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Mathematical Preliminaries

Internals of a graphics engine

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Homogeneous Coordinates

- A point with Cartesian coordinates (x, y, z) can be expressed in homogeneous coordinates as (hx, hy, hz, h) where h is a **non-zero** real number.

`glVertex3f (10, 2, -3);`

`glVertex4f (10, 2, -3, 1);`

`glVertex4f (60, 12, -18, 6)`

`glVertex4f (-20, -4, 6, -2)`

} Different representations
of the same point

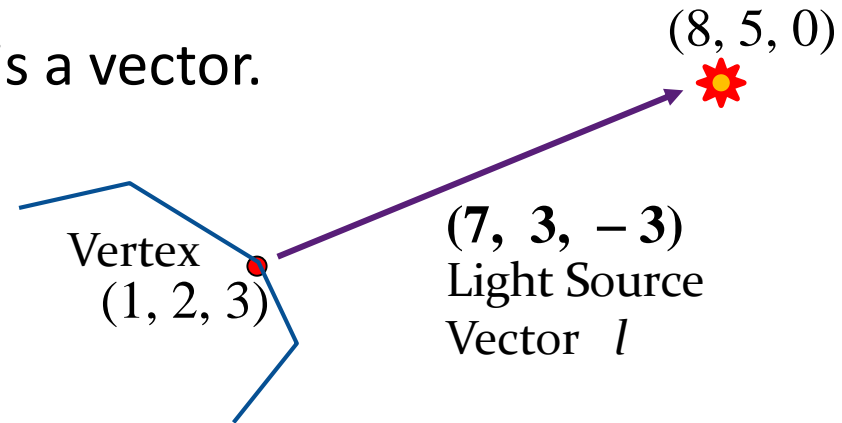
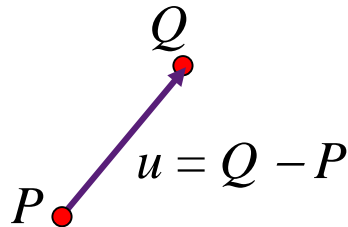
- To convert from homogeneous coordinates to Cartesian coordinates, divide the first three components by the fourth element: $(a, b, c, d) \equiv (a/d, b/d, c/d)$

Example: The xyz coordinates of the point $(12, -16, 1, 4)$ are $(3, -4, 0.25)$

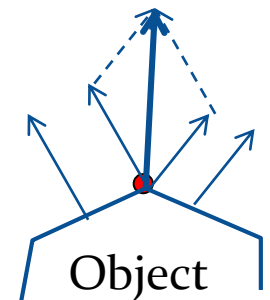
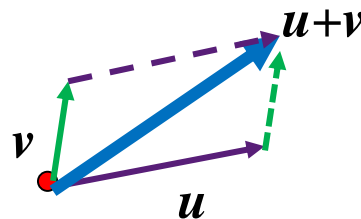
- A vector with components (x, y, z) is represented in homogeneous coordinates as $(x, y, z, 0)$.

Point and Vector Operations

The difference between two points is a vector.



The sum of two vectors is a vector (obtained using parallelogram law).



If $\mathbf{v} = (x, y, z)$ denotes a vector, its magnitude (or length) is given by $|\mathbf{v}| = \sqrt{x^2 + y^2 + z^2}$. **Normalization** is the process of converting a vector to a unit vector by dividing each of its components by its magnitude.

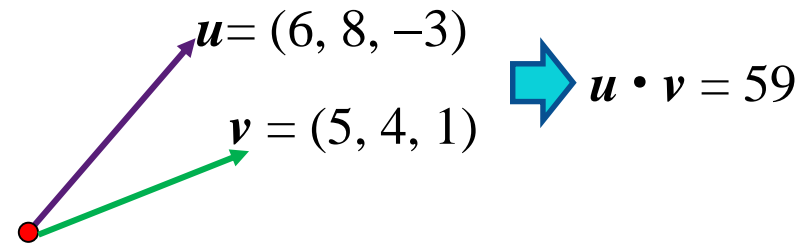
Example: $\mathbf{v} = (3, 2, 6)$. $|\mathbf{v}| = \sqrt{9 + 4 + 36} = 7$

Unit Vector
 $\mathbf{u} = (3/7, 2/7, 6/7)$

Vector Dot Product

- The **dot product** of two vectors $\mathbf{v}_1 = (x_1, y_1, z_1)$ and $\mathbf{v}_2 = (x_2, y_2, z_2)$ is given by

$$\mathbf{v}_1 \bullet \mathbf{v}_2 = x_1 x_2 + y_1 y_2 + z_1 z_2$$



- The dot product is a *scalar value*, not a vector.
- If \mathbf{v}_1 and \mathbf{v}_2 denote **unit** vectors, then $\mathbf{v}_1 \bullet \mathbf{v}_2 = \cos(\phi)$, where ϕ is the angle between the two vectors. $\phi = \cos^{-1}(\mathbf{v}_1 \bullet \mathbf{v}_2)$

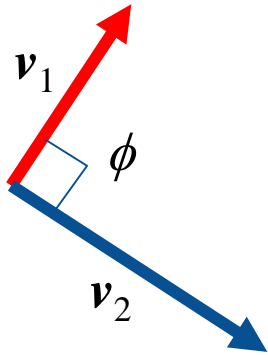
Example:

Compute the angle between vectors $(2, 3, 3)$ and $(1, 1, 0)$:

- Normalize both vectors: $(0.426, 0.64, 0.64)$, $(0.707, 0.707, 0)$
- Compute the dot product: 0.754 ($= \cos \phi$)
- $\phi = \cos^{-1}(0.754) = 41.06$ Degr.

Orthogonality of Vectors

- If two vectors \mathbf{v}_1 , \mathbf{v}_2 are perpendicular (orthogonal) to each other, then, $\mathbf{v}_1 \bullet \mathbf{v}_2 = 0$.

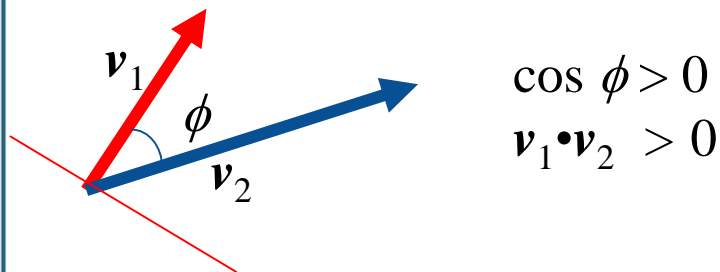


$$\phi = \pm 90 \text{ degs} \Rightarrow \cos \phi = 0 \Rightarrow \mathbf{v}_1 \bullet \mathbf{v}_2 = 0$$

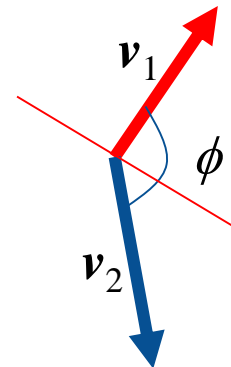
- Example: Show that vectors $(5, 2, -8)$ and $(2, 7, 3)$ are perpendicular.
 - Compute the dot product: $10 + 14 - 24 = 0$
 - Since the dot product is 0, the vectors are orthogonal to each other. (There is no need to normalize the vectors)

Relative Orientation of Two Vectors

It is often required to know if two vectors \mathbf{v}_1 and \mathbf{v}_2 are separated by an acute angle ($\phi < \pi/2$) or obtuse angle ($\phi > \pi/2$).



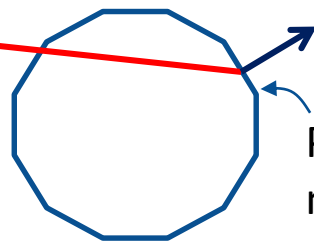
$$\cos \phi > 0$$
$$\mathbf{v}_1 \cdot \mathbf{v}_2 > 0$$



$$\cos \phi < 0$$
$$\mathbf{v}_1 \cdot \mathbf{v}_2 < 0$$



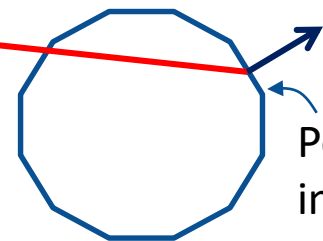
Camera



Polygon
not visible
to camera



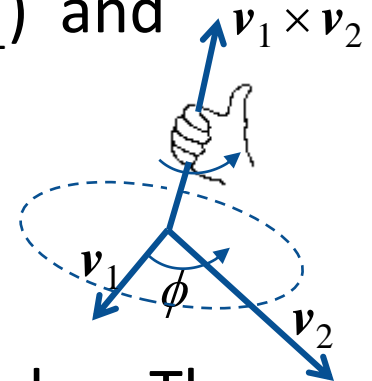
Light



Polygon
in shadow

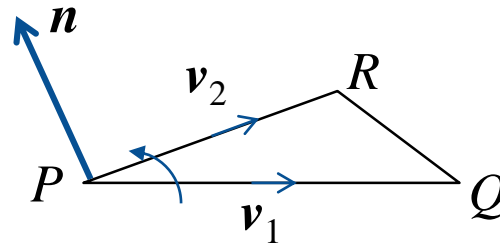
Vector Cross Product

- The cross product of two vectors $\mathbf{v}_1 = (x_1, y_1, z_1)$ and $\mathbf{v}_2 = (x_2, y_2, z_2)$ is a *vector* given by
$$\mathbf{v}_1 \times \mathbf{v}_2 = (y_1 z_2 - y_2 z_1, z_1 x_2 - z_2 x_1, x_1 y_2 - x_2 y_1).$$
- The above vector is perpendicular to both \mathbf{v}_1 and \mathbf{v}_2 . The direction of $\mathbf{v}_1 \times \mathbf{v}_2$ is given by the right-hand rule.
- If \mathbf{v}_1 and \mathbf{v}_2 denote **unit** vectors, then $|\mathbf{v}_1 \times \mathbf{v}_2| = \sin(\phi)$, where ϕ is the angle between the two vectors.
- If \mathbf{v}_1 and \mathbf{v}_2 are parallel vectors, $\mathbf{v}_1 \times \mathbf{v}_2 = 0$.



Surface Normal Vector: Triangle

- Triangle: $P = (x_1, y_1, z_1)$, $Q = (x_2, y_2, z_2)$, $R = (x_3, y_3, z_3)$.



- We form two vectors at P: $\mathbf{v}_1 = Q - P$, and $\mathbf{v}_2 = R - P$.
 $\mathbf{v}_1 = (x_2 - x_1, y_2 - y_1, z_2 - z_1)$, $\mathbf{v}_2 = (x_3 - x_1, y_3 - y_1, z_3 - z_1)$
- The cross product $\mathbf{v}_1 \times \mathbf{v}_2$ gives the normal vector \mathbf{n} :

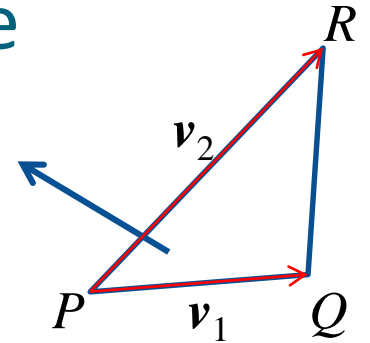
$$\mathbf{n} = \begin{pmatrix} (y_2 - y_1)(z_3 - z_1) - (y_3 - y_1)(z_2 - z_1), \\ (z_2 - z_1)(x_3 - x_1) - (z_3 - z_1)(x_2 - x_1), \\ (x_2 - x_1)(y_3 - y_1) - (x_3 - x_1)(y_2 - y_1) \end{pmatrix}$$

$$= \begin{pmatrix} y_1(z_2 - z_3) + y_2(z_3 - z_1) + y_3(z_1 - z_2), \\ z_1(x_2 - x_3) + z_2(x_3 - x_1) + z_3(x_1 - x_2), \\ x_1(y_2 - y_3) + x_2(y_3 - y_1) + x_3(y_1 - y_2) \end{pmatrix}$$

Surface Normal Vector: Triangle

Input: 3 vertices of a triangle.

```
void normal(float x1, float y1, float z1,
           float x2, float y2, float z2,
           float x3, float y3, float z3 )
{
    float nx, ny, nz;
    nx = y1*(z2-z3)+ y2*(z3-z1)+ y3*(z1-z2);
    ny = z1*(x2-x3)+ z2*(x3-x1)+ z3*(x1-x2);
    nz = x1*(y2-y3)+ x2*(y3-y1)+ x3*(y1-y2);
    glNormal3f(nx, ny, nz);
}
```



Matrices

- OpenGL uses 4x4 matrices for representing transformations.
- A 4x4 matrix may be stored in a two-dimensional array $a[i][j]$: i = row index (0..3), j = column index (0..3).
- Alternatively, the matrix can be stored in a single array $m[k]$, $k = 0..15$, in either row-major order or column-major order. OpenGL always stores matrices in column-major order.

$$\begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ a_{30} & a_{31} & a_{32} & a_{33} \end{bmatrix}$$

(General form)

$$\begin{bmatrix} m_0 & m_1 & m_2 & m_3 \\ m_4 & m_5 & m_6 & m_7 \\ m_8 & m_9 & m_{10} & m_{11} \\ m_{12} & m_{13} & m_{14} & m_{15} \end{bmatrix}$$

(Row Major Order)

$$\begin{bmatrix} m_0 & m_4 & m_8 & m_{12} \\ m_1 & m_5 & m_9 & m_{13} \\ m_2 & m_6 & m_{10} & m_{14} \\ m_3 & m_7 & m_{11} & m_{15} \end{bmatrix}$$

(Column Major Order)

OpenGL

Matrices

- Identity Matrix

$$I = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- For any matrix **A**, **AI = IA = A**

- OpenGL Example (using C array):

```
float matrix[16]={0.5, 3.0, 0.1, 0, 0, 10., 6.0, 0,  
                 8.0, 1.0,-4.2, 0, -2.0, 0, 9.0, 1.0};
```

```
glMatrixMode(GL_MODELVIEW);
```

```
glLoadIdentity();
```

```
glMultMatrixf(matrix);
```



$$\begin{bmatrix} 0.5 & 0 & 8 & -2 \\ 3 & 10 & 1 & 0 \\ 0.1 & 6 & -4.2 & 9 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Matrix Product

Transformation of a point as a matrix product:

$$\begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ a_{30} & a_{31} & a_{32} & a_{33} \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} a_{00}x + a_{01}y + a_{02}z + a_{03} \\ a_{10}x + a_{11}y + a_{12}z + a_{13} \\ a_{20}x + a_{21}y + a_{22}z + a_{23} \\ a_{30}x + a_{31}y + a_{32}z + a_{33} \end{bmatrix}$$

Example:

$$\begin{bmatrix} 3 & 0 & 1 & 1 \\ -2 & 1 & 5 & 0 \\ 1 & -1 & 2 & 1 \\ 0 & 4 & 1 & -3 \end{bmatrix} \begin{bmatrix} 2 \\ 7 \\ -2 \\ 1 \end{bmatrix} = \begin{bmatrix} 5 \\ -7 \\ -8 \\ 23 \end{bmatrix}$$

$$\begin{matrix} \swarrow & \downarrow & \swarrow \\ M & P & = Q \end{matrix}$$

Matrix Product

$$\begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ a_{30} & a_{31} & a_{32} & a_{33} \end{bmatrix} \begin{bmatrix} b_{00} & b_{01} & b_{02} & b_{03} \\ b_{10} & b_{11} & b_{12} & b_{13} \\ b_{20} & b_{21} & b_{22} & b_{23} \\ b_{30} & b_{31} & b_{32} & b_{33} \end{bmatrix} = \begin{bmatrix} \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{bmatrix}$$

$$c_{12} = a_{10} b_{02} + a_{11} b_{12} + a_{12} b_{22} + a_{13} b_{32}$$

General formula: $c_{ij} = \sum_{k=0}^3 a_{ik} b_{kj}$

Example:

$$\begin{bmatrix} 1 & 0 & 1 & 2 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & -1 & -5 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 0.7 & 0 & 0.7 & 0 \\ 0 & 1 & 0 & 0 \\ -0.7 & 0 & 0.7 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1.4 & 2 \\ 0 & 1 & 0 & 0 \\ 1.4 & 0 & 0 & -5 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Matrix multiplication is **non-commutative**. In general, **$AB \neq BA$**

Transformation Matrix

The transformation of a point $(x, y, z, 1)$ to another point $(x', y', z', 1)$ can be expressed as a matrix-vector multiplication:

$$\begin{bmatrix} x'_p \\ y'_p \\ z'_p \\ 1 \end{bmatrix} = \begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_p \\ y_p \\ z_p \\ 1 \end{bmatrix}$$

Transformed
point

A general
transformation
matrix

Input point

Translation Matrix

- The translation of a point $(x, y, z, 1)$ by (a, b, c) yields another point $(x+a, y+b, z+c, 1)$

$$\begin{bmatrix} x+a \\ y+b \\ z+c \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & a \\ 0 & 1 & 0 & b \\ 0 & 0 & 1 & c \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

Translation
Matrix

- OpenGL function: `glTranslatef(a, b, c)`

Translation Matrix

The translation matrix has no effect on a vector $(x, y, z, 0)$:

$$\begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & a \\ 0 & 1 & 0 & b \\ 0 & 0 & 1 & c \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix}$$

Translation
Matrix

Scale Matrix

- The scaling of a point $(x, y, z, 1)$ by factors (a, b, c) yields another point $(xa, yb, zc, 1)$

$$\begin{bmatrix} xa \\ yb \\ zc \\ 1 \end{bmatrix} = \begin{bmatrix} a & 0 & 0 & 0 \\ 0 & b & 0 & 0 \\ 0 & 0 & c & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

Scale Matrix

- OpenGL function: `glScalef(a, b, c)`

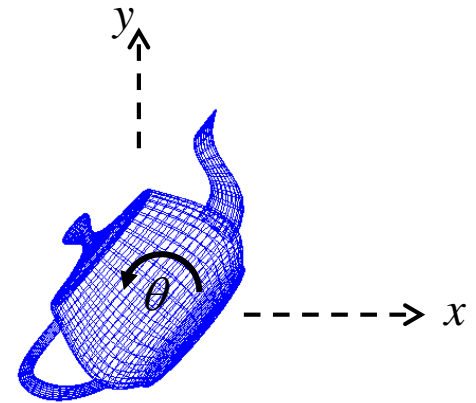
Rotation About the Z-axis

- Equations:

$$x' = x \cos \theta - y \sin \theta$$

$$y' = x \sin \theta + y \cos \theta$$

$$z' = z$$



- Matrix Form:

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- OpenGL function: `glRotatef(theta, 0, 0, 1)`

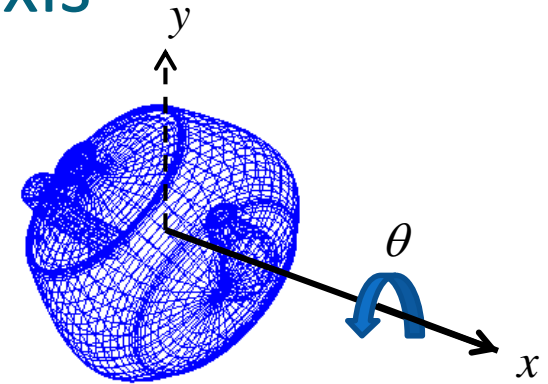
Rotation About the X-axis

- Equations:

$$x' = x$$

$$y' = y \cos \theta - z \sin \theta$$

$$z' = y \sin \theta + z \cos \theta$$



- Matrix Form:

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- OpenGL function: `glRotatef(theta, 1, 0, 0)`

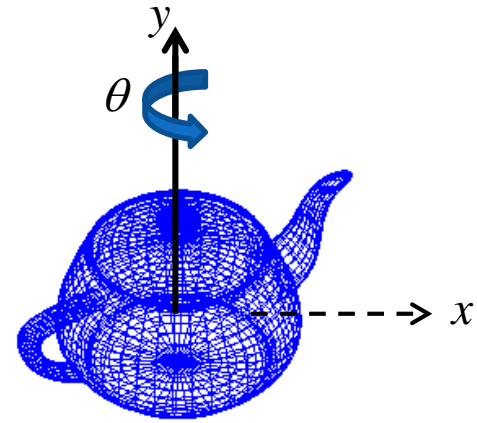
Rotation About the Y-axis

- Equations:

$$x' = x \cos\theta + z \sin\theta$$

$$y' = y$$

$$z' = -x \sin\theta + z \cos\theta$$



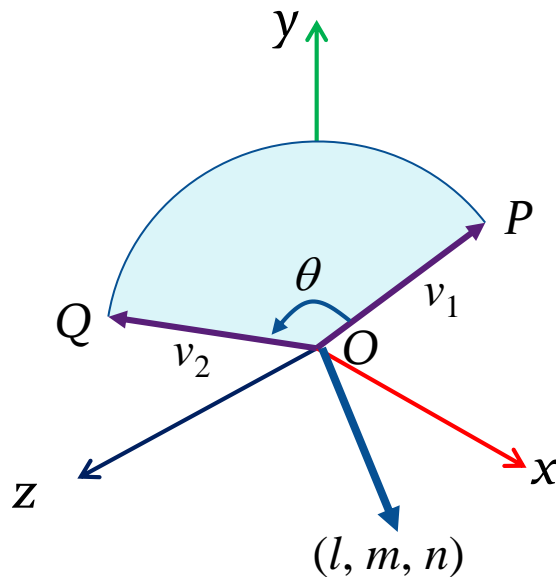
- Matrix Form:

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- OpenGL function: `glRotatef(theta, 0, 1, 0)`

General Rotation

- Suppose a point P needs to be transformed to Q , where P , Q are at the same distance from the origin O .
- If we can find the angle of rotation θ from P to Q , and the axis of rotation (l, m, n) , then we can apply a rotational transformation $\text{glRotatef}(\theta, l, m, n)$.



$$\theta = \cos^{-1}(v_1 \bullet v_2)$$

$$(l, m, n) = v_1 \times v_2$$

Custom Transformations

User-defined transformations can be represented in matrix form and applied with other transforms.

```
float myMatrix[16]={0.5, 3.0, 0.1, 0,  
                   0, 10., 6.0, 0,  
                   8.0, 1.0,-4.2, 0,  
                   -2.0, 0, 9.0, 1.0};
```



```
glMatrixMode(GL_MODELVIEW);  
glLoadIdentity();  
gluLookAt(...)  
glPushMatrix();  
    glTranslatef(5, 2, -3);  
    glMultMatrixf(myMatrix);  
    glRotatef(25, 0, 1, 0);  
    glutSolidTeapot(1);  
glPopMatrix();
```

$$\begin{bmatrix} 0.5 & 0 & 8 & -2 \\ 3 & 10 & 1 & 0 \\ 0.1 & 6 & -4.2 & 9 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Teapot rotated → transformed using myMatrix → translated

Affine Transformation

- A general linear transformation followed by a translation is called an affine transformation.
- Matrix form:

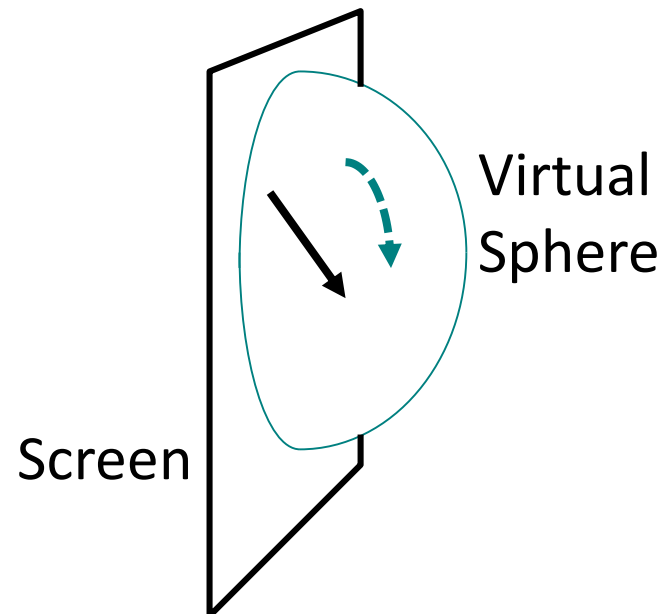
$$\begin{bmatrix} x'_p \\ y'_p \\ z'_p \\ 1 \end{bmatrix} = \begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_p \\ y_p \\ z_p \\ 1 \end{bmatrix}$$

- Translation, rotation, scaling and shear transformations are all affine transformations.
- Under an affine transformation, line segments transform into line segments, and parallel lines transform into parallel lines.

Virtual Trackball

- A user interface for drag-rotating an object.
- Assume that the objects displayed on the screen are attached to a virtual sphere.
- When the mouse is dragged from one point to another on the screen, a corresponding path of rotation is generated on the sphere.

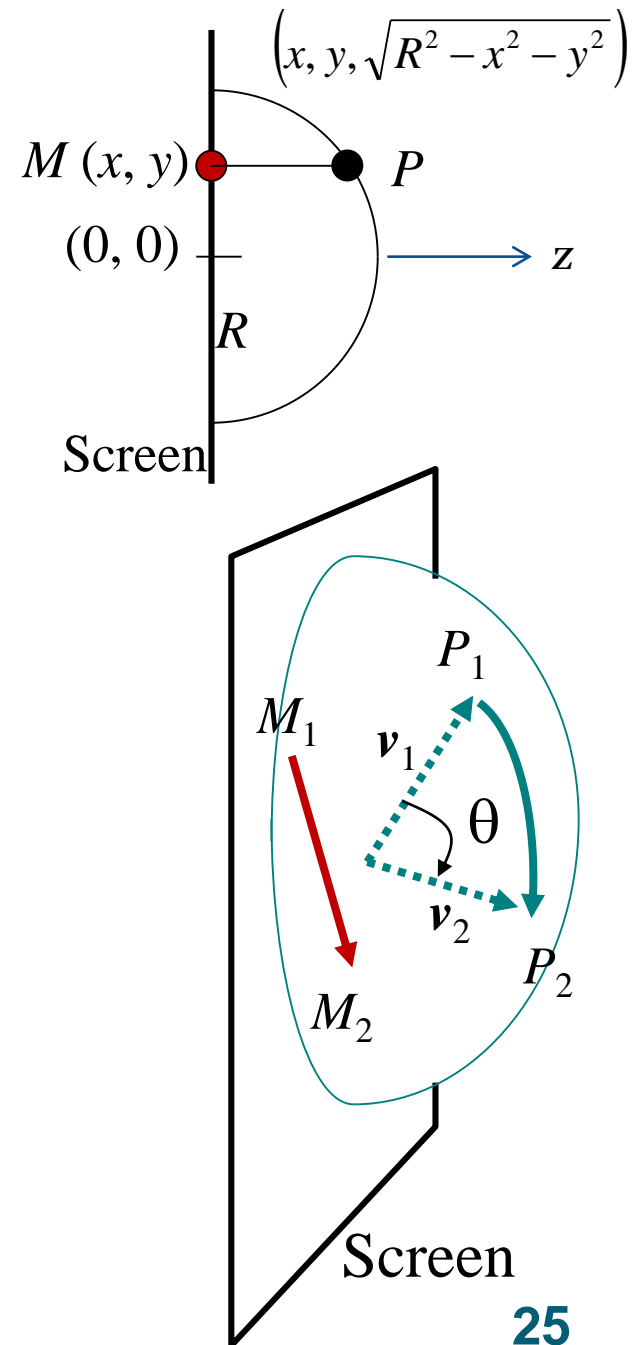
→ Mouse Drag
- - - - - Rotation



Virtual Trackball

- Let M_1M_2 be the path through which the mouse is dragged, and P_1, P_2 , the corresponding points on the virtual sphere.
- The angle of rotation is the angle between unit vectors \mathbf{v}_1 and \mathbf{v}_2

$$\theta = \cos^{-1}(\mathbf{v}_1 \bullet \mathbf{v}_2)$$
- The axis of rotation is the axis perpendicular to both \mathbf{v}_1 and \mathbf{v}_2 , given by $\mathbf{v}_1 \times \mathbf{v}_2 = (l, m, n)$
- Use `glRotatef(θ, l, m, n)` to rotate the object.



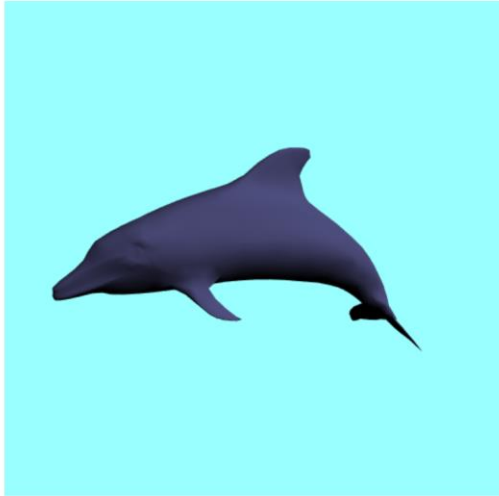
Virtual Trackball: WebGL Example

<https://www.csse.canterbury.ac.nz/mukundan/WebGL/Dolphin.html>

Chrome
or
Firefox

Computer Science and Software Engineering
COSC363 Computer Graphics
WebGL Examples

Example 1: This example demonstrates the implementation of a trackball which allows you to drag-rotate the displayed 3D model using the mouse. The two HTML input elements provide the interface for selecting the background color and the model's material color.



Select background color:

Select material color:

Background Color = (0.60, 1.00, 1.00)
Material Color = (0.31, 0.31, 0.50)

✎ Click and drag mouse to rotate object.

The color of diffuse reflection from a surface can be obtained by scaling the material color by a factor between 0 and 1, where the scale factor depends on the orientation of the surface with respect to the light source. If m denotes the material color, then all darker shades of that color are given $m \cdot t$, where $0 \leq t \leq 1$. The shade variation on the surface can be clearly seen by selecting white as the material color.

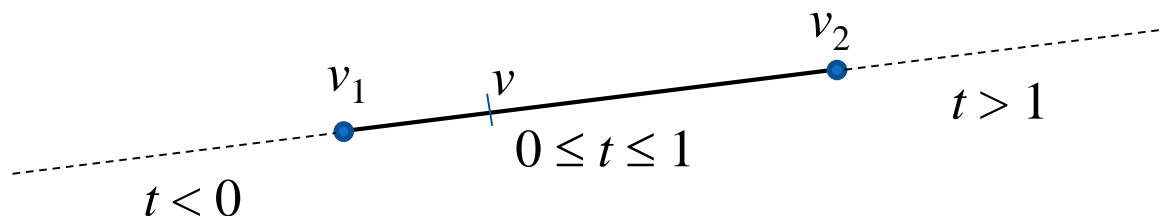
A constant color ($t=1$) is generally used when displaying a model in wireframe mode. WebGL2 does not currently have the "polygonMode()" function commonly used for switching between solid-fill and wireframe displays.

RMukundan, CSSE, UC

Linear Interpolation

Linear interpolation is useful in computing an in-between value, given the values v_1 , v_2 of some attribute at the end points of a path.

$$v = (1-t) v_1 + t v_2, \quad 0 \leq t \leq 1.$$

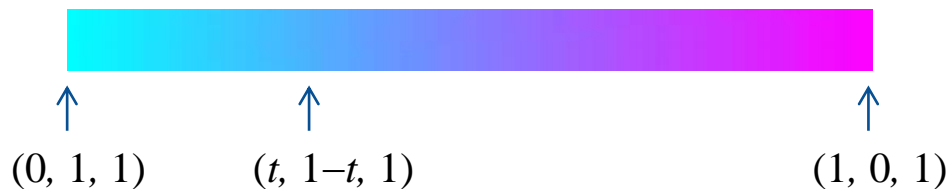


Example:

$$v_1 = (0, 1, 1)$$

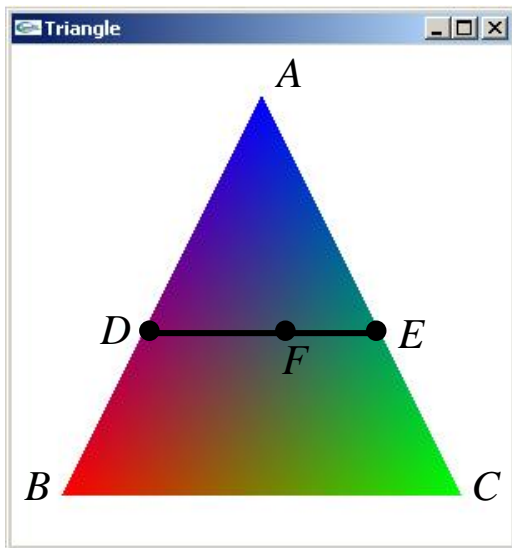
$$v_2 = (1, 0, 1)$$

$$\begin{aligned} v &= (1-t)(0, 1, 1) + t(1, 0, 1) \\ &= (t, 1-t, 1) \end{aligned}$$



Bi-Linear Interpolation

- Given the values of an attribute (such as colour) at the vertices of a triangle, bi-linear interpolation is used to obtain the values at the interior points.



$$\begin{aligned} D &= (1-t)A + tB \\ E &= (1-t)A + tC \end{aligned} \quad 0 \leq t \leq 1$$

$$F = (1-s)D + sE \quad 0 \leq s \leq 1$$



$$F = (1-k_1-k_2)A + k_1B + k_2C$$

Convex
Combination

- Interpolate along the two edges AC , BC using a single parameter t , to get D , E .
- Interpolate along DE using a second parameter s , to get F