The Definition of Red JonPRL, the people's refinement logic

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Chapter 1

Signatures

Decisively Smash The Formalist Clique!

Chairman Jon

A *signature* is a collection of definitions, including terms, tactics and theorems.

1.1 Grammar

The grammar of Red JonPRL signatures is presented in Figure 1.1. Note that an optional production of sort s is formatted $\langle s \rangle$ in the rules.

```
sigexp ::= \langle \cdot \rangle
                                                                                empty signature
                                                                                signature extension
                     sigexp sigdec.
    sigdec ::= Def opid \langle [params] \rangle \langle (args) \rangle : sortid = [term]
                                                                                operator definition
                     Tac opid\langle [params]\rangle\langle (args)\rangle = [term]
                                                                                tactic definition
                     Thm opid\langle [params]\rangle\langle (args)\rangle : [term] by [term]
                                                                                theorem declaration
                                                                                empty parameter list
  params
              ::=
                     params, symbind
                                                                                parameter list extension
                    \langle \cdot \rangle
                                                                                empty argument list
      args
                     args, metabind
                                                                                argument list extension
 symbind
                    symid: sortid
                                                                                symbol binding
             ::=
metabind
                    metaid:valence
                                                                                metavariable binding
                     \langle\langle\{sortlist\}\rangle\langle[sortlist]\rangle.\rangle sortid
  valence
                                                                                valence
  sortlist
                                                                                empty sort list
             ::=
                                                                                sort list extension
                     sortlist, sortid
```

Figure 1.1: Grammar of signature expressions. The identifier sorts *opid*, *sortid*, *symid* and *metaid* can be assumed to be arbitrary strings; the sort *term* is left uninterpreted.

1.2 Static Semantics

The static semantics for Red JonPRL signatures begins with a specification of the class of *semantic* objects that will serve as the meanings for the *syntactic* objects defined in Section 1.1. We assume an ambient abstract binding tree signature such that at least the following facts hold:

$$\frac{\mathsf{tac}\; sort}{\Upsilon \Vdash \mathsf{prove} : (.\,\mathsf{exp}\,,.\,\mathsf{tac})\,\mathsf{thm}} \frac{\mathsf{opid}\; sort}{\mathsf{opid}\; sort}$$

Then, our semantic objects are defined as in Figure 1.2.

Figure 1.2: Specification of the semantic objects.

A natural semantics hinges on the elaboration judgment $E \vdash A \Longrightarrow A'$, which means that the syntactic object A elaborates to the semantic object A' in the environment E. Let the $\Upsilon_{\Sigma} \in \text{Params}$ be defined as follows:

$$\Upsilon_{\Sigma}(\vartheta) \triangleq \left\{ egin{array}{ll} \mathsf{opid} & \mathit{if} \quad \vartheta \in \mathbf{dom}(\Sigma) \ \perp & \mathit{otherwise} \end{array}
ight.$$

Symbol Bindings

$$\Sigma \vdash symbind \Longrightarrow (a, \tau)$$

$$\frac{\Sigma \vdash symid \Longrightarrow \mathbf{a} \quad \Sigma \vdash sortid \Longrightarrow \mathbf{\tau}}{\Sigma \vdash symid : sortid \Longrightarrow (\mathbf{a}, \mathbf{\tau})}$$

$$(1.1)$$

Metavariable Bindings

$$\Sigma \vdash metabind \Longrightarrow (\mathfrak{m}, v)$$

$$\frac{\Sigma \vdash metaid \Longrightarrow \mathfrak{m} \quad \Sigma \vdash valence \Longrightarrow v}{\Sigma \vdash metaid : valence \Longrightarrow (\mathfrak{m}, v)}$$
(1.2)

Parameters

$$\Sigma \vdash params \Longrightarrow \Upsilon$$

$$\overline{\Sigma \vdash \langle \, \cdot \, \rangle \Longrightarrow \{\}} \tag{1.3}$$

$$\frac{\Sigma \vdash params \Longrightarrow \Upsilon \quad \Sigma \vdash symbind \Longrightarrow (a, \tau)}{\Sigma \vdash params, symbind \Longrightarrow \Upsilon \cup a \mapsto \tau}$$
(1.4)

Arguments

$$\Sigma \vdash args \Longrightarrow \Theta$$

$$\overline{\Sigma \vdash \langle \, \cdot \, \rangle \Longrightarrow \{\}} \tag{1.5}$$

$$\frac{\Sigma \vdash args \Longrightarrow \Theta \quad \Sigma \vdash metabind \Longrightarrow (\mathfrak{m}, v)}{\Sigma \vdash args, metabind \Longrightarrow \Theta \cup \mathfrak{m} \mapsto v}$$

$$(1.6)$$

Operator Identifiers

$$\Sigma \vdash opid \Longrightarrow \vartheta$$

$$\frac{\vartheta \not\in \mathbf{dom}(\Sigma)}{\Sigma \vdash opid \Longrightarrow \vartheta} \tag{1.7}$$

Declarations

$$\Sigma \vdash sigdec \Longrightarrow (\vartheta, D)$$

$$\begin{array}{cccc} \Sigma \vdash params \Longrightarrow \Upsilon & \Sigma \vdash sortid \Longrightarrow \tau & \Sigma \vdash opid \Longrightarrow \vartheta \\ \Sigma \vdash args \Longrightarrow \Theta & \Sigma \vdash term \Longrightarrow M & \Theta \triangleright \Upsilon_{\Sigma} \oplus \Upsilon \parallel \cdot \vdash M : \tau \\ \hline \Sigma \vdash \mathsf{Def} \ opid \langle [params] \rangle \langle (args) \rangle : sortid = [term] \Longrightarrow (\vartheta, \langle \Upsilon, \Theta, \tau, M \rangle) \end{array} \tag{1.8}$$

$$\begin{array}{ccc} \Sigma \vdash params \Longrightarrow \Upsilon & \Sigma \vdash opid \Longrightarrow \vartheta \\ \Sigma \vdash args \Longrightarrow \Theta & \Theta \triangleright \Upsilon_{\Sigma} \oplus \Upsilon \parallel \cdot \vdash M : \mathsf{tac} \\ \hline \Sigma \vdash \mathsf{Tac} \ opid \langle \lceil params \rceil \rangle \langle (args) \rangle = \lceil term \rceil \Longrightarrow (\vartheta, \langle \Upsilon, \Theta, \mathsf{tac}, M \rangle) \end{array} \tag{1.9}$$

$$\begin{array}{lll} \Sigma \vdash \mathit{params} \Longrightarrow \Upsilon & \quad \Sigma \vdash \mathit{term}_1 \Longrightarrow P & \quad \Theta \triangleright \Upsilon_\Sigma \oplus \Upsilon \parallel \cdot \vdash P : \mathsf{exp} \\ \Sigma \vdash \mathit{args} \Longrightarrow \Theta & \quad \Sigma \vdash \mathit{term}_2 \Longrightarrow M & \quad \Theta \triangleright \Upsilon_\Sigma \oplus \Upsilon \parallel \cdot \vdash M : \mathsf{tac} & \quad \Sigma \vdash \mathit{opid} \Longrightarrow \vartheta \end{array}$$

$$\Sigma \vdash \mathsf{Thm} \ opid\langle [params] \rangle \langle (args) \rangle : [term_1] \ \mathsf{by} \ [term_2] \Longrightarrow (\vartheta, \langle \Upsilon, \Theta, \mathsf{thm}, \mathsf{prove}(P; M) \rangle) \tag{1.10}$$

Signatures

$$\vdash sigexp \Longrightarrow \Sigma$$

$$\overline{\vdash \langle \cdot \rangle \Longrightarrow \{\}} \tag{1.11}$$

$$\frac{\vdash sigexp \Longrightarrow \Sigma \quad \Sigma \vdash sigdec \Longrightarrow (\vartheta, D)}{\vdash sigexp \ sigdec. \Longrightarrow \Sigma \cup \vartheta \mapsto D}$$
(1.12)

Chapter 2

Nominal LCF: a language for tactics

In a sequent calculus, left rules add hypotheses to the context; for instance, consider the left rule for positive conjunctions:

$$\frac{H, x: A \otimes B, y: A, z: B \gg [\langle y, z \rangle / x] C}{H, x: A \otimes B \gg C} \otimes_L^{x,y,z}$$

From a proof refinement perspective (see [1]), such a rule is typically manifested as an ML tactic $\otimes_{\mathbb{L}}[x,y,z]$ which takes three names as parameters: the target hypothesis x, and the names to use for the new hypotheses y, z. However, whilst the identity of the name x is essential to the meaning of the tactic, the names supplied for the generated hypotheses can be freshly renamed with impunity.

Indeed, in a proof term assignment for this sequent calculus, the corresponding elimination form would bind variables x, y rather than take the, as parameters. However, in the standard LCF tactic paradigm, it is not possible to reproduce this structure, because the sequencing of rules is mediated by the general purpose THEN tactical, which has no knowledge of names or binding.

We will design a language for tactics called **Nominal LCF** which supports a distinction between names bound and names taken as parameters, and then show how it can be elaborated into standard LCF.

2.1 The LCF Tactic Language

The essence of the LCF tactic system is captured in the following (idealized) ML signature:

```
type judgment type evidence type tactic = judgment \rightarrow judgment \ list \otimes (evidence \ list \rightarrow evidence)
```

In other words, a tactic is a partial function that takes a goal to its subgoals, and specifies how to transform the evidence of its subgoals into the evidence for the main goal. In the case of the sequence calculus we were considering, judgment would be a type of sequents. Now, in general a tactic may need to consume names from a *name store*, which is an infinite stream of *atoms* or *symbols*:

type
$$\mathbb{A}$$
 type $atactic = \mathbb{A}^{\mathbb{N}} \to tactic$

2.1.1 Atomic tactics and their uniform continuity

Left sequent rules can be coded as so-called *atomic tactics*, tactics which consume a stream of names. In fact, every such tactic is *uniformly continuous* in a specific sense. For an atomic sequence $\alpha \in \mathbb{A}^{\mathbb{N}}$ and a natural number $n \in \mathbb{N}$, let $\bar{\alpha}(n)$ be the initial segment of α of length n. Let $M \approx N$ be *observational equivalence*: M evaluate to the same value, or they diverge.

Then, for any atomic tactic T and judgment \mathcal{J} , we can calculate a uniform modulus of continuity:

$$\exists \mathbf{n} \in \mathbb{N}. \forall \alpha, \beta \in \mathbb{A}^{\mathbb{N}}. \bar{\alpha}(n) = \bar{\beta}(n) \implies T(\alpha, \mathcal{J}) \approx T(\beta, \mathcal{J})$$
 (uniform continuity)

This calculation can be realized computationally in our metalanguage in a number of ways, but for our purposes it suffices to remark that it is a consequence of Brouwer's Fan Theorem, which we hold to be evident. Let $\mathsf{umod}(T,\mathcal{J}) \in \mathbb{N}$ be the uniform modulus of continuity for an atomic tactic $T \in atactic$ at goal \mathcal{J} .

2.2 Nominal LCF

2.2.1 Static Semantics

We will define the **Nominal LCF** language by specifying an abt signature for it; at its heart is the refactoring of the various sequencing tacticals THEN, THENL, etc. of LCF into a single sequencing tactical combined a separate notion of *multi-tactic*.

First, we define sorts for tactics, atomic tactics, binding tactics and multi-tactics respectively:

$$\overline{\mathsf{tac}\; sort} \qquad \overline{\mathsf{atac}\; sort} \qquad \overline{\mathsf{btac}\; sort} \qquad \overline{\mathsf{mtac}\; sort}$$

We also provide a sort to classify hypotheses:

Now, we'll define the operators of **Nominal LCF**; note that the operators of sort atac are arbitrary and are provided only for the sake of illustration. We will consider the definition of **Nominal LCF** as over some signature Σ of atomic tactics.

$$\begin{array}{ll} \hline \Upsilon \Vdash \mathrm{id}: () \, \mathrm{atac} & \hline \Upsilon \Vdash \mathrm{fail}: () \, \mathrm{atac} & \hline \Upsilon, a: \mathrm{hyp} \Vdash \mathrm{elim}[a]: () \, \mathrm{atac} \\ \\ \hline \frac{n \in \mathbb{N}}{\Upsilon \Vdash \mathrm{seq}_n: (. \, \mathrm{btac}, \{\mathrm{hyp}^n\}. \, \mathrm{mtac}) \, \mathrm{tac}} \\ \\ \hline \frac{n \in \mathbb{N}}{\Upsilon \Vdash \mathrm{smash}: (. \, \mathrm{atac}, . \, \mathrm{atac}) \, \mathrm{btac}} \\ \\ \hline \frac{n \in \mathbb{N}}{\Upsilon \Vdash \mathrm{smash}: (. \, \mathrm{atac}, . \, \mathrm{atac}) \, \mathrm{btac}} \\ \hline \\ \hline \Upsilon \Vdash \mathrm{all}: (. \, \mathrm{tac}) \, \mathrm{mtac}} & \frac{i \in \mathbb{N}}{\Upsilon \Vdash \mathrm{some}_i: (. \, \mathrm{tac}) \, \mathrm{mtac}} \end{array}$$

For the sake of clarity, we introduce the following notational abbreviations for tactic expressions:

$$t_1; t_2 \triangleq \mathtt{seq}_0(.\,t_1;.\,t_2)$$

$$a_0, \dots, a_n \leftarrow t_1; t_2 \triangleq \mathtt{seq}_n(.\,t_1; \{a_0, \dots, a_n\}.\,t_2)$$

$$t_1 \ni t_2 \triangleq \mathtt{smash}(.\,t_1;.\,t_n)$$

$$\Box t \triangleq \mathtt{all}(.\,t)$$

$$(\![t_1, \dots, t_n]\!] \triangleq \mathtt{each}_n(.\,t)$$

$$\lozenge_i t \triangleq \mathtt{some}_i(.\,t)$$

2.2.2 Dynamic Semantics

For a signature of atomic tactics Σ , we will define a Σ -model \mathcal{M} to be an interpretation of each atomic tactic $t \in \Sigma$ into some $[\![t]\!]_{\mathcal{M}} \in atactic$; additionally, the model shall come equipped with a free choice sequence of atoms $\alpha_{\mathcal{M}} \in \mathbb{A}^{\mathbb{N}}$, such that each neighborhood $\vec{u} \ni \alpha_{\mathcal{M}}$ contains all distinct atoms. Then, we can interpret all of **Nominal LCF** into \mathcal{M} , by defining an elaboration judgment $\mathcal{M} \models_{\rho} \Upsilon \parallel \Gamma \vdash t @ \mathcal{J} \Longrightarrow P$ with $\mathcal{J} \in judgment$ and $P \in judgment$ list \otimes (evidence list \rightarrow evidence), such that $\rho(x) \in atactic$ for each $x : \mathtt{atac} \in \Gamma$.

First, we define the elaboration uniformly by appealing to an auxiliary judgment:

$$\frac{\mathcal{M} \models_{\rho} \Upsilon \parallel \Gamma \vdash t @ \mathcal{J} \stackrel{\mu}{\Longrightarrow} P \ll \alpha_{\mathcal{M}}}{\mathcal{M} \models_{\rho} \Upsilon \parallel \Gamma \vdash t @ \mathcal{J} \Longrightarrow P}$$

This auxiliary form of judgment is made with respect to a free choice sequence of names α , and synthesizes the uniform modulus of continuity μ of the tactic under consideration. When it leads to no ambiguity, we will write $\mathcal{M} \models_{\rho} t @ \mathcal{J} \stackrel{\mu}{\Longrightarrow} P \ll \alpha$ instead of the more verbose $\mathcal{M} \models_{\rho} \Upsilon \parallel \Gamma \vdash t @ \mathcal{J} \stackrel{\mu}{\Longrightarrow} P \ll \alpha$; we will explain this judgment for t of sorts atac, btac, and tac. To start with, we will give the elaboration rules for constants (atomic tactics) and variables denoting atomic tactics:

$$\frac{\operatorname{umod}([\![t]\!]_{\mathcal{M}}\,,\mathcal{J})\equiv\mu}{\mathcal{M}\models_{\rho}t\;@\;\mathcal{J}\stackrel{\#}{\Rightarrow}[\![t]\!]_{\mathcal{M}}\,(\alpha,\mathcal{J})\ll\alpha}\qquad \frac{\operatorname{umod}(\rho(x),\mathcal{J})\equiv\mu}{\mathcal{M}\models_{\rho}x\;@\;\mathcal{J}\stackrel{\#}{\Rightarrow}\rho(x)(\alpha,\mathcal{J})\ll\alpha}$$

The rule for the *smash* tactical $t_1 \ni t_2$ exploits the uniform continuity of atomic tactics to divide up the name store α between t_1 and t_2 :

$$\mathcal{M} \models_{\rho} t_{1} @ \mathcal{J} \xrightarrow{\mu} \langle [\mathcal{J}_{0}, \dots, \mathcal{J}_{n}], E \rangle \ll \alpha \qquad \alpha \equiv [u_{0}, \dots, u_{\mu}] \oplus \beta \\
\mathcal{M} \models_{\rho} t_{2} @ \mathcal{J}_{i} \xrightarrow{\mu_{i}} \langle \vec{\mathcal{K}}_{i}, F_{i} \rangle \ll \beta \quad (i \leq n) \qquad \mu + \bigsqcup i \leq n\mu_{i} \equiv \mu'$$

$$\mathcal{M} \models_{\rho} t_{1} \ni t_{2} @ \mathcal{J} \xrightarrow{\mu'} \langle \bigoplus_{i \leq n} \vec{\mathcal{K}}_{i}, \lambda(\vec{x}_{0}, \dots, \vec{x}_{n}).E[F_{0}[\vec{x}_{0}], \dots, F_{0}[\vec{x}_{n}]] \rangle \ll \alpha$$

Next, we define the sequencing tactical, once for each multi-tactical.

$$\frac{\mathcal{M} \models_{\rho} t_{1} @ \mathcal{J} \stackrel{\mu}{\Longrightarrow} \langle [\mathcal{J}_{0}, \dots, \mathcal{J}_{n}], E \rangle \ll \vec{u} \oplus \alpha \qquad \vec{u} \oplus \alpha \equiv [v_{0}, \dots, v_{\mu}] \oplus \beta}{\mathcal{M} \models_{\rho} t_{2} @ \mathcal{J}_{i} \stackrel{\mu_{i}}{\Longrightarrow} \langle \vec{K}_{i}, F_{i} \rangle \ll \beta \quad (i \leq n) \qquad \mu + \bigsqcup_{i \leq n} \mu_{i} \equiv \mu'}$$

$$\overline{\mathcal{M} \models_{\rho} t_{1} @ \mathcal{J} \stackrel{\mu}{\Longrightarrow} \langle [\mathcal{J}_{0}, \dots, \mathcal{J}_{n}], E \rangle \ll \vec{u} \oplus \alpha} \qquad \vec{u} \oplus \alpha \equiv [v_{0}, \dots, v_{\mu}] \oplus \beta \qquad \vec{u} \models_{\rho} t_{1} @ \mathcal{J} \stackrel{\mu_{i}}{\Longrightarrow} \langle \vec{K}_{i}, F_{i} \rangle \ll \beta \quad (i \leq n) \qquad \mu + \bigsqcup_{i \leq n} \mu_{i} \equiv \mu'}$$

$$\overline{\mathcal{M} \models_{\rho} t_{i} @ \mathcal{J}_{i} \stackrel{\mu_{i}}{\Longrightarrow} \langle \vec{K}_{i}, F_{i} \rangle \ll \beta \quad (i \leq n) \qquad \mu + \bigsqcup_{i \leq n} \mu_{i} \equiv \mu'}$$

$$\overline{\mathcal{M} \models_{\rho} t_{i} @ \mathcal{J} \stackrel{\mu_{i}}{\Longrightarrow} \langle \vec{J}, E \rangle \ll \vec{u} \oplus \alpha} \qquad \vec{u} \oplus \alpha \equiv [v_{0}, \dots, v_{\mu}] \oplus \beta \qquad \vec{J} \equiv \vec{J}_{c} \oplus [\vec{J}_{i}] \oplus \vec{J}_{c} \Rightarrow \vec{J}_{c} \oplus [\vec{J}_{c}] \oplus \vec{J}_{c} \oplus [\vec{J}_{c}] \oplus \vec{J}_{c} \oplus \vec$$

Bibliography

[1] R. L. Constable, S. F. Allen, H. M. Bromley, W. R. Cleaveland, J. F. Cremer, R. W. Harper, D. J. Howe, T. B. Knoblock, N. P. Mendler, P. Panangaden, J. T. Sasaki, and S. F. Smith. *Implementing Mathematics with the Nuprl Proof Development System*. Prentice-Hall, Inc., Upper Saddle River, NJ, USA, 1986.