

## 6

### Mathematical Preliminaries

Internals of a graphics engine

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# Homogeneous Coordinates

- A point with Cartesian coordinates  $(x, y, z)$  can be expressed in homogeneous coordinates as  $(hx, hy, hz, h)$  where  $h$  is a **non-zero** real number.

`glVertex3f (10, 2, -3);`

`glVertex4f (10, 2, -3, 1);`

`glVertex4f (60, 12, -18, 6)`

`glVertex4f (-20, -4, 6, -2)`

} Different representations  
of the same point

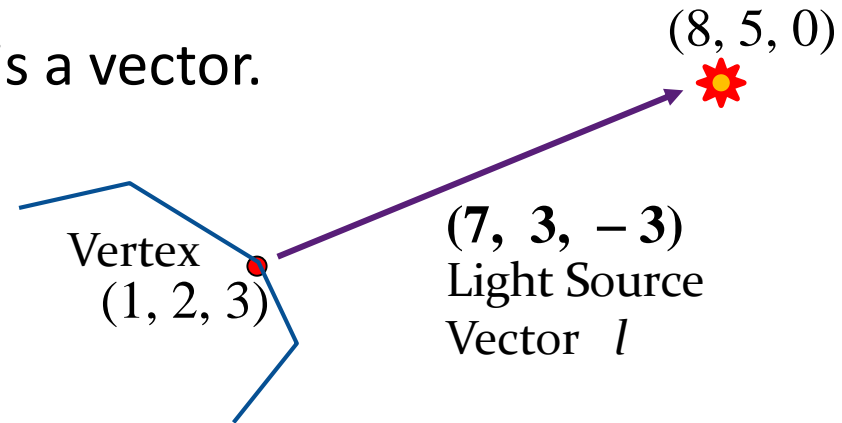
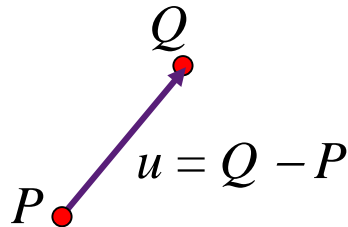
- To convert from homogeneous coordinates to Cartesian coordinates, divide the first three components by the fourth element:  $(a, b, c, d) \equiv (a/d, b/d, c/d)$

Example: The xyz coordinates of the point  $(12, -16, 1, 4)$  are  $(3, -4, 0.25)$

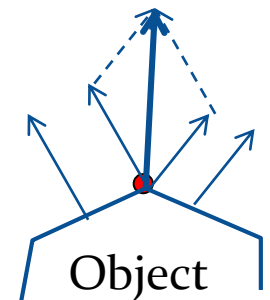
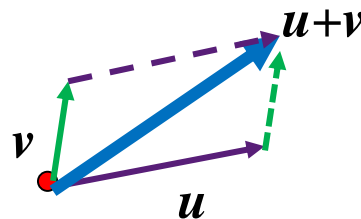
- A vector with components  $(x, y, z)$  is represented in homogeneous coordinates as  $(x, y, z, 0)$ .

# Point and Vector Operations

The difference between two points is a vector.



The sum of two vectors is a vector (obtained using parallelogram law).



If  $\mathbf{v} = (x, y, z)$  denotes a vector, its magnitude (or length) is given by  $|\mathbf{v}| = \sqrt{x^2 + y^2 + z^2}$ . **Normalization** is the process of converting a vector to a unit vector by dividing each of its components by its magnitude.

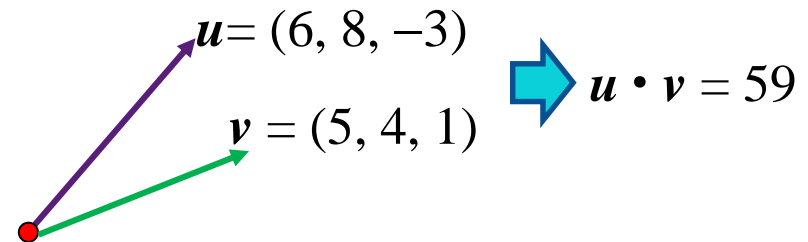
Example:  $\mathbf{v} = (3, 2, 6)$ .  $|\mathbf{v}| = \sqrt{9 + 4 + 36} = 7$

Unit Vector  
 $\mathbf{u} = (3/7, 2/7, 6/7)$

# Vector Dot Product

- The **dot product** of two vectors  $\mathbf{v}_1 = (x_1, y_1, z_1)$  and  $\mathbf{v}_2 = (x_2, y_2, z_2)$  is given by

$$\mathbf{v}_1 \bullet \mathbf{v}_2 = x_1 x_2 + y_1 y_2 + z_1 z_2$$



- The dot product is a *scalar value*, not a vector.
- If  $\mathbf{v}_1$  and  $\mathbf{v}_2$  denote **unit** vectors, then  $\mathbf{v}_1 \bullet \mathbf{v}_2 = \cos(\phi)$ , where  $\phi$  is the angle between the two vectors.  $\phi = \cos^{-1}(\mathbf{v}_1 \bullet \mathbf{v}_2)$

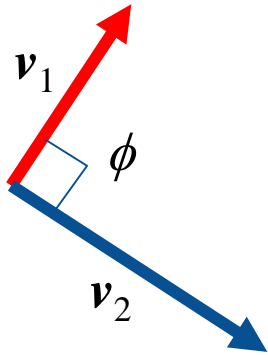
## Example:

Compute the angle between vectors  $(2, 3, 3)$  and  $(1, 1, 0)$ :

- Normalize both vectors:  $(0.426, 0.64, 0.64)$ ,  $(0.707, 0.707, 0)$
- Compute the dot product:  $0.754$  ( $= \cos \phi$ )
- $\phi = \cos^{-1}(0.754) = 41.06$  Degr.

# Orthogonality of Vectors

- If two vectors  $\mathbf{v}_1$ ,  $\mathbf{v}_2$  are perpendicular (orthogonal) to each other, then,  $\mathbf{v}_1 \bullet \mathbf{v}_2 = 0$ .

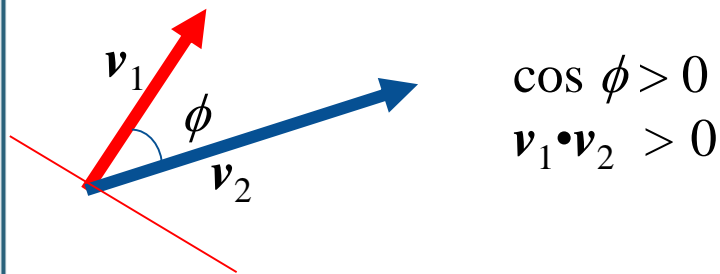


$$\phi = \pm 90 \text{ degs} \Rightarrow \cos \phi = 0 \Rightarrow \mathbf{v}_1 \bullet \mathbf{v}_2 = 0$$

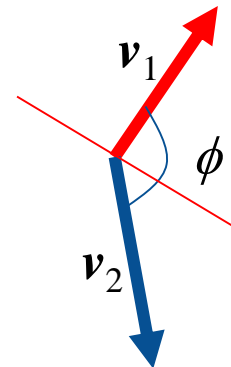
- Example: Show that vectors  $(5, 2, -8)$  and  $(2, 7, 3)$  are perpendicular.
  - Compute the dot product:  $10 + 14 - 24 = 0$
  - Since the dot product is 0, the vectors are orthogonal to each other. (There is no need to normalize the vectors)

# Relative Orientation of Two Vectors

It is often required to know if two vectors  $\mathbf{v}_1$  and  $\mathbf{v}_2$  are separated by an acute angle ( $\phi < \pi/2$ ) or obtuse angle ( $\phi > \pi/2$ ).



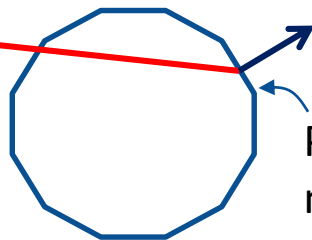
$$\cos \phi > 0$$
$$\mathbf{v}_1 \cdot \mathbf{v}_2 > 0$$



$$\cos \phi < 0$$
$$\mathbf{v}_1 \cdot \mathbf{v}_2 < 0$$



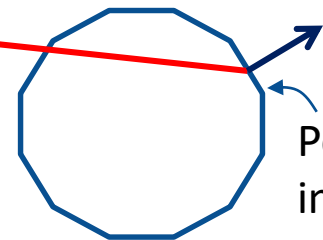
Camera



Polygon  
not visible  
to camera



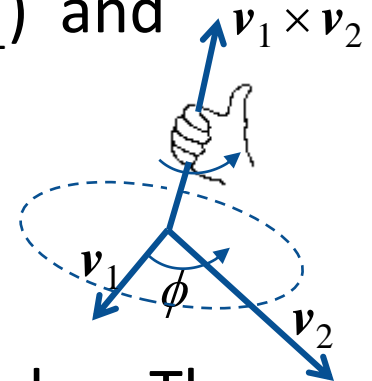
Light



Polygon  
in shadow

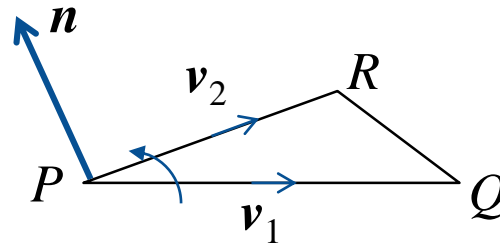
# Vector Cross Product

- The cross product of two vectors  $\mathbf{v}_1 = (x_1, y_1, z_1)$  and  $\mathbf{v}_2 = (x_2, y_2, z_2)$  is a *vector* given by
$$\mathbf{v}_1 \times \mathbf{v}_2 = (y_1 z_2 - y_2 z_1, z_1 x_2 - z_2 x_1, x_1 y_2 - x_2 y_1).$$
- The above vector is perpendicular to both  $\mathbf{v}_1$  and  $\mathbf{v}_2$ . The direction of  $\mathbf{v}_1 \times \mathbf{v}_2$  is given by the right-hand rule.
- If  $\mathbf{v}_1$  and  $\mathbf{v}_2$  denote **unit** vectors, then  $|\mathbf{v}_1 \times \mathbf{v}_2| = \sin(\phi)$ , where  $\phi$  is the angle between the two vectors.
- If  $\mathbf{v}_1$  and  $\mathbf{v}_2$  are parallel vectors,  $\mathbf{v}_1 \times \mathbf{v}_2 = 0$ .



# Surface Normal Vector: Triangle

- Triangle:  $P = (x_1, y_1, z_1)$ ,  $Q = (x_2, y_2, z_2)$ ,  $R = (x_3, y_3, z_3)$ .



- We form two vectors at P:  $\mathbf{v}_1 = Q - P$ , and  $\mathbf{v}_2 = R - P$ .  
 $\mathbf{v}_1 = (x_2 - x_1, y_2 - y_1, z_2 - z_1)$ ,  $\mathbf{v}_2 = (x_3 - x_1, y_3 - y_1, z_3 - z_1)$
- The cross product  $\mathbf{v}_1 \times \mathbf{v}_2$  gives the normal vector  $\mathbf{n}$ :

$$\mathbf{n} = \begin{pmatrix} (y_2 - y_1)(z_3 - z_1) - (y_3 - y_1)(z_2 - z_1), \\ (z_2 - z_1)(x_3 - x_1) - (z_3 - z_1)(x_2 - x_1), \\ (x_2 - x_1)(y_3 - y_1) - (x_3 - x_1)(y_2 - y_1) \end{pmatrix}$$

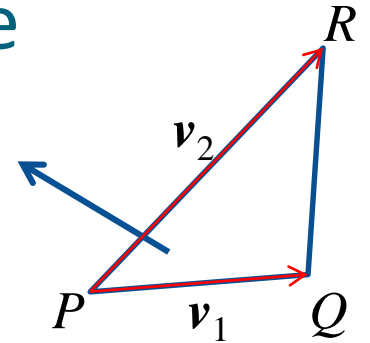
$$= \begin{pmatrix} y_1(z_2 - z_3) + y_2(z_3 - z_1) + y_3(z_1 - z_2), \\ z_1(x_2 - x_3) + z_2(x_3 - x_1) + z_3(x_1 - x_2), \\ x_1(y_2 - y_3) + x_2(y_3 - y_1) + x_3(y_1 - y_2) \end{pmatrix}$$



# Surface Normal Vector: Triangle

Input: 3 vertices of a triangle.

```
void normal(float x1, float y1, float z1,
           float x2, float y2, float z2,
           float x3, float y3, float z3 )
{
    float nx, ny, nz;
    nx = y1*(z2-z3)+ y2*(z3-z1)+ y3*(z1-z2);
    ny = z1*(x2-x3)+ z2*(x3-x1)+ z3*(x1-x2);
    nz = x1*(y2-y3)+ x2*(y3-y1)+ x3*(y1-y2);
    glNormal3f(nx, ny, nz);
}
```



# Matrices

- OpenGL uses 4x4 matrices for representing transformations.
- A 4x4 matrix may be stored in a two-dimensional array  $a[i][j]$ :  $i$  = row index (0..3),  $j$  = column index (0..3).
- Alternatively, the matrix can be stored in a single array  $m[k]$ ,  $k = 0..15$ , in either row-major order or column-major order. OpenGL always stores matrices in column-major order.

$$\begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ a_{30} & a_{31} & a_{32} & a_{33} \end{bmatrix}$$

(General form)

$$\begin{bmatrix} m_0 & m_1 & m_2 & m_3 \\ m_4 & m_5 & m_6 & m_7 \\ m_8 & m_9 & m_{10} & m_{11} \\ m_{12} & m_{13} & m_{14} & m_{15} \end{bmatrix}$$

(Row Major Order)

$$\begin{bmatrix} m_0 & m_4 & m_8 & m_{12} \\ m_1 & m_5 & m_9 & m_{13} \\ m_2 & m_6 & m_{10} & m_{14} \\ m_3 & m_7 & m_{11} & m_{15} \end{bmatrix}$$

(Column Major Order)

OpenGL

# Matrices

- Identity Matrix

$$I = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- For any matrix **A**, **AI = IA = A**

- OpenGL Example (using C array):

```
float matrix[16]={0.5, 3.0, 0.1, 0, 0, 10., 6.0, 0,  
                 8.0, 1.0,-4.2, 0, -2.0, 0, 9.0, 1.0};
```

```
glMatrixMode(GL_MODELVIEW);
```

```
glLoadIdentity();
```

```
glMultMatrixf(matrix);
```



$$\begin{bmatrix} 0.5 & 0 & 8 & -2 \\ 3 & 10 & 1 & 0 \\ 0.1 & 6 & -4.2 & 9 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Matrix Product

Transformation of a point as a matrix product:

$$\begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ a_{30} & a_{31} & a_{32} & a_{33} \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} a_{00}x + a_{01}y + a_{02}z + a_{03} \\ a_{10}x + a_{11}y + a_{12}z + a_{13} \\ a_{20}x + a_{21}y + a_{22}z + a_{23} \\ a_{30}x + a_{31}y + a_{32}z + a_{33} \end{bmatrix}$$

Example:

$$\begin{bmatrix} 3 & 0 & 1 & 1 \\ -2 & 1 & 5 & 0 \\ 1 & -1 & 2 & 1 \\ 0 & 4 & 1 & -3 \end{bmatrix} \begin{bmatrix} 2 \\ 7 \\ -2 \\ 1 \end{bmatrix} = \begin{bmatrix} 5 \\ -7 \\ -8 \\ 23 \end{bmatrix}$$

$$\begin{matrix} \swarrow & \downarrow & \swarrow \\ M & P & = Q \end{matrix}$$

# Matrix Product

$$\begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ a_{30} & a_{31} & a_{32} & a_{33} \end{bmatrix} \begin{bmatrix} b_{00} & b_{01} & b_{02} & b_{03} \\ b_{10} & b_{11} & b_{12} & b_{13} \\ b_{20} & b_{21} & b_{22} & b_{23} \\ b_{30} & b_{31} & b_{32} & b_{33} \end{bmatrix} = \begin{bmatrix} \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{bmatrix}$$

$$c_{12} = a_{10} b_{02} + a_{11} b_{12} + a_{12} b_{22} + a_{13} b_{32}$$

General formula:  $c_{ij} = \sum_{k=0}^3 a_{ik} b_{kj}$

Example:

$$\begin{bmatrix} 1 & 0 & 1 & 2 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & -1 & -5 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 0.7 & 0 & 0.7 & 0 \\ 0 & 1 & 0 & 0 \\ -0.7 & 0 & 0.7 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1.4 & 2 \\ 0 & 1 & 0 & 0 \\ 1.4 & 0 & 0 & -5 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Matrix multiplication is **non-commutative**. In general,  **$AB \neq BA$**

# Transformation Matrix

The transformation of a point  $(x, y, z, 1)$  to another point  $(x', y', z', 1)$  can be expressed as a matrix-vector multiplication:

$$\begin{bmatrix} x'_p \\ y'_p \\ z'_p \\ 1 \end{bmatrix} = \begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_p \\ y_p \\ z_p \\ 1 \end{bmatrix}$$

Transformed  
point

A general  
transformation  
matrix

Input point

# Translation Matrix

- The translation of a point  $(x, y, z, 1)$  by  $(a, b, c)$  yields another point  $(x+a, y+b, z+c, 1)$

$$\begin{bmatrix} x+a \\ y+b \\ z+c \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & a \\ 0 & 1 & 0 & b \\ 0 & 0 & 1 & c \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

Translation  
Matrix

- OpenGL function: `glTranslatef(a, b, c)`

# Translation Matrix

The translation matrix has no effect on a vector  $(x, y, z, 0)$ :

$$\begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & a \\ 0 & 1 & 0 & b \\ 0 & 0 & 1 & c \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix}$$

Translation  
Matrix



# Scale Matrix

- The scaling of a point  $(x, y, z, 1)$  by factors  $(a, b, c)$  yields another point  $(xa, yb, zc, 1)$

$$\begin{bmatrix} xa \\ yb \\ zc \\ 1 \end{bmatrix} = \begin{bmatrix} a & 0 & 0 & 0 \\ 0 & b & 0 & 0 \\ 0 & 0 & c & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

Scale Matrix

- OpenGL function: `glScalef(a, b, c)`

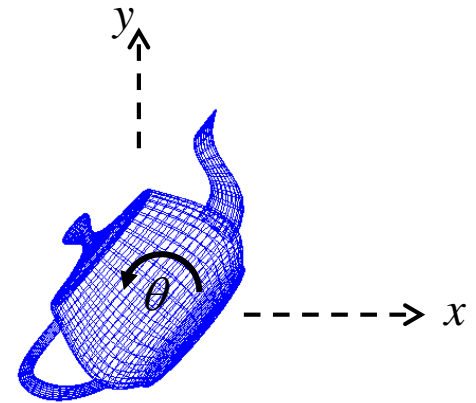
# Rotation About the Z-axis

- Equations:

$$x' = x \cos \theta - y \sin \theta$$

$$y' = x \sin \theta + y \cos \theta$$

$$z' = z$$



- Matrix Form:

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- OpenGL function: `glRotatef(theta, 0, 0, 1)`

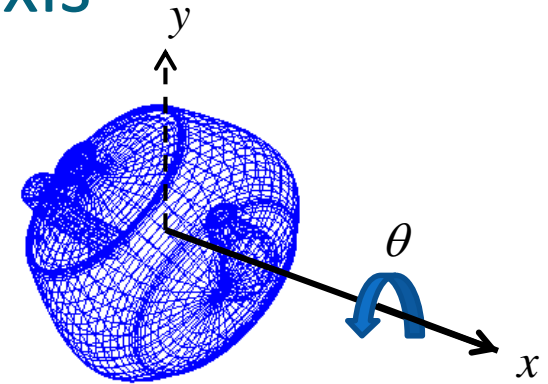
# Rotation About the X-axis

- Equations:

$$x' = x$$

$$y' = y \cos \theta - z \sin \theta$$

$$z' = y \sin \theta + z \cos \theta$$



- Matrix Form:

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- OpenGL function: `glRotatef(theta, 1, 0, 0)`

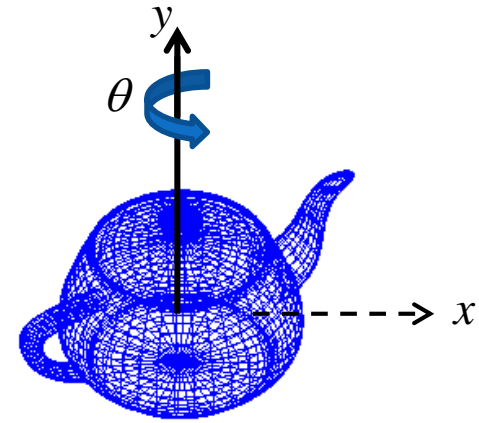
# Rotation About the Y-axis

- Equations:

$$x' = x \cos\theta + z \sin\theta$$

$$y' = y$$

$$z' = -x \sin\theta + z \cos\theta$$



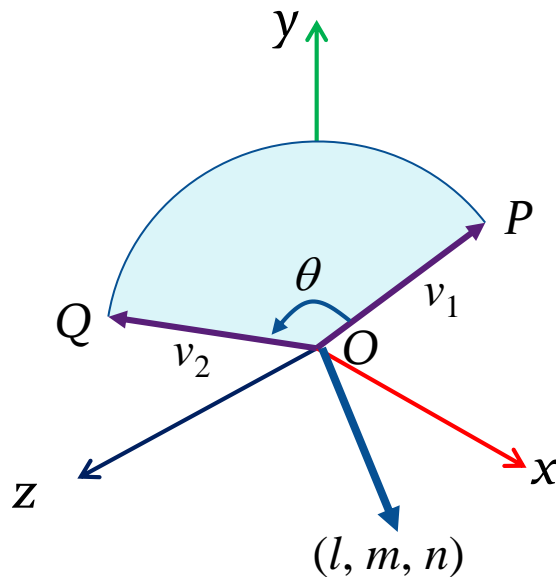
- Matrix Form:

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- OpenGL function: `glRotatef(theta, 0, 1, 0)`

# General Rotation

- Suppose a point  $P$  needs to be transformed to  $Q$ , where  $P$ ,  $Q$  are at the same distance from the origin  $O$ .
- If we can find the angle of rotation  $\theta$  from  $P$  to  $Q$ , and the axis of rotation  $(l, m, n)$ , then we can apply a rotational transformation  $\text{glRotatef}(\theta, l, m, n)$ .



$$\theta = \cos^{-1}(v_1 \bullet v_2)$$

$$(l, m, n) = v_1 \times v_2$$

# Custom Transformations

User-defined transformations can be represented in matrix form and applied with other transforms.

```
float myMatrix[16]={0.5, 3.0, 0.1, 0,  
                   0, 10., 6.0, 0,  
                   8.0, 1.0,-4.2, 0,  
                   -2.0, 0, 9.0, 1.0};
```



```
glMatrixMode(GL_MODELVIEW);  
glLoadIdentity();  
gluLookAt(...)  
glPushMatrix();  
    glTranslatef(5, 2, -3);  
    glMultMatrixf(myMatrix);  
    glRotatef(25, 0, 1, 0);  
    glutSolidTeapot(1);  
glPopMatrix();
```

$$\begin{bmatrix} 0.5 & 0 & 8 & -2 \\ 3 & 10 & 1 & 0 \\ 0.1 & 6 & -4.2 & 9 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Teapot rotated → transformed using myMatrix → translated

# Affine Transformation

- A general linear transformation followed by a translation is called an affine transformation.
- Matrix form:

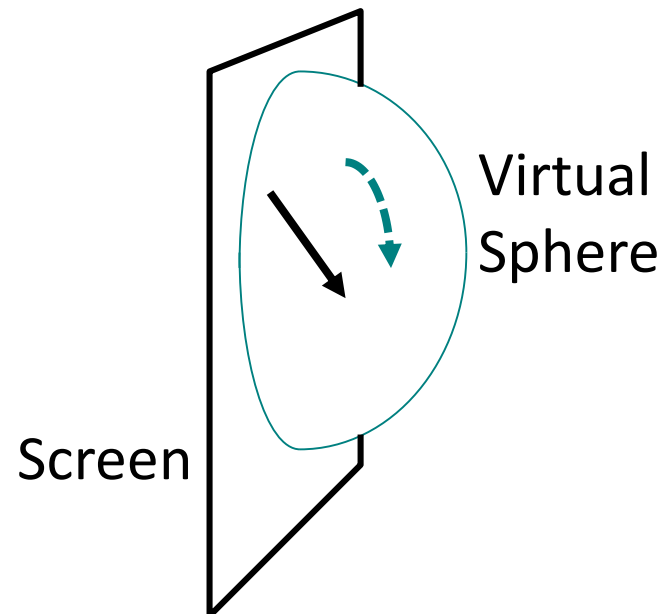
$$\begin{bmatrix} x'_p \\ y'_p \\ z'_p \\ 1 \end{bmatrix} = \begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_p \\ y_p \\ z_p \\ 1 \end{bmatrix}$$

- Translation, rotation, scaling and shear transformations are all affine transformations.
- Under an affine transformation, line segments transform into line segments, and parallel lines transform into parallel lines.

# Virtual Trackball

- A user interface for drag-rotating an object.
- Assume that the objects displayed on the screen are attached to a virtual sphere.
- When the mouse is dragged from one point to another on the screen, a corresponding path of rotation is generated on the sphere.

→ Mouse Drag  
- - - - - Rotation

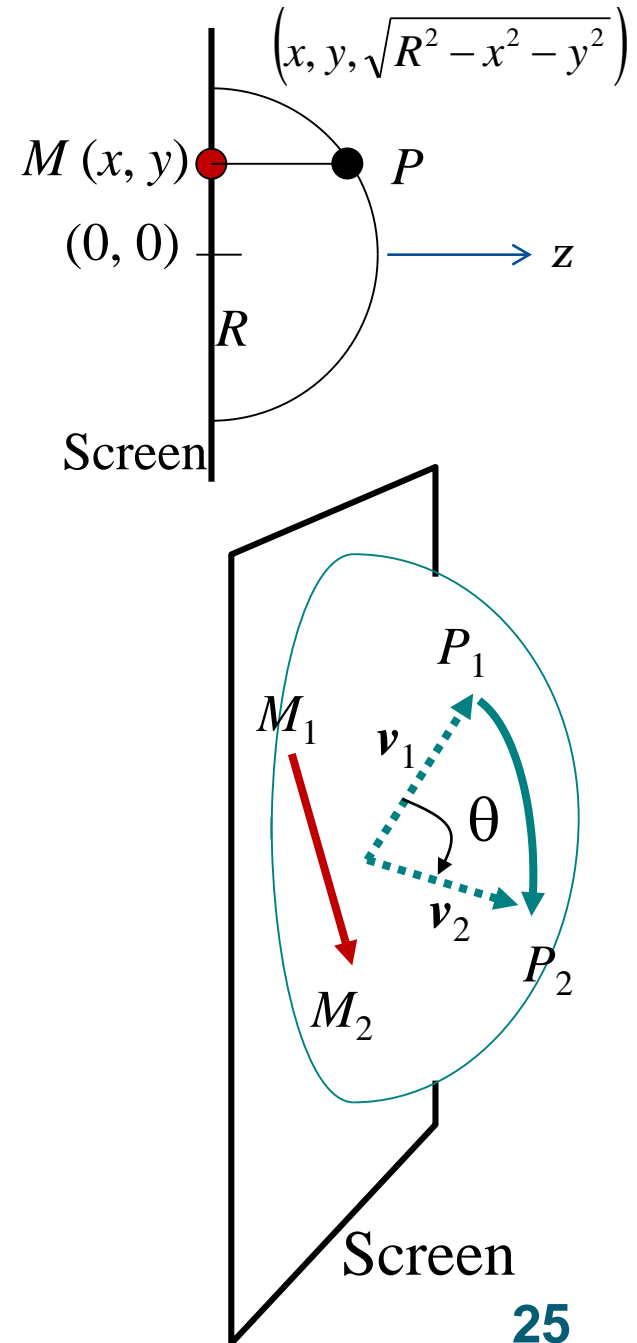




# Virtual Trackball

- Let  $M_1M_2$  be the path through which the mouse is dragged, and  $P_1, P_2$ , the corresponding points on the virtual sphere.
- The angle of rotation is the angle between unit vectors  $\mathbf{v}_1$  and  $\mathbf{v}_2$ 

$$\theta = \cos^{-1}(\mathbf{v}_1 \bullet \mathbf{v}_2)$$
- The axis of rotation is the axis perpendicular to both  $\mathbf{v}_1$  and  $\mathbf{v}_2$ , given by  $\mathbf{v}_1 \times \mathbf{v}_2 = (l, m, n)$
- Use `glRotatef( $\theta, l, m, n$ )` to rotate the object.



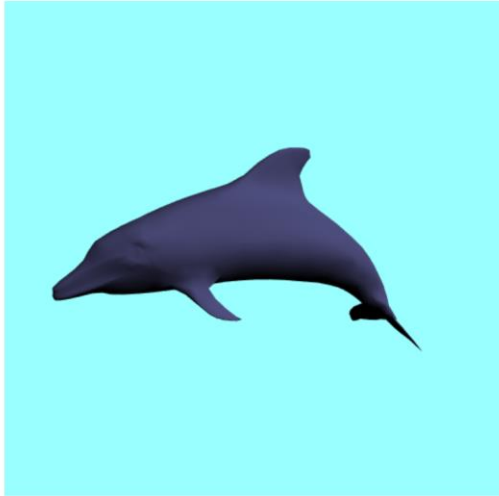
# Virtual Trackball: WebGL Example

<https://www.csse.canterbury.ac.nz/mukundan/WebGL/Dolphin.html>

Chrome  
or  
Firefox

Computer Science and Software Engineering  
COSC363 Computer Graphics  
WebGL Examples

**Example 1:** This example demonstrates the implementation of a trackball which allows you to drag-rotate the displayed 3D model using the mouse. The two HTML input elements provide the interface for selecting the background color and the model's material color.



Select background color:

Select material color:

Background Color = (0.60, 1.00, 1.00)  
Material Color = (0.31, 0.31, 0.50)

✎ Click and drag mouse to rotate object.

The color of diffuse reflection from a surface can be obtained by scaling the material color by a factor between 0 and 1, where the scale factor depends on the orientation of the surface with respect to the light source. If  $m$  denotes the material color, then all darker shades of that color are given  $m \cdot t$ , where  $0 \leq t \leq 1$ . The shade variation on the surface can be clearly seen by selecting white as the material color.

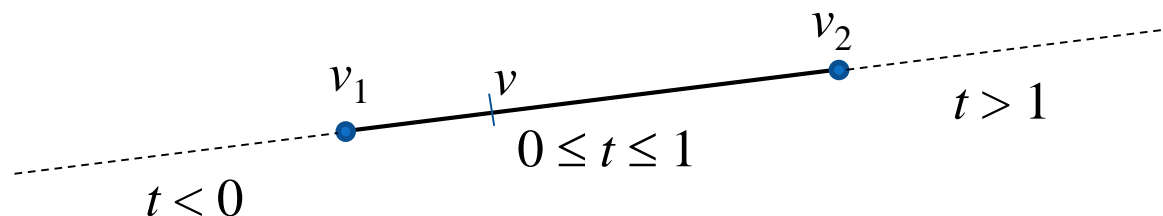
A constant color ( $t=1$ ) is generally used when displaying a model in wireframe mode. WebGL2 does not currently have the "polygonMode()" function commonly used for switching between solid-fill and wireframe displays.

RMukundan, CSSE, UC

# Linear Interpolation

Linear interpolation is useful in computing an in-between value, given the values  $v_1$ ,  $v_2$  of some attribute at the end points of a path.

$$v = (1-t) v_1 + t v_2, \quad 0 \leq t \leq 1.$$

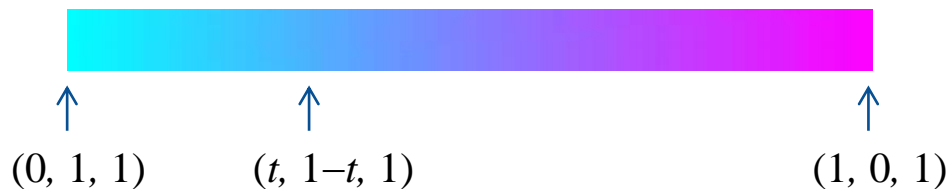


Example:

$$v_1 = (0, 1, 1)$$

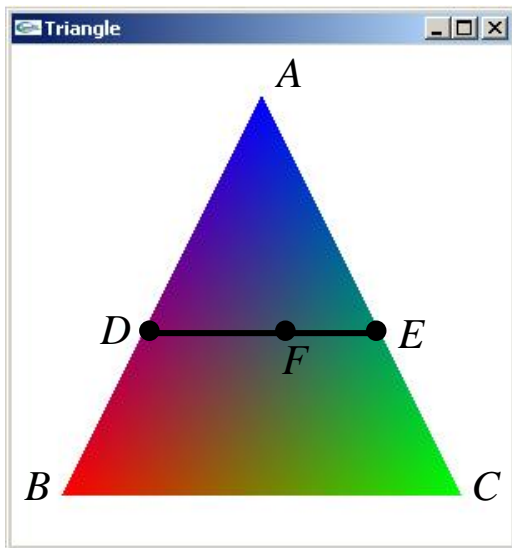
$$v_2 = (1, 0, 1)$$

$$\begin{aligned} v &= (1-t)(0, 1, 1) + t(1, 0, 1) \\ &= (t, 1-t, 1) \end{aligned}$$



# Bi-Linear Interpolation

- Given the values of an attribute (such as colour) at the vertices of a triangle, bi-linear interpolation is used to obtain the values at the interior points.



$$\begin{aligned} D &= (1-t)A + tB \\ E &= (1-t)A + tC \end{aligned} \quad 0 \leq t \leq 1$$

$$F = (1-s)D + sE \quad 0 \leq s \leq 1$$



$$F = (1-k_1-k_2)A + k_1B + k_2C$$

Convex  
Combination

- Interpolate along the two edges  $AC$ ,  $BC$  using a single parameter  $t$ , to get  $D$ ,  $E$ .
- Interpolate along  $DE$  using a second parameter  $s$ , to get  $F$