

# Masterarbeit zur Erlangung des akademischen Grades Master of Arts der Philosophischen Fakultät der Universität Zürich

Leveraging Pretrained Word Embeddings by Enriching them with Linguistic Information During Fine-Tuning: A Case Study for germanBERT and Semantic Role Labels

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## **Abstract**

This is the place to put the English version of the abstract.

# Zusammenfassung

Und hier sollte die Zusammenfassung auf Deutsch erscheinen.

# Acknowledgement

I want to thank X, Y and Z for their precious help. And many thanks to whoever for proofreading the present text.

# **Contents**

| ΑI | bstract                                     | 1            |
|----|---|--------------|
| A  | cknowledgement                              | ii           |
| Co | ontents                                     | iii          |
| Li | ist of Figures                              | $\mathbf{v}$ |
| Li | ist of Tables                               | vi           |
| Li | ist of Acronyms                             | vii          |
| 1  | Introduction                                | 1            |
|    | 1.1 Motivation                              | . 1          |
|    | 1.1.1 History, Methods, Problems of NLU     | . 1          |
|    | 1.1.2 Contextualized Word Embeddings in NLU | . 2          |
|    | 1.2 Research Questions                      |              |
|    | 1.3 Thesis Structure                        | . 3          |
| 2  | Semantic Roles                              | 4            |
|    | 2.1 Overview                                | . 4          |
| 3  | Data Sets                                   | 5            |
|    | 3.1 gliGLUE                                 |              |
|    | 3.1.1 General Issues                        | . 6          |
|    | 3.2 Corpora                                 | . 7          |
|    | 3.2.1 deISEAR                               | . 7          |
|    | 3.2.1.1 Task                                | . 7          |
|    | 3.2.2 MLQA                                  | . 8          |
|    | 3.2.3 PAWS-X                                | . 8          |
|    | 3.2.3.1 Preprocessing                       | . 9          |
|    | 3.2.3.2 Statistics                          | . 9          |
|    | 3.2.4 SCARE                                 |              |
|    | 3.2.4.1 SCARE normal                        | . 9          |

|            | 3.2.4.2 SCARE reviews                          | 11 |  |  |  |  |
|------------|--|----|--|--|--|--|
|            | 3.2.4.3 Preprocessing                          | 12 |  |  |  |  |
|            | 3.2.5 XNLI                                     | 12 |  |  |  |  |
|            | 3.2.6 XQuAD                                    | 13 |  |  |  |  |
|            | 3.2.7 Overview                                 | 15 |  |  |  |  |
| 4          | Architecture                                   | 16 |  |  |  |  |
|            | 4.1 Overview                                   | 16 |  |  |  |  |
|            | 4.2 Semantic Role Labeller                     | 16 |  |  |  |  |
|            | 4.2.1 Finding Predicates                       | 16 |  |  |  |  |
|            | 4.2.2 DAMESRL                                  | 19 |  |  |  |  |
|            | 4.3 German BERT                                | 19 |  |  |  |  |
|            | 4.3.1 Merging of subtokens back to token level | 19 |  |  |  |  |
|            | 4.3.2 Final Layer                              |    |  |  |  |  |
| 5          | Results  | 20 |  |  |  |  |
|            | 5.1 SRL Evaluation                             | 20 |  |  |  |  |
|            | 5.1.1 deISEAR                                  | 20 |  |  |  |  |
|            | 5.1.1.1 Example 1                              |    |  |  |  |  |
|            | 5.1.1.2 Example 2                              |    |  |  |  |  |
|            | 5.1.2 PAWS-X                                   |    |  |  |  |  |
|            | 5.1.2.1 Example 1                              | 21 |  |  |  |  |
|            | 5.1.2.2 Example 2                              | 21 |  |  |  |  |
|            | 5.1.2.3 Example 3                              | 24 |  |  |  |  |
|            | 5.1.2.4 Example 4                              | 24 |  |  |  |  |
|            | 5.1.2.5 Example 5                              | 24 |  |  |  |  |
|            | 5.1.2.6 Example 6                              |    |  |  |  |  |
|            | 5.1.2.7 Example 7                              | 24 |  |  |  |  |
|            | 5.1.2.8 Example 8                              | 24 |  |  |  |  |
| 6          | Conclusion                                     | 26 |  |  |  |  |
| GI         | lossary  | 27 |  |  |  |  |
| References |  |    |  |  |  |  |
|            |  | 28 |  |  |  |  |
|            | ebenslauf                                      | 31 |  |  |  |  |
| Α          | Tables   | 32 |  |  |  |  |
| В          | List of something                              | 33 |  |  |  |  |

# **List of Figures**

| 1  | SCARE stars statistics                    | 12 |
|----|---|----|
| 2  | SCARE label statistics                    | 13 |
| 3  | Multiple Predicates Dependency Parse Tree | 18 |
| 4  |   | 20 |
| 5  |   |    |
| 6  |   |    |
| 7  |   |    |
| 8  |   |    |
| 9  |   | 24 |
| 10 |   | 24 |
| 11 |   | 25 |
| 12 |   | 25 |
| 13 |   | 25 |

# **List of Tables**

| 1 | GLUE               | 5  |
|---|--------------------|----|
| 2 | gliGLUE            | 6  |
| 3 | Example SCARE .csv | 11 |
| 4 | Example SCARE .rel | 11 |
| 5 | Overview data sets | 15 |
| 6 | Some large table   | 32 |

# **List of Acronyms**

BERT Bidirectional Encoder Representations from Transformers

CPOSTAG Coarse-grained Part-Of-Speech tag

GRU Gated Recurrent Unit

LSTM Long Short-Term Memory

ML Machine Learning

NLP Natural Language Processing

POS Part-Of-Speech

POSTAG Fine-grained Part-Of-Speech tag

RNN Recurrent Neural Network

SRL Semantic Role Labelling OR Semantic Role Labeller

STTS Stuttgart-Tübingen-TagSet

USD Universal Stanford Dependencies

### 1 Introduction

#### 1.1 Motivation

Human language bears some truly mesmerizing features and puzzles, a lot of them are still not yet understood in all its depths: For example, it is still unclear how children are able to learn the grammar of their mother tongue from the corrupted and comparatively scarce language material they are exposed to. Another astonishing fact about human language is the overwhelming amount of languages that exist today, even that number was probably much higher a few centuries ago. As to how languages evolve, change over time and what trajectories of possible change may be, lots of questions are still open, and there remains enough work to do. But for me, maybe the most trivial and enigmatic trait about human language is that we actually understand each other: That, during a discourse, person X can retrieve the intentioned meaning of expressions uttered by person Y, and vice versa. Further, we are able to logically deduce a whole lot information that is not explicitly stated in a sentence, and uphold such a state of affairs during the whole conversation. That this is not as trivial as it might look like on first sight, show the following considerations: Human language is, when being used, notoriously ambiguous, metaphorical and formally corrupted.

So, every system that claims to process human language in a ... must be able

#### 1.1.1 History, Methods, Problems of NLU

The subsection of NLP that deals with the semantics, i.e. meanings, of utterances, is NLU. For quite some time, as in most areas of NLP, systems that addressed NLU problems were architectures that consisted of carefully hand-written rules that aimed at tackling a specific problem, such as recognizing textual entailment, coreference resolution, sentiment analysis, and so on.

From on the 90ies, the so-called emphstatistical revolution took place, and NLU related problems were now being addressed by learning patterns from huge data

collections. The main challenge for engineers and scientists now lay in dicovering suitable features, according to which the algorithm would hopefully learn helpful patterns for solving the task at hand.

Since now almost a decade, a next stage in NLU and NLP, in general, was entered — we are now deep in the neural age of computational linguistics. In contrast to the statistical period's main challenge, now the algorithm is even itself learning the features that are the most informative for a given task. The human part in the process is to design the overall model architecture and provide large enough amounts of data that are also of good quality.

In other words,

"The engineering side of computational linguistics, often called natural language processing (NLP), is largely concerned with building computational tools that do useful things with language"?

#### 1.1.2 Contextualized Word Embeddings in NLU

Since the beginning of the neural age, there was the problem as to how could text be numerically meaningful represented, so that the algorithms can extract meaningful feature patterns and that there is as little information loss as possible (since a numeric representation is always an abstraction of the real data, there naturally is some unpreventable information loss). The solution that was proposed by ? is the approach that is still in use today in its core idea:

- Initialize a random vector for each word in the vocabulary
- Train a neural model to learn the best numerical representation of each word by giving it a simple task on huge amounts of unlabeled data (like CBOW, next word prediction, etc.)
- Save those numeric representations and use them in target task at hand

While the basic approaches of this approach still hold — train randomly initialized vectors on large amounts of unlabeled data with a neural network with a simple training goal —, some important changes or additions to today's implementation have been made:

- The original word2vec embeddings were *fixed*, in the sense that a word had always the same representation, regardless of the context
- The neural networks that computed these vectors were quite small (two layers

of dimensionality 300) and could be run on a standard machine. Today's models are huge (hundreds of millions of parameters are not unusual) and computationally very intensive and cannot be run locally.

• Due to the last point, practice has shifted towards pretraining these computationally heavy embeddings and finetuning them on the specific task along with it's goal

#### 1.2 Research Questions

The research questions that shall be answered in this thesis, are:

- 1. What do I do?
- 2. How do I do it?
- 3. And why?

#### 1.3 Thesis Structure

```
In this first chapter ...
Chapter 2 introduces ...
Chapter 3 ...
```

# 2 Semantic Roles

#### 2.1 Overview

"The main reason computational systems use semantic roles is to act as a shallow meaning representation that can let us make simple inferences that aren't possible from the pure surface string of words, or even from the parse tree." [Jurafsky and Martin, 2019, p. 375]

In the literature, often Gildea and Jurafsky [2002] is considered to have formally defined the task of automatic SRL.

"Analysis of semantic relations and predicate-argument structure is one of the core pieces of any system for natural language understanding." [Palmer et al., 2010]

# 3 Data Sets

### 3.1 gliGLUE

Traditionally in linguistics, language is analyzed into different structural levels, where different tools for describing these levels, or strata, are used. In most theories, there are are four of these structural levels proposed: Beginning from the Bottom, there is the level of Phonetics and Phonology, followed by Morphology, then there is the level of Syntax, and the last one is Semantics.<sup>0</sup> While the first three levels deal with the form of utterances of human language, semantics is concerned with the meaning of such utterances [Kracht, 2007, p. 4ff.].

Following Wang et al. [2018],

| Data Set | NLP Task                   | ML Task                            |           | Splits     |
|----------|----------------------------|------------------------------------|-----------|------------|
|          |                            | Single-Sentence Tasks              |           |            |
| CoLA     | Acceptability              | Binary Classification              | 8.5k/1k   | train/test |
| SST-2    | Sentiment Analysis         | Binary Classification              | 67k/1.8k  | train/test |
|          |                            | Two-Sentence Tasks                 |           |            |
| MNLI     | Natural Language Inference | Multi-Class Classification         | 393k/20k  | train/test |
| MRPC     | Paraphrase Identification  | Binary Classification              | 3.7k/1.7k | train/test |
| QNLI     | Question Answering         | Binary Classification <sup>0</sup> | 105k/5.4k | train/test |
| QQP      | Paraphrase Identification  | Binary Classification              | 364k/391k | train/test |
| RTE      | Natural Language Inference | Binary Classification <sup>0</sup> | 2.5k/3k   | train/test |
| STS-B    | Sentence Similarity        | Regression (1 - 5)                 | 7k/1.4k   | train/test |
| WNLI     | Coreference Resolution     | Binary Classification <sup>0</sup> | 634/146   | train/test |

Table 1: Original GLUE data sets and tasks.

<sup>&</sup>lt;sup>0</sup>Sometimes Pragmatics is conceptualized as an additional fifth layer on top, sometimes it is considered to form a field of its own; I follow the latter.

<sup>&</sup>lt;sup>0</sup>Wang et al. [2018] reformulate the original SQuAD task CITE of predicting an answer span in the context into a sentence pair binary classification task: They pair each sentence in the context with the question and predict whether or not the context sentence includes the answer span.

| Data Set | NLP Task                   | ML Task                    | # Examples         | Splits         |
|----------|----------------------------|----------------------------|--------------------|----------------|
|          |                            | Single-Sentence Task       | S                  |                |
| delSEAR  | Emotion Detection          | Multi-Class Classification | 1 001              | -              |
| SCARE    | Sentiment Analysis         | Multi-Class Classification | 1 760              | -              |
|          |                            | Two-Sentence Tasks         | 1                  |                |
| MLQA     | Question Answering         | Span Prediction            | 509/4 499          | dev/test       |
| PAWS-X   | Paraphrase Identification  | Binary Classification      | 14 402/2 000/4 000 | train/dev/test |
| XNLI     | Natural Language Inference | Multi-Class Classification | 2 489/7 498        | dev/test       |
| XQuAD    | Question Answering         | Span Prediction            | 1 192              | -              |

Table 2: gliGLUE data sets and tasks.

#### 3.1.1 General Issues

There are a few remarks and strategies that apply to all collected corpora:

- (1) Most of the data sets are not monolingual, i.e. German, sources, but bi- or multilingual corpora. To compile a German GLUE corpus I only use the German subset of those corpora. For example, the MLQA data set provides all 49 combinations of the languages it contains: Context in Arabic, question in Hindi; context in English, question in Spanish, etc. Also in this case, I choose only the German-German part of the data set for my corpus.
- (2) The data sets I chose for my little GLUE corpus are being provided in different approaches. While three of the corpora, namely MLQA, PAWS-X, and XNLI, come with a predefined split, the others are made available without splits. In the latter case, I split the data sets into train, development, and test splits using a 0.7, 0.15, and 0.15 portion, respectively. Interestingly, the data sets that come with splits, only provide a development and test portion. To ensure that my results are comparable with those that the authors of the different data sets report, I leave the test split as it is, and split the development set into a train and development set, implementing a 85:15 ratio.

<sup>&</sup>lt;sup>0</sup>Wang et al. [2018] combine several data sets into RTE; for data sets that have three labels — entailment, neutral, and contradiction — they collapse the latter two into one label not\_entailment.

<sup>&</sup>lt;sup>0</sup>In the original Winograd Schema Challenge CITE, the task is to choose the correct referent of a pronoun from a list. Wang et al. [2018] reformulate this to a sentence pair classification task, where the original sentence is paired with the original sentence with each pronoun substituted from the list and then predicting whether the substituted sentence is entailed by the original one.

The following differences to the original GLUE corpus must be noted:

(1) While Wang et al. [2018] reformulate a multitude of tasks into inference tasks, I follow in my implementation Zhang et al. [2019b] and approach the question answering tasks as Devlin et al. [2018] in the original BERT implementation; i.e. as span prediction task.

### 3.2 Corpora

In this section, I give a detailed description of the selected data sets in alphabetical order: What kind of task is addressed, what is the text variety, how looks the label distribution, etc.

#### 3.2.1 delSEAR

#### 3.2.1.1 Task

This data set addresses the task of Emotion recognition, a sub-task of Sentiment Analysis. Technically, it is a sequence classification problem: Given a sequence of tokens, predict the correct label from a fixed set of emotions. Following by the original study "International Survey on Emotion Antecedents and Reactions" [Scherer and Wallbott, 1994], Troiano et al. [2019] constructed their data set for German: In a first step, the authors presented annotators with one of seven emotions, and asked them to come up with a textual description of an event in which they felt that emotion. The task was formulated as a sentence completion, so the annotators, which were recruited via an crowdsourcing platform, had to complete sentences having the following structure: "Ich fühlte emotion, als/weil...". Seven emotions were given for which the descriptions had to be constructed: Traurigkeit, Ekel, Schuld, Wut, Angst, Scham, Freude. For *Traurigkeit* and *Ekel* there are 144 examples in the data set, for the other emotions there are 143.

(3.1) Ich fühlte ..., als mein Laptop kaputt ging und die Garantie schon abgelaufen war.

The searched emotion is *Traurigkeit* in example 3.2.1.1.

#### 3.2.2 MLQA

- (3.2) Rita Sahatçiu Ora (\* 26. November 1990 in Priština, SFR Jugoslawien) ist eine britische Sängerin und Schauspielerin kosovarischer Herkunft. Von 2010 bis 2016 stand sie bei Jay Z und Roc Nation unter Vertrag. Seit 2017 steht sie bei Atlantic Records unter Vertrag.
  - 1. Wann wurde Rita Sahatçiu Ora geboren?  $\rightarrow$  26. November 1990

Lewis et al. [2019] compiled

PROBLEM: 231 out of 5,029 exceed tokenized length of  $512 \rightarrow \text{ignore}? 4.6\%$ 

stats:

average length train answer: 4.0 (5.6) average length dev answer: 3.7 (5.2) average length test answer: 4.0 (5.6) average length train question: 9.4 (11.4) average length dev question: 8.6 (10.6) average length test question: 9.1 (11.2) average length train context: 127.7 (162.7) average length dev context: 125.1 (159.4) average length test context: 129.9 (165.5)

#### 3.2.3 PAWS-X

The PAWS-X corpus Yang et al. [2019] was compiled to provide a multilingual source for training models that address the problem of paraphrase identification. Since most corpora for this task are available only in English the authors compiled this corpus by humanly translate a subset of the original PAWS corpus Zhang et al. [2019a].

(3.3) Die Familie zog 1972 nach Camp Hill, wo er die Trinity High School in Harrisburg, Pennsylvania, besuchte.

1972 zog die Familie nach Camp Hill, wo er die Trinity High School in Harrisburg, Pennsylvania, besuchte.

The label for the sentence pair 3.2.3, of course, would be *true*, since sentence one is a paraphrase of sentence two, and vice versa.

Chapter 3. Data Sets

stats

3.2.3.1 Preprocessing

During the preprocessing of this data set, the following considerations are taken into

account:

In the predefined development and test splits, there are some examples where one or

both sentences consist only of the string "NS". I decided to not include this examples

into the data used for training and evaluating my models, since those examples don't

contribute any useful features for the model.<sup>0</sup> Further, some examples consist of

empty strings; I treat those the same way as the examples mentioned before.

Further, there are sentences XXXXX

3.2.3.2 Statistics

Since the training data are solely machine-translated while the development and test

data are human-translated, there needs to be some clarification as to how differently

those sets are. One measure to capture similarities between sentences is the BLEU

score Papineni et al. [2002]: This score measures the overlap of n-grams between

two sentences, such that XXX The BLEU score is a value between 0 (no n-gram

overlaps) to 1 (perfect n-gram overlaps), where a BLEU score of 1 means that the

two sentences are identical.

Train: 0.553

Development: 0.373

Test: 0.384

The training set contains 3,209 sentence pairs (6.6% of all the sentence pairs) with

a BLEU score of 1.0 — which means they are identical.

**3.2.4 SCARE** 

3.2.4.1 SCARE normal

"Unlike product reviews of other domains, e.g. household appliances, consumer

electronics or movies, application reviews offer a couple of peculiarities which deserve

<sup>0</sup>The authors don't comment on these obscure sentences, so I do not know what was the reasoning

behind including these into the data sets.

9

special treatment: The way in which users express their opinion in app reviews is shorter and more concise than in other product reviews. Moreover, due to the frequent use of colloquial words and a flexible use of grammar, app reviews can be considered to be more similiar [sic] to Twitter messages ("Tweets") than reviews of products from other domains or platforms [...]." [Sänger et al., 2016, p. 1114]

The Sentiment Corpus of App Reviews with Fine-grained Annotations in German Sänger et al. [2016] is a hand-annotated corpus that asserts so sentiment to German mobile app reviews stemming from the Google Play Store. Since there are many users of In contrast to other data sets, e.g. [Socher et al., 2013; Go et al., 2009], that attributes one sentiment label to a whole text (may it be a review, a tweet, etc.), Sänger et al. [2016] annotated their data set on a lower textual level: Not each review gets labelled for a certain polarity — i.e. positive, negative, or neutral but what the authors call aspects and correlating subjective phrases. An aspect is an entity, that is related to the application: It may be the application itself, parts of the application, a feature request regarding the application, etc. A subjective phrase "express[es] opinions and statements of a personal evaluation regarding the app or a part of it, that are not based on (objective) facts but on individual opinions of the reviewers" [Sänger et al., 2016, p. 1116]. In other words, aspects are facts about the App and subjective phrases are user opinions regarding them. This fine level of annotations leads often to several annotations per review, the sentiment of which may not always match. As illustration, consider the following review:

(3.4) guter wecker... || vom prinzip her echt gut...aber grade was die sprachausgabe betrifft noch etwas buggy....<sup>0</sup>

There are the following annotations for the aspects and their corresponding subjective phrases (aspects are bold, the subjective phrase is italic and the polarity is normal):

- Wecker,  $guter \rightarrow positive$
- Prinzip, echt gut  $\rightarrow$  positive
- Sprachausgabe, etwas  $buggy \rightarrow \text{negative}$

As is clear from this example, in a given review there may be several aspects with a corresponding subjective phrase per review. It is well possible, as in the provided example, that the sentiment of these is not always the same.

Example from .csv file:

<sup>&</sup>lt;sup>0</sup>The "||" denotes that the text left of it is the user given "title" of the review, and the part on

| Class      | ID   | Left | Right | Text                   | Aspect- / Subj-ID | Polarity | Relation |
|------------|------|------|-------|------------------------|-------------------|----------|----------|
| subjective | 7000 | 0    | 15    | Alles wieder ok        | 7000-subjective2  | Positive | Related  |
| aspect     | 7000 | 21   | 27    | Update                 | 7000-aspect1      | Neutral  | Related  |
| subjective | 7000 | 28   | 40    | funktioniert           | 7000-subjective1  | Positive | Related  |
| subjective | 7001 | 0    | 10    | Echt super             | 7001-subjective5  | Positive | Related  |
| subjective | 7001 | 15   | 22    | Schönes                | 7001-subjective4  | Positive | Related  |
| subjective | 7001 | 38   | 51    | einzigartiges          | 7001-subjective3  | Positive | Related  |
| aspect     | 7001 | 52   | 61    | interface              | 7001-aspect2      | Neutral  | Related  |
| subjective | 7001 | 63   | 78    | wirklich klasse        | 7001-subjective2  | Positive | Related  |
| subjective | 7001 | 80   | 90    | Schön wäre             | 7001-subjective1  | Negative | Related  |
| aspect     | 7001 | 113  | 135   | lieder als klingeltöne | 7001-aspect1      | Neutral  | Foreign  |

Table 3: An example from the alarm\_clocks.csv file.

#### Corresponding .rel file:

| Relation-ID | Aspect-ID    | Subj-ID          | Aspect-String          | Subj-String   |
|-------------|--------------|------------------|------------------------|---------------|
| 7000        | 7000-aspect1 | 7000-subjective1 | Update                 | funktioniert  |
| 7001        | 7001-aspect2 | 7001-subjective4 | interface              | Schönes       |
| 7001        | 7001-aspect2 | 7001-subjective3 | interface              | einzigartiges |
| 7001        | 7001-aspect1 | 7001-subjective1 | lieder als klingeltöne | Schön wäre    |

Table 4: An example from the alarm\_clocks.rel file.

stats: there are 1,760 fine-grained annotated reviews

#### 3.2.4.2 SCARE reviews

Besides their carefully, hand-annotated corpus, the authors also provide a dataset comprising of 802,860 reviews along with the rating — one to five stars —, that were available in German on the Google Play Store. This data set is much larger than the annotated one: Due to the great expenses of generating those fine-grained annotations, the authors were able to annotate only 0.22% of all reviews available.

the right is the actual review.

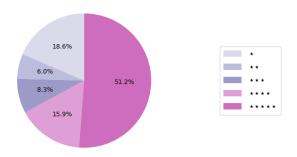


Figure 1: Overview of percentage of stars given. Clearly, there is an imbalance towards giving the full amount of stars possible

#### 3.2.4.3 Preprocessing

For integrating the SCARE corpus into my GerBLUE corpus, I need to prepare the data, so it can be handled by the model architecture. Following the original GLUE sentiment task, the model needs only to predict one sentiment label for each example. Since there exist mostly multiple annotations for each review in this data set, the data needs to be pre-processed in a way, so that there is one review-label per example.

To generate the review-label, I simply carry out an majority class decision: The label that is most often annotated for a given review, regardless if it is an aspect or a subjective, is then also the review-label. If there is no majority label, the review-label is set to "neutral". This is also the chosen strategy for 51 reviews that had no labels at all; an example of such a review is the following one:

- (3.5) "Ich bin die erfuinderin || Ich bin die erfunden!!!!!!!!!!!!!".
- 2.9% of reviews had no labels at all
- 3.0% of votes were non-majority
- 13.8% of votes were close (label difference of 1)

#### 3.2.5 XNLI

Conneau et al. [2018]

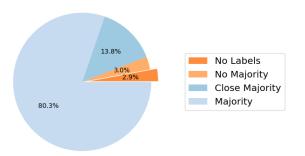


Figure 2: Statistics of label generation. For most of the examples, there was a clear majority decision as to which label should be chosen. *Close Majority* means the majority vote was off by 1. The reddish portions in the graph were labelled *neutral* by default, while the blueish ones were labelled according to the majority vote decision.

(3.6) Ich wusste nicht was ich vorhatte oder so, ich musste mich an einen bestimmten Ort in Washington melden.

Ich war noch nie in Washington, deshalb habe ich mich auf der Suche nach dem Ort verirrt, als ich dahin entsandt wurde.

The label for example 3.2.5 is *neutral* since the second sentence does not follow necessarily from the first and it also does not contradict it, either. number of examples= 7,500

#### 3.2.6 XQuAD

(3.7) Aristoteles lieferte eine philosophische Diskussion über das Konzept einer Kraft als integraler Bestandteil der aristotelischen Kosmologie. Nach Ansicht von Aristoteles enthält die irdische Sphäre vier Elemente, die an verschiedenen "natürlichen Orten" darin zur Ruhe kommen. Aristoteles glaubte, dass bewegungslose Objekte auf der Erde, die hauptsächlich aus den Elementen Erde und Wasser bestehen, an ihrem natürlichen Ort auf dem Boden liegen und dass sie so bleiben würden, wenn man sie in Ruhe lässt. Er unterschied zwischen der angeborenen Tendenz von Objekten, ihren "natürlichen Ort" zu finden (z. B. dass schwere Körper fallen), was eine "natürliche Bewegung" darstellt und unnatürlichen oder erzwungenen

Bewegungen, die den fortwährenden Einsatz einer Kraft erfordern. Diese Theorie, die auf der alltäglichen Erfahrung basiert, wie sich Objekte bewegen, wie z. B. die ständige Anwendung einer Kraft, die erforderlich ist, um einen Wagen in Bewegung zu halten, hatte konzeptionelle Schwierigkeiten, das Verhalten von Projektilen, wie beispielsweise den Flug von Pfeilen, zu erklären. Der Ort, an dem der Bogenschütze den Pfeil bewegt, liegt am Anfang des Fluges und während der Pfeil durch die Luft gleitet, wirkt keine erkennbare effiziente Ursache darauf ein. Aristoteles war sich dieses Problems bewusst und vermutete, dass die durch den Flugweg des Projektils verdrängte Luft das Projektil zu seinem Ziel trägt. Diese Erklärung erfordert ein Kontinuum wie Luft zur Veränderung des Ortes im Allgemeinen.

The questions and corresponding answer spans for paragraph 3.2.6 in the data set are the following:

- 1. Wer leitete eine philosophische Diskussion über Kraft?  $\rightarrow$  Aristoteles
- 2. Wovon war das Konzept der Kraft ein integraler Bestandteil?  $\rightarrow$ aristotelischen Kosmologie
- 3. Aus wie vielen Elementen besteht die irdische Sphäre nach Ansicht des Aristoteles?  $\rightarrow$  vier
- 4. Wo vermutete Aristoteles den natürlichen Ort für Erd- und Wasserelemente?  $\rightarrow$  auf dem Boden
- 5. Was bezeichnete Aristoteles als erzwungene Bewegung?  $\rightarrow$  unnatürlichen

Artetxe et al. [2019]

#### stats:

```
average length train answer: 3.2 (4.7) average length dev answer: 3.3 (5.2) average length test answer: 3.6 (5.7) average length train question: 11.3 (14.3) average length dev question: 11.5 (14.3) average length test question: 11.4 (14.5) average length train context: 151.3 (191.7) average length dev context: 149.5 (190.7) average length test context: 144.3 (187.3)
```

#### 3.2.7 Overview

| Data Set   | NLP Task                   | ML Task                 | # Examples | Splits         |
|------------|----------------------------|-------------------------|------------|----------------|
| delSEAR    | Emotion Detection          | Sequence Classification | XYZ        | -              |
| MLQA       | Question Answering         | Span Prediction         | XYZ        | dev/test       |
| PAWS-X     | Paraphrase Identification  | Sequence Classification | XYZ        | train/dev/test |
| SCARE      | Sentiment Analysis         | Sequence Classifiaction | XYZ        | -              |
| SCARE Rev. | Sentiment Analysis         | Sequence Classification | XYZ        | -              |
| XNLI       | Natural Language Inference | Sequence Classification | XYZ        | dev/test       |
| XQuAD      | Question Answering         | Span Prediction         | XYZ        | -              |

Table 5: Overview over collected data sets and tasks.

## 4 Architecture

#### 4.1 Overview

#### 4.2 Semantic Role Labeller

A Semantic Role Labeller (SRL) is a system, that assigns automatically semantic roles to a given input text.<sup>0</sup>

State-of-the-art semantic role labellers (SRLs) are end-to-end models, nowadays often implementing deep learning techniques, like RNNs or attention, that render tedious feature engineering unnecessary. For my system, I implement the DAMESRL, a model presented by Do et al. [2018]. I use their pre-trained German Character-Attention model which, according to the authors, achieved an F1 score of 73.5% on the CoNLL'09 task [Hajič et al., 2009]. However, their SRL needs as input not only the sentence, but also "its predicate  $w_p$  as input" [Do et al., 2018].

"A major advantage of dependency grammars is their ability to deal with languages that are morphologically rich and have a relatively free word order." [Jurafsky and Martin, 2019, p. 274] For extracting predicates, I rely on the dependency tree the ParZu parser Sennrich et al. [2013] generates for a given sentence. Since one sentence can have multiple predicate-argument structures, I need to device an algorithm to extract the relevant predicates in a sentence. This is not as straight forward as it seems on the first look.

### 4.2.1 Finding Predicates

It is a known problem in the analysis of semantic roles that a proper procedure for predicate identification is a hard to tackle problem, consider e.g. the discussion concerning so called light verbs: Wittenberg [2016].

<sup>&</sup>lt;sup>0</sup>This may be one or multiple sentences.

"First, the predicates which assign semantic roles to the constituents are identified prior to semantic role labelling proper. They are usually identified as the main verbs which head clauses." [Samardzic, 2013, p. 74] In a dependency framework like USD [De Marneffe et al., 2014], which explicitly sets the content verb as root, identification of the relevant predicate is straight-forward: One has simply to look at the dependency parse tree of a given sentence and select the heads — i.e. roots — of the clauses. However, the ParZu parser models not content words as heads but function words.<sup>0</sup>

(interestingly, this stands in contrast to the Pro3Gres parser [Schneider, 2008] which

"In a constituency parse, the finite verb is the head of a verb phrase or rather sentence. A dependency parse, on the other hand, does not consider auxiliaries as heads and therefore finite verbs are usually not the head of the sentence. Hence, the head of a sentence typically is the verb containing the meaning. In that sense, dependency structures are closer to the semantics of a sentence." [Aepli, 2018, p. 6f.]

"The parsing scheme that USD advocates takes the division between function word and content word as its guiding principle. One major difficulty with doing this is that the dividing line between function word and content word is often not clear." Groß and Osborne [2015]

Following Foth [2006]

- (4.1) Die Keita-Dynastie regierte das vorkaiserliche und kaiserliche Mali vom 12. Jahrhundert bis Anfang des 17. Jahrhunderts.
- (4.2) Im tibetischen Buddhismus werden die Dharma-Lehrer/innen gewöhnlich als Lama bezeichnet.
- (4.3) Die Klage wurde abgewiesen, was als Sieg beschrieben werden kann.

whose dependency parse tree is shown in Figure 3: This sentence has five verbs in it, wurde, abgewiesen, beschrieben, werden, and kann (POS-tag "V" in the second

<sup>&</sup>lt;sup>0</sup>This follows general dependency frameworks proposed for German, e.g. Gerdes and Kahane [2001]; Groß and Osborne [2015].

row), but only two of them are relevant predicates, i.e. predicates that carry "true" semantics.

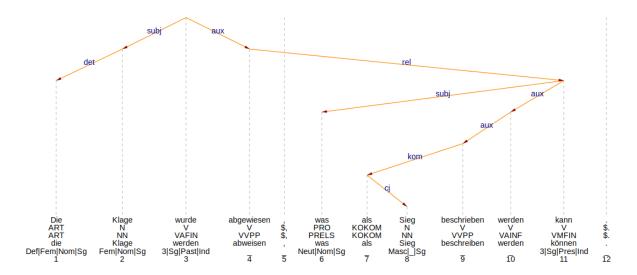


Figure 3: Example dependency parse tree for a sentence with multiple predicates.

I propose the following algorithm 1 deciding whether a verb in a sentence is or isn't a predicate using a heuristic, relying on the token's POS tag that the parser predicts. The ParZu parser's default output follows the CoNLL scheme [Buchholz and Marsi, 2006] which means that there are two levels of POS tagging: coarse-grained (CPOSTAG) and fine-grained (POSTAG), where the POSTAG corresponds to the token's STTS tag [Schiller et al., 1999].

The condition on line 9, that only tokens in the respective subclause are considered, is ensured by making sure that if a token u's POS is "V" and it points to its head t, that it is not itself the head of a subclause — i.e. its dependency relation is e.g. "relative clause". If that is the case the token u is considered to belong to another subclause and therefore not preventing token t from getting labelled as a predicate. Consider again the example 4.2.1: Let's say we are in the for-loop at the token weitergeleitet. Because it is a verb but not a finite full-verb, we enter the else-clause on line 7. If we were now to loop through all token of sentence 4.2.1 we would find that token  $f\ddot{u}hrt$  is a verb that points to our primary token. Without the above outlined constraint that only verbs in the same subclause pointing to our original verb are preventing it from being labelled a predicate, weitergeleitet would be labelled as non-predicate. This is obviously false. Taking into account the above considerations, we see that although  $f\ddot{u}hrt$  points to weitergeleitet, its edge label is rel — which means that it's the head of a relative subclause — therefore it is not anymore in the same subclause and weitergeleitet gets labelled as predicate.

#### Algorithm 1 Predicate finding algorithm

```
1: for all token t \in \text{sentence do}
       if CPOSTAG t \neq 'V' then
 2:
          t \leftarrow \text{NOT\_PRED}
 3:
       else
 4:
          if POSTAG t = \text{'VVFIN'} then
 5:
             t \leftarrow \text{PRED}
 6:
          else
 7:
             FLAG \leftarrow True
 8:
            for all token u \neq t \in \text{subclause where } t \in \text{subclause do}
 9:
               if CPOSTAG u = V' \wedge u dependent on t then
10:
11:
                  t \leftarrow \text{NOT\_PRED}
12:
                  FLAG \leftarrow False
13:
                  break
               end if
14:
            end for
15:
            if FLAG = True then
16:
               t \leftarrow \text{PRED}
17:
            end if
18:
          end if
19:
       end if
20:
21: end for
```

#### 4.2.2 DAMESRL

#### 4.3 German BERT

Since its publishing two years ago, BERT [Devlin et al., 2018] has often been called a "turning-point" in ML in NLP.

I use the bert-base-german-cased model from deepset which is available in py-Torch through the hugging face libraryWolf et al. [2019].

### 4.3.1 Merging of subtokens back to token level

### 4.3.2 Final Layer

As has been shown by e.g. Myagmar et al. [2019] for sentiment analysis, a simply final fully-connected feed forward layer produces fairly good results.

# 5 Results

### 5.1 SRL Evaluation

#### 5.1.1 delSEAR

### 5.1.1.1 Example 1

Ich fühlte [MASK], als mir beim Grillen das Grillgut verbrannt ist.



Figure 4:

#### 5.1.1.2 Example 2

Ich fühlte [MASK], als mich eine Bekannte gefragt hat, ob mir ihr Kuchen geschmeckt hat, den sie mir zuvor gebracht hat. Ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste ihn weg werfen. Ich fühlte [MASK], als mich eine Bekannte gefragt hat, ob mir ihr Kuchen geschmeckt hat, den sie mir zuvor gebracht hat. Ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste ihn weg werfen. Ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste ihn weg werfen. Ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste ihn weg werfen. Ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste ihn weg werfen. Ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste ihn weg werfen. Ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste ihn weg werfen. Ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste ihn weg werfen. Ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste lügen, dass er gut war, obwohl in der Wirklichkeit war er ungenießbar und ich musste lügen, dass er gut war, obwohl in der Wirklichk

Figure 5:

#### 5.1.2 PAWS-X

#### 5.1.2.1 Example 1

#### Sentence 1

Im Gegenzug [predicate gab] Grimoald [A1 seine Tochter zur Hochzeit] und gewährte ihm das Herzogtum Spoleto nach dem Tod von Atto.

Im Gegenzug gab Grimoald [ $_{A0}$  seine Tochter] zur Hochzeit und [ $_{predicate}$  gewährte] [ $_{A2}$  ihm] [ $_{A1}$  das Herzogtum Spoleto nach dem Tod von Atto] .

#### Sentence 2

Im Gegenzug [predicate gab] Grimoald [A1 seine Tochter] [A3 in die Ehe] und gewährte ihm das Herzogtum Spoleto nach dem Tod von Atto.

Im Gegenzug gab Grimoald [A0 seine Tochter] in die Ehe und [predicate gewährte] [A2 ihm] [A1 das Herzogtum Spoleto nach dem Tod von Atto].



Figure 6:

#### 5.1.2.2 Example 2

#### Sentence 1

Camm [ $_{predicate}$  entschied], [ $_{A1}$  dass beide Motoren eingesetzt werden sollten: Der Tempest Mk 5 hatte den Napier Saber eingebaut, während der Tempest Mk 2 der Bristol Centaurus war].

Camm entschied, dass [A1 beide Motoren] [predicate eingesetzt] werden sollten: [A1 Der Tempest Mk 5 hatte den Napier Saber eingebaut, während] der Tempest Mk 2 der Bristol Centaurus war.

Camm entschied, dass beide Motoren eingesetzt werden sollten: [A0 Der Tempest Mk 5] hatte [A3 den Napier Saber] [predicate eingebaut], während der Tempest Mk 2 der Bristol Centaurus war.

Camm entschied, dass beide Motoren eingesetzt werden sollten: Der Tempest Mk 5 hatte den Napier Saber eingebaut, während [ $_{A1}$  der Tempest Mk 2 der Bristol Centaurus] [ $_{predicate}$  war] .

#### Sentence 2

22

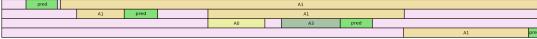
Camm [predicate entschied], [A1 dass beide Motoren eingesetz werden sollten: Der Tempest Mk 5 war mit dem Napier Saber ausgestattet, während der Tempest Mk 2 den Bristol Centaurus hatte].

Camm entschied, dass [A1] beide Motoren [A1] b

Camm entschied, dass beide Motoren eingesetzt werden sollten: [ $_{A0}$  Der Tempest Mk 5] war [ $_{A1}$  mit dem Napier Saber] [ $_{predicate}$  ausgestattet], während der Tempest Mk 2 den Bristol Centaurus hatte.

Camm entschied, dass beide Motoren eingesetzt werden sollten: Der Tempest Mk 5 war mit dem Napier Saber ausgestattet, während [A1] der Tempest Mk 2 den Bristol Centaurus [A1] der Tempest Mk 2 den Bristol Centaurus [A1] [A1] der Tempest Mk 2 den Bristol Centaurus [A1] [A1] der Tempest Mk 2 den Bristol Centaurus [A1] [A1]





Camm entschied, dass beide Motoren eingesetzt werden sollten: Der Tempest Mk 5 war mit dem Napier Saber ausgestattet, während der Tempest Mk 2 den Bristol Centaurus hatte.

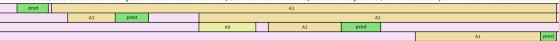


Figure 7:

#### 5.1.2.3 Example 3

Es wird vom Stadtteil Sarawak in Limbang in zwei Teile geteilt.



Figure 8:

#### 5.1.2.4 Example 4

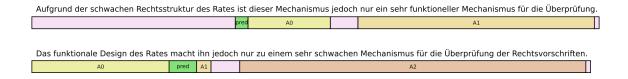


Figure 9:

#### 5.1.2.5 Example 5

Es wurde 1930 von American Airlines erworben, um AVCO zu werden.



Figure 10:

- 5.1.2.6 Example 6
- 5.1.2.7 Example 7
- 5.1.2.8 Example 8



Figure 11:

Didkovsky hat für eine Reihe von CDs komponiert oder aufgeführt, darunter:

A1

pred

A2

Didkovsky spielte oder komponierte eine Reihe von CDs, darunter:

Figure 12:



Figure 13:

# 6 Conclusion

In this project we have done so much.<sup>1</sup>

We could show that  $\dots$ 

Future research is needed.

The show must go on.

 $<sup>^{1}</sup>$ Thanks to many people that helped me.

# **Glossary**

Of course there are plenty of glossaries out there! One (not too serious) example is the online MT glossary of Kevin Knight <sup>2</sup> in which MT itself is defined as

techniques for allowing construction workers and architects from all over the world to communicate better with each other so they can get back to work on that really tall tower.

**accuracy** A basic score for evaluating automatic **annotation tools** such as **parsers** or **part-of-speech taggers**. It is equal to the number of **tokens** correctly tagged, divided by the total number of tokens. [...]. (See **precision and recall**.)

**clitic** A morpheme that has the syntactic characteristics of a word, but is phonologically and lexically bound to another word, for example n't in the word hasn't. Possessive forms can also be clitics, e.g. The dog's dinner. When **part-of-speech tagging** is carried out on a corpus, clitics are often separated from the word they are joined to.

<sup>&</sup>lt;sup>2</sup>Machine Translation Glossary (Kevin Knight): http://www.isi.edu/natural-language/people/dvl.html

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# Lebenslauf

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seit 2014 Master

#### Berufliche und nebenberufliche Tätigkeiten

2012–2013 Tutorate PCL I+II

# **A** Tables

| Part of speech | POS type | POS | in my corpus |
|----------------|----------|-----|--------------|
| 14             | DET      | 35  | 280          |
| 14             | DET      | 35  | 280          |
| 14             | DET      | 35  | 280          |
| 14             | DET      | 35  | 280          |
| 14             | DET      | 35  | 280          |
| 14             | DET      | 35  | 280          |
| 14             | DET      | 35  | 280          |
| 14             | DET      | 35  | 280          |

DET

DET

DET

DET

DET

DET

Total

number of labels

Table 6: Some very large table in the appendix  $\,$ 

# **B** List of something

This appendix contains a list of things I used for my work.

- apples
  - export2someformat
- bananas
- oranges
  - bleu4orange
  - rouge2orange