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The goal of this note is to prove the fundamental Riemann-Roch theorem.	

## 1 Čech Cohomology

Recall that given a sheaf  $\mathcal{F}$  on  $X$ , there is a functor of global sections  $\Gamma(X, \mathcal{F})$ . Moreover this functor is left-exact, i.e. given

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{G} \rightarrow \mathcal{H} \rightarrow 0,$$

we get the exact sequence

$$0 \rightarrow \Gamma(X, \mathcal{F}) \rightarrow \Gamma(X, \mathcal{G}) \rightarrow \Gamma(X, \mathcal{H}).$$

We thus know that there exists a unique derived functor  $H^\bullet$  (the category of sheaves have enough projectives (or injectives?)) giving an exact sequence

$$0 \rightarrow H^0(F) \rightarrow H^0(\mathcal{G}) \rightarrow H^0(\mathcal{H}) \rightarrow H^1(F) \rightarrow H^1(\mathcal{G}) \rightarrow \dots$$

One way to construct this derived functor explicitly is through Čech cohomology. Čech cohomology is defined relative to a finite open covering  $\{U_0, \dots, U_n\}$  of  $X$ , but the general theory of derived functors says that it is independent of the covering.

For simplicity, assume that  $\mathcal{F}$  is a sheaf of abelian groups (the case of  $\mathcal{O}_X$  modules is essentially no different). We start by constructing the complex of abelian groups  $A^\bullet(\mathcal{F})$ .

Define  $U_{i_0 i_1 \dots i_s} = U_{i_0} \cap U_{i_1} \cap \dots \cap U_{i_s}$ , and set

$$A^r(\mathcal{F}) = \prod_{i_0 < \dots < i_r} \mathcal{F}(U_{i_0 \dots i_r})$$

for  $r \leq n$  (the number of open sets in the covering), and  $A^r(\mathcal{F}) = 0$  for  $r > n$ . The elements of  $A^r(\mathcal{F})$  are called **cochains**. Each cochain simply consists of a section over each intersection.

Next, the maps in the complex (the differentials) are defined as

$$d^{r+1} : A^r(\mathcal{F}) \rightarrow A^{r+1}(\mathcal{F}),$$

$$(d^{r+1}(s))_{i_0 \dots i_{r+1}} = \sum_{k=0}^r (-1)^k (s)_{i_0 \dots \hat{i}_k \dots i_r} |_{U_{i_0 \dots i_{r+1}}},$$

and one can check that  $d^{r+1} \circ d^r = 0$  for all  $r$ . Thus this is truly a chain complex of groups, and as usual, we define  $H^r(\mathcal{F}) = \ker d^{r+1}/\text{im } d^r$  (elements of  $\ker d^{r+1}$  are referred to as **cochains** while elements in  $\text{im } d^r$  are **coboundaries**).

**Proposition 1.1.** *Letting  $X, \mathcal{F}$  and  $H^\bullet$  be as before, we have  $H^0(\mathcal{F}) = \Gamma(X, \mathcal{F})$ .*

*Proof.* We unravel the definition of the elements of  $H^0(\mathcal{F})$  to make this statement trivial. First, a cochain  $s$  in  $A^0(\mathcal{F})$  is simply a section over each open set  $U_i$  in a chosen open covering of  $X$ . For  $s$  to be a cocycle, it needs to satisfy

$$\begin{aligned} 0 &= (0)_{i_0 i_1} = (d^1(s))_{i_0 i_1} \\ &= s_{i_0} |_{U_{i_0 i_1}} - s_{i_1} |_{U_{i_0 i_1}} \end{aligned}$$

i.e. all the sections must agree on the intersections. By the sheaf axiom, the  $(s)_i$  can thus be glued together to a global section  $s$ .  $\square$

One can thus prove that Čech cohomology is indeed the derived functor of  $\Gamma(X, \mathfrak{F})$  under a few assumptions ( $X$  should be separated,  $\mathcal{F}$  quasi-coherent, and the open covering should be an affine open covering).

Given that the Čech cohomology is defined using a finite covering, it is obvious that it vanishes for high enough index.

## 1.1 Euler-Poincaré Characteristic

We define the Euler-Poincaré characteristic.

**Proposition 1.2.** *Let  $X$  be an algebraic variety over a field  $K$ , and  $\mathcal{F}$  will be an  $\mathcal{O}_X$ -module. Then  $H^r(\mathcal{F})$  have a natural structure of  $K$ -vectorspaces.*

*Proof.* This is again trivial by unraveling the definitions. Since  $K \subset \mathcal{O}_X(U)$  for all  $U$ ,  $\mathcal{F}(U)$  are in particular  $K$ -vector spaces, and thus, so are  $A^{(r)}(\mathcal{F})$  for all  $r$ . The result then follows by noting that the differentials are  $K$ -linear.  $\square$

In the case of the proposition above, we denote

$$h^r(\mathcal{F}) := \dim_K(H^r(\mathcal{F})).$$

**Definition 1.3.** Let  $\mathcal{F}$  be a coherent sheaf over a projective variety  $X$ . The Euler-Poincaré characteristic of  $\mathcal{F}$  is

$$\chi(\mathcal{F}) = \sum_{k=0}^{\infty} (-1)^k h^k(\mathcal{F}).$$

We already saw that  $h^k(\mathcal{F}) = 0$  for large enough  $k$ . Thus, if we can prove that  $H^k(\mathcal{F})$  is always finite dimensional, we will have proven that  $\chi(\mathcal{F})$  is finite. This will be the goal of the last part of this section.

To prove this, we first study the cohomology of  $\mathcal{O}_{\mathbb{P}^n}(d)$ .

**Theorem 1.4.** Let  $n \geq 1$  and  $d \geq 0$  both be integers. Let  $S_d$  denote the  $K$ -vectorspace of homogenous polynomials of degree  $d$  in  $n+1$  variables (this is a vectorspace of dimension  $\binom{n+d}{n}$ ). The following hold:

1.  $H^0(\mathcal{O}_{\mathbb{P}^n}(d)) = \Gamma(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(d)) = S_d$ .
2.  $H^k(\mathcal{O}_{\mathbb{P}^n}(d)) = 0$  for all  $0 < k < n$  and all  $d$ .
3.  $H^n(\mathcal{O}_{\mathbb{P}^n}(d)) \cong H^0(\mathcal{O}_{\mathbb{P}^n}(-d-n-1))^*$  for all  $d$  (where  $V^*$  denotes the dual vectorspace)

*Proof.* See the proof in Perrin (which is quite concrete, so probably a good idea to actually look thoroughly at it).  $\square$

Using this, one proves that  $h^i$  are indeed finite.

**Theorem 1.5.** Let  $X$  be a projective algebraic variety over a field  $K$ , and  $\mathcal{F}$  a coherent sheaf on  $X$ . Then  $H^k(\mathcal{F})$  are finite dimensional  $K$ -vectorspaces for all  $k$ .

*Proof.* Again only a sketch, but the idea is essentially to provide an exact sequence

$$0 \rightarrow \mathcal{N} \rightarrow \mathcal{L} \rightarrow \mathcal{F} \rightarrow 0,$$

where  $\mathcal{L} = \bigoplus \mathcal{O}_{\mathbb{P}^n}(-d_i)$ , and doing induction on  $k$  (in the reverse direction!).  $\square$

## 1.2 Bezout's Theorem

Before continuing with Riemann-Roch, we pause to prove Bezout's theorem, since we have essentially done all the work.

## 2 Divisors on curves

From this point onwards,  $C$  will be a projective curve defined over  $K$ .

The definition of a divisor is simply an element of the free abelian group generated by the points of  $C$ .

**Definition 2.1.** A (Weil) **divisor** on  $C$  is a formal sum

$$\sum_{P \in C} n_P P,$$

where  $n_P = 0$  for all but finitely many  $n_P$ . The **degree** of a divisor  $D$  is

$$\deg D = \sum_{P \in C} n_P.$$

A divisor  $D$  is **effective**, denoted

$$D \geq 0,$$

if all the associated  $n_P \geq 0$ . Similarly, we write

$$D \geq E$$

if the divisor  $D - E$  is effective.

Given an element of  $f \in K(C)$ , we can associate the divisor

$$\text{div}(f) = Z(f) - P(f)$$

where  $Z(f)$  and  $P(f)$  denotes the zeroes and poles of  $f$ , with multiplicity. Such a divisor is called a **principal divisor**. A bit more succinctly, we have

$$\text{div}(f) = \sum_{P \in C} \text{ord}_P(f) P,$$

where  $\text{ord}_P(f)$  denotes the valuation of  $f$  in the field of fractions of  $\mathcal{O}_{C,P}$  (I guess this requires that  $C$  is smooth to make sense).

**Fact 2.2.** Let  $f \in H^0(\mathcal{O}_C)$  (i.e. a global section). Then  $f$  is constant.

The fact above shows that for a function  $f \in K(C)$ , we have

$$f \in H^0(\mathcal{O}_C) \Leftrightarrow \text{div } f = 0$$

(since the constant functions are global sections).

**Proposition 2.3.** *Let  $f \in K(C)$ . Then  $\deg \text{div}(f) = 0$ , i.e. the number of zeroes and poles of  $f$  are equal.*

*Proof.* Perrin, Proposition 2.7. □

As we have seen, the divisors on  $C$  are a free abelian group, and it is clear that the principal divisors are a subgroup. Thus, we can make the following definition.

**Definition 2.4.** *The **divisor class group** of  $C$  is the quotient of the divisors on  $C$  modulo the principal divisors, denoted  $\text{Pic}(C)$ . By the proposition above, the degree map from the divisors on  $C$  to the integers factors through  $\text{Pic}(C)$ , hence we also have a degree map  $\text{Pic}(C) \rightarrow \mathbb{Z}$ . The kernel of this map is denoted  $\text{Pic}^0(C)$ , also called the **Jacobian** of  $C$ .*

In a later note on abelian varieties we will define the picard group more general. Had we been a bit more general, the following example works.

**Example 2.5.** *Let  $\mathfrak{O}_K$  be the ring of integers in a numberfield, and let  $X = \text{Spec } \mathfrak{O}_K$ . A Weil divisor on  $X$  is something of the form*

$$\sum_{(0) \neq \mathfrak{p} \in \text{Spec } \mathfrak{O}_K} n_{\mathfrak{p}} \mathfrak{p}$$

(we are ignoring the ideal  $(0)$ , since it is not of co-dimension 1 which is a requirement in the more general case of schemes), hence it is obvious how to relate this to fractional ideals of  $\mathfrak{O}_K$  (the free group of fractional ideals is usually written multiplicatively for obvious reasons, but oh well). Further, it is clear that the principal divisors are exactly the principal ideals ( $K(X) = K$  in this case), thus the divisor class group of  $X$  coincides with the usual class group of  $\mathfrak{O}_K$ .

## 2.1 Invertible Sheaf Associated to a Divisor

For a projective curve, it is not very hard to associate an invertible sheaf to a given divisor. Given a divisor  $D$  on  $C$ , and open set  $U \subset C$ , we define the sheaf  $\mathcal{L}(D)$  by

$$\mathcal{L}(D)(U) = \{f \in K(C) \mid v_P(f) + n_P \geq 0, \forall P \in U\},$$

i.e.  $\mathcal{L}(D)$  consists of rational functions whose zeroes and poles are controlled by  $D$ . In particular, the global sections

$$H^0(\mathcal{L}(D)) = \{f \in K(C) \mid \text{div}(f) + D \geq 0\},$$

are of special importance. For instance, the Riemann-Roch theorem is really saying something about the dimension  $h^0(\mathcal{L}(D))$ .

**Fact 2.6.** If  $D \sim D'$ , i.e.  $D = D' + \text{div}(g)$ , then there is  $\mathcal{L}(D) \cong \mathcal{L}(D')$  as sheaves given by  $f \rightarrow f/g$ .

One can show that

$$\mathcal{L}(D + D') = \mathcal{L}(D) \otimes_{\mathcal{O}_C} \mathcal{L}(D'),$$

and thus, it is clear that all of the  $\mathcal{L}(D)$  are truly invertible (since  $\mathcal{L}(0) = \mathcal{O}_C$ ). In fact, the divisor class group we defined earlier is really only canonically isomorphic to the picard group  $\text{Pic}(C)$  for projective curves  $C$ , where the picard group is defined as the set of invertible sheaves up to isomorphism, with the tensor product as the group law.

### 3 Riemann-Roch

We define the genus of a curve.

**Definition 3.1.** Let  $C$  be a projective curve. The (arithmetic) **genus** of  $C$  is

$$p_a(C) = 1 - \chi(\mathcal{O}_C) = h^1(\mathcal{O}_C).$$

One nice thing about the Euler-Poincaré characteristic is that it respects exact sequences.

**Lemma 3.2.** Let  $X$  be a projective variety and let

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{G} \rightarrow \mathcal{H} \rightarrow 0$$

be an exact sequence of sheaves on  $X$ . Then  $\chi(\mathcal{G}) = \chi(\mathcal{F}) + \chi(\mathcal{H})$ .

*Proof.* This is surprisingly easy to see. From the exact sequence, we get an exact sequence in cohomology

$$0 \rightarrow H^0(\mathcal{H}) \rightarrow H^0(\mathcal{G}) \rightarrow H^0(\mathcal{F}) \rightarrow H^1(\mathcal{H}) \rightarrow \cdots \rightarrow 0.$$

For any long-exact sequence of vectorspaces

$$0 \rightarrow E_1 \rightarrow \cdots \rightarrow E_n \rightarrow 0,$$

it is easy to show that

$$\sum (-1)^i \dim E_i = 0,$$

from which the result follows by reordering the terms in  $\chi(\mathcal{H}) - \chi(\mathcal{G}) + \chi(\mathcal{F})$ . □

The following is really Riemann's theorem, now known as a weaker form of Riemann-Roch, but we'll be satisfied with this for now.

**Theorem 3.3.** (*Riemann*) Let  $C$  be a projective curve of genus  $g$ . For every divisor  $D$ , we have

$$\chi(\mathcal{L}(D)) = \deg D + \chi(\mathcal{O}_C) = \deg D + 1 - g.$$

*Proof.* Write  $D = E - F$ , where  $E$  and  $F$  are effective divisors. We have an exact sequence of the form

$$0 \rightarrow \mathcal{L}(-F) \rightarrow \mathcal{O}_C \rightarrow \mathcal{O}_F \rightarrow 0,$$

which after tensoring with  $\mathcal{O}_C(E)$  gives

$$0 \rightarrow \mathcal{L}(D) \rightarrow \mathcal{L}(E) \rightarrow \mathcal{O}_F \rightarrow 0$$

(here one uses the flatness of  $\mathcal{L}(E)$ ). Thus

$$\chi(\mathcal{L}(E)) = \chi(\mathcal{L}(D)) + \chi(\mathcal{O}_F) = \chi(\mathcal{L}(D)) + \deg F$$

Applying this equality to  $D = 0$  shows that

$$\chi(\mathcal{L}(E)) = \chi(\mathcal{O}_C) + \deg E,$$

thus we get that

$$\begin{aligned} \chi(\mathcal{L}(D)) &= \chi(\mathcal{L}(E)) - \deg F \\ &= \chi(\mathcal{O}_C) + \deg E - \deg F \\ &= \deg D + \chi(\mathcal{O}_C). \end{aligned}$$

□

### 3.1 An Application to Elliptic Curves

For this, we need the following result, which is actually a corollary of a stronger version of Riemann-Roch.

**Proposition 3.4.** Let  $C$  be a projective curve, and let  $D$  be a divisor with  $\deg D > 2g - 2$ . Then  $H^1(\mathcal{L}(D)) = 0$ , and thus

$$h^0(\mathcal{L}(D)) = \deg D + 1 - g.$$

*Proof.* Proven using canonical divisors and duality. □

Now, let  $E$  be an elliptic curve (i.e. smooth, projective of genus 1), and let  $P \in C$  be a point. From the proposition above, we immediately see that for all  $n > 0$ , we have that

$$h^0(\mathcal{L}(nP)) = n.$$

Now, pick any  $x \in K(C)$ , such that  $1, x$  is a basis of  $H^0(\mathcal{L}(2P))$ . Since  $x$  can also be regarded as an element of  $H^0(\mathcal{L}(3P))$ , there exists another  $y \in K(C)$  such that  $1, x, y$  is a basis of  $H^0(\mathcal{L})(3P)$ . Note that  $x$  has a pole of exact order 2 at  $P$ , and similarly,  $y$  has a pole of exact order 3 at  $P$  (since they form bases).

Consider now the 7 functions  $1, x, y, x^2, xy, y^2, x^3$ , and observe that these are all in  $H^0(\mathcal{L}(6P))$ . Since  $\dim_K H^0(\mathcal{L}(6P)) = 6$ , these functions must be linearly dependent over  $K$ , i.e.

$$b_1y^2 + a_1xy + a_3y = b_2x^3 + a_2x^2 + a_4x + a6,$$

for  $a_i, b_i \in K$ . Further, note that  $b_i \neq 0$ , since they are the only terms with poles at  $P$  of equal order. Thus, up to linear transformations, we can assume that  $b_i = 1$ . This shows that the rational map

$$\varphi = (x : y : 1)$$

is a morphism from  $E$  to the projective curve in  $\mathbb{P}^2$  defined by

$$E' : Y^2Z + a_1XYZ + a_3YZ^2 = X^3 + a_2X^2 + a_4X + a6,$$

i.e. for  $P \neq Q \in E$ ,  $\varphi(Q) = (x(Q) : y(Q) : 1)$ , and  $\varphi(P) = (0 : 1 : 0)$ .

Finally, to show that this is an isomorphism, one can look at the corresponding map of function fields. To summarize...

**Theorem 3.5.** *Every projective curve of genus 1 is isomorphic to a curve in  $\mathbb{P}^2$  of the form*

$$E : Y^2Z + a_1XYZ + a_3YZ^2 = X^3 + a_2X^2 + a_4X + a6.$$