

Compiler

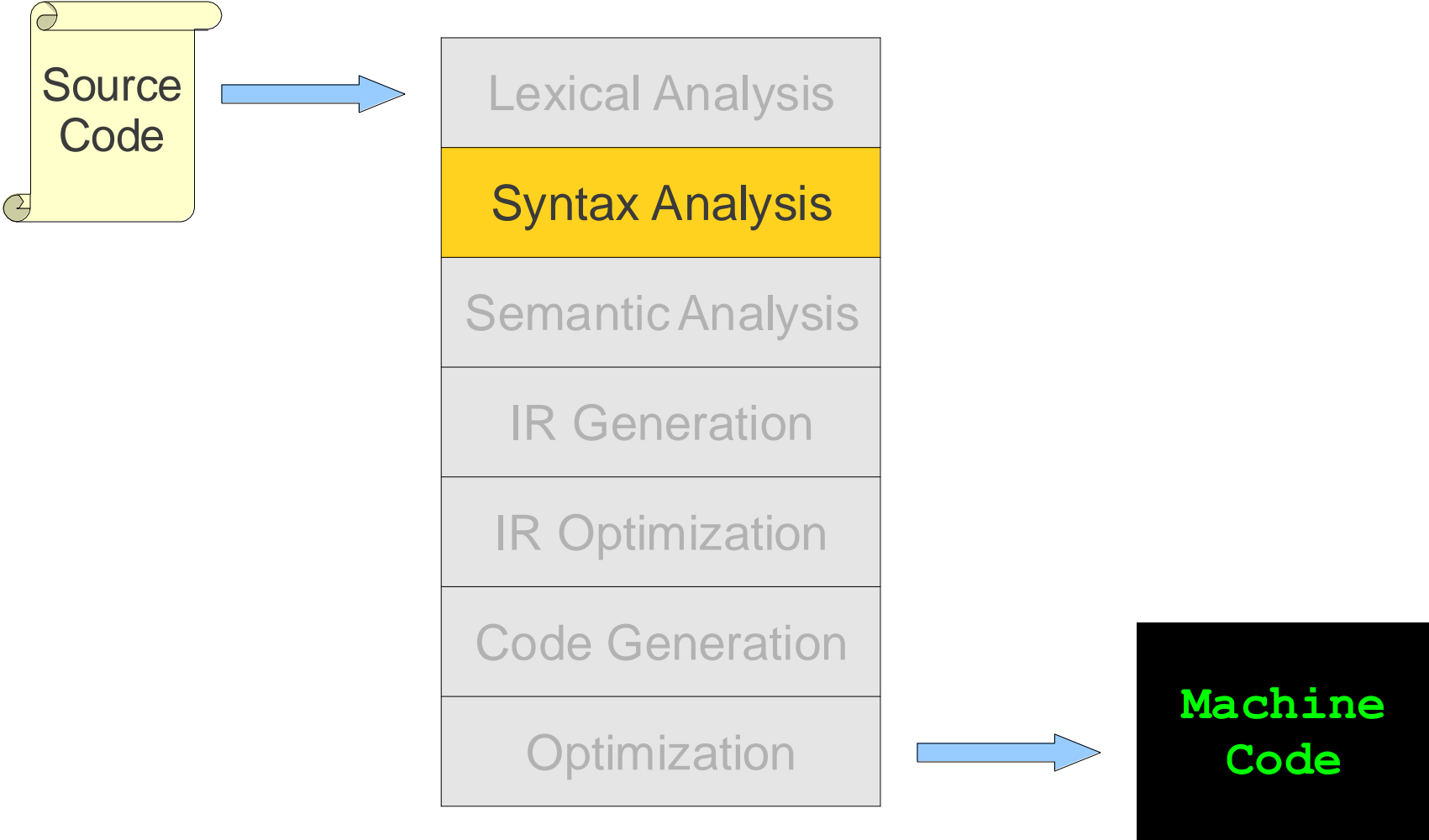
– 3–5. Bottom–up Parsing –

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Where are we?



Outlines

- Role of the syntax analysis (parser)
- Context free grammar
- Push down automata
- Top-down parsing
- **Bottom-up parsing**
- Simple LR
- More powerful LR parsers and other issues in parsers
- Syntactic error handler
- Parser generator



Bottom-up parsing



Bottom-up parsing

- Bottom-up parsing

- Definition

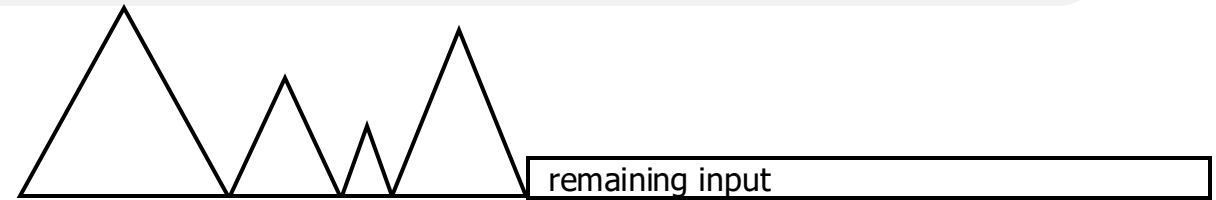
- For a given input stream w , and given grammar, to construct parse tree by starting at leaf node working to the root

- LR methods (Left-to-right, Rightmost derivation)

- Shift-reduce parsing, SLR, Canonical LR, LALR

- Reductions

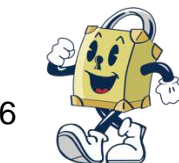
- Process of “reducing” a string to the start symbol of grammar
 - Accept when all input read and reduced to start symbol of the grammar
 - Decision related to when to reduce and what production rule to apply
 - Whenever we’ve matched the right-hand side of a production, reduce it to the appropriate non-terminal and add that non-terminal to the parse tree
 - The upper edge of this partial parse tree is known as the *frontier*



Bottom-up parsing

- An eagle eye of a bottom-up parsing

		int	+	(int	+	int	+	int)
	\Rightarrow	T	+	(int	+	int	+	int)
	\Rightarrow	E	+	(int	+	int	+	int)
E	\rightarrow	T						
E	\rightarrow	E	+	T				
T	\rightarrow	int						
T	\rightarrow	(E)						
	\Rightarrow	E	+	(T	+	int	+	int)
	\Rightarrow	E	+	(E	+	int	+	int)
	\Rightarrow	E	+	(E	+	T	+	int)
	\Rightarrow	E	+	(E	+	int)		
	\Rightarrow	E	+	(E	+	T)		
	\Rightarrow	E	+	(E)				
	\Rightarrow	E	+	T				
	\Rightarrow	E						

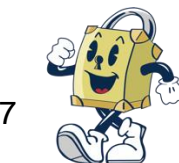


Bottom-up parsing

- An eagle eye of a bottom-up parsing

```
      int    +   (int    +   int    +   int)
⇒  T    +   (int    +   int    +   int)
⇒  E    +   (int    +   int    +   int)
⇒  E    +   (T    +   int    +   int)
⇒  E    +   (E    +   int    +   int)
⇒  E    +   (E    +   T    +   int)
⇒  E    +   (E    +   int)
⇒  E    +   (E    +   T)
⇒  E    +   (E)
⇒  E    +   T
⇒  E
```

Each step in this bottom-up parse is called a reduction. We reduce a substring of the sentential form back to a nonterminal.

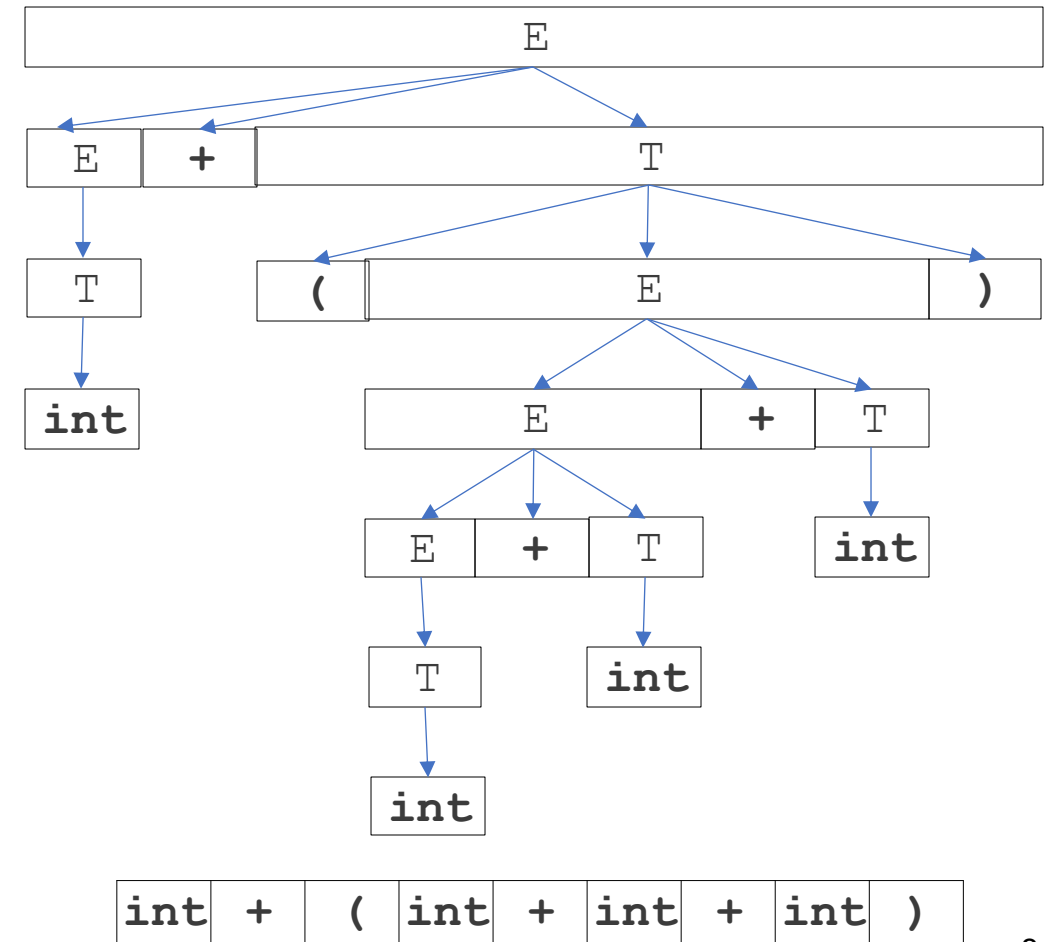


Bottom-up parsing

- An eagle eye of a bottom-up parsing

```

    int    +  (int    +  int    +  int)
⇒  T    +  (int    +  int    +  int)
⇒  E    +  (int    +  int    +  int)
⇒  E    +  (T    +  int    +  int)
⇒  E    +  (E    +  int    +  int)
⇒  E    +  (E    +  T    +  int)
⇒  E    +  (E    +  int)
⇒  E    +  (E    +  T)
⇒  E    +  (E)
⇒  E    +  T
⇒  E
    
```



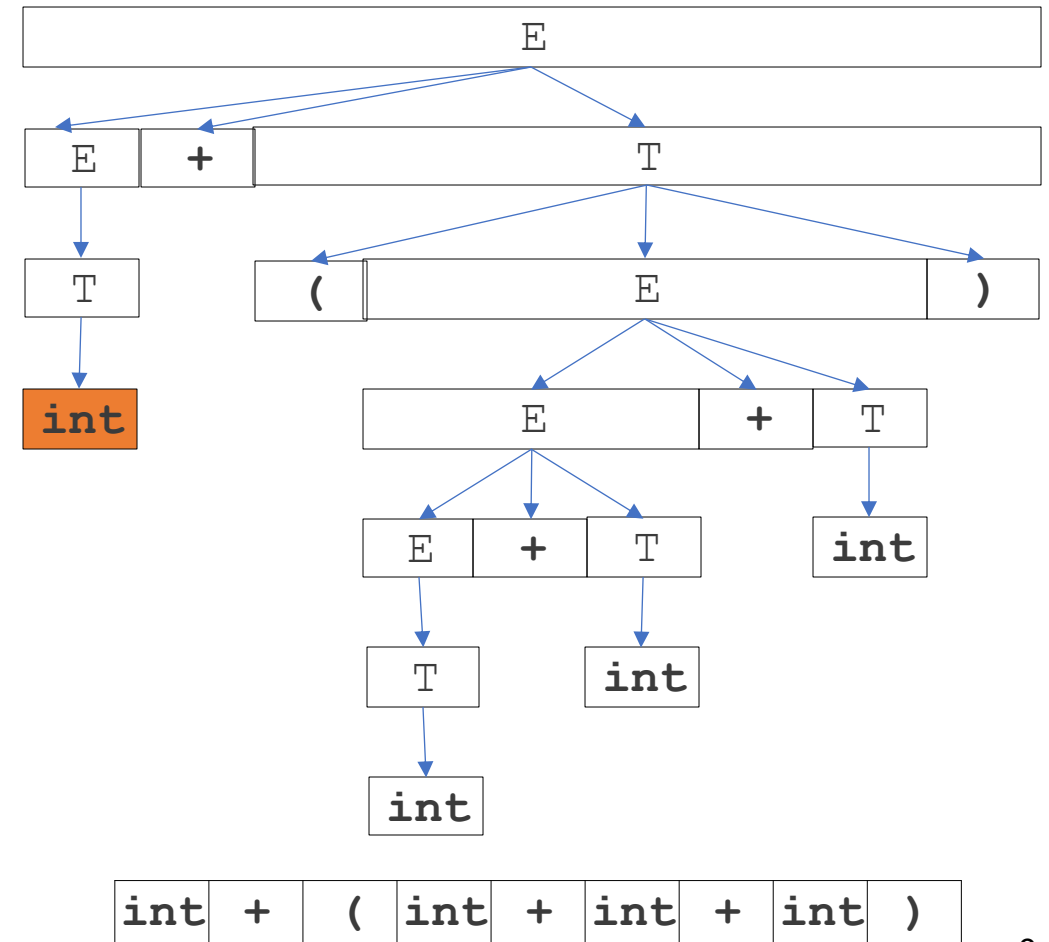
Bottom-up parsing

- An eagle eye of a bottom-up parsing

```

      int      +      (int      +      int      +      int)
⇒  T      +      (int      +      int      +      int)
⇒  E      +      (int      +      int      +      int)
⇒  E      +      (T      +      int      +      int)
⇒  E      +      (E      +      int      +      int)
⇒  E      +      (E      +      T      +      int)
⇒  E      +      (E      +      int)
⇒  E      +      (E      +      T)
⇒  E      +      (E)
⇒  E      +      T
⇒  E

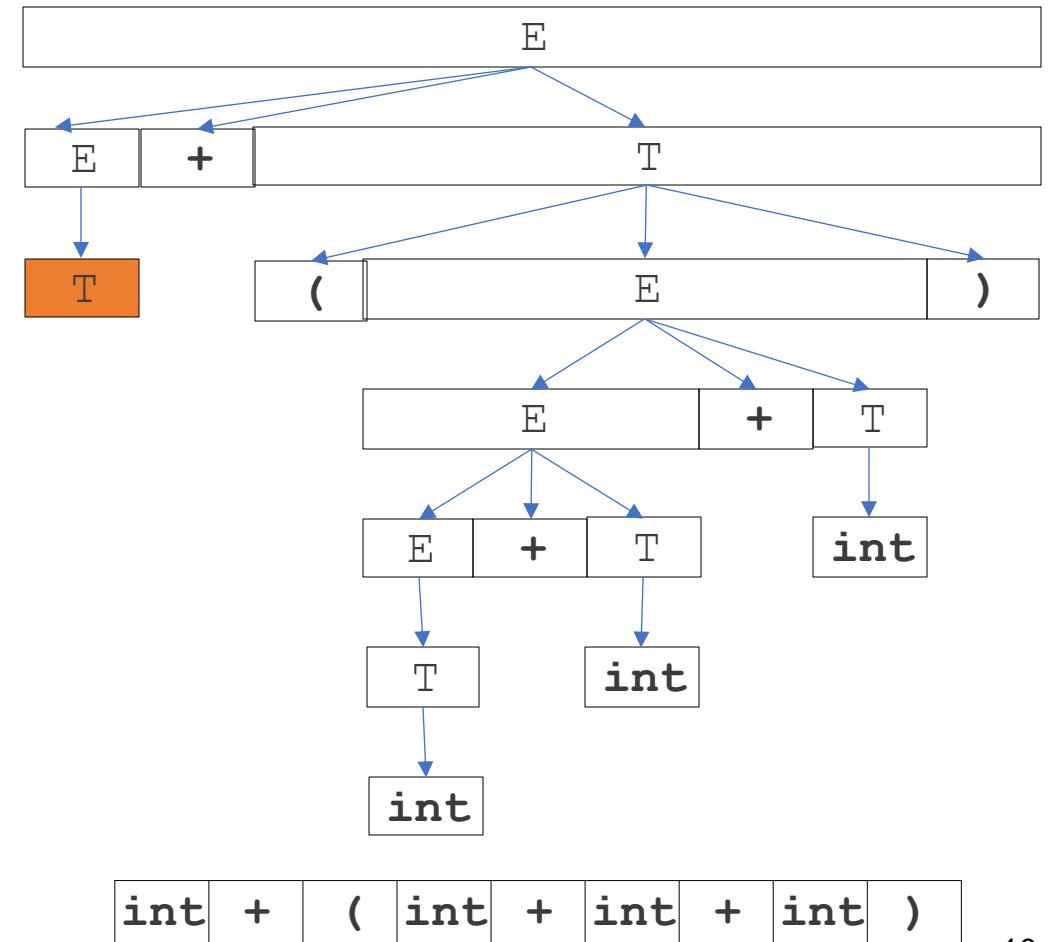
```



Bottom-up parsing

- An eagle eye of a bottom-up parsing

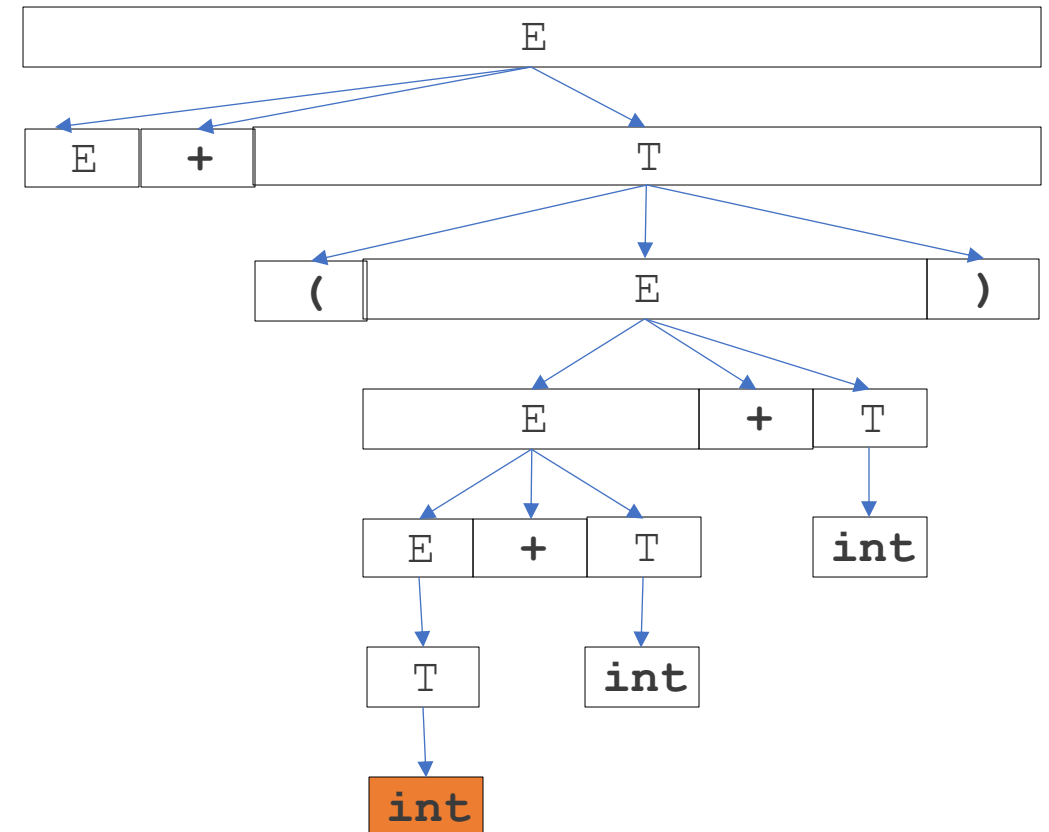
\Rightarrow **T** + (int + int + int)
 \Rightarrow **E** + (int + int + int)
 \Rightarrow **E** + (T + int + int)
 \Rightarrow **E** + (E + int + int)
 \Rightarrow **E** + (E + T + int)
 \Rightarrow **E** + (E + int)
 \Rightarrow **E** + (E + T)
 \Rightarrow **E** + (E)
 \Rightarrow **E** + T
 \Rightarrow **E**



Bottom-up parsing

- An eagle eye of a bottom-up parsing

$\Rightarrow E + (\text{int} + \text{int} + \text{int})$
 $\Rightarrow E + (T + \text{int} + \text{int})$
 $\Rightarrow E + (E + \text{int} + \text{int})$
 $\Rightarrow E + (E + T + \text{int})$
 $\Rightarrow E + (E + \text{int})$
 $\Rightarrow E + (E + T)$
 $\Rightarrow E + (E)$
 $\Rightarrow E + T$
 $\Rightarrow E$



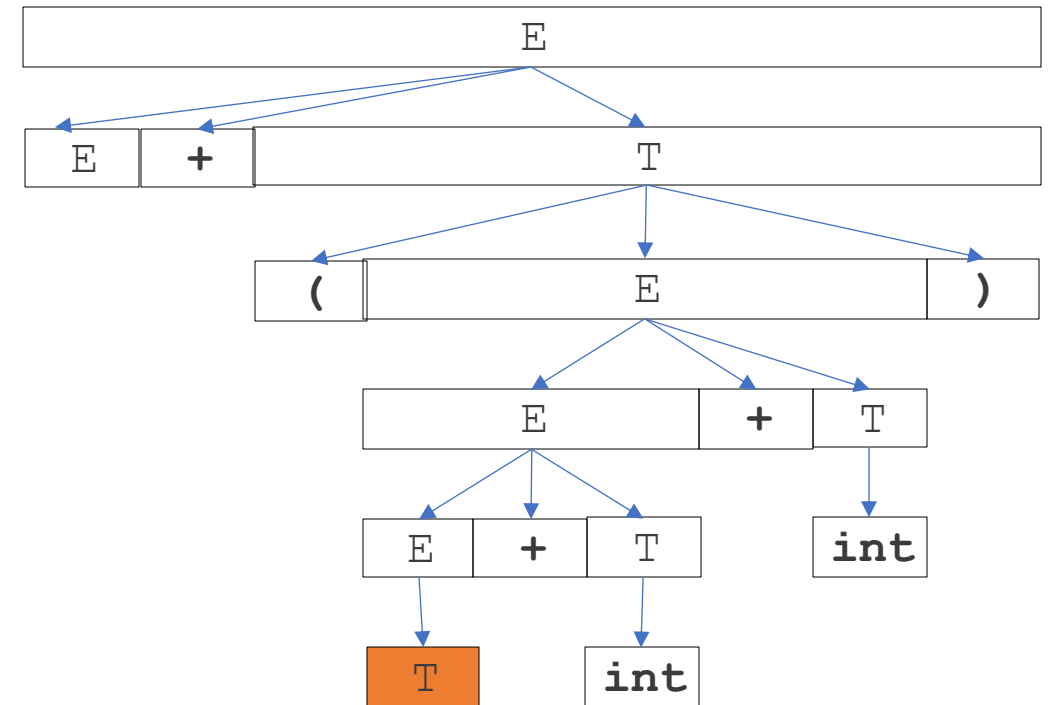
int + (int + int + int)



Bottom-up parsing

- An eagle eye of a bottom-up parsing

$\Rightarrow E + (T + \text{int} + \text{int})$
 $\Rightarrow E + (E + \text{int} + \text{int})$
 $\Rightarrow E + (E + T + \text{int})$
 $\Rightarrow E + (E + \text{int})$
 $\Rightarrow E + (E + T)$
 $\Rightarrow E + (E)$
 $\Rightarrow E + T$
 $\Rightarrow E$

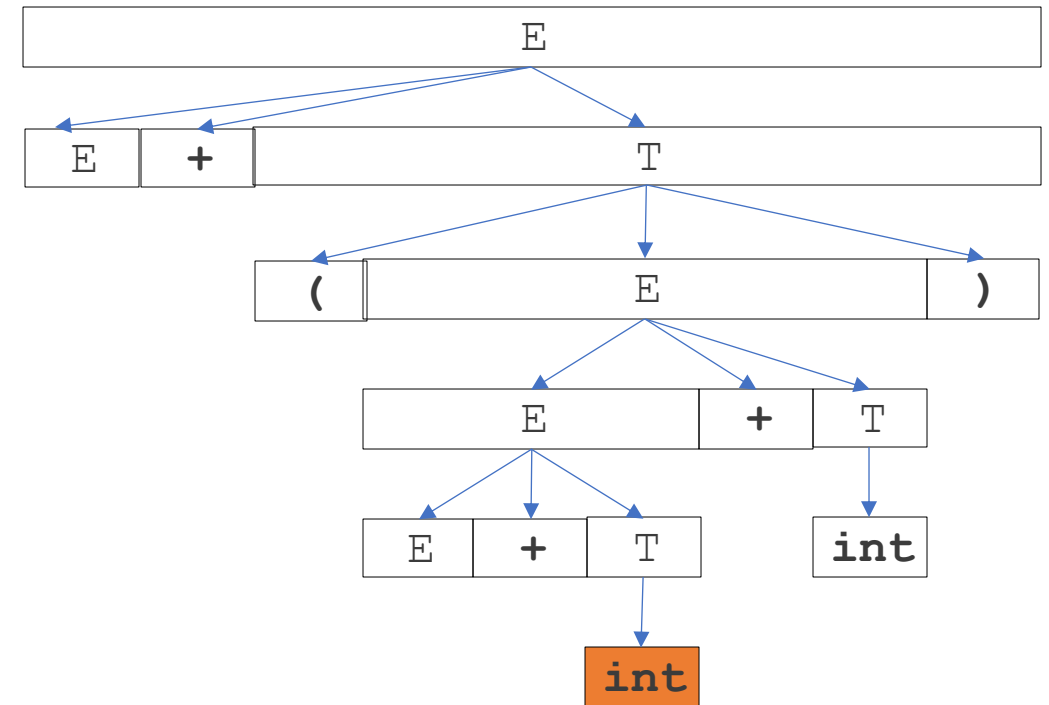


int + (int + int + int)



Bottom-up parsing

- An eagle eye of a bottom-up parsing

$$\begin{aligned} \Rightarrow E &+ (E + \text{int} + \text{int}) \\ \Rightarrow E &+ (E + T + \text{int}) \\ \Rightarrow E &+ (E + \text{int}) \\ \Rightarrow E &+ (E + T) \\ \Rightarrow E &+ (E) \\ \Rightarrow E &+ T \\ \Rightarrow E \end{aligned}$$


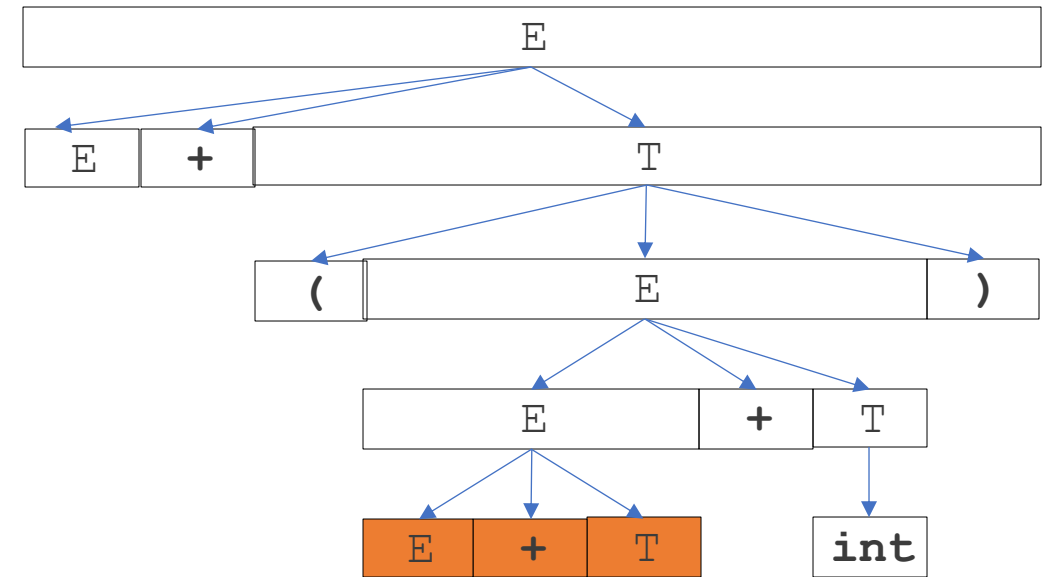
int	+	(int	+	int	+	int)
-----	---	---	-----	---	-----	---	-----	---



Bottom-up parsing

- An eagle eye of a bottom-up parsing

$\Rightarrow E + (E + T + int)$
 $\Rightarrow E + (E + int)$
 $\Rightarrow E + (E + T)$
 $\Rightarrow E + (E)$
 $\Rightarrow E + T$
 $\Rightarrow E$

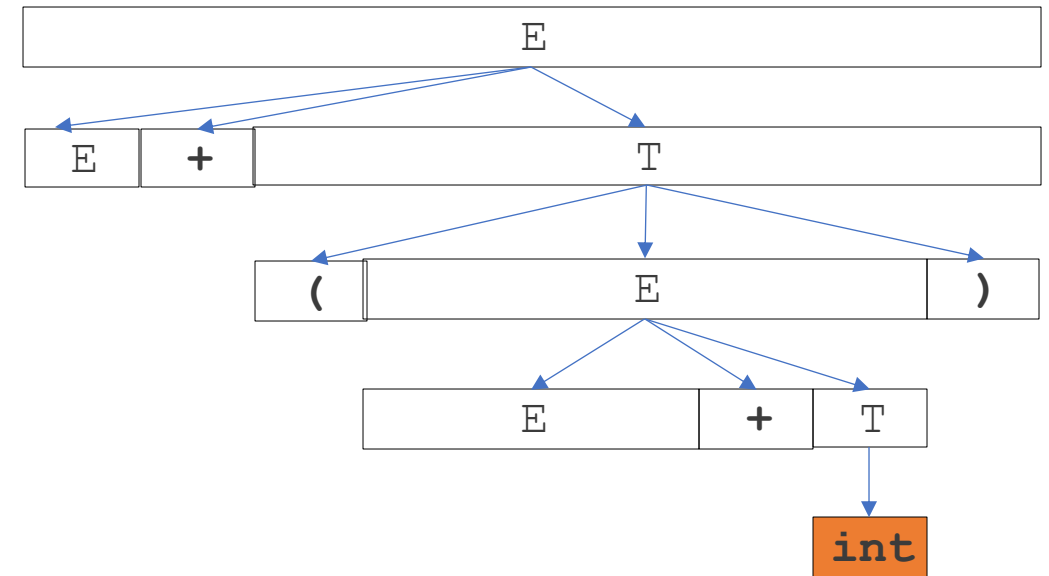


int + (int + int + int)



Bottom-up parsing

- An eagle eye of a bottom-up parsing



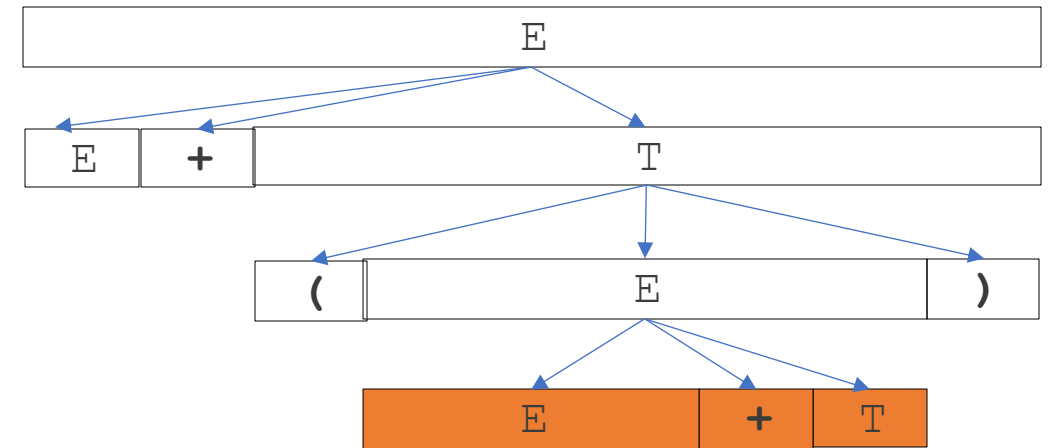
$\Rightarrow E + (E + \text{int})$
 $\Rightarrow E + (E + T)$
 $\Rightarrow E + (E)$
 $\Rightarrow E + T$
 $\Rightarrow E$

int + (int + int + int)



Bottom-up parsing

- An eagle eye of a bottom-up parsing



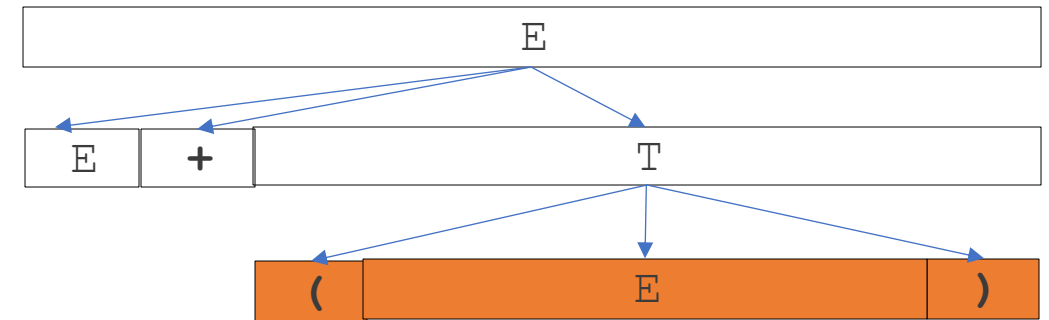
$\Rightarrow E + (E + T)$
 $\Rightarrow E + (E)$
 $\Rightarrow E + T$
 $\Rightarrow E$

int + (int + int + int)



Bottom-up parsing

- An eagle eye of a bottom-up parsing



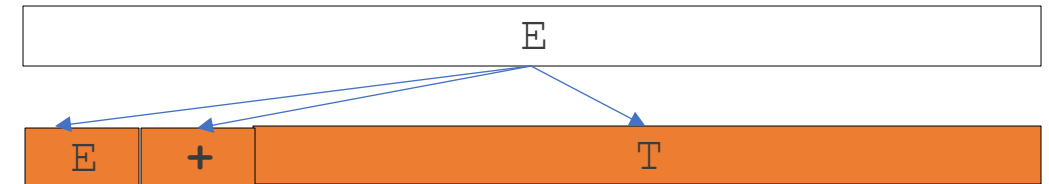
$\Rightarrow E + (E)$
 $\Rightarrow E + T$
 $\Rightarrow E$

int + (int + int + int)



Bottom-up parsing

- An eagle eye of a bottom-up parsing



$\Rightarrow E + T$
 $\Rightarrow E$

int	+	(int	+	int	+	int)
-----	---	---	-----	---	-----	---	-----	---



Bottom-up parsing

- An eagle eye of a bottom-up parsing

E

⇒ E

int	+	(int	+	int	+	int)
-----	---	---	-----	---	-----	---	-----	---



Bottom-up parsing

- Another example

Grammar:

$S ::= a A B e$

$A ::= A b c \mid b$

$B ::= d$

Reducing a sentence:

$a \underline{b} b c d e$

$a \underline{A} b c d e$

$a A \underline{d} e$

$a A B \underline{e}$

S

Shift-reduce corresponds to a rightmost derivation:

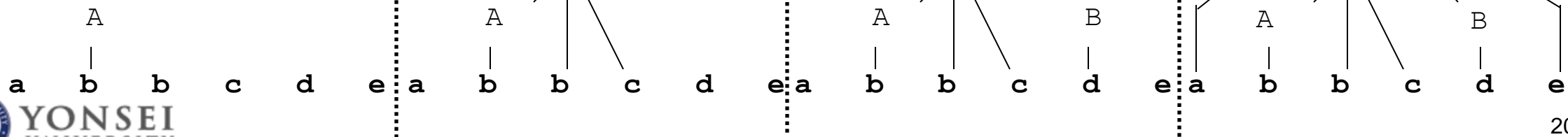
$S \Rightarrow_{rm} a A B e$

$\Rightarrow_{rm} a A d e$

$\Rightarrow_{rm} a A b c d e$

$\Rightarrow_{rm} a b b c d e$

These match
production's
right-hand sides



Bottom-up parsing

- Handles

Grammar:

$S ::= a A B e$

$A ::= A b c \mid b$

$B ::= d$

Reducing a sentence:

$a \underline{b} b c d e$

$a \underline{A} b c d e$

$a A \underline{d} e$

$a A B \underline{e}$

S

Handle

- A *handle* is a substring that connects a right-hand side of the production rule in the grammar and whose reduction to the non-terminal on the left-hand side of that grammar rule is a step along with the reverse of a rightmost derivation

$a \underline{b} b c d e$

$a A \underline{b} c d e$

$a A A e$

... ?

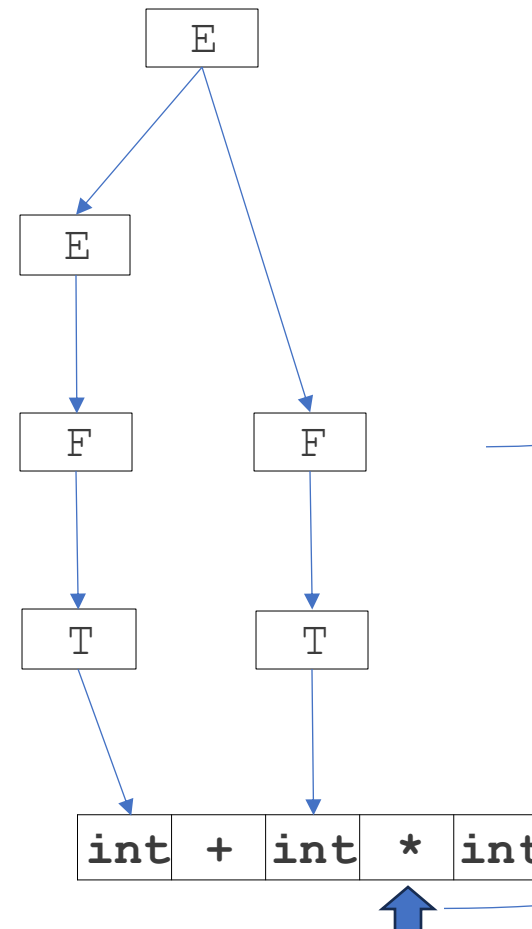
NOT a handle, because
further reductions will fail
(result is not a sentential form)



Bottom-up parsing

- Handles

$E \rightarrow F$
 $E \rightarrow E + F$
 $F \rightarrow F * T$
 $F \rightarrow T$
 $T \rightarrow \text{int}$
 $T \rightarrow (E)$



This wasn't a handle

Not available reduction
When handling this



Bottom-up parsing

- Handles
 - Informal definition
 - A substring of the *tree frontier* that matches the right side of a production
 - Even if $A ::= \beta$ is a production, β is a handle only if it matches the **frontier at a point** where $A ::= \beta$ was used in the derivation
 - β may appear in many other places in the frontier without being a handle for that particular production
 - Formal definition
 - A *handle* of a right-sentential form γ is a production $A ::= \beta$ and a position in γ where β may be replaced by A to produce the previous right-sentential form in the rightmost derivation of γ



Bottom-up parsing

- Handles

- In the derivation: $S \Rightarrow_{rm} aABe \Rightarrow_{rm} aAde \Rightarrow_{rm} aAbcde \Rightarrow_{rm} abbcd e$
 - $a**b**cd e$ is a right sentential form whose handle is $A ::= b$ at position 2
 - $a**A**bcde$ is a right sentential form whose handle is $A ::= Abc$ at position 4
 - Note: some books take the left of the match as the position



Bottom-up parsing

- Details of bottom-up parsing

- The bottom-up parser reconstructs a **reverse rightmost derivation**

- Given the rightmost derivation

$$S \Rightarrow_{rm} \beta_1 \Rightarrow_{rm} \beta_2 \Rightarrow_{rm} \dots \Rightarrow_{rm} \beta_{n-2} \Rightarrow_{rm} \beta_{n-1} \Rightarrow_{rm} \beta_n = w$$

the parser will first discover $\beta_{n-1} \Rightarrow_{rm} \beta_n$, then $\beta_{n-2} \Rightarrow_{rm} \beta_{n-1}$, etc

- Parsing terminates when

- β_1 reduced to s (start symbol, success), or
- No match can be found (syntax error)



Bottom-up parsing

- How do we parse?
 - Key: given what we've already seen and the next input symbol, decide what to do
 - Choices
 - Perform a reduction (**reduce**)
 - Look ahead further (**shift**)
 - Can reduce $A \Rightarrow \beta$ if both followings hold:
 - $A \Rightarrow \beta$ is a valid production
 - $A \Rightarrow \beta$ is a step in *this* rightmost derivation
 - This is known as a ***shift-reduce*** parser



Bottom-up parsing

- Shift-reduce parser
 - Handle-pruning, bottom-up parser
 - Shift-reduce parsers use a stack and an input buffer
 - Key Data structures
 - A stack holding the frontier of the tree
 - Token, nonterminal symbol, states
 - A string with the remaining input
 - Key operations
 - *Reduce* – if the top of the stack is the right side of a handle $A ::= \beta$, pop the right side β and push the left side A
 - *Shift* – push the next input symbol onto the stack
 - *Accept* – announce success
 - *Error* – syntax error discovered



Bottom-up parsing

- Shift-reduce parser process
 - 1. initialize stack with \$ (empty stack)
 - 2. Repeat until the top of the stack is the goal symbol (start symbol) and the input token is \$
 - a) find the handle: if we don't have a handle on top of the stack, shift an input symbol onto the stack
 - b) prune the handle: if we have a handle $A ::= \beta$ on the stack, reduce
 - i) pop β symbols off the stack
 - ii) push A onto the stack

Shift-reduce parser components

Parsing stack	Input	Action
\$	InputString\$	
...	...	
...	...	
\$ StartSymbol	\$	Accept



Bottom-up parsing

- Examples – shift reduce parser

Grammar:

$E' ::= E$

$E ::= E + n \mid n$

Parsing stack	Input	Action
\$	n+n\$	Shift
\$n	+n\$	Reduce $E ::= n$
\$E	+n\$	Shift
\$E+	n\$	Shift
\$E+n	\$	Reduce $E ::= E + n$
\$E	\$	Reduce $E' ::= E$
\$E'	\$	Accept

$$E' \Rightarrow_{rm} E \Rightarrow_{rm} E+n \Rightarrow_{rm} n+n$$



Bottom-up parsing

- Examples – shift reduce parser

Grammar:

$S' ::= S$

$S ::= (S) S \mid \varepsilon$

Parsing stack	Input	Action
\$	() \$	Shift
\$ () \$	Reduce $S ::= \varepsilon$
\$ (S) \$	Shift
\$ (S)	\$	Reduce $S ::= \varepsilon$
\$ (S) S	\$	Reduce $S ::= (S) S$
\$ S	\$	Reduce $S' ::= S$
\$ S'	\$	Accept

$$S' \Rightarrow_{rm} S \Rightarrow_{rm} (S) S \Rightarrow_{rm} (S) \Rightarrow_{rm} ()$$



Bottom-up parsing – self-study slide

- Examples – shift reduce parser

Grammar:

$S ::= aABe$

$A ::= Abc \mid b$

$B ::= d$

Parsing stack	Input	Action
\$	abbcde\$	Shift
\$a	bbbcde\$	Shift
\$ab	bcde\$	Reduce $A ::= b$
\$aA	bcde\$	Shift
\$aAb	cde\$	Shift
\$aAbc	de\$	Reduce $A ::= Abc$
\$aA	de\$	Shift
\$aAd	e\$	Reduce $B ::= d$
\$aAB	e\$	Shift
\$aABe	\$	Reduce $S ::= aABe$
\$S	\$	Accept



Bottom-up parsing

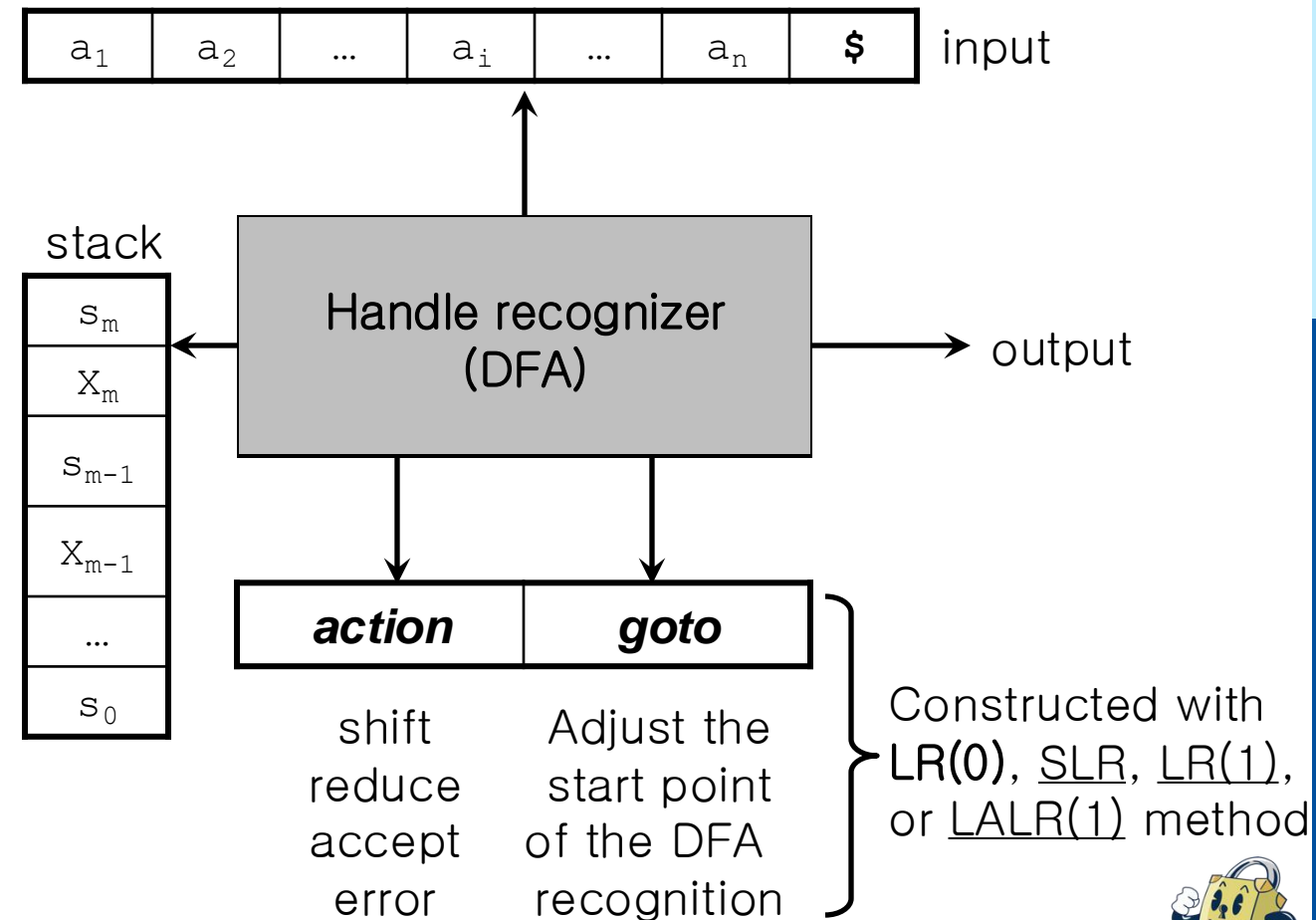
- LR state machine

- Idea

- Build a DFA that recognizes handles

- Is it possible?

- Language generated by a CFG is generally not regular
 - However, language of handles for a CFG is regular
 - So, a DFA can be used to recognize handles
 - Parser reduces when DFA accepts



Bottom-up parsing

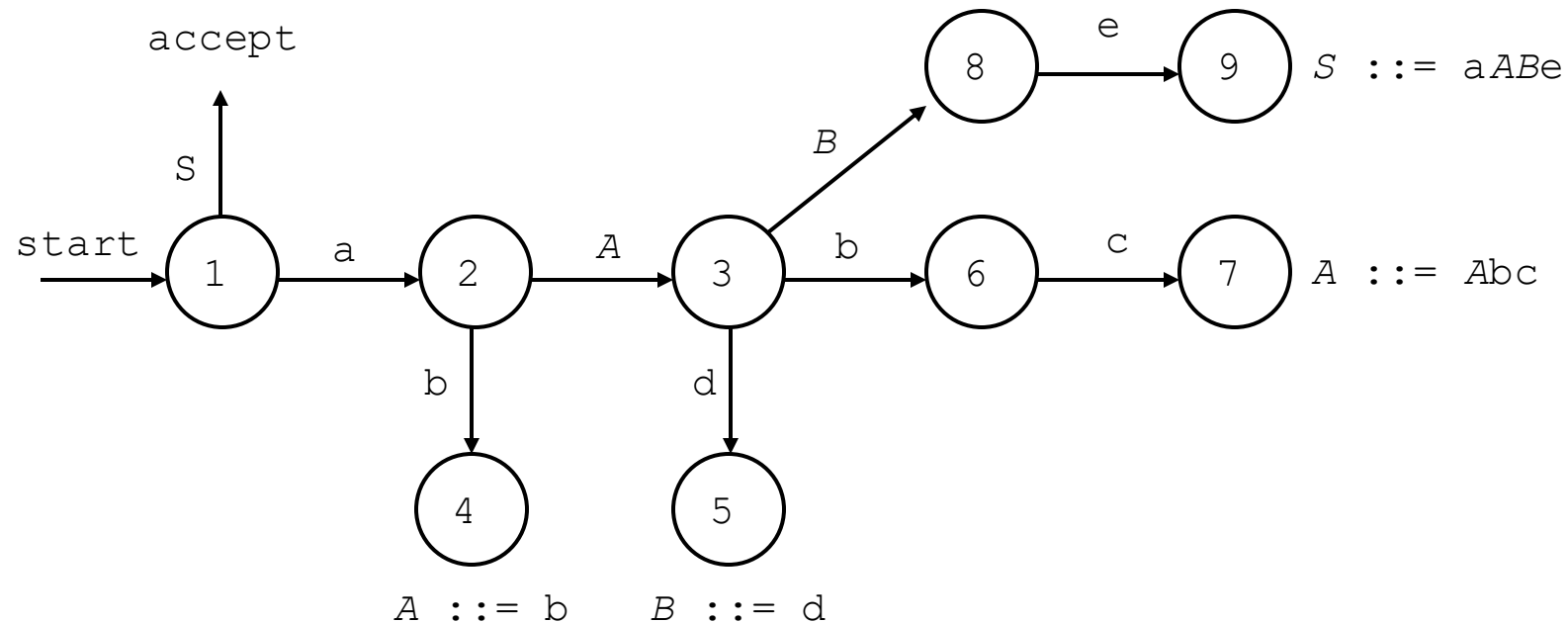
- Example – DFA to recognize handles

Grammar:

$S ::= aABe$

$A ::= Abc \mid b$

$B ::= d$



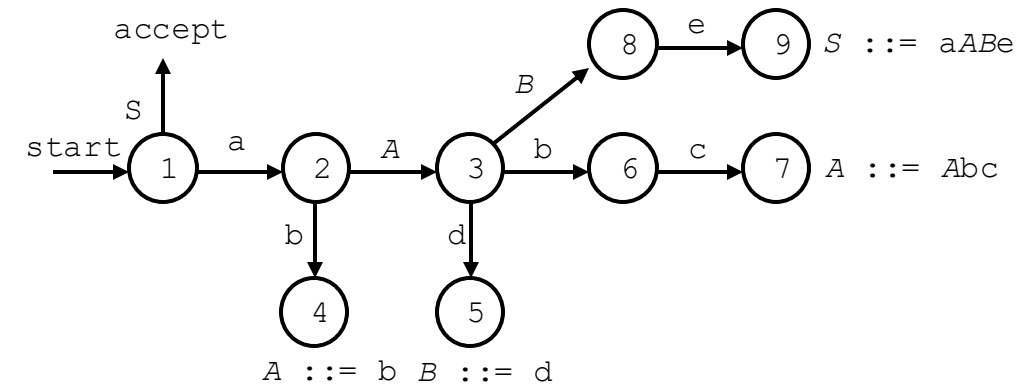
Bottom-up parsing

- Example – DFA to recognize handles

Stack	Input
\$	abbcde\$
\$a	bbcde\$
\$ab	bcde\$
\$aA	bcde\$
\$aAb	cde\$
\$aAbc	de\$
\$aA	de\$
\$aAd	e\$
\$aAB	e\$
\$aABe	\$
\$S	\$

Grammar:

$S ::= aABe$
 $A ::= Abc \mid b$
 $B ::= d$



Bottom-up parsing

- DFA to recognize handles
 - Viable prefix
 - A prefix of a right- sentential form that can appear on the stack of the shift-reduce parser
 - Equivalently, a prefix of a right sentential form that does not continue past the right end of the rightmost handle of that sentential form
 - Idea
 - Construct a **DFA to recognize viable prefixes** given the stack and remaining input
 - Let's ignore how the DFA can be constructed at this moment (later slides will handle it)
 - Perform reductions when we recognize them

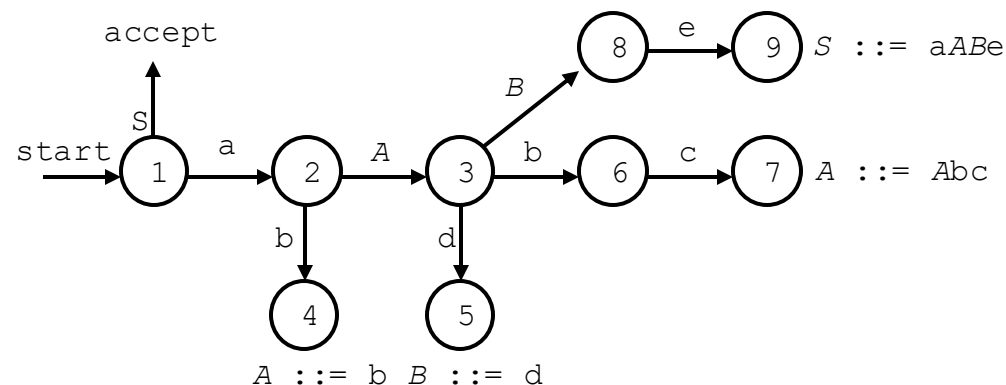


Bottom-up parsing

- Problems in the DFA using viable prefix
 - Cannot perform linear time parsing
 - Way too much backtracking
 - We want the parser to run in time proportional to the length of the input

Grammar:
 $S ::= aABe$
 $A ::= Abc \mid b$
 $B ::= d$

Stack	Input
\$	abbcd e\$
\$a	bcbcd e\$
\$ab	bcde\$
\$aA	bcde\$
\$aAb	cde\$
\$aAbc	de\$
\$aA	de\$
\$aAd	e\$
\$aAB	e\$
\$aABe	\$
\$S	\$



Bottom-up parsing

- Problems in the DFA using viable prefix

- What's the reason?

- After a reduction, the contents of the stack are the same as before except for the new non-terminal on top
 - Scanning the stack will take us through the same transitions as before until the last one
 - If we record state numbers on the stack, we can go directly to the appropriate state when we pop the right-hand side of a production from the stack

- Change the stack to contain pairs of states and symbols from the grammar

$\$s_0X_1S_1X_2S_2 \dots X_nS_n$

where state s_0 represents the accept state (s_0 will be added depending on presentations)

Observation: in an actual parser, only the state numbers need to be pushed, since they implicitly contain the symbol information, but for explanations, it's clearer to use both



Bottom-up parsing

- LR(0) parsing table
 - A shift-reduce parser's DFA can be encoded in two tables (*action* and *goto table*)
 - One row for each state
 - *Action table* encodes what to do given the current state and the next input symbol
 - *Goto table* encodes the transitions to take after a reduction



Bottom-up parsing

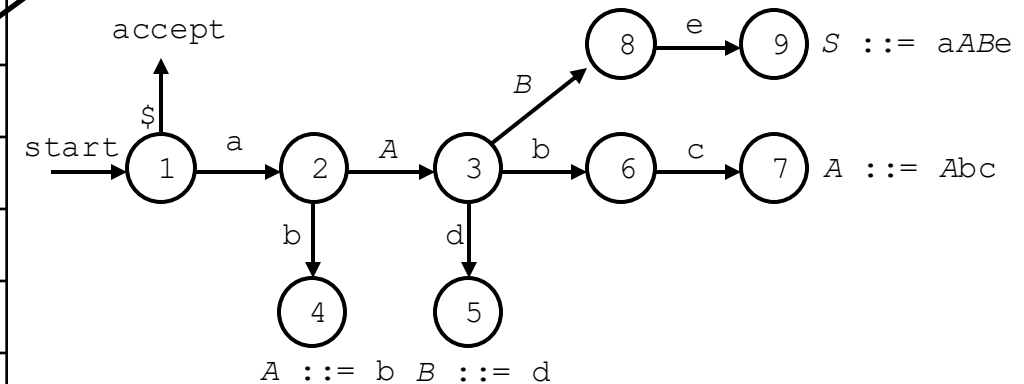
- Example – LR(0) parsing table

State	<i>action</i>						<i>goto</i>		
	a	b	c	d	e	\$	A	B	S
1	s2					acc			g1
2		s4					g3		
3		s6		s5				g8	
4	r3	r3	r3	r3	r3	r3			
5	r4	r4	r4	r4	r4	r4			
6			s7						
7	r2	r2	r2	r2	r2	r2			
8					s9				
9	r1	r1	r1	r1	r1	r1			

Grammar:

1. $S ::= aABe$
2. $A ::= Abc$
3. $A ::= b$
4. $B ::= d$

Shift & goto 4
Reduce by
production #1



Grammar:

- 1. S ::= aABe
- 2. A ::= Abc
- 3. A ::= b
- 4. B ::= d

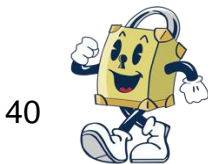
State	action						goto		
	a	b	c	d	e	\$	A	B	S
1	s2					acc			g1
2		s4					g3		
3		s6		s5				g8	
4	r3	r3	r3	r3	r3	r3			
5	r4	r4	r4	r4	r4	r4			
6			s7						
7	r2	r2	r2	r2	r2	r2			
8					s9				
9	r1	r1	r1	r1	r1	r1			

Stack

\$ 1
\$ 1 a 2
\$ 1 a 2 b 4
\$ 1 a 2 A 3
\$ 1 a 2 A 3 b 6
\$ 1 a 2 A 3 b 6 c 7
\$ 1 a 2 A 3
\$ 1 a 2 A 3 d 5
\$ 1 a 2 A 3 B 8
\$ 1 a 2 A 3 B 8 e 9
\$ 1 S 1

Input

abbcde\$
bbcde\$
bcde\$
bcde\$
cde\$
de\$
de\$
e\$
e\$
\$
\$



Bottom-up parsing

- Action table in LR(0)
 - Given the current state and input symbol, the main possible actions are
 - **Shift** (s_i): shift the input symbol and state i onto the stack (i.e., shift and move to state i)
 - **Reduce** (r_j): reduce using grammar production j
 - The production number tells us how many $\langle \text{symbol}, \text{state} \rangle$ pairs to pop off the stack
 - **Accept**: accept the string
 - **Noop** (no transition): syntax error during parsing
 - The parser will detect an error as soon as possible on a left-to-right scan
 - A real compiler needs to produce an error message, recover, and continue parsing when this happens

State	action						goto		
	a	b	c	d	e	\$	A	B	S
1	s2					acc			g1
2		s4					g3		
3		s6		s5				g8	
4	r3	r3	r3	r3	r3	r3			
5	r4	r4	r4	r4	r4	r4			
6			s7						
7	r2	r2	r2	r2	r2	r2			
8					s9				
9	r1	r1	r1	r1	r1	r1			



Bottom-up parsing

Grammar:

1. $S ::= aABe$
2. $A ::= Abc$
3. $A ::= b$
4. $B ::= d$

• Action table in LR(0)

- Configuration (= LR parser state): $(\underbrace{s_0X_1s_1X_2s_2 \dots X_ms_m}_{stack}, \underbrace{a_ia_{i+1} \dots a_n\$}_{input})$

- If $\text{action}(s_m, a_i) = \text{shift } s$ then push a_i , push s , and advance input: $(s_0X_1s_1X_2s_2 \dots X_ms_m \mathbf{a_i s}, \mathbf{a_{i+1}} \dots a_n\$)$
- If $\text{action}(s_m, a_i) = \text{reduce } A ::= \beta$ and $\text{goto}(s_{m-r}, A) = s$ with $r = |\beta|$ then pop $2r$ symbols, push A , and push s : $(s_0X_1s_1X_2s_2 \dots \mathbf{X_{m-r} s_{m-r} A s}, \mathbf{a_i a_{i+1}} \dots a_n\$)$
- If $\text{action}(s_m, a_i) = \text{accept}$ then stop
- If $\text{action}(s_m, a_i) = \text{error}$ (no actions are specified in the table), then attempt recovery

Stack	Input
\$ 1	abbcde\$
\$ 1 a 2	bbcdde\$
\$ 1 a 2 b 4	bcde\$
\$ 1 a 2 A 3	bcde\$
\$ 1 a 2 A 3 b 6	cde\$
\$ 1 a 2 A 3 b 6 c 7	de\$
\$ 1 a 2 A 3	de\$
\$ 1 a 2 A 3 d 5	e\$
\$ 1 a 2 A 3 B 8	e\$
\$ 1 a 2 A 3 B 8 e 9	\$
\$ 1 S 1	\$

State	action						goto		
	a	b	c	d	e	\$	A	B	S
1	s2					acc			g1
2		s4					g3		
3		s6		s5				g8	
4	r3	r3	r3	r3	r3	r3			
5	r4	r4	r4	r4	r4	r4			
6			s7						
7	r2	r2	r2	r2	r2	r2			
8					s9				
9	r1	r1	r1	r1	r1	r1			



Bottom-up parsing

- LR(0) states and transitions
 - Each state encodes
 - The set of all possible productions that we could be looking at, given the current state of the parse, and **where** we are in the right-hand side of each of those productions
 - We introduce “item”
 - Item
 - An item is a production with a dot in the right-hand side
 - Example: Items for production
$$\begin{aligned}A &::= XY \\A &::= .XY \\A &::= X.Y \\A &::= XY.\end{aligned}$$
 - Idea: The dot represents a position in the production



Bottom-up parsing

- LR(0) states and transitions
 - Closure operation
 - Suppose I is a set of items for G , then $\text{closure}(I)$ is defined as
 - Every item in I is added to $\text{closure}(I)$
 - If $A ::= \alpha \cdot B \beta \in \text{closure}(I)$ & $B ::= \gamma$ exists, then add $B ::= \cdot \gamma$ to $\text{closure}(I)$

- Example

- $E' ::= E$
 - $E ::= E + T \mid T$
 - $T ::= T * F \mid F$
 - $F ::= (E) \mid \text{id}$



Start with $I = \{ E' ::= \cdot E \}$
then

$\text{closure}(I) = \{$

$E' ::= \cdot E$	
$E ::= \cdot E + T$	
$E ::= \cdot T$	
$T ::= \cdot T * F$	
$T ::= \cdot F$	
$F ::= \cdot (E)$	
$F ::= \cdot \text{id}$	$\}$



Bottom-up parsing

- LR(0) states and transitions
 - Goto operation (with closure)
 - Suppose I be a set of items and x be a grammar symbol, then $\text{goto}(I, x)$ is the closure of the set of all items $[A ::= \alpha x \cdot \beta]$ such that $A ::= \alpha \cdot x \beta \in I$
 - Example
 $I = \{E' ::= E \cdot,$
 $E ::= E \cdot + T\}$
then
 $\text{goto}(I, +) = \{$
 $E ::= E + \cdot T,$
 $T ::= \cdot T * F,$
 $T ::= \cdot F,$
 $F ::= \cdot (E),$
 $F ::= \cdot id \quad \}$



Bottom-up parsing

- LR(0) states and transitions
 - Example grammar

S'	$::=$	S	$\$$
S	$::=$	$($	L
S	$::=$	x	
L	$::=$	S	
L	$::=$	L	$,$
			S

- We add a production s' with the original start symbol followed by end of file ($\$$)



Bottom-up parsing

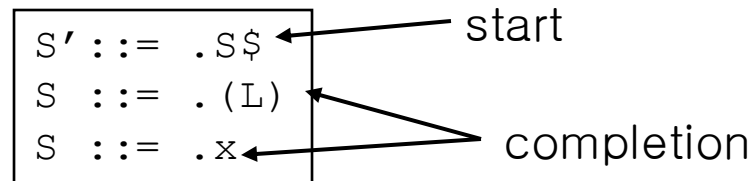
- LR(0) states and transitions

- Initially

- Stack is empty
- Input is the righthand side of s' , i.e., $s\$$
- Initial configuration is $[s' ::= .s\$]$
- But, since position is just before s , we are also just before anything that can be derived from s

- A state is just a set of items

- Start: an initial set of items



- Completion (or closure): additional productions whose lefthand side appears to the right of the dot in some item already in the state

Grammar:

$S' ::= S \$$

$S ::= (L)$

$S ::= x$

$L ::= S$

$L ::= L , S$



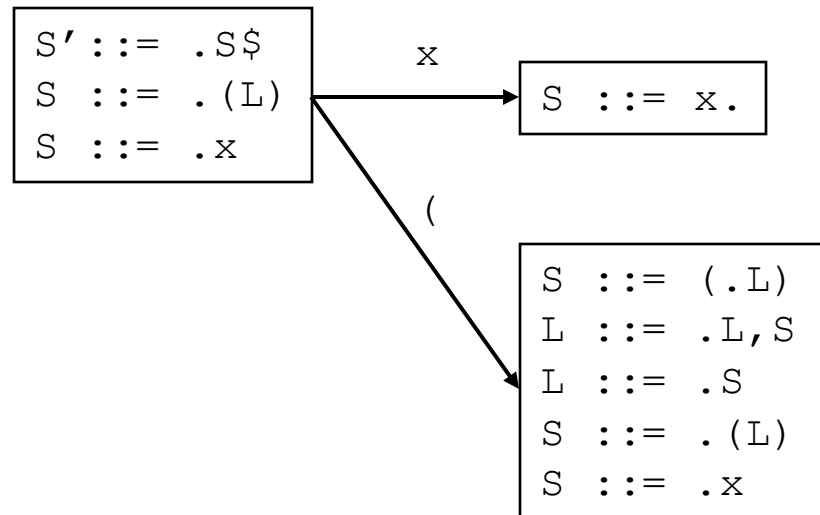
Bottom-up parsing

- LR(0) states and transitions – shift actions

- To shift past the x , add a new state with the appropriate item(s)
 - In this case, a single item; the closure adds nothing
 - This state will lead to a reduction since no further shift is possible
- If we shift past the $($, we are at the beginning of L
- The closure adds all productions that start with L , which requires adding all productions starting with S

Grammar:

$S' ::= S \$$
 $S ::= (L)$
 $S ::= x$
 $L ::= S$
 $L ::= L , S$

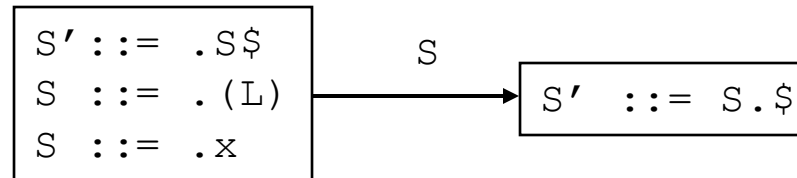


Bottom-up parsing

- LR(0) states and transitions – goto actions
 - Once we reduce S , we'll pop the rhs from the stack exposing the first state
 - Add a *goto* transition on S for this

Grammar:

$S' ::= S \$$
 $S ::= (L)$
 $S ::= x$
 $L ::= S$
 $L ::= L , S$



Bottom-up parsing

- LR(0) states and transitions – basic operations (closure and goto)

- `closure(S)`
 - Adds all items implied by items already in `S`

closure(S)

repeat

for any item $[A ::= \alpha.X\beta]$ in `S`

for all productions $X ::= \gamma$

add $[X ::= .\gamma]$ to `S`

until `S` does not change

return `S`

- `goto(I, X)`
 - `I` is a set of items
 - `X` is a grammar symbol (terminal or non-terminal)
 - Goto moves the dot past the symbol `X` in all appropriate items in set `I`

goto(I, X)

set `new` to the empty set

for each item $[A ::= \alpha.X\beta]$ in `I`

add $[A ::= \alpha X.\beta]$ to `new`

return `closure(new)`

It may create a new state, or may return an existing one



Bottom-up parsing

- Building the LR(0) parser – LR(0) construction
 - First, augment the grammar with an extra start production $s' ::= s\$$
 - Let T be the set of states
 - Let E be the set of edges
 - Initialize T to Closure ($[s' ::= .s\$]$)
 - Initialize E to empty
 - Note: for symbol $\$$, we don't compute $\text{goto}(I, \$)$; instead, we make this an *accept* action.

```
repeat
  for each state I in T
    for each item  $[A ::= \alpha.X\beta]$  in I
      Let new be  $\text{goto}(I, X)$ 
      Add new to T if not present
      Add  $I \xrightarrow{X} \text{new}$  to E if not present
until E and T do not change in this iteration
```



Bottom-up parsing

- Building the LR(0) parser – LR(0) reduce actions

```
Initialize R to empty
for each state I in T
  for each item [A ::=  $\alpha$ .] in I
    add (I, A ::=  $\alpha$ ) to R
```



Bottom-up parsing

- Building the LR(0) parser
 - For each edge $i \xrightarrow{x} j$
 - if x is a terminal, put s_j in column x , row i of the action table (shift to state j)
 - If x is a non-terminal, put g_j in column x , row i of the goto table
 - For each state i containing an item $[S' ::= S.\$]$, put accept in column $\$$ of row i
 - Finally, for any state containing $[A ::= \gamma.]$ put action r_n in every column of row i in the table, where n is the production number



Bottom-up parsing

- Examples – DFA with items (LR parser)

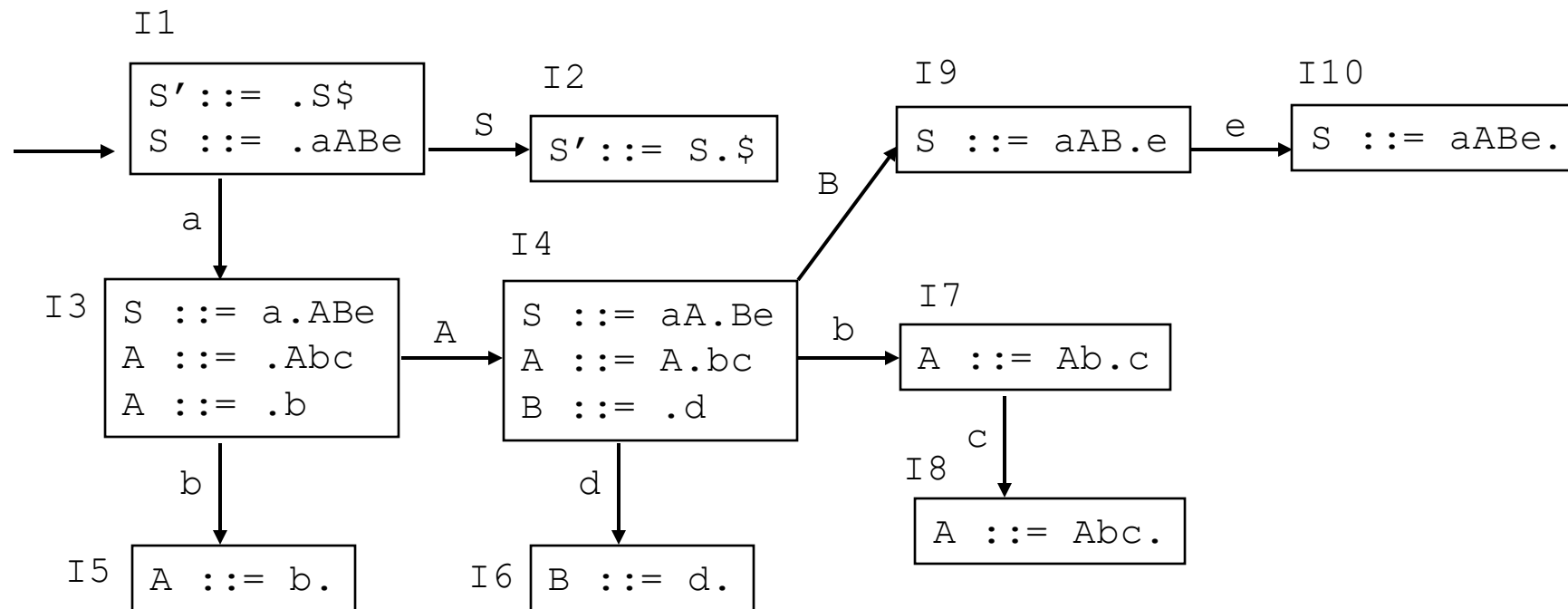
Grammar:

$S' ::= S\$$

$S ::= aABe$

$A ::= Abc \mid b$

$B ::= d$



Bottom-up parsing

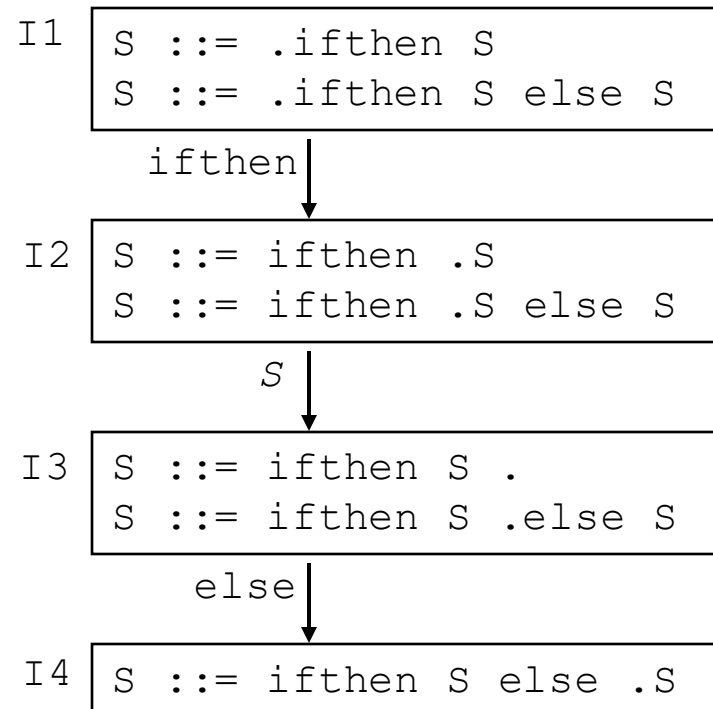
- Problems with grammars
 - Grammars can cause to problems when constructing the parsing table
 - Shift-reduce conflicts
 - Reduce-reduce conflicts



Bottom-up parsing

- Shift-reduce conflicts
 - Situation: both a shift and a reduce are possible at a given point in the parse
 - Well known example: if-else statement

$S ::= \text{ifthen } S \mid \text{ifthen } S \text{ else } S$



Note:

$S ::= \cdot \text{ifthen}$
items for I2-I4 states are
ignored to save space

State I3 has a shift-reduce conflict

1. Can shift past else into state 4 (s4)
2. Can reduce (r1) $S ::= \text{ifthen } S$



Bottom-up parsing

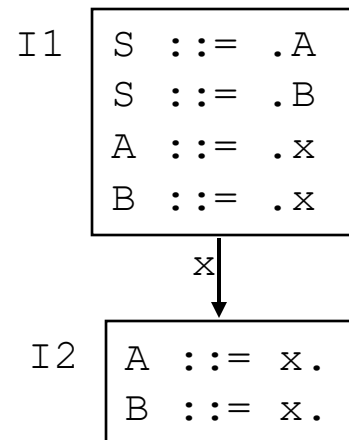
- Fix shift–reduce conflicts
 - Change the grammar
 - In the Java reference grammar and others
 - Use a parse tool with a “*longest match*” rule
 - If there is a conflict, choose to shift instead of reduce
 - Does exactly what most parsers want for if–else case
 - Guideline
 - A few shift–reduce conflicts are fine
 - But be sure they do what you hope to provide



Bottom-up parsing

- Reduce-reduce conflicts
 - Situation: two different reductions are possible in the given state
 - Reduce-reduce conflicts indicate a serious problem with the grammar
 - Example:

$S ::= A \mid B$
 $A ::= x$
 $B ::= x$



State I2 has a reduce-reduce conflict
(r3, r4)



Bottom-up parsing

- Fix reduce-reduce conflicts
 - Use a different kind of parser generator that takes *lookahead* information into account when constructing the states
 - LR(1) instead of SLR for example – We will discuss the difference later
 - Most practical tools use this information
- Fix the grammar



Bottom-up parsing

- Example – fix reduce–reduce conflicts

- Suppose the grammar separates arithmetic and bool expressions, which create reduce–reduce conflicts

```
expr ::= aexp | bexp
aexp ::= aexp * aident | aident
bexp ::= bexp && bident | bident
aident ::= id
bident ::= id
```

- How to fix?

- Possible solution 1: merge `aident` and `bident` into a single non-terminal
- Possible solution 2: use `id` in place of `aident` and `bident` everywhere they appear
- Remarkable issues related to two above solutions
 - Both are covering grammars that include some programs that are not generated by the original grammar
 - Use the type checker or other static semantic analysis to filter out illegal programs



Bottom-up parsing

- Bottom-up parsing and LR parsers
 - Bottom-up parsing (LR(k) parsing)
 - Left-to-right scanning of **R**ight most derivation with k symbol lookahead ($k = 1$, if not specified)
 - Benefits of LR Parser
 - LR parser virtually covers all CFG programming languages
 - No backtracking
 - LR parser can detect syntactic errors ASAP
 - LR can recognize all grammars accepted by LL
 - Disadvantage
 - It requires a lot of efforts to construct the parser



Questions?