Chapter 4

The Processor

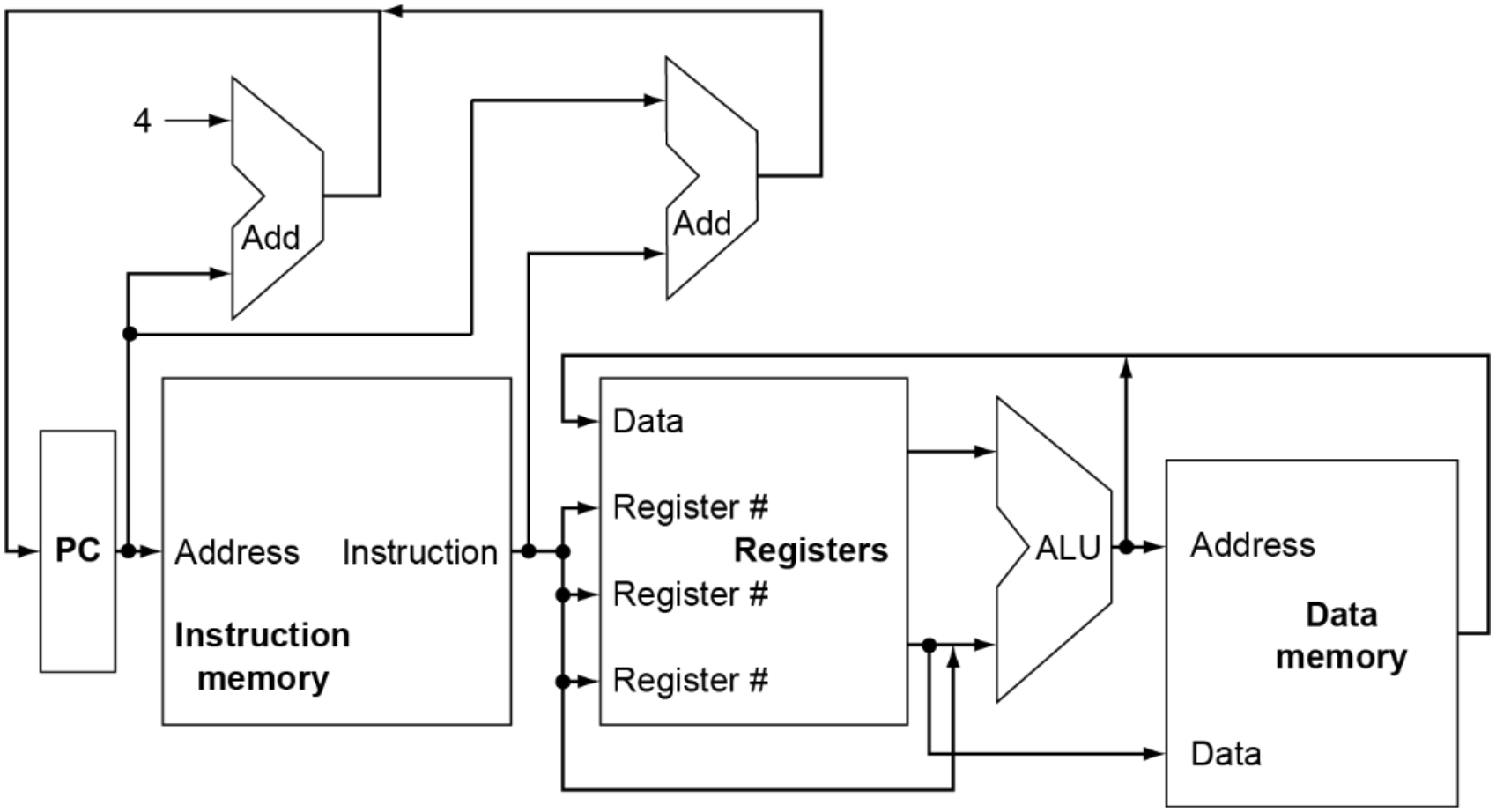
Introduction

- CPU performance factors
  - Instruction count
    - Determined by ISA and compiler
  - CPI and Cycle time
    - Determined by CPU hardware
- We will examine two RISC-V implementations
  - A simplified version
  - A more realistic pipelined version
- Simple subset, shows most aspects
  - Memory reference: `lw`, `sw`
  - Arithmetic/logical: `add`, `sub`, `and`, `or`
  - Control transfer: `beq`

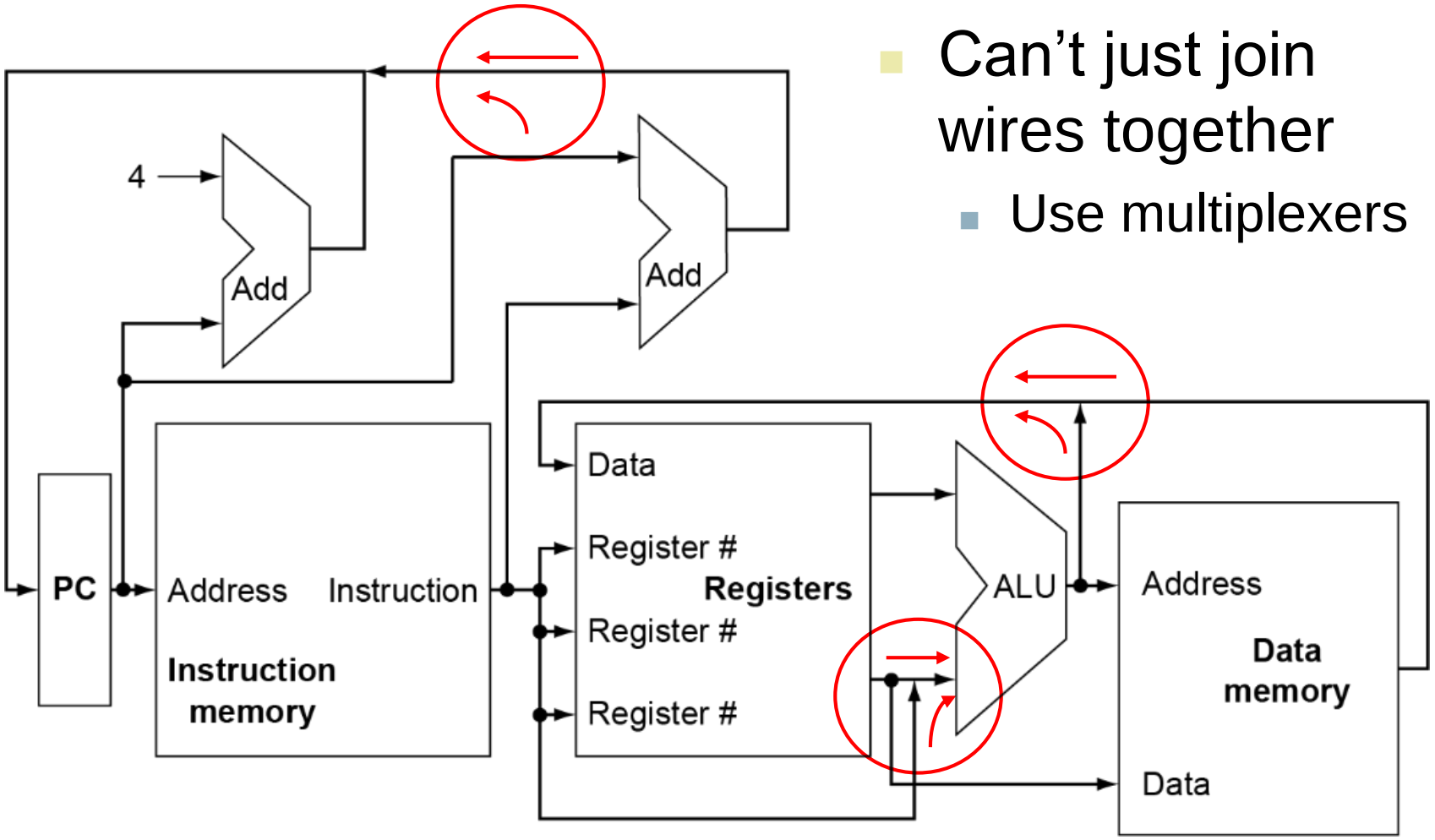
Instruction Execution

- PC → instruction memory, fetch instruction
- Register numbers → register file, read registers
- Depending on instruction class
  - Use ALU to calculate
    - Arithmetic result
    - Memory address for load/store
    - Branch comparison
  - Access data memory for load/store
  - PC ← target address or PC + 4

CPU Overview

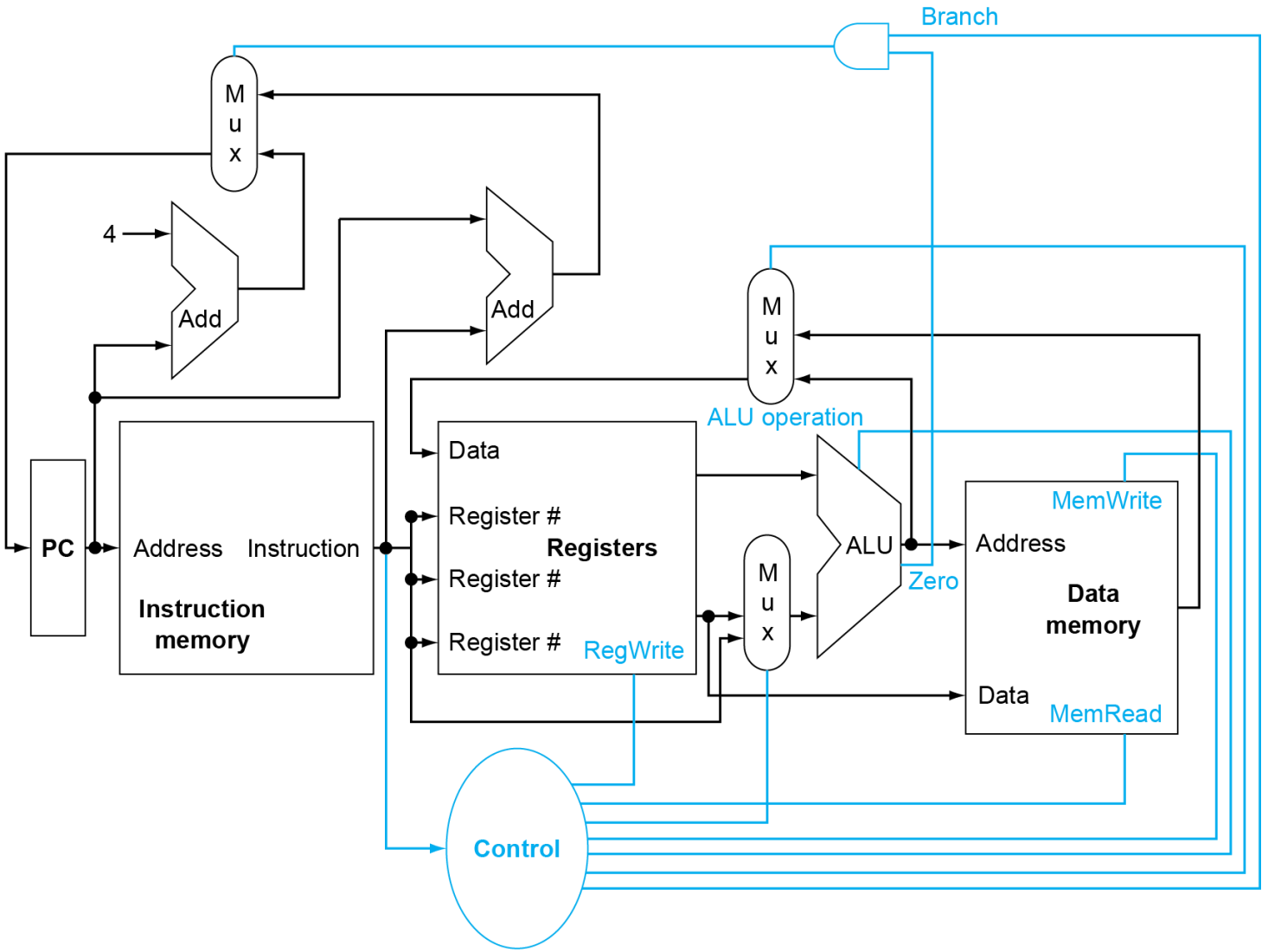


Multiplexers



- Can't just join wires together
  - Use multiplexers

Control

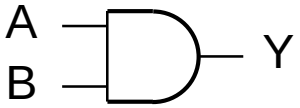

Branch
M
u
x
4
Add
Add
M
u
x
ALU operation
PC
Address
Instruction
Instruction
memory
Data
Register #
Registers
Register #
Register #
RegWrite
M
u
x
ALU
Zero
MemWrite
Address
Data
memory
Data
MemRead
Control

Logic Design Basics

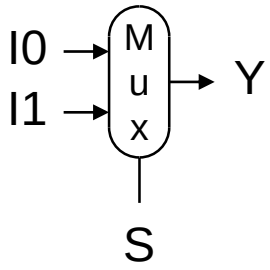
- Information encoded in binary
  - Low voltage = 0, High voltage = 1
  - One wire per bit
  - Multi-bit data encoded on multi-wire buses
- Combinational element
  - Operate on data
  - Output is a function of input
- State (sequential) elements
  - Store information

Combinational Elements

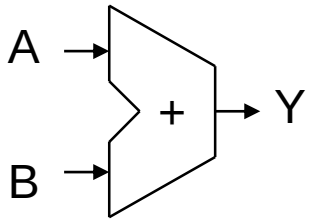
- AND-gate
  - Y = A & B



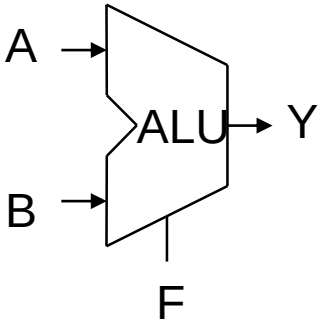
- Multiplexer
  - Y = S ? I1 : I0



- Adder
  - Y = A + B

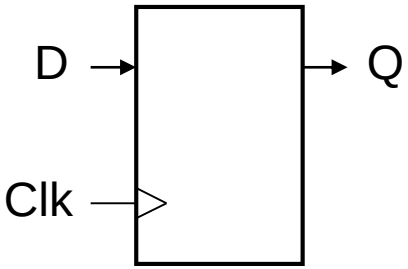


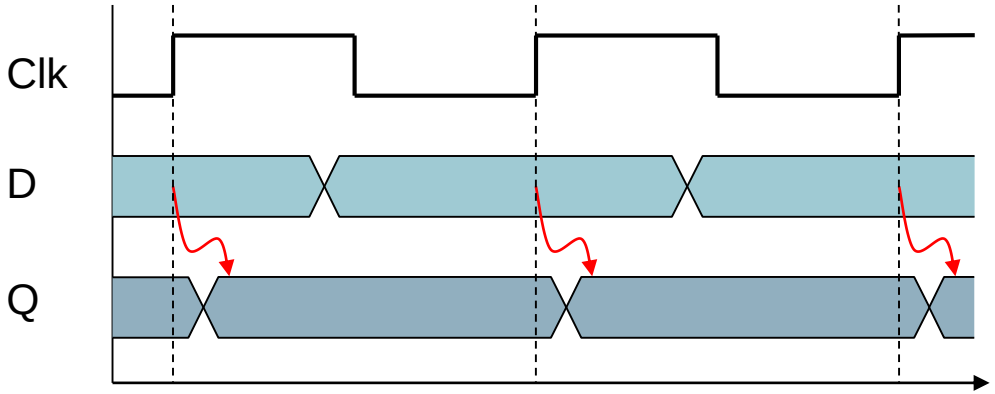
- Arithmetic/Logic Unit
  - Y = F(A, B)



Sequential Elements（1/2）

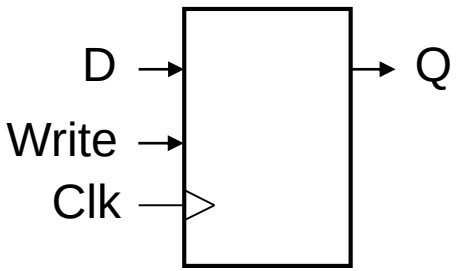
- Register: stores data in a circuit
  - Uses a clock signal to determine when to update the stored value
  - Edge-triggered: update when Clk changes from 0 to 1

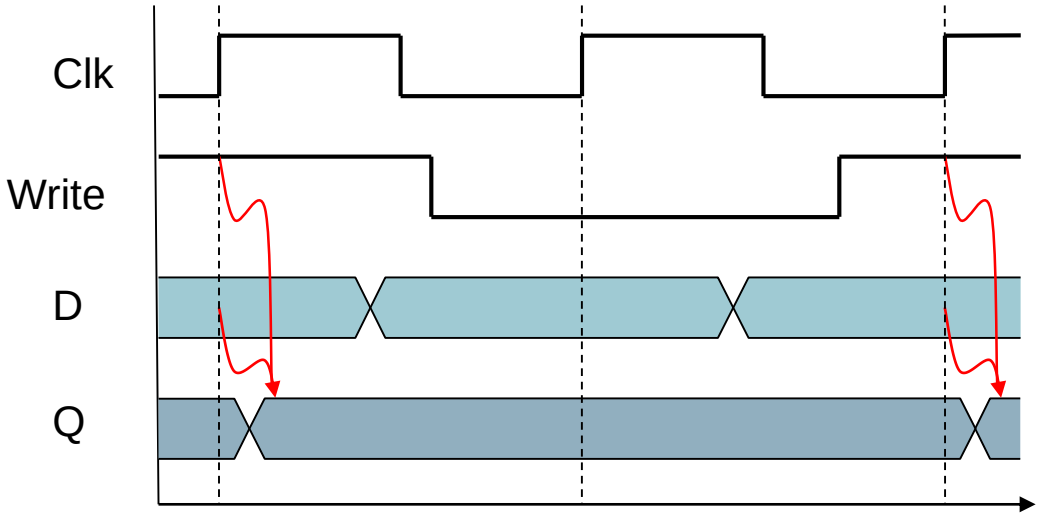




Sequential Elements（2/2）

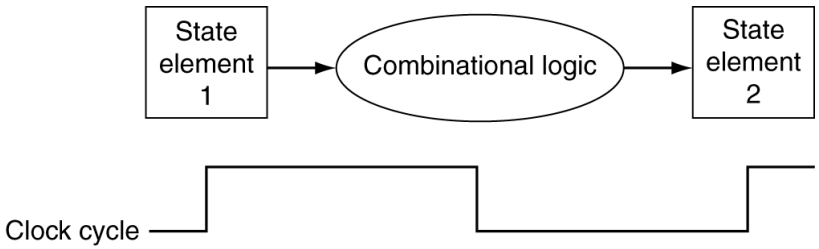
- Register with write control
  - Only updates on clock edge when write control input is 1
  - Used when stored value is required later

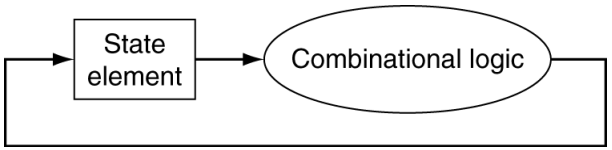




Clocking Methodology

- Combinational logic transforms data during clock cycles
  - Between clock edges
  - Input from state elements, output to state element
  - Longest delay determines clock period

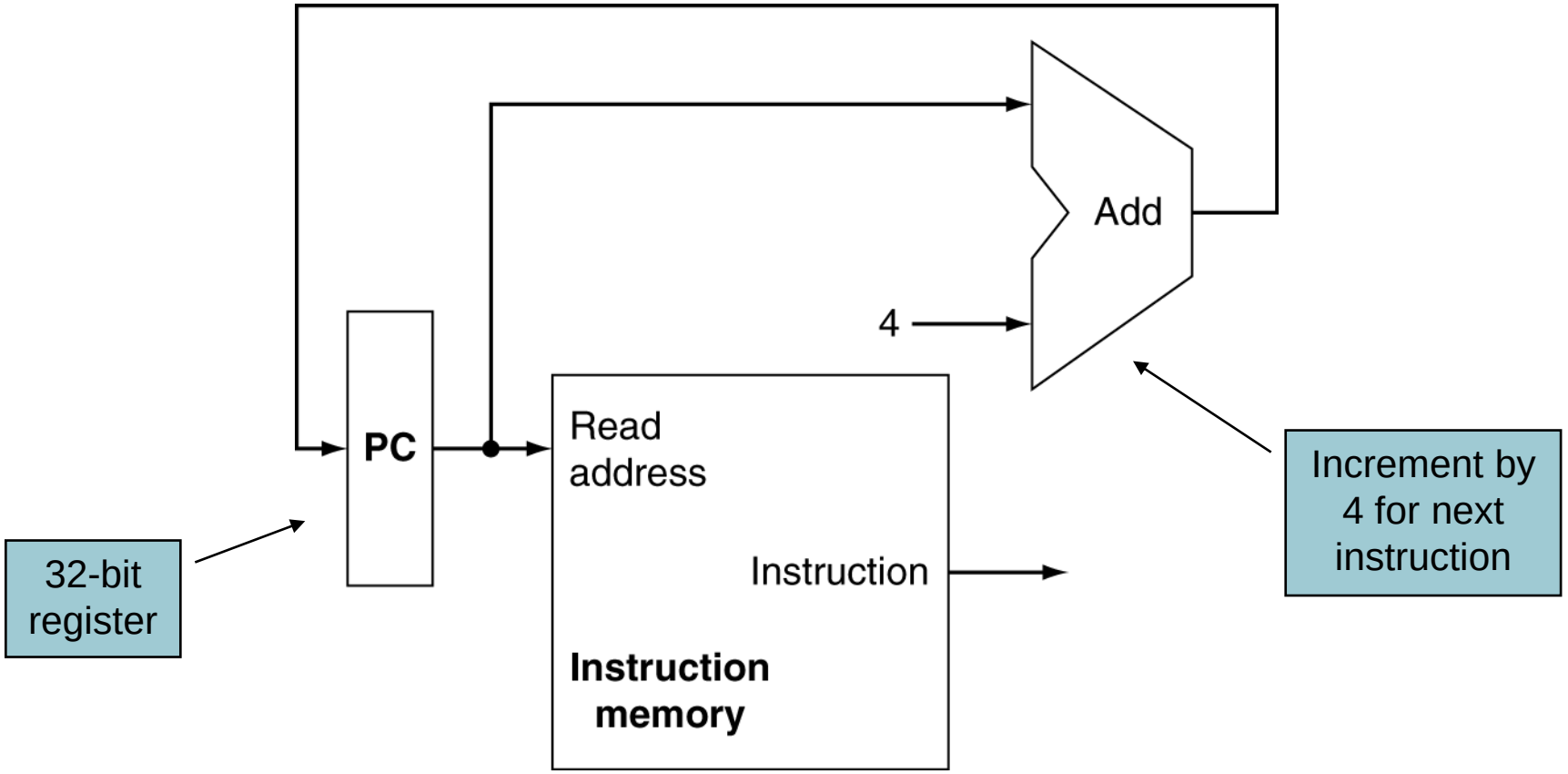




Building a Datapath

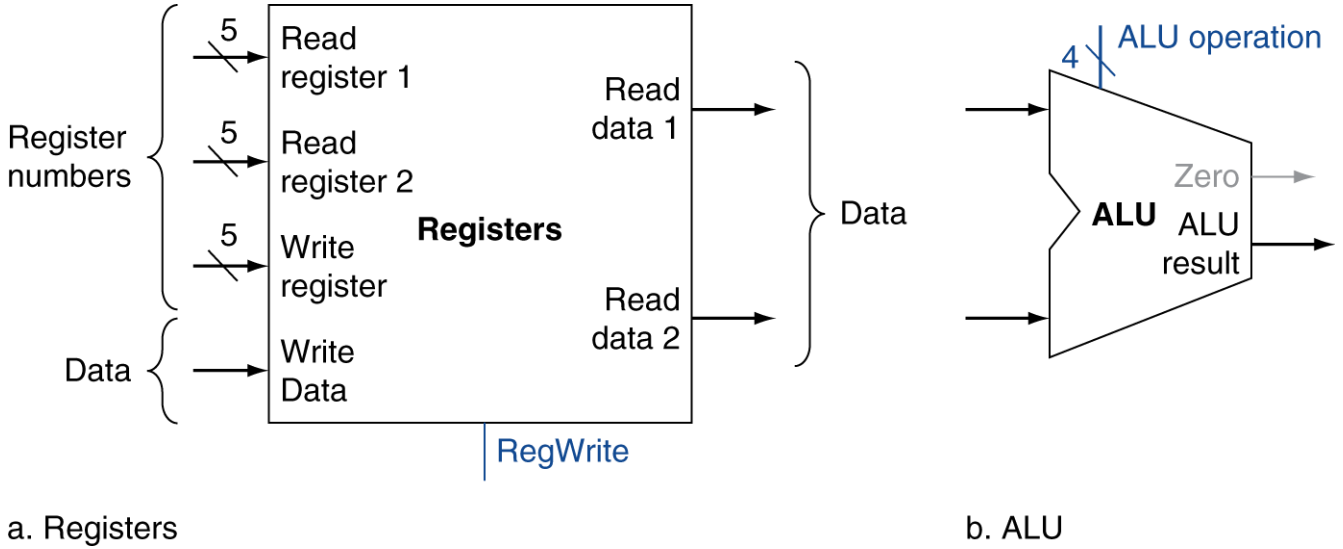
- Datapath
  - Elements that process data and addresses in the CPU
    - Registers, ALUs, mux's, memories, …
- We will build a RISC-V datapath incrementally
  - Refining the overview design

Instruction Fetch



R-Format Instructions

- Read two register operands
- Perform arithmetic/logical operation
- Write register result

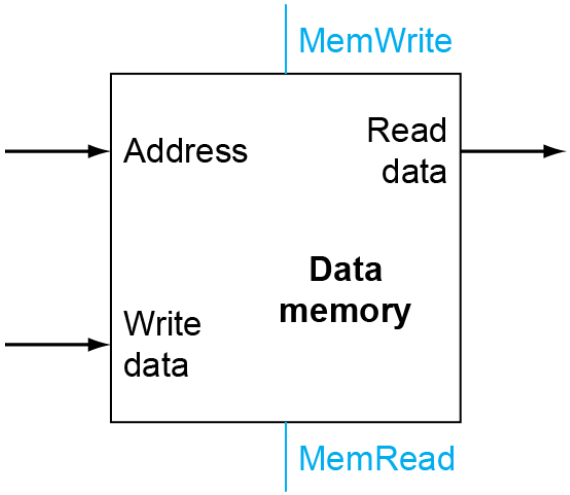


a. Registers

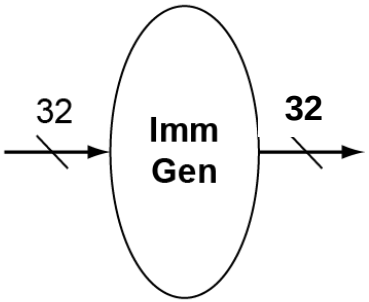
b. ALU

Load/Store Instructions

- Read register operands
- Calculate address using 12-bit offset
  - Use ALU, but sign-extend offset
- Load: Read memory and update register
- Store: Write register value to memory



a. Data memory unit

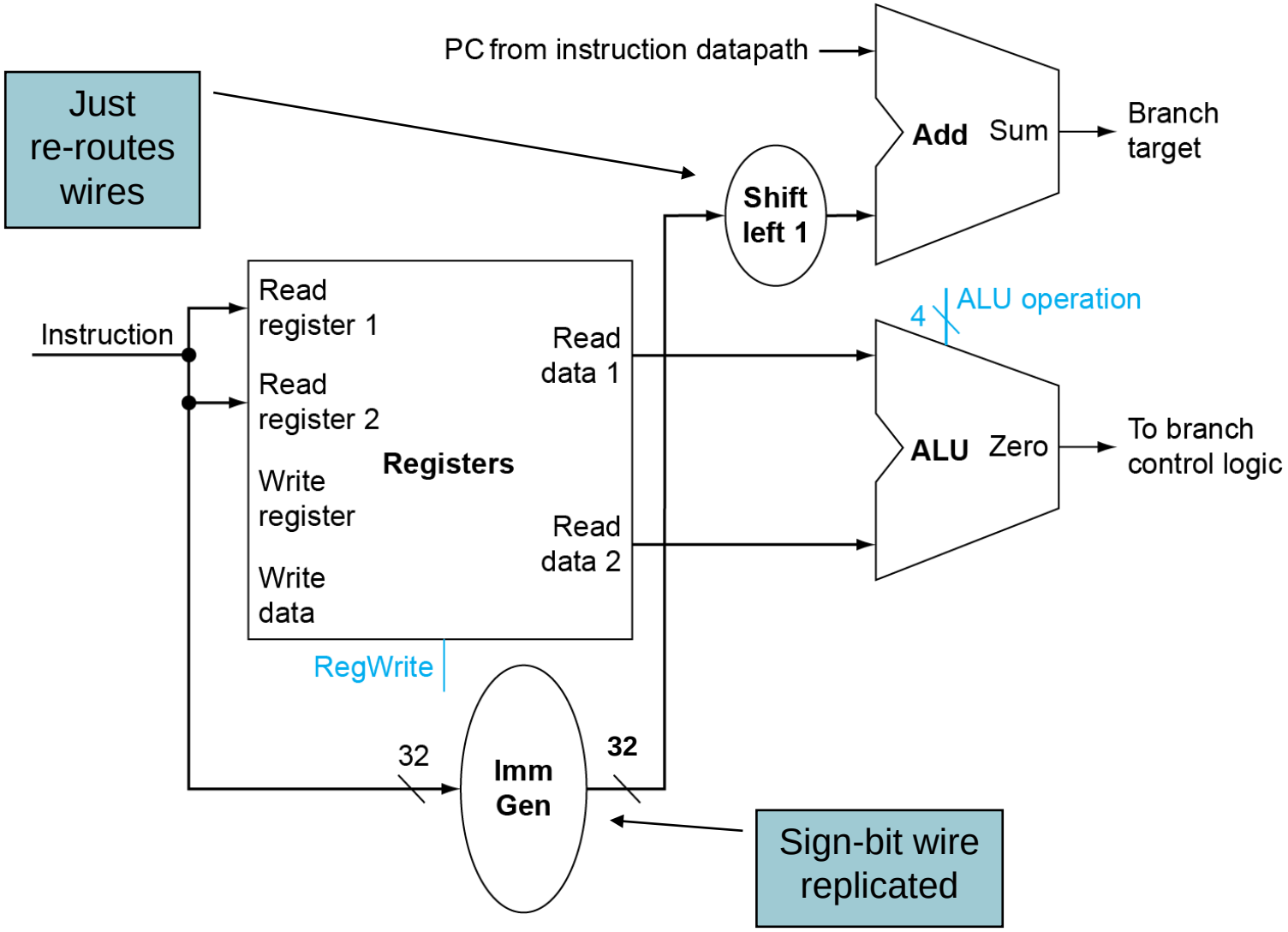


b. Immediate generation unit

Branch Instructions（1/2）

- Read register operands
- Compare operands
  - Use ALU, subtract and check Zero output
- Calculate target address
  - Sign-extend displacement
  - Shift left 1 place (halfword displacement)
  - Add to PC value

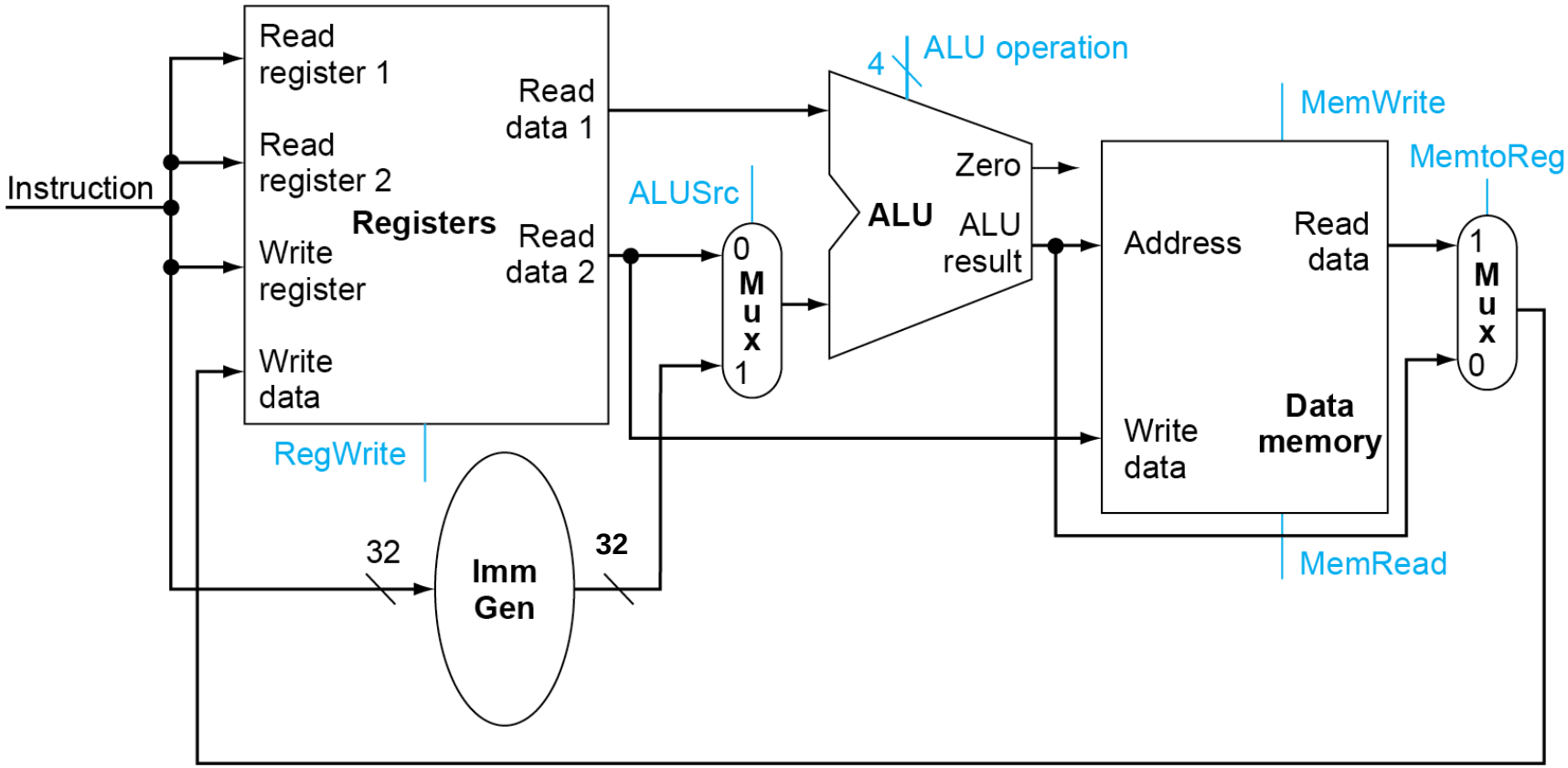
Branch Instructions（2/2）



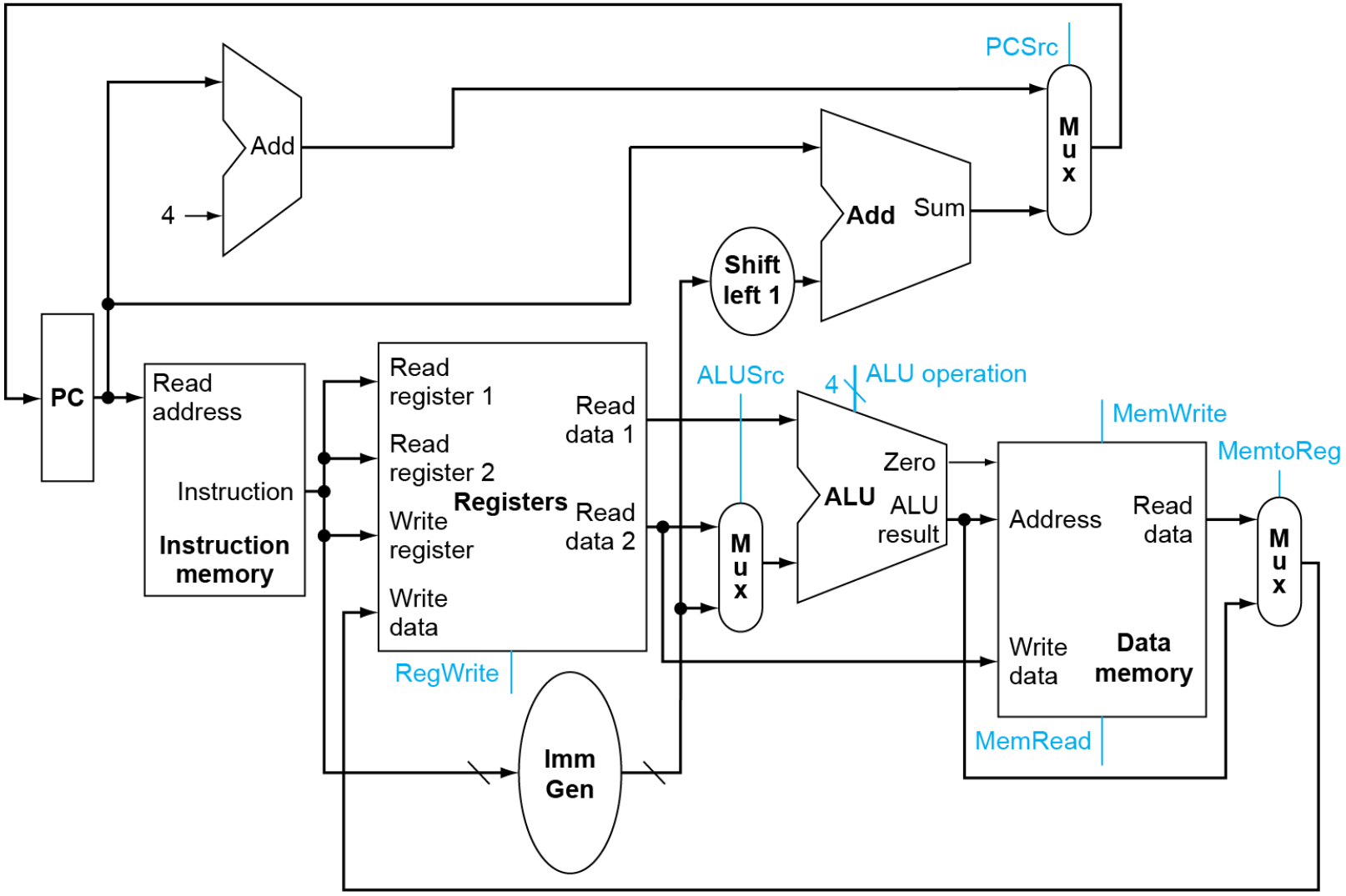
Composing the Elements

- First-cut data path does an instruction in one clock cycle
  - Each datapath element can only do one function at a time
  - Hence, we need separate instruction and data memories
- Use multiplexers where alternate data sources are used for different instructions

R-Type/Load/Store Datapath



Full Datapath



ALU Control

- ALU used for
  - Load/Store: F = add
  - Branch: F = subtract
  - R-type: F depends on opcode

ALU control	Function
0000	AND
0001	OR
0010	add
0110	subtract

ALU Control

- Assume 2-bit ALUOp derived from opcode
  - Combinational logic derives ALU control

opcode	ALUOp	Operation	Opcode field	ALU function	ALU control
ld	00	load register	XXXXXXXXXXX	add	0010
sd	00	store register	XXXXXXXXXXX	add	0010
beq	01	branch on equal	XXXXXXXXXXX	subtract	0110
R-type	10	add	100000	add	0010
		subtract	100010	subtract	0110
		AND	100100	AND	0000
		OR	100101	OR	0001

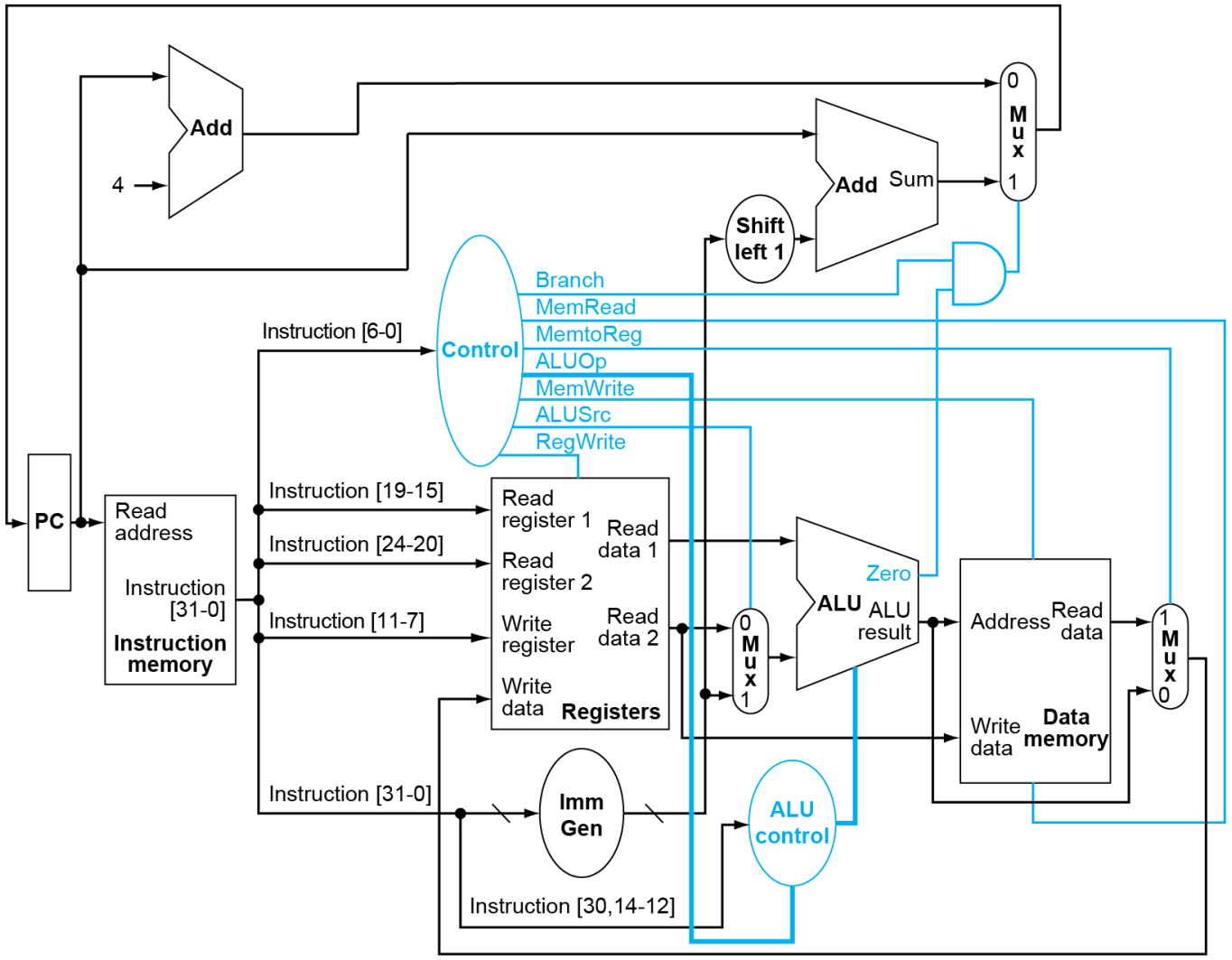
The Main Control Unit

- Control signals derived from instruction

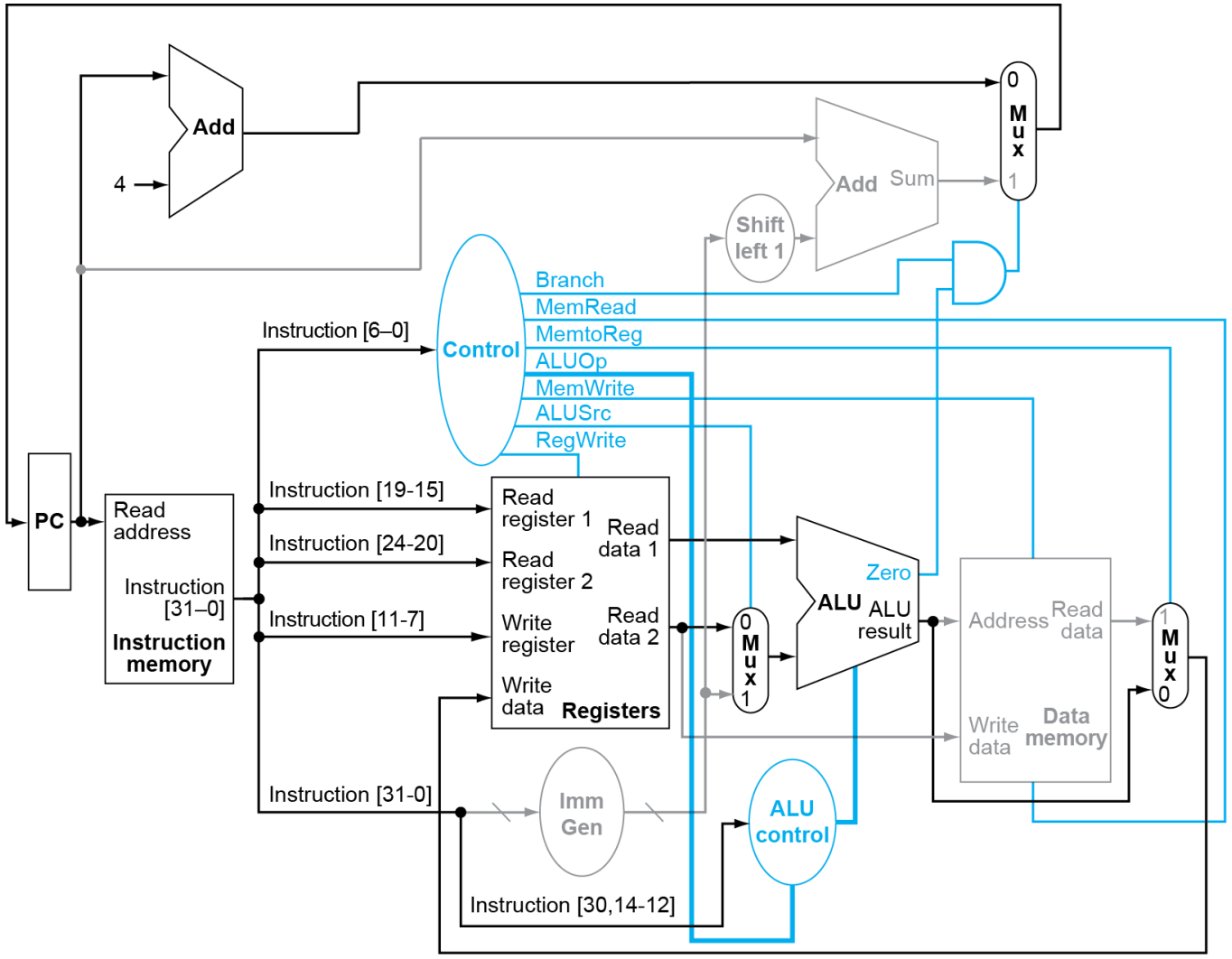
Name (Bit position)	Fields 31:25	24:20	19:15	14:12	11:7	6:0
(a) R-type	funct7	rs2	rs1	funct3	rd	opcode
(b) I-type	immediate[11:0]		rs1	funct3	rd	opcode
(c) S-type	immed[11:5]	rs2	rs1	funct3	immed[4:0]	opcode
(d) SB-type	immed[12,10:5]	rs2	rs1	funct3	immed[4:1,11]	opcode

ALUOp		Funct7 field							Funct3 field			
ALUOp1	ALUOp0	I[31]	I[30]	I[29]	I[28]	I[27]	I[26]	I[25]	I[14]	I[13]	I[12]	Operation
0	0	X	X	X	X	X	X	X	X	X	X	0010
X	1	X	X	X	X	X	X	X	X	X	X	0110
1	X	0	0	0	0	0	0	0	0	0	0	0010
1	X	0	1	0	0	0	0	0	0	0	0	0110
1	X	0	0	0	0	0	0	0	1	1	1	0000
1	X	0	0	0	0	0	0	0	1	1	0	0001

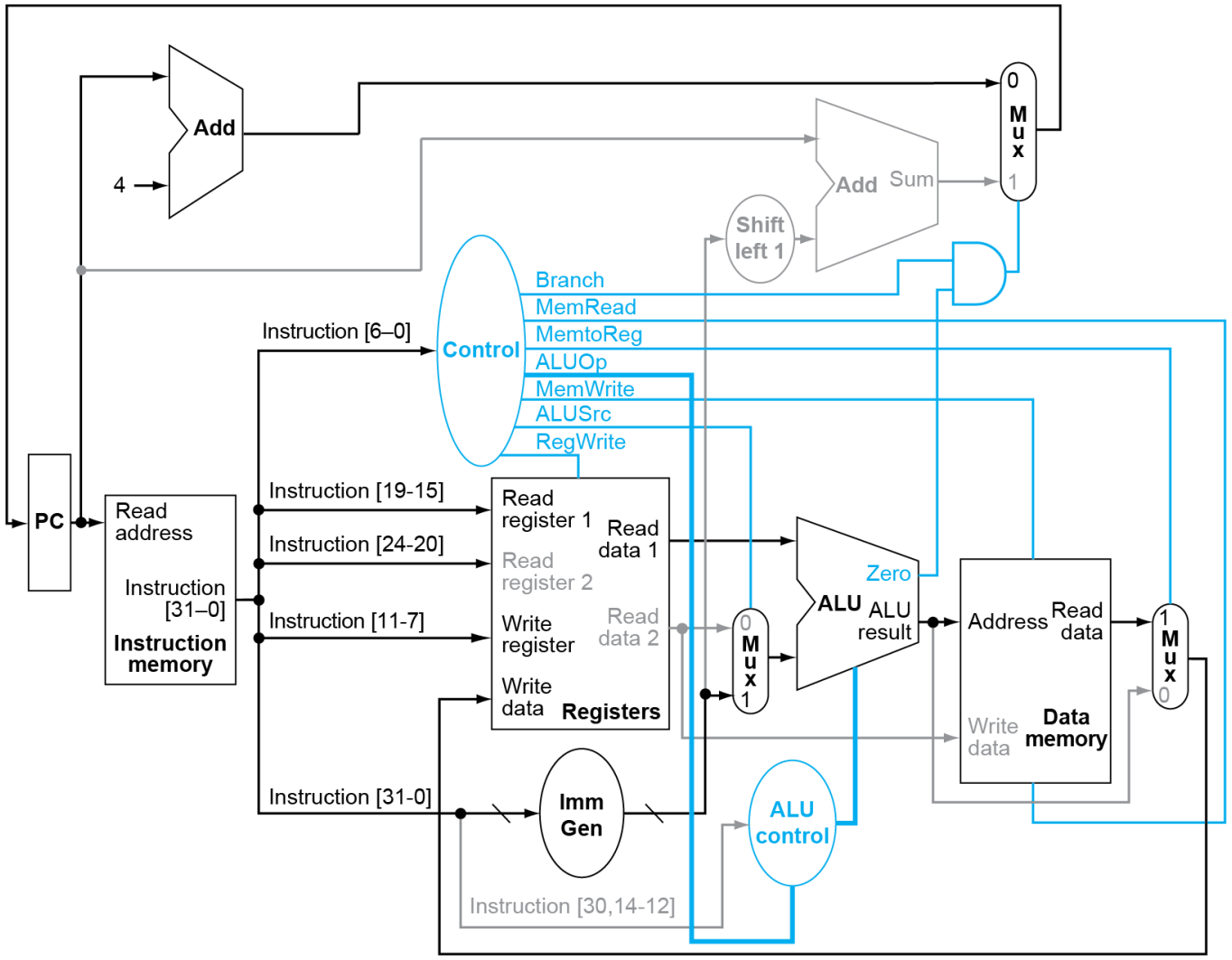
Datapath With Control



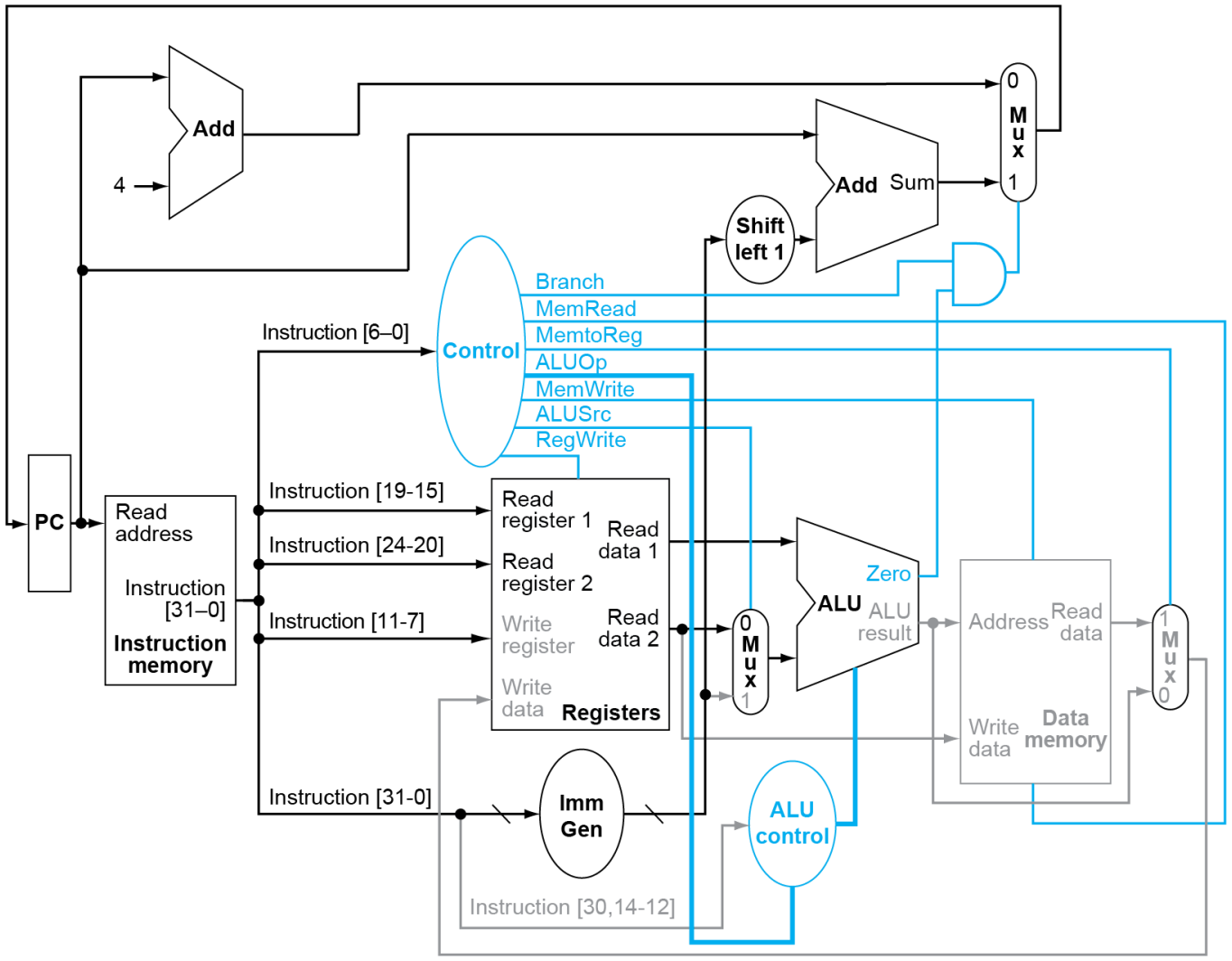
R-Type Instruction



Load Instruction



BEQ Instruction

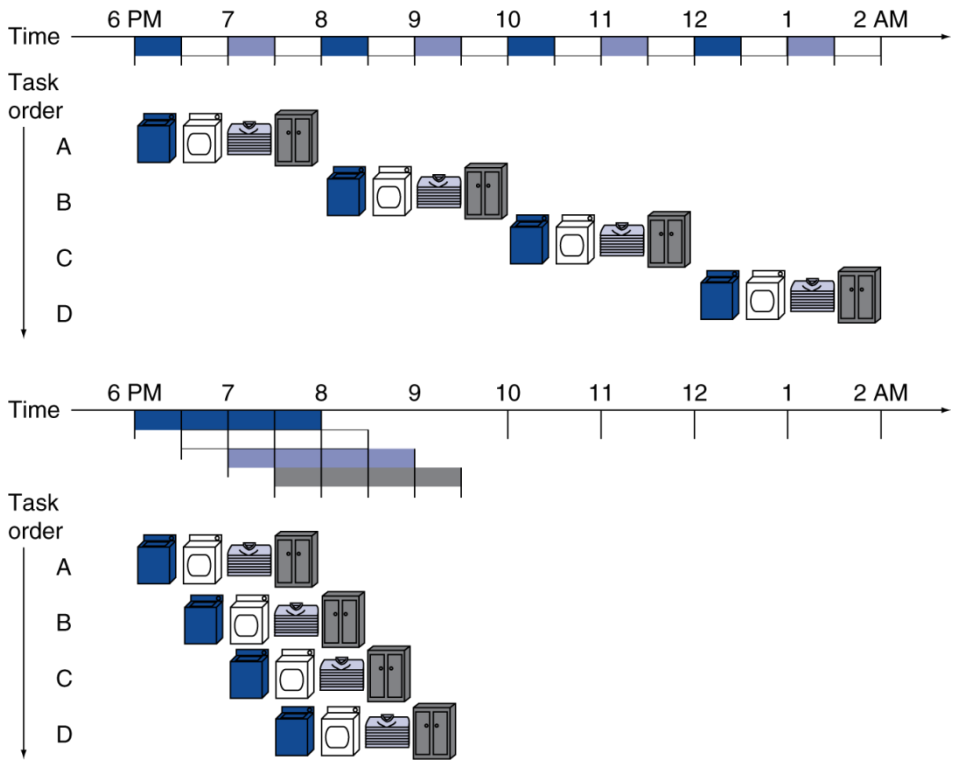


Performance Issues

- Longest delay determines clock period
  - Critical path: load instruction
  - Instruction memory → register file → ALU → data memory → register file
- Not feasible to vary period for different instructions
- Violates design principle
  - Making the common case fast
- We will improve performance by pipelining

Pipelining Analogy

- Pipelined laundry: overlapping execution
  - Parallelism improves performance



- Four loads:
  - Speedup
    = 8/3.5 = 2.3
- Non-stop:
  - Speedup
    = 2n/（0.5n + 1.5 ）
    ≈ 4
    = number of stages

RISC-V Pipeline

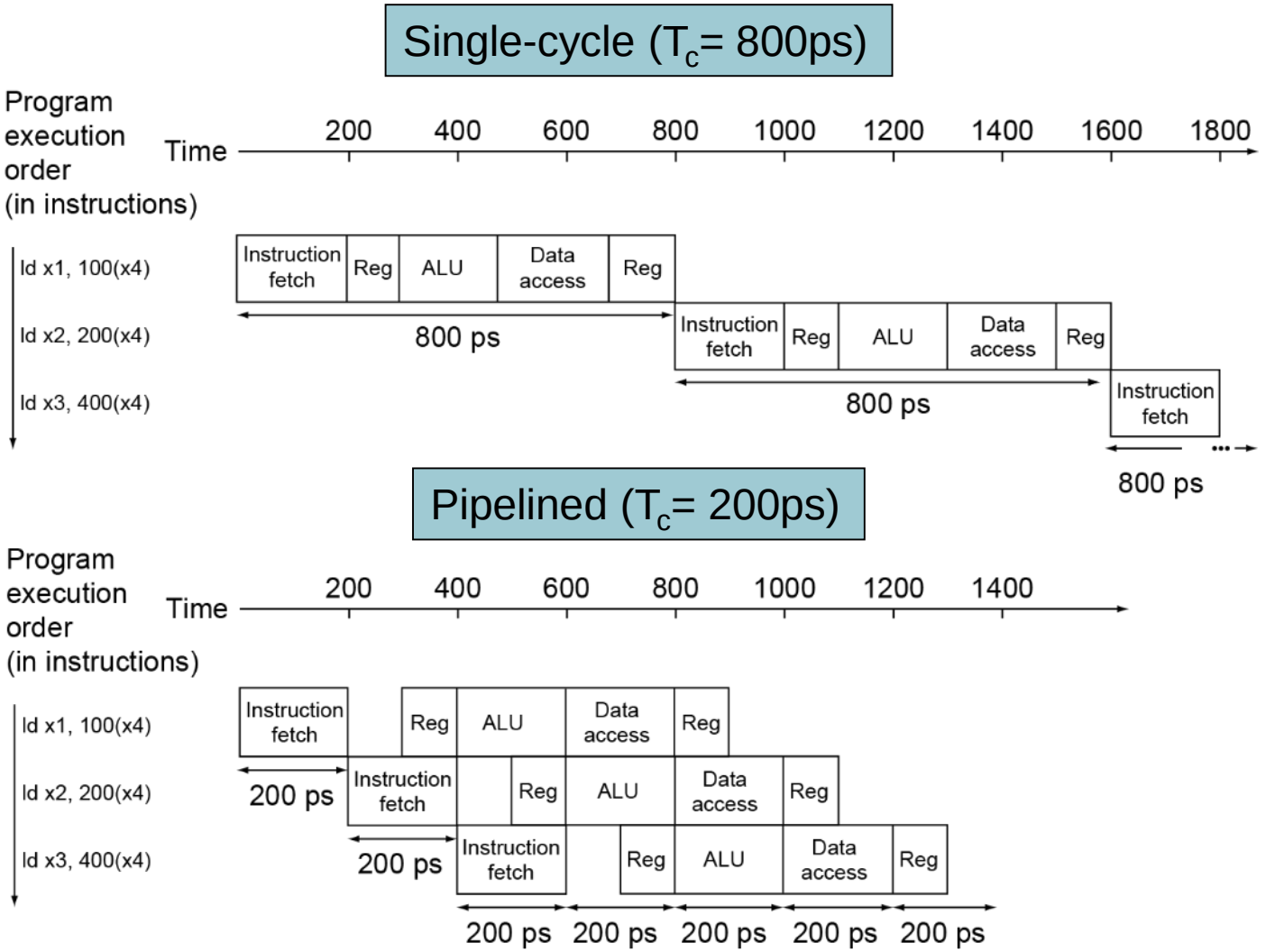
- Five stages, one step per stage
  1. IF: Instruction fetch from memory
  2. ID: Instruction decode & register read
  3. EX: Execute operation or calculate address
  4. MEM: Access memory operand
  5. WB: Write result back to register

Pipeline Performance

- Assume time for stages is
  - 100ps for register read or write
  - 200ps for other stages
- Compare pipelined datapath with single-cycle datapath

Instr	Instr fetch	Register read	ALU op	Memory access	Register write	Total time
ld	200ps	100 ps	200ps	200ps	100 ps	800ps
sd	200ps	100 ps	200ps	200ps		700ps
R-format	200ps	100 ps	200ps		100 ps	600ps
beq	200ps	100 ps	200ps			500ps

Pipeline Performance



Pipeline Speedup

- If all stages are balanced
  - i.e., all take the same time
  - Time between instructions$_{\text{pipelined}}$
    = $\dfrac{\text{Time between instructions}_{\text{nonpipelined}}}{\text{Number of stages}}$
- If not balanced, speedup is less
- Speedup due to increased throughput
  - Latency (time for each instruction) does not decrease

Pipelining and ISA Design

- RISC-V ISA designed for pipelining
  - All instructions are 32-bits
    - Easier to fetch and decode in one cycle
    - c.f. x86: 1- to 17-byte instructions
  - Few and regular instruction formats
    - Can decode and read registers in one step
  - Load/store addressing
    - Can calculate address in 3rd stage, access memory in 4th stage

Hazards

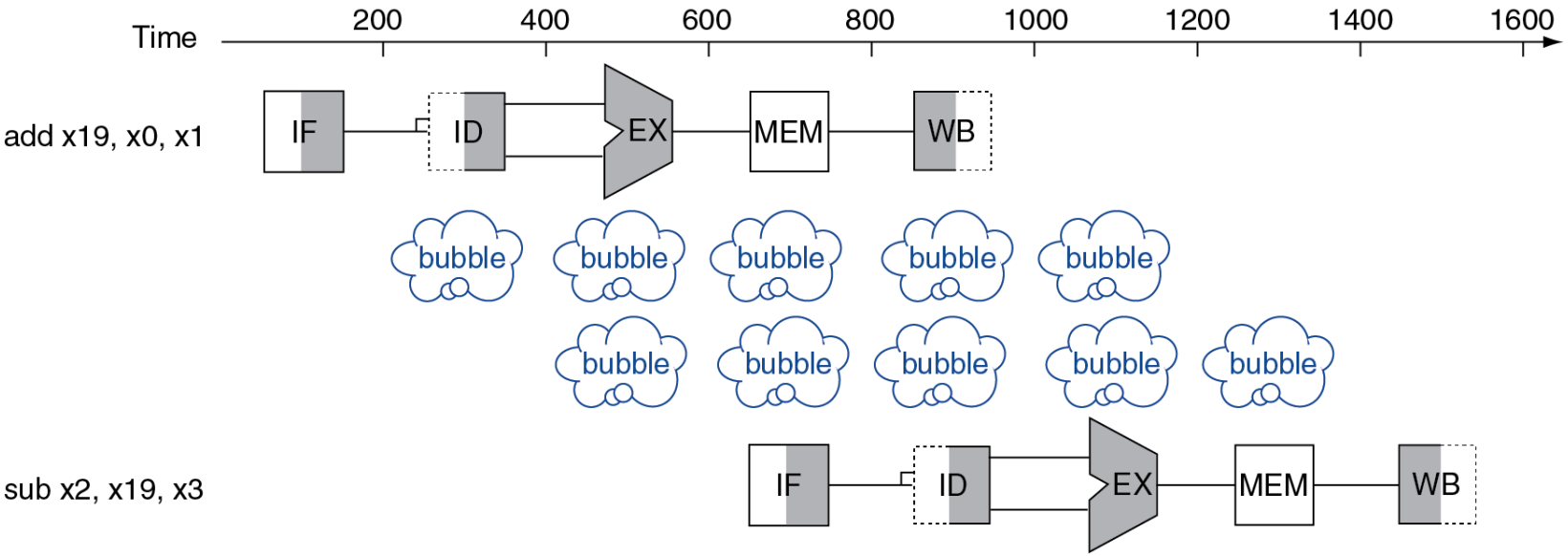
- Situations that prevent starting the next instruction in the next cycle
- Structure hazards
  - A required resource is busy
- Data hazard
  - Need to wait for previous instruction to complete its data read/write
- Control hazard
  - Deciding on control action depends on previous instruction

Structure Hazards

- Conflict for use of a resource
- In RISC-V pipeline with a single memory
  - Load/store requires data access
  - Instruction fetch would have to *stall* for that cycle
    - Would cause a pipeline “bubble”
- Hence, pipelined datapaths require separate instruction/data memories
  - Or separate instruction/data caches

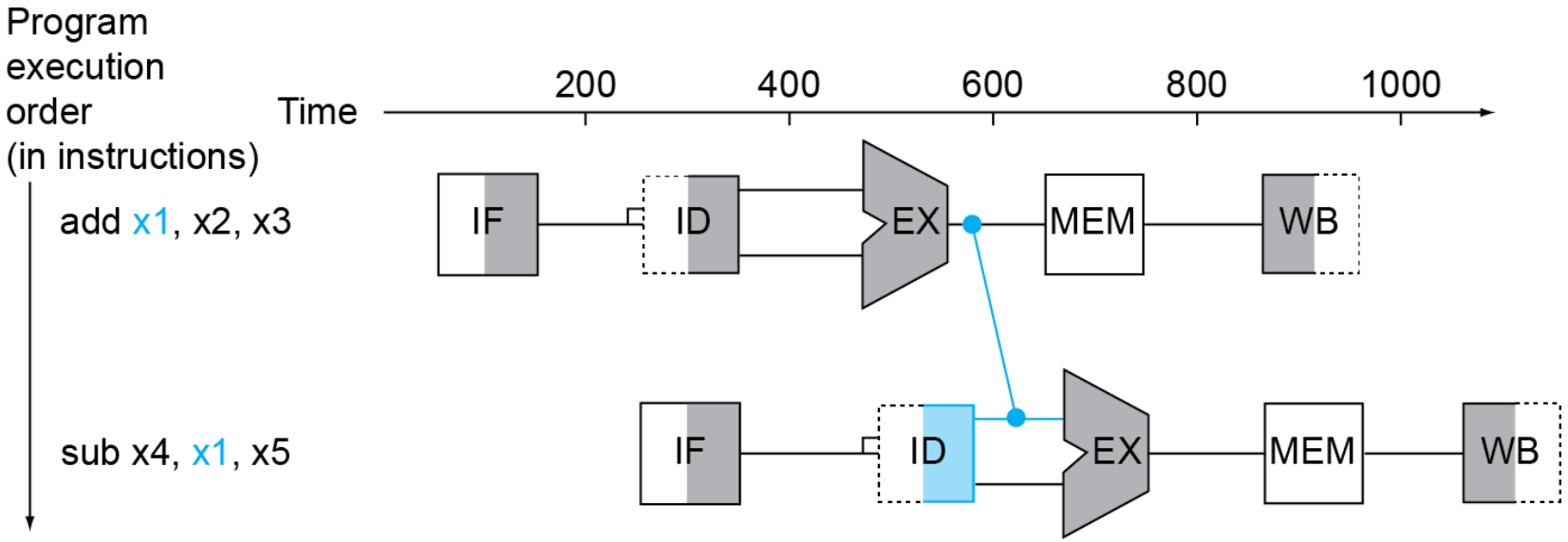
Data Hazards

- An instruction depends on completion of data access by a previous instruction
  - `add  x19, x0, x1`
    `sub  x2, x19, x3`



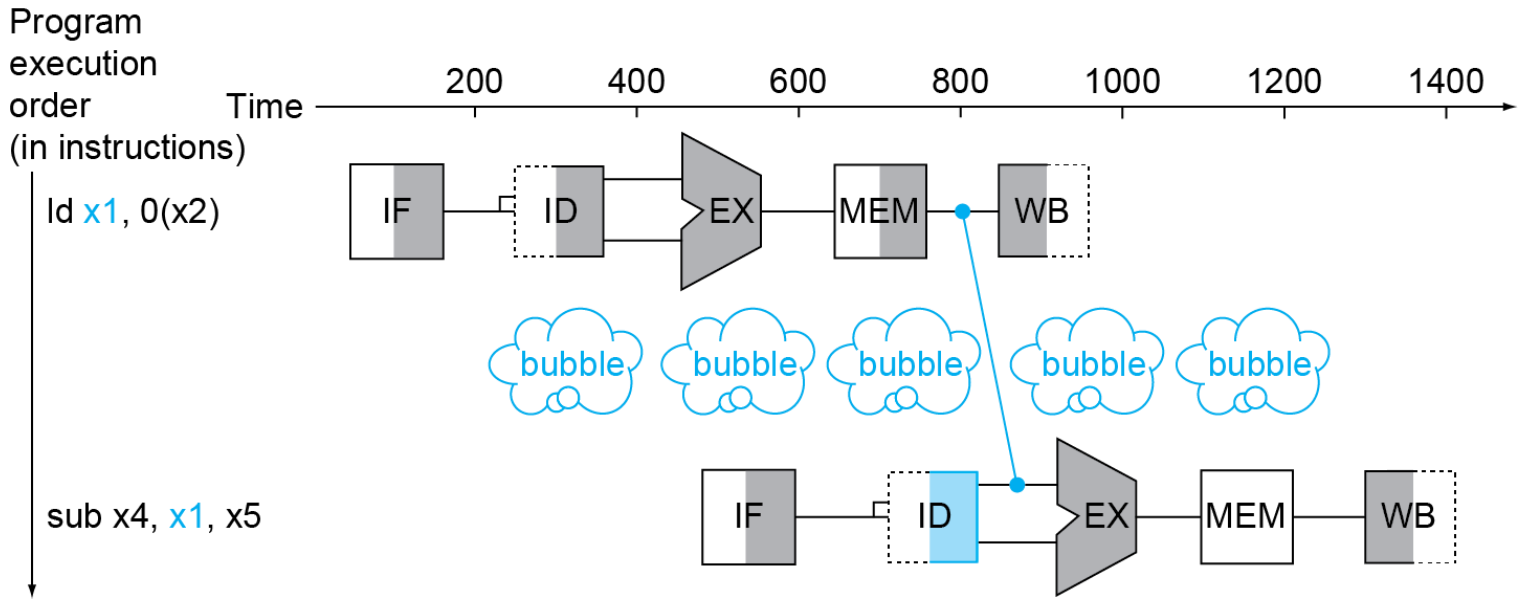
Forwarding (aka Bypassing)

- Use result when it is computed
  - Don’t wait for it to be stored in a register
  - Requires extra connections in the datapath



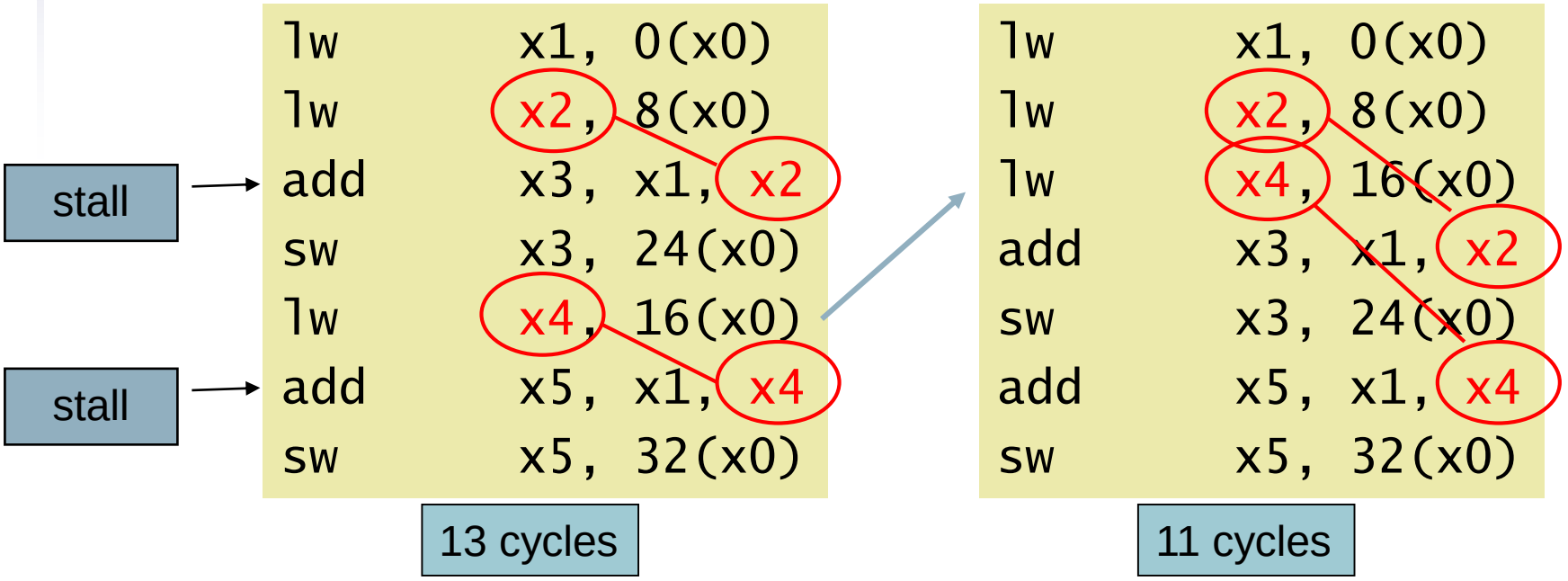
Load-Use Data Hazard

- Can’t always avoid stalls by forwarding
  - If value not computed when needed
  - Can’t forward backward in time!



Code Scheduling to Avoid Stalls

- Reorder code to avoid use of load result in the next instruction
- C code for `a = b + e; c = b + f;`

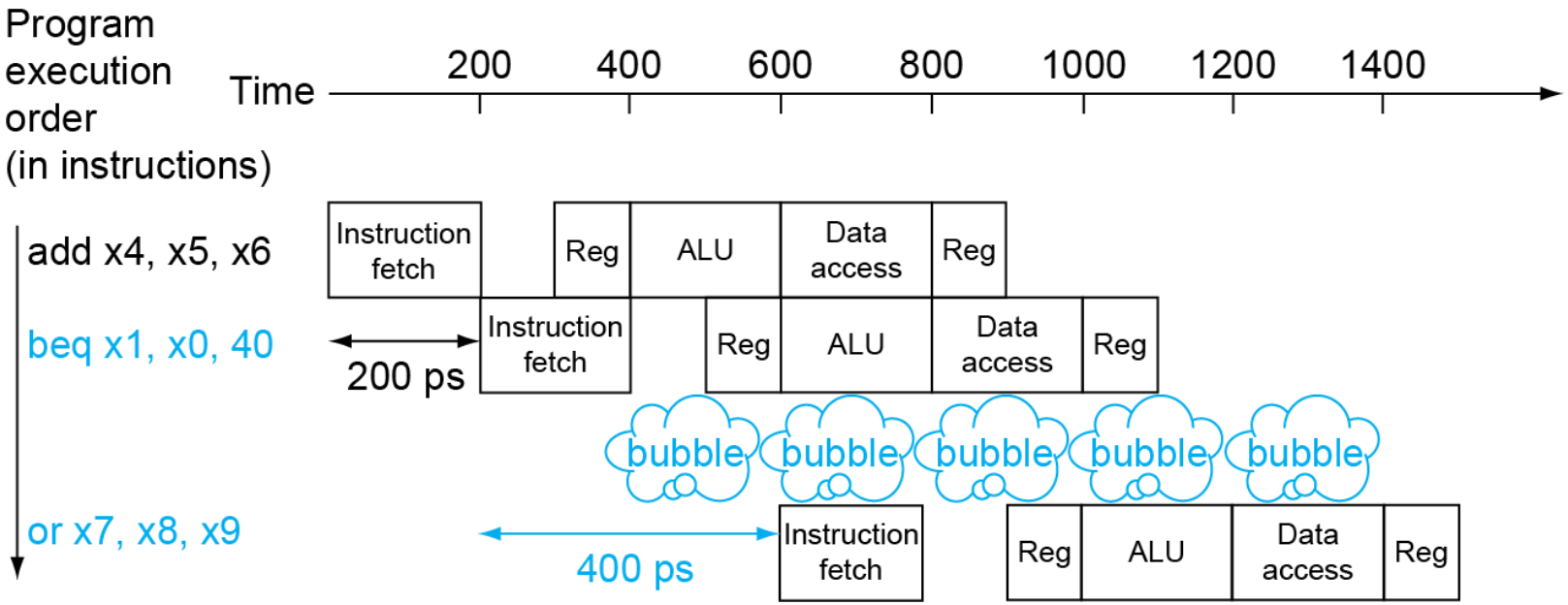


Control Hazards

- Branch determines flow of control
  - Fetching next instruction depends on branch outcome
  - Pipeline can’t always fetch correct instruction
    - Still working on ID stage of branch
- In RISC-V pipeline
  - Need to compare registers and compute target early in the pipeline
  - Add hardware to do it in ID stage

Stall on Branch

- Wait until branch outcome determined before fetching next instruction



Branch Prediction

- Longer pipelines can't readily determine branch outcome early
  - Stall penalty becomes unacceptable
- Predict outcome of branch
  - Only stall if prediction is wrong
- In RISC-V pipeline
  - Can predict branches not taken
  - Fetch instruction after branch, with no delay

More-Realistic Branch Prediction

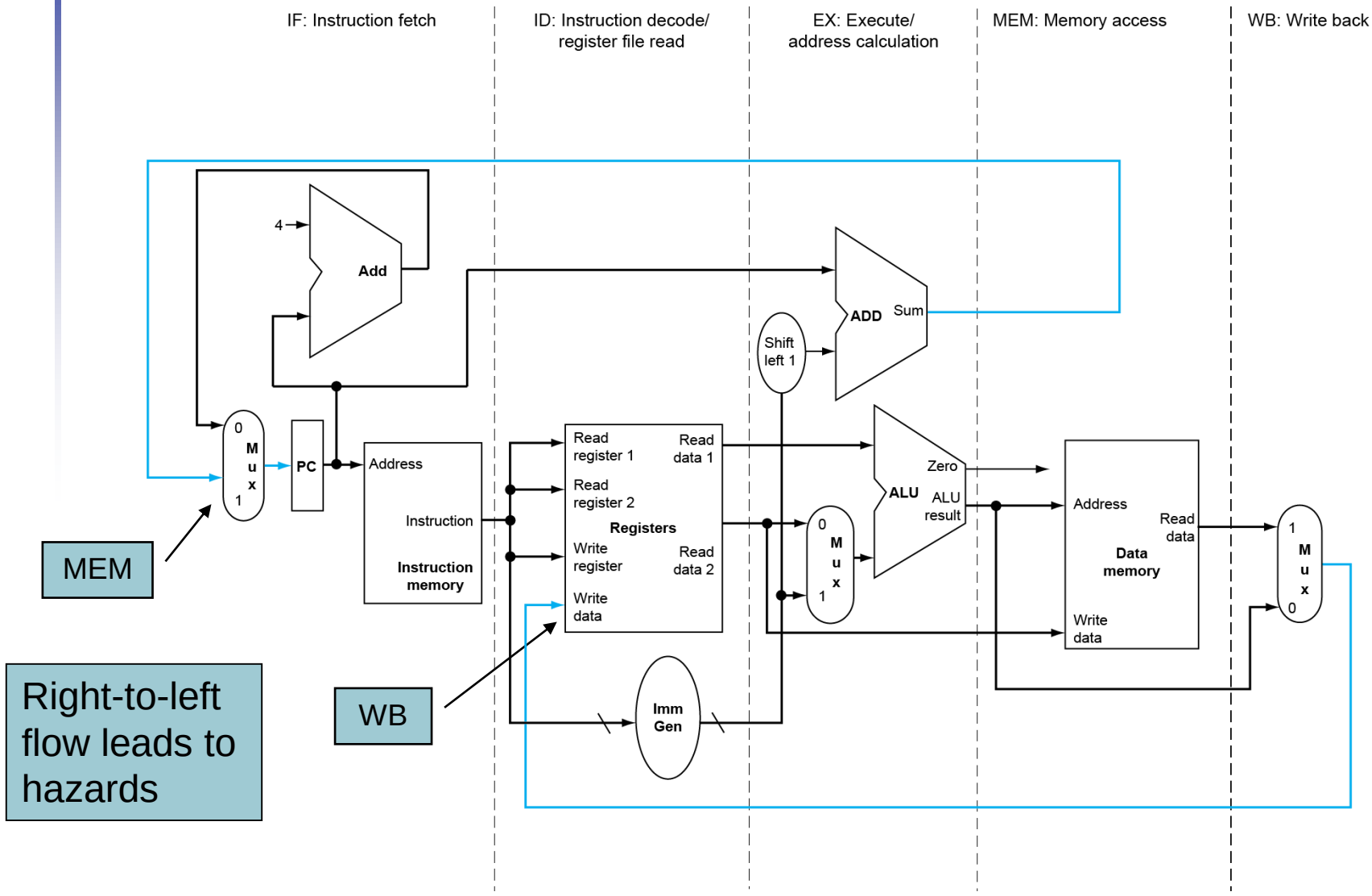
- Static branch prediction
  - Based on typical branch behavior
  - Example: loop and if-statement branches
    - Predict backward branches taken
    - Predict forward branches not taken
- Dynamic branch prediction
  - Hardware measures actual branch behavior
    - e.g., record recent history of each branch
  - Assume future behavior will continue the trend
    - When wrong, stall while re-fetching, and update history

Pipeline Summary

The BIG Picture

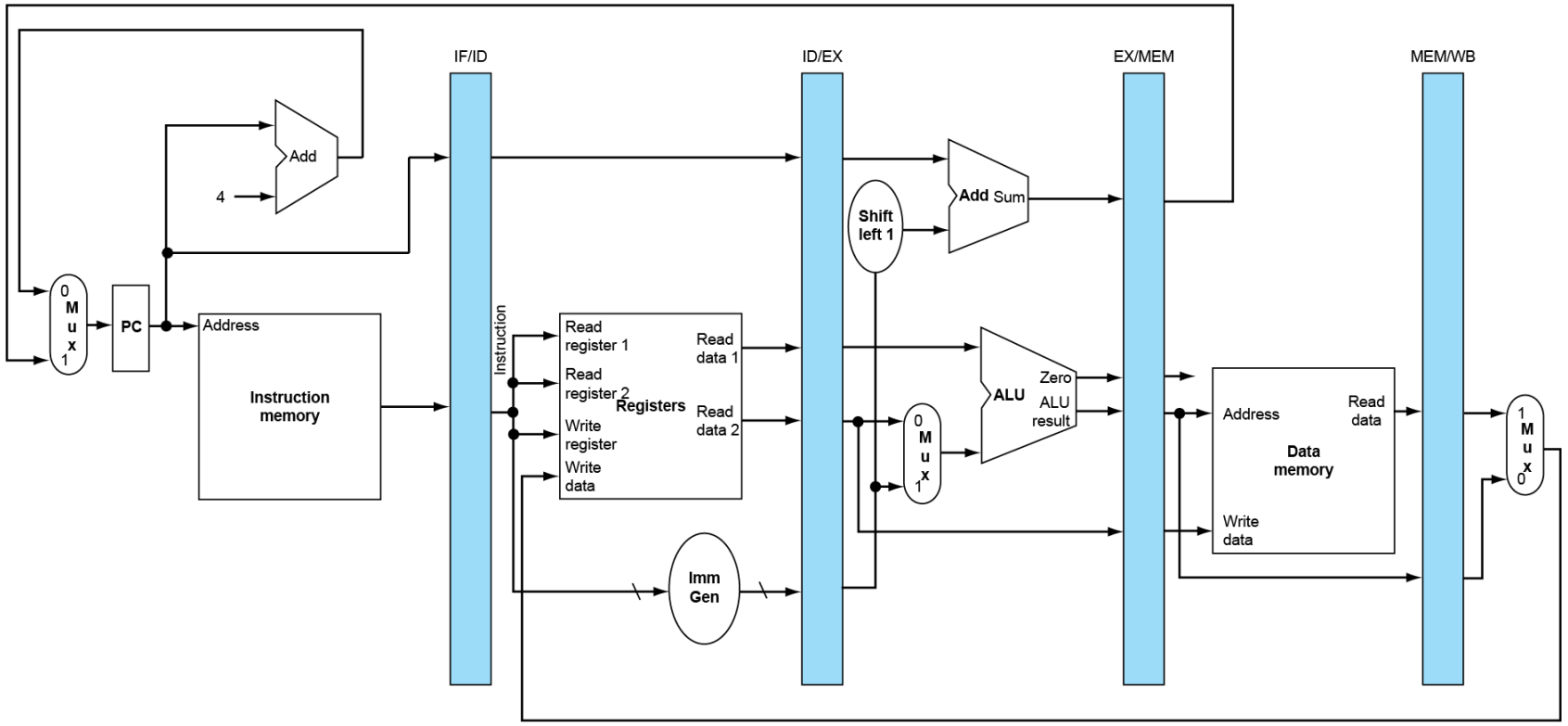
- Pipelining improves performance by increasing instruction throughput
  - Executes multiple instructions in parallel
  - Each instruction has the same latency
- Subject to hazards
  - Structure, data, control
- Instruction set design affects complexity of pipeline implementation

RISC-V Pipelined Datapath



Pipeline registers

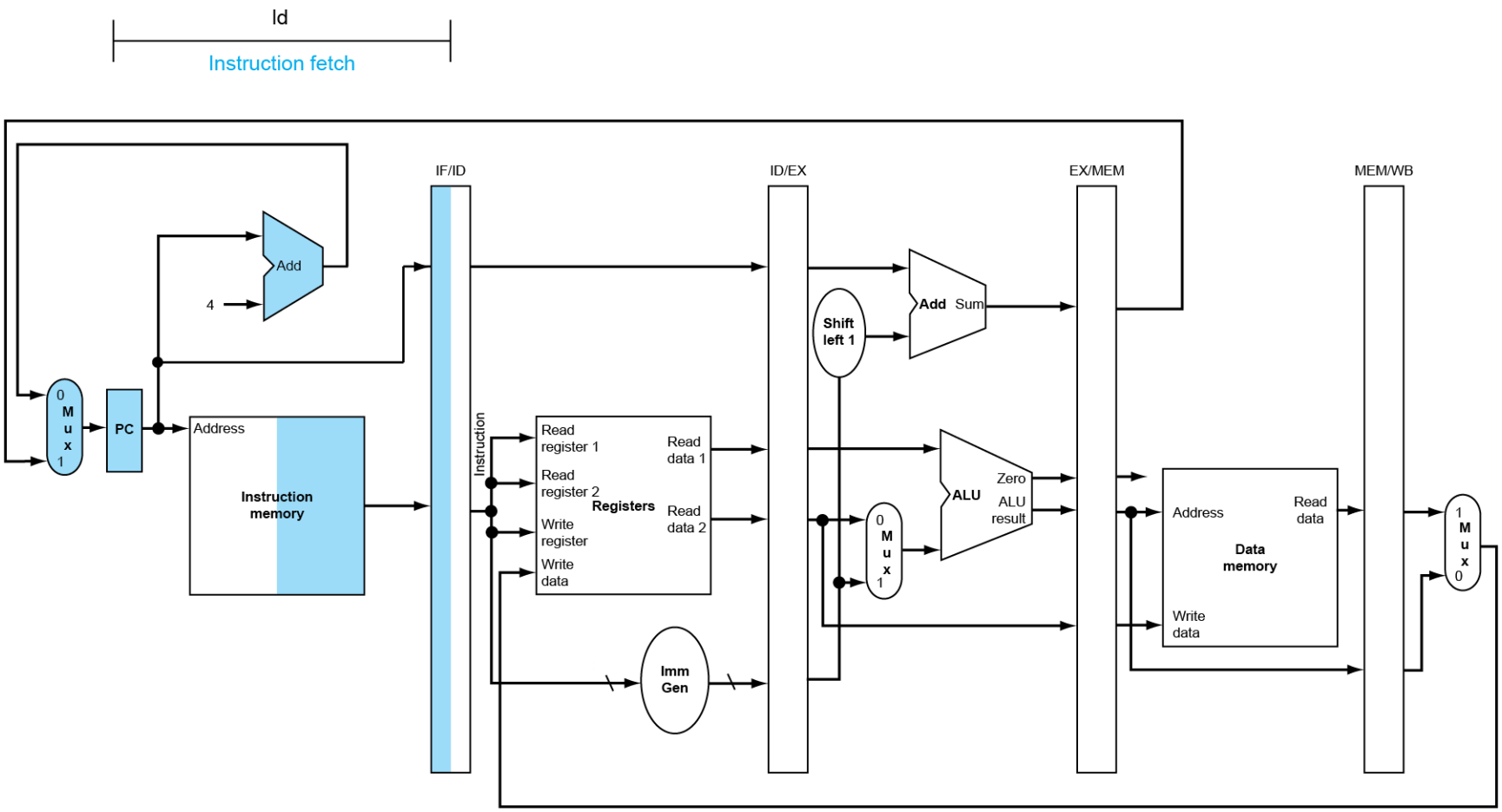
- Need registers between stages
  - To hold information produced in previous cycle



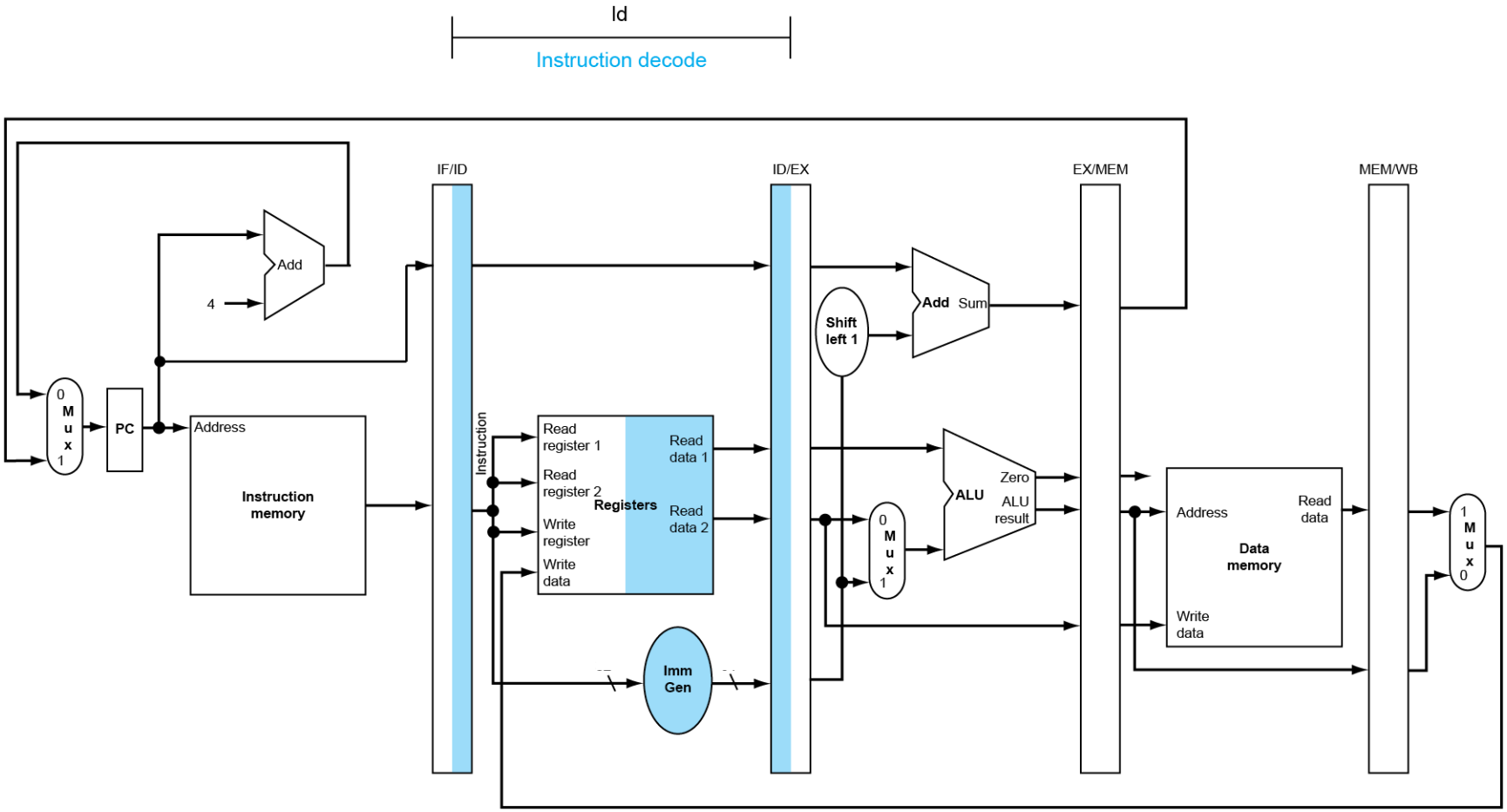
Pipeline Operation

- Cycle-by-cycle flow of instructions through the pipelined datapath
  - “Single-clock-cycle” pipeline diagram
    - Shows pipeline usage in a single cycle
    - Highlight resources used
  - c.f. “multi-clock-cycle” diagram
    - Graph of operation over time
- We’ll look at “single-clock-cycle” diagrams for load & store

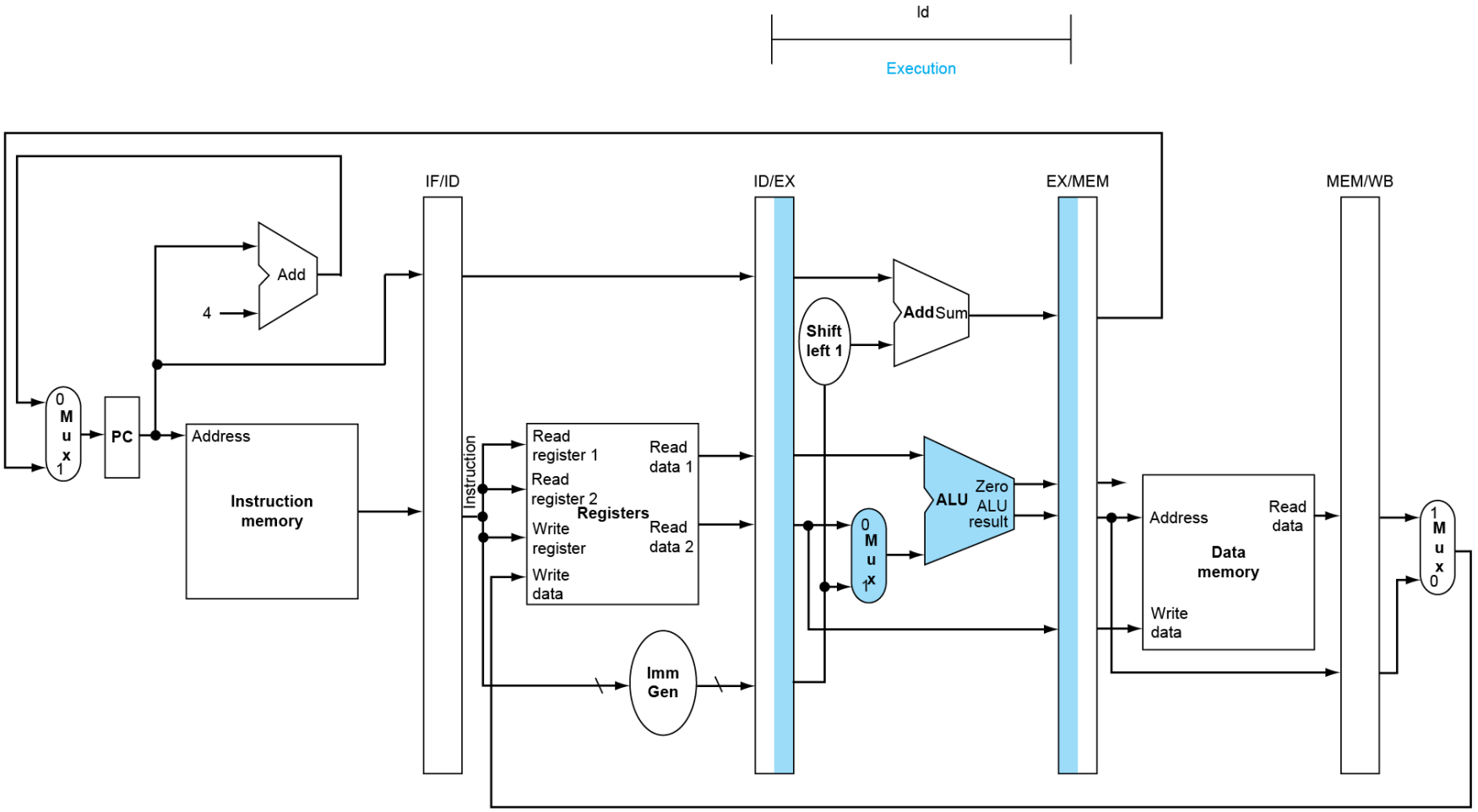
IF for Load, Store, …



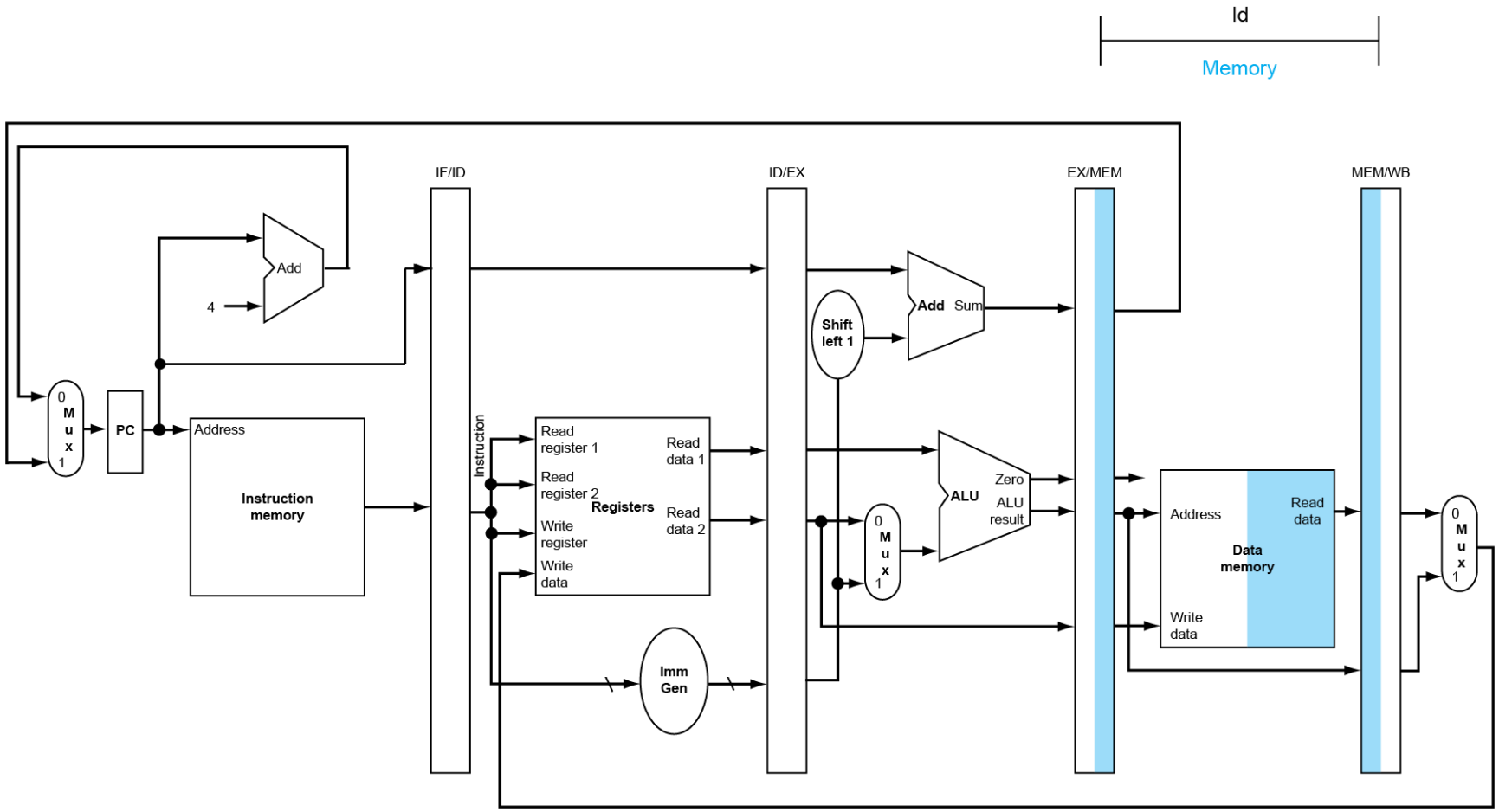
ID for Load, Store, …



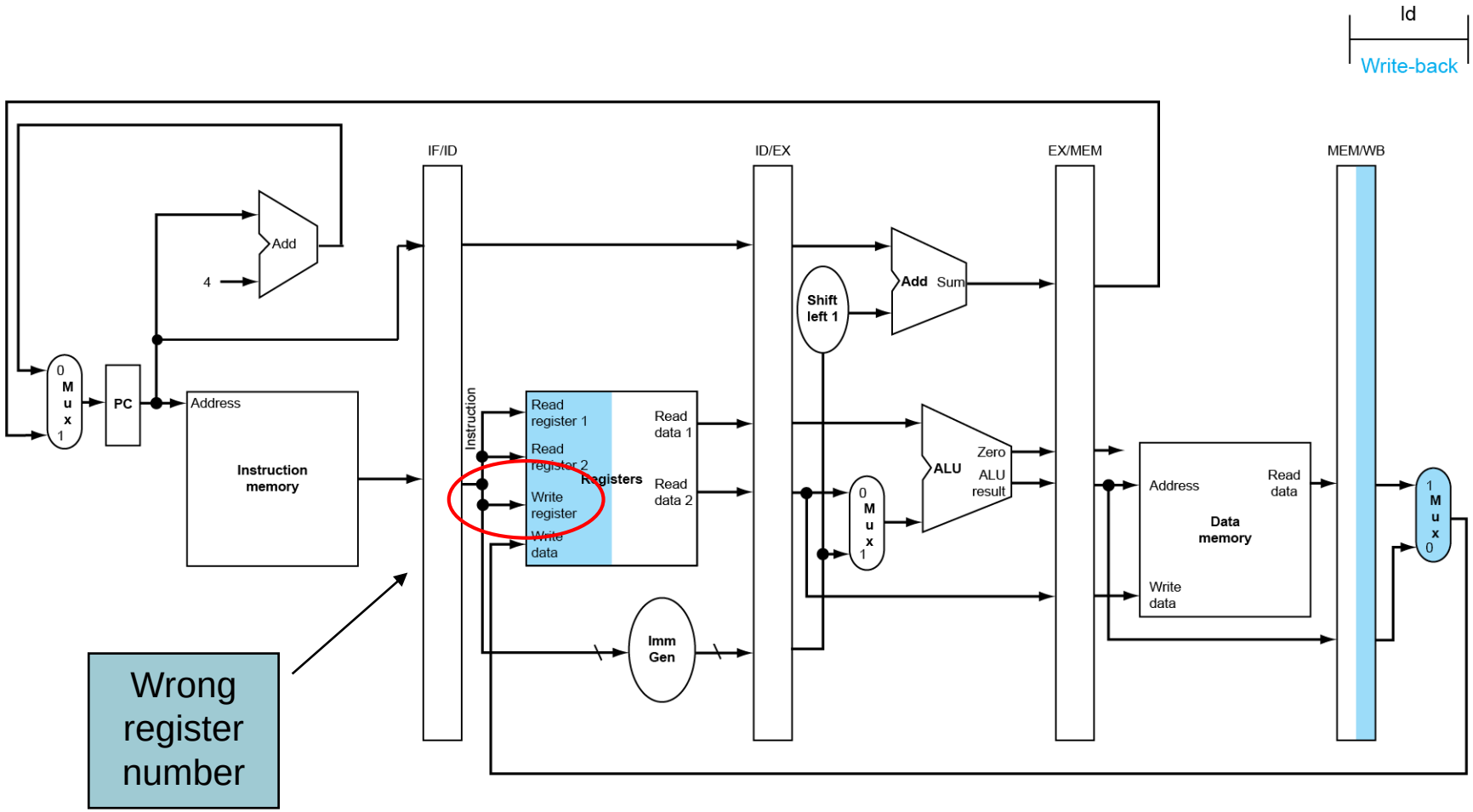
EX for Load


ld
Execution
IF/ID
ID/EX
EX/MEM
MEM/WB
Add
4
M u x
0
1
PC
Address
Instruction memory
Instruction
Read register 1
Read register 2
Registers
Write register
Write data
Read data 1
Read data 2
Shift left 1
Add
Sum
ALU
Zero
ALU result
Address
Read data
Data memory
Write data
Imm Gen

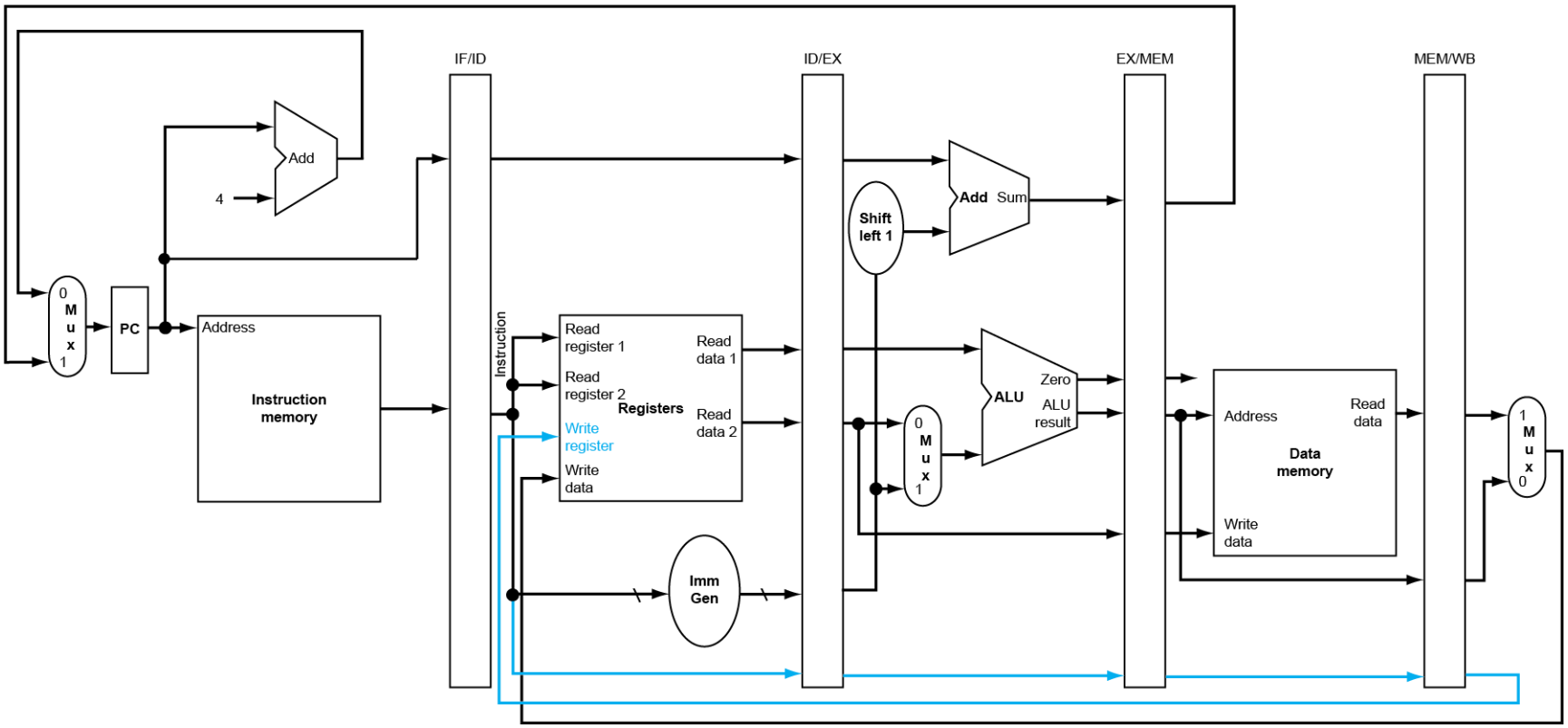
MEM for Load


ld
Memory
IF/ID
ID/EX
EX/MEM
MEM/WB
Add
4
M u x
0
1
PC
Address
Instruction memory
Instruction
Read register 1
Read register 2
Registers
Write register
Write data
Read data 1
Read data 2
Imm Gen
Shift left 1
Add
Sum
ALU
Zero
ALU result
Address
Data memory
Read data
Write data

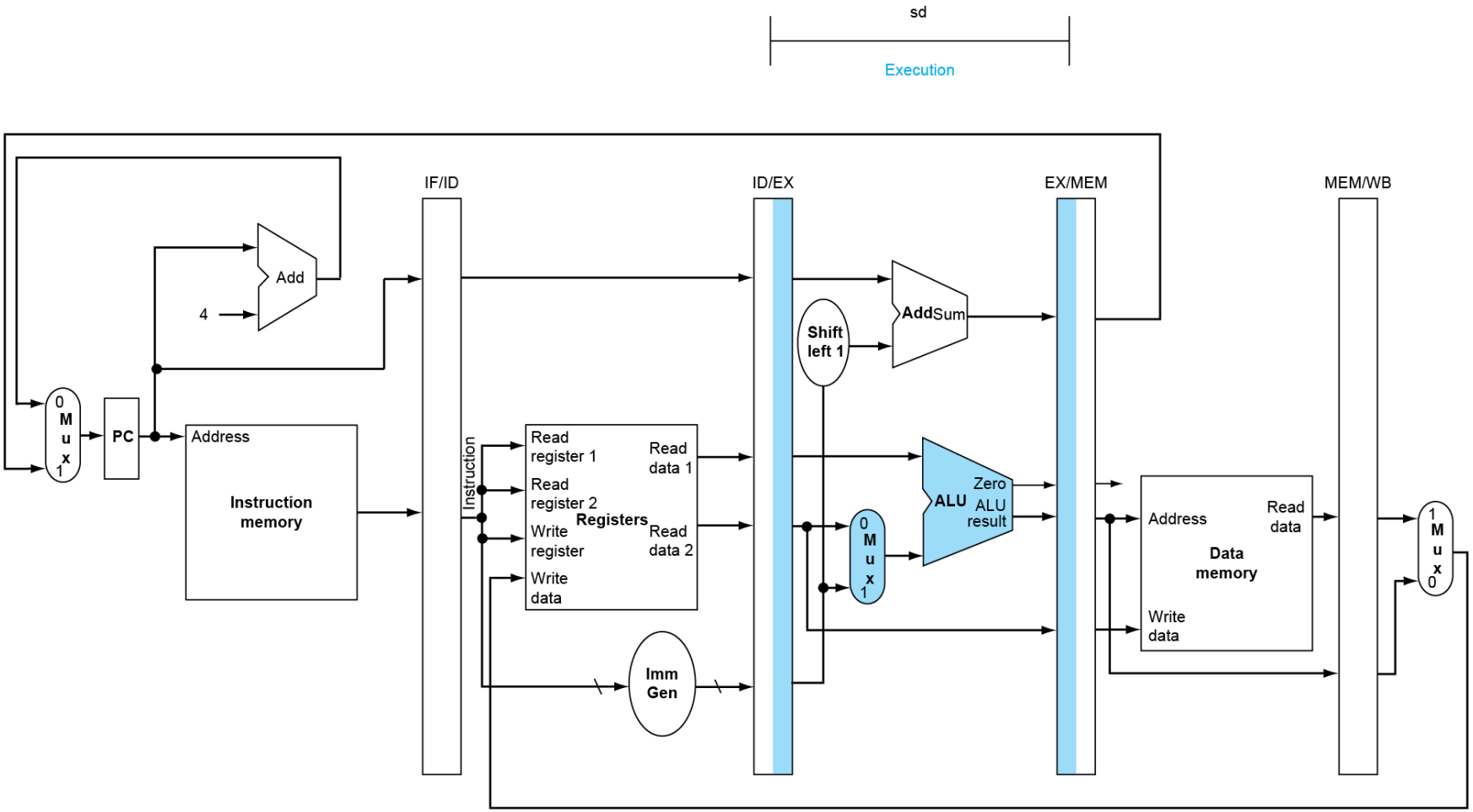
WB for Load


ld
Write-back
IF/ID
ID/EX
EX/MEM
MEM/WB
Add
4
M
u
x
0
1
PC
Address
Instruction memory
Instruction
Read register 1
Read register 2
Registers
Write register
Write data
Read data 1
Read data 2
Shift left 1
Add
Sum
ALU
Zero
ALU result
Imm Gen
Address
Read data
Data memory
Write data
Wrong register number

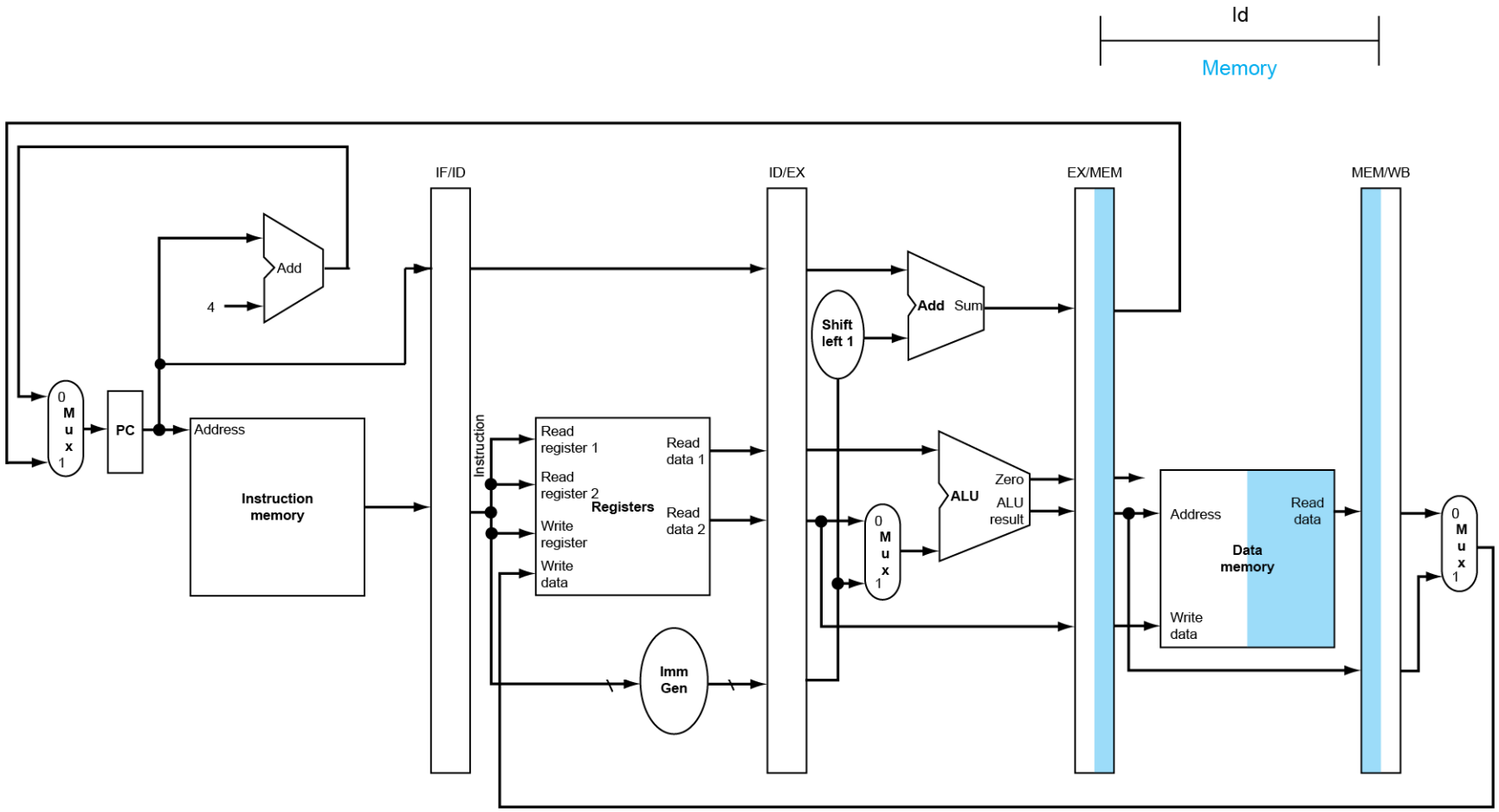
Corrected Datapath for Load



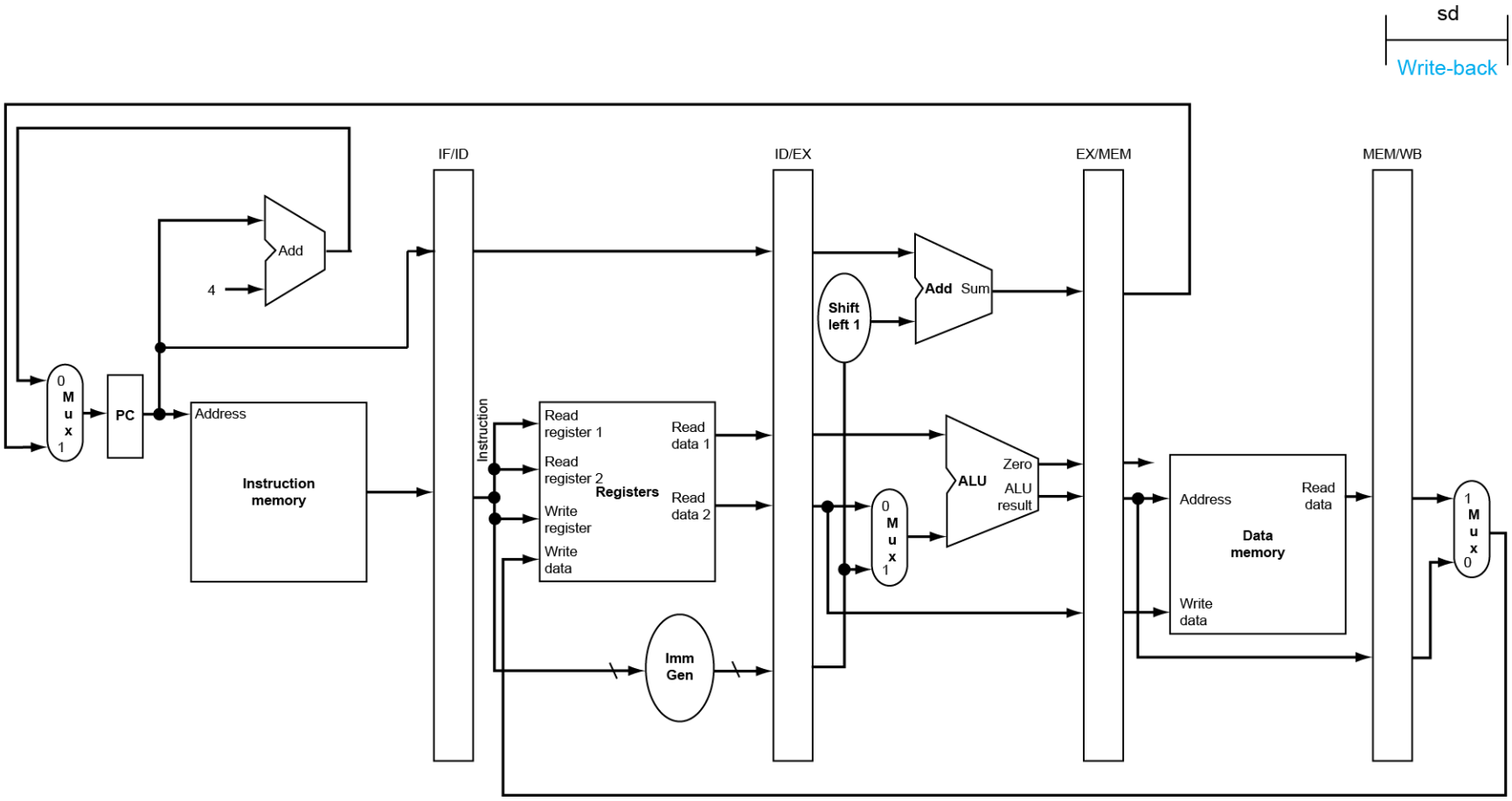
EX for Store


sd
Execution
IF/ID
ID/EX
EX/MEM
MEM/WB
Add
4
0
M
u
x
1
PC
Address
Instruction memory
Instruction
Read register 1
Read register 2
Registers
Write register
Write data
Read data 1
Read data 2
Imm Gen
Shift left 1
AddSum
ALU
Zero
ALU result
Address
Read data
Data memory
Write data
1
M
u
x
0

MEM for Store

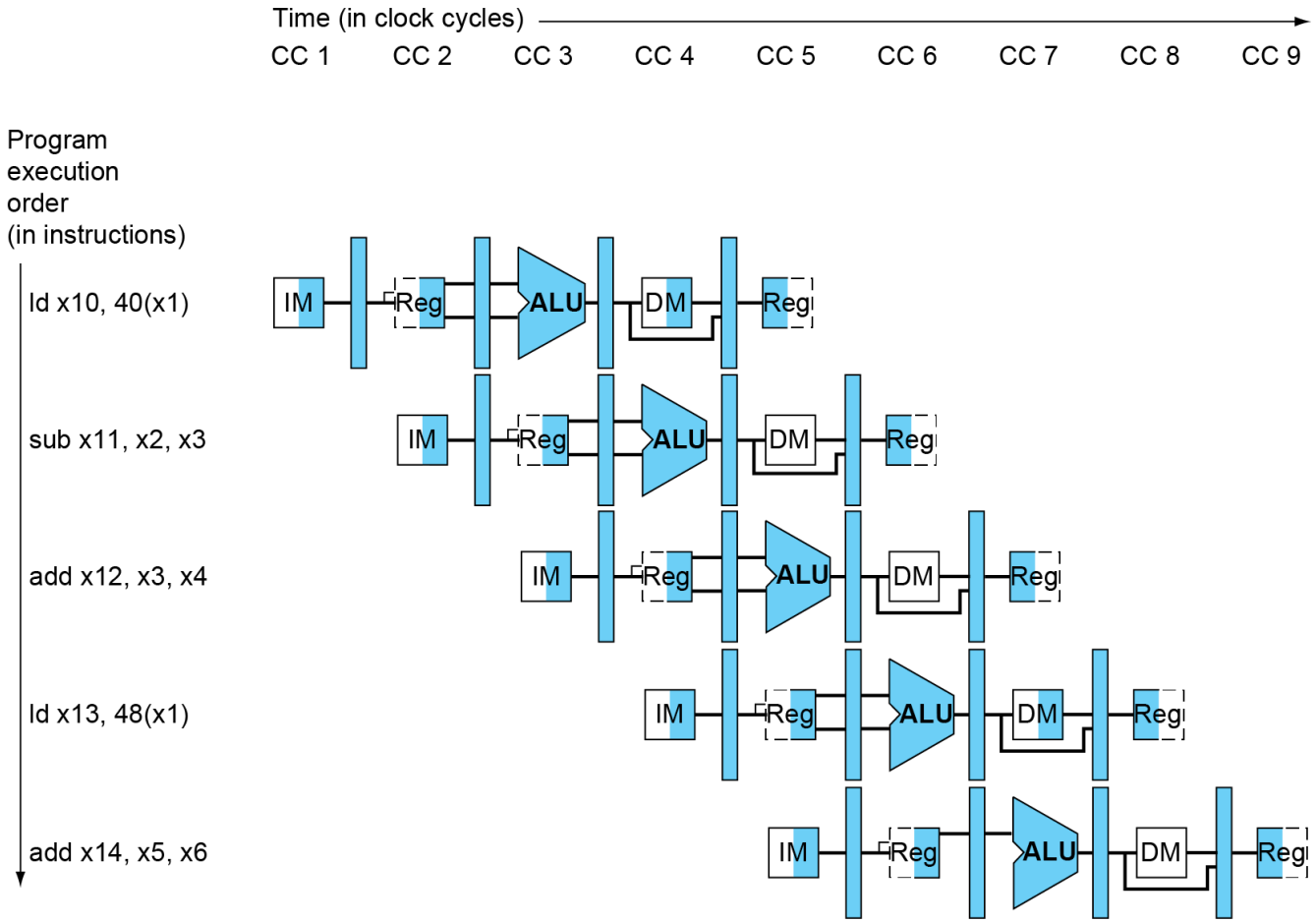


WB for Store


sd
Write-back
IF/ID
ID/EX
EX/MEM
MEM/WB
Add
4
M
u
x
0
1
PC
Address
Instruction memory
Instruction
Read register 1
Read register 2
Registers
Write register
Write data
Read data 1
Read data 2
Imm Gen
Shift left 1
Add
Sum
ALU
Zero
ALU result
Address
Read data
Data memory
Write data

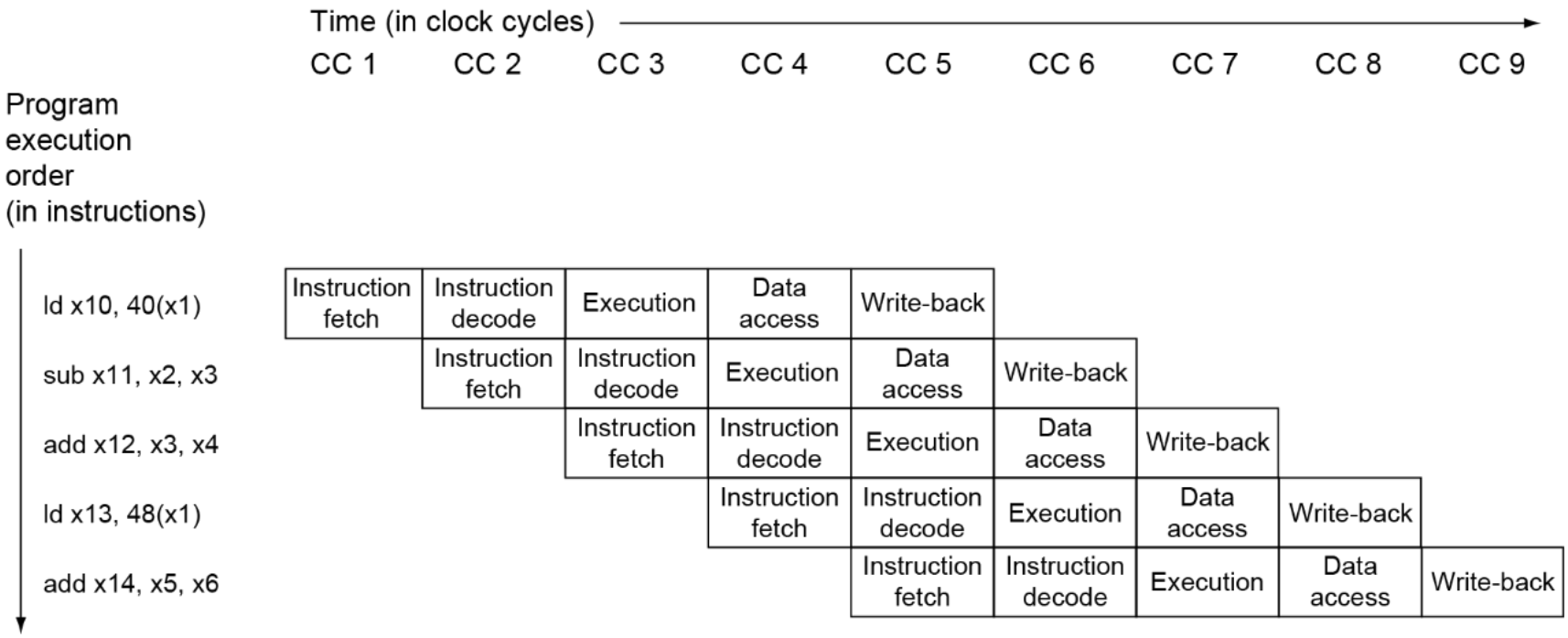
Multi-Cycle Pipeline Diagram

- Form showing resource usage



Multi-Cycle Pipeline Diagram

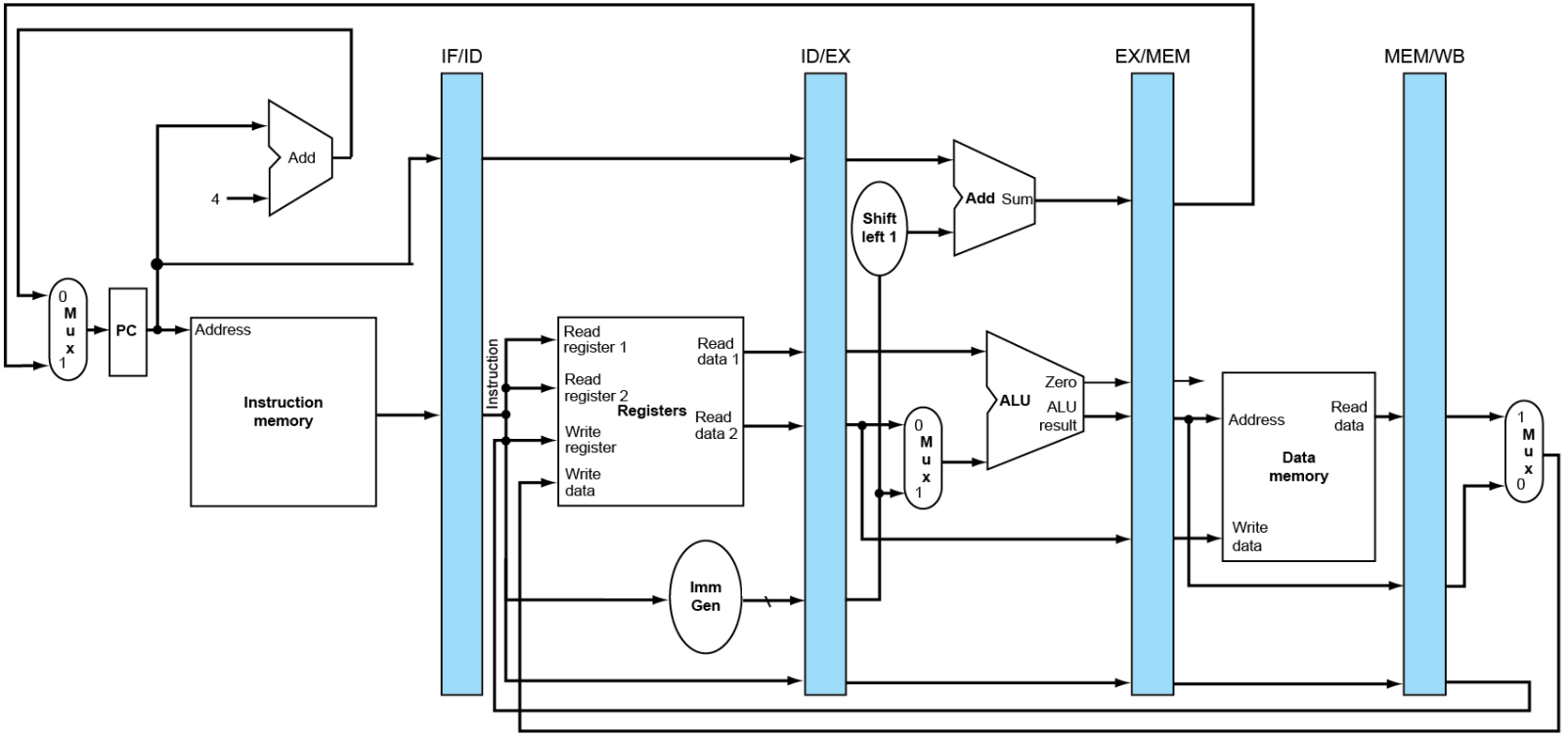
- Traditional form



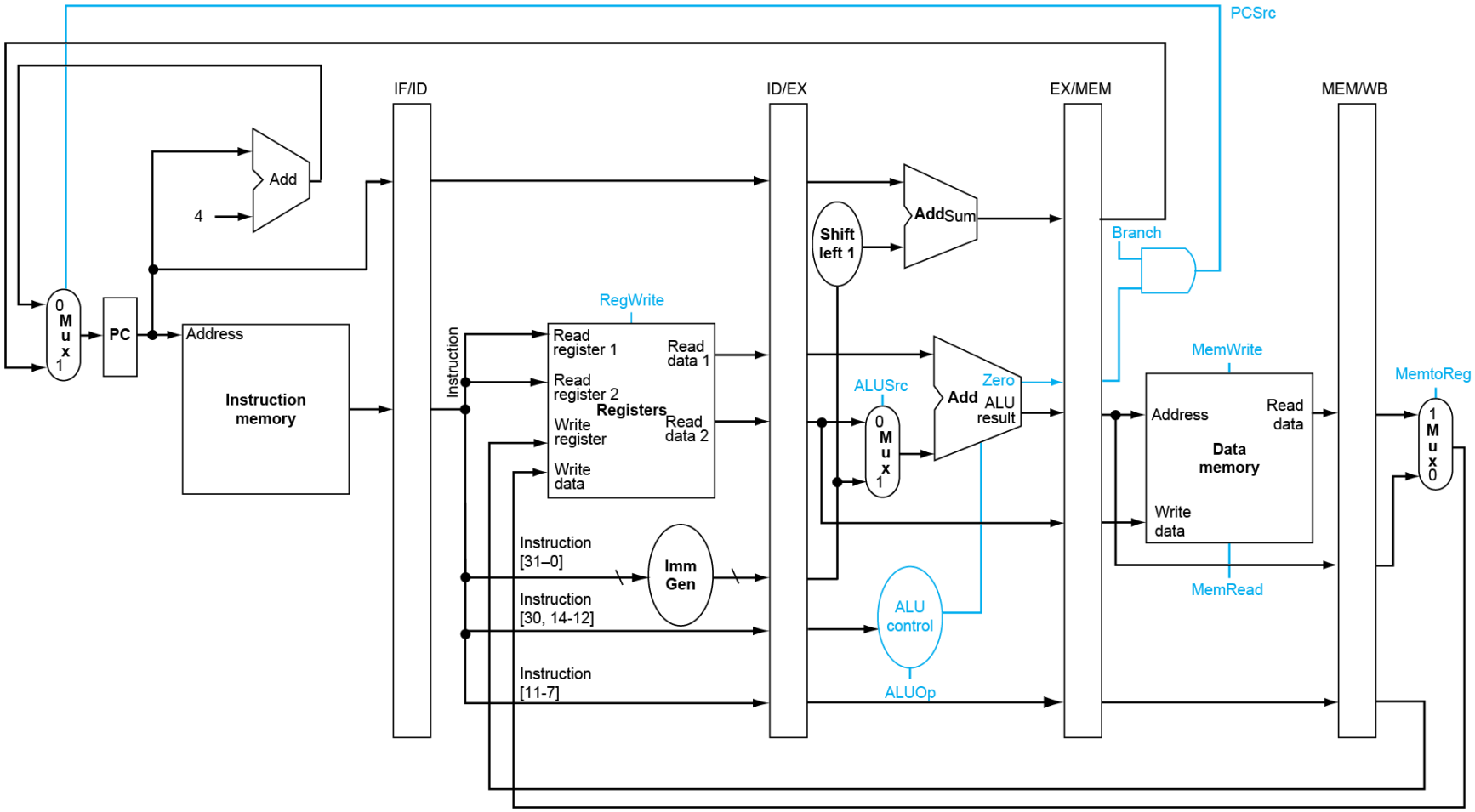
Single-Cycle Pipeline Diagram

- State of pipeline in a given cycle

add x14, x5, x6	ld x13, 48(x1)	add x12, x3, x4	sub x11, x2, x3	ld x10, 40(x1)
Instruction fetch	Instruction decode	Execution	Memory	Write-back

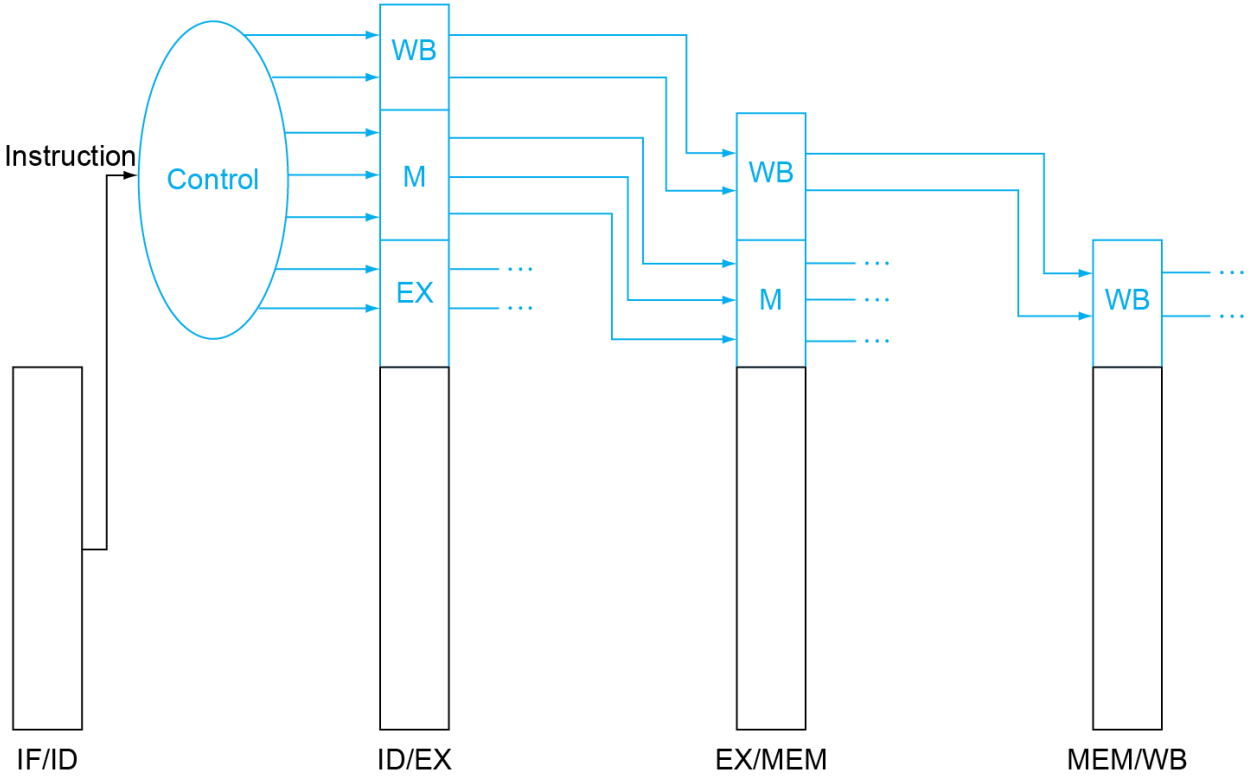


Pipelined Control (Simplified)

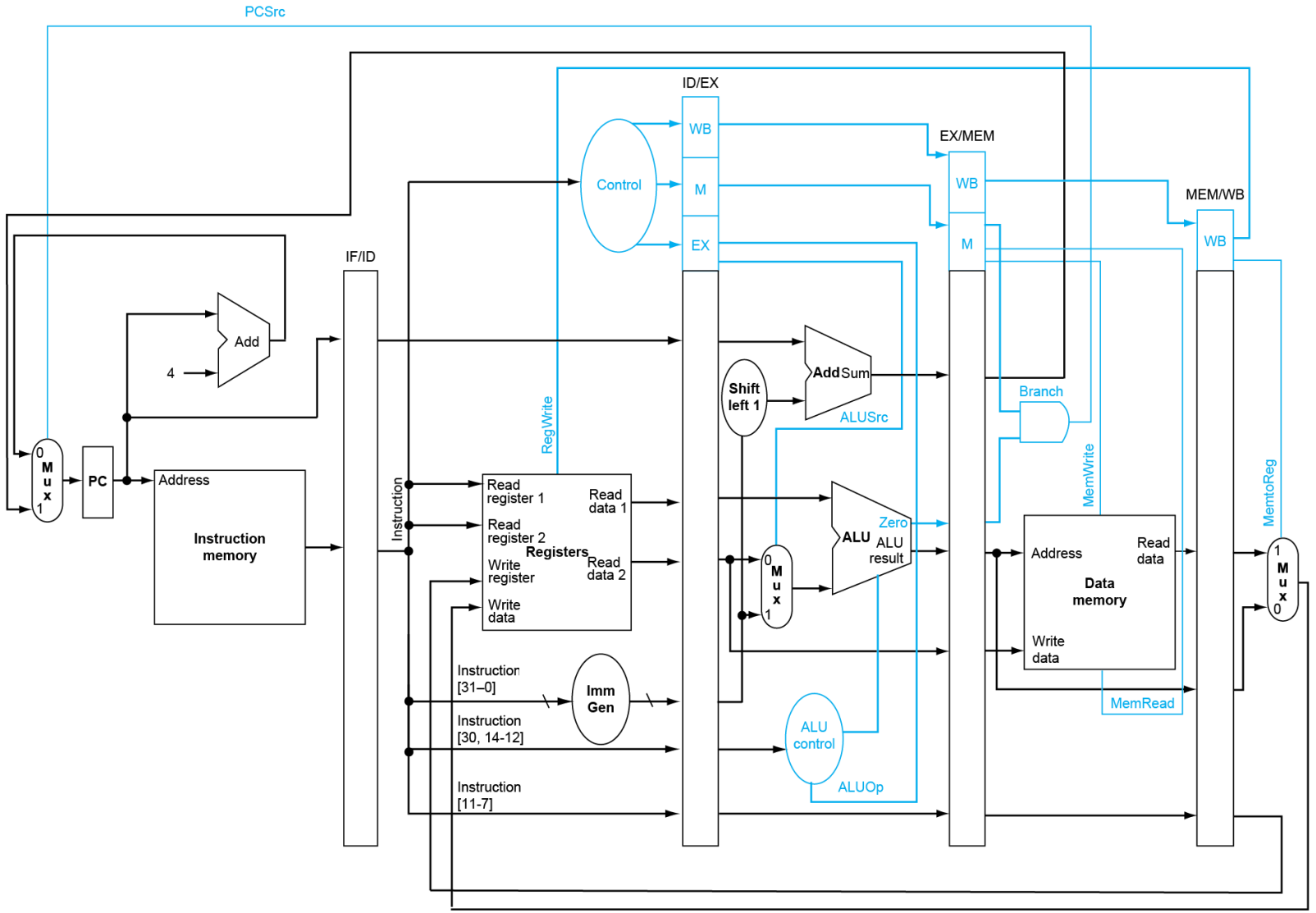


Pipelined Control

- Control signals derived from instruction
  - As in single-cycle implementation



Pipelined Control



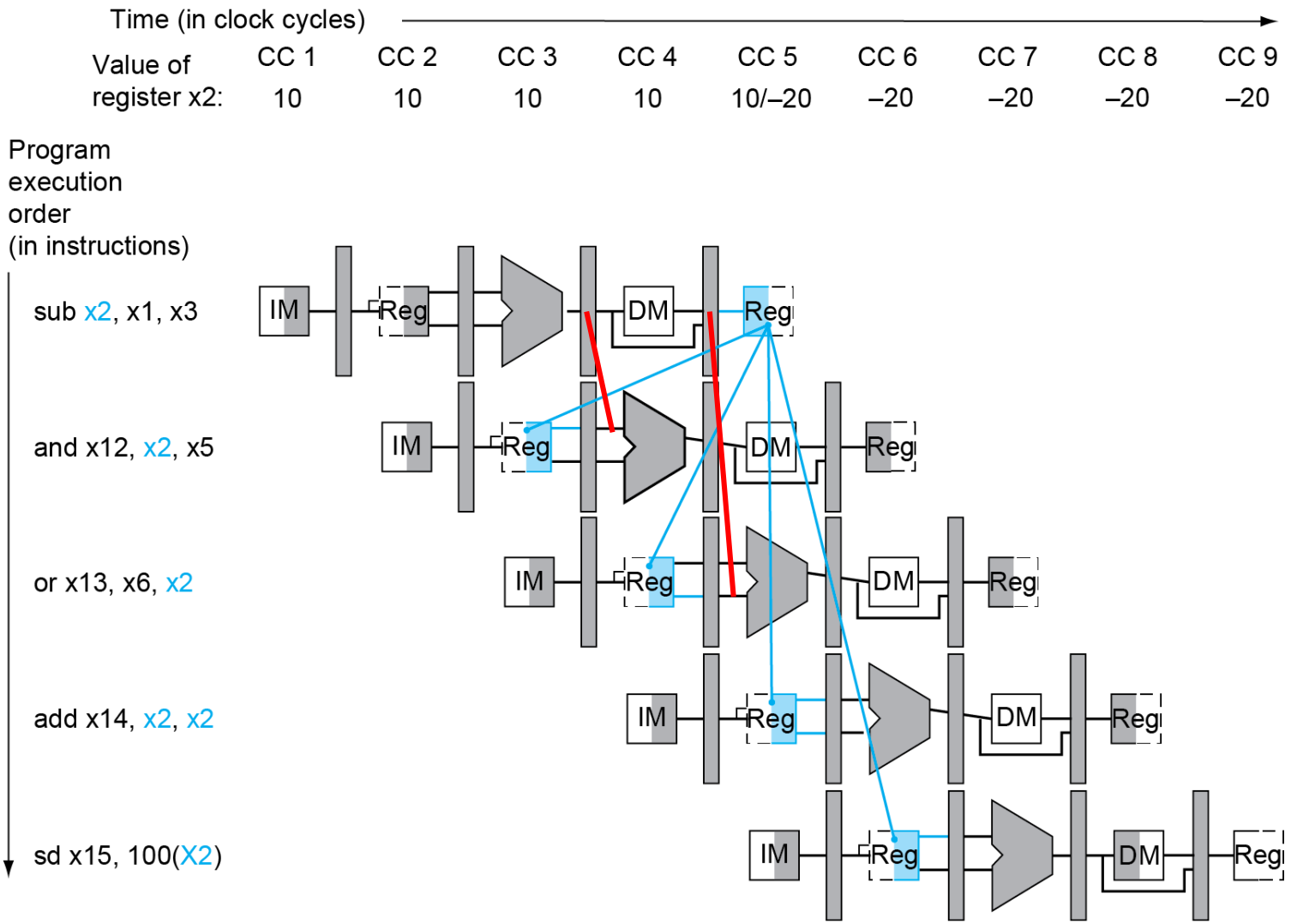
Data Hazards in ALU Instructions

- Consider this sequence:

```
sub  x2, x1,x3
and  x12,x2,x5
or   x13,x6,x2
add  x14,x2,x2
sw   x15,100(x2)
```

- We can resolve hazards with forwarding
  - How do we detect when to forward?

Dependencies & Forwarding



Detecting the Need to Forward

- Pass register numbers along pipeline
  - e.g., ID/EX.RegisterRs1 = register number for Rs1 sitting in ID/EX pipeline register
- ALU operand register numbers in EX stage are given by
  - ID/EX.RegisterRs1, ID/EX.RegisterRs2
- Data hazards when
  1a. EX/MEM.RegisterRd = ID/EX.RegisterRs1
  1b. EX/MEM.RegisterRd = ID/EX.RegisterRs2
  2a. MEM/WB.RegisterRd = ID/EX.RegisterRs1
  2b. MEM/WB.RegisterRd = ID/EX.RegisterRs2

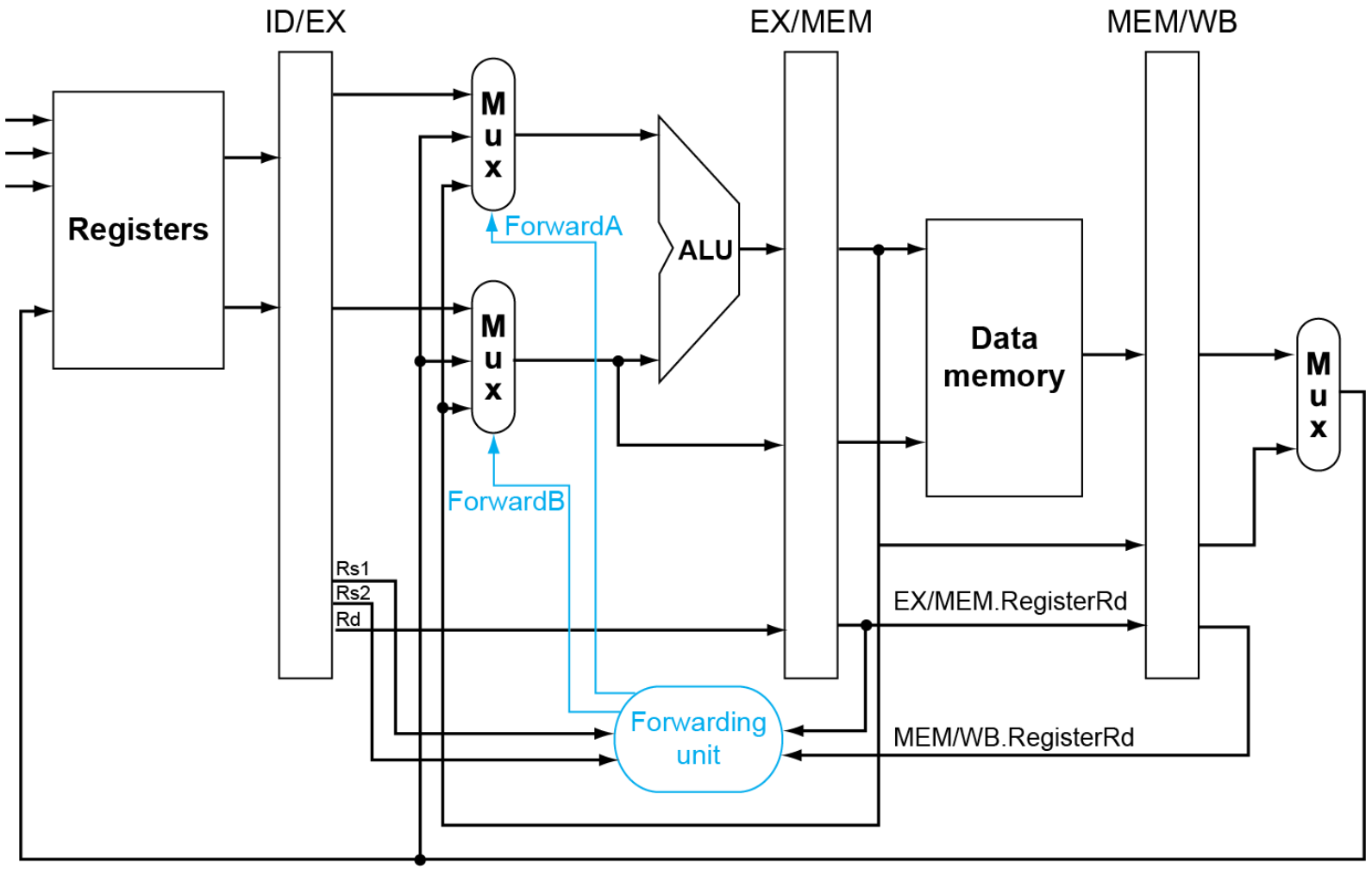
Fwd from EX/MEM pipeline reg

Fwd from MEM/WB pipeline reg

Detecting the Need to Forward

- But only if forwarding instruction will write to a register!
  - EX/MEM.RegWrite, MEM/WB.RegWrite
- And only if Rd for that instruction is not x0
  - EX/MEM.RegisterRd ≠ 0, MEM/WB.RegisterRd ≠ 0

Forwarding Paths



Forwarding Conditions

Mux control		Source	Explanation
ForwardA	00	ID/EX	The first ALU operand comes from the register file.
	10	EX/MEM	The first ALU operand is forwarded from the prior ALU result.
	01	MEM/WB	The first ALU operand is forwarded from data memory or an earlier ALU result.
ForwardB	00	ID/EX	The second ALU operand comes from the register file.
	10	EX/MEM	The second ALU operand is forwarded from the prior ALU result.
	01	MEM/WB	The second ALU operand is forwarded from data memory or an earlier ALU result.

Double Data Hazard

- Consider the sequence:

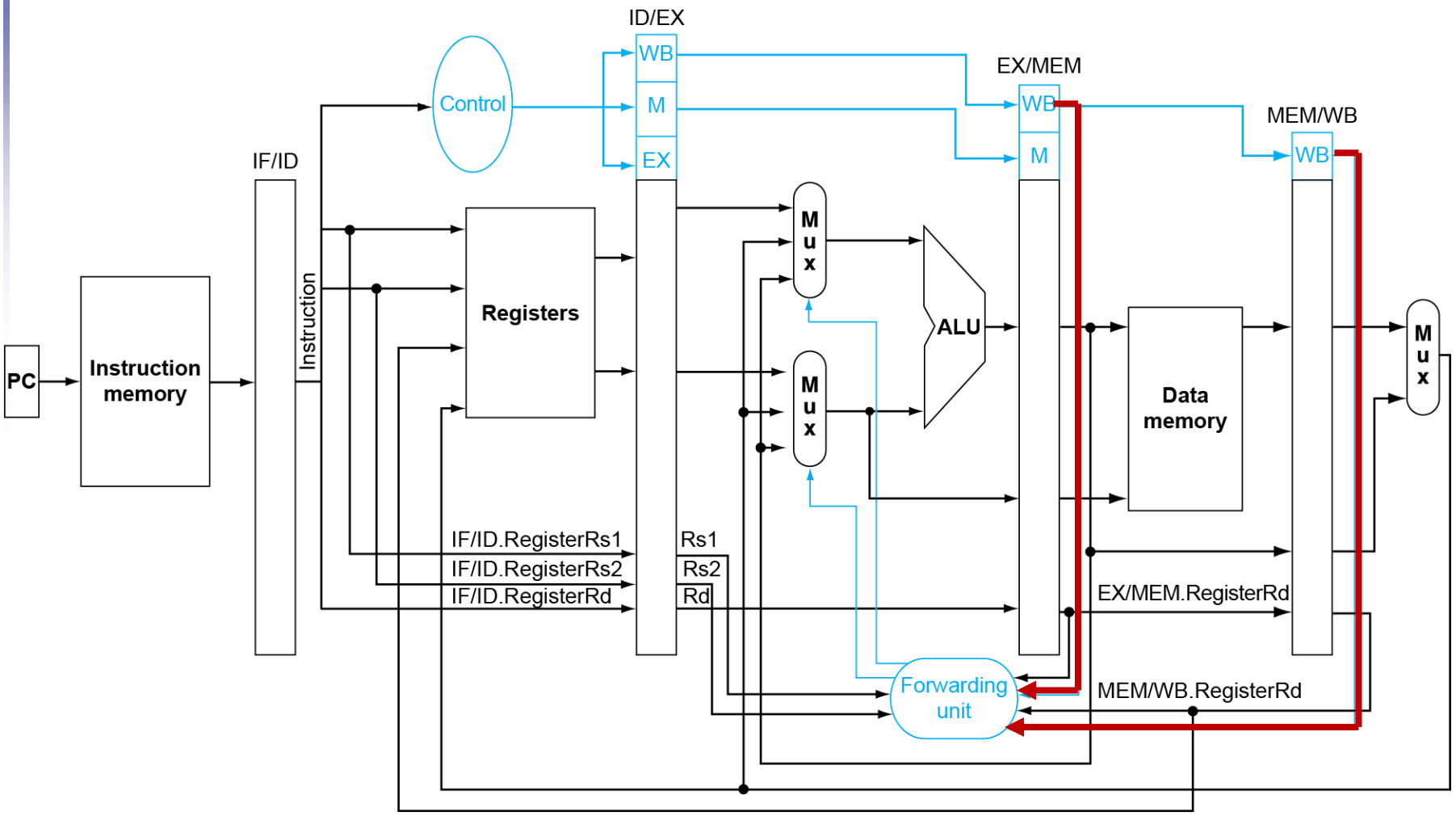
```
add x1,x1,x2
add x1,x1,x3
add x1,x1,x4
```

- Both hazards occur
  - Want to use the most recent
- Revise MEM hazard condition
  - Only fwd if EX hazard condition isn't true

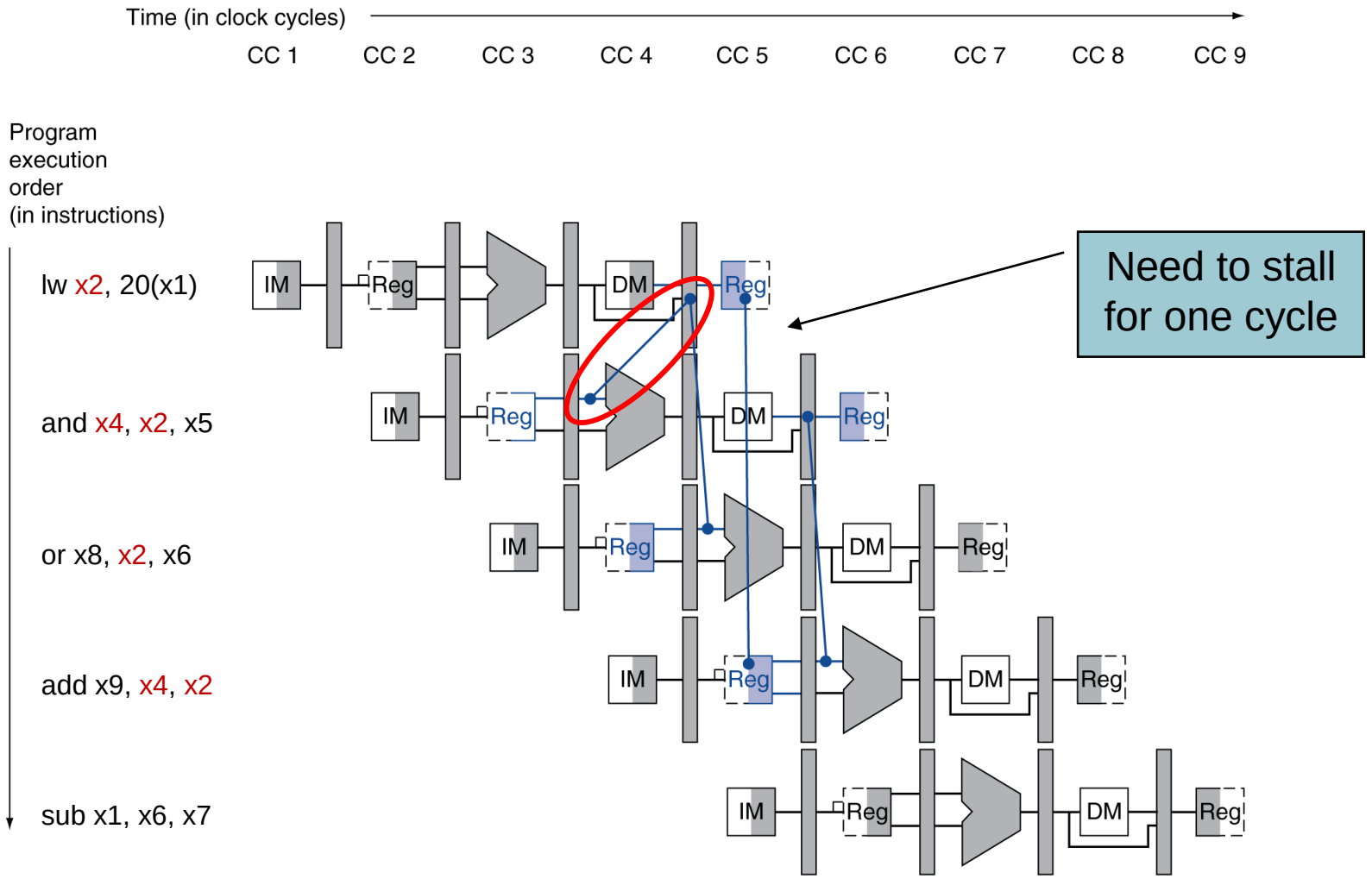
Revised Forwarding Condition

- MEM hazard
  - if (MEM/WB.RegWrite
    and (MEM/WB.RegisterRd ≠ 0)
    and not(EX/MEM.RegWrite and (EX/MEM.RegisterRd ≠ 0)
    and (EX/MEM.RegisterRd = ID/EX.RegisterRs1))
    and (MEM/WB.RegisterRd = ID/EX.RegisterRs1)) ForwardA = 01
  - if (MEM/WB.RegWrite
    and (MEM/WB.RegisterRd ≠ 0)
    and not(EX/MEM.RegWrite and (EX/MEM.RegisterRd ≠ 0)
    and (EX/MEM.RegisterRd = ID/EX.RegisterRs2))
    and (MEM/WB.RegisterRd = ID/EX.RegisterRs2)) ForwardB = 01

Datapath with Forwarding



Load-Use Data Hazard



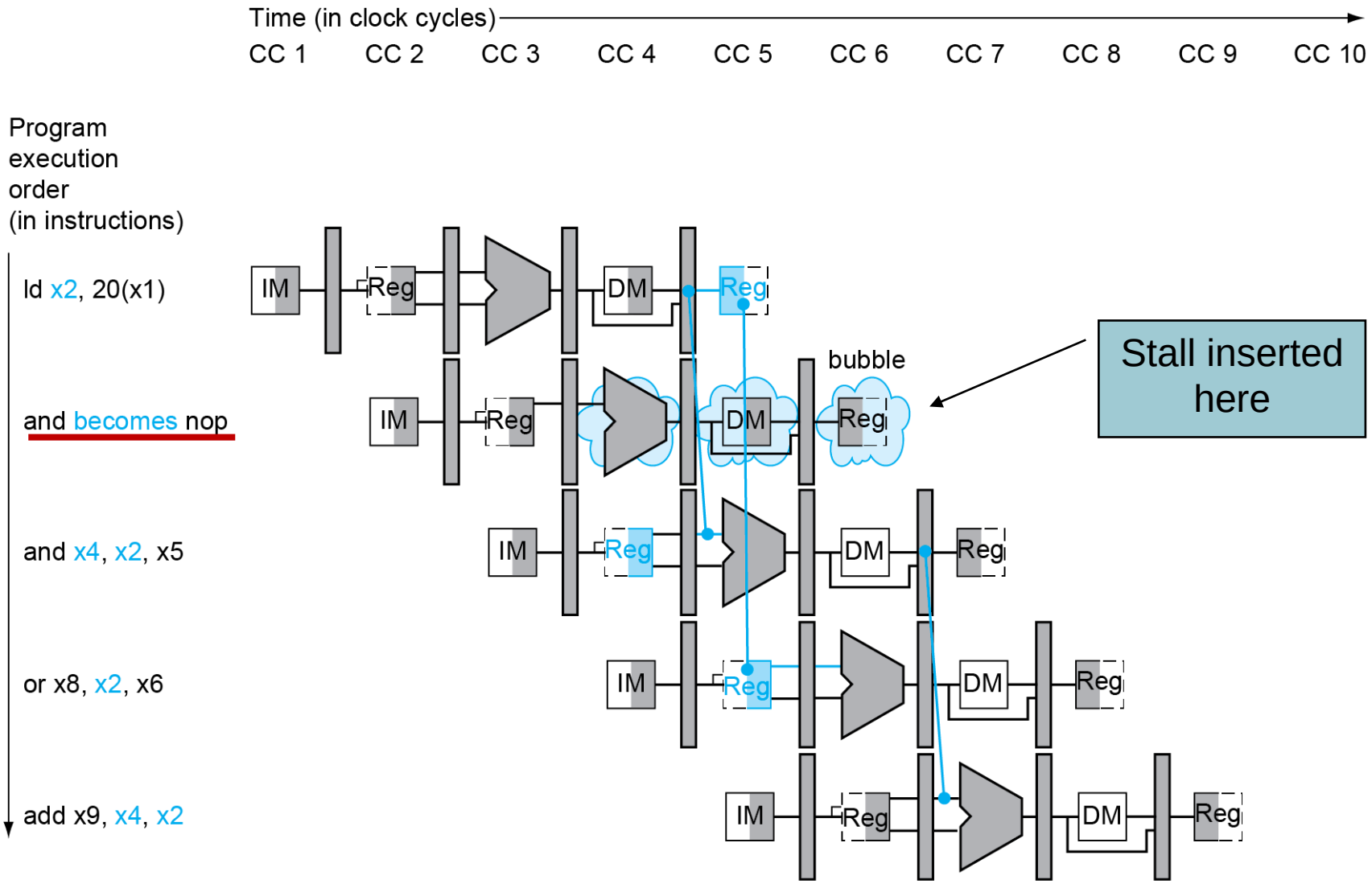
Load-Use Hazard Detection

- Check when using instruction is decoded in ID stage
- ALU operand register numbers in ID stage are given by
  - IF/ID.RegisterRs1, IF/ID.RegisterRs2
- Load-use hazard when
  - ID/EX.MemRead and
    ((ID/EX.RegisterRd = IF/ID.RegisterRs1) or
    (ID/EX.RegisterRd = IF/ID.RegisterRs2))
- If detected, stall and insert bubble

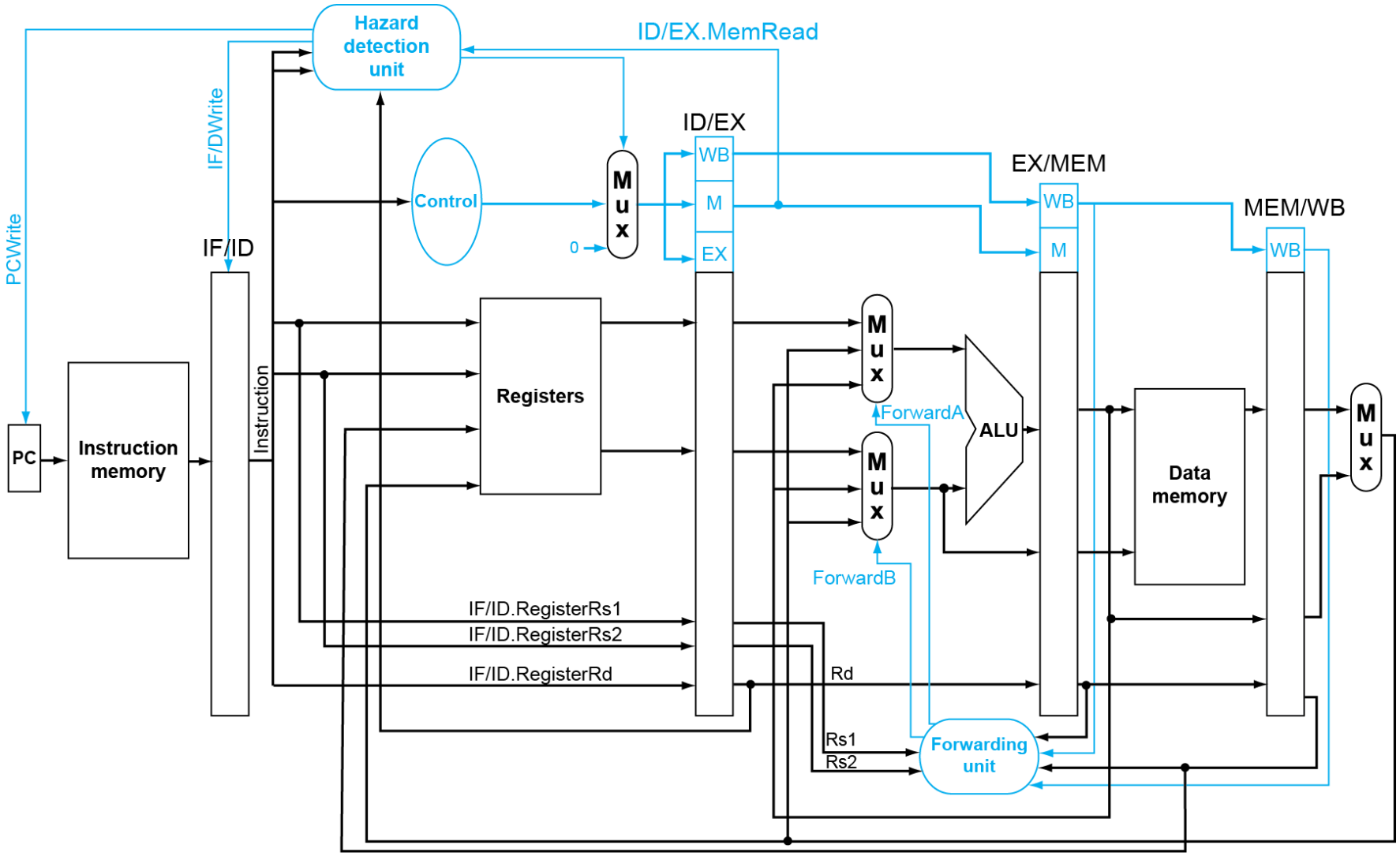
How to Stall the Pipeline

- Force control values in ID/EX register to 0
  - EX, MEM and WB do nop (no-operation)
- Prevent update of PC and IF/ID register
  - Using instruction is decoded again
  - Following instruction is fetched again
  - 1-cycle stall allows MEM to read data for lw
    - Can subsequently forward to EX stage

Load-Use Data Hazard



Datapath with Hazard Detection



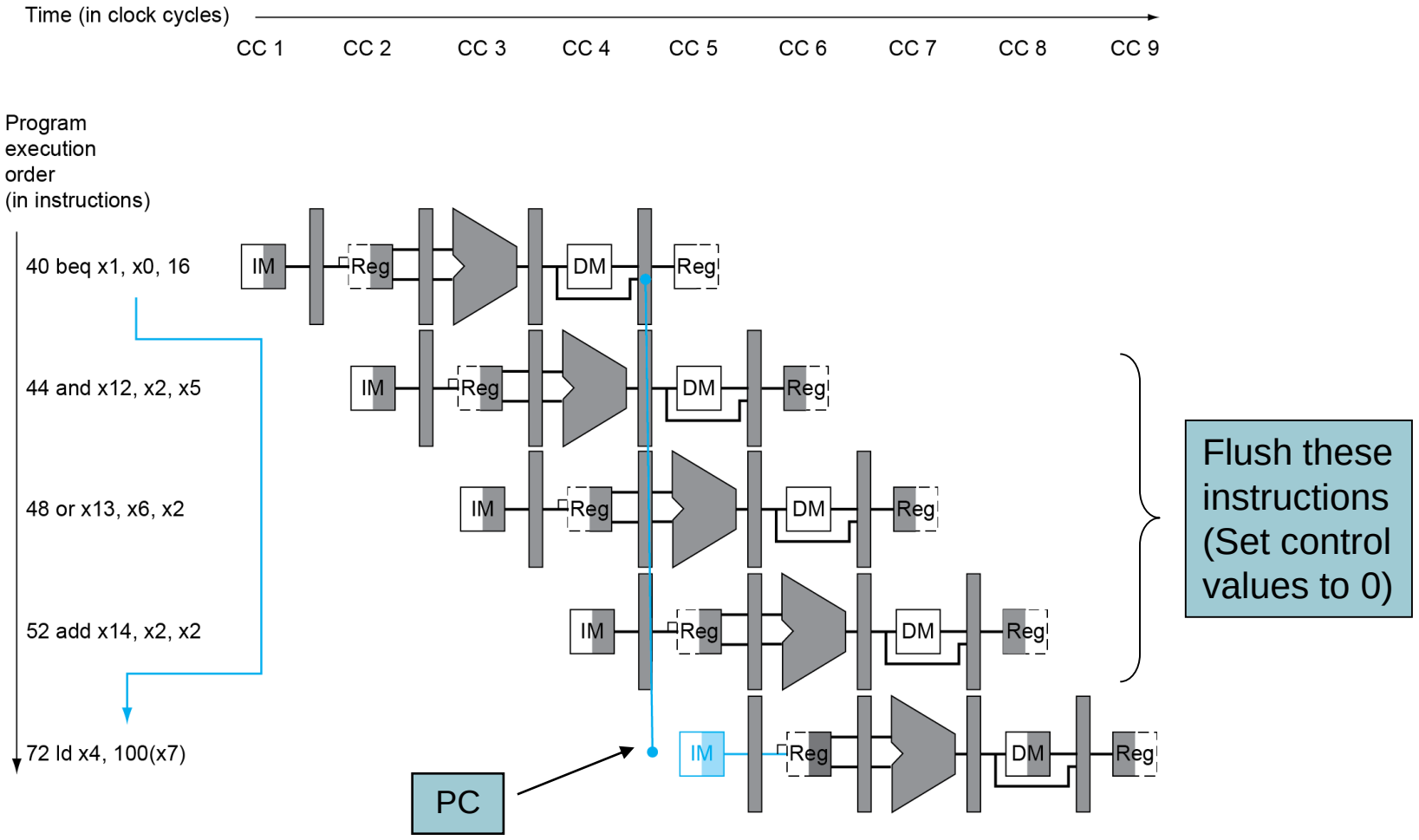
Stalls and Performance

The BIG Picture

- Stalls reduce performance
  - But are required to get correct results
- Compiler can arrange code to avoid hazards and stalls
  - Requires knowledge of the pipeline structure

Branch Hazards

- If branch outcome determined in MEM

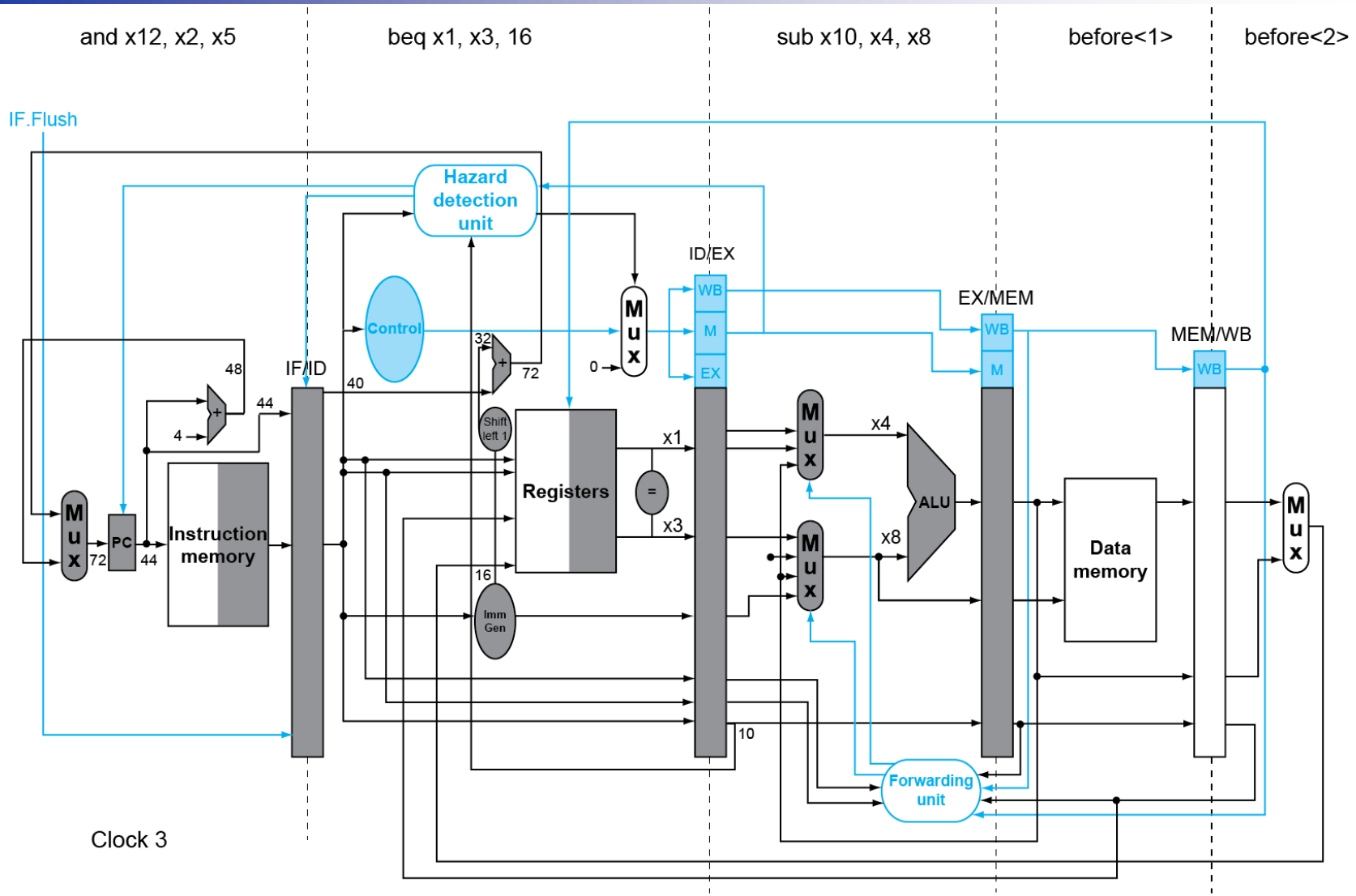


Reducing Branch Delay

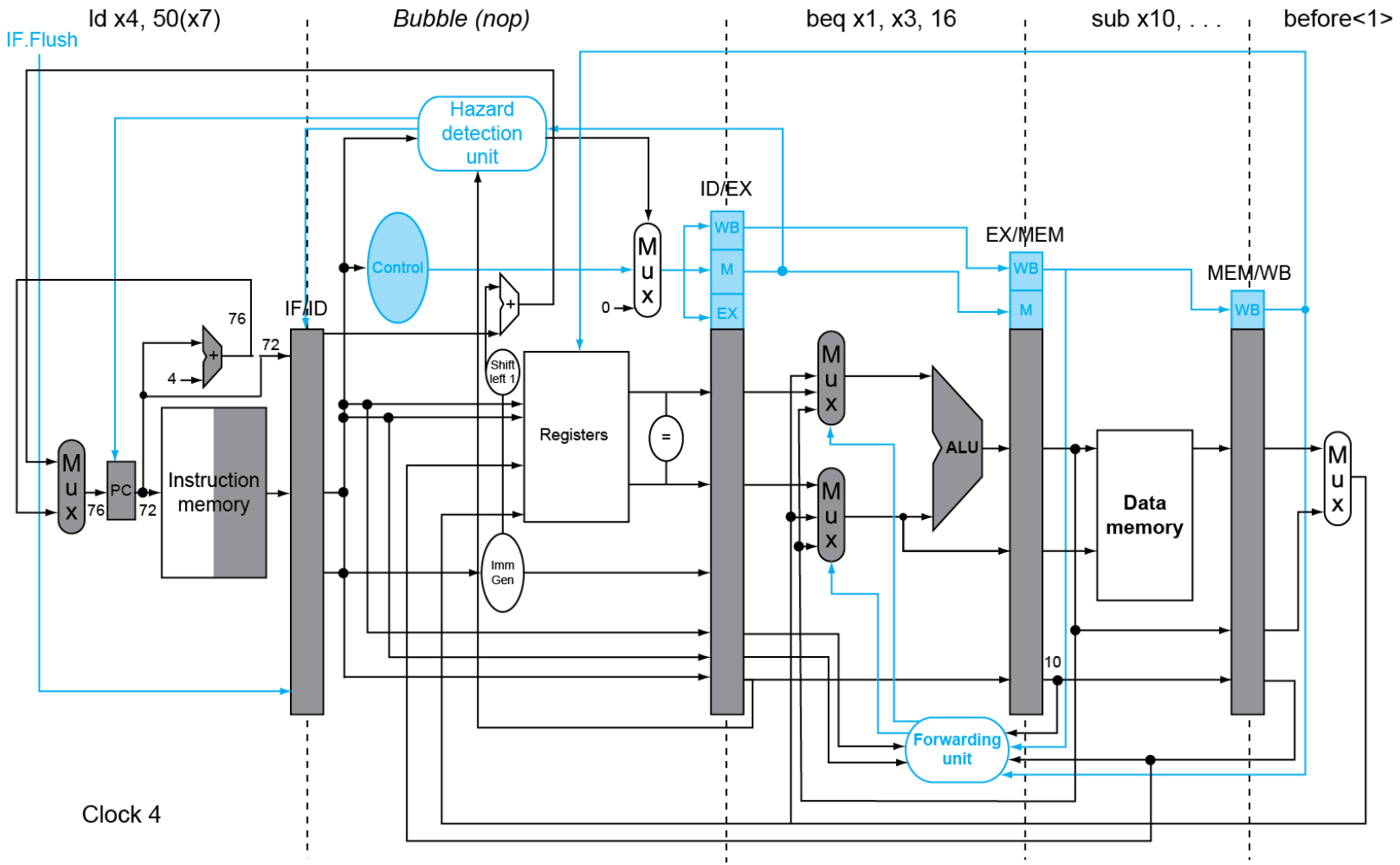
- Move hardware to determine outcome to ID stage
  - Target address adder
  - Register comparator
- Example: branch taken

```
36:   sub   x10, x4, x8
40:   beq   x1,  x3, 16   // PC-relative branch
                          // to 40+16*2=72
44:   and   x12, x2, x5
48:   or    x13, x2, x6
52:   add   x14, x4, x2
56:   sub   x15, x6, x7
      ...
72:   lw    x4, 50(x7)
```

Example: Branch Taken



Example: Branch Taken

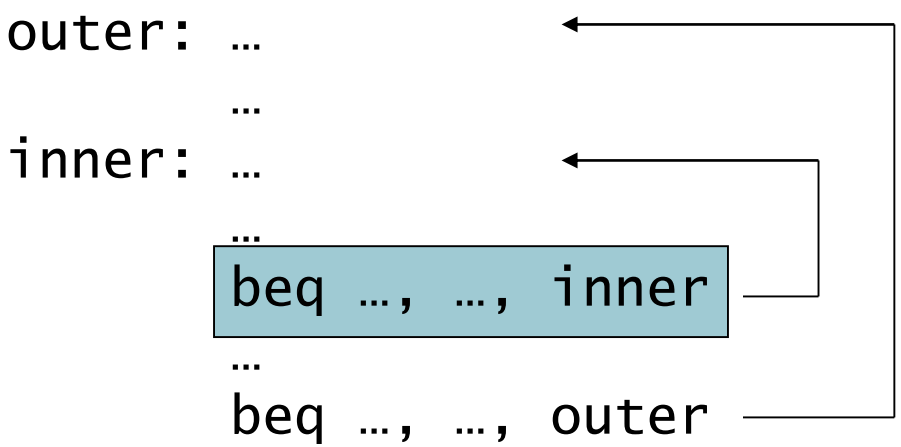


Dynamic Branch Prediction

- In deeper and superscalar pipelines, branch penalty is more significant
- Use dynamic prediction
  - Branch prediction buffer (aka branch history table)
  - Indexed by recent branch instruction addresses
  - Stores outcome (taken/not taken)
  - To execute a branch
    - Check table, expect the same outcome
    - Start fetching from fall-through or target
    - If wrong, flush pipeline and flip prediction

1-Bit Predictor: Shortcoming

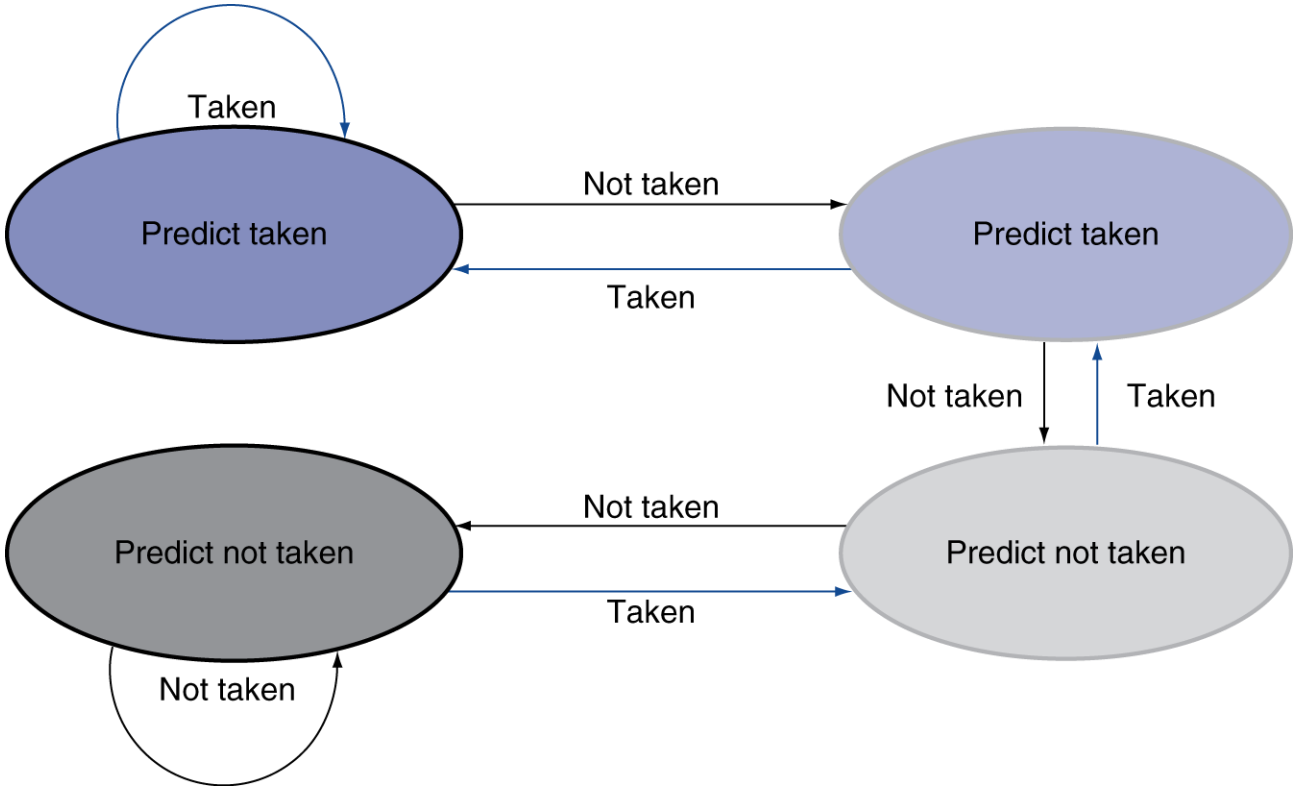
- Inner loop branches mispredicted twice!



  - Mispredict as taken on last iteration of inner loop
  - Then mispredict as not taken on first iteration of inner loop next time around

2-Bit Predictor

- Only change prediction on two successive mispredictions



Calculating the Branch Target

- Even with predictor, still need to calculate the target address
  - 1-cycle penalty for a taken branch
- Branch target buffer
  - Cache of target addresses
  - Indexed by PC when instruction fetched
    - If hit and instruction is branch predicted taken, can fetch target immediately

Exceptions and Interrupts

- “Unexpected” events requiring change in flow of control
  - Different ISAs use the terms differently
- Exception
  - Arises within the CPU
    - e.g., undefined opcode, syscall, …
- Interrupt
  - From an external I/O controller
- Dealing with them without sacrificing performance is hard

Handling Exceptions

- Save PC of offending (or interrupted) instruction
  - In RISC-V: Supervisor Exception Program Counter (SEPC)
- Save indication of the problem
  - In RISC-V: Supervisor Exception Cause Register (SCAUSE)
  - 64 bits, but most bits unused
    - Exception code field: 2 for undefined opcode, 12 for hardware malfunction, …
- Jump to handler
  - Assume at 0000 0000 1C09 0000_{hex}

An Alternate Mechanism

- Vectored Interrupts
  - Handler address determined by the cause
- Exception vector address to be added to a vector table base register:
  - Undefined opcode $00\ 0100\ 0000_{two}$
  - Hardware malfunction: $01\ 1000\ 0000_{two}$
  - …: …
- Instructions either
  - Deal with the interrupt, or
  - Jump to real handler

Handler Actions

- Read cause, and transfer to relevant handler
- Determine action required
- If restartable
  - Take corrective action
  - use SEPC to return to program
- Otherwise
  - Terminate program
  - Report error using SEPC, SCAUSE, …

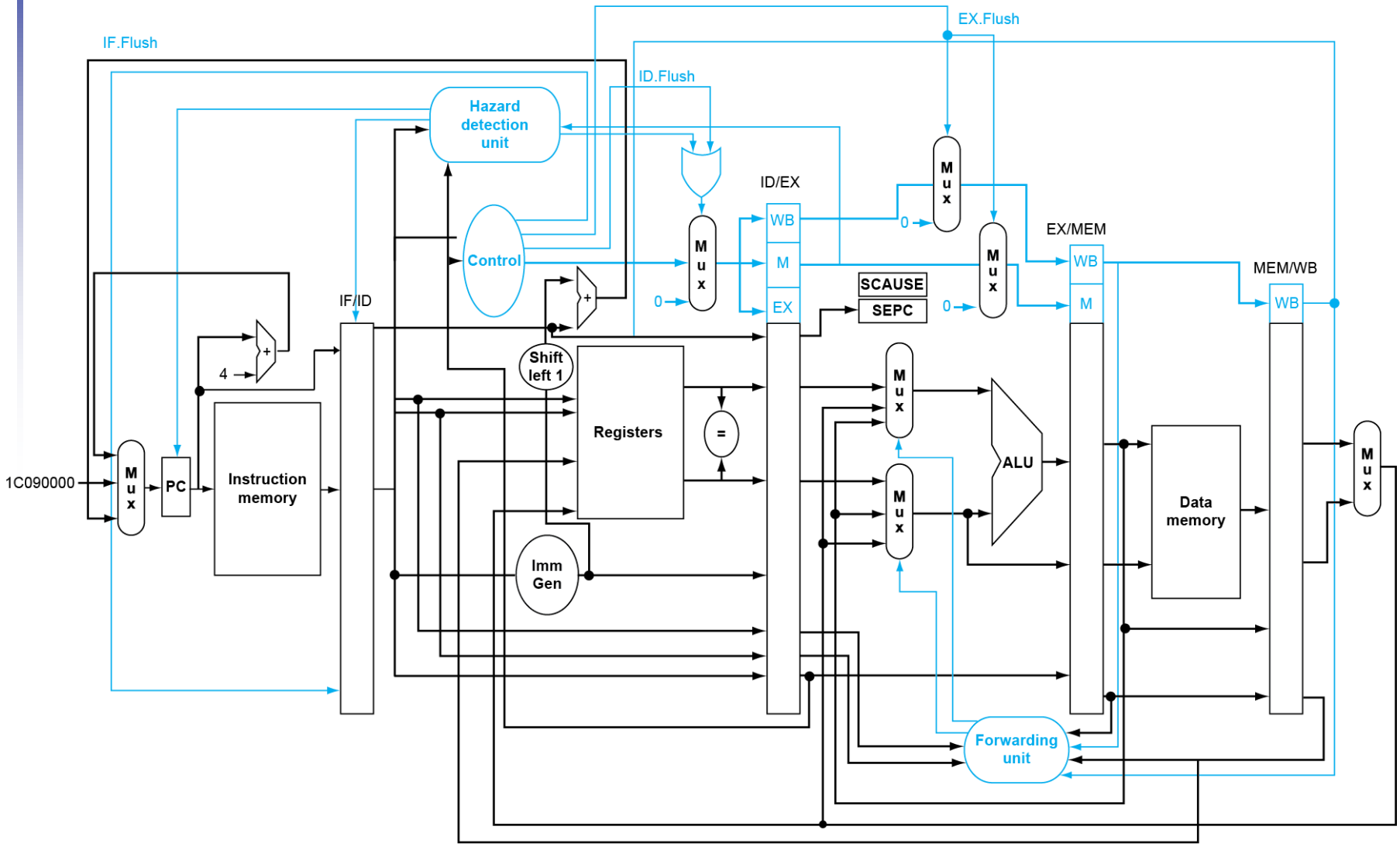
Exceptions in a Pipeline

- Another form of control hazard
- Consider malfunction on add in EX stage

  `add x1, x2, x1`

  - Prevent x1 from being clobbered
  - Complete previous instructions
  - Flush add and subsequent instructions
  - Set SEPC and SCAUSE register values
  - Transfer control to handler
- Similar to mispredicted branch
  - Use much of the same hardware

Pipeline with Exceptions



Exception Properties

- Restartable exceptions
  - Pipeline can flush the instruction
  - Handler executes, then returns to the instruction
    - Refetched and executed from scratch
- PC saved in SEPC register
  - Identifies causing instruction

Exception Example

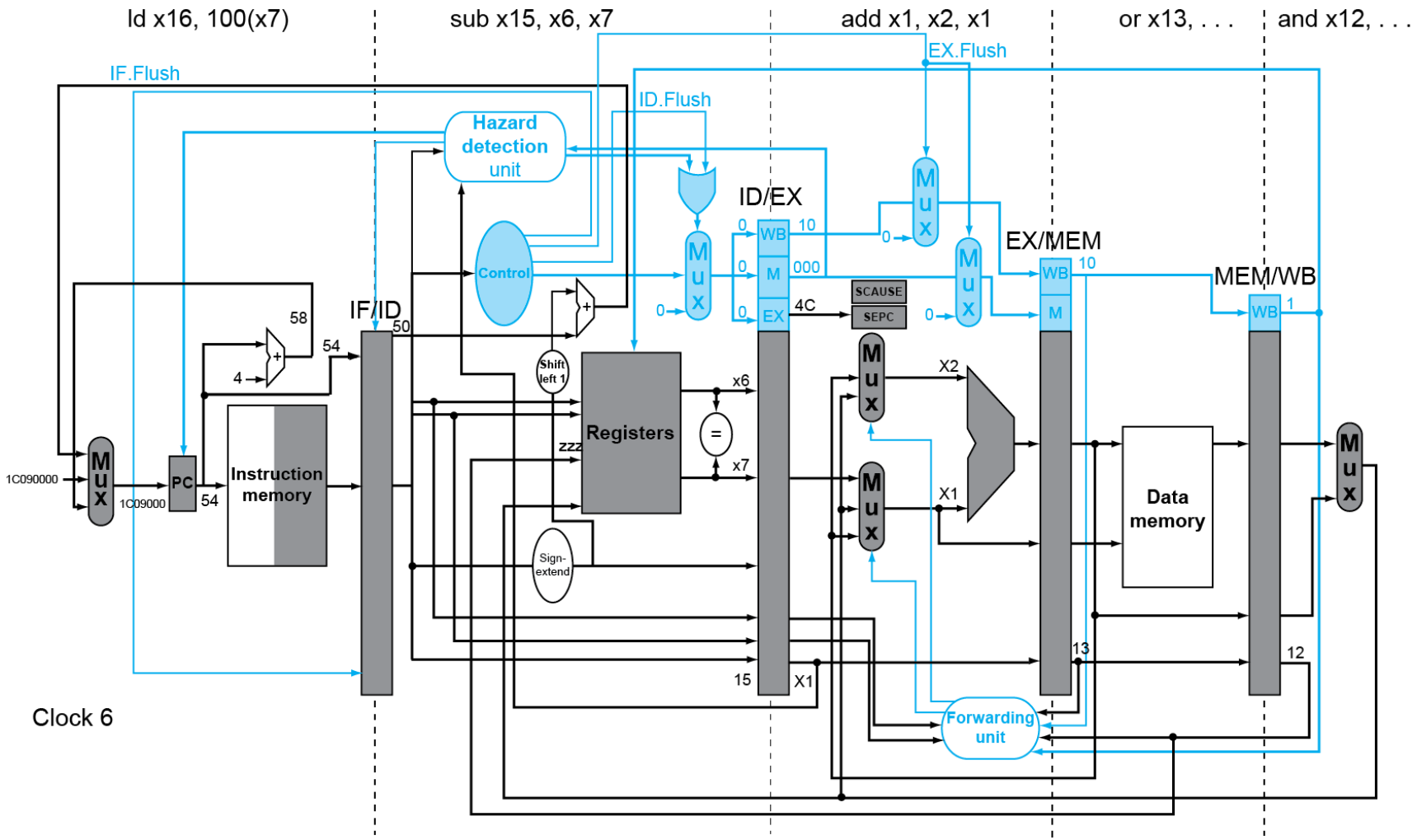
- Exception on add in

```
40      sub   x11, x2, x4
44      and   x12, x2, x5
48      or    x13, x2, x6
4c      add   x1,  x2, x1
50      sub   x15, x6, x7
54      lw    x16, 100(x7)
…
```

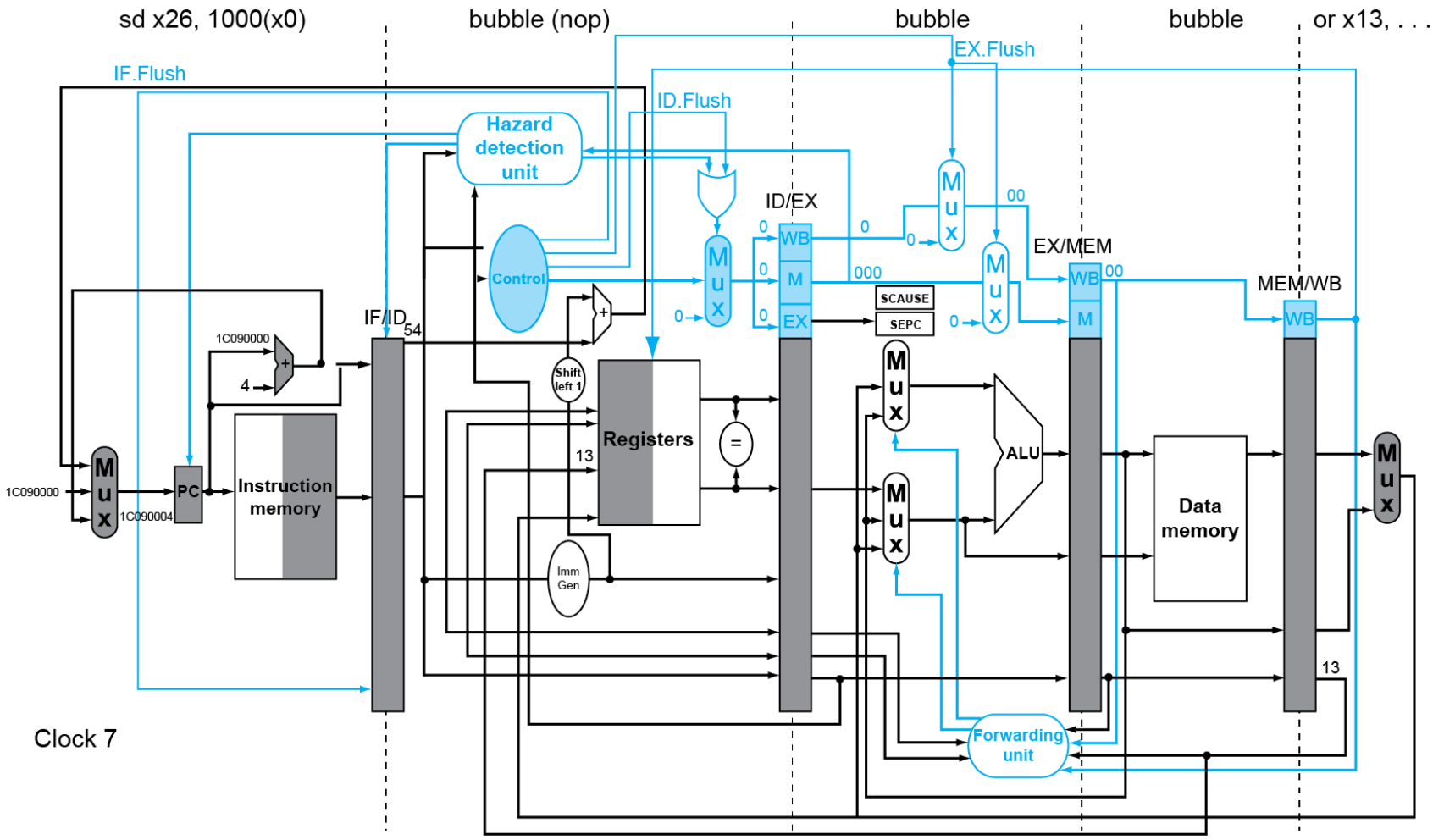
- Handler

```
1c090000    sd  x26, 1000(x10)
1c090004    sd  x27, 1008(x10)
…
```

Exception Example



Exception Example



Multiple Exceptions

- Pipelining overlaps multiple instructions
  - Could have multiple exceptions at once
- Simple approach: deal with exception from earliest instruction
  - Flush subsequent instructions
  - “Precise” exceptions
- In complex pipelines
  - Multiple instructions issued per cycle
  - Out-of-order completion
  - Maintaining precise exceptions is difficult!

Imprecise Exceptions

- Just stop pipeline and save state
  - Including exception cause(s)
- Let the handler work out
  - Which instruction(s) had exceptions
  - Which to complete or flush
    - May require “manual” completion
- Simplifies hardware, but more complex handler software
- Not feasible for complex multiple-issue out-of-order pipelines

Fallacies

- Pipelining is easy (!)
  - The basic idea is easy
  - The devil is in the details
    - e.g., detecting data hazards
- Pipelining is independent of technology
  - So why haven't we always done pipelining?
  - More transistors make more advanced techniques feasible
  - Pipeline-related ISA design needs to take account of technology trends
    - e.g., predicated instructions

Pitfalls

- Poor ISA design can make pipelining harder
  - e.g., complex instruction sets (VAX, IA-32)
    - Significant overhead to make pipelining work
    - IA-32 micro-op approach
  - e.g., complex addressing modes
    - Register update side effects, memory indirection
  - e.g., delayed branches
    - Advanced pipelines have long delay slots

Concluding Remarks

- ISA influences design of datapath and control
- Datapath and control influence design of ISA
- Pipelining improves instruction throughput using parallelism
  - More instructions completed per second
  - Latency for each instruction not reduced
- Hazards: structural, data, control
- Multiple issue and dynamic scheduling (ILP)
  - Dependencies limit achievable parallelism
  - Complexity leads to the power wall