)$  (the unital  $C^*$ -subalgebra generated by a) into A. The latter is also an isometry and therefore a closed embedding.

**Theorem 7.** Every unital \*-algebra A with a continuous functional calculus for normal elements over  $\mathbb{C}$  has a continuous functional calculus for self-adjoint elements over  $\mathbb{R}$ .

*Proof.* Since self-adjoint elements are normal, the continuous functional calculus for normal elements over  $\mathbb C$  with its spectral mapping property guarantees that the  $\mathbb C$ -spectrum of  $a\in A$  normal is actually contained in  $\mathbb R$ , and so coincides with the  $\mathbb R$ -spectrum of a. Therefore, the map which sends  $f\in C(\sigma_{\mathbb R}(a),\mathbb R)$  to  $\hat f\in C(\sigma_{\mathbb C}(a),\mathbb C)$  is a \*-homomorphism, and composing it with  $\phi_a$  yields the desired \*-homomorphism for the continuous functional calculus over  $\mathbb R$  for self-adjoint elements.

**Theorem 8.** Every unital \*-algebra A which is a \*-ordered ring (i.e., nonnegative elements are those of the form  $x^*x$ ) with the property that nonnegative elements have nonnegative spectrum, and with a continuous functional calculus for self-adjoint elements over  $\mathbb{R}$  has a continuous functional calculus for self-adjoint elements over  $\mathbb{R}_{\geq 0}$ .

Proof. Omitted.		]
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## 0.3 Products of nonnegative elements are nonnegative