

PETapter: Leveraging PET-style classification heads for modular few-shot parameter-efficient fine-tuning

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Parameter Efficient Fine-Tuning (PEFT)

Pattern-Exploiting Training (PET)

Adapters

Few-Shot Learning

Efficiency

Modularity

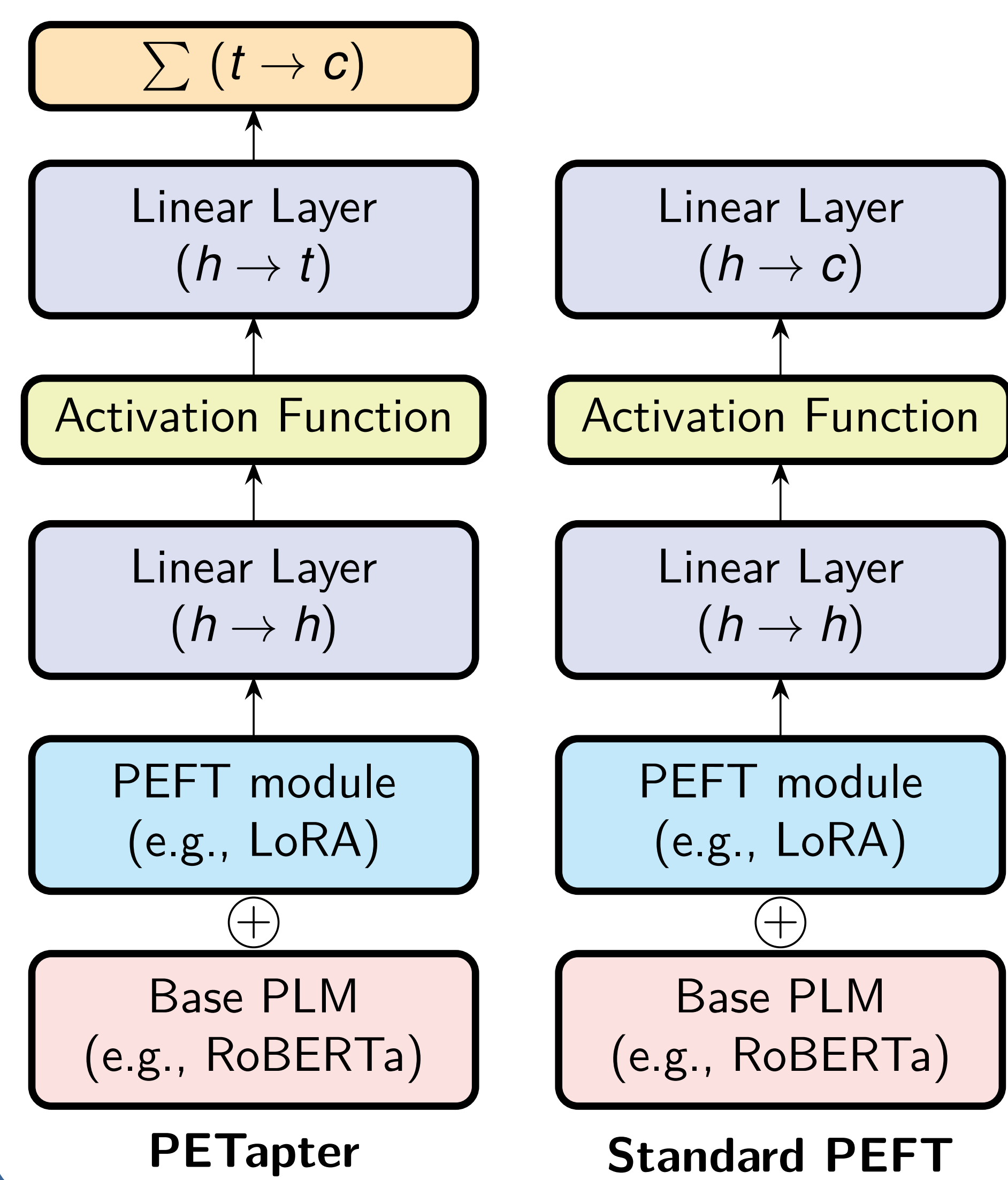
Sharability

Reliability

Summary

- ▶ combination of advantages from few-shot learning and PEFT
- ▶ performance on par with PET
- ▶ no catastrophic forgetting
- ▶ fast training in low resource settings
- ▶ less disk space needed for sharing (16 MB vs. 2.1 GB)
- ▶ Ukraine: German PVPs perform worse
- ▶ Ukraine: zero-shot GPT-4 performs better than using in-context learning (max. 10 obs.)
- ▶ Ukraine: label unbalancedness is represented in class performances
- ▶ Bagging + majority vote is useful

PETapter Architecture



PETapter

- ▶ \mathcal{L} set of target labels with $|\mathcal{L}| = c$
- ▶ $P^m(x)$ be a pattern function inserting m [MASK] tokens to an input x
- ▶ $v^m(\ell)$ injective function mapping $\ell \in \mathcal{L}$ to m vocabulary tokens
- ▶ $T = \bigcup_{\ell \in \mathcal{L}} \bigcup_{i=1}^m v^m(\ell)_i$ relevant vocabulary
- ▶ $v^m: \mathcal{L} \rightarrow T^m$
- ▶ logits for the m [MASK] tokens:
 $M(v^m(\ell) | P^m(x)) \in \mathbb{R}^m$
- ▶ final score per label ℓ for an input x :
 $s(\ell | x) = \sum_{i=1}^m M(v^m(\ell) | P^m(x))_i$
- ▶ corresponding pseudo-probability:
 $q(\ell | x) = \frac{\exp(s(\ell | x))}{\sum_{\ell' \in \mathcal{L}} \exp(s(\ell' | x))}$
- ▶ cross-entropy loss over all observations:

$$L_{CE} = - \sum_{(x, \ell^*)} \sum_{\ell \in \mathcal{L}} \mathbb{1}_{\{\ell = \ell^*\}}(x, \ell^*) \log[q(\ell | x)]$$
$$= - \sum_{(x, \ell^*)} \log[q(\ell^* | x)]$$

Pattern-Verbalizer-Pair (PVP)

Yelp Q&A PVP:

Pattern [text]* [SEP] Question: What does the customer think of this restaurant? Answer: [MASK].

Verbalizers

| class label | verbalizer |
|-------------|------------|
| 1 star | terrible |
| 2 stars | bad |
| 3 stars | okay |
| 4 stars | good |
| 5 stars | great |

Ukraine PVP:

Pattern This sentence contains [MASK] [MASK] arms deliveries to Ukraine: {[target_sentence] [SEP] [context_before] [SEP] [context_after]}*

Verbalizers argument against, argument for, claim against, claim for

Benchmark Study (Accuracy \pm Std.)

| RoBERTa Large n | Data | Prompt Pattern PETapter | | | Q&A Pattern PETapter | | | Linear Layer | |
|-------------------------|-------|----------------------------|----------------------|-----------------------------|-------------------------|-----------------------------|----------------------|----------------------|----------------------|
| | | LoRA | Pfeif. | PET | LoRA | Pfeif. | PET | LoRA | Pfeif. |
| 10 | AG | 0.714 ± 0.070 | 0.702 ± 0.081 | 0.842 ± 0.025 | 0.746 ± 0.054 | 0.738 ± 0.060 | 0.836 ± 0.032 | 0.373 ± 0.049 | 0.443 ± 0.104 |
| 10 | Yahoo | 0.331 ± 0.040 | 0.290 ± 0.056 | 0.574 ± 0.030 | 0.365 ± 0.049 | 0.346 ± 0.054 | 0.550 ± 0.040 | 0.150 ± 0.027 | 0.169 ± 0.041 |
| 10 | Yelp | 0.470 ± 0.041 | 0.479 ± 0.035 | 0.475 ± 0.026 | 0.472 ± 0.049 | 0.490 ± 0.046 | 0.486 ± 0.041 | 0.221 ± 0.012 | 0.216 ± 0.014 |
| 100 | AG | 0.873 ± 0.010 | 0.875 ± 0.010 | 0.877 ± 0.009 | 0.870 ± 0.010 | 0.873 ± 0.010 | 0.874 ± 0.009 | 0.875 ± 0.008 | 0.875 ± 0.008 |
| 100 | Yahoo | 0.662 ± 0.014 | 0.661 ± 0.017 | 0.680 ± 0.013 | 0.654 ± 0.008 | 0.656 ± 0.012 | 0.675 ± 0.013 | 0.648 ± 0.016 | 0.647 ± 0.015 |
| 100 | Yelp | 0.613 ± 0.014 | 0.614 ± 0.010 | 0.593 ± 0.014 | 0.622 ± 0.013 | 0.620 ± 0.013 | 0.595 ± 0.016 | 0.551 ± 0.019 | 0.512 ± 0.043 |

Training times for
 $n = 100$ observations

| | RoBERTa | Architecture | AG | Yahoo | Yelp |
|-------|----------|--------------|------|-------|------|
| Base | PETapter | 0.33 | 0.33 | 0.32 | |
| Base | PET | 0.38 | 0.39 | 0.39 | |
| Large | PETapter | 0.65 | 0.64 | 0.65 | |
| Large | PET | 1.00 | 1.00 | 1.00 | |
| Large | PET | 6.1s | 6.2s | 6.2s | |

Ukraine Study (Macro-F1 \pm Std.)

| n | Samp. | PETapter | | PET | Linear Layer | |
|-----|--------|------------------------------------|------------------------------------|------------------------------------|------------------|------------------|
| | | LoRA | Pfeiffer | | LoRA | Pfeiffer |
| 10 | Equal | 0.31 \pm 0.043 | 0.33 \pm 0.057 | 0.33 \pm 0.080 | 0.13 \pm 0.042 | 0.15 \pm 0.041 |
| 10 | Strat. | 0.27 \pm 0.039 | 0.33 \pm 0.027 | 0.40 \pm 0.055 | 0.16 \pm 0.001 | 0.17 \pm 0.021 |
| 100 | Equal | 0.57 \pm 0.020 | 0.57 \pm 0.028 | 0.59 \pm 0.027 | 0.26 \pm 0.029 | 0.29 \pm 0.030 |
| 100 | Rand. | 0.56 \pm 0.036 | 0.55 \pm 0.036 | 0.56 \pm 0.053 | 0.20 \pm 0.041 | 0.26 \pm 0.037 |
| 100 | Strat. | 0.58 \pm 0.042 | 0.57 \pm 0.035 | 0.59 \pm 0.054 | 0.20 \pm 0.030 | 0.26 \pm 0.035 |
| 250 | Equal | 0.67 \pm 0.014 | 0.68 \pm 0.018 | 0.70 \pm 0.025 | 0.46 \pm 0.050 | 0.49 \pm 0.075 |
| 250 | Rand. | 0.67 \pm 0.021 | 0.67 \pm 0.024 | 0.67 \pm 0.109 | 0.38 \pm 0.031 | 0.45 \pm 0.086 |
| 250 | Strat. | 0.67 \pm 0.019 | 0.67 \pm 0.018 | 0.67 \pm 0.109 | 0.37 \pm 0.040 | 0.46 \pm 0.082 |

PVP Study LoRA-PETapter (Macro-F1 \pm Std.)

| n | Samp. | Alpha | No Pattern | | Alpha | Pattern | |
|-----|--------|------------------|------------------|------------------|------------------|------------------|------------------|
| | | | Normal | Shuffle | | Normal | Shuffle |
| 10 | Equal | 0.22 \pm 0.039 | 0.25 \pm 0.040 | 0.22 \pm 0.039 | 0.23 \pm 0.039 | 0.31 \pm 0.043 | 0.28 \pm 0.043 |
| 10 | Strat. | 0.20 \pm 0.025 | 0.22 \pm 0.031 | 0.22 \pm 0.033 | 0.23 \pm 0.067 | 0.27 \pm 0.039 | 0.27 \pm 0.043 |
| 100 | Equal | 0.47 \pm 0.026 | 0.43 \pm 0.041 | 0.41 \pm 0.037 | 0.57 \pm 0.041 | 0.57 \pm 0.020 | 0.56 \pm 0.033 |
| 100 | Rand. | 0.43 \pm 0.046 | 0.39 \pm 0.040 | 0.39 \pm 0.043 | 0.53 \pm 0.035 | 0.56 \pm 0.036 | 0.54 \pm 0.044 |
| 100 | Strat. | 0.40 \pm 0.027 | 0.38 \pm 0.035 | 0.37 \pm 0.027 | 0.52 \pm 0.051 | 0.58 \pm 0.042 | 0.54 \pm 0.048 |
| 250 | Equal | 0.62 \pm 0.022 | 0.61 \pm 0.024 | 0.60 \pm 0.022 | 0.67 \pm 0.021 | 0.67 \pm 0.014 | 0.68 \pm 0.019 |
| 250 | Rand. | 0.58 \pm 0.035 | 0.57 \pm 0.054 | 0.56 \pm 0.054 | 0.65 \pm 0.029 | 0.67 \pm 0.021 | 0.66 \pm 0.020 |
| 250 | Strat. | 0.60 \pm 0.025 | 0.59 \pm 0.030 | 0.58 \pm 0.032 | 0.65 \pm 0.019 | 0.67 \pm 0.019 | 0.66 \pm 0.017 |