

# Many-Body Localization

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Quantum Transport and Dynamics in Nanostructures  
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# *Outline:*

1. *Introduction to Anderson Localization*
2. *Phononless conductivity*
3. *Localization beyond real space*
4. *Spectral Statistics and Localization*
5. *Many – Body Localization*
6. *Disordered bosons in 1D*
7. *Metal - Insulator transition in electronic systems*

# *1. Introduction*

# >50 years of Anderson Localization

PHYSICAL REVIEW

VOLUME 109, NUMBER 5

MARCH 1, 1958

## Absence of Diffusion in Certain Random Lattices

P. W. ANDERSON

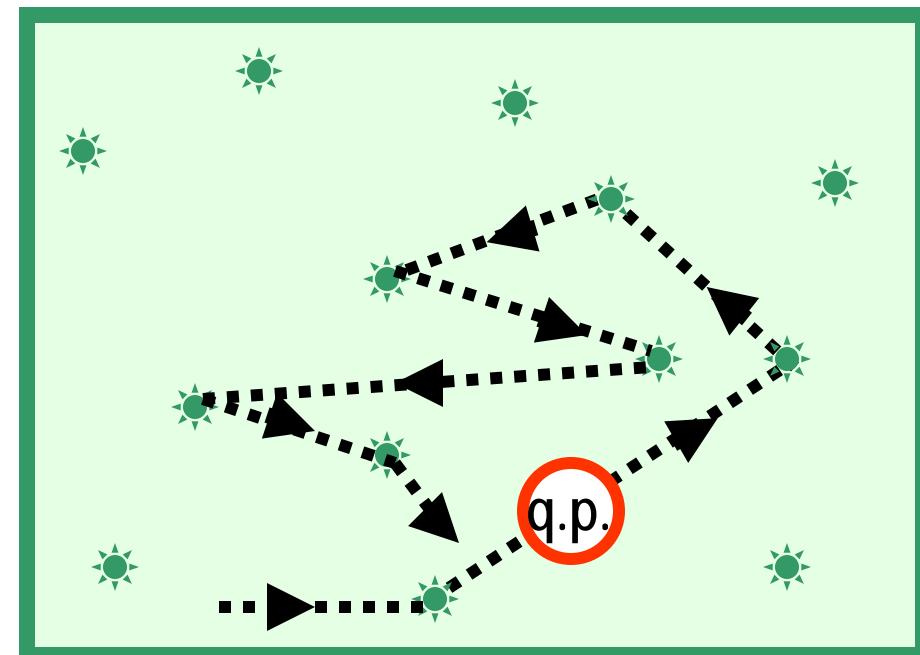
*Bell Telephone Laboratories, Murray Hill, New Jersey*

(Received October 10, 1957)

This paper presents a simple model for such processes as spin diffusion or conduction in the "impurity band." These processes involve transport in a lattice which is in some sense random, and in them diffusion is expected to take place via quantum jumps between localized sites. In this simple model the essential randomness is introduced by requiring the energy to vary randomly from site to site. It is shown that at low enough densities no diffusion at all can take place, and the criteria for transport to occur are given.



- One quantum particle
- Random potential (e.g., impurities)  
Elastic scattering





Einstein (1905):

Random walk



always diffusion

as long as the system has no memory

$$\langle r^2 \rangle = D t$$

diffusion constant



Anderson(1958):

For quantum  
particles



not always!

It might be that

$$\langle r^2 \rangle \xrightarrow{t \rightarrow \infty} \text{const}$$

$$D = 0$$

Quantum interference  $\Rightarrow$  memory

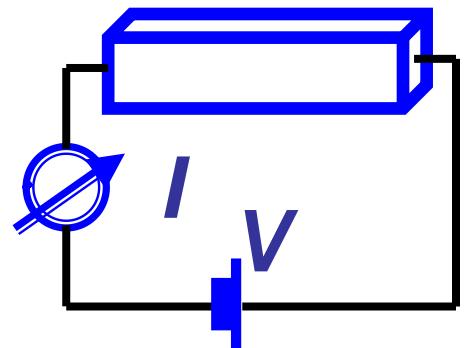
# Einstein Relation (1905)

$$\sigma = e^2 D \nu \quad \nu \equiv \frac{dn}{d\mu}$$

Conductivity

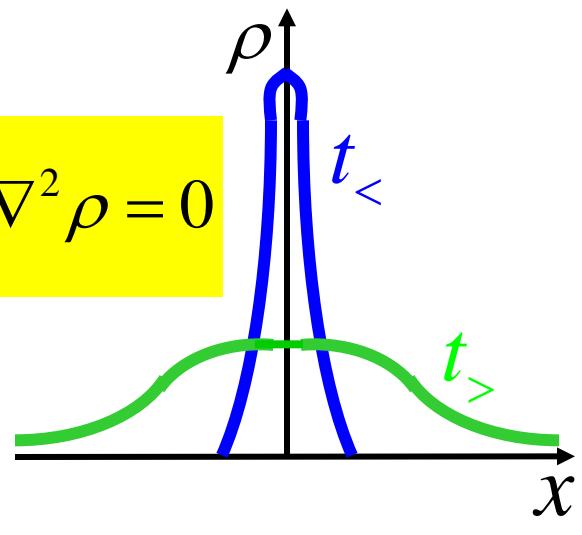
Density of states

Diffusion Constant



$$G = \left( \frac{I}{V} \right)_{V=0} ; \quad \sigma = G \frac{L}{A}$$

$$\frac{\partial \rho}{\partial t} - \textcolor{red}{D} \nabla^2 \rho = 0$$



# Einstein Relation (1905)

$$\sigma = e^2 D \nu \quad \nu \equiv \frac{dn}{d\mu}$$

Conductivity

Density of states

Diffusion Constant

No diffusion - no conductivity

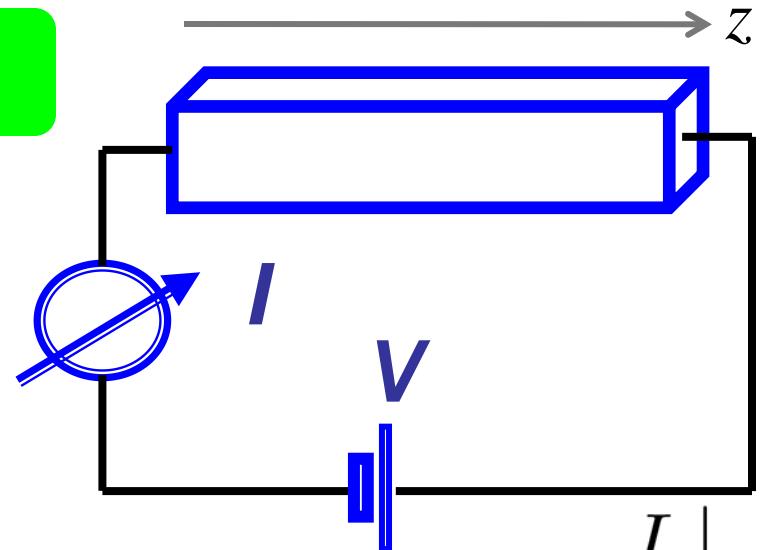
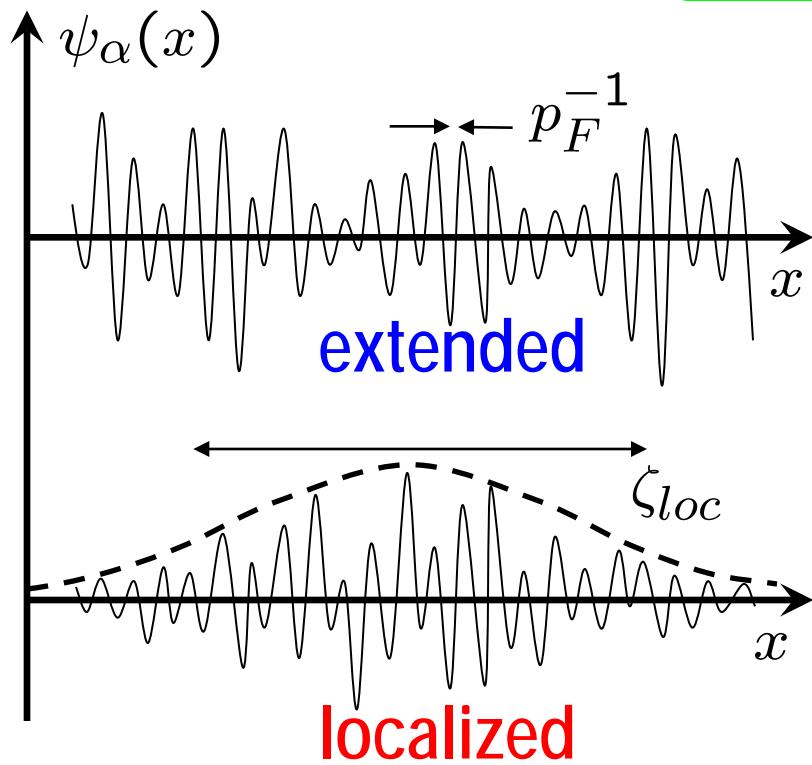
Localized states - insulator  
Extended states - metal

Metal - insulator transition

# Localization of single-electron wave-functions:

$$\left[ -\frac{\nabla^2}{2m} + U(\mathbf{r}) - \epsilon_F \right] \psi_\alpha(\mathbf{r}) = \xi_\alpha \psi_\alpha(\mathbf{r})$$

Disorder



**Conductance**  $G = \frac{I}{V} \Big|_{V \rightarrow 0}$

$$= \begin{cases} \sigma \frac{L_x L_y}{L_z} & \text{extended} \\ \propto \exp\left(\frac{-L_z}{\zeta_{loc}}\right) & \text{localized} \end{cases}$$



# Philip W. Anderson

## The Nobel Prize in Physics 1977

### Nobel Lecture

Nobel Lecture, December 8, 1977

#### Local Moments and Localized States

I was cited for work both. in the field of magnetism and in that of disordered systems, and I would like to describe here one development in each held which was specifically mentioned in that citation. The two theories I will discuss differed sharply in some ways. The theory of local moments in metals was, in a sense, easy: it was the condensation into a simple mathematical model of ideas which. were very much in the air at the time, and it had rapid and permanent acceptance because of its timeliness and its relative simplicity. What mathematical difficulty it contained has been almost fully- cleared up within the past few years.

Localization was a different matter: very few believed it at the time, and even fewer saw its importance; among those who failed to fully understand it at first was certainly its author. It has yet to receive adequate mathematical treatment, and one has to resort to the indignity of numerical simulations to settle even the simplest questions about it .

# Experiment

## Spin Diffusion

Feher, G., Phys. Rev. 114, 1219 (1959); Feher, G. & Gere, E. A., Phys. Rev. 114, 1245 (1959).

## Light

Wiersma, D.S., Bartolini, P., Lagendijk, A. & Righini R. "Localization of light in a disordered medium", *Nature* 390, 671-673 (1997).

Scheffold, F., Lenke, R., Tweer, R. & Maret, G. "Localization or classical diffusion of light", *Nature* 398, 206-270 (1999).

Schwartz, T., Bartal, G., Fishman, S. & Segev, M. "Transport and Anderson localization in disordered two dimensional photonic lattices". *Nature* 446, 52-55 (2007).

C.M. Aegerter, M. Störzer, S. Fiebig, W. Bührer, and G. Maret : JOSA A, 24, #10, A23, (2007)

## Microwave

Dalichaouch, R., Armstrong, J.P., Schultz, S., Platzman, P.M. & McCall, S.L. "Microwave localization by 2-dimensional random scattering". *Nature* 354, 53, (1991).

Chabanov, A.A., Stoytchev, M. & Genack, A.Z. Statistical signatures of photon localization. *Nature* 404, 850, (2000).

Pradhan, P., Sridar, S, "Correlations due to localization in quantum eigenfunctions od disordered microwave cavities", PRL 85, (2000)

## Sound

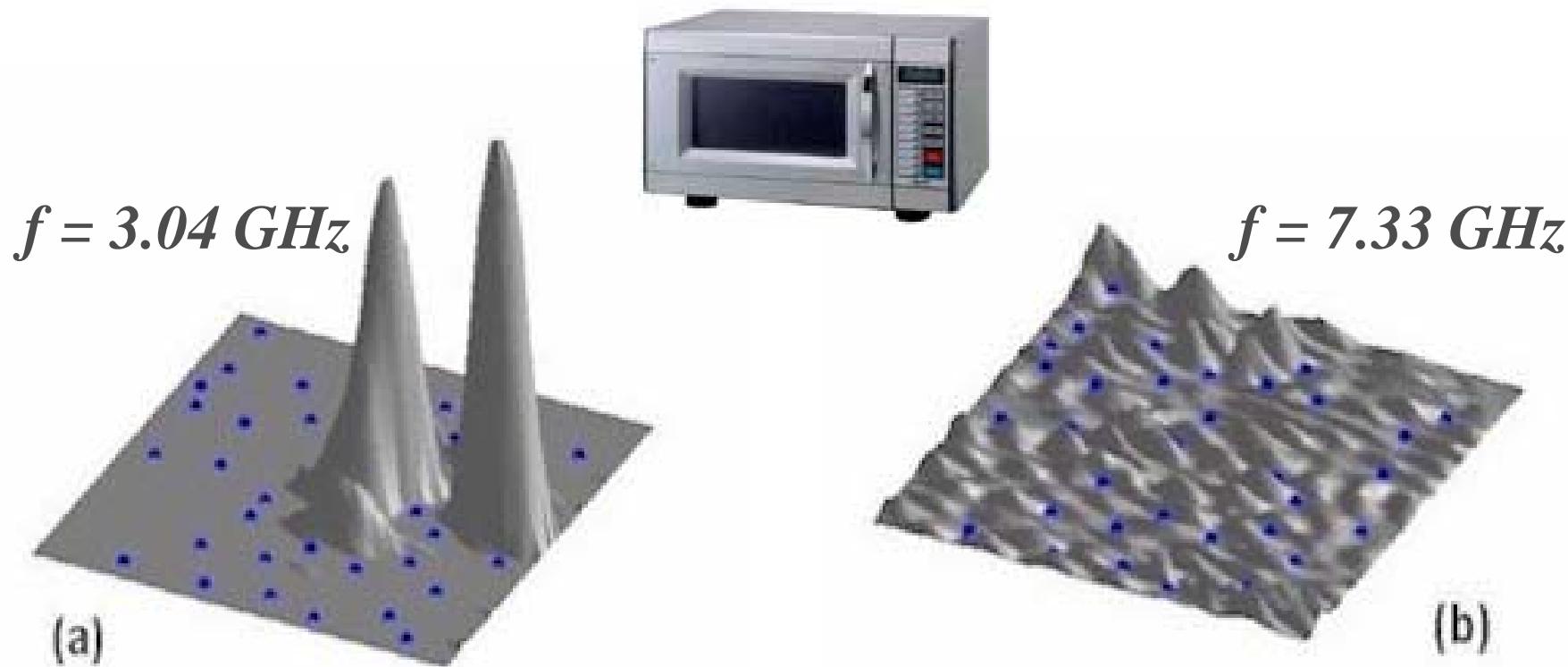
Weaver, R.L. Anderson localization of ultrasound. *Wave Motion* 12, 129-142 (1990).

**Correlations due to Localization in Quantum Eigenfunctions of Disordered Microwave Cavities**

Prabhakar Pradhan and S. Sridhar

*Department of Physics, Northeastern University, Boston, Massachusetts 02115*

(Received 28 February 2000)

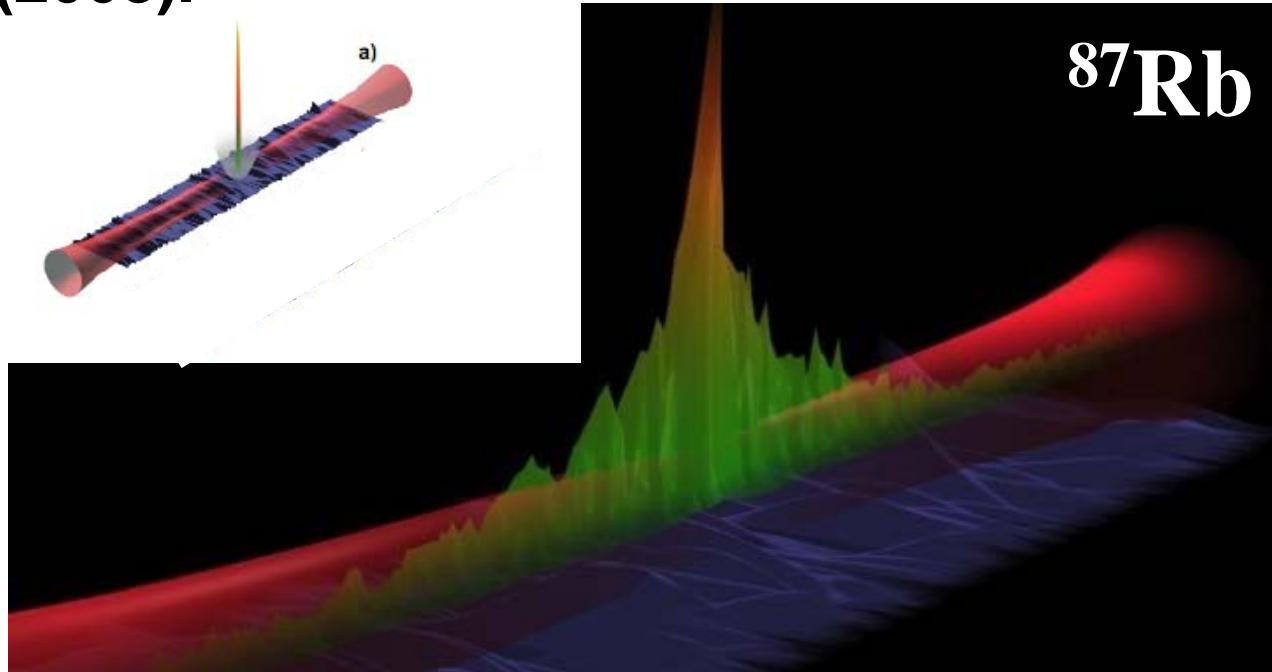


*Localized State  
Anderson Insulator*

*Extended State  
Anderson Metal*

# Localization of cold atoms

Billy et al. “Direct observation of Anderson localization of matter waves in a controlled disorder”. Nature 453, 891- 894 (2008).

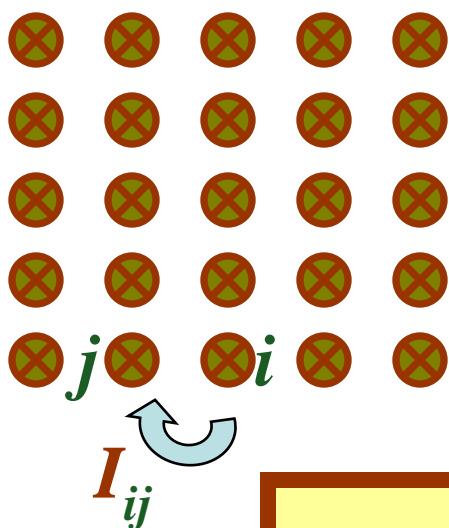


Roati et al. “Anderson localization of a non-interacting Bose-Einstein condensate”. Nature 453, 895-898 (2008).

**Q:** What about electrons ?

**A:** Yes,... but electrons interact with each other

# Anderson Model



- Lattice - tight binding model
- Onsite energies  $\epsilon_i$  - random
- Hopping matrix elements  $I_{ij}$

$-W < \epsilon_i < W$   
uniformly distributed

$$I_{ij} = \begin{cases} I & i \text{ and } j \text{ are nearest neighbors} \\ 0 & \text{otherwise} \end{cases}$$

## Anderson Transition

$$I_c = f(d) * W$$

$$I < I_c$$

*Insulator*

All eigenstates are *localized*  
Localization length  $\xi$

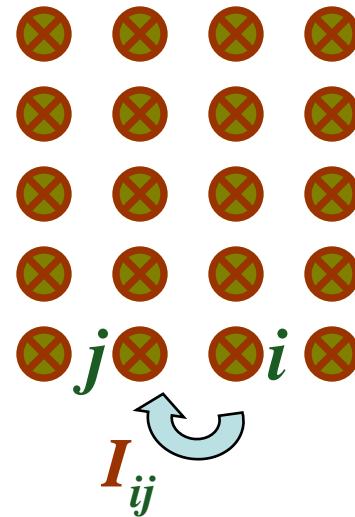
$$I > I_c$$

*Metal*

There appear states *extended*  
all over the whole system

# Q

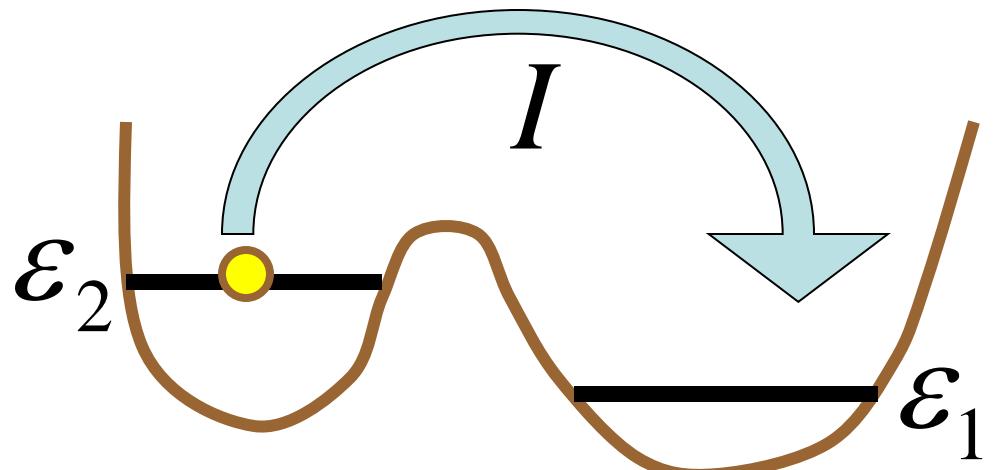
- Why arbitrary weak hopping  $I$  is not sufficient for the existence of the diffusion ?



Einstein (1905): Marcovian (no memory) process → diffusion

Quantum mechanics is not marcovian  
There is memory in quantum propagation !

Why ?



Hamiltonian

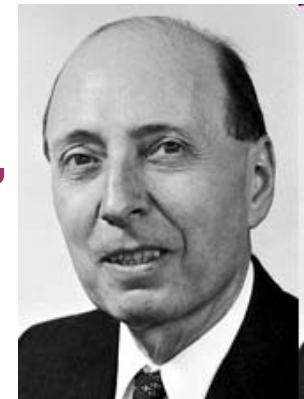
$$\hat{H} = \begin{pmatrix} \epsilon_1 & I \\ I & \epsilon_2 \end{pmatrix} \xrightarrow{\text{diagonalize}} \hat{H} = \begin{pmatrix} E_1 & 0 \\ 0 & E_2 \end{pmatrix}$$

$$E_2 - E_1 = \sqrt{(\epsilon_2 - \epsilon_1)^2 + I^2}$$

$$\hat{H} = \begin{pmatrix} \varepsilon_1 & I \\ I & \varepsilon_2 \end{pmatrix} \xrightarrow{\text{diagonalize}} \hat{H} = \begin{pmatrix} E_1 & 0 \\ 0 & E_2 \end{pmatrix}$$

$$E_2 - E_1 = \sqrt{(\varepsilon_2 - \varepsilon_1)^2 + I^2} \approx \frac{\varepsilon_2 - \varepsilon_1}{I} \quad \varepsilon_2 - \varepsilon_1 \gg I$$

$$E_2 - E_1 = \sqrt{(\varepsilon_2 - \varepsilon_1)^2 + I^2} \approx \frac{\varepsilon_2 - \varepsilon_1}{I} \quad \varepsilon_2 - \varepsilon_1 \ll I$$



**von Neumann & Wigner “noncrossing rule”**

**Level repulsion**

*v. Neumann J. & Wigner E. 1929 Phys. Zeit. v.30, p.467*

# What about the eigenfunctions ?

$$\hat{H} = \begin{pmatrix} \varepsilon_1 & I \\ I & \varepsilon_2 \end{pmatrix} \quad E_2 - E_1 = \sqrt{(\varepsilon_2 - \varepsilon_1)^2 + I^2} \approx \begin{cases} \frac{\varepsilon_2 - \varepsilon_1}{I} & \varepsilon_2 - \varepsilon_1 \gg I \\ \varepsilon_2 - \varepsilon_1 & \varepsilon_2 - \varepsilon_1 \ll I \end{cases}$$

## What about the eigenfunctions ?

$$\phi_1, \varepsilon_1; \phi_2, \varepsilon_2 \iff \psi_1, E_1; \psi_2, E_2$$

$$\varepsilon_2 - \varepsilon_1 \gg I$$

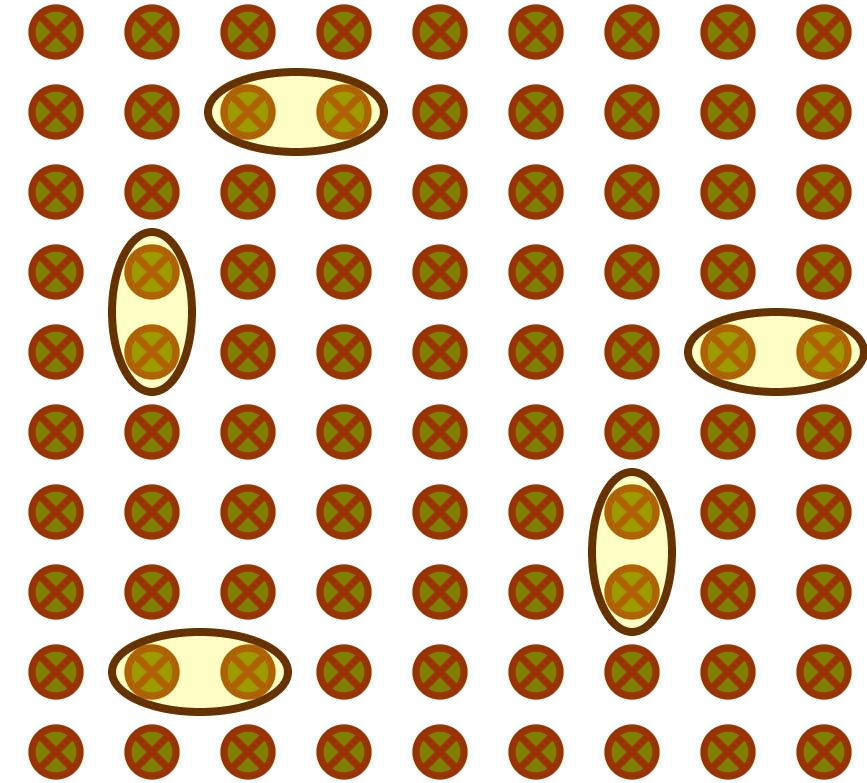
$$\psi_{1,2} = \varphi_{1,2} + O\left(\frac{I}{\varepsilon_2 - \varepsilon_1}\right) \varphi_{2,1}$$

**Off-resonance**  
 Eigenfunctions are close to the original on-site wave functions

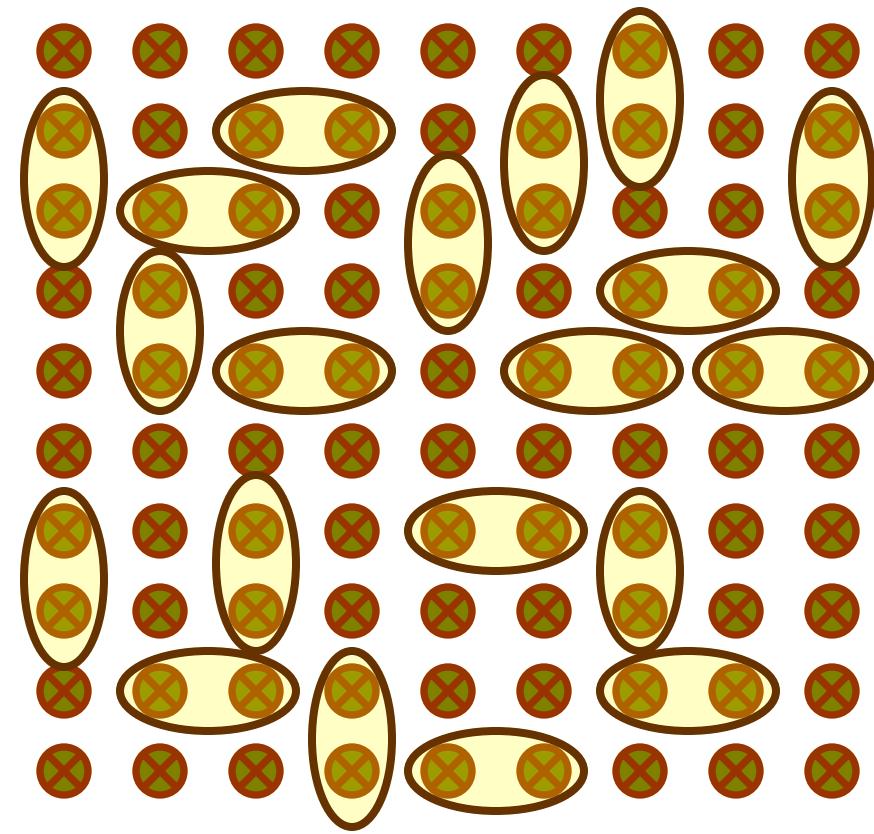
$$\varepsilon_2 - \varepsilon_1 \ll I$$

$$\psi_{1,2} \approx \varphi_{1,2} \pm \varphi_{2,1}$$

**Resonance**  
 In both eigenstates the probability is equally shared between the sites



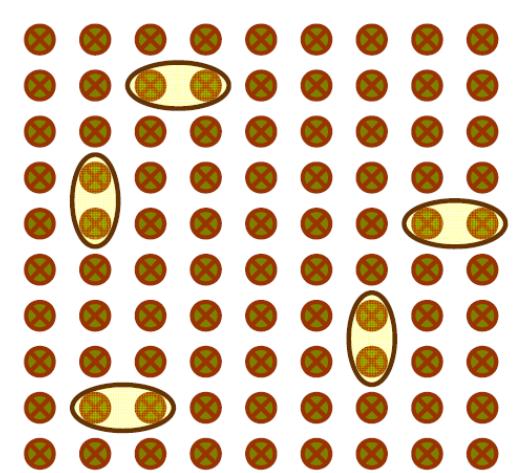
**Anderson insulator**  
Few isolated resonances



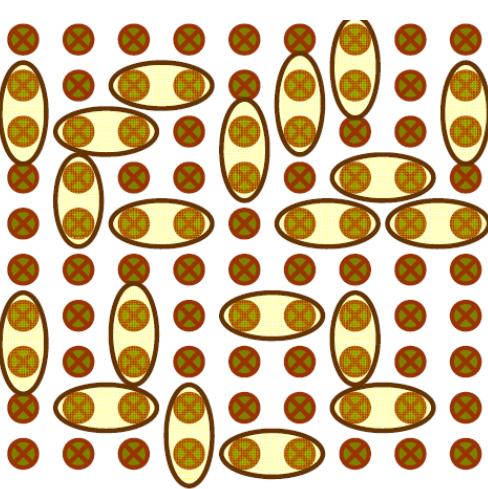
**Anderson metal**  
There are many resonances  
and they overlap

**Transition:**

Typically each site is in the  
resonance with some other one



**Anderson insulator**  
Few isolated resonances



**Anderson metal**  
There are many resonances  
and they overlap

**Transition:** Typically each site is in the resonance with some other one

## Condition for Localization:

$$I < \frac{\text{energy mismatch}}{\# \text{ of n.neighbors}}$$

$$\text{energy mismatch} = \left| \mathcal{E}_i - \mathcal{E}_j \right|_{typ} = W$$

$$\# \text{ of nearest neighbors} = 2d$$

A bit more precise:

$$\frac{I_c}{W} \simeq \left( \frac{1}{2d} \right) \left( \frac{1}{\ln d} \right)$$

Logarithm is due to the resonances, which are not nearest neighbors

# Condition for Localization:

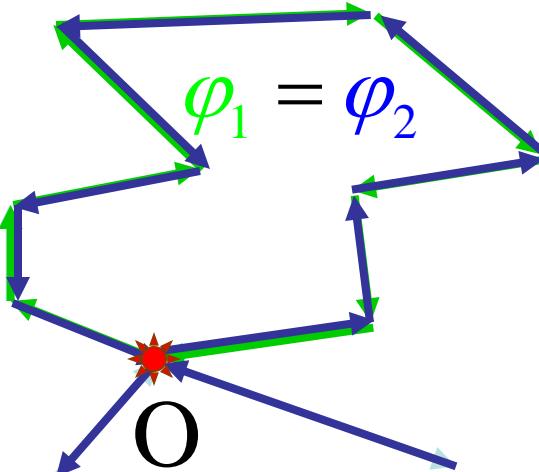
$$\frac{I_c}{W} \simeq \left( \frac{1}{2d} \right) \left( \frac{1}{\ln d} \right)$$

**Q:** Is it correct?

**A1:** For low dimensions - NO.  $I_c = \infty$  for  $d = 1, 2$   
All states are localized. Reason - loop trajectories

$$\varphi = \oint \vec{p} d\vec{r}$$

Phase accumulated  
when traveling  
along the loop



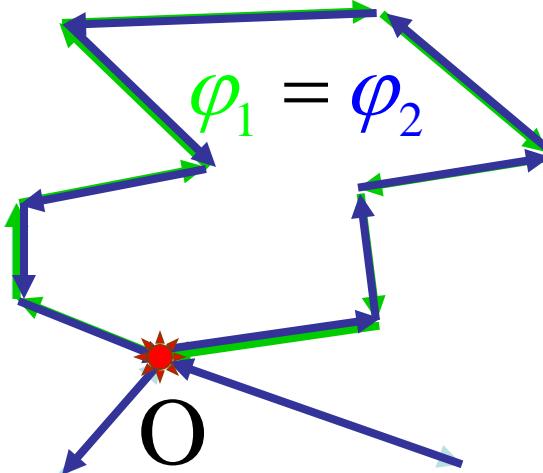
The particle can go around the loop in two directions

Memory!

For  $d=1,2$  all states are localized.

$$\varphi = \oint \vec{p} d\vec{r}$$

Phase accumulated  
when traveling  
along the loop



The particle  
can go around  
the loop in  
two directions

Memory!

## Weak Localization:

The localization length  $\zeta$  can be large

Inelastic processes lead to dephasing, which is characterized by the dephasing length  $L_\varphi$

If  $\zeta \gg L_\varphi$ , then only small corrections to a conventional metallic behavior

# Condition for Localization:

$$\frac{I_c}{W} \simeq \left( \frac{1}{2d} \right) \left( \frac{1}{\ln d} \right)$$

**Q:** Is it correct?

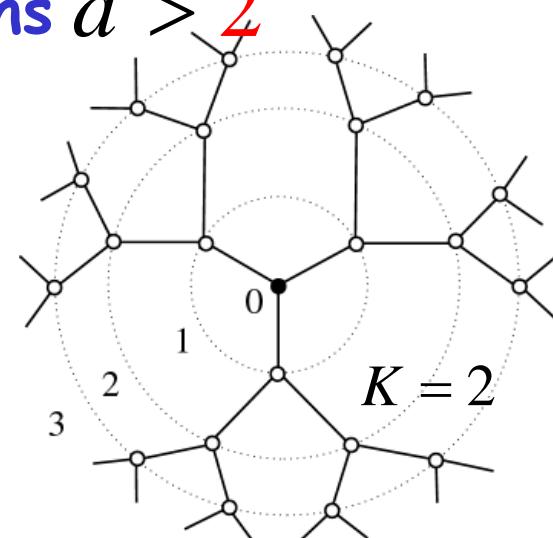
**A1:** For low dimensions - NO.  $I_c = \infty$  for  $d = 1, 2$   
**A1:** All states are localized. Reason - loop trajectories

**A2:** Works better for larger dimensions  $d > 2$

**A3:** Is exact on the Cayley tree

$$I_c = \frac{W}{K \ln K},$$

$K$  is the  
branching  
number



# Anderson Model on a Cayley tree

## A selfconsistent theory of localization

R Abou-Chacra<sup>†</sup>, P W Anderson<sup>‡§</sup> and D J Thouless<sup>†</sup>

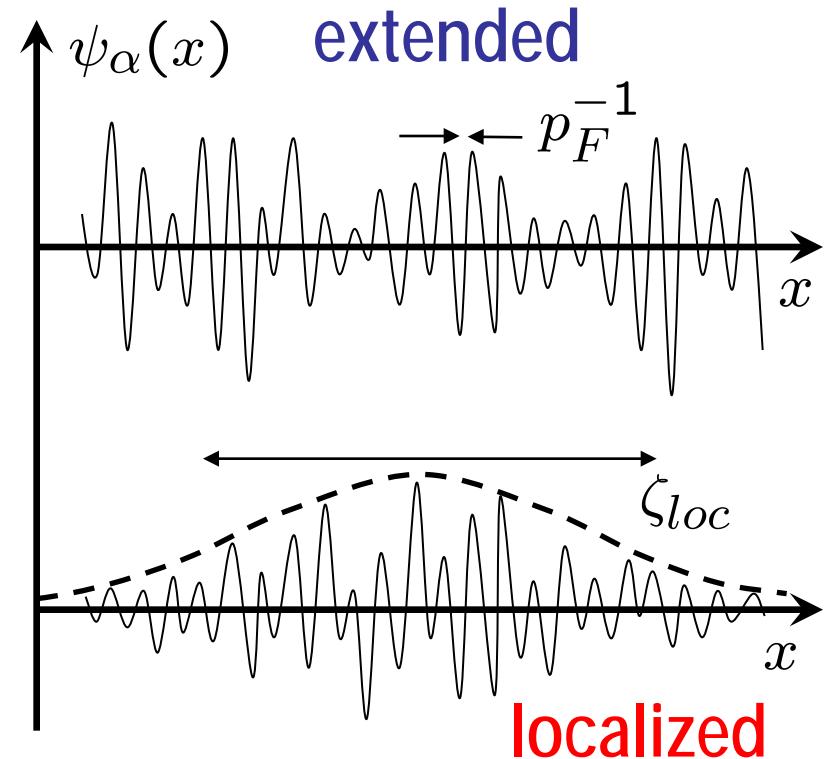
<sup>†</sup> Department of Mathematical Physics, University of Birmingham, Birmingham, B15 2TT

<sup>‡</sup> Cavendish Laboratory, Cambridge, England and Bell Laboratories, Murray Hill, New Jersey, 07974, USA

Received 12 January 1973

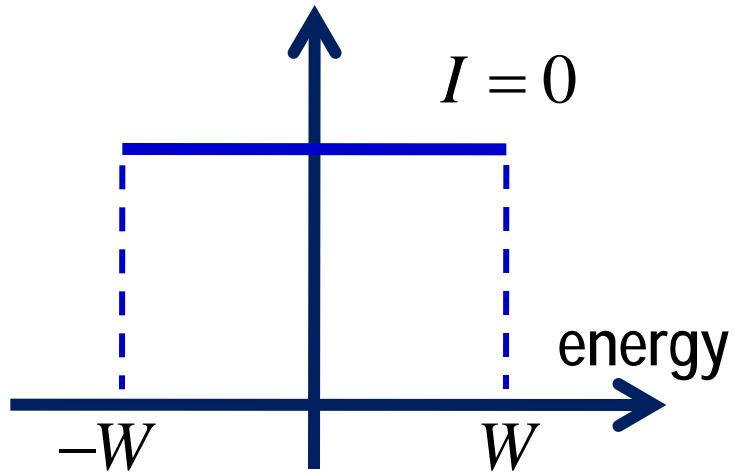
**Abstract.** A new basis has been found for the theory of localization of electrons in disordered systems. The method is based on a selfconsistent solution of the equation for the self energy in second order perturbation theory, whose solution may be purely real almost everywhere (localized states) or complex everywhere (nonlocalized states). The equations used are exact for a Bethe lattice. The selfconsistency condition gives a nonlinear integral equation in two variables for the probability distribution of the real and imaginary parts of the self energy. A simple approximation for the stability limit of localized states gives Anderson's 'upper limit approximation'. Exact solution of the stability problem in a special case gives results very close to Anderson's best estimate. A general and simple formula for the stability limit is derived; this formula should be valid for smooth distribution of site energies away from the band edge. Results of Monte Carlo calculations of the selfconsistency problem are described which confirm and go beyond the analytical results. The relation of this theory to the old Anderson theory is examined, and it is concluded that the present theory is similar but better.

# Eigenfunctions

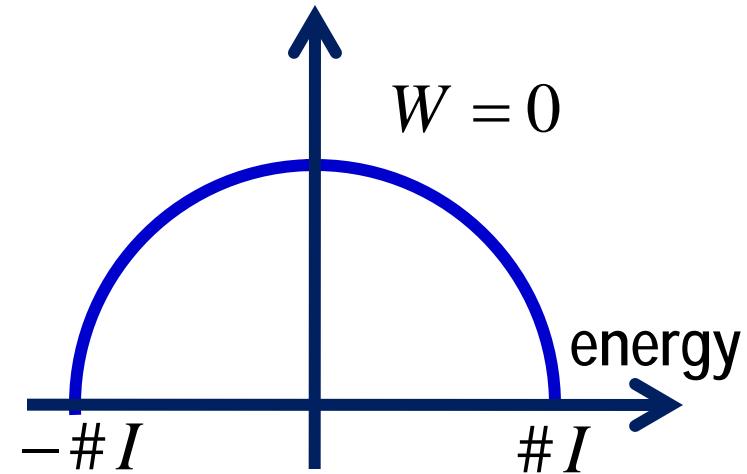


**Q:** Does anything interesting happen with the spectrum ?

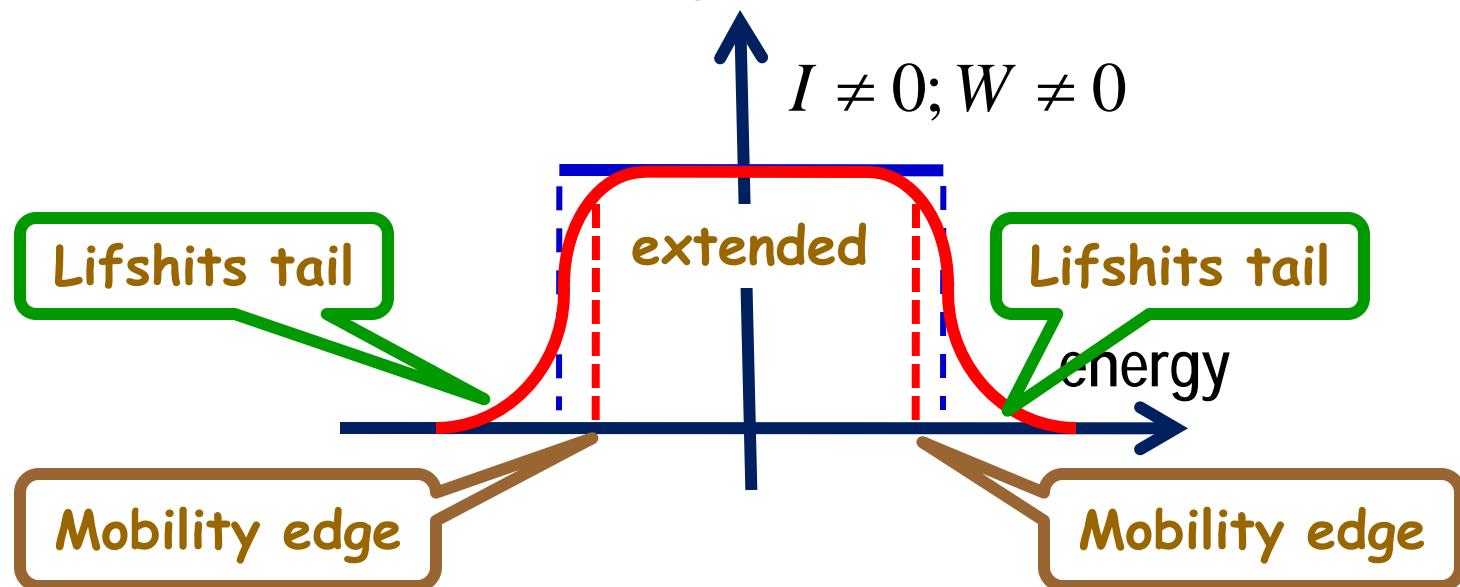
### Density of States



### Density of States



### Density of States

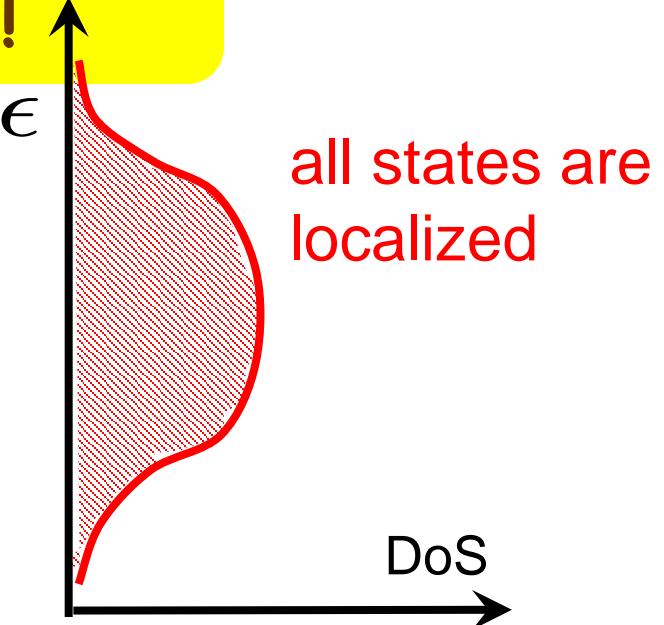
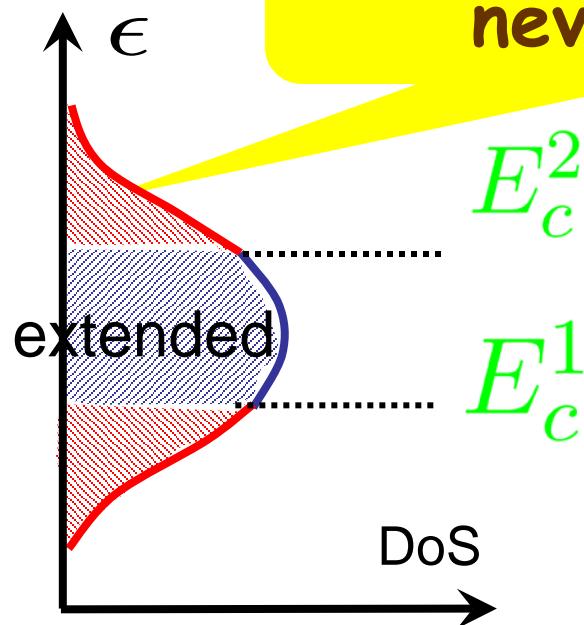


# Anderson Transition

$$I > I_c$$

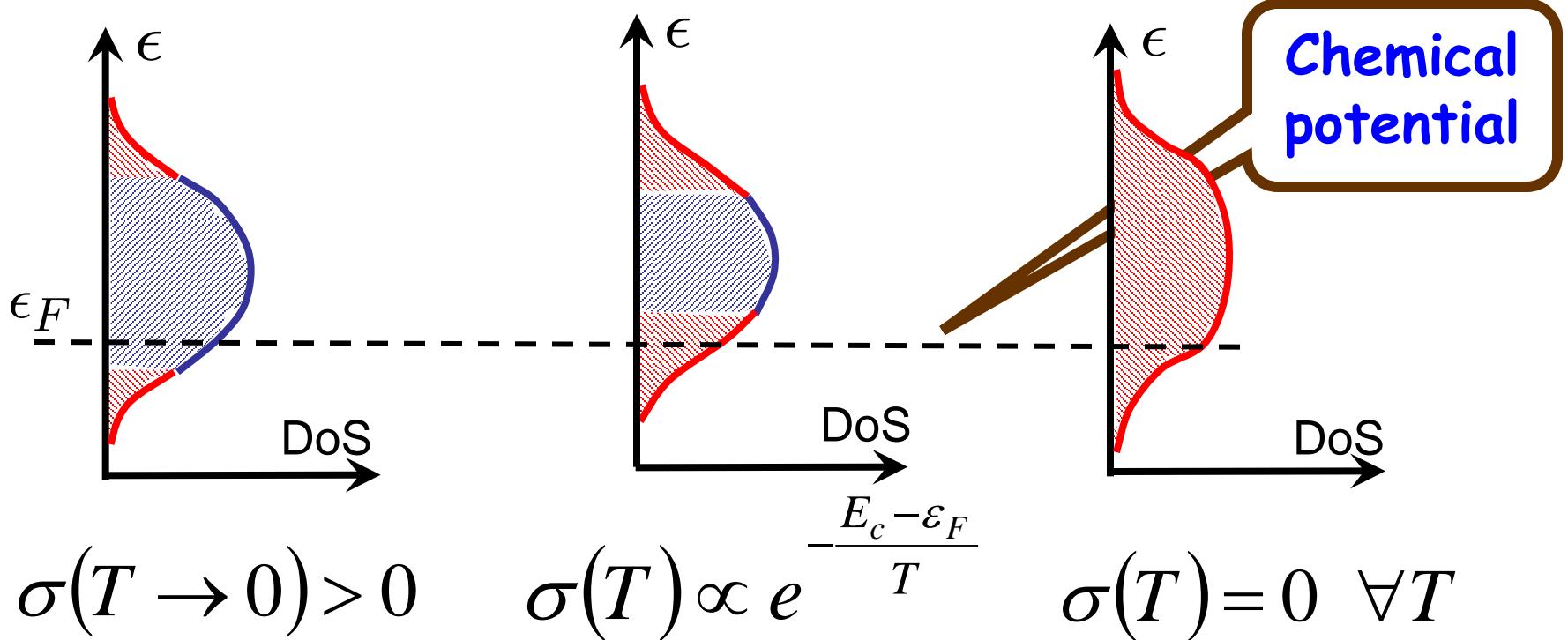
$$I < I_c$$

localized and extended  
never coexist!



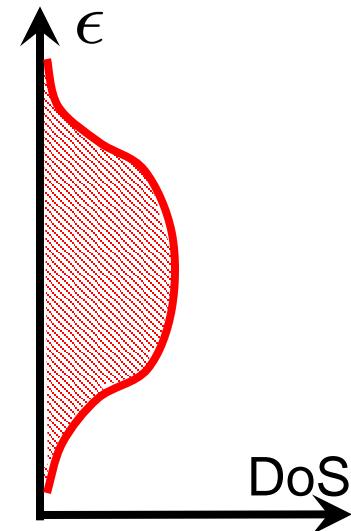
$E_c$  - mobility edges (one particle)

# Temperature dependence of the conductivity one-electron picture



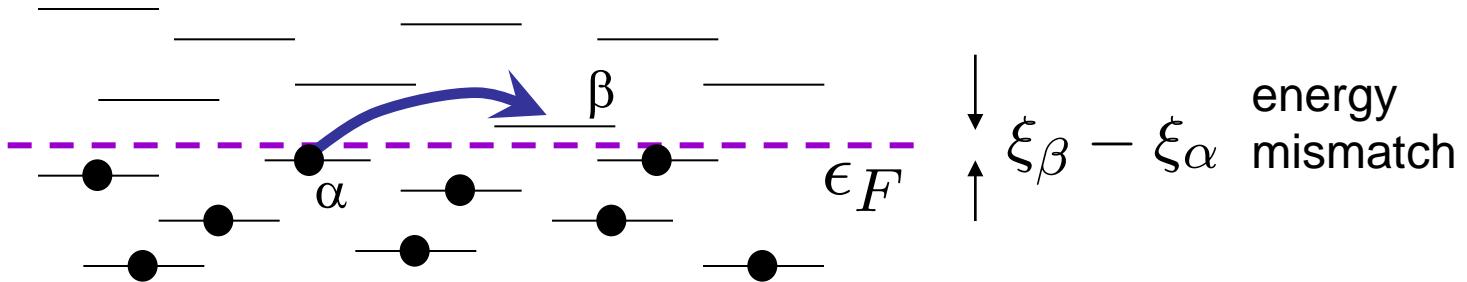
# Temperature dependence of the conductivity one-electron picture

Assume that all the states are localized;  
e.g.  $d = 1, 2$



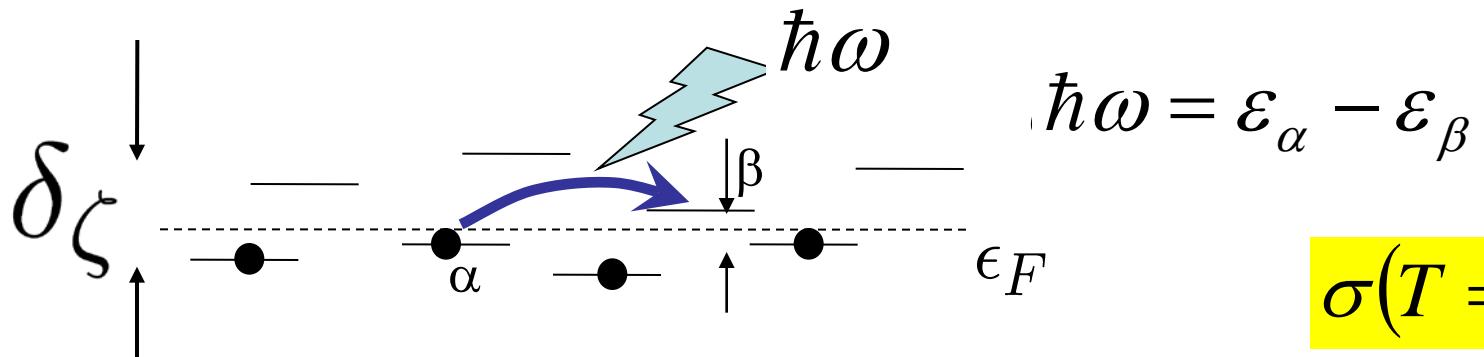
$$\sigma(T) = 0 \quad \forall T$$

# Inelastic processes transitions between localized states



$$T = 0 \implies \sigma = 0 \quad (\text{any mechanism})$$

# Phonon-assisted hopping



$$\sigma(T=0)=0$$

**Variable Range  
Hopping**  
N.F. Mott (1968)

$$\sigma(T) \propto T^\gamma \exp \left[ - \left( \frac{\delta_\zeta}{T} \right)^{\frac{1}{d+1}} \right]$$

Mechanism-dependent  
prefactor

Optimized  
phase volume

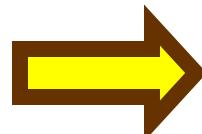
Any bath with a continuous spectrum of delocalized excitations down to  $\omega = 0$  will give the same exponential

# *Lecture 1.*

*2. Phononless conductivity  
in Anderson insulators  
with e-e interaction*

Common belief:

Anderson  
Insulator  
weak e-e  
interactions



Phonon assisted  
hopping transport

Can hopping conductivity  
exist without phonons



- Given:**
1. All one-electron states are localized
  2. Electrons interact with each other
  3. The system is closed (no phonons)
  4. Temperature is low but finite

**Find:** DC conductivity  $\sigma(T, \omega=0)$   
(zero or finite?)

**Q:** Can e-h pairs lead to **phonon-less** variable range hopping in the same way as phonons do ?

**A#1: Sure**

1. Recall **phonon-less AC conductivity**:

Sir N.F. Mott (1970)

$$\sigma(\omega) = \frac{e^2 \zeta_{loc}^{d-2}}{\hbar} \left( \frac{\hbar\omega}{\delta\zeta} \right)^2 \ln^{d+1} \left| \frac{\delta\zeta}{\hbar\omega} \right|$$

2. Fluctuation Dissipation Theorem:  
there should be Johnson-Nyquist noise

3. Use this noise as a bath instead of phonons

4. Self-consistency (whatever it means)

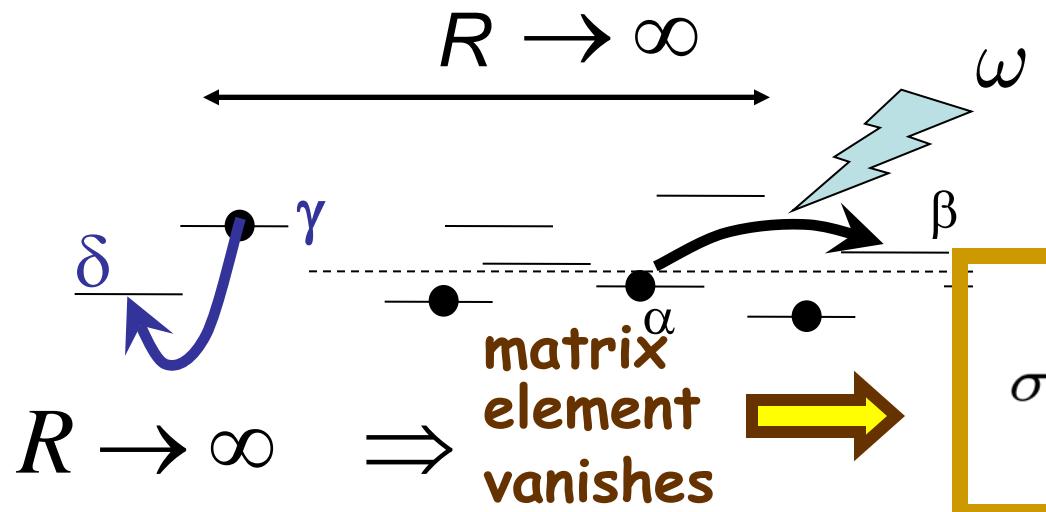
**Q:** Can e-h pairs lead to **phonon-less** variable range hopping in the same way as phonons do ?

**A#1:** Sure

**A#2: No way (L. Fleishman. P.W. Anderson (1980))**  
Except maybe Coulomb interaction in 3D

$$\sigma(\omega) \simeq \frac{e^2 \zeta_{loc}^{d-2}}{\hbar} \left( \frac{\hbar\omega}{\delta\zeta} \right)^2 \ln^{d+1} \left| \frac{\delta\zeta}{\hbar\omega} \right|$$

is contributed by rare resonances



$$\omega = \xi_\beta - \xi_\alpha = \xi_\gamma - \xi_\delta$$

$$\sigma(T) \propto 0 \exp \left[ - \left( \frac{\delta\zeta}{T} \right)^{\frac{1}{d+1}} \right]$$

No  
phonons

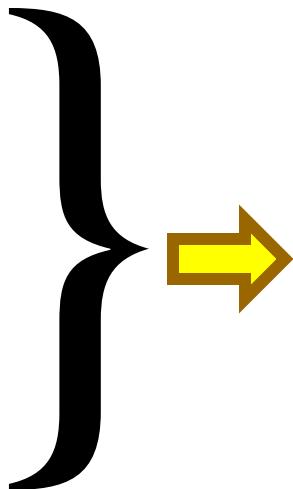
???

No  
transport

$\forall T$

## Problem:

- If the localization length exceeds  $L_\varphi$ , then - metal.
- In a metal e-e interaction leads to a finite  $L_\varphi$



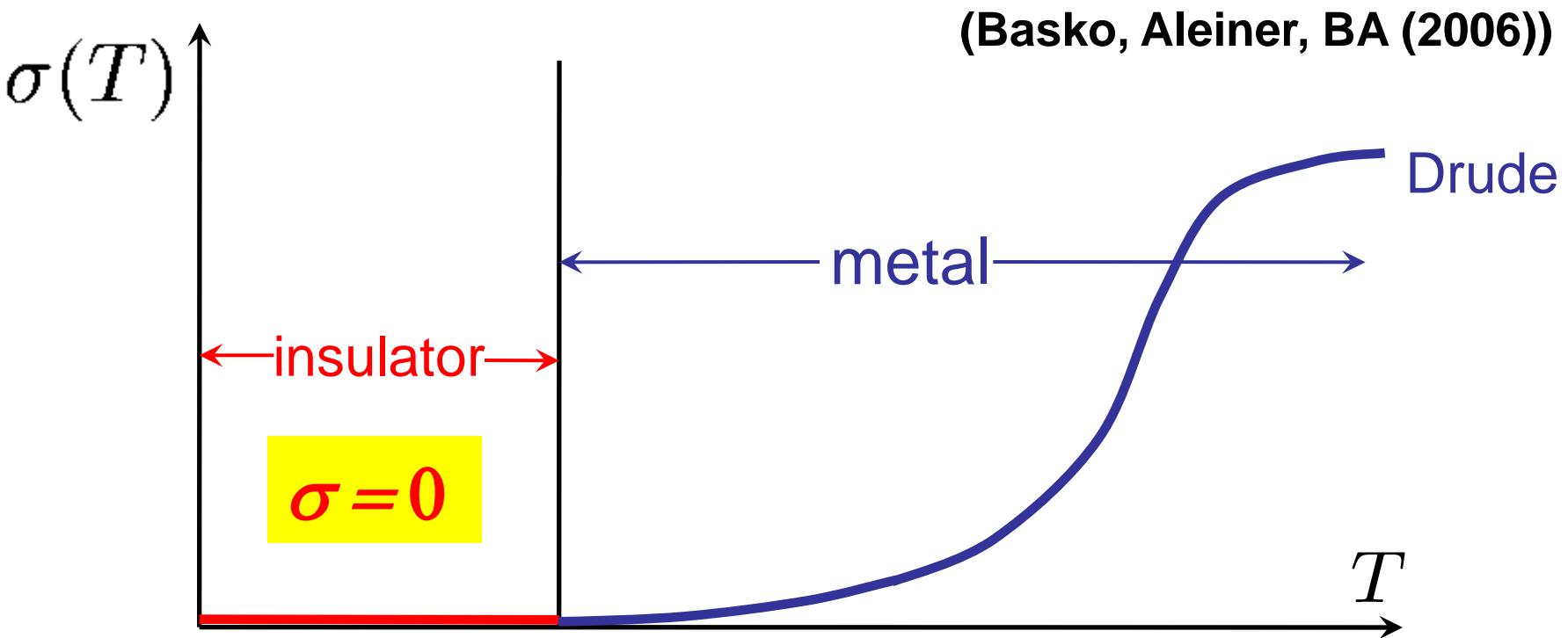
At high enough temperatures conductivity should be **finite** even without phonons

**Q:** Can e-h pairs lead to **phonon-less** variable range hopping in the same way as phonons do ?

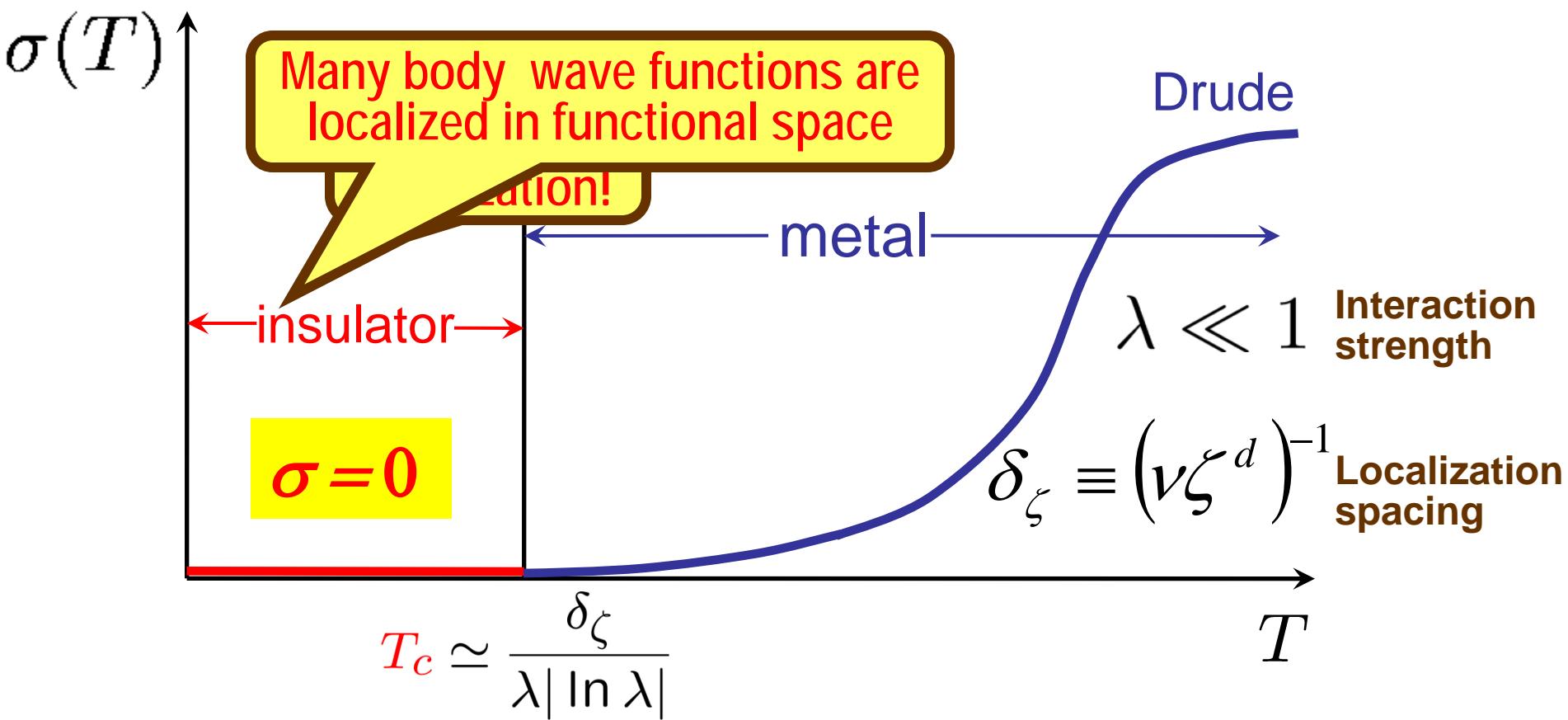
**A#1: Sure**

**A#2: No way (L. Fleishman. P.W. Anderson (1980))**

**A#3: Finite temperature Metal-Insulator Transition**



# Finite temperature Metal-Insulator Transition



## Definitions:

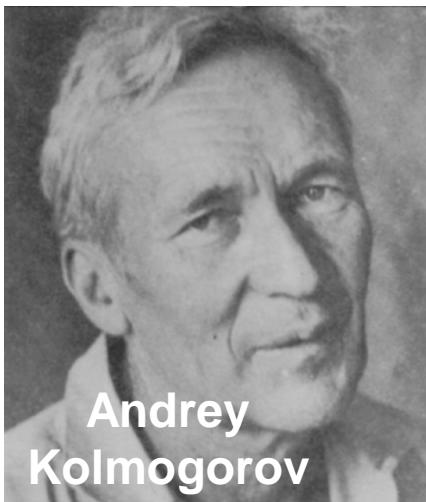
**Insulator**       $\sigma = 0$   
not     $d\sigma/dT < 0$

**Metal**       $\sigma \neq 0$   
not     $d\sigma/dT > 0$

# *3. Localization beyond real space*

# Kolmogorov – Arnold – Moser (KAM) theory

A.N. Kolmogorov,  
Dokl. Akad. Nauk  
SSSR, 1954.  
Proc. 1954 Int.  
Congress of  
Mathematics, North-  
Holland, 1957



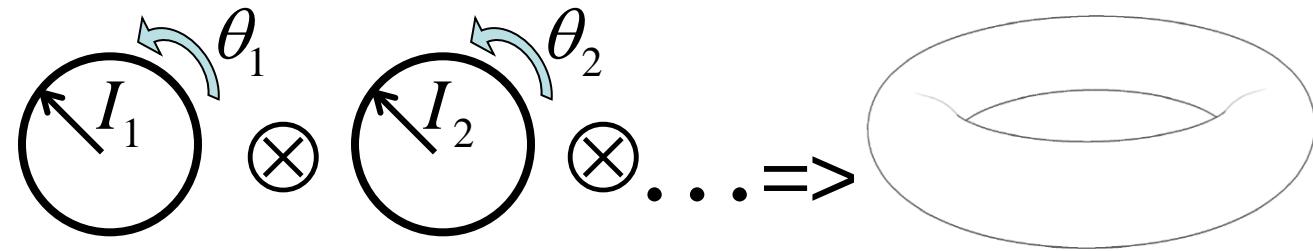
$$\hbar = 0$$

Integrable classical Hamiltonian  $\hat{H}_0$ ,  $d > 1$ :

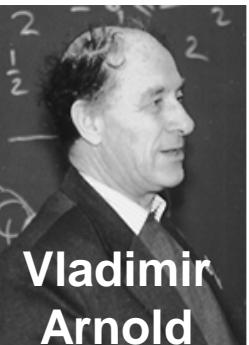
Separation of variables:  $d$  sets of action-angle variables

$$I_1, \theta_1 = 2\pi\omega_1 t; \dots, I_2, \theta_2 = 2\pi\omega_2 t; \dots$$

Quasiperiodic motion:  
set of the frequencies,  $\omega_1, \omega_2, \dots, \omega_d$  which are in general incommensurate. Actions  $I_i$  are integrals of motion  $\partial I_i / \partial t = 0$



tori



# Integrable dynamics:

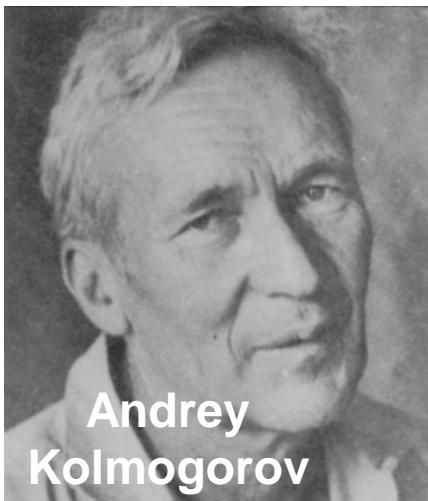
Each classical trajectory is quasiperiodic and confined to a particular torus, which is determined by a set of the integrals of motion

space	Number of dimensions
real space	$d$
phase space: $(x,p)$	$2d$
energy shell	$2d-1$
tori	$d$

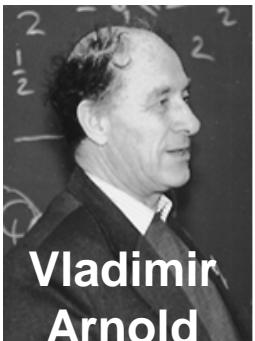
Each torus has measure zero on the energy shell !

# Kolmogorov – Arnold – Moser (KAM) theory

A.N. Kolmogorov,  
Dokl. Akad. Nauk  
SSSR, 1954.  
Proc. 1954 Int.  
Congress of  
Mathematics, North-  
Holland, 1957



Andrey  
Kolmogorov



Vladimir  
Arnold



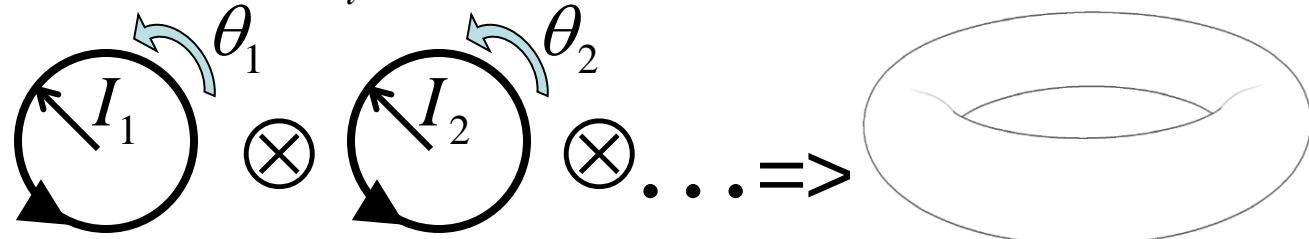
Jurgen  
Moser

Integrable classical Hamiltonian  $\hat{H}_0$ ,  $d > 1$ :

Separation of variables:  $d$  sets of action-angle variables  $I_1, \theta_1 = 2\pi\omega_1 t; \dots, I_d, \theta_d = 2\pi\omega_d t; \dots$

Quasiperiodic motion: set of the frequencies,  $\omega_1, \omega_2, \dots, \omega_d$  which are in general incommensurate

Actions  $I_i$  are integrals of motion  $\partial I_i / \partial t = 0$



Q:

Will an arbitrary weak perturbation  $\tilde{V}$  of the integrable Hamiltonian  $H_0$  destroy the tori and make the motion ergodic (when each point at the energy shell will be reached sooner or later)?

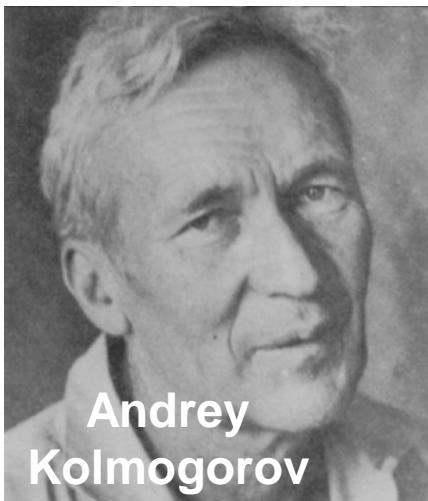
A:

Most of the tori survive weak and smooth enough perturbations

?  
KAM theorem

# Kolmogorov – Arnold – Moser (KAM) theory

A.N. Kolmogorov,  
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Andrey  
Kolmogorov

Q:

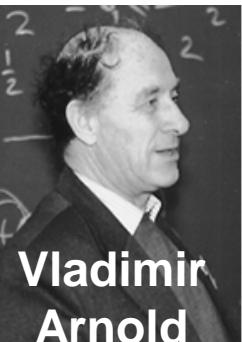
Will an arbitrary weak perturbation  $\hat{V}$  of the integrable Hamiltonian  $\hat{H}_0$  destroy the tori and make the motion ergodic (i.e. each point at the energy shell would be reached sooner or later)?

A:

Most of the tori survive weak and smooth enough perturbations

?

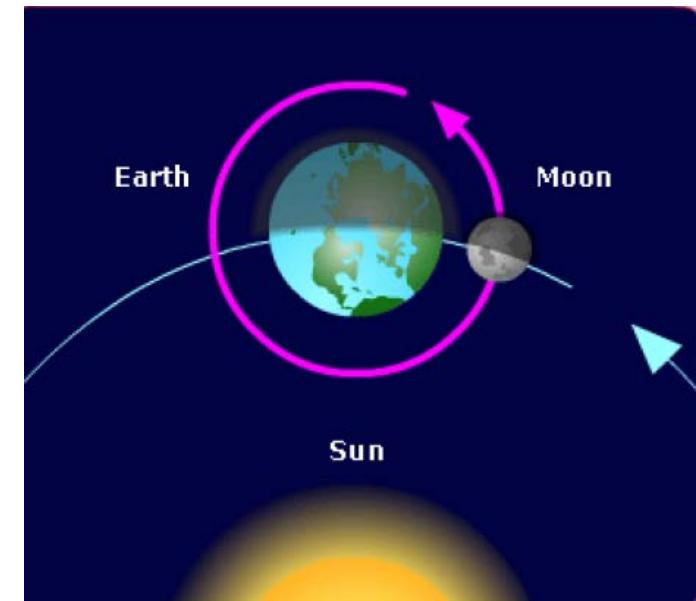
KAM  
theorem



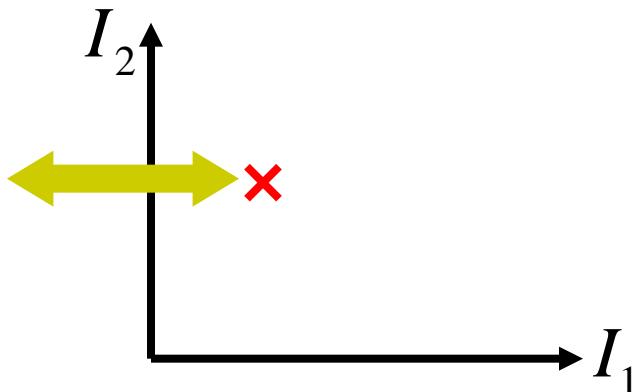
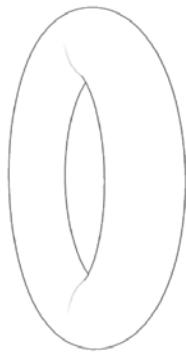
Vladimir  
Arnold



Jurgen  
Moser

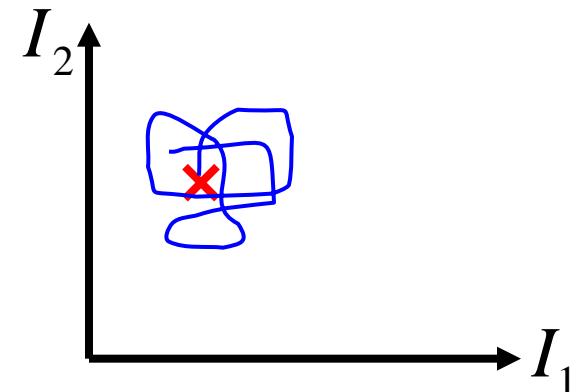


# KAM theorem: Most of the tori survive weak and smooth enough perturbations



Each point in the space of the integrals of motion corresponds to a torus and vice versa

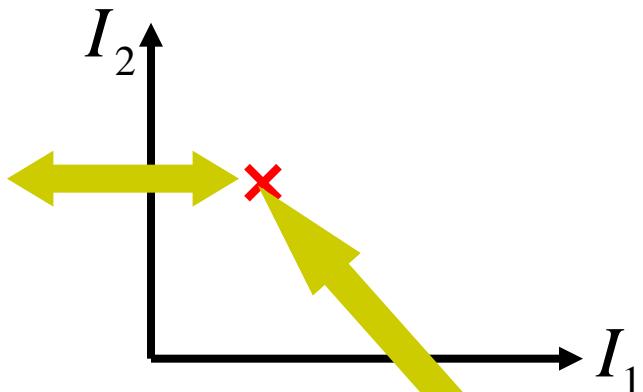
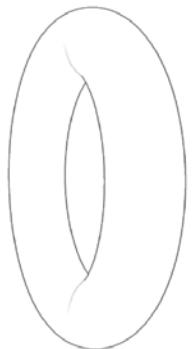
$$\hat{V} \neq 0$$



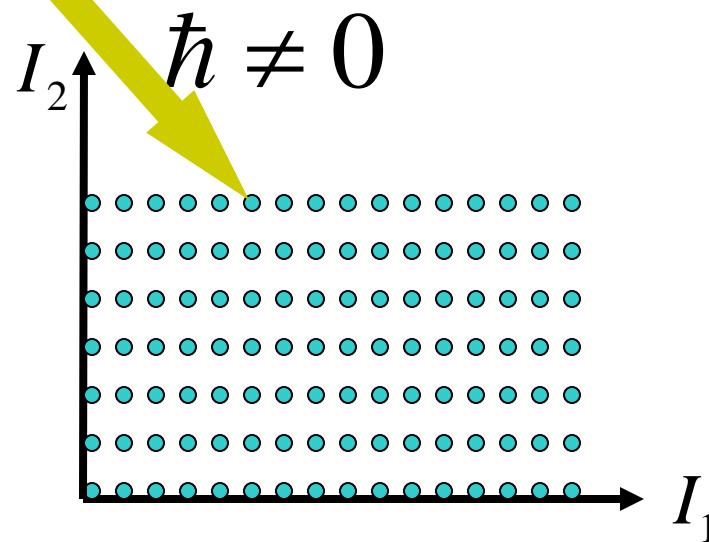
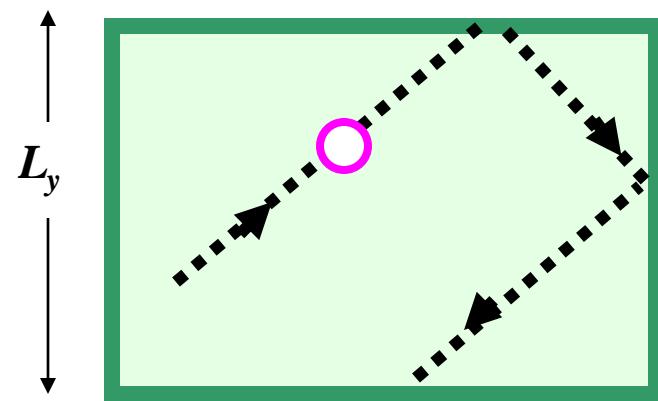
Finite motion.  
Localization in the space of the integrals of motion ?

# KAM theorem:

Most of the tori survive weak and smooth enough perturbations



Rectangular billiard

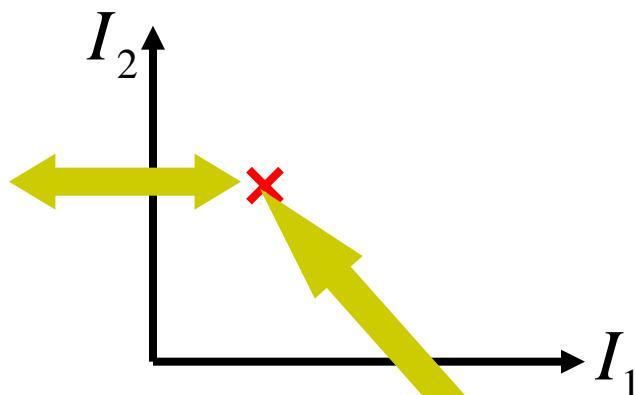
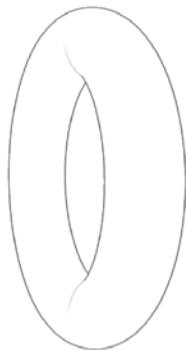


Two integrals of motion

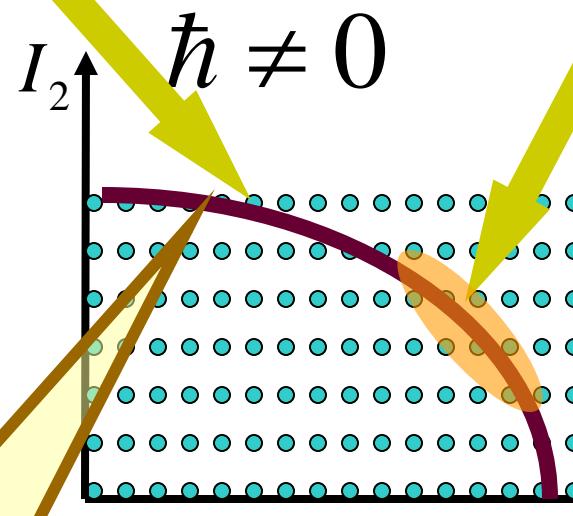
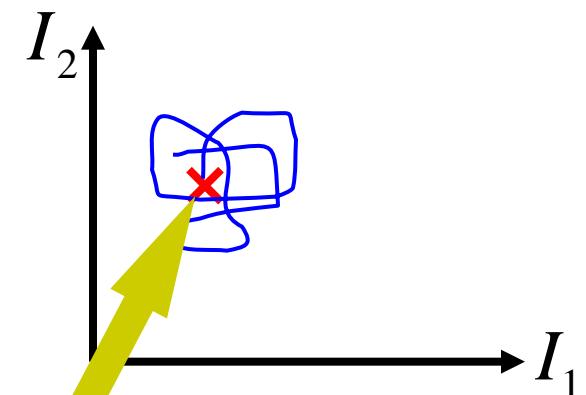
$$I_1 = p_x; \quad I_2 = p_y$$

$$p_x = \frac{\pi n}{L_x}; \quad p_y = \frac{\pi m}{L_x}$$

# KAM theorem: Most of the tori survive weak and smooth enough perturbations



$$\hat{V} \neq 0$$



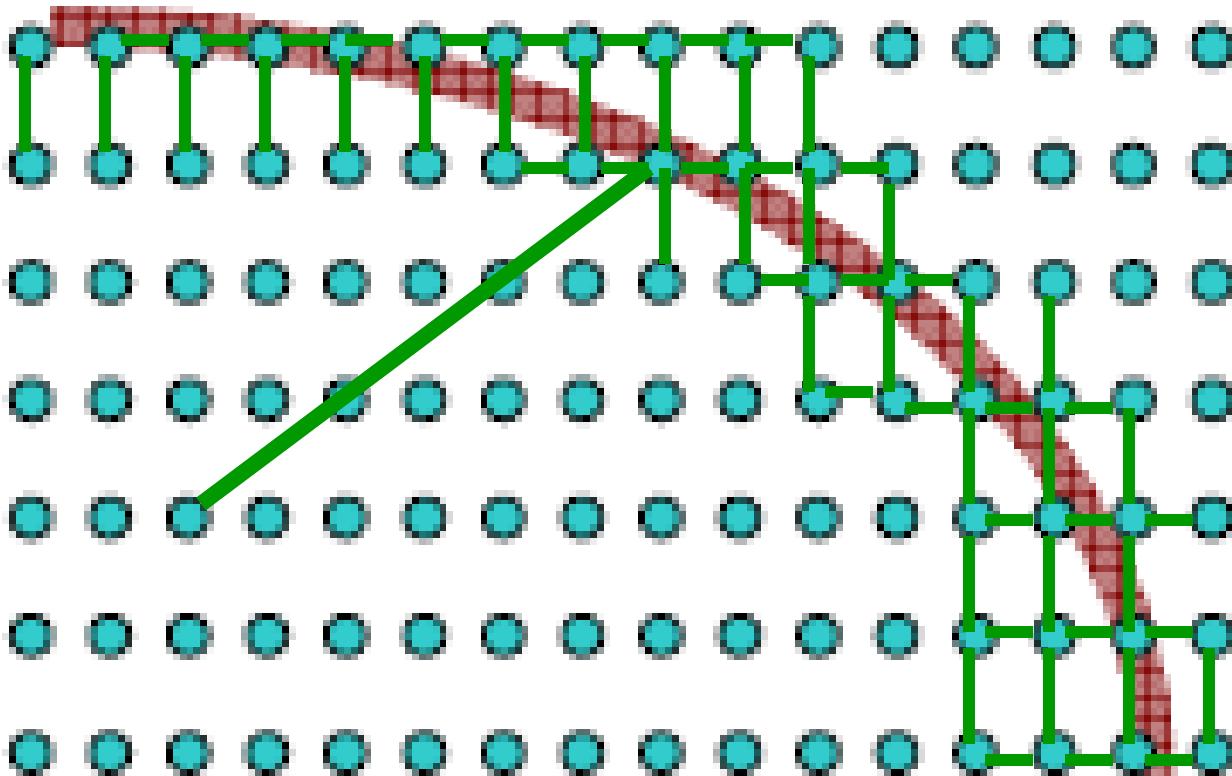
Energy shell

$$\hat{V}_{\mu, \nu}^{\mu, \nu}$$

Matrix element of the perturbation

$$|\mu\rangle = |\vec{I}^{(\mu)}\rangle$$

$$\vec{I}^{(\mu)} = \{I_1^{(\mu)}, \dots, I_d^{(\mu)}\}$$



One can speak about localization provided that the perturbation is somewhat **local** in the space of quantum numbers of the original Hamiltonian

**AL** hops are **local** - one can distinguish “near” and “far”  
**KAM** perturbation is smooth enough

# Glossary

Classical	Quantum
<b>Integrable</b> $H_0 = H_0(\vec{I})$	<b>Integrable</b> $\hat{H}_0 = \sum_{\mu} E_{\mu}  \mu\rangle\langle\mu , \quad  \mu\rangle =  \vec{I}\rangle$
<b>KAM</b>	<b>Localized</b>
<b>Ergodic</b> - distributed all over the energy shell <b>Chaotic</b>	<b>Extended ?</b>

**Strong disorder**  
**Weak disorder**

**localized**  
**extended**

**Strong disorder**

**localized**

**Moderate disorder**

**extended**

**No disorder chaotic**

**extended**

**No disorder integrable localized**

**Too weak disorder int. localized**

Consider an **integrable** system.  
Each state is characterized by a set of quantum numbers.

It can be viewed as a point in the **space of quantum numbers**. The whole set of the states forms a **lattice** in this space.

A **perturbation** that violates the integrability provides matrix elements of the **hopping** between different sites (**Anderson model !?**)

**Q:** Is it possible to tell if the states are localized (in some unknown basis) or extended. ?

Density of States is not singular  
at the Anderson transition

This applies only to the  
average Density of States !

Fluctuations ?

# *4. Spectral statistics and Localization*

# RANDOM MATRIX THEORY

Spectral  
statistics

$N \times N$

*ensemble of Hermitian matrices  
with random matrix element*

$N \rightarrow \infty$

$E_\alpha$

- spectrum (set of eigenvalues)

$\delta_1 \equiv \langle E_{\alpha+1} - E_\alpha \rangle$

- mean level spacing,  
determines the density of states

$\langle \dots \dots \rangle$

- ensemble averaging

$s \equiv \frac{E_{\alpha+1} - E_\alpha}{\delta_1}$

- spacing between nearest  
neighbors

$P(s)$

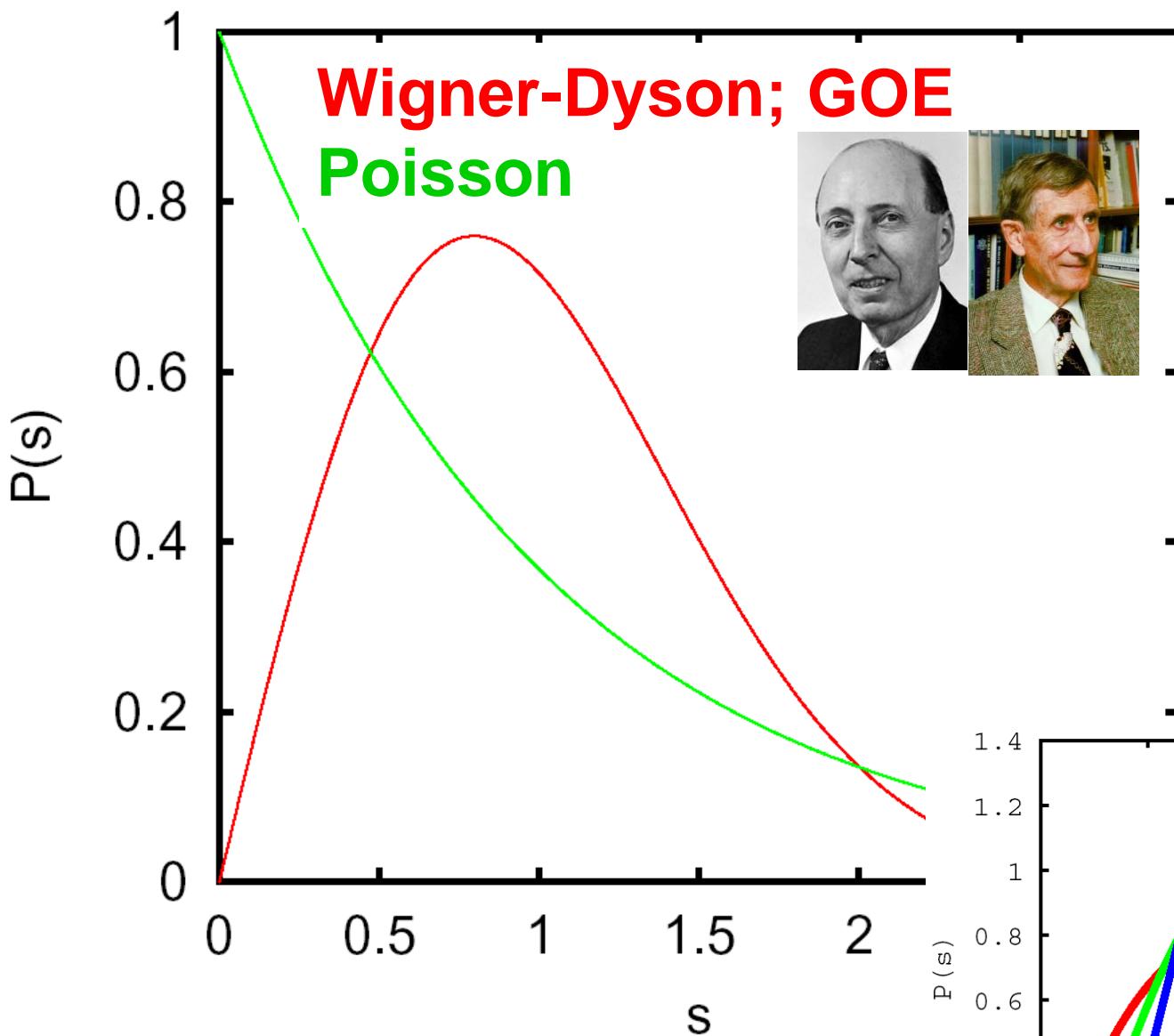
- distribution function of nearest  
neighbors spacing between

## Spectral Rigidity

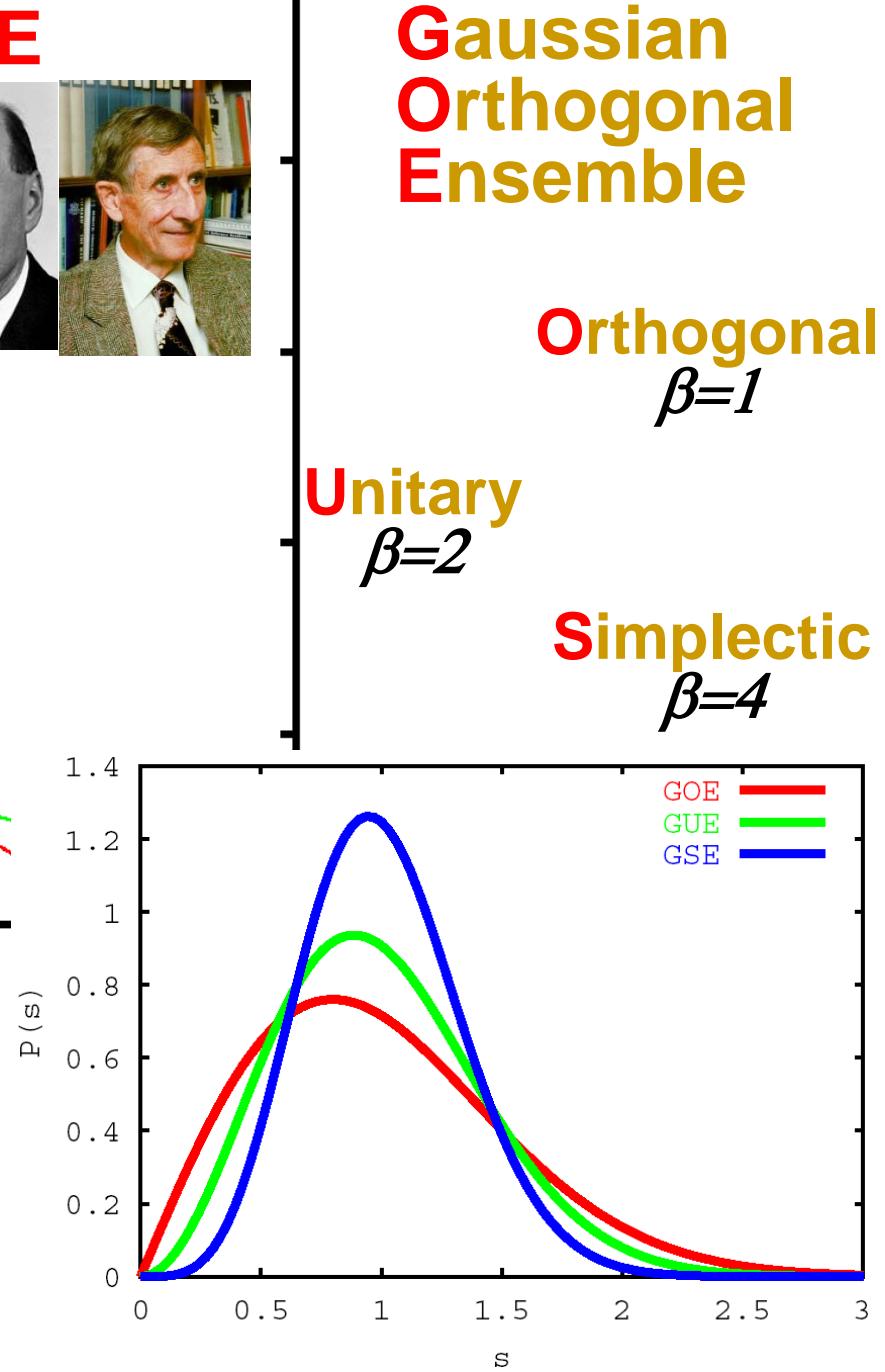
## Level repulsion

$P(s = 0) = 0$

$P(s \ll 1) \propto s^\beta \quad \beta=1,2,4$



Poisson – completely uncorrelated levels



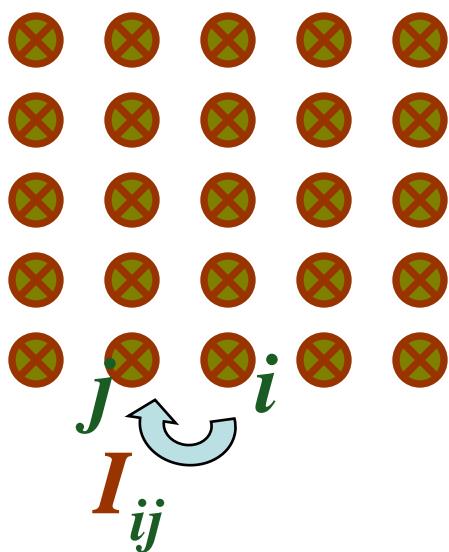
# RANDOM MATRICES

$N \times N$  matrices with random matrix elements.  $N \rightarrow \infty$

## Dyson Ensembles

<u>Matrix elements</u>	<u>Ensemble</u>	$\beta$	<u>realization</u>
real	orthogonal	1	T-inv potential
complex	unitary	2	broken T-invariance (e.g., by magnetic field)
$2 \times 2$ matrices	symplectic	4	T-inv, but with spin-orbital coupling

# Anderson Model



- Lattice - tight binding model
- Onsite energies  $\epsilon_i$  - random
- Hopping matrix elements  $I_{ij}$

$-\mathbf{W} < \epsilon_i < \mathbf{W}$   
uniformly distributed

Is there much in common between Random Matrices and Hamiltonians with random potential ?

Q: What are the spectral statistics of a finite size Anderson model ?

# Anderson Transition

*Strong disorder*

$$I < I_c$$

*Insulator*

*All eigenstates are localized*

*Localization length  $\xi$*

*The eigenstates, which are localized at different places will not repel each other*



*Poisson spectral statistics*

*Weak disorder*

$$I > I_c$$

*Metal*

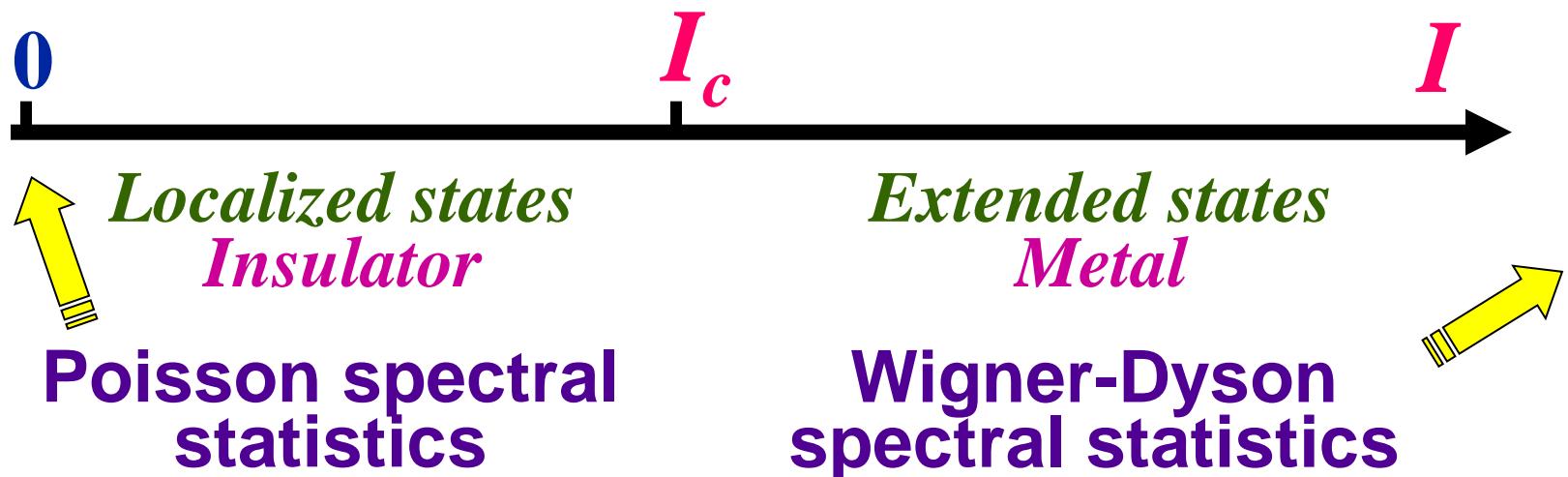
*There appear states **extended** all over the whole system*

*Any two extended eigenstates repel each other*



*Wigner – Dyson spectral statistics*

# Anderson Localization and Spectral Statistics



Consider an **integrable** system.  
Each state is characterized by a set of quantum numbers.

It can be viewed as a point in the **space of quantum numbers**. The whole set of the states forms a **lattice** in this space.

A **perturbation** that violates the integrability provides matrix elements of the **hopping** between different sites (**Anderson model !?**)

**Weak enough hopping:**  
Localization - Poisson

**Strong hopping:**  
transition to Wigner-Dyson

**Extended states:** Level repulsion, anticrossings,  
**Wigner-Dyson spectral statistics**

**Localized states:** **Poisson spectral statistics**

**Invariant  
(basis independent)  
definition**

# *Many-Body Localization*

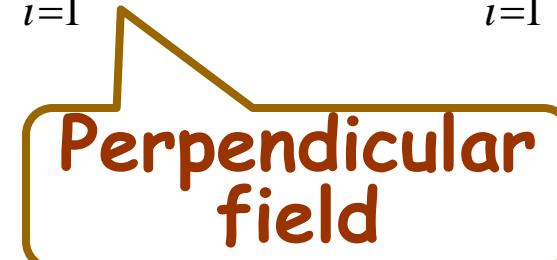
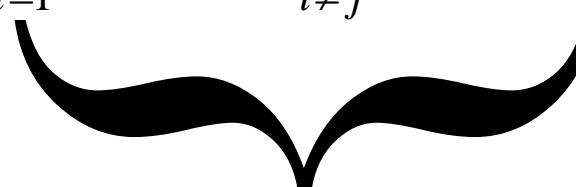
BA, Gefen, Kamenev & Levitov, 1997  
Basko, Aleiner & BA, 2005. . .

# Example: Random Ising model in the perpendicular field

Will not discuss today in detail

$$\hat{H} = \sum_{i=1}^N B_i \hat{\sigma}_i^z + \sum_{i \neq j} J_{ij} \hat{\sigma}_i^z \hat{\sigma}_j^z + I \sum_{i=1}^N \hat{\sigma}_i^x \equiv \hat{H}_0 + I \sum_{i=1}^N \hat{\sigma}_i^x$$

**Random Ising model  
in a parallel field**



$\vec{\sigma}_i$  - Pauli matrices,  $\sigma_i^z = \pm \frac{1}{2}$   
 $i = 1, 2, \dots, N; N \gg 1$

Without perpendicular field all  $\sigma_i^z$  commute with the Hamiltonian, i.e.  
they are integrals of motion

$$\hat{H} = \sum_{i=1}^N B_i \hat{\sigma}_i^z + \sum_{i \neq j} J_{ij} \hat{\sigma}_i^z \hat{\sigma}_j^z + I \sum_{i=1}^N \hat{\sigma}_i^x \equiv \hat{H}_0 + I \sum_{i=1}^N \hat{\sigma}_i^x$$

Random Ising model  
in a parallel field

$\vec{\sigma}_i$  - Pauli matrices

$i = 1, 2, \dots, N; \quad N \gg 1$

Perpendicular field

Without perpendicular field  
all  $\sigma_i^z$  commute with the  
Hamiltonian, i.e. they are  
integrals of motion

## Anderson Model on N-dimensional cube

$H_0(\{\sigma_i\})$   
onsite energy

$\{\sigma_i^z\}$  determines a site

$$\hat{\sigma}^x = \hat{\sigma}^+ + \hat{\sigma}^-$$

hoping between  
nearest neighbors

$$\hat{H} = \sum_{i=1}^N B_i \hat{\sigma}_i^z + \sum_{i \neq j} J_{ij} \hat{\sigma}_i^z \hat{\sigma}_j^z + I \sum_{i=1}^N \hat{\sigma}_i^x \equiv \hat{H}_0 + I \sum_{i=1}^N \hat{\sigma}_i^x$$

# Anderson Model on $N$ -dimensional cube

Usually:

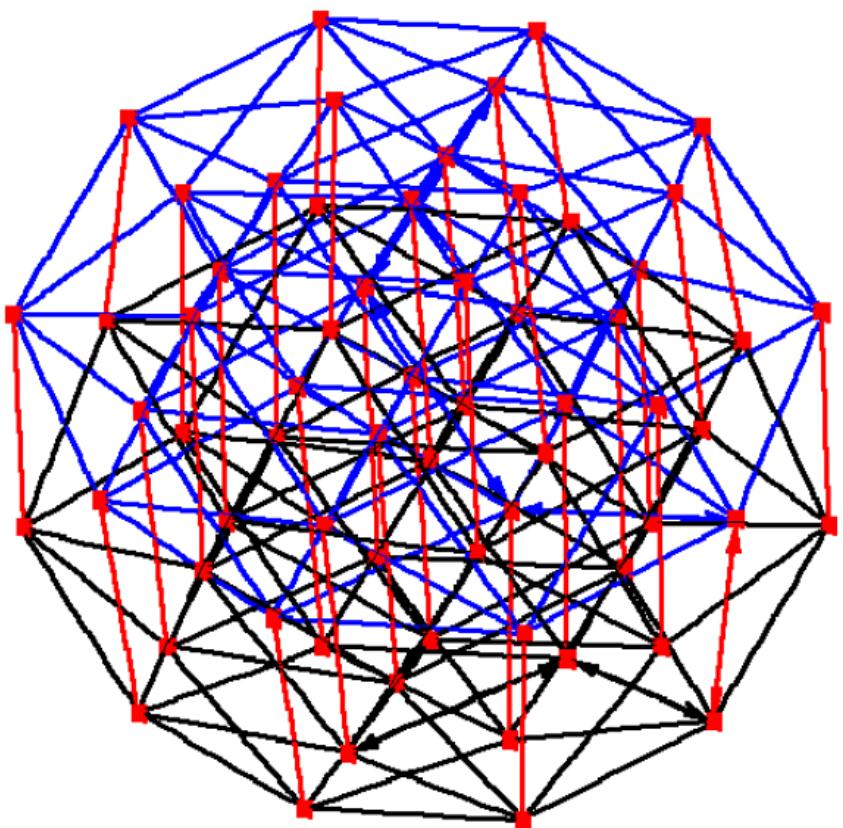
# of dimensions  $d \rightarrow const$

system linear size  $L \rightarrow \infty$

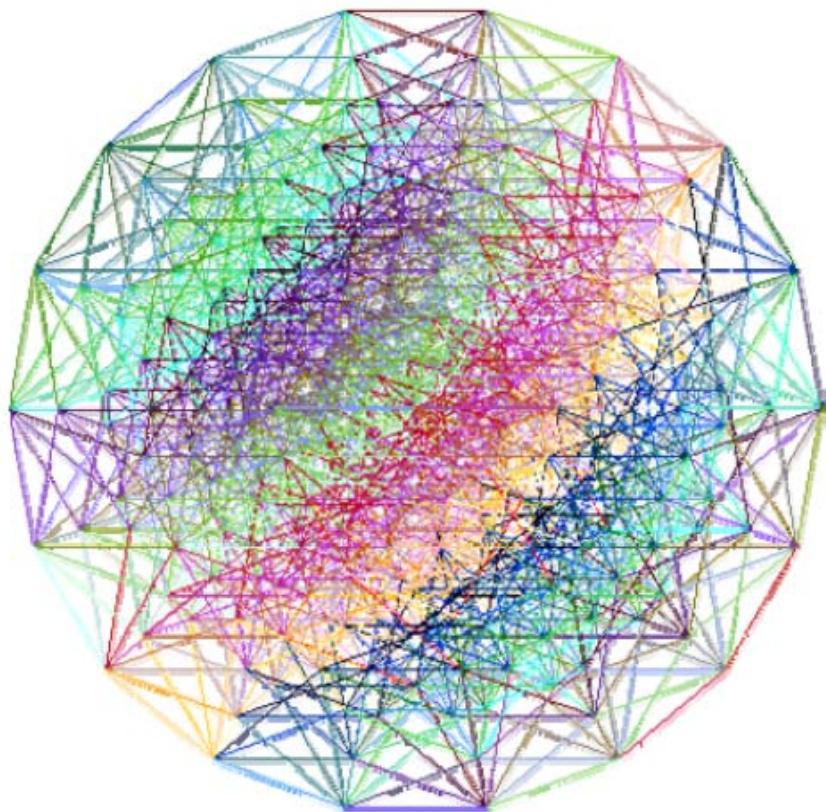
Here:

# of dimensions  $d = N \rightarrow \infty$

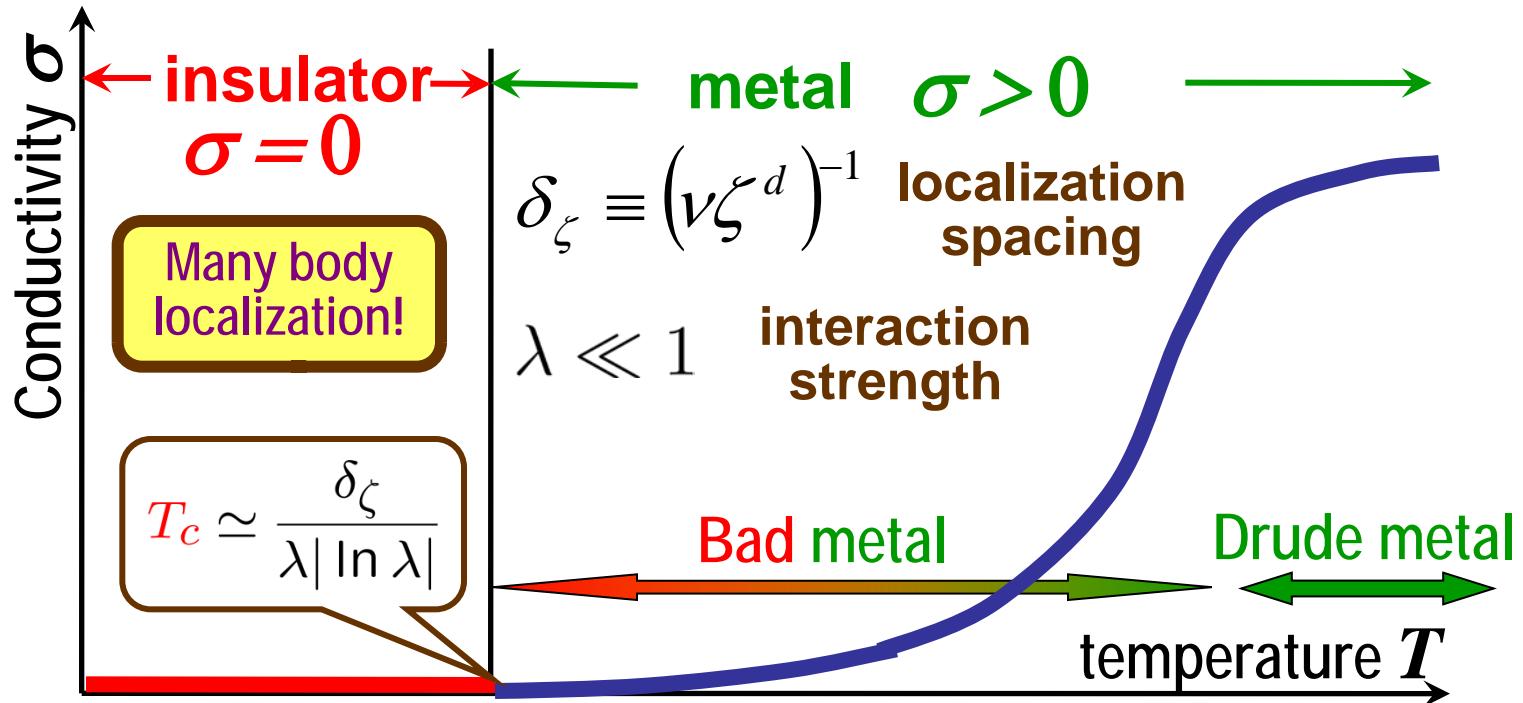
system linear size  $L = 1$



6-dimensional cube



9-dimensional cube



## Definitions:

**Insulator**  $\sigma = 0$   
 not  $d\sigma/dT < 0$

**Metal**  $\sigma \neq 0$   
 not  $d\sigma/dT > 0$

# *Many-Body Localization*

## *1D bosons + disorder*

# 1D Localization

Exactly solved:  
all states are localized

Gertsenshtein & Vasil'ev,  
1959

Conjectured:

Mott & Twose, 1961

- 
- 
- 

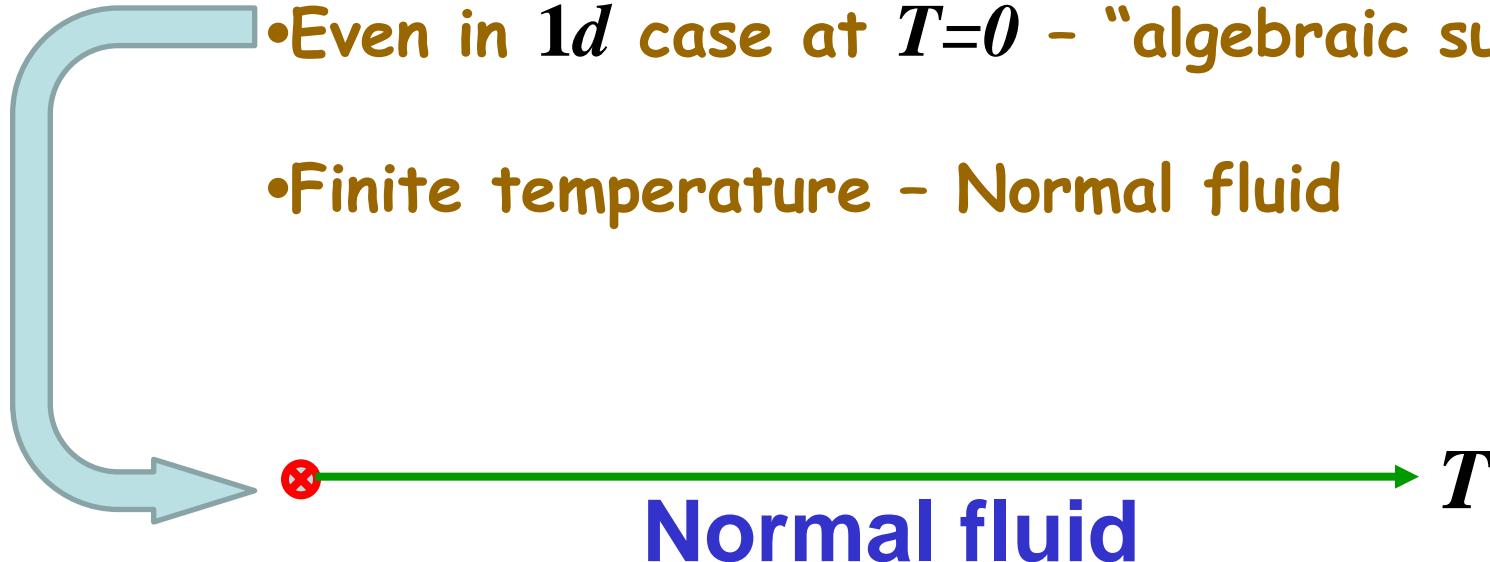
1-particle problem



correct for  
bosons as well  
as for fermions

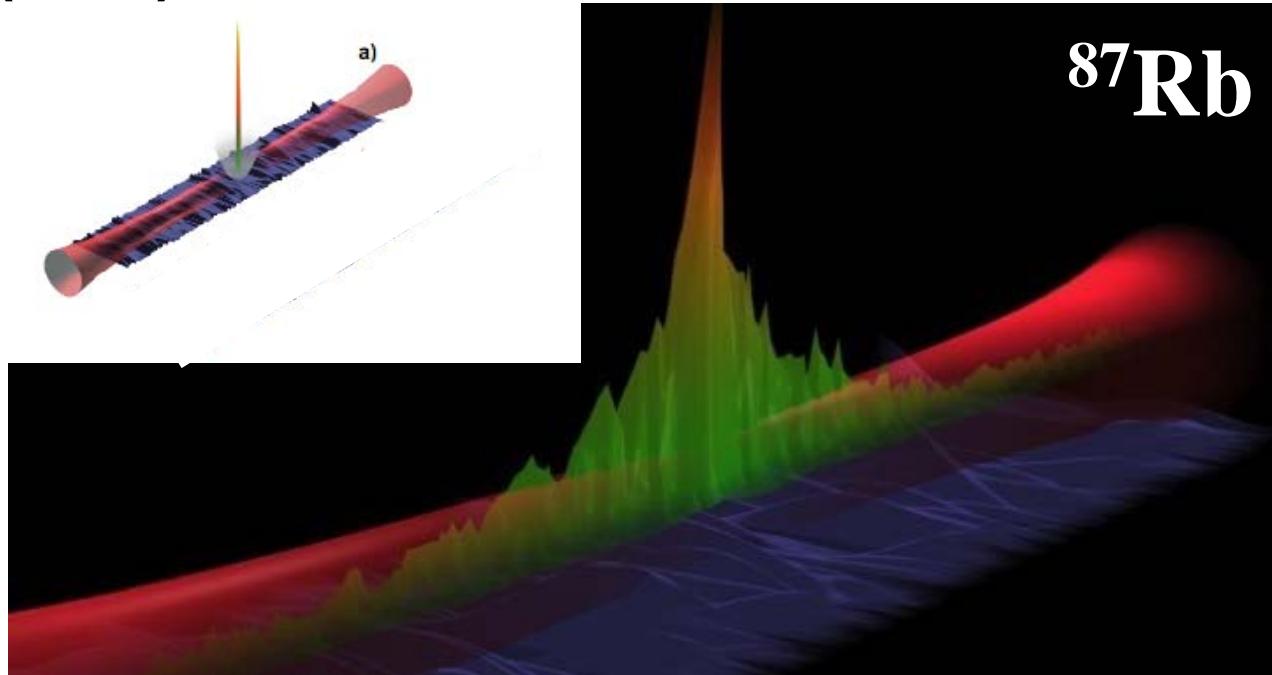
# Bosons without disorder

- Bose - Einstein condensation
- Bose-condensate even at weak enough repulsion
- Even in  $1d$  case at  $T=0$  - “algebraic superfluid”
- Finite temperature - Normal fluid



## Localization of cold atoms

Billy et al. “Direct observation of Anderson localization of matter waves in a controlled disorder”. Nature 453, 891- 894 (2008).



Roati et al. “Anderson localization of a non-interacting Bose-Einstein condensate”. Nature 453, 895-898 (2008).

No interaction !

Thermodynamics of ideal  
Bose-gas in the presence  
of disorder is a **pathological  
problem**: all particles will  
occupy the localized state  
with the lowest energy



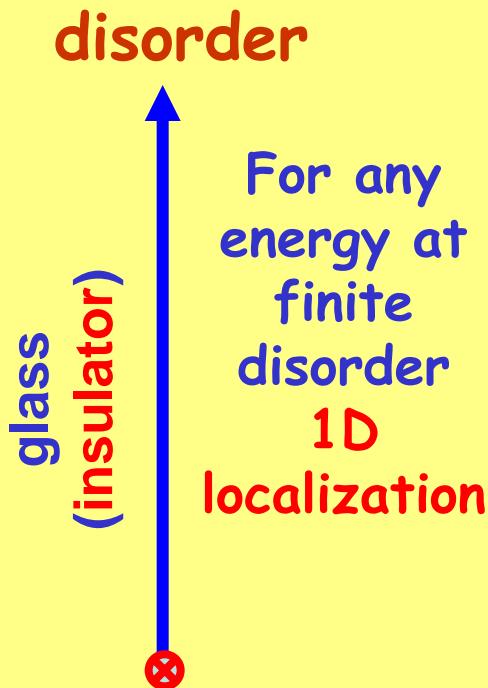
Need  
repulsion

Q: 1D Bosons + disorder ?  
+ weak repulsion

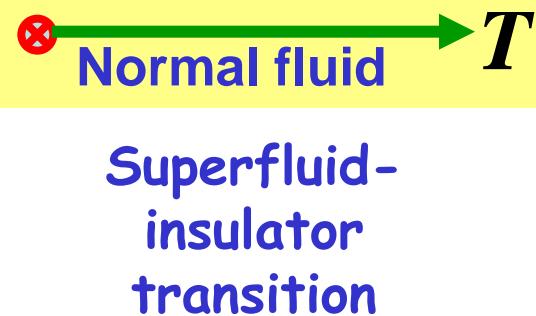
# Weakly interacting bosons

- Bose - Einstein condensation
- Bose-condensate even at weak enough repulsion
- Even in 1D case at  $T=0$  - “algebraic superfluid”

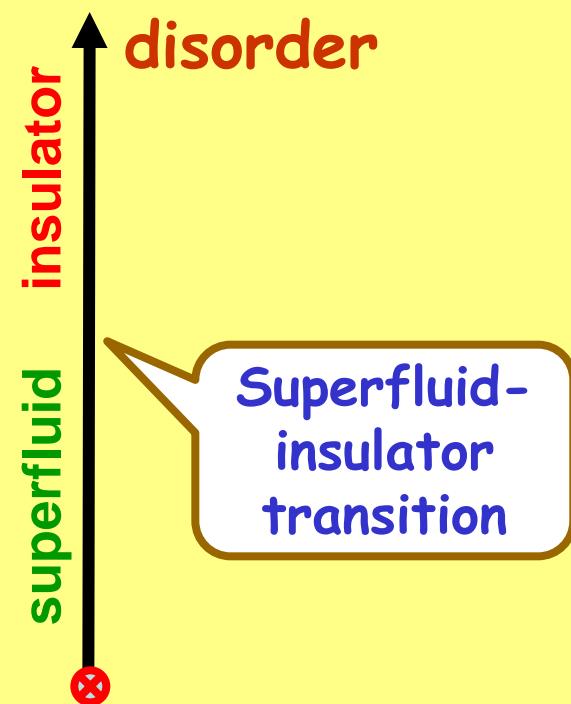
## 1. No interaction



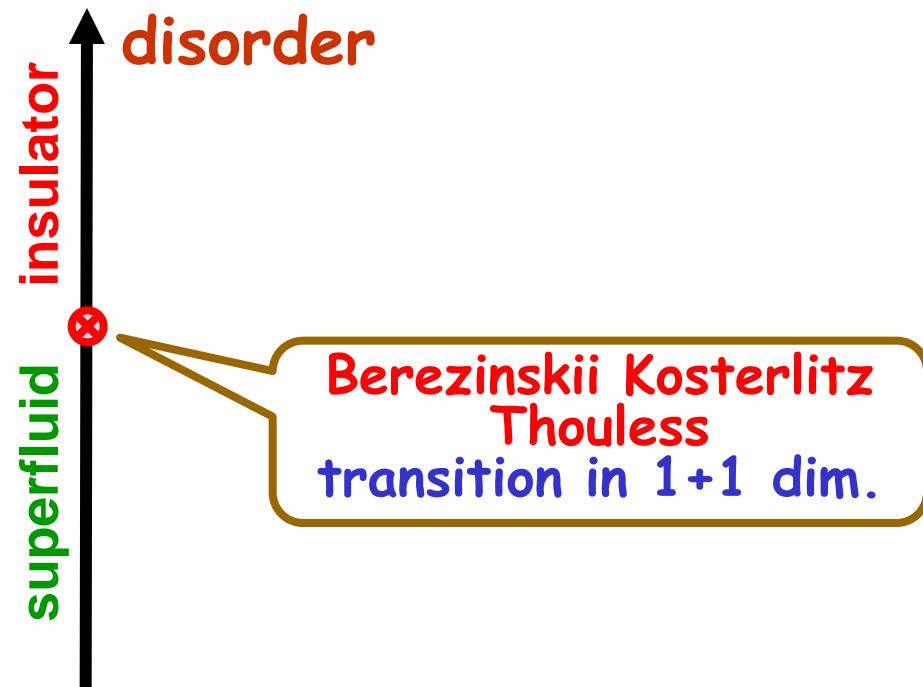
## 2. No disorder



## 3. Weak repulsion



# $T=0$ Superfluid - Insulator Quantum Phase Transition



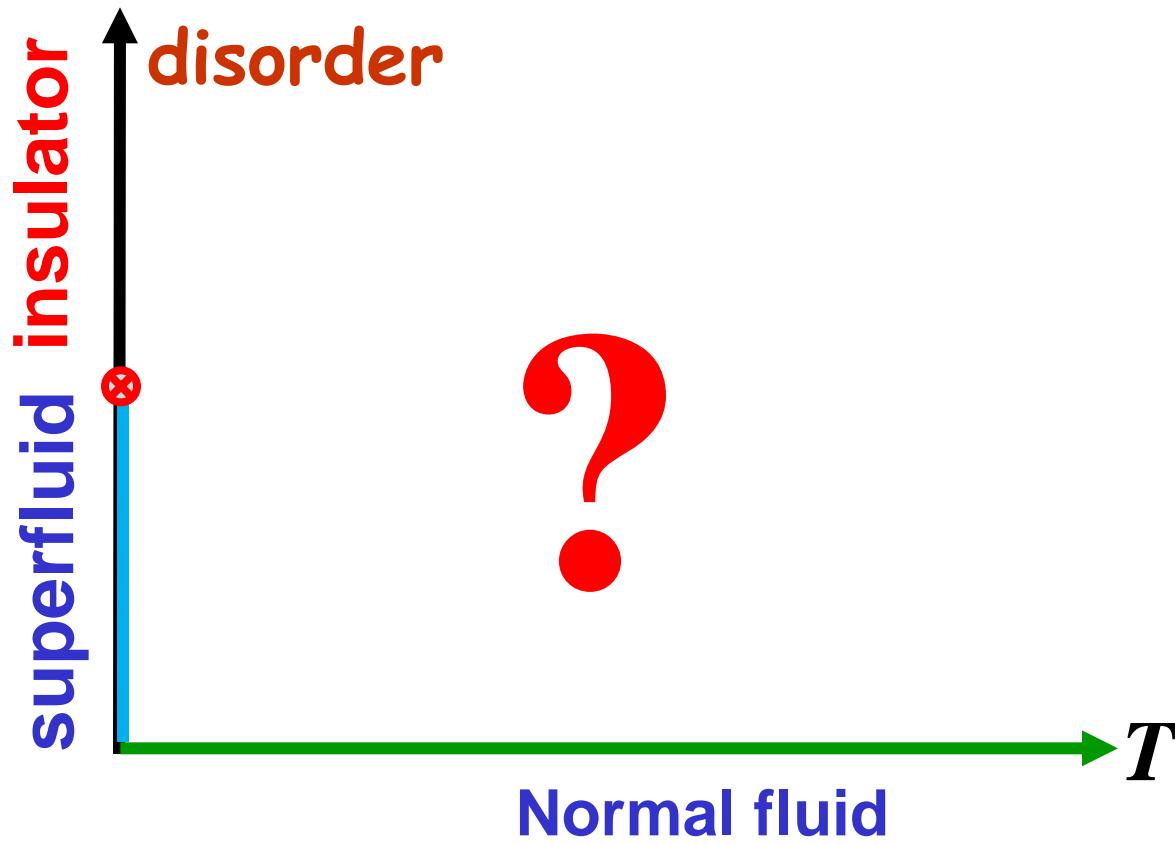
T. Giamarchi and H. J. Schulz, *Phys. Rev.*,  
**B37**, #1(1988).

relatively  
strong  
interaction

E. Altman, Y. Kafri, A. Polkovnikov & G.  
Refael, *Phys. Rev. Lett.*, **100**, 170402 (2008).

weak  
interaction

G.M. Falco, T. Nattermann, & V.L. Pokrovsky,  
*Phys. Rev.*, **B80**, 104515 (2009).



Is it a normal fluid at any temperature?

# Dogma

There can be no phase transitions at a finite temperature in 1D

Van Howe, Landau

# Reason

Thermal fluctuation destroy any long range correlations in 1D

$T \neq 0$  Normal fluid - Insulator Phase Transition:

Neither normal fluids nor glasses (insulators) exhibit long range correlations

still

True phase transition: singularities in transport (rather than thermodynamic) properties

# What is insulator?

Perfect  
Insulator

Zero DC conductivity at  
finite temperatures

Possible if the system is decoupled from any outside bath

Normal  
metal  
(fluid)

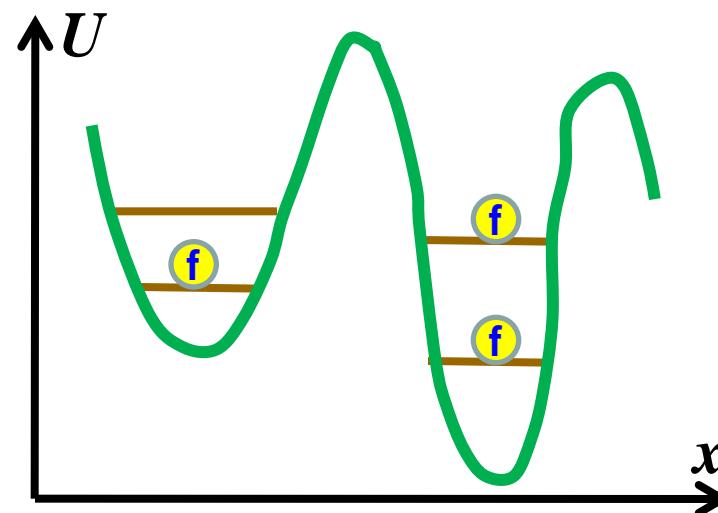
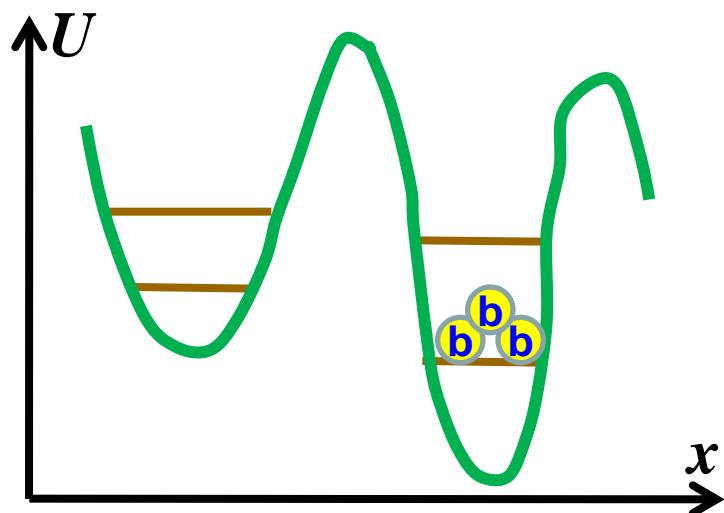
Finite (even if very small)  
DC conductivity at finite  
temperatures

# 1D Luttinger liquid: bosons = fermions ?

Bosons with infinitely strong repulsion  $\approx$  Free fermions

Free bosons  $\approx$  Fermions with infinitely strong attraction

Weakly interacting bosons  $\approx$  Fermions with strong attraction



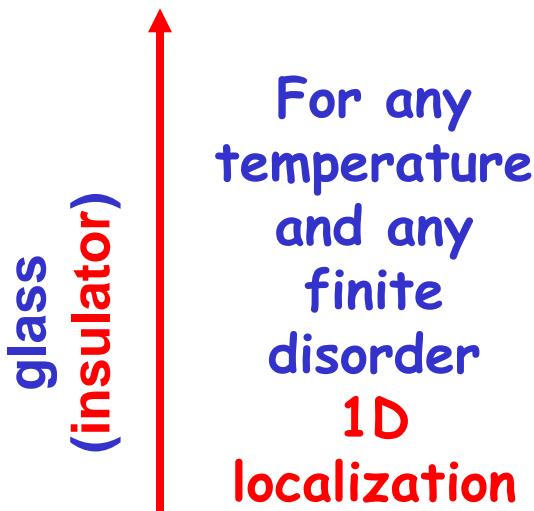
As soon as the occupation numbers become large the analogy with fermions is not too useful

# 1D Weakly Interacting Bosons + Disorder

Aleiner, BA & Shlyapnikov, 2010, Nature Physics, to be published  
cond-mat 0910.4534

## 1. No interaction

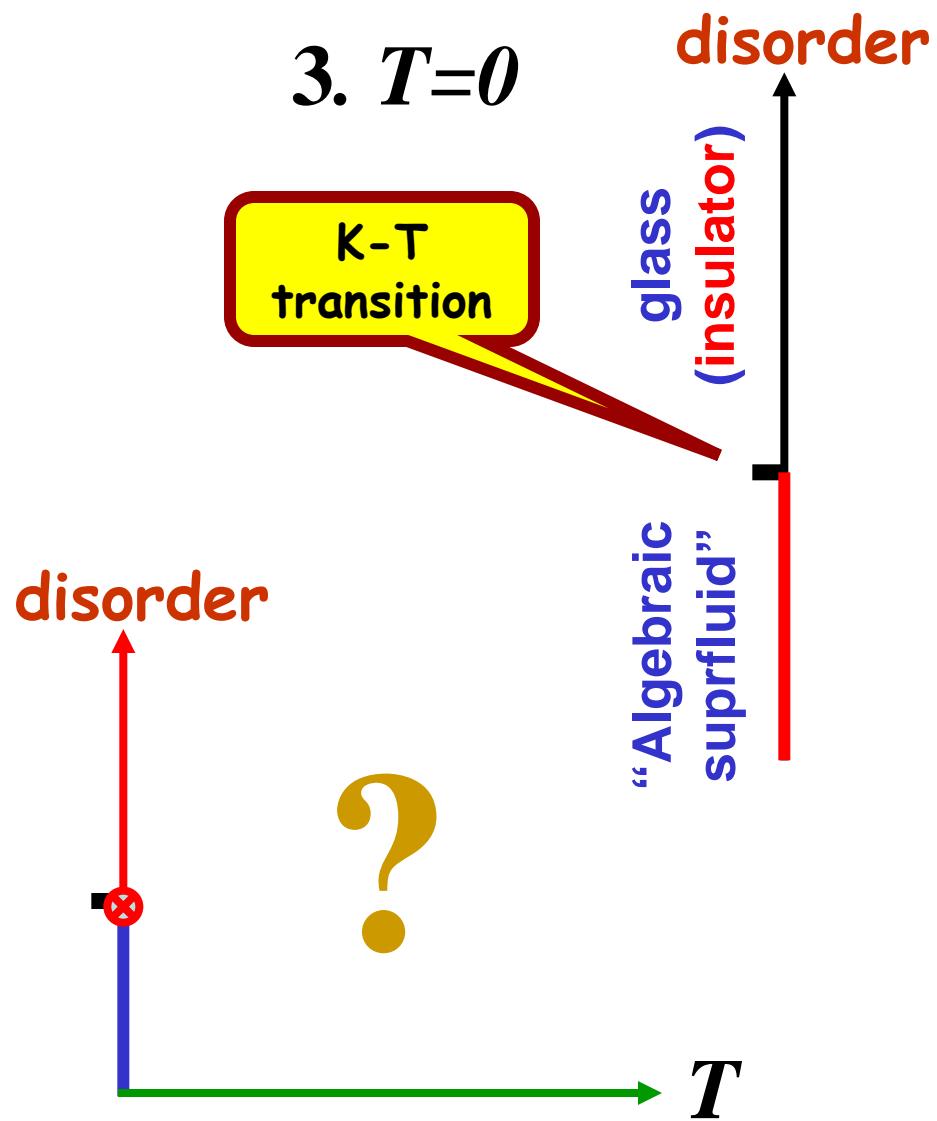
disorder



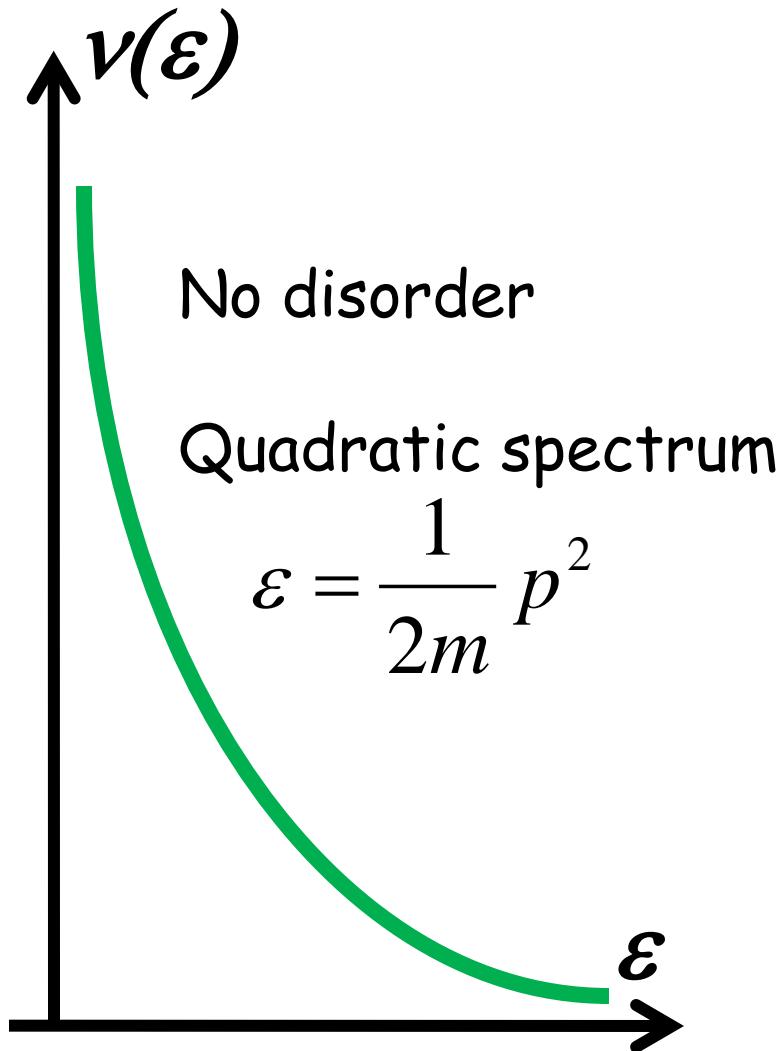
## 2. No disorder



## 3. $T=0$



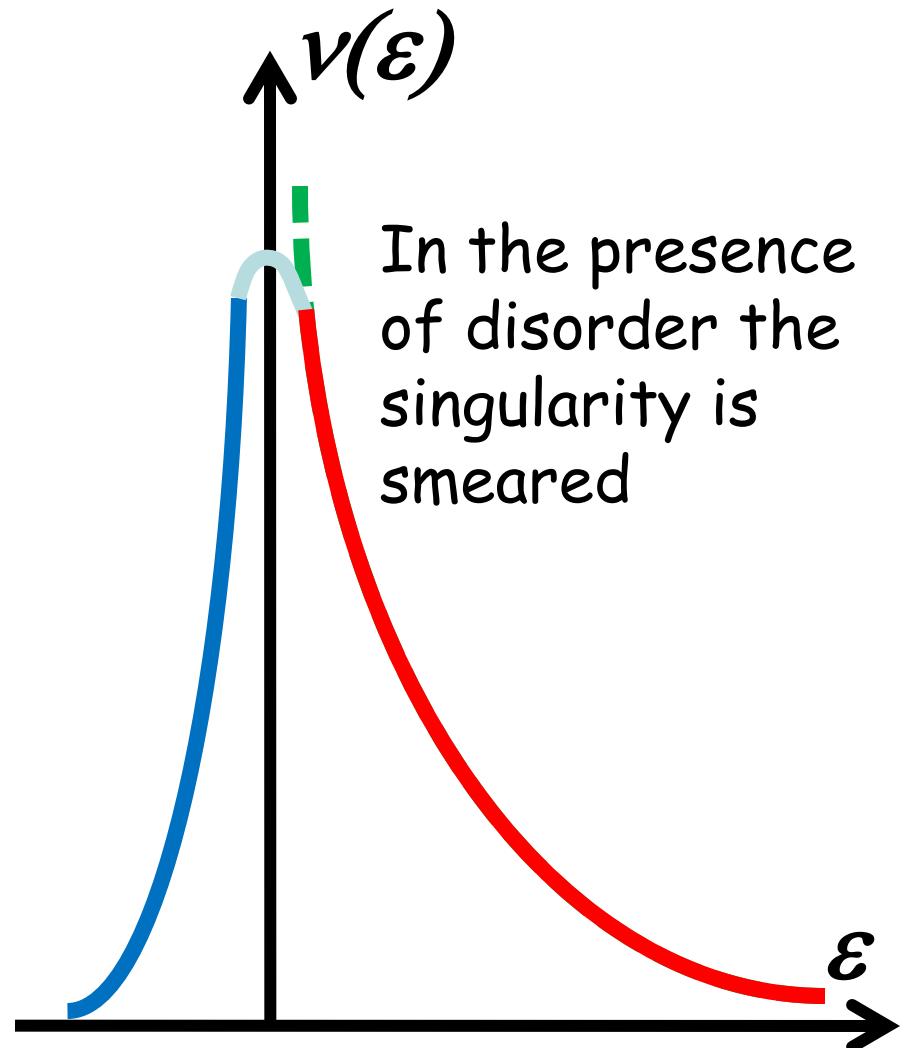
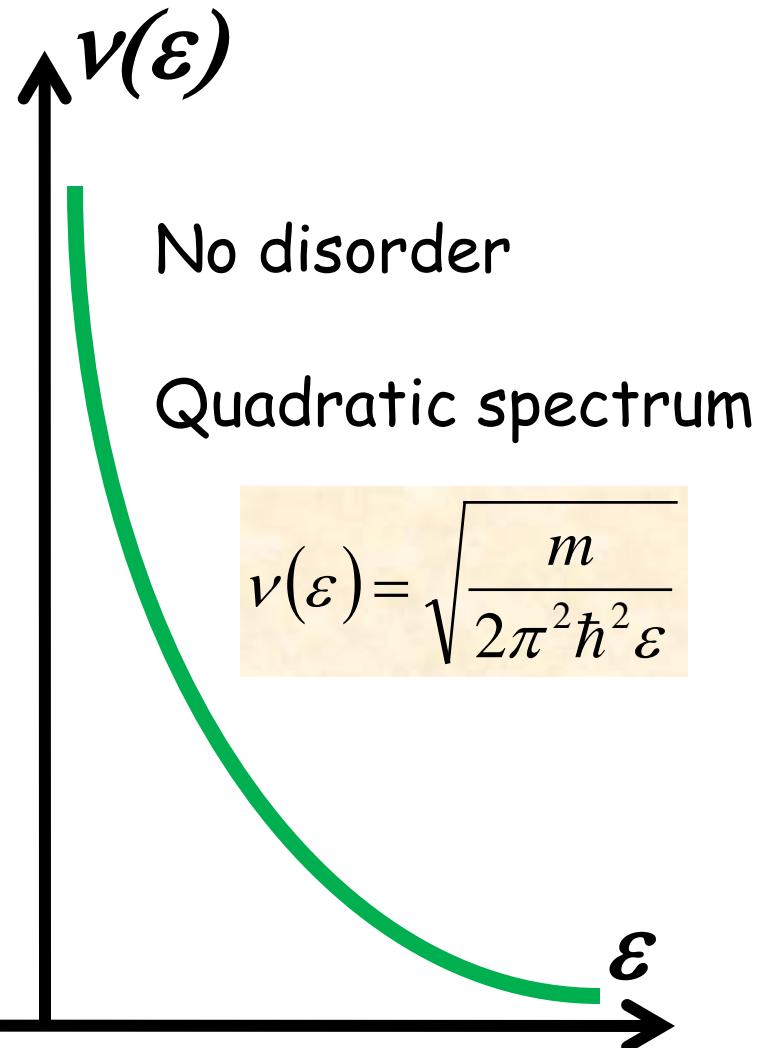
# Density of States $\nu(\varepsilon)$ in one dimension



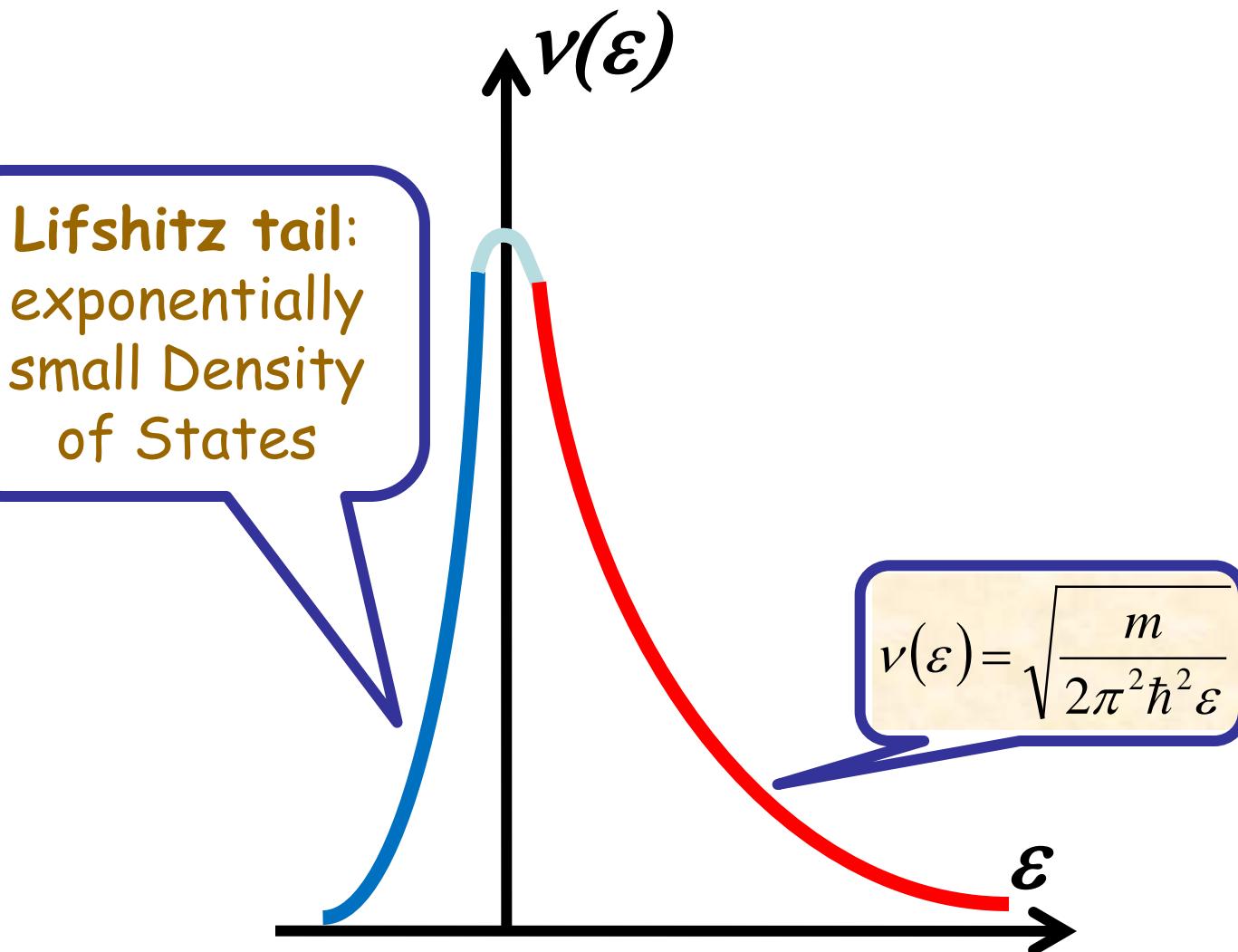
$$\nu(\varepsilon) = \sqrt{\frac{m}{2\pi^2 \hbar^2 \varepsilon}}$$

$\sqrt{-}$  singularity

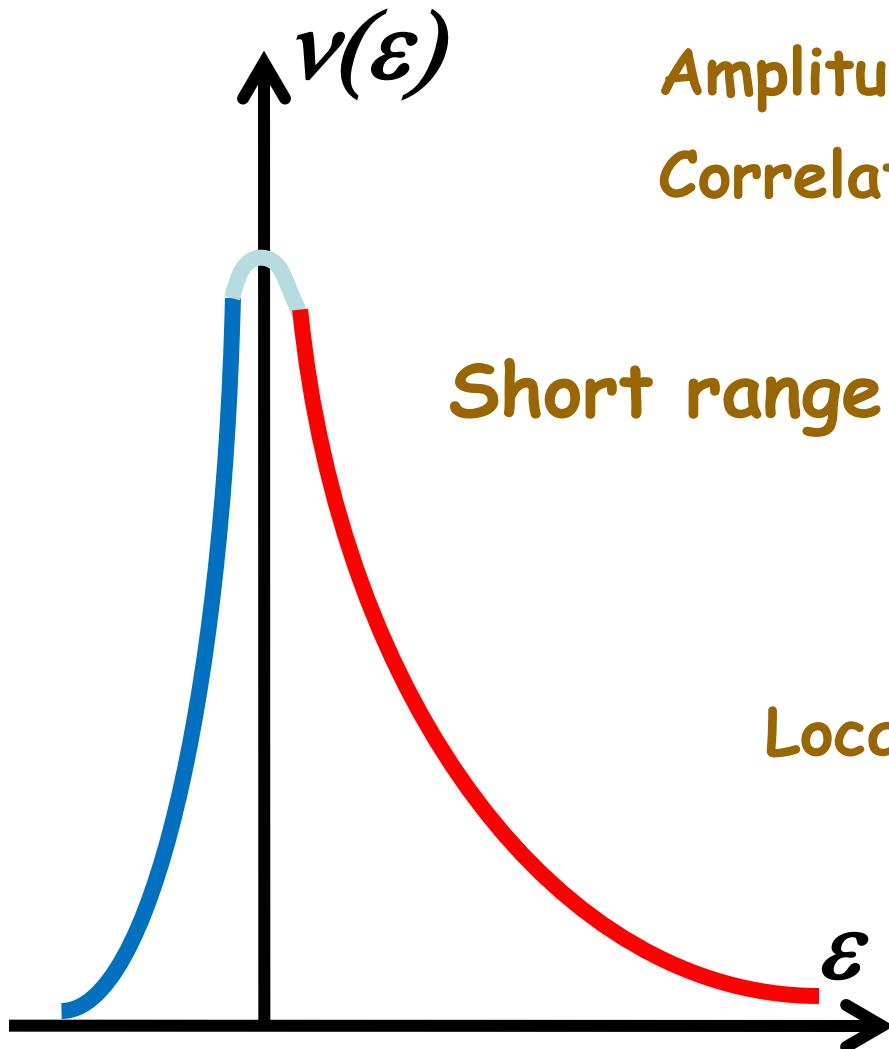
# Density of States $\nu(\varepsilon)$ in one dimension



# Density of States $\nu(\varepsilon)$ in one dimension



# Weak disorder - random potential $U(x)$



Random potential  $U(x)$ :  
Amplitude  $U_0$   
Correlation length  $\sigma$

Short range disorder:

$$U_0 \ll \frac{\hbar^2}{m\sigma^2}$$

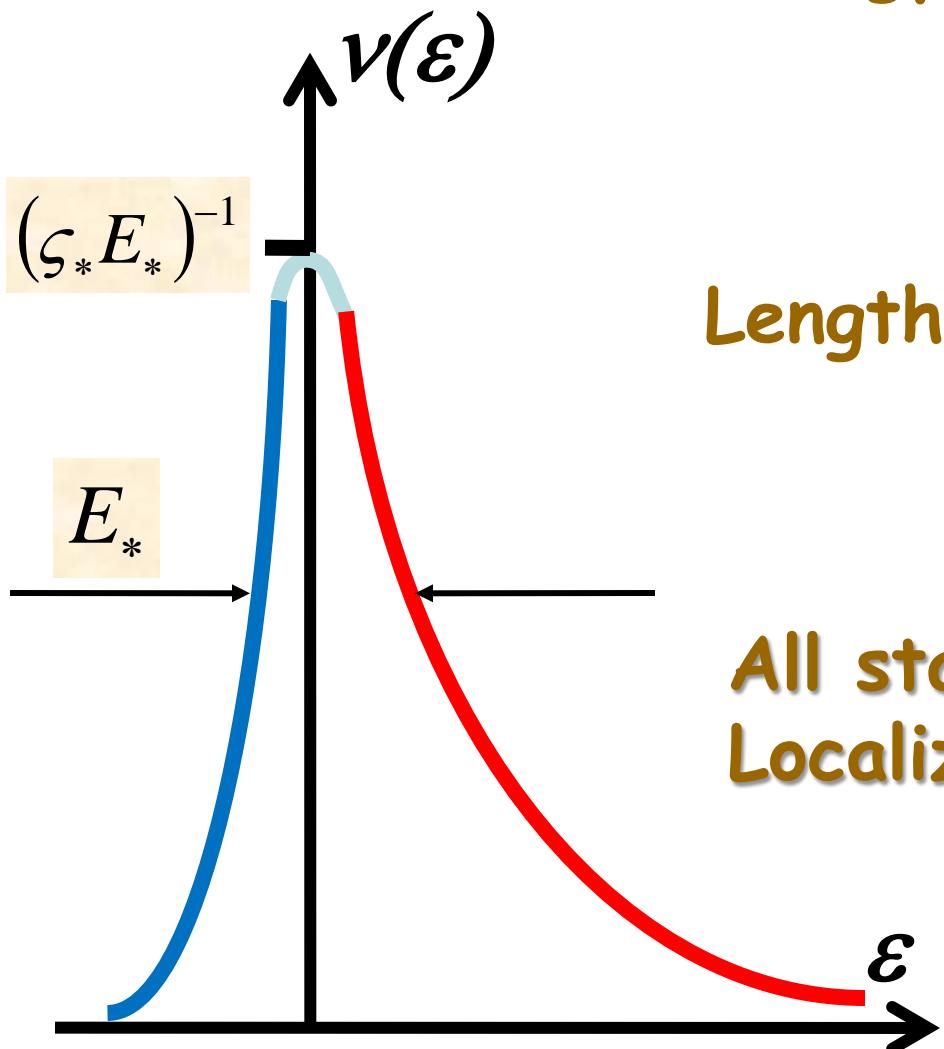


Localization length  $\zeta \gg \sigma$

# Characteristic scales:

Energy

$$E_* \equiv \left( \frac{U_0^4 \sigma^2 m}{\hbar^2} \right)^{1/3}$$

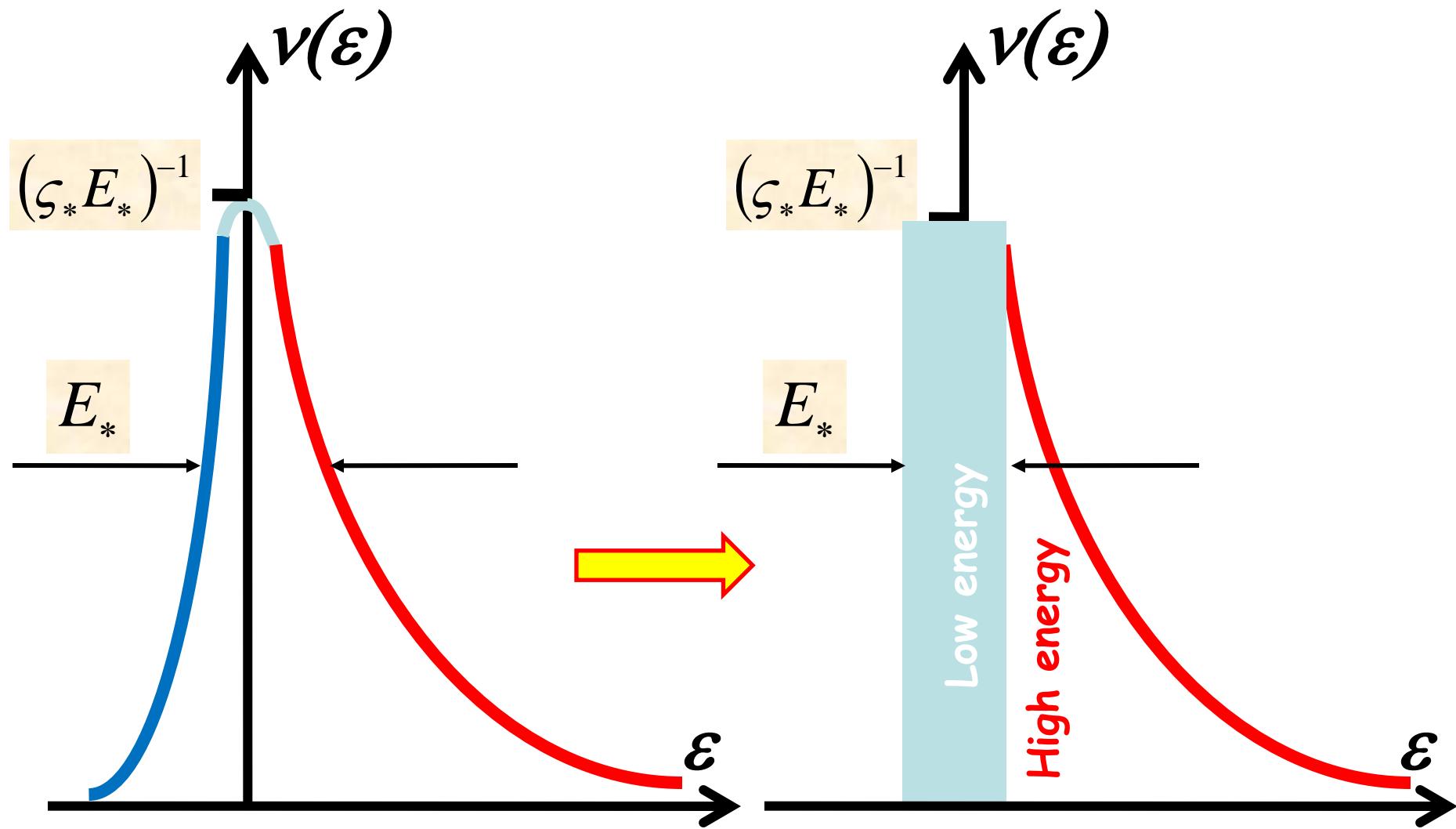


Length

$$\xi_* \equiv \left( \frac{\hbar^4}{U_0^2 \sigma m} \right)^{1/3} \gg \sigma$$

All states are localized  
Localization length:

$$\xi(\varepsilon) \sim \begin{cases} \xi_* & \varepsilon \sim E_* \\ \xi_* \frac{\varepsilon}{E_*} & \varepsilon \gg E_* \end{cases}$$



# Finite density Bose-gas with repulsion

Density  $n$

Two more energy scales

Temperature of quantum degeneracy

$$T_d \equiv \frac{\hbar^2 n^2}{m}$$

Interaction energy per particle  $ng$

Two dimensionless parameters

$$\kappa \equiv E_*/ng$$

Characterizes the strength of disorder

$$\gamma \equiv ng/T_d$$

Characterizes the interaction strength

Strong disorder

$$\kappa \gg 1$$

Weak interaction

$$\gamma \ll 1$$

Dimensionless temperature

$$t = T/ng$$

Critical temperature

$$T_c$$

$$t_c = t_c(\kappa, \gamma)$$

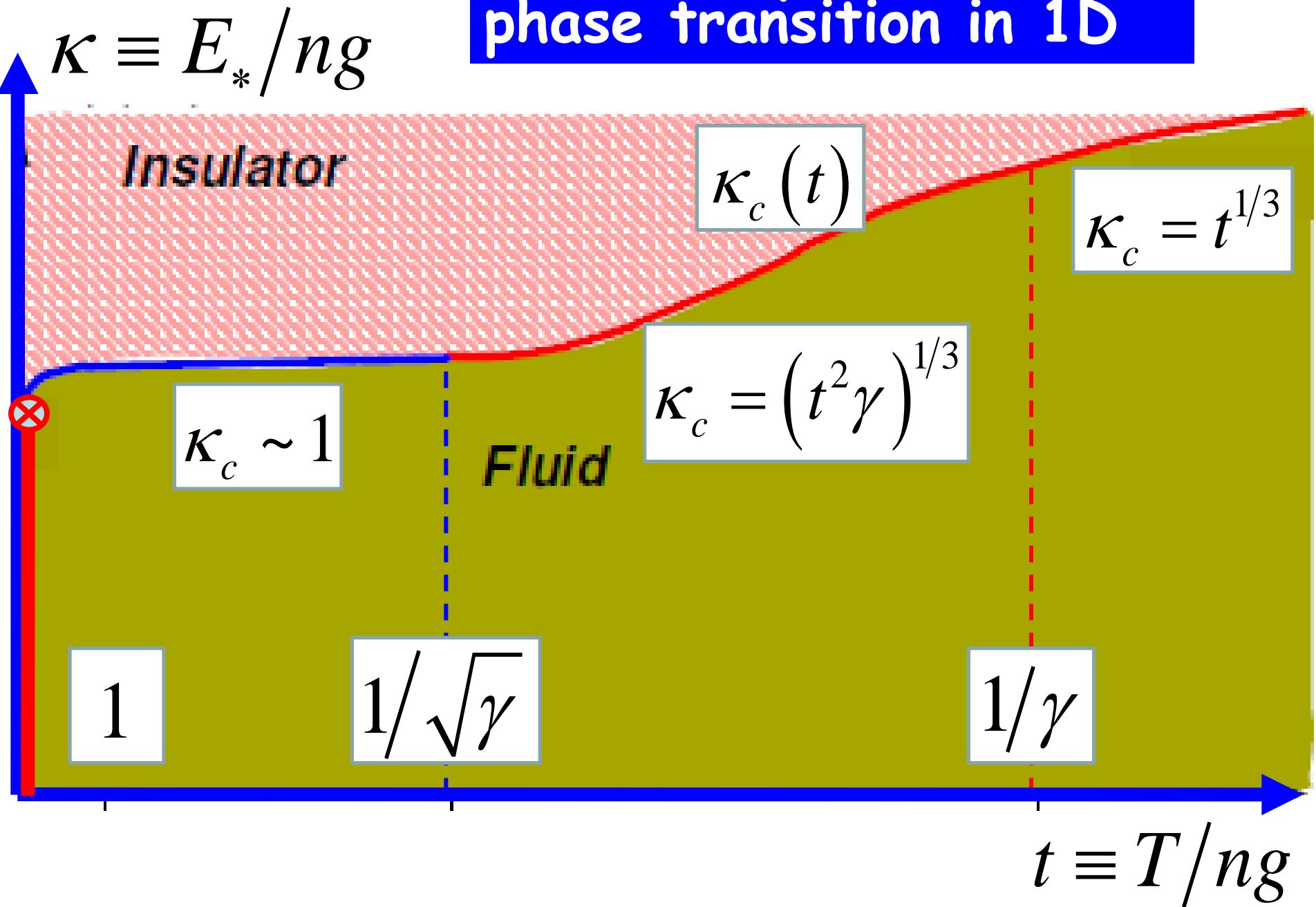
Critical disorder

$$\kappa_c = \kappa_c(t, \gamma)$$



Phase transition line on the  $t, \kappa$  - plane

# Finite temperature phase transition in 1D



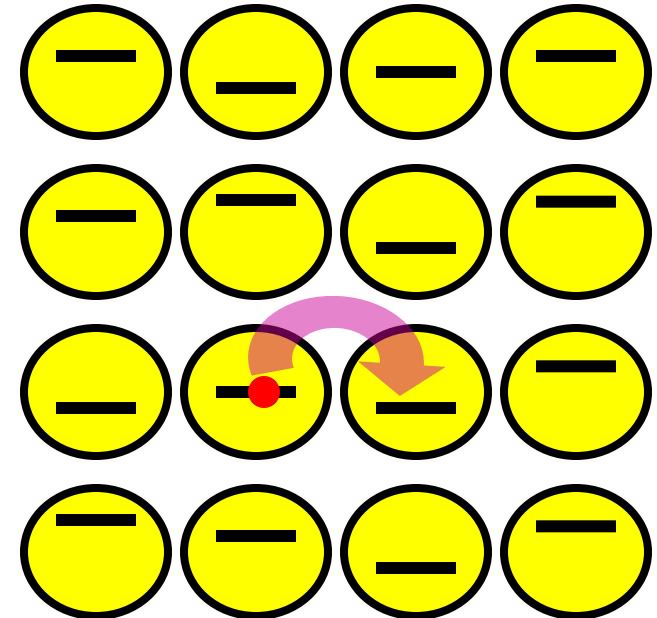
# Conventional Anderson Model

- one particle,
- one level per site,
- onsite disorder
- nearest neighbor hoping

**Basis:**  $|i\rangle$ ,  $i$  labels sites

**Hamiltonian:**  $\hat{H} = \hat{H}_0 + \hat{V}$

$$\hat{H}_0 = \sum_i \epsilon_i |i\rangle\langle i| \quad \hat{V} = \sum_{i,j=n.n.} I |i\rangle\langle j|$$

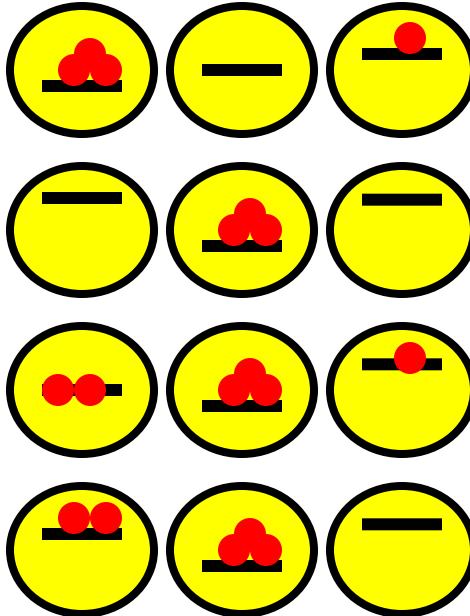


**Transition:** happens when the hoping matrix element exceeds the energy mismatch

The same for **many-body** localization

# Many body Anderson-like Model

- many particles,
- several particles per site.
- interaction



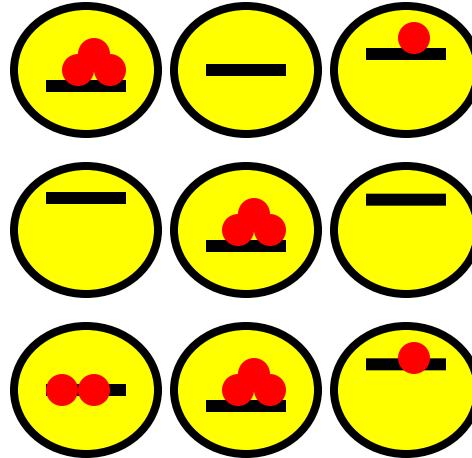
**Basis:**  $|\mu\rangle \equiv \left| \{n_i\} \right\rangle$

$i$  label sites

$n_i = 0, 1, 2, 3, \dots$   
occupation numbers

# Many body Anderson-like Model

- many particles,
- several particles per site.
- interaction



**Basis:**  $|\mu\rangle$

$$\mu = \{n_i\}$$

$i$  labels sites

$n_i = 0, 1, 2, \dots$  occupation numbers

## Hamiltonian:

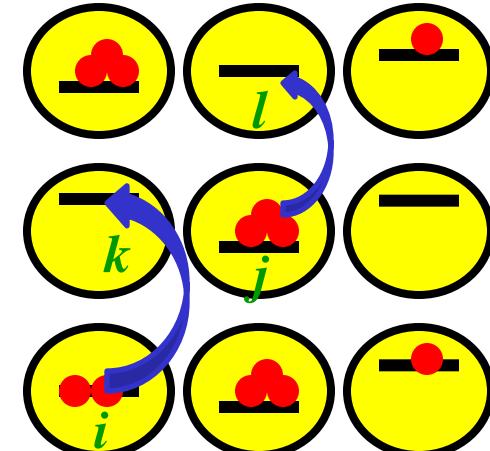
$$\hat{H} = \hat{H}_0 + \hat{V}$$

$$\hat{H}_0 = \sum_{\mu} E_{\mu} |\mu\rangle\langle\mu|$$

$$\hat{V} = \sum_{\mu, \eta(\mu)} I |\mu\rangle\langle\eta(\mu)|$$

$$|\eta(\mu)\rangle = |..., n_i - 1, ..., n_j - 1, ..., n_k + 1, ..., n_l^{\delta} + 1, ... \rangle$$

$i, j, k, l = n.n.$



# Conventional Anderson Model

**Basis:**  $|i\rangle$   
 $i$  labels sites

$$\hat{H} = \sum_i \varepsilon_i |i\rangle\langle i| + \sum_{i,j=n.n.} I |i\rangle\langle j|$$

“nearest neighbors”:  
 $i, j, k, l = n.n.$

# Many body Anderson-like Model

**Basis:**  $|\mu\rangle$ ,  $\mu = \{n_i^\alpha\}$

$i$  labels sites

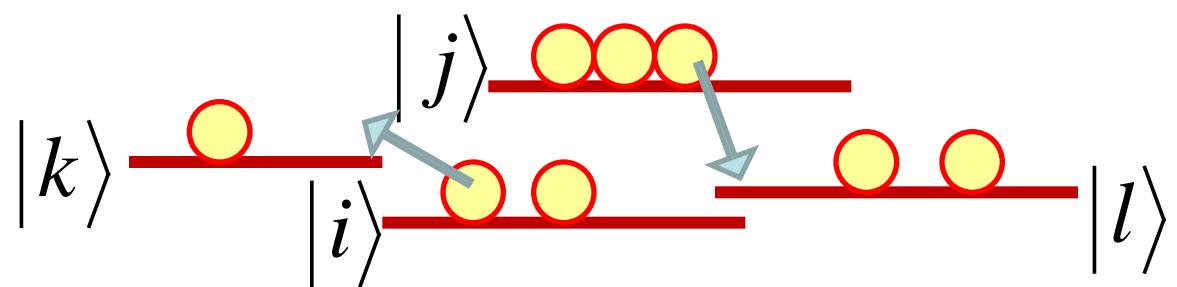
$n_i = 0, 1, 2, \dots$   
occupation numbers

$$\hat{H} = \sum_\mu E_\mu |\mu\rangle\langle\mu| + \sum_{\mu,\nu(\mu)} I |\mu\rangle\langle\nu(\mu)|$$

$$|\nu(\mu)\rangle = |..., n_i - 1, ..., n_j - 1, ..., n_k + 1, ..., n_l^\delta + 1, ... \rangle$$

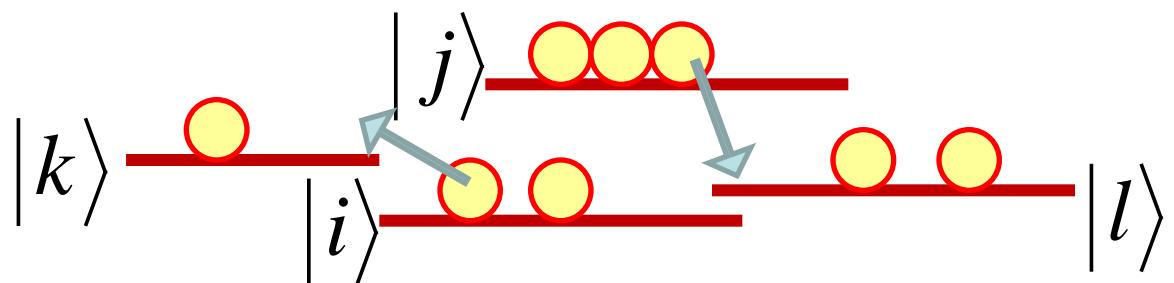
Transition temperature:  $T_c \equiv t_c(n_g)$

$|i\rangle, |j\rangle \Rightarrow |k\rangle, |l\rangle$   
transition



Transition temperature:  $T_c \equiv t_c(n g)$

$|i\rangle, |j\rangle \Rightarrow |k\rangle, |l\rangle$   
transition



$$\Delta_{ij,kl} \equiv \varepsilon_i + \varepsilon_j - \varepsilon_k - \varepsilon_l \quad \text{energy mismatch}$$

$$I_{ij,kl} \quad \text{matrix element}$$

Decay of a state  $|i\rangle$

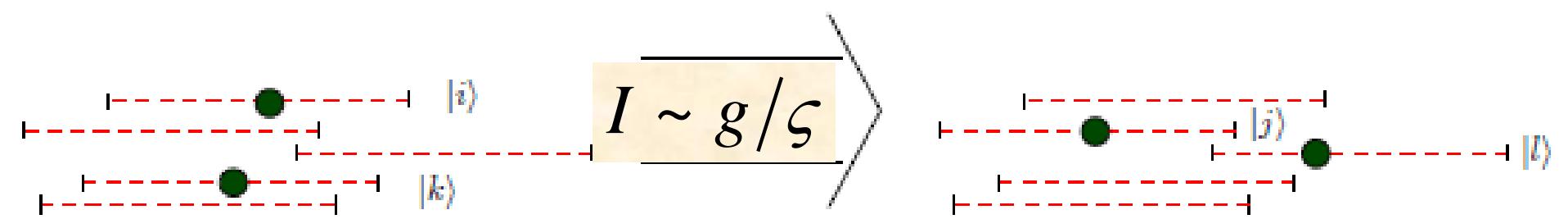
$\Delta$  typical mismatch  
 $N_1$  typical # of channels  
 $I$  typical matrix element

Anderson condition:

$I(T) \gg \Delta(T)/N_1(T)$  extended  
 $\ll \Delta(T)/N_1(T)$  localized

High temperatures:  $T \gg T_d$   $\longleftrightarrow$   $t \gg \gamma^{-1}$

Bose-gas is not degenerated;  
occupation numbers either 0 or 1



Matrix element of the transition

$$I \sim g/\xi (\varepsilon = T) \sim (gE_*)/(\xi_* T)$$

should be compared with the minimal energy mismatch

$$(\nu\xi)^{-1}/(n\xi) \sim (\nu n \xi_*^2 T^2)^{-1} E_*^2$$

Localization  
spacing  $\delta_\xi$

Number of  
channels

$$K_c(t) \propto t^{1/3} \quad t\gamma \gg 1$$

Intermediate temperatures:  $\gamma^{-1/2} \ll t \ll \gamma^{-1}$

1.  $T \ll T_d \iff t\gamma \ll 1$

2. Bose-gas is degenerated; occupation numbers either  $\gg 1$ .

3. Typical energies  $|\mu| = T^2/T_d$ ,  $\mu$  is the chemical potential. Correct as long as

$$|\mu| \gg ng, E_* \iff t\sqrt{\gamma} \gg 1$$

multiple  
occupation

$$N(\varepsilon) \sim \frac{T}{\varepsilon}$$

4. Characteristic energies  $\varepsilon \sim |\mu|$

$$\begin{array}{c} \ll T \\ \gg ng, E_* \end{array}$$

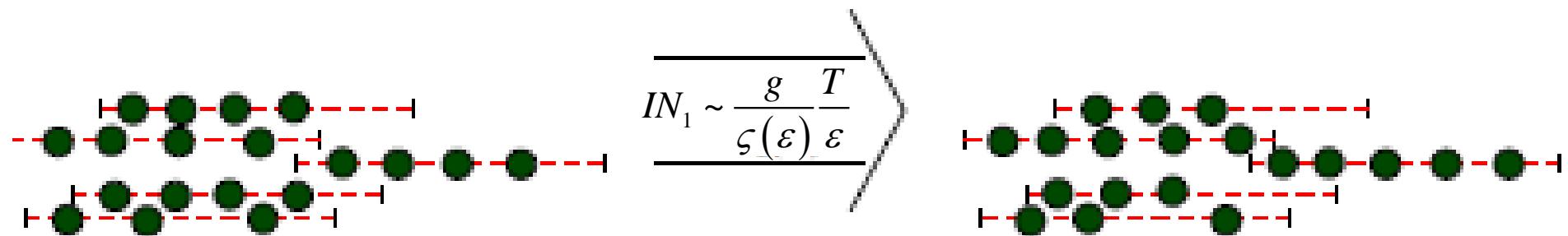
We are still dealing with  
the high energy states

Intermediate temperatures:  $\gamma^{-1/2} \ll t \ll \gamma^{-1}$

$$|\mu| = T^2/T_d \gg n g, E_*$$

$$T \ll T_d$$

Bose-gas is degenerated; typical energies  $\sim |\mu| \gg T \rightarrow$  occupation numbers  $\gg 1 \rightarrow$  matrix elements are enhanced

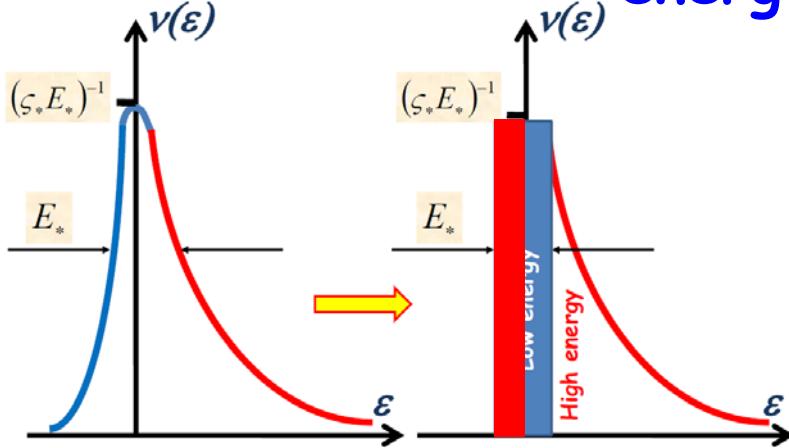


$$\kappa_c(t) \propto t^{2/3} \gamma^{1/3} \quad \sqrt{\gamma} \ll t \gamma \ll 1$$

Low temperatures:  $t \ll \gamma^{-1/2}$  Start with  $T=0$

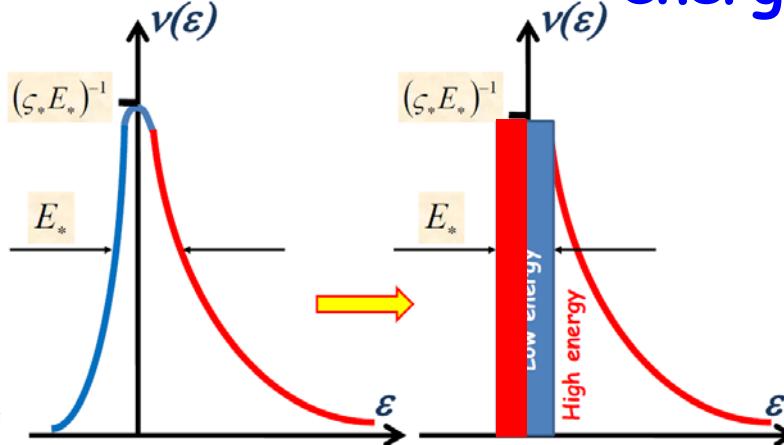
Suppose  $\kappa \equiv E_*/n g \gg 1 \rightarrow |\mu| \ll E_*$

Bosons occupy only small fraction of low energy states  $\varepsilon_i < \mu$



Low temperatures:  $t \ll \gamma^{-1/2}$  Start with  $T=0$

Suppose  $\kappa \equiv E_*/ng \gg 1 \rightarrow |\mu| \ll E_*$  → **Bosons occupy only small fraction of low energy states  $\varepsilon_i < \mu$**



**Localization length  $\xi_*$**

**Occupation #:**  $(\mu - \varepsilon_i) \xi_* / g$

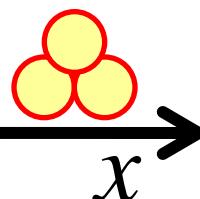
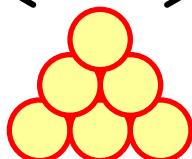
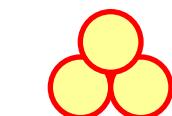
**DoS:**

$$\nu(\varepsilon) = (E_* \xi_*)^{-1}$$

$$n = \frac{\mu^2}{2gE_*}$$

$$\mu = E_* / \sqrt{\kappa}$$

$$\longleftrightarrow l(\kappa) \longleftrightarrow \xi_*$$



$$l(\kappa) = \xi_* \sqrt{\kappa} \gg \xi_*$$

**Occupation**

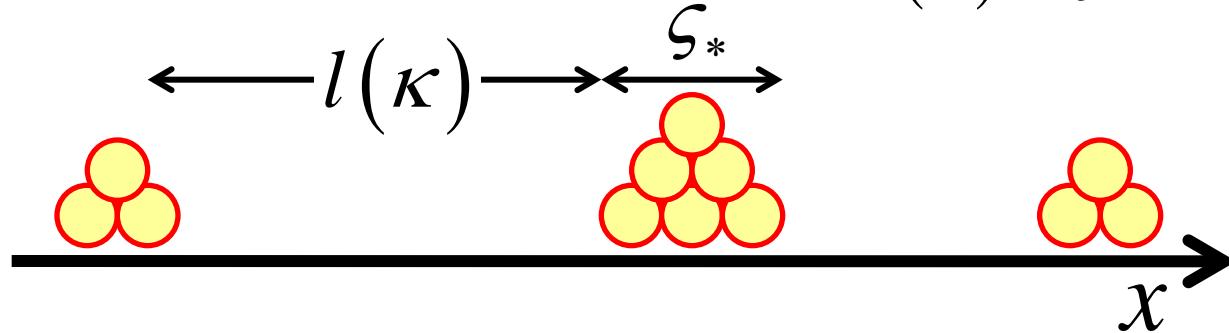
$$nl(\kappa) / \xi_* = \gamma^{-1/2} \gg 1$$

Low temperatures:  $t \ll \gamma^{-1/2}$

$\kappa \equiv E_*/ng \gg 1$   $\Rightarrow$  "lakes"

**Occupation**  
 $nl(\kappa)/\varsigma_* = \gamma^{-1/2} \gg 1$

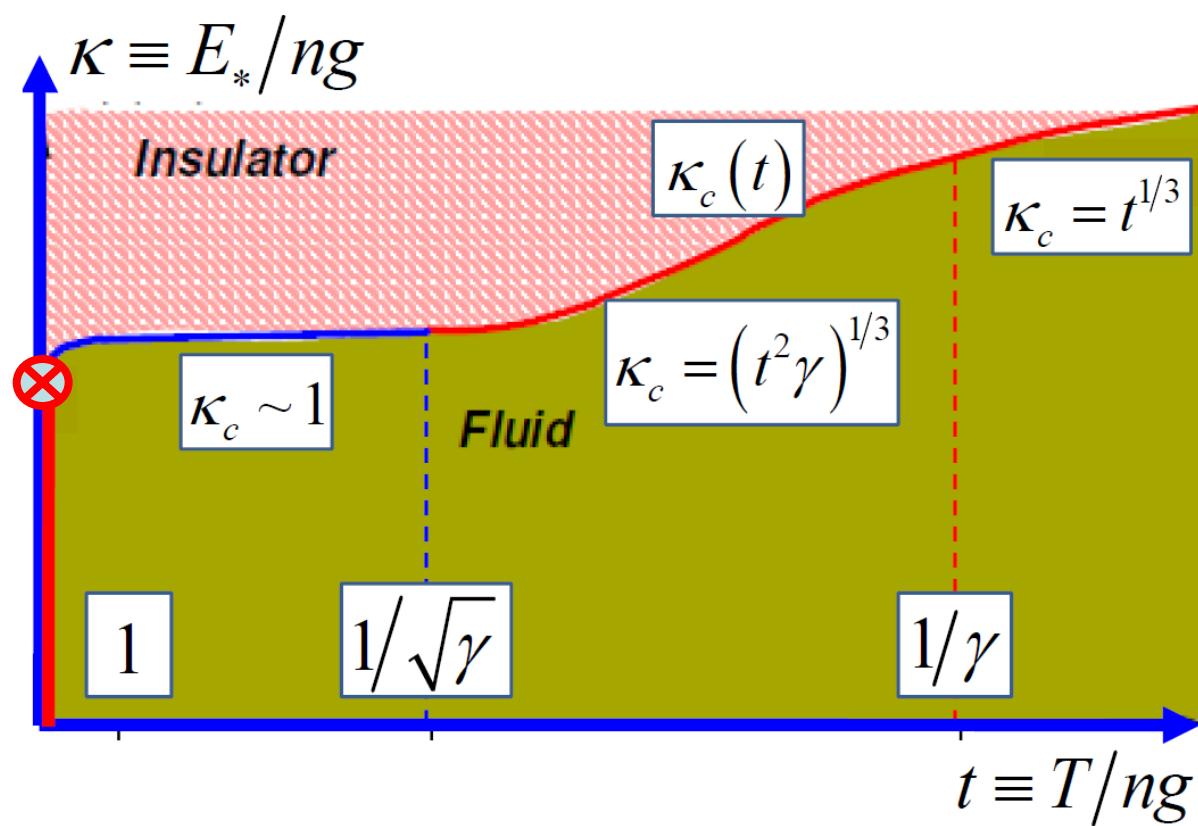
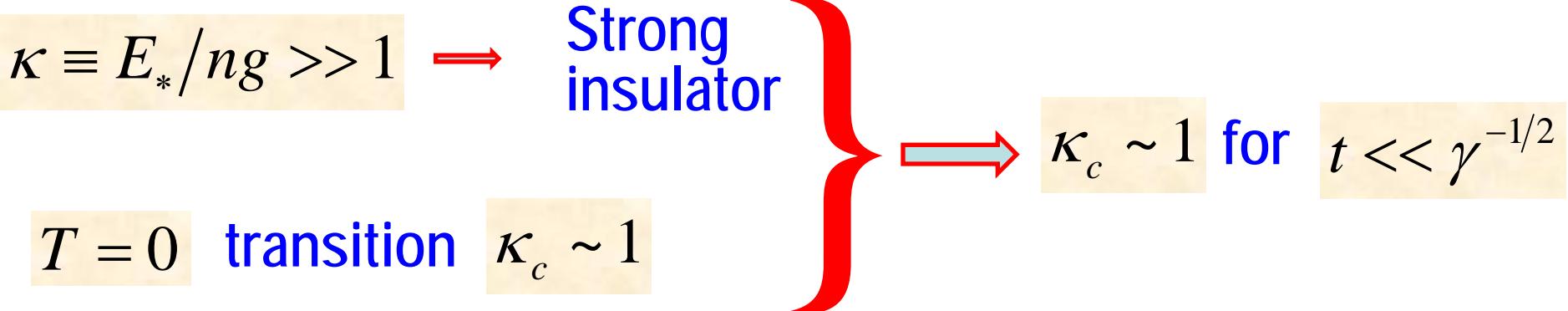
**Distance**  
 $l(\kappa) = \varsigma_* \sqrt{\kappa} \gg \varsigma_*$

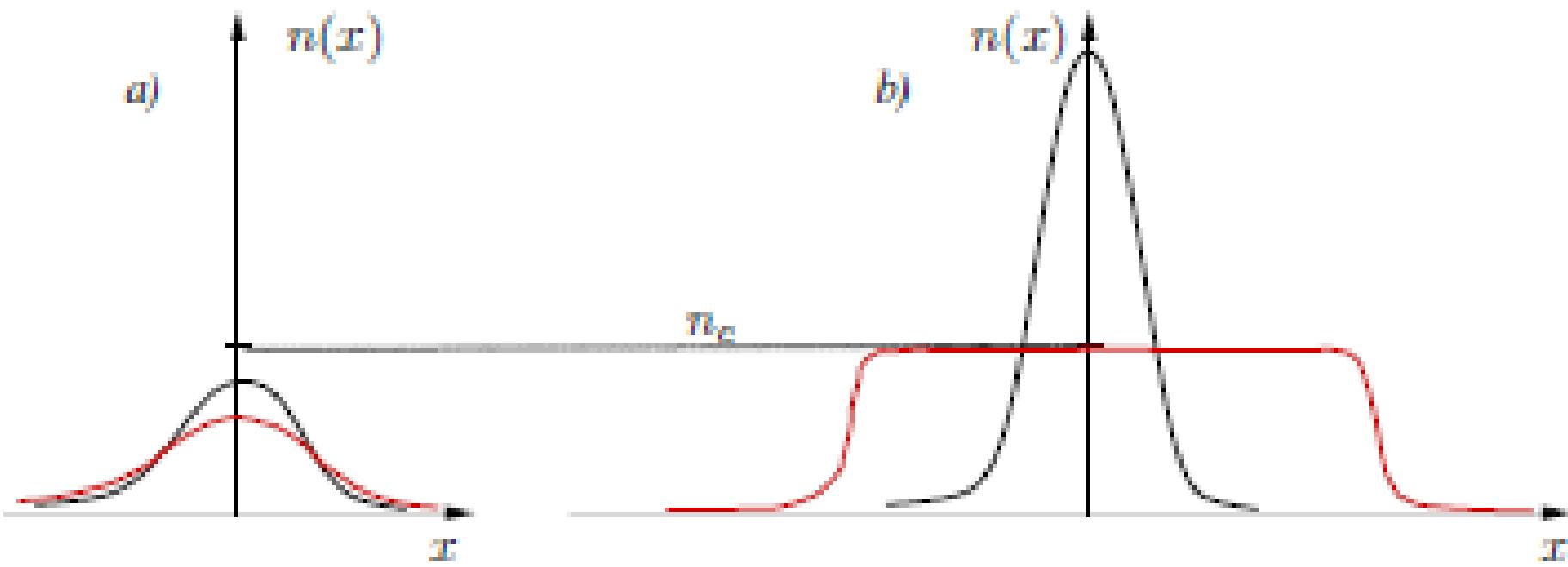
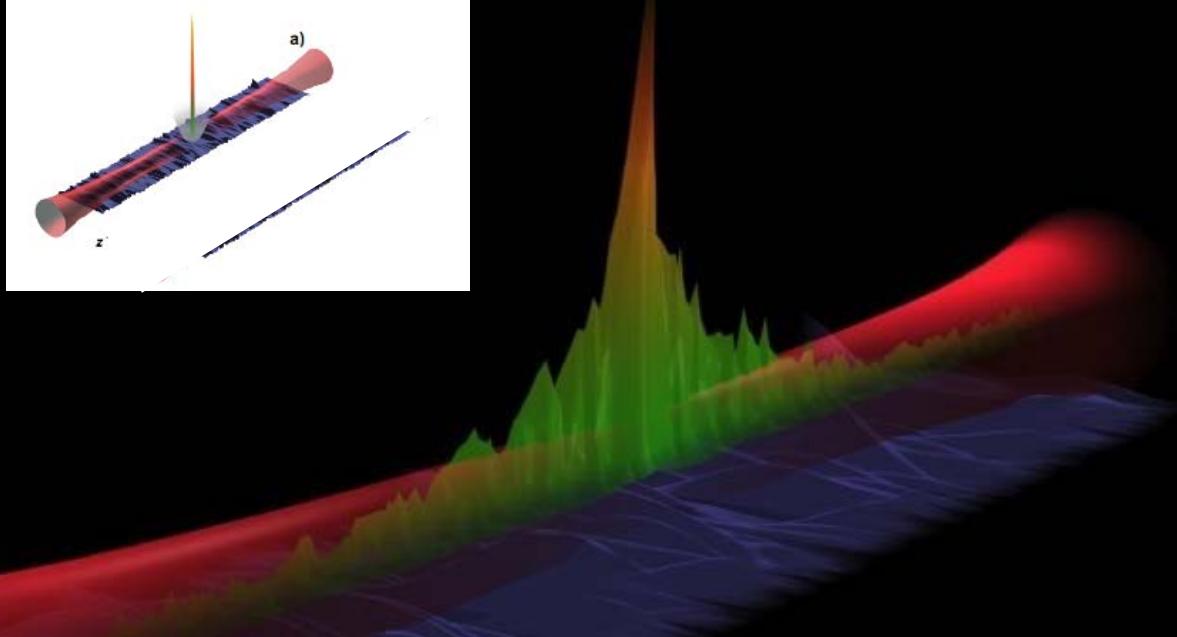


$l(\kappa) \gg \varsigma_*$   $\Rightarrow$  Strong insulator

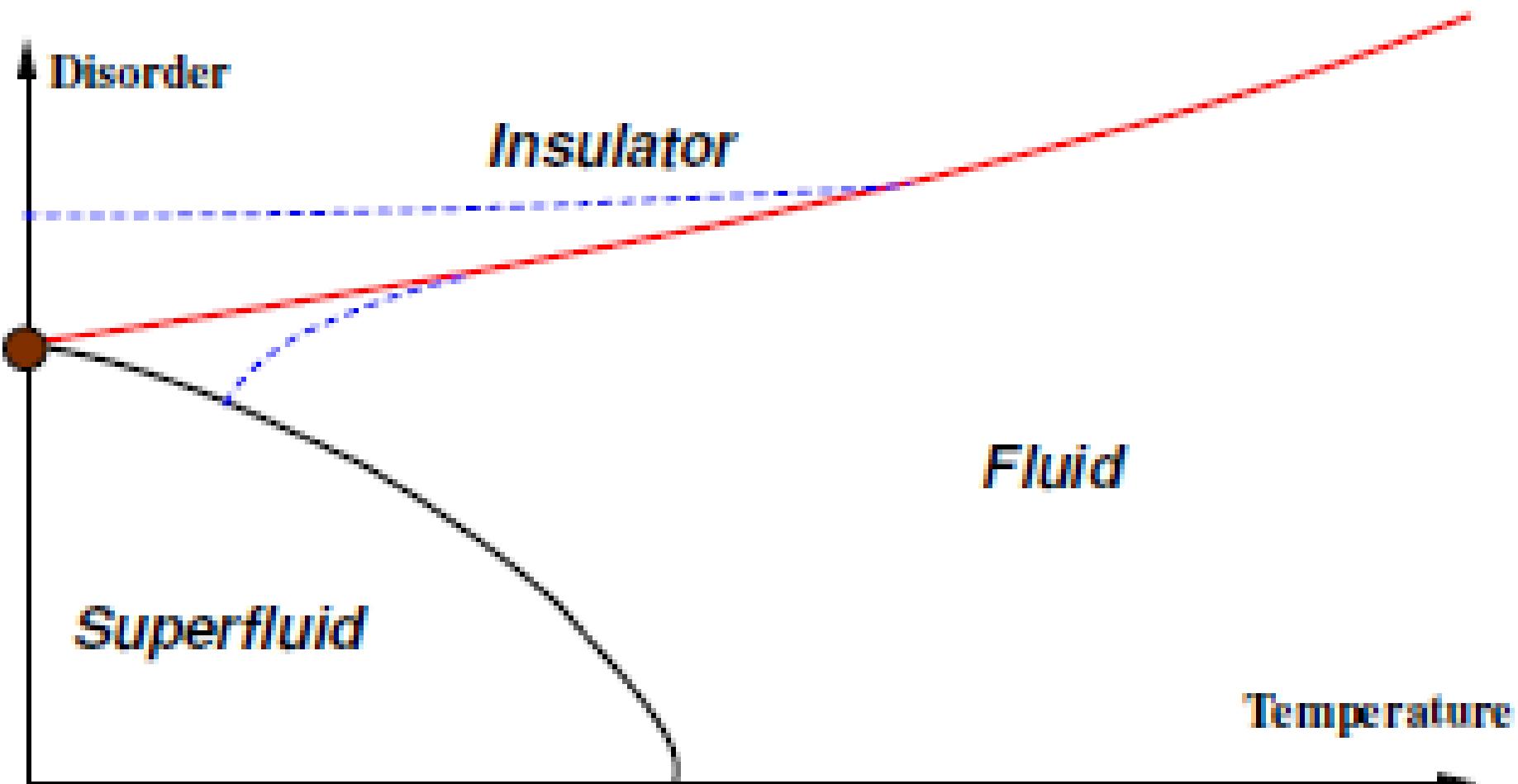
$\kappa \rightarrow \kappa_c$   
 $l(\kappa) \ll \varsigma_*$   $\Rightarrow$  Insulator – Superfluid transition in  
a chain of "Josephson junctions"

Low temperatures:  $t \ll \gamma^{-1/2}$

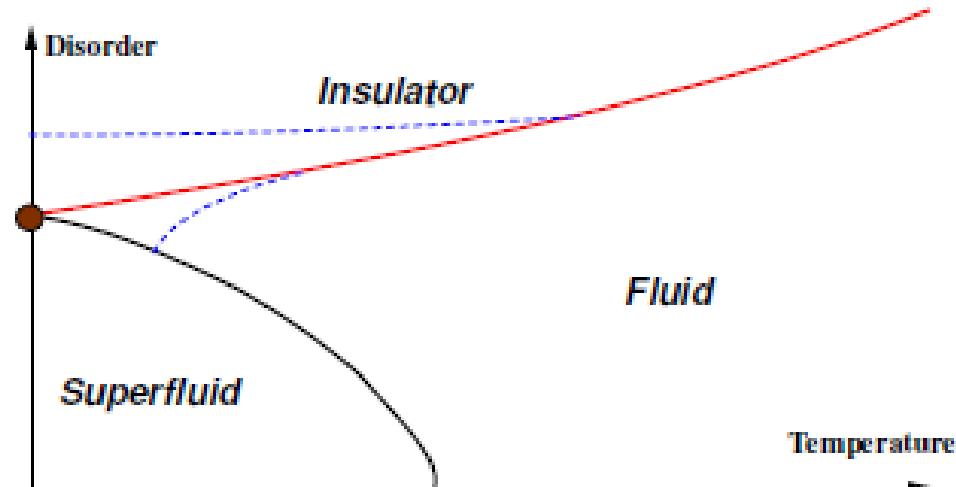




# Disordered interacting bosons in two dimensions



# Disordered interacting bosons in two dimensions

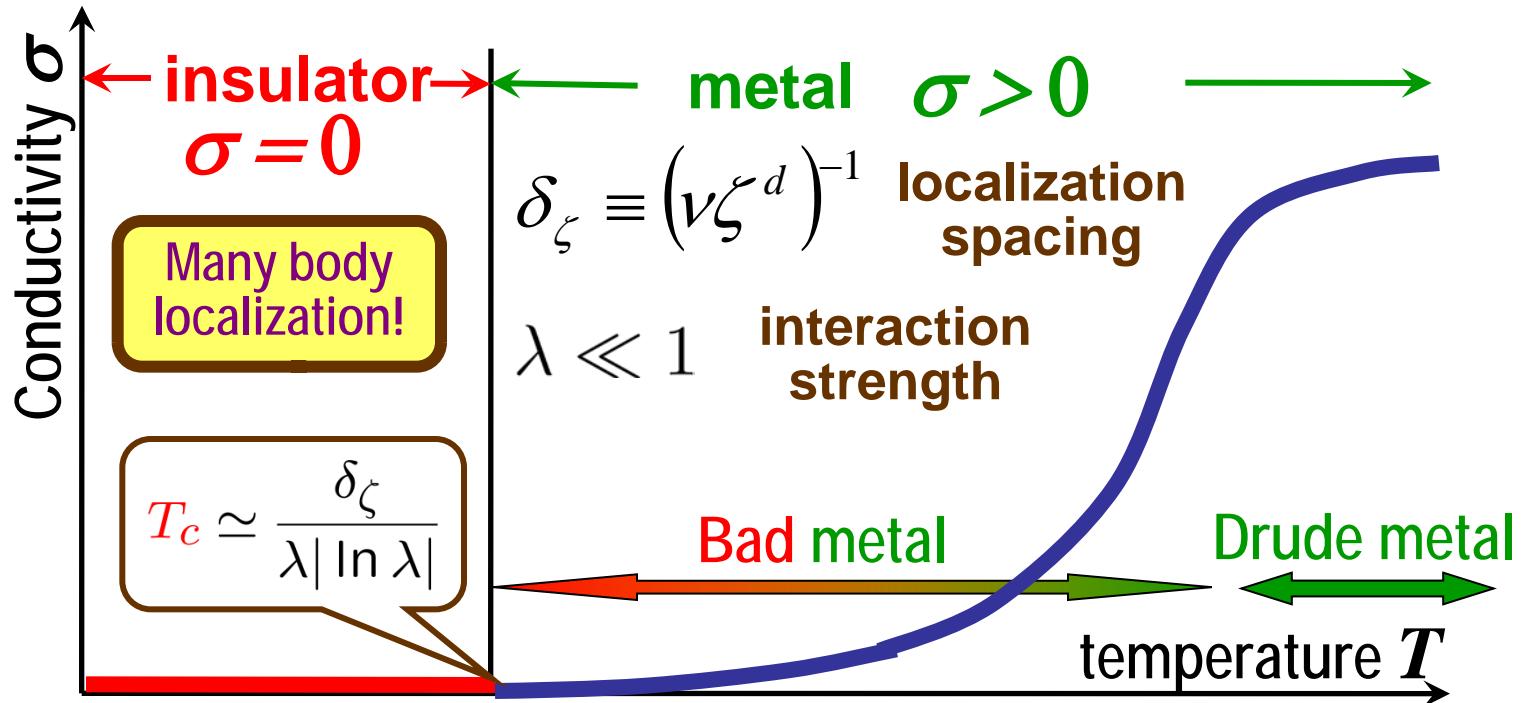


## Justification:

1. At  $T=0$  normal state is unstable with respect to either insulator or superfluid.
2. At finite temperature in the vicinity of the critical disorder the insulator can be thought of as a collection of “lakes”, which are disconnected from each other. The typical size of such a “lake” diverges. This means that the excitations in the insulator state are localized but the localization length can be arbitrary large. Accordingly the many -body delocalization is unavoidable at an arbitrary low but finite  $T$ .

*Phononless conductance*

*Many-body Localization  
of fermions*



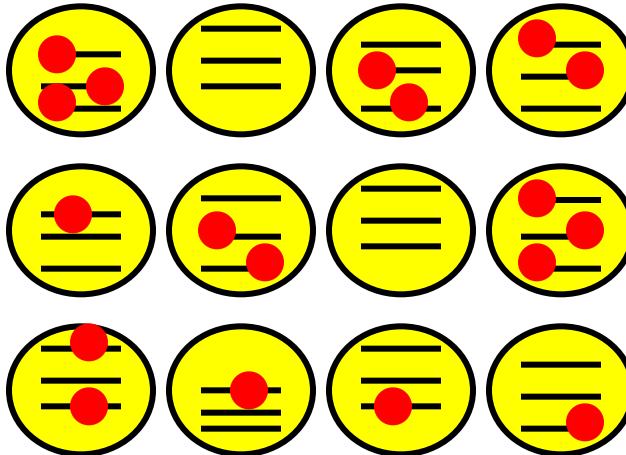
## Definitions:

**Insulator**  $\sigma = 0$   
 not  $d\sigma/dT < 0$

**Metal**  $\sigma \neq 0$   
 not  $d\sigma/dT > 0$

# Many body Anderson-like Model

- many particles,
- several levels per site,
- onsite disorder
- local interaction



**Basis:**  $|\mu\rangle$

$$\mu = \{ n_i^\alpha \}$$

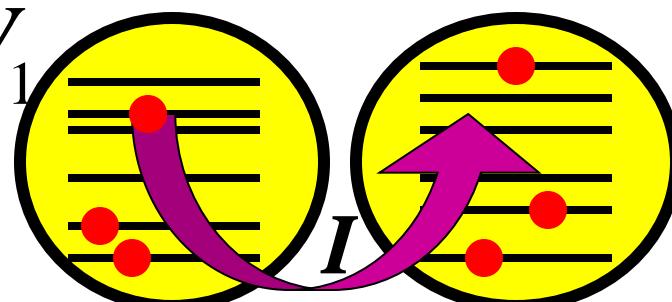
$i$  labels sites       $\alpha$  labels levels  
occupation numbers

## Hamiltonian:

$$\hat{H} = \hat{H}_0 + \hat{V}_1 + \hat{V}_2$$

$$\hat{H}_0 = \sum_{\mu} E_{\mu} |\mu\rangle\langle\mu|$$

$$\hat{V}_1 n_i^\alpha = 0, 1$$

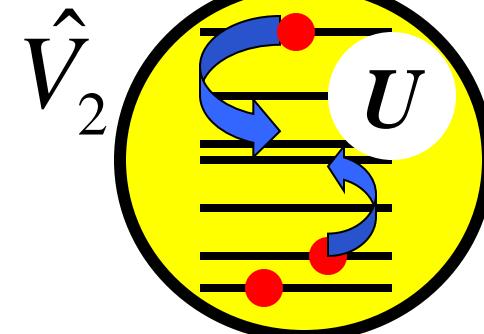


$$\hat{V}_1 = \sum_{\mu, \nu(\mu)} I |\mu\rangle\langle\nu(\mu)|$$

$$|\nu(\mu)\rangle = |..., n_i^\alpha - 1, ..., n_j^\beta + 1, ... \rangle, \quad i, j = n.n.$$

$$\hat{V}_2 = \sum_{\mu, \eta(\mu)} U |\mu\rangle\langle\eta(\mu)|$$

$$|\nu(\mu)\rangle = |..., n_i^\alpha - 1, ..., n_i^\beta - 1, ..., n_i^\gamma + 1, ..., n_i^\delta + 1, ... \rangle$$



# Conventional Anderson Model

**Basis:**  $|i\rangle$

$i$  labels sites

$$\hat{H} = \sum_i \varepsilon_i |i\rangle\langle i| + \sum_{i,j=n.n.} I |i\rangle\langle j|$$

**Two types of “nearest neighbors”:**

# Many body Anderson-like Model

**Basis:**  $|\mu\rangle$ ,  $\mu = \{n_i^\alpha\}$

$i$  labels sites       $\alpha$  labels levels

$n_i^\alpha = 0,1$   
occupation numbers

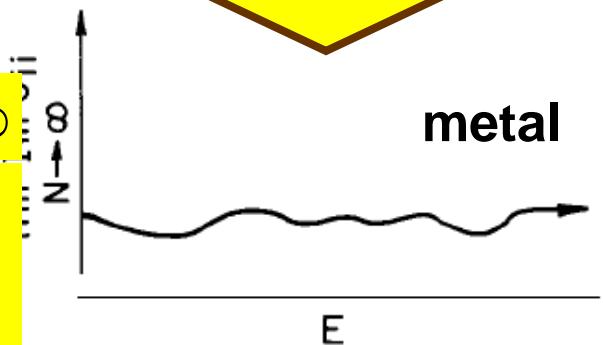
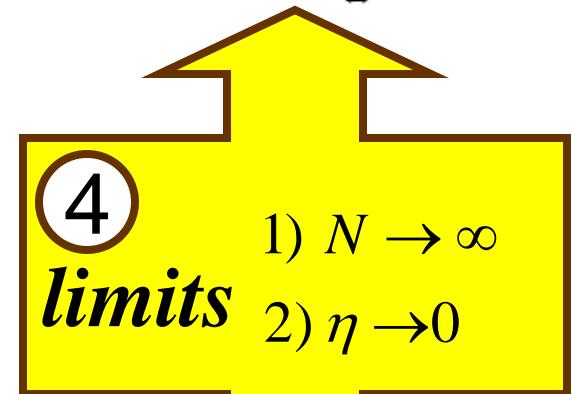
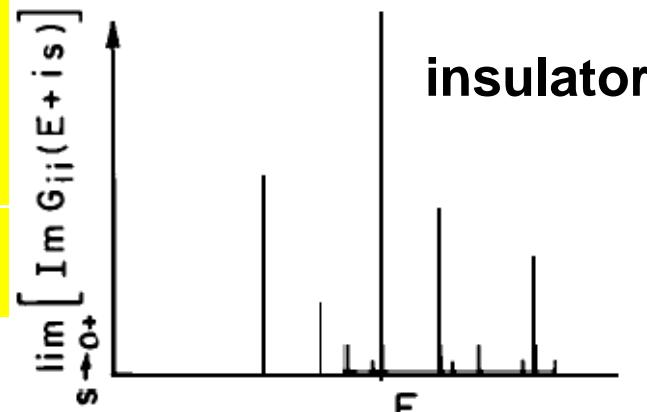
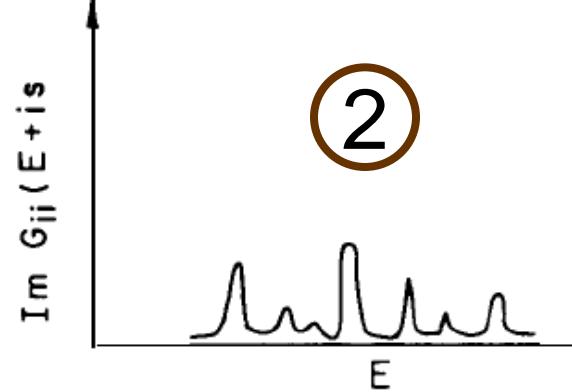
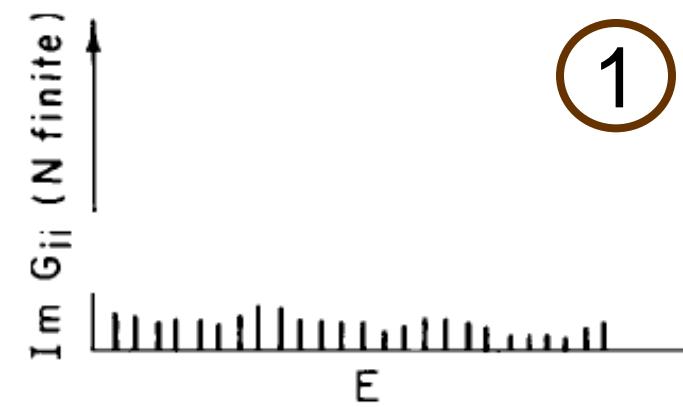
$$\hat{H} = \sum_\mu E_\mu |\mu\rangle\langle\mu| + \sum_{\mu,\nu(\mu)} I |\mu\rangle\langle\nu(\mu)| + \sum_{\mu,\eta(\mu)} U |\mu\rangle\langle\eta(\mu)|$$

$$|\nu(\mu)\rangle = |.., n_i^\alpha - 1, .., n_j^\beta + 1, ..\rangle, \quad i, j = n.n.$$

$$|\eta(\mu)\rangle = |.., n_i^\alpha - 1, .., n_i^\beta - 1, .., n_i^\gamma + 1, .., n_i^\delta + 1, ..\rangle$$

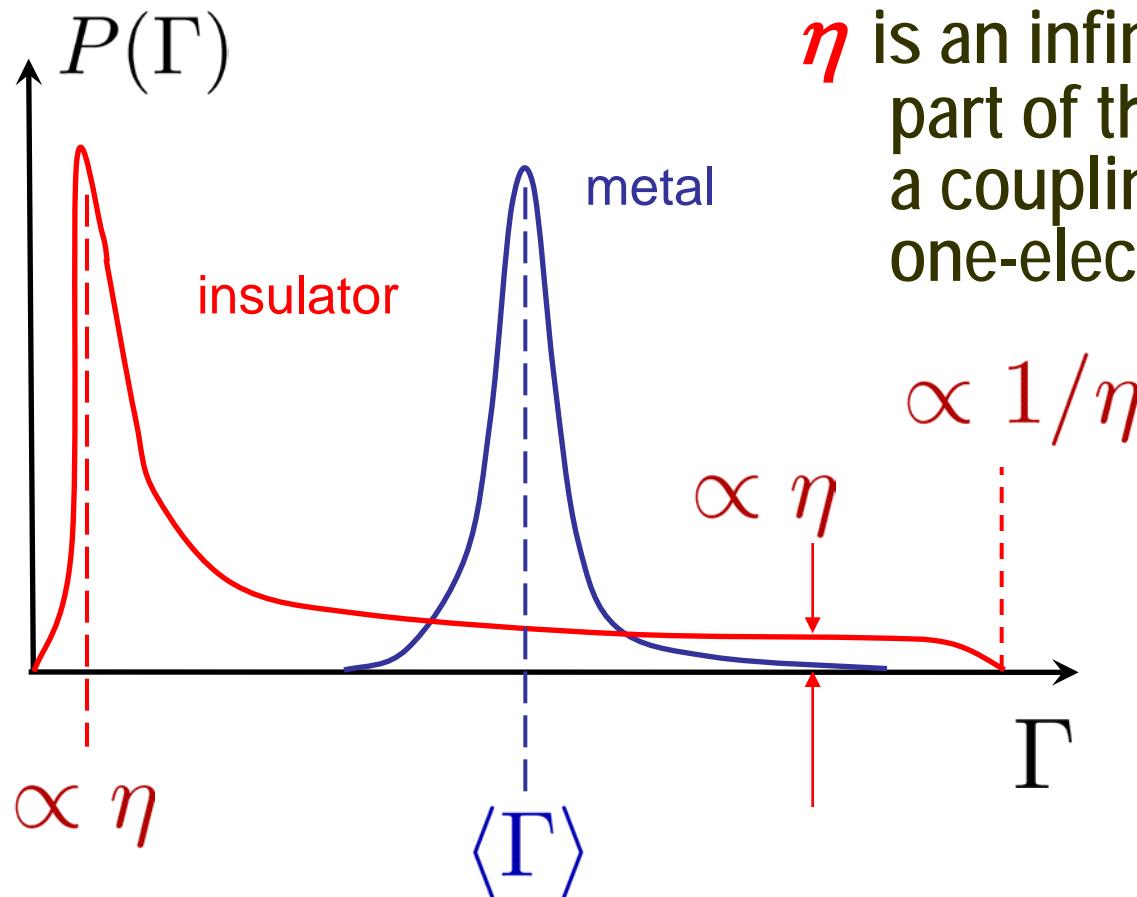
# Anderson's recipe:

1. take discrete spectrum  $E_\mu$  of  $H_0$
2. Add an infinitesimal  $\text{Im}$  part  $i\eta$  to  $E_\mu$
3. Evaluate  $\text{Im}\Sigma_\mu$



4. take limit  $\eta \rightarrow 0$  but only **after**  $N \rightarrow \infty$
5. "What we really need to know is the **probability distribution** of  $\text{Im}\Sigma$ , **not** its average..." !

# Probability Distribution of $\Gamma=Im \Sigma$



$\eta$  is an infinitesimal width ( $Im$  part of the self-energy due to a coupling with a bath) of one-electron eigenstates

**Look for:**

$$\lim_{\eta \rightarrow +0} \lim_{V \rightarrow \infty} P(\Gamma > 0) = \begin{cases} > 0; & \text{metal} \\ 0; & \text{insulator} \end{cases}$$

# Stability of the insulating phase: NO spontaneous generation of broadening

$$\Gamma_\alpha(\varepsilon) = 0$$

$$\varepsilon \rightarrow \varepsilon + i\eta$$

is always a solution

linear stability analysis

$$\frac{\Gamma}{(\varepsilon - \xi_\alpha)^2 + \Gamma^2} \rightarrow \pi\delta(\varepsilon - \xi_\alpha) + \frac{\Gamma}{(\varepsilon - \xi_\alpha)^2}$$

After  **$n$**  iterations of  
the equations of the  
**Self Consistent**  
**Born Approximation**

$$P_n(\Gamma) \propto \frac{\eta}{\Gamma^{3/2}} \left( \text{const} \frac{\lambda T}{\delta_\zeta} \ln \frac{1}{\lambda} \right)^n$$

**first**  $n \rightarrow \infty$   
**then**  $\eta \rightarrow 0$

$(...) < 1$  – insulator is stable !

# Physics of the transition: cascades

Conventional wisdom:

For phonon assisted hopping one phonon - one electron hop

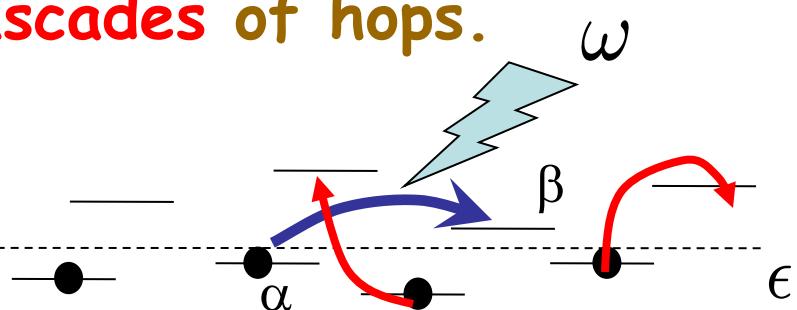
It is maybe correct at low temperatures, but the higher the temperature the easier it becomes to create e-h pairs.

Therefore with increasing the temperature the typical number of pairs created  $n_c$  (i.e. the number of hops) increases. Thus phonons create **cascades** of hops.

Typical size  
of the  
cascade



Localization  
length



# Physics of the transition: cascades

Conventional wisdom:

For phonon assisted hopping one phonon – one electron hop

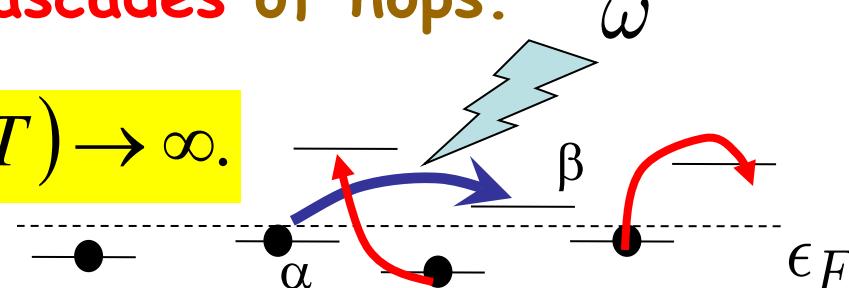
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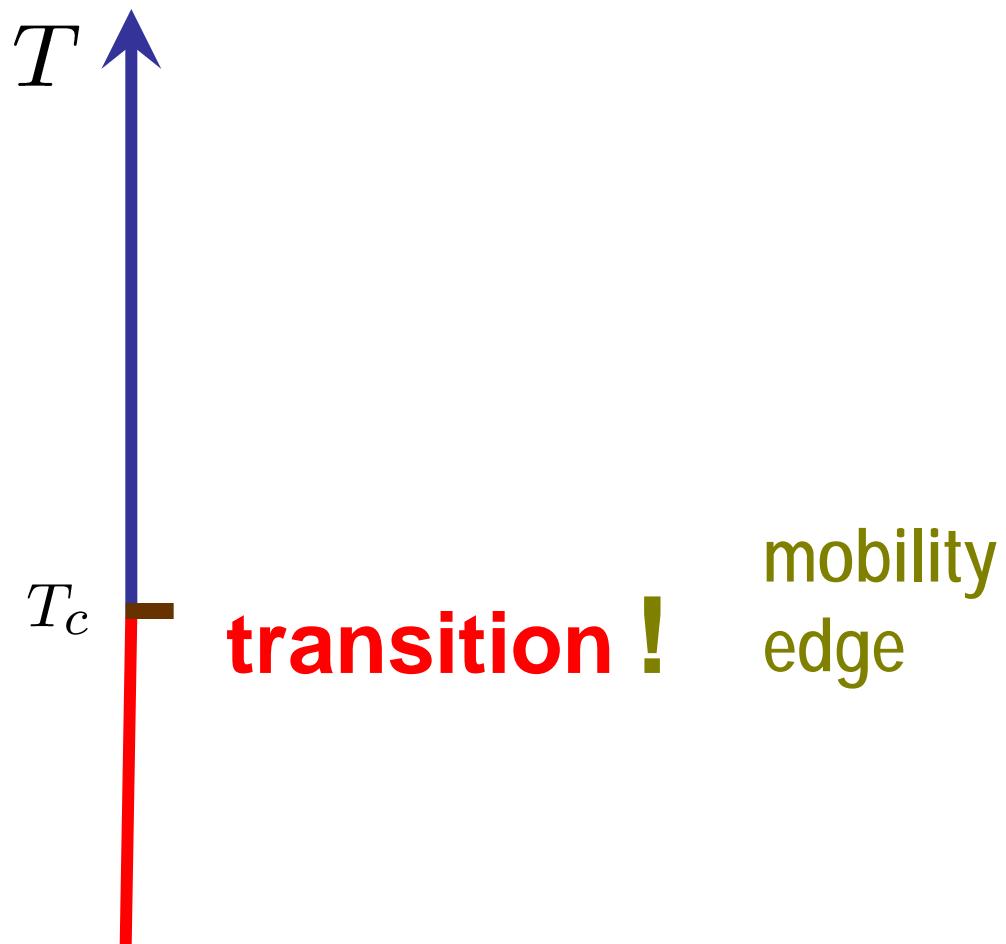
At some temperature  $T = T_c \quad n_c(T) \rightarrow \infty$ .

This is the critical temperature.

Above  $T_c$  one phonon creates infinitely many pairs, i.e., phonons are not needed for charge transport.



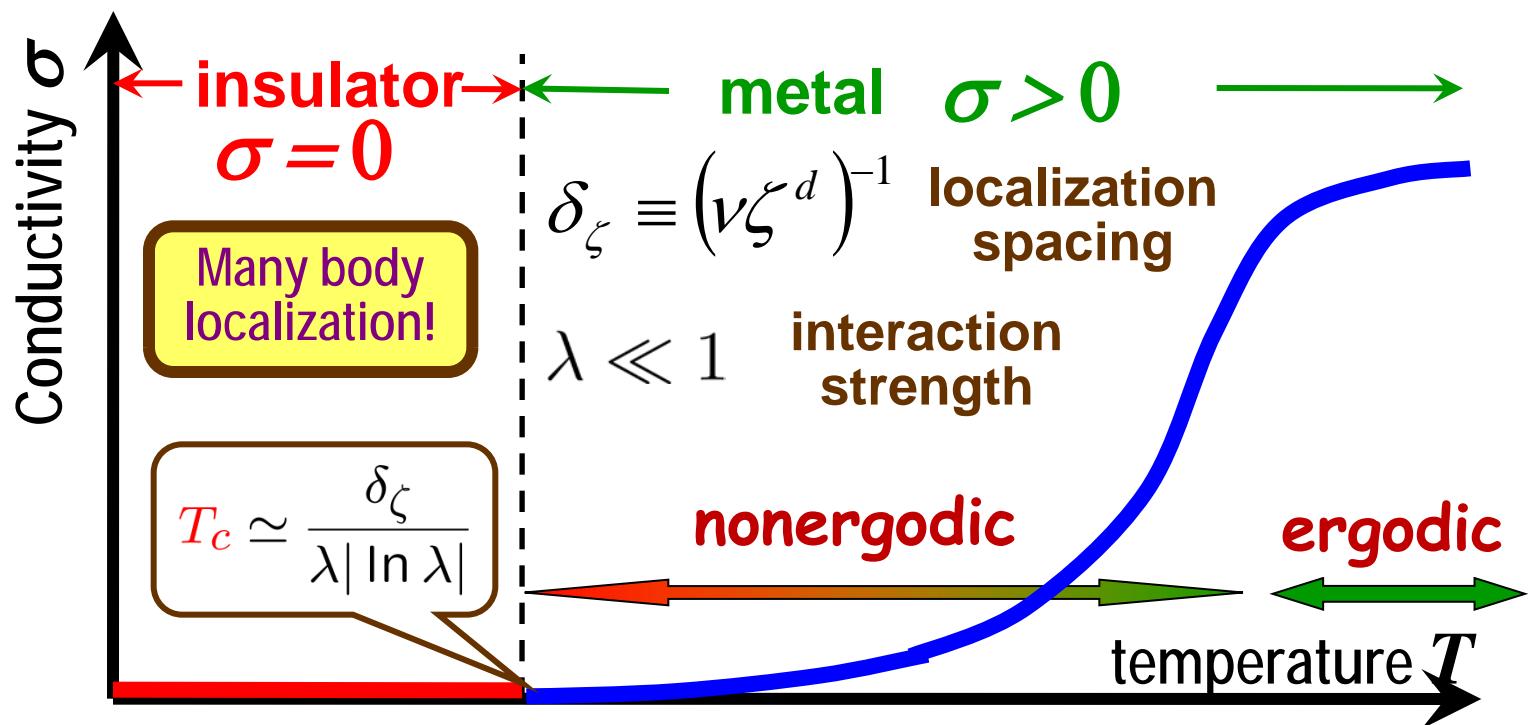
# Many-body mobility edge



# Many-body mobility edge



# Finite $T$ normal metal - insulator transition is another example of the many-body localization



**Definition:** We will call a quantum state  $|\mu\rangle$  **ergodic** if it occupies the number  $N_\mu$  of sites  $N_\mu$  on the Anderson lattice, which is proportional to the total number of sites  $N$ :

$$\frac{N_\mu}{N} \xrightarrow{N \rightarrow \infty} 0$$

nonergodic

$$\frac{N_\mu}{N} \xrightarrow{N \rightarrow \infty} const > 0$$

ergodic

Localized states are obviously not ergodic:

$$N_\mu \xrightarrow{N \rightarrow \infty} const$$

**Q:** Is each of the extended state ergodic ?

**A:** In 3D probably YES, for  $d > 4$  - probably NO

# Nonergodic states

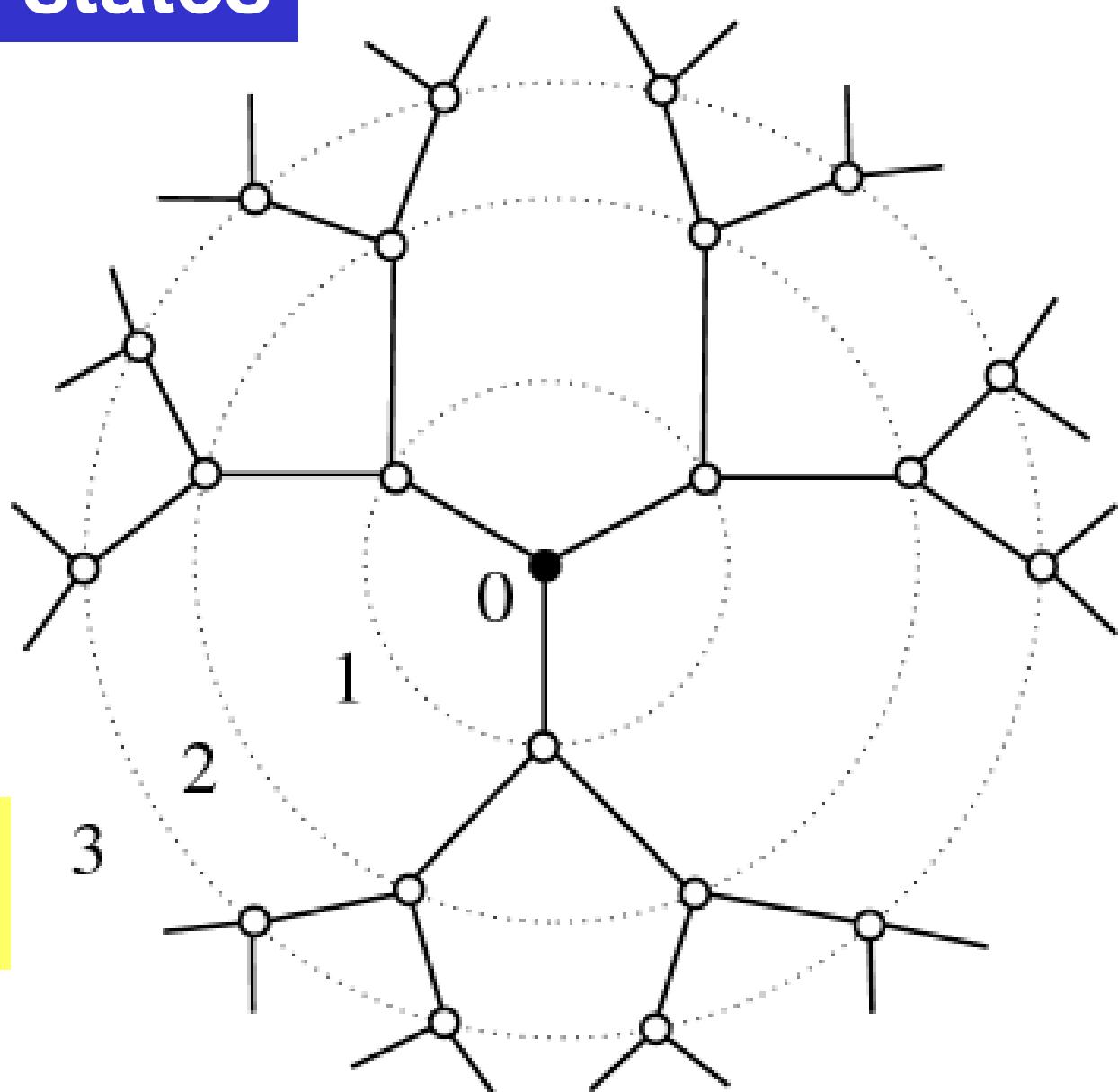
Cayley tree  
(Bethe lattice)

$$I_c = \frac{W}{K \ln K}$$

$K$  is the branching number

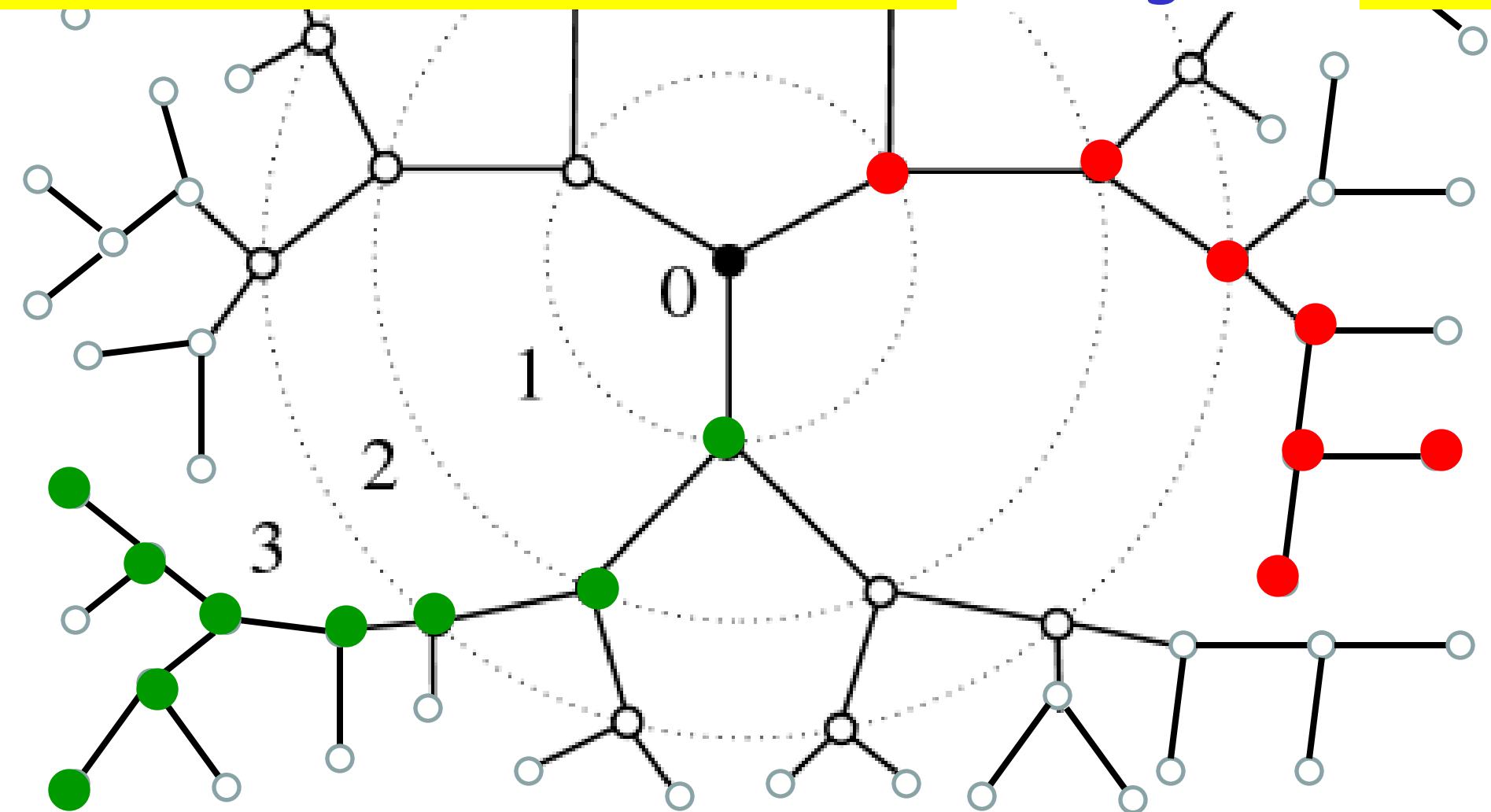
$$I_c < I < W$$

Extended but not ergodic



$$I \approx \frac{W}{K} \Rightarrow N_\mu \approx \ln N \ll N$$

nonergodic



nonergodic



glassy

Main postulate of the Gibbs StatMech-equipartition (microcanonical distribution):

In the equilibrium all states with the same energy are realized with the same probability.

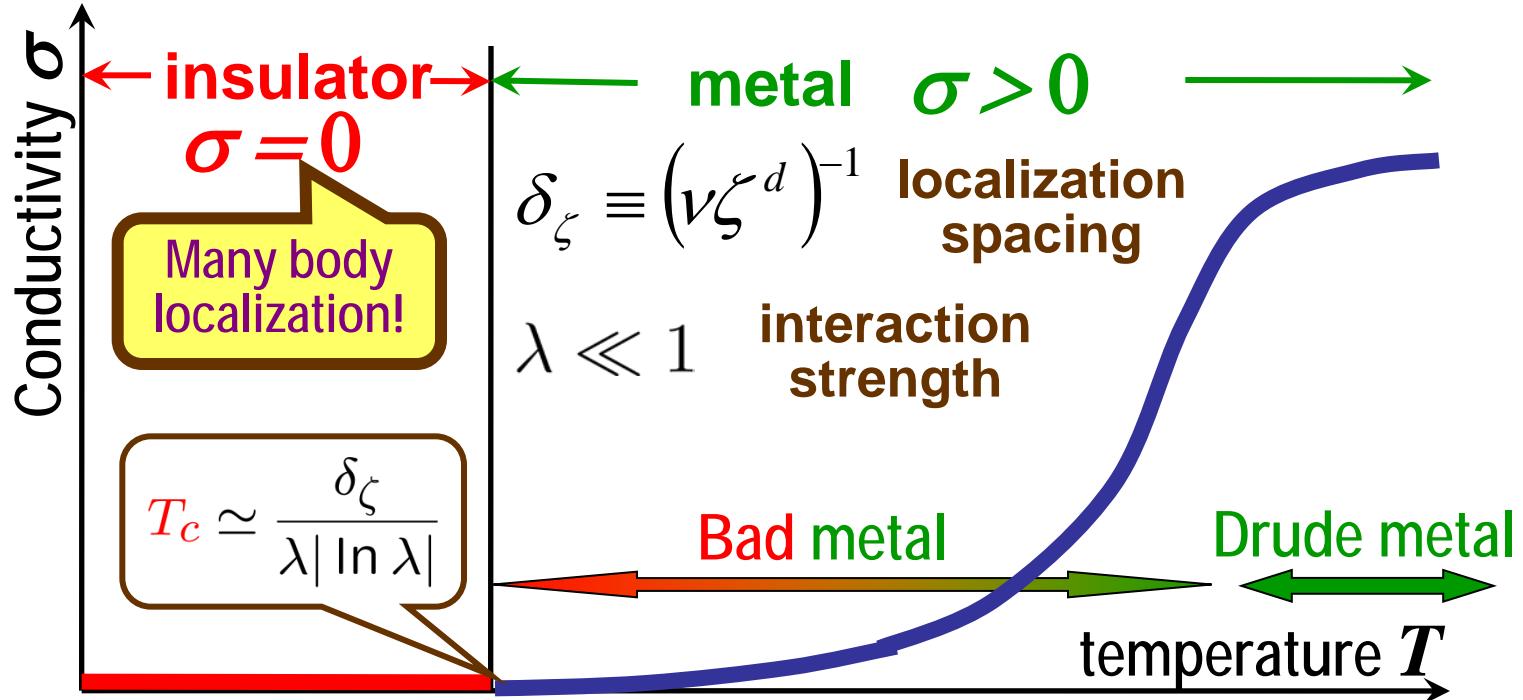
Without interaction between particles the equilibrium would never be reached - each one-particle energy is conserved.

Common belief: Even weak interaction should drive the system to the equilibrium.

Is it always true?

# *Lecture 3.*

## *4. Speculations*



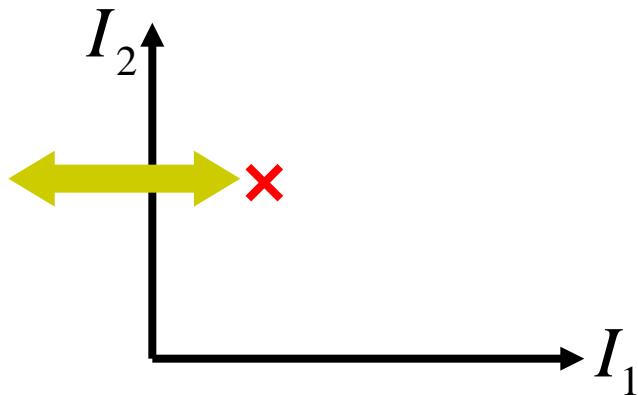
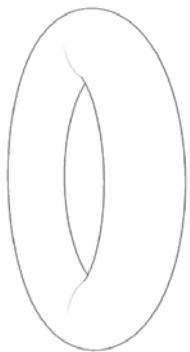
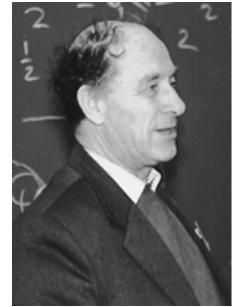
Q: What happens in the classical limit  $\hbar \rightarrow 0$  ?

Speculations:

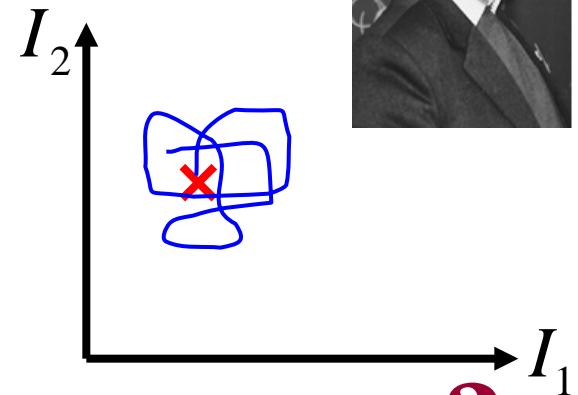
1. No transition  $T_c \rightarrow 0$
2. Bad metal still exists

Reason: Arnold diffusion

# Arnold diffusion



$$\hat{V} \neq 0$$



Each point in the space of the **integrals of motion** corresponds to a torus and vice versa

Finite motion?

$$d = 2$$

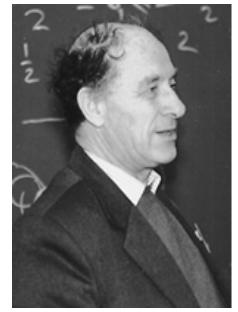
All classical trajectories correspond to a finite motion

$$d > 2$$

Most of the trajectories correspond to a finite motion

However small fraction of the trajectories goes infinitely far

# Arnold diffusion

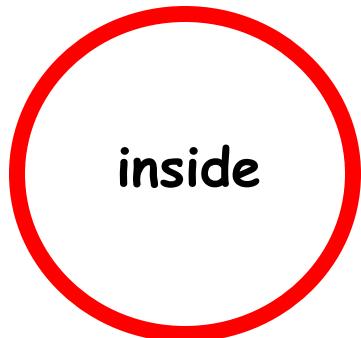


1. Most of the tori survive - KAM
2. Classical trajectories do not cross each other

space	# of dimensions
real space	$d$
phase space	$2d$
energy shell	$2d-1$
tori	$d$

$$d = 2 \Rightarrow d_{en.shell} - d_{tori} = 1$$

Each torus has “inside” and “outside”



$$d = 2 \Rightarrow d_{en.shell} - d_{tori} = 1$$

A torus does not have “inside” and “outside” as a ring in  $>2$  dimensions

## Speculations:

1. Arnold diffusion  $\longleftrightarrow$  Nonergodic (bad) metal
2. Appearance of the transition (finite  $T_c$ ) - quantum localization of the Arnold diffusion

# Conclusions

Anderson Localization provides a relevant language for description of a wide class of physical phenomena – far beyond conventional Metal to Insulator transitions.

Transition between integrability and chaos in quantum systems

Interacting quantum particles + strong disorder.  
Three types of behavior:

ordinary ergodic metal  
“bad” nonergodic metal  
“true” insulator

A closed system without a bath can relax to a microcanonical distribution only if it is an ergodic metal