

# Time-Dependent Two-Nanoparticle Network Orthodox Coulomb-Blockade Treatment with an AC Source Drive

## 0 Regime of Validity & Road-Map ★

1. **Orthodox picture.** Sequential, *incoherent* single-electron tunnelling; no cotunnelling.
2. **Deep-blockade limit**

$$eU_0, k_B T \ll E_C \equiv \frac{e^2}{2C_\Sigma}, \quad \omega_0 \ll \frac{1}{R_{ij}C_\Sigma}, \quad (1)$$

so islands behave as open-circuit capacitors during the RF cycle; Section ?? then gives closed-form harmonic rates.

3. **Beyond the blockade.** Violating (??) (large bias, high  $T$ , or fast drive) allows real current to flow *during* the cycle. The same rate formula still applies, but island potentials  $\phi_i(t)$  must be obtained self-consistently using the Floquet master-equation framework (Section ??).

## 1 System Layout

Two metallic nanoparticles (**NP 1**, **NP 2**) are connected in series between a driven source **S** and a grounded drain **D**, atop a static back-gate **G**. Direct tunnelling to the gate is forbidden.

<b>Node</b>	<b>Potential</b>	<b>Excess charge</b>
Source (S)	$U_S(t) = U_0 \cos \omega_0 t$	–
NP 1	$\phi_1(t)$	$Q_1(t)$
NP 2	$\phi_2(t)$	$Q_2(t)$
Drain (D)	$U_D = 0$	–
Gate (G)	$U_G$ (static)	–

Every neighbouring pair carries a geometric capacitance  $C_{ij}$  and a tunnel resistance  $R_{ij}$ .

## 2 Electrostatics

Approximate self- and mutual capacitances for metallic spheres (radii  $r_i$ , centre spacing  $d$ ):

$$C_i \simeq K_s r_i, \quad C_{ij} \simeq K_m \frac{r_i r_j}{r_i + r_j + d}, \quad (2)$$

with geometry factors  $K_s, K_m$ .

Charge–potential relation for the two floating islands:

$$\mathbf{Q} = \mathbf{C} \phi, \quad \mathbf{C} = \begin{pmatrix} C_{11} & -C_{12} \\ -C_{12} & C_{22} \end{pmatrix}, \quad (3)$$

where  $C_{11} = C_{S1} + C_{12} + C_1$  and  $C_{22} = C_{2D} + C_{12} + C_2$ . The inverse reads

$$\mathbf{C}^{-1} = \frac{1}{\Delta} \begin{pmatrix} C_{22} & C_{12} \\ C_{12} & C_{11} \end{pmatrix}, \quad \Delta = C_{11}C_{22} - C_{12}^2. \quad (4)$$

Thus  $E_C \sim e^2/(2 \operatorname{tr} \mathbf{C})$ .

### 3 Free-Energy Cost for One Tunnelling Event

$$\boxed{\Delta F_{i \rightarrow j} = e(\phi_i - \phi_j) + \frac{e^2}{2}(C_{ii}^{-1} + C_{jj}^{-1} - 2C_{ij}^{-1})}. \quad (5)$$

For island–electrode transfer (infinite reservoir capacitance):

$$\Delta F_{i \rightarrow E} = e(\phi_i - U_E) + \frac{e^2}{2}C_{ii}^{-1}. \quad (6)$$

### 4 Exact Stochastic Dynamics

With configuration probability  $P(\mathbf{n}, t)$  ( $\mathbf{n} = (n_1, n_2)$ ) the master equation is

$$\frac{dP(\mathbf{n}, t)}{dt} = \sum_{\mathbf{m} \neq \mathbf{n}} [\Gamma_{\mathbf{m} \rightarrow \mathbf{n}} P(\mathbf{m}, t) - \Gamma_{\mathbf{n} \rightarrow \mathbf{m}} P(\mathbf{n}, t)]. \quad (7)$$

Orthodox rate:

$$\boxed{\Gamma_{ij}(\Delta F) = \frac{\Delta F}{e^2 R_{ij}} \frac{1}{1 - e^{-\Delta F/k_B T}}}. \quad (8)$$

### 5 From Master Equation to Kirchhoff's Law

Define  $\langle Q_i \rangle = e\langle n_i \rangle$ . Taking the first moment of (??) and regrouping gives the ensemble-averaged tunnel current

$$I_{T,ij} = e \sum_{\mathbf{n}} [\Gamma_{j \rightarrow i} - \Gamma_{i \rightarrow j}] P(\mathbf{n}, t). \quad (9)$$

Because  $\langle Q_i \rangle = \sum_k C_{ik} \langle \phi_i - \phi_k \rangle$ , we arrive at

$$\boxed{\sum_k C_{ik} \langle \phi_i - \phi_k \rangle + \sum_j I_{T,ij}(t) = 0.} \quad (10)$$

## When may KCL be treated as continuous?

Criterion	Physical meaning	Consequence
Many hops per window $\Delta t$	Shot noise $\sim 1/\sqrt{N}$ small	Currents look smooth; (??) reliable.
$\Delta t \gg R_{ij}C_\Sigma$ (or $k_B T, eU_0 \gg \hbar\omega$ )	Fast fluctuations averaged	Potentials treatable as continuous.
Linear/weakly nonlinear	Higher moments negligible	KCL with <i>mean</i> currents closes equations.

For single-electron pumps or ns resolution, solve the full stochastic dynamics; KCL then holds trajectory-by-trajectory with Dirac- $\delta$  spikes.

## 6 Circuit-Averaged KCL Equations

Applying (??) to node 1 yields

$$C_{S1}(U_S - \phi_1) + C_{12}(\phi_2 - \phi_1) + C_{11}(-\phi_1) + I_{T,S1} + I_{T,12} = 0, \quad (11)$$

with an analogous equation for node 2.

## 7 Deep-Blockade Analytic Solution

Assuming (??), tunnelling currents vanish in (??) and potentials lock to a single cosine:

$$\mathbf{C} \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} = \begin{pmatrix} C_{S1}U_0 \cos \omega_0 t \\ 0 \end{pmatrix} \implies \phi_i(t) = \alpha_i U_0 \cos \omega_0 t, \quad (12)$$

with

$$\alpha_1 = \frac{C_{S1}C_{22}}{\Delta}, \quad \alpha_2 = \frac{C_{S1}C_{12}}{\Delta}. \quad (13)$$

Define  $\Delta F_{ij}(t) = A_{ij} + B_{ij} \cos \omega_0 t$  where  $A_{ij} \sim E_C$  and  $B_{ij} = e(\alpha_i - \alpha_j)U_0$ . Using  $1/(1 - e^{-x}) = \sum_{\ell=0}^{\infty} e^{-\ell x}$  and  $e^{-z \cos \theta} = \sum_{m=-\infty}^{\infty} (-1)^m I_m(z) e^{im\theta}$ , one finds

$$\boxed{\Gamma_{ij}^{(n)} = -\frac{1}{e^2 R_{ij}} \sum_{\ell=0}^{\infty} e^{-\ell A_{ij}/k_B T} \left[ A_{ij}(-1)^n I_n(\ell \beta_{ij}) + \frac{B_{ij}}{2} ((-1)^{n-1} I_{n-1} - (-1)^{n+1} I_{n+1}) \right]}, \quad (14)$$

with  $\beta_{ij} = B_{ij}/k_B T$ .

### Physical implications

- **Photon-assisted tunnelling:**  $\ell$  counts absorbed/emitted quanta;  $I_n$  distributes them into harmonics.
- **Odd/even rule:** Symmetric drive with zero DC offset suppresses even  $n$ .
- **Sweet spot:** Richest spectrum for  $E_C/k_B T \sim eU_0/k_B T \sim 1$ .
- **Large-island limit:** If  $r_2 \gg r_1$ , only S-1 and 1-2 junctions contribute non-zero  $B_{ij}$ , mapping to a single-electron transistor.

## 8 Beyond the Blockade: Floquet Master Equation

When (??) is violated, write each periodic quantity as a Fourier series, e.g.  $\phi_i(t) = \sum_m \phi_i^{(m)} e^{im\omega_0 t}$ . Substituting into KCL and the master equation yields an infinite set of linear equations for  $\phi_i^{(m)}$  and  $P_n^{(m)}$ . Numerical truncation reproduces (??) in the blockade limit and remains valid outside it.

## 9 References

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3. J. Tien and J. Gordon, Phys. Rev. **129**, 647 (1963).