

## Masterarbeit zur Erlangung des akademischen Grades **Master of Arts**

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(Titel)

Verfasserin/Verfasser: Jonathan Schaber

Matrikel-Nr: 11-771-359

Referentin/Referent: Dr. Simon Clematide

[Betreuerin/Betreuer: (Titel Vorname Name) [nur falls vom Ref. unterschiedlich]]

Institut für Computerlinguistik

Abgabedatum: (xx.xx.xxxx)

## **Abstract**

This is the place to put the English version of the abstract.

# Zusammenfassung

Und hier sollte die Zusammenfassung auf Deutsch erscheinen.

# Acknowledgement

I want to thank X, Y and Z for their precious help. And many thanks to whoever for proofreading the present text.

# **Contents**

AI	DSIFACI	1
A	cknowledgement	ii
C	ontents	iii
Li	ist of Figures	$\mathbf{v}$
Li	ist of Tables	vi
Li	ist of Acronyms	vii
1	Introduction	1
	1.1 Motivation	1
	1.2 Research Questions	1
	1.3 Thesis Structure	
2	Semantic Roles	2
	2.1 Overview	2
3	Data Sets	3
	3.1 Why create an own corpus?	3
	3.2 Corpora	3
	3.2.1 deISEAR	3
	3.2.1.1 Task	3
	3.2.2 MLQA	4
	3.2.3 PAWS-X	4
	3.2.4 SCARE	5
	3.2.4.1 SCARE normal	5
	3.2.4.2 SCARE reviews	6
	3.2.4.3 Preprocessing	6
	3.2.5 XNLI	
	3.2.6 XQuAD	8
	3.2.7 Overview	9

4	Architecture	10
	4.1 Overview	 10
	4.2 Semantic Role Labeller	 10
	4.2.1 Finding Predicates	 10
	4.2.2 DAMESRL	 13
	4.3 German BERT	 13
	4.3.1 Merging of subtokens back to token level	 13
5	Results	14
	5.1 BLEU Scores	 14
	5.2 Evaluation	 14
	5.2.1 More evaluation	 14
	5.3 Citations	 14
	5.4 Graphics	 15
	5.5 Some Linguistics	 16
6	Conclusion	17
GI	lossary	18
Re	eferences	19
Le	ebenslauf	22
Α	Tables	23
В	List of something	24

# **List of Figures**

1	delSEAR statistics
2	PAWS-X statistics
3	SCARE stars statistics
4	SCARE label statistics
5	Multiple Predicates Dependency Parse Tree
6	Rosetta

# **List of Tables**

1	Overview data sets	Ć
2	ABC BLEU scores	14
3	Some large table	23

# **List of Acronyms**

BERT Bidirectional Encoder Representations from Transformers

CPOSTAG Coarse-grained Part-Of-Speech tag

GRU Gated Recurrent Unit

LSTM Long Short-Term Memory

ML Machine Learning

NLP Natural Language Processing

POS Part-Of-Speech

POSTAG Fine-grained Part-Of-Speech tag

RNN Recurrent Neural Network

SRL Semantic Role Labelling OR Semantic Role Labeller

STTS Stuttgart-Tübingen-TagSet

USD Universal Stanford Dependencies

# 1 Introduction

### 1.1 Motivation

Some words on your motivation would be nice.

## 1.2 Research Questions

The research questions that shall be answered in this thesis, are:

- 1. What do I do?
- 2. How do I do it?
- 3. And why?

## 1.3 Thesis Structure

```
In this first chapter ...
Chapter 2 introduces ...
Chapter 3 ...
```

# 2 Semantic Roles

### 2.1 Overview

"The main reason computational systems use semantic roles is to act as a shallow meaning representation that can let us make simple inferences that aren't possible from the pure surface string of words, or even from the parse tree." [Jurafsky and Martin, 2019, p. 375]

In the literature, often Gildea and Jurafsky [2002] is considered to have formally defined the task of automatic SRL.

## 3 Data Sets

### 3.1 Why create an own corpus?

### 3.2 Corpora

#### 3.2.1 delSEAR

#### 3.2.1.1 Task

This data set addresses the task of Emotion recognition, a sub-task of Sentiment Analysis. Technically, it is a sequence classification problem: Given a sequence of tokens, predict the correct label from a fixed set of emotions. Following by the original study "International Survey on Emotion Antecedents and Reactions" [Scherer and Wallbott, 1994], Troiano et al. [2019] constructed their data set for German: In a first step, the authors presented annotators with one of seven emotions, and asked them to come up with a textual description of an event in which they felt that emotion. The task was formulated as a sentence completion, so the annotators, which were recruited via an crowdsourcing platform, had to complete sentences having the following structure: "Ich fühlte emotion, als/weil...". Seven emotions were given for which the descriptions had to be constructed: Traurigkeit, Ekel, Schuld, Wut, Angst, Scham, Freude. For *Traurigkeit* and *Ekel* there are 144 examples in the data set, for the other emotions there are 143.

(3.1) Ich fühlte ..., als mein Laptop kaputt ging und die Garantie schon abgelaufen war.

The searched emotion is *Traurigkeit* in example 3.2.1.1.

Since the authors provide the data set without predefined splits, I created my own development and test splits using a 9:1 ratio, resulting in 900 examples in the first and 101 examples in latter set. The distribution of labels in each set is shown in figure 1.

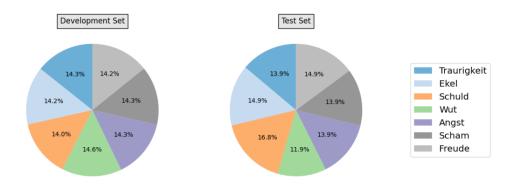


Figure 1: Distribution of emotions in development and test set.

#### 3.2.2 MLQA

- (3.2) Rita Sahatçiu Ora (\* 26. November 1990 in Priština, SFR Jugoslawien) ist eine britische Sängerin und Schauspielerin kosovarischer Herkunft. Von 2010 bis 2016 stand sie bei Jay Z und Roc Nation unter Vertrag. Seit 2017 steht sie bei Atlantic Records unter Vertrag.
  - 1. Wann wurde Rita Sahatçiu Ora geboren?  $\rightarrow$  26. November 1990

Lewis et al. [2019] compiled

PROBLEM: 231 out of 5,029 exceed tokenized length of  $512 \rightarrow \text{ignore}$ ? 4.6

#### 3.2.3 PAWS-X

The PAWS-X corpus Yang et al. [2019] was compiled to provide a multilingual source for training models that address the problem of paraphrase identification. Since most corpora for this task are available only in English the authors compiled this corpus by humanly translate a subset of the original PAWS corpus Zhang et al. [2019].

(3.3) Die Familie zog 1972 nach Camp Hill, wo er die Trinity High School in Harrisburg, Pennsylvania, besuchte.

1972 zog die Familie nach Camp Hill, wo er die Trinity High School in Harrisburg, Pennsylvania, besuchte.

The label for the sentence pair 3.2.3, of course, would be *true*, since sentence one is a paraphrase of sentence two, and vice versa.

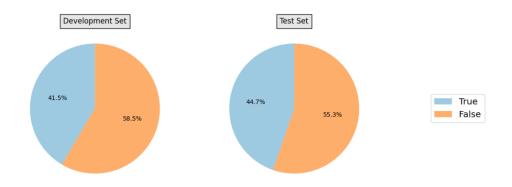


Figure 2: Distribution of paraphrases (True) versus non-paraphrases (False) in development and test set.

stats

4,000 examples in German (human translated)

49,402 examples in German (machine translated)

#### **3.2.4 SCARE**

#### 3.2.4.1 SCARE normal

The Sentiment Corpus of App Reviews with Fine-grained Annotations in German Sänger et al. [2016] is a hand-annotated corpus that asserts so sentiment to German mobile app reviews stemming from the Google Play Store. Since there are many users of In contrast to other data sets, e.g. [Socher et al., 2013; Go et al., 2009], that attributes one sentiment label to a whole text (may it be a review, a tweet, etc.), Sänger et al. [2016] annotated their data set on a lower textual level: Not each review gets labelled for a certain polarity — i.e. positive, negative, or neutral — but what the authors call aspects and subjective (sub-)phrases. An aspect is "part of an app or related to it", while a subjective (sub-)phrase "express opinions and statements of a personal evaluation regarding the app or a part of it, that are not based on (objective) facts but on individual opinions of the reviewers" [Sänger et al., 2016, p. 1116]. The authors therefore draw a distinction between objective facts regarding an app or parts of it and the sentiment connected to it ("functionality X is not working"  $\rightarrow$  negative), and subjective user meanings concerning an app or parts of

it ("I really like the color of X"  $\rightarrow$  positive). This fine level of annotations leads often to several annotations per review, the sentiment of which may not always match. As illustration, consider the following review:

(3.4) guter wecker... || vom prinzip her echt gut...aber grade was die sprachausgabe betrifft noch etwas buggy....<sup>0</sup>

There are the following annotations for the several aspects and subjective (sub-)phrases are present in this example:

#### Aspects

#### Subjectives

• Wecker  $\rightarrow$  neutral

• guter  $\rightarrow$  positive

• Prinzip  $\rightarrow$  neutral

• echt gut  $\rightarrow$  positive

• Sprachausgabe  $\rightarrow$  neutral

• etwas buggy  $\rightarrow$  negative

As is clear from this example, in a given review there may be several claims or remarks concerning functionalities of the product, or personal views about an app in general. It is well possible, as in the provided example, that the sentiment of this "micro-stances" is not always the same; while all aspects in the example above are neutral, there are two positive and one negative subjectives.

stats: there are 1,760 fine-grained annotated reviews

#### 3.2.4.2 SCARE reviews

Besides their carefully, hand-annotated corpus, the authors also provide a dataset comprising of 802,860 reviews along with the rating — one to five stars —, that were available in German on the Google Play Store. This data set is much larger than the annotated one: Due to the great expenses of generating those fine-grained annotations, the authors were able to annotate only 0.22% of all reviews available.

#### 3.2.4.3 Preprocessing

For integrating the SCARE corpus into my GerBLUE corpus, I need to prepare the data, so it can be handled by the model architecture. Following the original GLUE sentiment task, the model needs only to predict one sentiment label for each

<sup>&</sup>lt;sup>0</sup>The "||" denotes that the text left of it is the user given "title" of the review, and the part on the right is the actual review.

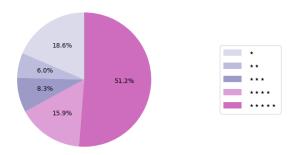


Figure 3: Overview of percentage of stars given. Clearly, there is an imbalance towards giving the full amount of stars possible

example. Since there exist mostly multiple annotations for each review in this data set, the data needs to be pre-processed in a way, so that there is one review-label per example.

To generate the review-label, I simply carry out an majority class decision: The label that is most often annotated for a given review, regardless if it is an aspect or a subjective, is then also the review-label. If there is no majority label, the review-label is set to "neutral". This is also the chosen strategy for 51 reviews that had no labels at all; an example of such a review is the following one:

- (3.5) "Ich bin die erfunderin || Ich bin die erfunden!!!!!!!!!!!!!".
- 2.9% of reviews had no labels at all
- 3.0% of votes were non-majority
- 13.8% of votes were close (label difference of 1)

#### 3.2.5 XNLI

Conneau et al. [2018]

(3.6) Ich wusste nicht was ich vorhatte oder so, ich musste mich an einen bestimmten Ort in Washington melden.

Ich war noch nie in Washington, deshalb habe ich mich auf der Suche nach dem Ort verirrt, als ich dahin entsandt wurde.

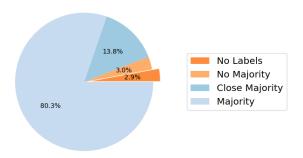


Figure 4: Statistics of label generation. For most of the examples, there was a clear majority decision as to which label should be chosen. *Close Majority* means the majority vote was off by 1. The reddish portions in the graph were labelled *neutral* by default, while the blueish ones were labelled according to the majority vote decision.

The label for example 3.2.5 is *neutral* since the second sentence does not follow necessarily from the first and it also does not contradict it, either. number of examples= 7,500

#### 3.2.6 XQuAD

(3.7) Aristoteles lieferte eine philosophische Diskussion über das Konzept einer Kraft als integraler Bestandteil der aristotelischen Kosmologie. Nach Ansicht von Aristoteles enthält die irdische Sphäre vier Elemente, die an verschiedenen "natürlichen Orten" darin zur Ruhe kommen. Aristoteles glaubte, dass bewegungslose Objekte auf der Erde, die hauptsächlich aus den Elementen Erde und Wasser bestehen, an ihrem natürlichen Ort auf dem Boden liegen und dass sie so bleiben würden, wenn man sie in Ruhe lässt. Er unterschied zwischen der angeborenen Tendenz von Objekten, ihren "natürlichen Ort" zu finden (z. B. dass schwere Körper fallen), was eine "natürliche Bewegung" darstellt und unnatürlichen oder erzwungenen Bewegungen, die den fortwährenden Einsatz einer Kraft erfordern. Diese Theorie, die auf der alltäglichen Erfahrung basiert, wie sich Objekte bewegen, wie z. B. die ständige Anwendung einer Kraft, die erforderlich ist, um einen Wagen in Bewegung zu halten, hatte konzeptionelle Schwierigkeiten, das Verhalten von Projektilen, wie beispielsweise den Flug von Pfeilen, zu

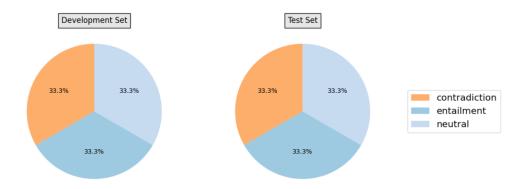


Figure 5: Overview of percentage of stars given. Clearly, there is an imbalance towards giving the full amount of stars possible

erklären. Der Ort, an dem der Bogenschütze den Pfeil bewegt, liegt am Anfang des Fluges und während der Pfeil durch die Luft gleitet, wirkt keine erkennbare effiziente Ursache darauf ein. Aristoteles war sich dieses Problems bewusst und vermutete, dass die durch den Flugweg des Projektils verdrängte Luft das Projektil zu seinem Ziel trägt. Diese Erklärung erfordert ein Kontinuum wie Luft zur Veränderung des Ortes im Allgemeinen.

The questions and corresponding answer spans for paragraph 3.2.6 in the data set are the following:

- 1. Wer leitete eine philosophische Diskussion über Kraft?  $\rightarrow$  Aristoteles
- 2. Wovon war das Konzept der Kraft ein integraler Bestandteil?  $\rightarrow$  aristotelischen Kosmologie
- 3. Aus wie vielen Elementen besteht die irdische Sphäre nach Ansicht des Aristoteles?  $\rightarrow$  vier
- 4. Wo vermutete Aristoteles den natürlichen Ort für Erd- und Wasserelemente?  $\rightarrow$  auf dem Boden
- 5. Was bezeichnete Aristoteles als erzwungene Bewegung?  $\rightarrow$  unnatürlichen

Artetxe et al. [2019]

#### 3.2.7 Overview

Data Set	NLP Task	ML Task	# examples
delSEAR	Emotion Detection	Sequence Classification	XYZ
MLQA	Question Answering	Span Prediction	XYZ
PAWS-X	Paraphrase Identification	Sequence Classification	XYZ
SCARE	Sentiment Analysis	Sequence Classifiaction	XYZ
SCARE Reviews	Sentiment Analysis	Sequence Classification	XYZ
XNLI	Natural Language Inference	Sequence Classification	XYZ
XQuAD	Question Answering	Span Prediction	XYZ

Table 1: Overview over collected data sets and tasks.

## 4 Architecture

#### 4.1 Overview

### 4.2 Semantic Role Labeller

A Semantic Role Labeller (SRL) is a system, that assigns automatically semantic roles to a given input text.<sup>0</sup>

State-of-the-art semantic role labellers (SRLs) are end-to-end models, nowadays often implementing deep learning techniques, like RNNs or attention, that render tedious feature engineering unnecessary. For my system, I implement the DAMESRL, a model presented by Do et al. [2018]. I use their pre-trained German Character-Attention model which, according to the authors, achieved an F1 score of 73.5% on the CoNLL'09 task [Hajič et al., 2009]. However, their SRL needs as input not only the sentence, but also "its predicate  $w_p$  as input" [Do et al., 2018].

"A major advantage of dependency grammars is their ability to deal with languages that are morphologically rich and have a relatively free word order." [Jurafsky and Martin, 2019, p. 274] For extracting predicates, I rely on the dependency tree the ParZu parser Sennrich et al. [2013] generates for a given sentence. Since one sentence can have multiple predicate-argument structures, I need to device an algorithm to extract the relevant predicates in a sentence. This is not as straight forward as it seems on the first look.

### 4.2.1 Finding Predicates

It is a known problem in the analysis of semantic roles that a proper procedure for predicate identification is a hard to tackle problem, consider e.g. the discussion concerning so called light verbs: Wittenberg [2016].

<sup>&</sup>lt;sup>0</sup>This may be one or multiple sentences.

"First, the predicates which assign semantic roles to the constituents are identified prior to semantic role labelling proper. They are usually identified as the main verbs which head clauses." [Samardzic, 2013, p. 74] In a dependency framework like USD [De Marneffe et al., 2014], which explicitly sets the content verb as root, identification of the relevant predicate is straight-forward: One has simply to look at the dependency parse tree of a given sentence and select the heads — i.e. roots — of the clauses. However, the ParZu parser models not content words as heads but function words.<sup>0</sup>

(interestingly, this stands in contrast to the Pro3Gres parser [Schneider, 2008] which

"In a constituency parse, the finite verb is the head of a verb phrase or rather sentence. A dependency parse, on the other hand, does not consider auxiliaries as heads and therefore finite verbs are usually not the head of the sentence. Hence, the head of a sentence typically is the verb containing the meaning. In that sense, dependency structures are closer to the semantics of a sentence." [Aepli, 2018, p. 6f.]

"The parsing scheme that USD advocates takes the division between function word and content word as its guiding principle. One major difficulty with doing this is that the dividing line between function word and content word is often not clear." Groß and Osborne [2015]

Following Foth [2006]

- (4.1) Die Keita-Dynastie regierte das vorkaiserliche und kaiserliche Mali vom 12. Jahrhundert bis Anfang des 17. Jahrhunderts.
- (4.2) Im tibetischen Buddhismus werden die Dharma-Lehrer/innen gewöhnlich als Lama bezeichnet.
- (4.3) Die Klage wurde abgewiesen, was als Sieg beschrieben werden kann.

whose dependency parse tree is shown in Figure 5: This sentence has five verbs in it, wurde, abgewiesen, beschrieben, werden, and kann (POS-tag "V" in the second

<sup>&</sup>lt;sup>0</sup>This follows general dependency frameworks proposed for German, e.g. Gerdes and Kahane [2001]; Groß and Osborne [2015].

row), but only two of them are relevant predicates, i.e. predicates that carry "true" semantics.

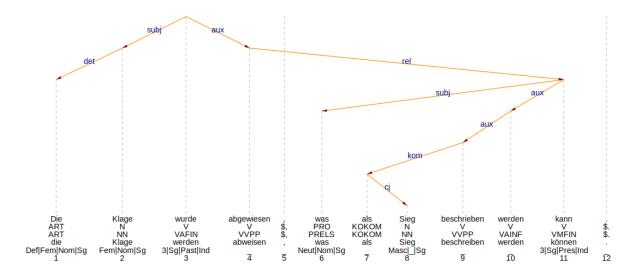


Figure 6: Example dependency parse tree for a sentence with multiple predicates.

I propose the following algorithm 1 deciding whether a verb in a sentence is or isn't a predicate using a heuristic, relying on the token's POS tag that the parser predicts. The ParZu parser's default output follows the CoNLL scheme [Buchholz and Marsi, 2006] which means that there are two levels of POS tagging: coarse-grained (CPOSTAG) and fine-grained (POSTAG), where the POSTAG corresponds to the token's STTS tag [Schiller et al., 1999].

The condition on line 9, that only tokens in the respective subclause are considered, is ensured by making sure that if a token u's POS is "V" and it points to its head t, that it is not itself the head of a subclause — i.e. its dependency relation is e.g. "relative clause". If that is the case the token u is considered to belong to another subclause and therefore not preventing token t from getting labelled as a predicate. Consider again the example 4.2.1: Let's say we are in the for-loop at the token weitergeleitet. Because it is a verb but not a finite full-verb, we enter the else-clause on line 7. If we were now to loop through all token of sentence 4.2.1 we would find that token  $f\ddot{u}hrt$  is a verb that points to our primary token. Without the above outlined constraint that only verbs in the same subclause pointing to our original verb are preventing it from being labelled a predicate, weitergeleitet would be labelled as non-predicate. This is obviously false. Taking into account the above considerations, we see that although  $f\ddot{u}hrt$  points to weitergeleitet, its edge label is rel — which means that it's the head of a relative subclause — therefore it is not anymore in the same subclause and weitergeleitet gets labelled as predicate.

#### Algorithm 1 Predicate finding algorithm

```
1: for all token t \in \text{sentence do}
       if CPOSTAG t \neq 'V' then
 2:
          t \leftarrow \text{NOT\_PRED}
 3:
       else
 4:
 5:
          if POSTAG t = \text{'VVFIN'} then
 6:
             t \leftarrow \text{PRED}
          else
 7:
             FLAG \leftarrow True
 8:
             for all token u \neq t \in \text{subclause where } t \in \text{subclause do}
 9:
               if CPOSTAG u = V' \wedge u dependent on t then
10:
11:
                  t \leftarrow \text{NOT\_PRED}
12:
                  FLAG \leftarrow False
                  break
13:
                end if
14:
             end for
15:
             if FLAG = True then
16:
                t \leftarrow \text{PRED}
17:
             end if
18:
          end if
19:
       end if
20:
21: end for
```

#### 4.2.2 DAMESRL

### 4.3 German BERT

Since its publishing two years ago, BERT [Devlin et al., 2018] has often been called a "turning-point" in ML in NLP.

I use the bert-base-german-cased model from deepset which is available in py-Torch through the hugging face library<sup>0</sup>.

### 4.3.1 Merging of subtokens back to token level

 $<sup>^{0}</sup>$ https://huggingface.co/bert-base-german-cased, accessed: 22.07.2020.

# 5 Results

### 5.1 BLEU Scores

Table 2 shows how to use the predefined tab command to have it listed.

language pair	ABC	YYY
EN→DE	20.56	32.53
$DE {\rightarrow} EN$	43.35	52.53

Table 2: BLEU scores of different MT systems

And we can reference the large table in the appendix as Table 3

### 5.2 Evaluation

We saw in section 5.1

We will see in subsection 5.2.1 some more evaluations.

#### 5.2.1 More evaluation

### 5.3 Citations

Although BLEU scores should be taken with caution (see ?) or if you prefer to cite like this: [?] ...

to cite: [?, 30-31] to cite within parentheses/brackets: [?], [?, 30-32]

to cite within the text: ?, ?, 37

only the author(s): ?

only the year: ?

## 5.4 Graphics

To include a graphic that appears in the list of figures, use the predefined fig command:



Figure 7: The Rosetta Stone

And then reference it as Figure 6 is easy.

## 5.5 Some Linguistics

(With the package 'covington')

Gloss:

(5.1) The cat sits on the table. die Katze sitzt auf dem Tisch 'Die Katze sitzt auf dem Tisch.'

Gloss with morphology:

(5.2) La gata duerm -e en la cama. Art.Fem.Sg Katze schlaf -3.Sg in Art.Fem.Sg Bett 'Die Katze schläft im Bett.'

# 6 Conclusion

In this project we have done so much.  $^1$ 

We could show that  $\dots$ 

Future research is needed.

The show must go on.

 $<sup>^{1}</sup>$ Thanks to many people that helped me.

# **Glossary**

Of course there are plenty of glossaries out there! One (not too serious) example is the online MT glossary of Kevin Knight <sup>2</sup> in which MT itself is defined as

techniques for allowing construction workers and architects from all over the world to communicate better with each other so they can get back to work on that really tall tower.

**accuracy** A basic score for evaluating automatic **annotation tools** such as **parsers** or **part-of-speech taggers**. It is equal to the number of **tokens** correctly tagged, divided by the total number of tokens. [...]. (See **precision and recall**.)

**clitic** A morpheme that has the syntactic characteristics of a word, but is phonologically and lexically bound to another word, for example n't in the word hasn't. Possessive forms can also be clitics, e.g. The dog's dinner. When **part-of-speech tagging** is carried out on a corpus, clitics are often separated from the word they are joined to.

<sup>&</sup>lt;sup>2</sup>Machine Translation Glossary (Kevin Knight): http://www.isi.edu/natural-language/people/dvl.html

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# Lebenslauf

### Persönliche Angaben

Ich Persönlich Meinestrasse Nr PLZ Wohnort ichpersoenlich@uzh.ch

#### Schulbildung

2012-2014 Bachelor-Studium Computerlinguistik und Sprachtechnologie

an der Universität Zürich

seit 2014 Master

### Berufliche und nebenberufliche Tätigkeiten

2012–2013 Tutorate PCL I+II

# **A** Tables

Part of speech	POS type	POS	in my corpus
14	DET	35	280
14	DET	35	280
14	DET	35	280
14	DET	35	280
14	DET	35	280
14	DET	35	280
14	DET	35	280
14	DET	35	280
14	DET	35	280
14	DET	35	280
14	DET	35	280

number of labels

Table 3: Some very large table in the appendix  $\,$ 

DET

DET

DET

Total

# **B** List of something

This appendix contains a list of things I used for my work.

- apples
  - export2someformat
- bananas
- oranges
  - bleu4orange
  - rouge2orange