



Beyond PCA: Machine Learning Approaches to Extract Dynamical Drivers

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Abstract

Different areas of climate science uses dimensionality reduction. In the field of teleconnections, EOF/PCA and its rotated variant dominate¹. Machine Learning offers an alternative, that can capture nonlinear connections. This poster shows a proof of concept with a CVAE trained on 5-day low pass filtered mean sea level pressure (MSLP) for the entire year from ERA 5.

- **AutoEncoders (AE):** Is a neural network where the input and output is the same. It has a bottleneck with few neurons. This encodes (fig 1d) the data in a low dimensional latent space, that is decoded (fig 1f) to resemble the input.
- **Variational AE (VAE):** The bottleneck is replaced by a multi-dimensional unit Gaussian (fig 1e). This allows meaningful interpolation in the latent space².
- **Convolutional VAE (CVAE):** To reduce the number of parameters and encode spatial relations, convolutional layers are used (fig 1 d & f).

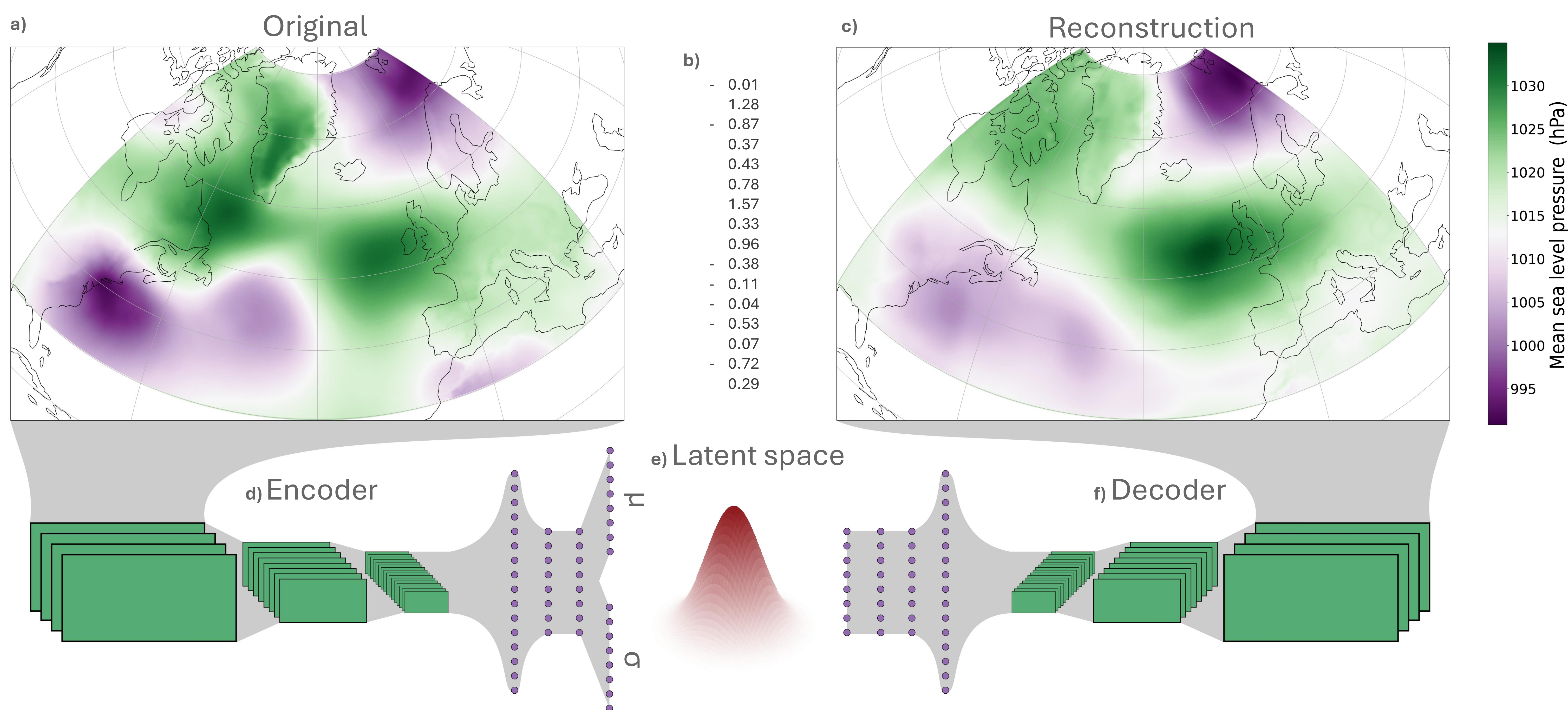


Figure 1: Schematic of dimensionality reduction using a CVAE. **a** The original MSLP field from ERA5 (1940-2023) spanning 90°W-30°E and 30°N-80°N has been preprocessed by a 5-day lowpass giving 30681 samples of size 201 x 481. To work with the convolutions, this is interpolated to 256x512 (not shown) and has the pointwise mean subtracted and standard deviation set to unity. **b** 16 numbers that the original MSLP field has been encoded to. **c** The reconstruction from decoding the 16 numbers from the latent space interpolated to the original resolution. **d** Graphical representation of the encoder structure, it is split in two components: a Convolutional (CNN) and a Feed Forward Neural Network (FFNN). The CNN (green squares) convolutes the input into 128 filters of size 32x64 and average pooling takes this to a vector fed as input to the FFNN (purple circles). This goes through fully connected layers with ReLU activation of size: 256,128,64,64,64,32. This then splits into two vectors that describe the mean (μ) and standard deviation (σ) of the Gaussian in the latent space **e**. Using the reparameterization trick² a sample is drawn from this distribution to be decoded. **f** Graphical representation of the decoder, it runs in reverse of the encoder **d** from the sampled point.

Variations on CVAE

The CVAE architecture can be further adapted to find teleconnections, by allowing for more Gaussians in the latent space (fig 1e), directly predicting variables of interest³, and having more variables as in- and outputs, (fig 1a & c).

Teleconnections

Dimensionality reduction is the first step in finding teleconnection. Step 2: timeseries construction

- Self-organizing maps
- k-means
- PCA / EOF

Step 3: timeseries analysis

- Linear fits
- Correlation
- Causal networks

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References:

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