

BACHELOR THESIS

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PROPERTY DIRECTED REACHABILITY  
IN ULTIMATE

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# Declaration

I hereby declare, that I am the sole author and composer of my thesis and that no other sources or learning aids, other than those listed, have been used. Furthermore, I declare that I have acknowledged the work of others by providing detailed references of said work.

I hereby also declare, that my Thesis has not been prepared for another examination or assignment, either wholly or excerpts thereof.

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Jonas Werner



# Abstract





# Zusammenfassung

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# 1 Introduction

SAT-based model-checking is a useful technique for both software and hardware verification. Most modern model-checkers are based on interpolation [10]. Recently a novel hardware verification algorithm was devised by Aaron Bradley [3] called IC3. Because it was so new, it came as a surprise that it won third place in the hardware model-checking competition (HWMCC) at CAV 2010 [2].

The model-checking method behind IC3 is called *Property Directed Reachability, PDR* for short, which is not based on interpolation but on backward-search. It tries to find inductive invariants by constructing an over-approximation of reachable states. During this construction, possible counter-examples are disproved using Boolean SAT queries. Because this approach turned out to be very efficient for hardware verification, it could be interesting for software verification as well.

ULTIMATE [1] is a software analysis framework consisting of multiple plugins that perform steps of a program analysis, like parsing source code, transforming programs from one representation to another, or analyse programs. ULTIMATE already has analysis-plugins using different model-checking techniques like trace abstraction [5] or lazy interpolation [7]. The goal of this Bachelor's Thesis is to implement a new analysis-plugin that uses PDR on software in ULTIMATE and to compare it with the other existing techniques.





## 2 Related Work

Because hardware verification is limited to propositional logic, we need techniques to lift PDR from bit-level to first-order logic formulas used in software.

We base our work on the approach by Lange et al [9] who propose a true lifting of IC3 from hardware to software by fully exploiting the control flow of a given program, meaning the control flow graph is not altered in any way. IC3 is being adjusted by using an SMT-solver instead of a SAT-solver, additional information is no longer being used globally over all states, every program location has its own local information, and if there exists an error location each iteration of the algorithm will have to prove that it is unreachable.



There are however three other possible approaches:

The first approach of using PDR on software is rather straight-forward, Welp et al. propose a way to encode variables as bitvectors, introduce a new variable  $pc$  representing the program location, and then using the unmodified IC3-algorithm on that encoding [11]. A big drawback of that approach is the tedious handling of the  $pc$  variable rendering it not very competitive.

In 2012 the first ever approach of using PDR on first-order formulae was devised, Cimatti et al [4] propose exploiting the **ToDo: what is a ART ? In this tree xy happens, and formula is yx** partitioning of a program's state space by unwinding the program's control flow graph into an Abstract Reachability Tree where each node is coupled with a location and a first-order formula, **resulting in a so called explicit-symbolic approach.** Possible counterexample traces are disproved by computing under-approximations of predecessors.

Another possible way is proposed by Hoder and Bjørner [8] operating on an abstract transition system derived from the program. A non-linear variant of PDR is used, so that counterexamples unfold into trees that can be recursively generalized until either proven or disproven.





## 3 PDR Background

In the following we will describe how IC3 and to that extend PDR works as hardware checker, because hardware only uses bits, every variable will be boolean.

### 3.1 Preliminaries

First some preliminary definitions and notations:

A *literal* is a variable or its negation, e.g.,  $x$  or  $\bar{y}$

A *clause* is a disjunction of literals, e.g.,  $x \vee \bar{y}$

A *cube* is a conjunction of literals, e.g.,  $x \wedge \bar{y}$

Therefore, the negation of a cube is a clause.  $\overline{(x \wedge \bar{y})} \equiv (\bar{x} \vee y)$

A *boolean transition system* is a tuple  $S = (X, I, T)$ , where  $X$  is a finite set of boolean variables,  $I$  is a cube representing the *initial state*, and  $T$  is a propositional formula over variables in  $X$  and  $X' = \{x \in X \mid x' \in X'\}$ , called transition relation, that describes updates to the variables.

For example, consider the transition system  $U = (X, I, T)$  where

- $X = \{x_1, x_2, x_3\}$
- $I = \bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3$
- $T = (x_1 \vee \neg x'_2) \wedge (\bar{x}_1 \vee x'_2) \wedge (x_2 \vee \bar{x}'_3) \wedge (\bar{x}_2 \vee x'_3)$

With corresponding transition graph:

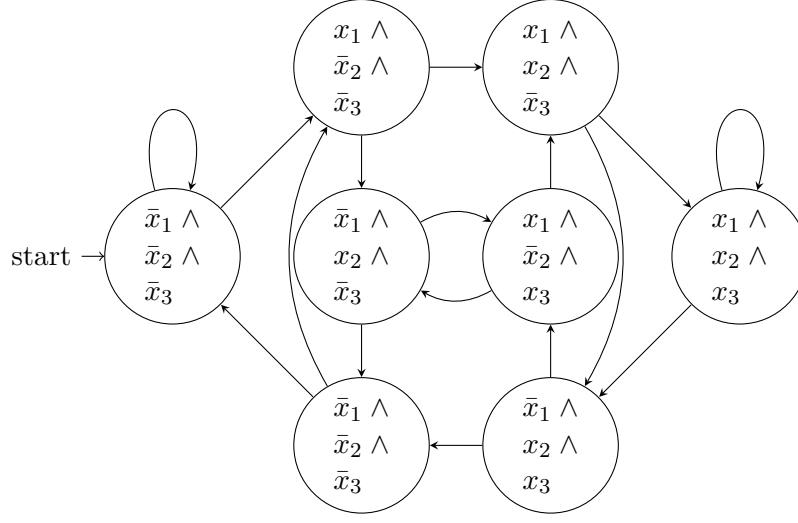


Figure 3.1: Transition Graph of  $U$ .

Given a propositional formula  $\phi$  over  $X$  we get a *primed formula*  $\phi'$  by replacing each variable with its corresponding variable in  $X'$ .

A *state* in  $S$  is a cube containing each variable from  $X$  with a boolean valuation of it. For each possible valuation there is a corresponding state, resulting in  $2^{|X|}$  states in  $S$ . Like we see in the graph of  $U$  we have  $2^{|X|} = 2^3 = 8$  states.

A *transition* from one state  $s$  to another state  $q$  exists if the conjunction of  $s$ , the transition relation, and  $q'$  is satisfiable.

For example in  $U$  the transition between the initial state  $I = \bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3$  and state  $r = x_1 \wedge \bar{x}_2 \wedge \bar{x}_3$  exists because

$$\underbrace{\bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3}_I \wedge \underbrace{(x_1 \vee \bar{x}_2) \wedge (\bar{x}_1 \vee x_2) \wedge (x_2 \vee \bar{x}_3) \wedge (\bar{x}_2 \vee x_3)}_T \wedge \underbrace{x_1' \wedge \bar{x}_2' \wedge \bar{x}_3'}_{r'}$$

is satisfiable.

Given a propositional formula  $P$  over  $X$ , called *property*, we want to verify that every state in  $S$  that is reachable from  $I$  satisfies  $P$  such that,  $P$  describes a finite set of good states, conversely  $\bar{P}$  represent a finite set of bad states.

Regarding  $U$ , let  $P = \bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3$  be given, making  $\bar{P} = x_1 \wedge x_2 \wedge x_3$  a bad state.

We can use PDR to show that either  $\bar{P}$  is unreachable from  $I$  or that there exists a sequence of transitions leading to  $\bar{P}$  as counter-example.

## 3.2 Algorithm

A PDR-based algorithm tries to prove that a transition system  $S = (X, I, T)$  satisfies a given property  $P$  by trying to find a formula  $F$  over  $X$  with the following qualities:

- (1)  $I \Rightarrow F$
- (2)  $F \wedge T \Rightarrow F'$
- (3)  $F \Rightarrow P$

$F$  is called an *inductive invariant*.

To calculate an inductive invariant, PDR uses *frames* which are cubes of clauses representing an over-approximation of reachable states in at most  $i$  transitions from  $I$ .

PDR maintains a sequence of frames  $[F_0, \dots, F_k]$ , called a *trace*, it is organized so that it fulfills the following characteristics:

- (I)  $F_0 = I$
- (II)  $F_{i+1} \subseteq F_i$ , therefore  $F_i \Rightarrow F_{i+1}$
- (III)  $F_i \wedge T \Rightarrow F'_{i+1}$
- (IV)  $F_i \Rightarrow P$

Now to the algorithm itself:

Start with checking for a *0-counter-example*, that means checking if  $I \Rightarrow P$ , by testing whether the formula  $I \wedge \bar{P}$  is satisfiable. If it is, then  $I$  is a 0-counter-example, the algorithm terminates. If the formula is unsatisfiable, initialize the first frame  $F_0 = I$ , fulfilling (I), and moving on.

Let  $[F_0, F_1, \dots, F_k]$  be the current trace.

The algorithm repeats the following three phases until termination:

### 1. Next Transition

Check whether the next state is a good state meaning  $F_k \wedge T \Rightarrow P'$  is valid, by testing the satisfiability of  $F_k \wedge T \wedge \bar{P}'$

- If the formula is *satisfiable*, for each satisfying assignment  $\vec{x} = (x_1, x_2, \dots, x_{|X|}, x'_1, x'_2, \dots, x'_{|X'|})$  get a new bad state  $a = x_1 \wedge x_2 \wedge \dots \wedge x_{|X|}$  and create tuple  $(a, k)$ , this tuple is called a *proof-obligation*.
- If the formula is *unsatisfiable*, continue with the next phase.

### 2. Blocking-Phase

If there are proof-obligations:

Take proof-obligation  $(b, i)$  and try to block the bad state  $b$  by checking if frame  $F_{i-1}$  can reach  $b$  in one transition, i.e., test  $F_{i-1} \wedge T \wedge b'$  for satisfiability.

- If the formula is *satisfiable*, it means that  $F_i$  is not strong enough to block  $b$ . For each satisfying assignment  $\vec{x} = (x_1, x_2, \dots, x_{|X|}, x'_1, x'_2, \dots, x'_{|X'|})$  get a new bad state  $c = x_1 \wedge x_2 \wedge \dots \wedge x_{|X|}$  creating the new proof-obligation  $(c, i - 1)$ .
- If the formula is *unsatisfiable*, strengthen frame  $F_i$  with  $\bar{b}$  meaning  $F_i = F_i \wedge \bar{b}$ , blocking  $b$  at  $F_i$

This continues recursively until either a proof-obligation  $(d, 0)$  is created proving that there exists a counter-example terminating the algorithm, or there is no proof-obligation left.

### 3. Propagation-Phase

Add a new frame  $F_{k+1} = P$  and propagate clauses from  $F_k$  forward, meaning for all clauses  $c$  in  $F_k$  check  $F_k \wedge T \wedge \bar{c}'$  for satisfiability. If that conjunction is unsatisfiable, strengthen  $F_{k+1}$  with  $c$ :  $F_{k+1} = F_{k+1} \wedge c$ , else do nothing and continue with the next clause. Because of this phase rule (II) is fulfilled.

After propagating all possible clauses, if  $F_{k+1} \equiv F_k$  the algorithm found a fix-point and terminates returning that  $P$  always holds with  $F_k$  being the inductive invariant.

---

```

1: procedure PDR-PROVE( $I, T, P$ )
2:   check for 0-counter-example
3:   trace.push(new frame(I))

4:   loop
5:     while  $\exists$  cube  $c$ , s.t. trace.last()  $\wedge T \wedge c'$  is SAT and  $c \Rightarrow \bar{P}$  do
6:       recursively block proof-obligation( $c$ , trace.size() - 1)
7:       and strengthen the frames of the trace.
8:       if a proof-obligation( $p$ , 0) is generated then
9:         return false  $\triangleright$  counter-example found

10:     $F_{k+1} = \text{new frame}(P)$ 
11:    for all clause  $c \in \text{trace.last()}$  do
12:      if trace.last()  $\wedge T \wedge c'$  is UNSAT then
13:         $F_{k+1} = F_{k+1} \wedge c$ 
14:      if trace.last()  $== F_{k+1}$  then
15:        return true
16:    trace.push(F_{k+1})

```

---

Figure 3.2: Bit-Level PDR Algorithm.

To illustrate the procedure further consider the pseudo-code:

## 3.3 Examples

### 3.3.1 With Failing Property

To show an application of the algorithm reconsider the example transition system  $U = (X, I, T)$  in Figure 3.1, where

- $X = \{x_1, x_2, x_3\}$
- $I = \bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3$
- $T = (x_1 \vee \bar{x}_2') \wedge (\bar{x}_1 \vee x_2') \wedge (x_2 \vee \bar{x}_3') \wedge (\bar{x}_2 \vee x_3')$

and the property:

- $P = \bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3$  with bad state  $\bar{P} = x_1 \wedge x_2 \wedge x_3$

We now want to verify whether  $P$  holds or if there is a counter-example.

### 1. Step: Check for 0-Counter-Example

We need to make sure that  $I \Rightarrow P$ , we do that by testing if  $I \wedge \bar{P}$  is satisfiable:

$$\underbrace{\bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3}_I \wedge \underbrace{x_1 \wedge x_2 \wedge x_3}_{\bar{P}}$$

The formula is unsatisfiable meaning there is no 0-counter-example, we continue by initializing  $F_0 = I$

### 2. Step: First Transition

Check if  $F_0 \wedge T \Rightarrow P'$ , by testing if  $F_0 \wedge T \wedge \bar{P}'$  is satisfiable:

$$\underbrace{\bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3}_{F_0} \wedge \underbrace{(x_1 \vee \bar{x}'_2) \wedge (\bar{x}_1 \vee x'_2) \wedge (x_2 \vee \bar{x}'_3) \wedge (\bar{x}_2 \vee x'_3)}_T \wedge \underbrace{x'_1 \wedge x'_2 \wedge x'_3}_{\bar{P}'}$$

Which is not satisfiable because  $\bar{x}_1 \wedge (x_1 \vee \bar{x}'_2) \wedge x'_2$  is unsatisfiable. We do not generate a proof-obligation, so that we can skip the blocking-phase and continue on with the propagation-phase.

### 3. Step: First Propagation-Phase

Initialize the new frame  $F_1 = P$

We need to check each clause  $c$  in  $F_0$  if  $F_0 \wedge T \wedge \bar{c}'$  is unsatisfiable to strengthen  $F_1$ .

- $c = \bar{x}_1$  :

$$\underbrace{\bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3}_{F_0} \wedge \underbrace{(x_1 \vee \bar{x}'_2) \wedge (\bar{x}_1 \vee x'_2) \wedge (x_2 \vee \bar{x}'_3) \wedge (\bar{x}_2 \vee x'_3)}_T \wedge \underbrace{x'_1}_{\bar{c}'}$$

The conjunction is satisfiable with assignment  $(\bar{x}_1, \bar{x}_2, \bar{x}_3, x'_1, \bar{x}'_2, \bar{x}'_3)$

We do not need to add  $\bar{x}_1$  to  $F_1$ .

- $c = \bar{x}_2$  :

$$\underbrace{\bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3}_{F_0} \wedge \underbrace{(x_1 \vee \bar{x}'_2) \wedge (\bar{x}_1 \vee x'_2) \wedge (x_2 \vee \bar{x}'_3) \wedge (\bar{x}_2 \vee x'_3)}_T \wedge \underbrace{x'_2}_{\bar{c}'}$$

The conjunction is unsatisfiable because  $\bar{x}_1 \wedge (x_1 \vee \bar{x}'_2) \wedge x'_2$  is not satisfiable

We add  $\bar{x}_2$  to  $F_1$  resulting in  $F_1 = P \wedge \bar{x}_2$ .

- $c = \bar{x}_3$  :

$$\underbrace{\bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3}_{F_0} \wedge \underbrace{(x_1 \vee \bar{x}'_2) \wedge (\bar{x}_1 \vee x'_2) \wedge (x_2 \vee \bar{x}'_3) \wedge (\bar{x}_2 \vee x'_3)}_T \wedge \underbrace{x'_3}_{\bar{c}'}$$

The conjunction is unsatisfiable because  $\bar{x}_2 \wedge (x_2 \vee \bar{x}'_3) \wedge x'_3$  is not satisfiable

We add  $\bar{x}_3$  to  $F_1$  resulting in  $\rightarrow F_1 = P \wedge \bar{x}_2 \wedge \bar{x}_3$

There are no clauses left, the first propagation-phase is done, resulting in the new frame:

$$F_1 = (\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3) \wedge \bar{x}_2 \wedge \bar{x}_3$$

and because  $F_1 \not\equiv F_0$  we continue.

#### 4. Step: Second Transition

Check if  $F_1 \wedge T \Rightarrow P'$  by testing  $F_1 \wedge T \wedge \bar{P}'$  for satisfiability:

$$\underbrace{(\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3) \wedge \bar{x}_2 \wedge \bar{x}_3}_{F_1} \wedge \underbrace{(x_1 \vee \bar{x}'_2) \wedge (\bar{x}_1 \vee x'_2) \wedge (x_2 \vee \bar{x}'_3) \wedge (\bar{x}_2 \vee x'_3)}_T \wedge \underbrace{x'_1 \wedge x'_2 \wedge x'_3}_{\bar{P}'}$$

Which is unsatisfiable because  $\bar{x}_2 \wedge (x_2 \vee \bar{x}'_3) \wedge x'_3$  is not satisfiable. We do not generate a proof-obligation so we continue with the second propagation-phase.

#### 5. Step: Second Propagation-Phase

Initialize new frame  $F_2 = P$

We need to check each clause  $c$  in  $F_1$  if  $F_1 \wedge T \wedge \bar{c}'$  is unsatisfiable to strengthen  $F_2$ . We skip  $P$ , as it is already part of  $F_2$ .

This works exactly as in the 3. step:

- $c = \bar{x}_2$  :

$$\underbrace{(\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3) \wedge \bar{x}_2 \wedge \bar{x}_3}_{F_1} \wedge \underbrace{(x_1 \vee \bar{x}'_2) \wedge (\bar{x}_1 \vee x'_2) \wedge (x_2 \vee \bar{x}'_3) \wedge (\bar{x}_2 \vee x'_3)}_T \wedge \underbrace{x'_2}_{\bar{c}'}$$

The conjunction is satisfiable with assignment  $(x_1, \bar{x}_2, \bar{x}_3, x'_1, x'_2, \bar{x}'_3)$

We do not need to add  $\bar{x}_2$  to  $F_2$

- $c = \bar{x}_3$  :

$$\underbrace{(\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3) \wedge \bar{x}_2 \wedge \bar{x}_3}_{F_1} \wedge \underbrace{(x_1 \vee \bar{x}'_2) \wedge (\bar{x}_1 \vee x'_2) \wedge (x_2 \vee \bar{x}'_3) \wedge (\bar{x}_2 \vee x'_3)}_T \wedge \underbrace{x'_3}_{\bar{c}'}$$

The conjunction is unsatisfiable because  $\bar{x}_2 \wedge (x_2 \vee \bar{x}'_3) \wedge x'_3$  is not satisfiable.

We add  $\bar{x}_3$  to  $F_2$  resulting in  $F_2 = P \wedge \bar{x}_3$

As there are no clauses left, the second propagation-phase concludes, resulting in the new frame:

$$F_2 = (\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3) \wedge \bar{x}_3$$

and because  $F_2 \not\equiv F_1$  we continue.

### 6. Step: Third Transition

Check if  $F_2 \wedge T \Rightarrow P'$  by testing  $F_2 \wedge T \wedge \bar{P}'$  for satisfiability:

$$\underbrace{(\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3) \wedge \bar{x}_3}_{F_2} \wedge \underbrace{(x_1 \vee \bar{x}_2') \wedge (\bar{x}_1 \vee x_2') \wedge (x_2 \vee \bar{x}_3') \wedge (\bar{x}_2 \vee x_3')}_{T} \wedge \underbrace{x_1' \wedge x_2' \wedge x_3'}_{\bar{P}'}$$

This time  $F_2 \wedge T \wedge \bar{P}'$  is satisfiable with assignment  $\underbrace{(x_1, x_2, \bar{x}_3, x_1', x_2', x_3')}_s$ , we get the new bad state  $s = x_1 \wedge x_2 \wedge \bar{x}_3$ , and generate a proof-obligation  $(s, 2)$ , which we now try to block in the blocking-phase.

### 7. Step: First Blocking-Phase

Try to block proof-obligation  $(s, 2)$  by checking if  $F_1 \wedge T \wedge s'$  is satisfiable.

$$\underbrace{(\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3) \wedge \bar{x}_2 \wedge \bar{x}_3}_{F_1} \wedge \underbrace{(x_1 \vee \bar{x}_2') \wedge (\bar{x}_1 \vee x_2') \wedge (x_2 \vee \bar{x}_3') \wedge (\bar{x}_2 \vee x_3')}_{T} \wedge \underbrace{x_1' \wedge x_2' \wedge \bar{x}_3'}_{s'}$$

This is again satisfiable with assignment  $\underbrace{(x_1, \bar{x}_2, \bar{x}_3, x_1', x_2', \bar{x}_3')}_q$ , we get the bad state  $q = x_1 \wedge \bar{x}_2 \wedge \bar{x}_3$  and generate a new proof-obligation  $(q, 1)$ .

We try to block proof-obligation  $(q, 1)$  by checking if  $F_0 \wedge T \wedge q'$  is satisfiable.

$$\underbrace{\bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3}_{F_0} \wedge \underbrace{(x_1 \vee \bar{x}_2') \wedge (\bar{x}_1 \vee x_2') \wedge (x_2 \vee \bar{x}_3') \wedge (\bar{x}_2 \vee x_3')}_{T} \wedge \underbrace{x_1' \wedge \bar{x}_2' \wedge \bar{x}_3'}_{q'}$$

This too is satisfiable with assignment  $\underbrace{(\bar{x}_1, \bar{x}_2, \bar{x}_3, x_1', \bar{x}_2', \bar{x}_3')}_I$ , we get the bad state  $I = x_1 \wedge \bar{x}_2 \wedge \bar{x}_3$  and generate a new proof-obligation  $(I, 0)$ .

With that we have found a counter-example, resulting in the termination of the algorithm, returning the counter-example trace:

$$\underbrace{\bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3}_I \rightarrow \underbrace{x_1 \wedge \bar{x}_2 \wedge \bar{x}_3}_q \rightarrow \underbrace{x_1 \wedge x_2 \wedge \bar{x}_3}_s \rightarrow \underbrace{x_1 \wedge x_2 \wedge x_3}_{\bar{P}}$$



If we now assume proof-obligation  $(s, 2)$  would have been blocked, meaning  $F_1 \wedge T \wedge s'$  was unsatisfiable, then we would have updated  $F_2 = F_2 \wedge \bar{s}$  making absolutely sure that  $s$  is not reachable, every future proof-obligation containing  $s$  would have been blocked by  $F_2$ .

### 3.3.2 With Passing Property

To show a transition system with an inductive invariant, consider  $B = (X, I, T)$ , where

- $X = \{x_1, x_2\}$
- $I = \bar{x}_1 \wedge \bar{x}_2$
- $T = (x_1 \vee \bar{x}_2 \vee x'_2) \wedge (x_1 \vee x_2 \vee \bar{x}'_1) \wedge (\bar{x}_1 \vee x'_1) \wedge (\bar{x}_1 \vee \bar{x}'_2) \wedge (x_2 \vee \bar{x}'_2)$

and corresponding transition graph:

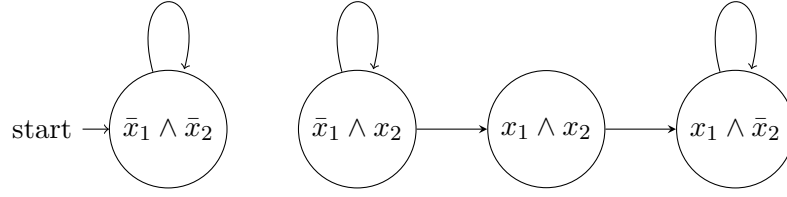


Figure 3.3: Transition Graph of  $B$ .

Now given the property  $P = \bar{x}_1 \vee x_2$ , we want to check whether the bad state  $\bar{P} = x_1 \wedge \bar{x}_2$  is reachable:

#### 1. Step: Check for 0-Counter-Example

We need to make sure that  $I \Rightarrow P$ , we do that by testing if  $I \wedge \bar{P}$  is satisfiable:

$$\underbrace{\bar{x}_1 \wedge \bar{x}_2}_I \wedge \underbrace{x_1 \wedge \bar{x}_2}_{\bar{P}}$$

Which is unsatisfiable because  $\bar{x}_1 \wedge x_1$ , that means there is no 0-counter-example, we continue by initializing  $F_0 = I$

#### 2. Step: First Transition

Check if  $F_0 \wedge T \Rightarrow P'$  by testing if  $F_0 \wedge T \wedge \bar{P}'$  is satisfiable:

$$\underbrace{\bar{x}_1 \wedge \bar{x}_2}_{F_0} \wedge \underbrace{(x_1 \vee \bar{x}_2 \vee x'_2) \wedge (x_1 \vee x_2 \vee \bar{x}'_1) \wedge (\bar{x}_1 \vee x'_1) \wedge (\bar{x}_1 \vee \bar{x}'_2) \wedge (x_2 \vee \bar{x}'_2)}_T \wedge \underbrace{x'_1 \wedge \bar{x}'_2}_{\bar{P}'}$$

Which is unsatisfiable because  $\bar{x}_1 \wedge \bar{x}_2 \wedge (x_1 \vee x_2 \vee x'_1) \wedge \bar{x}'_1$  is not satisfiable. We generate no proof-obligation and continue with the propagation-phase.

### 3. Step: First Propagation-Phase

Initialize the new frame  $F_1 = P$

For each clause  $c$  in  $F_0$  we check  $F_0 \wedge T \wedge \bar{c}'$  for unsatisfiability to strengthen  $F_1$ .

- $c = \bar{x}_1$  :

$$\bar{x}_1 \wedge \bar{x}_2 \wedge T \wedge x'_1$$

The conjunction is unsatisfiable because  $\bar{x}_1 \wedge \bar{x}_2 \wedge (x_1 \vee x_2 \vee x'_1) \wedge \bar{x}'_1$  is not satisfiable.

We add  $\bar{x}_1$  to  $F_1$  resulting in  $F_1 = P \wedge \bar{x}_1$

- $c = \bar{x}_2$  :

$$\bar{x}_1 \wedge \bar{x}_2 \wedge T \wedge x'_2$$

The conjunction is unsatisfiable because  $\bar{x}_1 \wedge \bar{x}_2 \wedge (x_2 \vee \bar{x}'_2) \wedge x'_2$  is not satisfiable.

We add  $\bar{x}_2$  to  $F_1$  resulting in  $F_1 = P \wedge \bar{x}_1 \wedge \bar{x}_2$

That concludes the propagation-phase resulting in the new frame

$$F_1 = (\bar{x}_1 \vee x_2) \wedge \bar{x}_1 \wedge \bar{x}_2$$

and because  $F_1 \not\equiv F_0$  we continue.

### 4. Step: Second Transition

Check if  $F_1 \wedge T \Rightarrow P'$  by testing if  $F_1 \wedge T \wedge \bar{P}'$  is satisfiable:

$$(\bar{x}_1 \vee x_2) \wedge \bar{x}_1 \wedge \bar{x}_2 \wedge T \wedge x'_1 \wedge \bar{x}'_2$$

Which is unsatisfiable because  $\bar{x}_1 \wedge \bar{x}_2 \wedge (x_1 \vee x_2 \vee \bar{x}'_1) \wedge x'_1$  is not satisfiable. We again do not generate a proof-obligation, so that we continue with the second propagation-phase.

### 5. Step: Second Propagation-Phase

Initialize the new frame  $F_2 = P$

We need to check every clause  $c$  in  $F_1$  if  $F_1 \wedge T \wedge \bar{c}'$  is unsatisfiable to strengthen  $F_2$ .

We skip  $P$ .

- $c = \bar{x}_1$  :

$$(\bar{x}_1 \vee x_2) \wedge \bar{x}_1 \wedge \bar{x}_2 \wedge T \wedge x'_1$$

The conjunction is unsatisfiable because  $\bar{x}_1 \wedge \bar{x}_2 \wedge (x_1 \vee x_2 \vee \bar{x}'_1) \wedge x'_1$  is not satisfiable

We add  $\bar{x}_1$  to  $F_2$  resulting in  $F_2 = P \wedge \bar{x}_1$

- $c = \bar{x}_2$  :

$$(\bar{x}_1 \vee x_2) \wedge \bar{x}_1 \wedge \bar{x}_2 \wedge T \wedge x'_2$$

The conjunction is unsatisfiable because  $\bar{x}_2 \wedge (x_2 \vee \bar{x}'_2) \wedge x'_2$  is not satisfiable.

We add  $\bar{x}_2$  to  $F_2$  resulting in  $F_2 = P \wedge \bar{x}_1 \wedge \bar{x}_2$

With no more clauses left the second propagation-phase ends, resulting in

$$F_2 = (\bar{x}_1 \vee x_2) \wedge \bar{x}_1 \wedge \bar{x}_2 \equiv F_1$$

The algorithm terminates returning that the property always holds and

$$(\bar{x}_1 \vee x_2) \wedge \bar{x}_1 \wedge \bar{x}_2$$

being an inductive invariant.

## 3.4 Possible Improvements



The most time consuming part of **the algorithm** is the solving of SAT-queries, the larger the query the more time it takes. To improve this there are several ways to keep SAT-queries small.

### 3.4.1 Generalization of States

Blocking one state at a time is ineffective.

When blocking a state  $s$  do not add  $\bar{s}$  but try to find and add a cube  $c \subseteq \bar{s}$ .

Most modern SAT-solver not only return unsatisfiable but also a reason for it, either by an UNSAT-core or through a final conflict-clause. Both of them deliver information about which clauses were actually used in the proof. To find  $c$  just remove unused clauses of  $s$ .

### 3.4.2 Ternary Simulation

To reduce proof-obligations it is possible to eliminate not needed state variables by checking a satisfying assignment using ternary simulation.

Ternary logic extends the binary logic by introducing a new valuation:  $X$ , called unknown, and new rules:

$$\begin{aligned} (X \wedge false) &= false, \\ (X \wedge true) &= X, \\ (X \wedge X) &= X, \\ \bar{X} &= X \end{aligned}$$

To remove state variables, set one variable at a time to  $X$  and try to transition to a next state using the transition relation, the variable is needed if  $X$  propagates into the next state, if it does not remove the variable from the proof-obligation.

Reconsider the prior example's first blocking phase resulting in the proof-obligation( $q, 1$ ) with bad state  $q = x_1 \wedge \bar{x}_2 \wedge \bar{x}_3$ , we now want to reduce that proof-obligation using ternary simulation:

First of all, the transition formula:

$$\underbrace{x_1 \wedge \bar{x}_2 \wedge \bar{x}_3}_q \wedge \underbrace{(x_1 \vee \bar{x}'_2) \wedge (\bar{x}_1 \vee x'_2) \wedge (x_2 \vee \bar{x}'_3) \wedge (\bar{x}_2 \vee x'_3)}_T$$

Now set  $x_1 = X$ :

$$\underbrace{X \wedge \bar{x}_2 \wedge \bar{x}_3}_q \wedge \underbrace{(X \vee \bar{x}'_2) \wedge (\bar{x}_1 \vee x'_2) \wedge (x_2 \vee \bar{x}'_3) \wedge (\bar{x}_2 \vee x'_3)}_T$$

$(X \vee \bar{x}'_2)$  is unknown meaning that  $x_1$  is needed.

Now set  $x_2 = X$ :

$$\underbrace{x_1 \wedge X \wedge \bar{x}_3}_q \wedge \underbrace{(x_1 \vee \bar{x}'_2) \wedge (\bar{x}_1 \vee x'_2) \wedge (X \vee \bar{x}'_3) \wedge (X \vee x'_3)}_T$$

$(X \vee \bar{x}'_3)$  is unknown meaning that  $x_2$  is needed as well.

Now set  $x_3 = X$ :

$$\underbrace{x_1 \wedge \bar{x}_2 \wedge X}_q \wedge \underbrace{(x_1 \vee \bar{x}'_2) \wedge (\bar{x}_1 \vee x'_2) \wedge (x_2 \vee \bar{x}'_3) \wedge (\bar{x}_2 \vee x'_3)}_T$$

Because there is no clause being unknown,  $x_3$  can be removed from the proof-obligation. We get the reduced proof-obligation( $x_1 \wedge \bar{x}_2, 1$ )



## 4 PDR as Software Checker

We see that PDR is a useful and efficient hardware-model checking technique. If we want to use it on software, we need to *lift* the algorithm from bit-level propositional logic to first-order logic. There are multiple ways to do that, the following approach is based on the technique described by Lange et al [9].

To use PDR on software we first need some new definitions and other preliminaries.

### 4.1 Preliminaries

A control flow graph (CFG)  $\mathcal{A} = (X, L, G, \ell_0, \ell_E)$  is a tuple, consisting of a finite set of variables  $X$ , a finite set of locations  $L$ , a finite set of transitions  $G \subseteq L \times FO \times L$ ,  $FO$  being a quantifier free first-order logic formula over variables in  $X$  and  $X' = \{x \in X \mid x' \in X'\}$ , an initial location  $\ell_0 \in L$ , and an error location  $\ell_E \in L$ .

For example consider the CFG  $\mathcal{A} = (X, L, G, \ell_0, \ell_E)$ , where

- $X = \{x\}$
- $L = \{\ell_0, \ell_1, \ell_2, \ell_3, \ell_E\}$
- $G = \{(\ell_0, x' := 0, \ell_1), (\ell_1, x' := x + 1, \ell_2), (\ell_2, x = 1, \ell_E), (\ell_2, x \neq 1, \ell_3)\}$

with the graph:

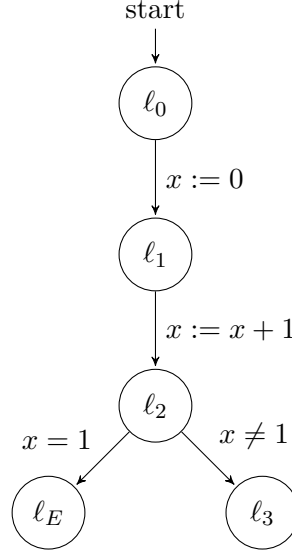


Figure 4.1: Graph of  $\mathcal{A}$ .

The transition formula  $T_{\ell_1 \rightarrow \ell_2}$  from one location  $\ell_1$  to another location  $\ell_2$  is defined as:

$$T_{\ell_1 \rightarrow \ell_2} = \begin{cases} (\ell_1, t, \ell_2), & (\ell_1, t, \ell_2) \in G \\ false, & otherwise \end{cases}$$

Resulting in the global transition formula:

$$T = \bigvee_{(\ell_1, t, \ell_2) \in G} T_{\ell_1 \rightarrow \ell_2}$$

The lifted algorithm no longer works on boolean transition systems but on CFGs. It tries to prove whether  $\ell_E$  is reachable, by finding a feasible path from  $\ell_0$  to  $\ell_E$ .

## 4.2 Lifted Algorithm

There are four main differences between bit-level PDR and lifted PDR:

- Instead of a global set of Frames  $[F_0, \dots, F_k]$  assign each program location  $\ell \in L \setminus \{\ell_E\}$  a local set of frames  $[F_{0,\ell}, \dots, F_{k,\ell}]$ . Each frame is now a cube of first-order formulas. As there are now multiple traces, proof-obligations get extended



by another parameter, lifted proof-obligations are tuples  $(t, \ell, i)$  where  $t$  is a first-order formula,  $\ell$  describes the location where  $t$  has to be blocked, and  $i$  is a frame number, called level in the lifted algorithm.

- Because the states in a CFG are no formulas, the lifted algorithm no longer blocks states but transitions, there are no bad states only bad transitions.
- Because of the structure of the CFA, it is already known which states lead to the error location, as it is easy to extract the transitions in  $G$  that have  $\ell_E$  as target, making the next transition phase, that was used to find proof-obligations before, obsolete.  
If there exists a transition to  $\ell_E$  there will be an initial proof-obligation in each iteration of the algorithm, making the blocking-phase no longer optional.
- The propagation-phase is slimmed, it only checks for termination. In the phase the algorithm checks the frames to find a level  $i$  where all locations have a fixpoint, meaning  $F_{i,\ell} = F_{i-1,\ell}$  for every location  $\ell \in L \setminus \{\ell_E\}$ ,  $i$  is called a global fixpoint position. There is no more propagating formulae forward.

*In more detail:*

Given a CFG  $\mathcal{A} = (X, L, G, \ell_0, \ell_E)$  we want to check if  $\ell_E$  is reachable:

Again start with checking for a 0-counter-example, this is easily done by looking at  $\ell_0$  if  $\ell_0 = \ell_E$  terminate and return that  $\ell_E$  is indeed reachable, if  $\ell_0 \neq \ell_E$  initialize level 0 frames for all locations  $\ell \in L \setminus \{\ell_0, \ell_E\}$  as **false**, and for  $\ell_0$  as **true**.

Let  $k$  be the current level, so that each location  $\ell \in L \setminus \{\ell_E\}$  has frames  $[F_{0,\ell}, \dots, F_{k,\ell}]$ .

The algorithm repeats the following phases:

#### 1. Next Level

Initialize for each  $\ell \in L \setminus \{\ell_E\}$  a new frame  $k + 1$  as **true**.

For each location  $\ell \in L$  where  $(\ell, t, \ell_E) \in G$  generate an initial proof-obligation  $(t, \ell, k)$ .

## 2. Blocking-Phase

If there are proof-obligations:

Take proof-obligation  $(t, \ell, i)$  with the lowest  $i$  and check for each predecessor location  $\ell_{pre}$  if the formula:

$$F_{i-1, \ell_{pre}} \wedge T_{\ell_{pre} \rightarrow \ell} \wedge t'$$

is satisfiable.

- If it is *satisfiable*, it means that  $t$  could not be blocked at  $\ell$  on level  $i$ , generate a new proof-obligation  $(p, \ell_{pre}, i - 1)$  where  $p$  is the weakest precondition of  $t$  with regard to  $T_{\ell_{pre} \rightarrow \ell}$ .
- If the formula is *unsatisfiable*, strengthen each frame  $F_{j, \ell}$ ,  $j \leq i$  with  $\bar{t}$ , meaning  $F_{j, \ell} = F_{j, \ell} \wedge \bar{t}$ , blocking  $t$  at  $\ell$  on level  $i$ .

This continues recursively until either a proof-obligation  $(d, \ell, 0)$  is generated, proving that there exists a feasible path to  $\ell_E$  terminating the algorithm, or there is no unblocked proof-obligation left.

## 3. Propagation-Phase

Check the frames if there exists a global fixpoint position  $i$  where

$$F_{i-1, \ell} = F_{i, \ell}$$

for every location  $\ell \in L \setminus \{\ell_E\}$ .

If there is such an  $i$  the algorithm terminates returning that  $\ell_E$  is not reachable.

To illustrate the lifted algorithm further consider the updated pseudo-code:

---

```

1: procedure LIFTED-PDR-PROVE( $L, G$ )
2:   check for 0-counter-example
3:    $\ell_0.trace.push(new\ frame(true))$ 
4:   for all  $\ell \in L \setminus \{\ell_0, \ell_E\}$  do
5:      $\ell.trace.push(new\ frame(false))$ 
6:    $level := 0$ 

7:   loop
8:     for all  $\ell \in L \setminus \{\ell_E\}$  do
9:        $\ell.trace.push(new\ frame(true))$ 
10:     $level := level + 1$ 
11:    get initial proof-obligations

12:    while  $\exists$  proof-obligation  $(t, \ell, i)$ , do
13:      Recursively block proof-obligation
14:      if a proof-obligation  $(p, \ell, 0)$  is generated then
15:        return false

16:    for  $i = 0; i \leq level; i := i + 1$  do
17:      for  $\ell \in L \setminus \{\ell_E\}$  do
18:        if  $\ell.trace[i] \neq \ell.trace[i - 1]$  then
19:          break
20:    return true

```

---

Figure 4.2: Lifted PDR Algorithm.

## 4.3 Example

### 4.3.1 Reachable Error State

To show an application of the lifted algorithm reconsider the example in Figure 4.1, we have CFG  $\mathcal{A} = (X, L, G, \ell_0, \ell_E)$ , where

- $X = \{x\}$
- $L = \{\ell_0, \ell_1, \ell_2, \ell_3, \ell_E\}$

- $G = \{(\ell_0, x := 0, \ell_1), (\ell_1, x := x + 1, \ell_2), (\ell_2, x = 1, \ell_E), (\ell_2, x \neq 1, \ell_3)\}$

We now want to verify whether  $\ell_E$  is reachable using the lifted algorithm:

### 1. Step: Check for 0-Counter-Example

Is  $\ell_0 = \ell_E$ ?

No, we continue with initializing level 0 by adding to each  $\ell \in L \setminus \{\ell_0, \ell_E\}$  a new frame  $F_{0,\ell} = false$ , for  $\ell_0$  adding  $F_{0,\ell_0} = true$ :

	level
location	0
$\ell_0$	<i>true</i>
$\ell_1$	<i>false</i>
$\ell_2$	<i>false</i>
$\ell_3$	<i>false</i>

### 2. Step: Next Level

We initialize new frames for level 1 as **true**:

	level	
location	0	1
$\ell_0$	<i>true</i>	<i>true</i>
$\ell_1$	<i>false</i>	<i>true</i>
$\ell_2$	<i>false</i>	<i>true</i>
$\ell_3$	<i>false</i>	<i>true</i>

To generate the initial proof-obligations, we check  $G$  and take the transitions where  $\ell_E$  is the target.

We see, there is one transition  $(\ell_2, x = 1, \ell_E)$ , that means we have to block  $x = 1$  at  $\ell_2$  on level 1, resulting in the initial proof-obligation  $(x = 1, \ell_2, 1)$ .

### 3. Step: First Blocking Phase

We need to block the initial proof-obligation  $(x = 1, \ell_2, 1)$ . Let  $\ell_{pre}$  be a predecessor of  $\ell_2$ , we need to check the formula:

$$F_{0,\ell_{pre}} \wedge T_{\ell_{pre} \rightarrow \ell_2} \wedge x' = 1$$

for satisfiability. As there is only one predecessor  $\ell_1$  we test:

$$\underbrace{false}_{F_{0,\ell_1}} \wedge \underbrace{x' := x + 1}_{T_{\ell_1 \rightarrow \ell_2}} \wedge x' = 1$$

Which is unsatisfiable

We add  $\overline{(x = 1)} \equiv x \neq 1$  to  $F_{0,\ell_2}$  and  $F_{1,\ell_2}$ , blocking  $x = 1$  at  $\ell_2$  on level 1.

location	level	
	0	1
$\ell_0$	<i>true</i>	<i>true</i>
$\ell_1$	<i>false</i>	<i>true</i>
$\ell_2$	$false \wedge x \neq 1$	$true \wedge x \neq 1$
$\ell_3$	<i>false</i>	<i>true</i>

Because there are no proof-obligations left we continue with the propagation-phase.

#### 4. Step: First Propagation-Phase

Check if there exists a global fixpoint position  $i$  where

$$F_{i-1,\ell} = F_{i,\ell}$$

for every location  $\ell \in L \setminus \{l_E\}$ .

We see there is no such  $i$ , we continue with the next level.

#### 5. Step: Next Level

We initialize new frames for level 2 as **true**:

location	level		
	0	1	2
$\ell_0$	<i>true</i>	<i>true</i>	<i>true</i>
$\ell_1$	<i>false</i>	<i>true</i>	<i>true</i>
$\ell_2$	$false \wedge x \neq 1$	$true \wedge x \neq 1$	<i>true</i>
$\ell_3$	<i>false</i>	<i>true</i>	<i>true</i>

Again generate the initial proof-obligation which is the same as before but on level 2 now, we have initial proof-obligation  $(x = 1, \ell_2, 2)$

## 6. Step: Second-Blocking Phase

We need to block the proof-obligation  $(x = 1, \ell_2, 2)$  by testing

$$\underbrace{true}_{F_{1,\ell_1}} \wedge \underbrace{x' := x + 1}_{T_{\ell_1 \rightarrow \ell_2}} \wedge x' = 1$$

for satisfiability. Which is satisfiable with  $p = (x = 0)$ . Because  $p$  being also the weakest precondition, we generate a new proof-obligation  $(p, \ell_1, 1)$ , meaning we need to block  $p$  at location  $\ell_1$  on level 1.

Take the new proof-obligation  $(x = 0, \ell_1, 1)$  and check

$$\underbrace{true}_{F_{0,\ell_0}} \wedge \underbrace{x' := 0}_{T_{\ell_0 \rightarrow \ell_1}} \wedge \underbrace{x' = 0}_{p'}$$

for satisfiability.

Which is valid, with **true** being the weakest precondition, we generate the new proof-obligation  $(true, \ell_0, 0)$  and because this obligation is on level 0 we terminate, stating that  $\ell_E$  is reachable by the counter-example trace:

$$\ell_0 \rightarrow \ell_1 \rightarrow \ell_2 \rightarrow \ell_E$$

### 4.3.2 Unreachable Error State

To show a CFA with an unreachable error state consider  $\mathcal{B} = (X, L, G, \ell_0, \ell_E)$ , where

- $X = \{x, y\}$
- $L = \{\ell_0, \ell_1, \ell_2, \ell_E\}$
- $G = \{(\ell_0, x' := 0 \wedge y' := x', \ell_1), (\ell_1, x' := x + 1 \wedge y' := y + 1, \ell_1), (\ell_1, x = y, \ell_2), (\ell_1, x \neq y, \ell_E)\}$

with graph:

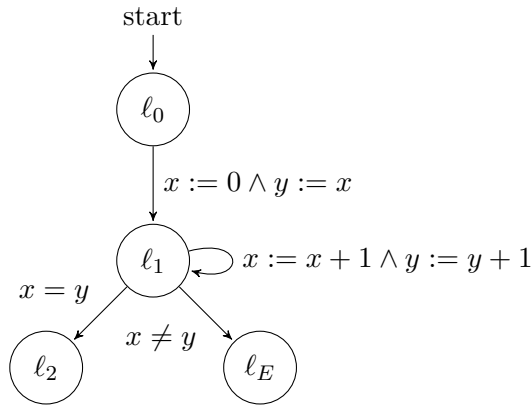


Figure 4.3: Graph of  $\mathcal{B}$ .

We now want to check whether  $\ell_E$  is reachable, using the lifted algorithm:

#### 1. Step: Check for 0-Counter-Example

Is  $\ell_0 = \ell_E$ ?

No, we continue with initializing level 0 by adding to each  $\ell \in L \setminus \{\ell_0, \ell_E\}$  a new frame  $F_{0,\ell} = false$ , for  $\ell_0$  adding  $F_{0,\ell_0} = true$ .

	level
location	0
$\ell_0$	<i>true</i>
$\ell_1$	<i>false</i>
$\ell_2$	<i>false</i>

## 2. Step: Next Level

We initialize new frames for level 1 as **true**:

location	level	
	0	1
$\ell_0$	<i>true</i>	<i>true</i>
$\ell_1$	<i>false</i>	<i>true</i>
$\ell_2$	<i>false</i>	<i>true</i>

We see there is only one transition leading to  $\ell_E$ ,  $(\ell_1, x \neq y, \ell_E)$ . We get the initial proof-obligation  $(x \neq y, \ell_1, 1)$ .

## 3. Step: First Blocking Phase

To block the initial proof-obligation  $(x \neq y, \ell_1, 1)$  we check each predecessor of  $\ell_1$ :

- predecessor:  $\ell_0$

$$\underbrace{true}_{F_{0,\ell_0}} \wedge \underbrace{x' := 0 \wedge y' := x' \wedge x' \neq y'}_{T_{\ell_0 \rightarrow \ell_1}}$$

Which is unsatisfiable, we add  $\overline{(x \neq y)} \equiv x = y$  to  $F_{0,\ell_1}$  and  $F_{1,\ell_1}$ :

location	level	
	0	1
$\ell_0$	<i>true</i>	<i>true</i>
$\ell_1$	<i>false</i> $\wedge x = y$	<i>true</i> $\wedge x = y$
$\ell_2$	<i>false</i>	<i>true</i>

- predecessor:  $\ell_1$

$$\underbrace{false \wedge x = y}_{F_{0,\ell_1}} \wedge \underbrace{x' := x + 1 \wedge y' := y + 1' \wedge x' \neq y'}_{T_{\ell_1 \rightarrow \ell_1}}$$

Which is unsatisfiable as well, but because  $x = y$  has already been added to  $F_{0,\ell_1}$  and  $F_{1,\ell_1}$  we move on.

As there are no proof-obligations left, we continue with the first propagation-phase.



#### 4. Step: First Propagation-Phase

Check if there exists a global fixpoint position  $i$  where

$$F_{i-1,\ell} = F_{i,\ell}$$

for every location  $\ell \in L \setminus \{l_E\}$ .

We see there is no such  $i$ , we continue with the next level.

#### 5. Step: Next Level

We initialize new frames for level 2 as **true**:

location	level		
	0	1	2
$\ell_0$	<i>true</i>	<i>true</i>	<i>true</i>
$\ell_1$	$false \wedge x = y$	$true \wedge x = y$	<i>true</i>
$\ell_2$	<i>false</i>	<i>true</i>	<i>true</i>

Again we generate the initial proof-obligation which is the same as before but on level 2 now, we have the initial proof-obligation  $(x \neq y, \ell_1, 2)$ .

#### 6. Step: Second Blocking Phase

To block proof-obligation  $(x \neq y, \ell_1, 2)$  we check the predecessors of  $\ell_1$ :

- predecessor:  $\ell_0$

$$\underbrace{true}_{F_{1,\ell_0}} \wedge \underbrace{x' := 0 \wedge y' := x' \wedge x' \neq y'}_{T_{\ell_0 \rightarrow \ell_1}}$$

Which is unsatisfiable, we add  $\overline{(x \neq y)} \equiv x = y$  to  $F_{0,\ell_1}$ ,  $F_{1,\ell_1}$  and  $F_{2,\ell_1}$ :

location	level		
	0	1	2
$\ell_0$	<i>true</i>	<i>true</i>	<i>true</i>
$\ell_1$	$false \wedge x = y$	$true \wedge x = y$	$true \wedge x = y$
$\ell_2$	<i>false</i>	<i>true</i>	<i>true</i>

- predecessor:  $\ell_1$

$$\underbrace{true \wedge x = y}_{F_{1,\ell_1}} \wedge \underbrace{x' := x + 1 \wedge y' := y + 1 \wedge x' \neq y'}_{T_{\ell_1 \rightarrow \ell_1}}$$

Which is unsatisfiable as well, but because  $x = y$  has already been added to  $F_{0,\ell_1}$ ,  $F_{1,\ell_1}$ , and  $F_{2,\ell_1}$  we move on.

As there are no proof-obligations left, we continue with the second propagation-phase

## 7. Step: Second Propagation-Phase

location	level		
	0	1	2
$\ell_0$	<i>true</i>	<i>true</i>	<i>true</i>
$\ell_1$	$\text{false} \wedge x = y$	$\text{true} \wedge x = y$	$\text{true} \wedge x = y$
$\ell_2$	<i>false</i>	<i>true</i>	<i>true</i>

$\underbrace{\hspace{15em}}_{\text{global fixpoint}}$

We see that level 1 equals level 2 on all locations, with that we found global fixpoint position  $i = 2$ , the formulas at that position are the inductive invariants proving that  $\ell_E$  is not reachable.

## 4.4 Possible Improvements

As shown above, lifting PDR from bit-level to control flow graphs is possible. The problem of large, time consuming queries to the solver remain however. Is it possible to lift the improvements of the bit-level algorithm too?

*Ternary Simulation* cannot be used on first-order formulae making it impossible to use it to reduce lifted proof-obligations.

There are possible generalization techniques:

### 4.4.1 Syntactical Analysis

It is possible to remove a cube  $c$  from a proof-obligation if no variable in  $c$  is assigned in  $T$ , and either  $c$  is already contained in a frame or there is an **assume**  $c$  statement in  $T$ . Making the obligation more general.

#### 4.4.2 Weakest Precondition

The definition of the lifted algorithm above already contains an improvement, using weakest preconditions to find predecessors. Instead of generating a single proof-obligation for every predecessor state and wasting time blocking each of them, calculate the weakest precondition which is a safe overapproximation of all predecessor states.

#### 4.4.3 Disjunctive Normal Form

It is possible to transform cubes into clauses by negating them. When there is a large proof-obligation we can use this to generate the disjunctive normal form and split the large obligation into smaller ones by taking each cube in the disjunctive normal form as a new proof-obligation, saving time because smaller queries are solved faster.

#### 4.4.4 Interpolation

Let  $(A, B)$  be a pair of formulae such that  $A \wedge B$  is unsatisfiable. An interpolant  $I$  for  $(A, B)$  is a formula fulfilling the following characteristics:

- $A \Rightarrow I$
- $I \wedge B$  is unsatisfiable
- $I$  consists only of variables found in  $A \cap B$

still **ToDo**



# 5 PDR in Ultimate

## 5.1 Introduction Ultimate

ULTIMATE is a program analysis framework, based on *plugins* that can be executed one after another to form *toolchains* which can perform various tasks. A big advantage of this modularity is that it is relatively easy to implement new toolchains as a lot of plugins can be reused creating much less overhead. There are five types of plugins:

- Source plugins define a file-to-model transformation
- Analyzer plugins take a model as input and modifies it
- Generator plugins have a similar functionality as Analyzer plugins but they can additionally produce new models
- Output plugins do not produce or modify anything, they write models into files
- The last plugin cannot be used in toolchains per say, they act more like a library providing additional functionality to other plugins

## 5.2 Implementation

To implement PDR in ULTIMATE we chose to implement a new library plugin *Library-PDR*, that will be executed as part of ULTIMATE AUTOMIZER [6].

ULTIMATE AUTOMIZER, AUTOMIZER in the following, is a software verifier that is capable of checking safety and liveness properties. It uses an automata-based [5] instance of the CEGAR scheme, that works like this:

In each new iteration choose a sequence of statements, called trace, that start at the initial location and lead to an error location. Check whether the trace is feasible or infeasible.

- If the trace is *feasible* then the program is proven unsafe, meaning there exists a possible program execution leading to an error location.
- If the trace is *infeasible*, calculate a proof for the infeasibility by computing a sequence of predicates along the trace, called sequence of interpolants. Then, in a following refinement step, try to eliminate every other trace that can be proven infeasible using that sequence of interpolants, these traces are then being subtracted from the set of potentially error traces.

Now, to check a trace for feasibility we use our newly integrated PDR-Library. The PDR-Library is a path program focused technique, meaning that we do not consider the trace alone but also the context of the analyzed program...**ToDo**

The sequence of interpolants are the entries in the frames on the global fixpoint.

## 5.3 Improvements

As we have seen in section 4.4 there are a plethora of possible ways to make PDR faster, we implemented some of those ideas and developed some of our own.

### 5.3.1 Caching of Proof-Obligation Queue

We always start each new level with the initial proof-obligation, and generate a chain of proof-obligations, until the most recent one is blocked, we then recursively block all predecessor obligations, adding new information to the frames. This chain of obligations does not change, once a new obligation is generated it needs to be blocked in each successive level.

Now as a result of the backwards search nature of PDR, each new level has to create that chain of proof-obligations from the beginning again. That results in a lot of overhead because we have to calculate the same chain of obligations times and times again.

Our idea was to cache the proof-obligation queue and always start a new level with the latest generated one, so we save the process of generating already known obligations.

For that we introduced a *global level* variable serving as the level counter, and changed the levels of each proof-obligation to be *subtracted* from the global level, resulting in a  $relative\ level = global\ level - local\ level$ , with that every proof-obligation is at its corresponding level of generation.

For example, the initial proof-obligation has its local level 0, so that  $relative\ level = global\ level - 0 = global\ level$ , because the initial obligation always is on the global level, take an obligation that we generate from the initial one, it has local level 1 so that

relative level = global level - 1 means that this proof-obligation comes immediately after the initial one.

Something that cannot be saved is blocking of the previous proof-obligation chain, we can only ignore the chain of proof-obligations leading to the newest one, the chain of blocking the ones before up to the initial obligation is necessary, because otherwise the frames are not updated properly. We however found a way to shorten this as well, as described in the next point.

To illustrate this technique further, consider the following graphic:

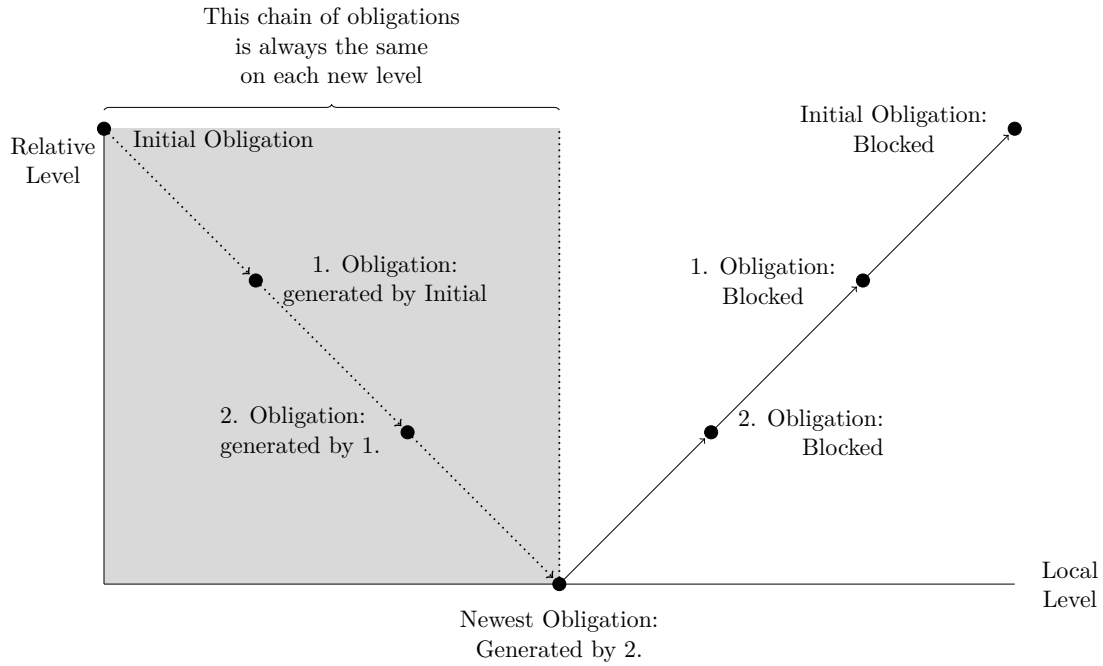


Figure 5.1: Chain of Proof-Obligation Generation.

### 5.3.2 Ignoring Already Blocked Proof-Obligations

Besides changing proof-obligations's levels, we also expanded them with a list of already seen and blocked SMT-solver queries.

Before we consider a new call to the SMT-solver we first check the given query whether it has already been proven unsatisfiable, if so, we consider the obligation blocked and add the negated formula to the frames, if we prove an unknown query as unsatisfiable we add them to the list of blocked queries.

With this memoization we save unnecessary calls to the SMT-solver and with that, time on programs with a lot of identical proof-obligations, in loops for example.

### 5.3.3 Using Preconditions

As discussed in the improvements section of the lifted PDR algorithm, using weakest preconditions is a really useful addition to the way proof-obligations are generated.

We implemented the usage of weakest preconditions, but were met with problems regarding **assume**-statements:

Let  $\varphi$  and  $\psi$  be first-order formulas and  $wp$  be the weakest precondition function, we have:

$$wp(\varphi, \mathbf{assume} \ \psi) = (\psi \Rightarrow \varphi) \quad (5.1)$$

Now consider the proof-obligation **ToDo proof-obligation example with assume**  
...



## 6 Evaluation

Nice Graph + How did we get those results, some interpretation and so forth



# 7 Future Work

## 7.1 Further Improvements

We have implemented some improvement methods, but there are still more possible ways to make our PDR algorithm more efficient.

### 7.1.1 Interpolation

ULTIMATE already supports ways of computing an interpolant for two given formulae. The idea is, that everytime a query to the SMT-solver is unsatisfiable we, instead of adding the negated proof-obligation to the frames, add a calculated interpolant for the query.

Let  $\ell$  and  $\ell_{pre}$  be two locations where  $\ell_{pre}$  is a predecessor of  $\ell$ ,  $F_{i-1, \ell_{pre}}$  be a frame,  $T_{\ell_{pre} \rightarrow \ell}$  be the transition from  $\ell_{pre}$  to  $\ell$ , and  $t'$  be a primed formula.

To get an interpolant  $I$  for that query we first need to define  $A$  and  $B$ , we do that by dividing the query the following way:

$$\underbrace{F_{i-1, \ell_{pre}} \wedge T_{\ell_{pre} \rightarrow \ell}}_A \wedge \underbrace{t'}_B$$

Because we have proven the query unsatisfiable generating an interpolant is possible. With this technique our frames contain only interpolants

### 7.1.2 Procedures

ULTIMATE aims to verify C programs, most of which contain procedure calls that cannot be handled yet.

Procedures are presented like this:

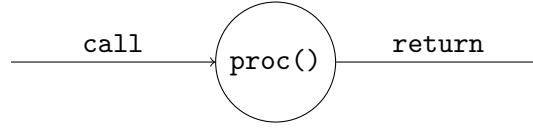


Figure 7.1: A Procedure.

There is a `call`-Transition leading to the procedure, then the procedure `proc()` itself, and finally a `return`-transition leading back to the main program.

Because of PDR's backwards-search nature, we first find the `return` of a procedure, without knowing the effects of the corresponding procedure on variables, and the prior state of the program before the execution, this can lead to the creation of wrong proof-obligations, leading to unsound results.

A solution to that problem is, instead of using a linear approach, we modify our algorithm to be nonlinear and deal with procedures accordingly [8] or to calculate a procedure summary and attach that to the CFA, removing the procedure altogether.

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