# Compiler – 3–3. Push Down Automata –

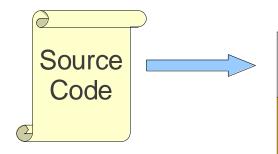
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#### Where are we?



Lexical Analysis

Syntax Analysis

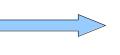
Semantic Analysis

IR Generation

IR Optimization

**Code Generation** 

Optimization



Machine Code





#### Outlines

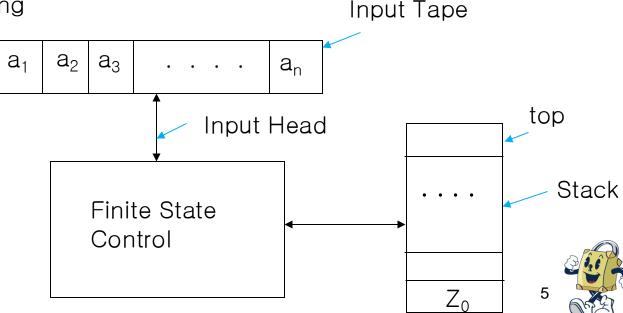
- Role of the syntax analysis (parser)
- Context free grammar
- Push down automata
- Top-down parsing
- Bottom-up parsing
- Simple LR
- More powerful LR parsers and other issues in parsers
- Syntactic error handler
- Parser generator







- Pushdown automata (PDA)
  - A Pushdown Automata (PDA) is ε-NFA with a stack
  - On a transition, PDA
    - Consumes an input symbol (or stays by ε-move)
    - Goes to a new state (or stays in the old state)
    - · Replaces the top of stack by any string
      - Does nothing
      - · Pops the stack, or
      - Pushes a string onto the stack





#### Formal definition

• PDA M = (Q,  $\Sigma$ ,  $\Gamma$ ,  $\delta$ ,  $q_0$ ,  $Z_0$ , F) where

Q: a finite set of states

 $\Sigma$ : a finite set of input symbols

Γ: a finite set of stack symbols

 $q_0 \subseteq Q$ : a start state

 $Z_0$  ( $\subseteq \Gamma$ ): stack start symbol

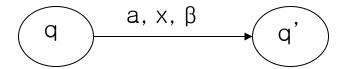
 $F \subseteq Q$ : a finite set of final states

δ: transition function is defined as: Q X ( $\Sigma \cup \{\epsilon\}$ ) X  $\Gamma \rightarrow$  finite subsets of Q X  $\Gamma *$ 

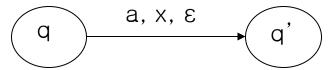


#### Transition functions

- Transition Function  $\delta(q, a, x) = (q', \beta)$  where  $q, q' \in Q$ ,  $a \in \Sigma$ ,  $x \in \Gamma$ ,  $\beta \in \Gamma^*$
- Case 1: If  $a \neq \varepsilon$  and  $\beta \neq \varepsilon$ , then replace x by  $\beta$ .

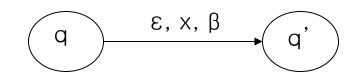


• Case 2: If  $a \neq \epsilon$  and  $\beta = \epsilon$ , then x is popped.



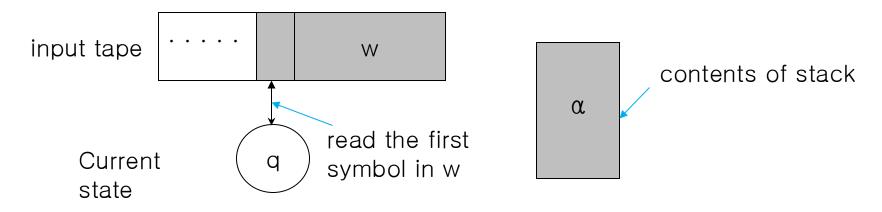
• Case 3: If  $a = \varepsilon$ , then  $\varepsilon$ -move. (= head stays)





#### Configuration

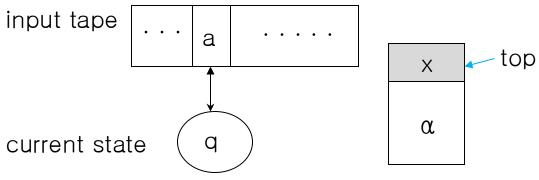
• "Configuration" is defined as follows: (q, w,  $\alpha$ ) where q  $\in$  Q, w  $\in$   $\Sigma^*$ ,  $\alpha \in \Gamma^*$ 

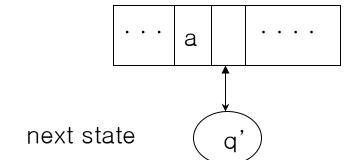


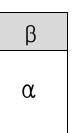
- If  $w = \varepsilon$ , then  $(q, \varepsilon, \alpha)$ : read all w
- If  $\alpha = \varepsilon$ , then  $(q, w, \varepsilon)$ : stack is empty
- If  $w = \varepsilon$  and  $\alpha = \varepsilon$ , then  $(q, \varepsilon, \varepsilon)$ : read all w, and stack is empty



- Move (⊢)
  - Move (⊢) is defined as follows:
    - If  $\delta(q, a, x) = (q', \beta)$ , then  $(q, aw, x\alpha) \vdash (q', w, \beta\alpha)$  where  $a \in \Sigma$ ,  $w \in \Sigma^*$ ,  $x \in \Gamma$ ,  $\alpha$ ,  $\beta \in \Gamma^*$









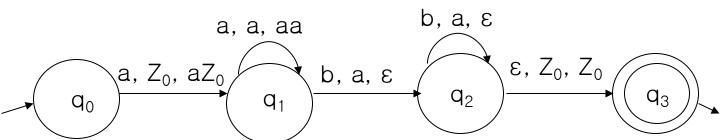
#### Example

Consider the following PDA M

M = ({q<sub>0</sub>, q<sub>1</sub>, q<sub>2</sub>, q<sub>3</sub>}, {a, b}, {a}, δ, q<sub>0</sub>, Z<sub>0</sub>, {q<sub>3</sub>})  

$$\delta(q_0, a, Z_0) = (q_1, a Z_0)$$
: Push a  
 $\delta(q_1, a, a) = (q_1, aa)$ : Push a's  
 $\delta(q_1, b, a) = (q_2, \epsilon)$ : See first b and Pop a  
 $\delta(q_2, b, a) = (q_2, \epsilon)$ : Pop  
 $\delta(q_2, \epsilon, Z_0) = (q_3, Z_0)$ : Go to final state

- Consider w = aabb
  - $(q_0, aabb, Z_0) \vdash (q_1, abb, aZ_0) \vdash (q_1, bb, aaZ_0) \vdash (q_2, b, aZ_0) \vdash (q_2, \epsilon, Z_0) \vdash (q_f, \epsilon, Z_0)$
  - w = aabb is accepted
- Consider w = abb
  - $(q_0, abb, Z_0) \vdash (q_1, bb, aZ_0) \vdash (q_2, b, Z_0)$
  - w = abb is rejected
- Consider w = aab
  - $(q_0, aab, Z_0) \vdash (q_1, ab, aZ_0) \vdash (q_1, b, aaZ_0) \vdash (q_2, \epsilon, aZ_0)$
  - w = aab is rejected





- Deterministic PDA (DPDA)
  - PDA M = (Q,  $\Sigma$ ,  $\Gamma$ ,  $\delta$ ,  $q_0$ , z, F) is **deterministic** if
    - $\delta(q, a, x)$  has at most one move for any  $q \in Q$ 
      - (i.e.,  $\delta(q, a, x)$  is empty or has only one move)
    - If  $\delta(q, a, x)$  is not empty for some  $a \in \Sigma$ , then  $\delta(q, \epsilon, x)$  must be empty
  - Example
    - $\delta(q, a, x) = (p, aa), \delta(q, \epsilon, x) = (r, aa)$  is not DPDA



- Example Deterministic PDA (DPDA)
  - L = {  $wcw^R$  |  $w \in \{a, b\}*$  } (where c is a center mark)

$$M = (\{q_0, q_1, q_f\}, \{a, b, c\}, \{a, b\}, \delta, q_0, Z_0, \{q_f\})$$

$$\delta(q_0, a, Z_0) = (q_0, aZ_0)$$
  
 $\delta(q_0, b, Z_0) = (q_0, bZ_0)$   
 $\delta(q_0, a, a) = (q_0, aa)$  Push  
 $\delta(q_0, a, b) = (q_0, ab)$   
 $\delta(q_0, b, a) = (q_0, ba)$   
 $\delta(q_0, b, b) = (q_0, bb)$ 

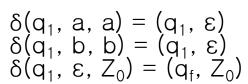
Push a's or b's

----b, a, ba b, b, bb

a,  $Z_0$ ,  $aZ_0$ b,  $Z_0$ ,  $bZ_0$ a, a, aa a, b, ab

ba a, a, e

, bb b, ε

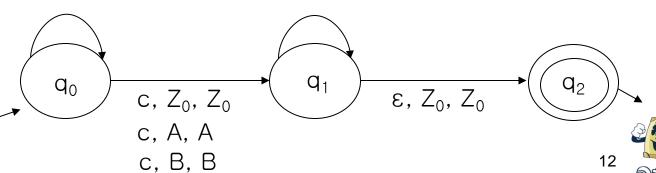


 $\delta(q_0, c, Z_0) = (q_1, Z_0)$ 

 $\delta(q_0, c, a) = (q_1, a)$  $\delta(q_0, c, b) = (q_1, b)$ 

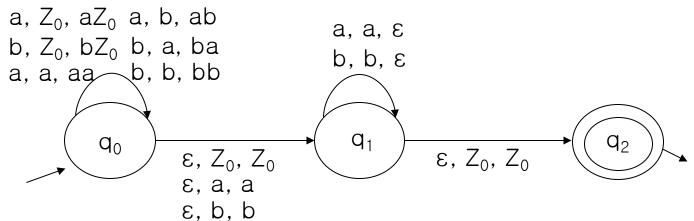
Match and pop

Change state



#### Nondeterministic PDA

- Consider L =  $\{ w \in \{a, b\} * \mid ww^{R} \}$ 
  - Guess that you are reading w, then stay in state 0 and push the input symbols onto the stack
  - Guess that you are in the middle of ww<sup>R</sup>, then go to state 1
  - You are now reading the head of  $ww^R$ , then compare it to the top of the stack. If they match, pop the stack, and remain in state 1, and then go to sleep If they don't match
  - If the stack is empty, then go to state 2, and accept



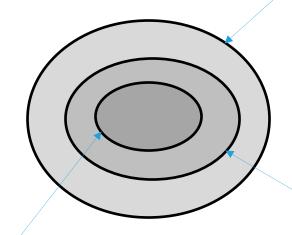


#### NPDA vs DPDA

- A language accepted by Nondeterministic PDA is called CFL
  - Examples:  $L = \{ww^R\}, L = \{a^nb^{2n}\}$  $\cup \{a^{2n}b^n\}$
- A language accepted by DPDA is called Deterministic CFL (DCFL)
  - Examples:  $L = \{wcw^R\}, L = \{a^nb^{2n}\}$
- PDA is inherently nondeterministic, and NPDA is more powerful than DPDA
- There are CFL's that can not be accepted by DPDA
  - Examples:  $L = \{ww^R\}, L = \{a^nb^{2n}\}\$  $\cup \{a^{2n}b^n\}$

CFL: PDA

(Example:  $a^nb^{2n} \cup a^{2n}b^n$ ,  $ww^R$ )



Regular: FA

(Example: a\*b\*)

DCFL: DPDA

(Example: anbn, wcw?)



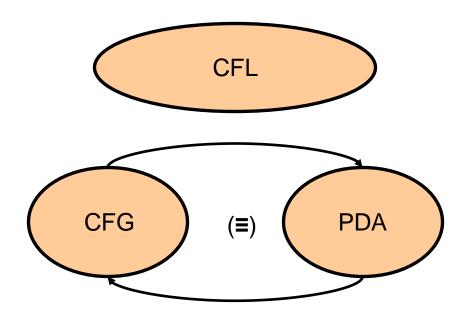




- Power of DPDA
  - Most parsers (in practice) work as DPDA
    - Most programming languages can be described by DCFL
    - There are useful deterministic CFG's
    - Simple (s), LL, LR grammars
  - Every language accepted by DPDA has an unambiguous CFG
    - I.e., Every DCFL is unambiguous



- Equivalence of PDA and CFG
  - A language is generated by a CFG iff it is accepted by a PDA





## Questions?



- PDA accepted by a final state
  - An PDA  $M_f$  accepts a string  $w = a_1 a_2 \cdots a_n$  by a *final state* if there is path that
    - Begins at a start state
    - Ends at a final state
    - Has a sequence of labels a<sub>1</sub>a<sub>2</sub>···a<sub>n</sub>

Stack contents are whatever path:  $a_1a_2\cdots a_n$  final state start state



- PDA accepted by an empty stack
  - An PDA  $M_{\epsilon}$  accepts a string  $w = a_1 a_2 \cdots a_n$  by an *empty stack* if there is path that
    - Begins at a start state
    - Ends at any state
    - Has a sequence of labels a<sub>1</sub>a<sub>2</sub>···a<sub>n</sub>
    - Stack must be empty finally



- Context free language
  - A language accepted by PDA M<sub>f</sub> by final state
    - $L(M_f) = \{ w \in \Sigma^* | (q_0, w, Z_0) \vdash (p, \epsilon, \alpha) \text{ for } p \in F \}$
    - L(M<sub>f</sub>) is called "Context Free Language"
    - Example:  $L(M) = \{a^nb^n \mid n \ge 1\}$
  - A language accepted by PDA M<sub>ε</sub> by *empty stack*
    - $L(M_{\epsilon}) = \{ w \in \Sigma^* | (q_0, w, Z_0) \vdash (p, \epsilon, \epsilon) \text{ for } p \in Q \}$
    - L(M<sub>E</sub>) is also called "Context Free Language"
  - PDA  $M_f = PDA M_{\epsilon}$



- Examples: construct PDA for the following languages:
  - $L = \{a^{2n}b^n \mid n \ge 0\}$
  - $L = \{a^nb^{2n} \mid n \ge 0\}$
  - $L = \{a^m b^n \mid m > n, m, n \ge 0\}$
  - $L = \{a^m b^n \mid m \neq n, m, n \geq 0\}$
  - $L = \{a^m b^m c^n \mid m, n \ge 0\}$
  - $L = \{a^{m+n}b^mc^n \mid m, n \ge 0\}$
  - $L = \{a^m b^n c^n d^m \mid m, n \ge 0\}$
  - $L = \{w \in \{a, b\}^* \mid w \text{ is not a palindrome.} \}$
  - L = {w  $\in$  {a, b}\* | NUMBER<sub>a</sub>(w) = NUMBER<sub>b</sub>(w)}



- Convert CFG into PDA
  - Given CFG G, we construct a PDA that simulates leftmost derivations
  - Let  $xA\beta \Rightarrow x\alpha\beta$ . Then, PDA
    - Consumes input x by placing  $A\beta$  on the stack, and then,
    - It pops A and pushes  $\alpha$  by  $\epsilon$ -move
  - PDA goes non-deterministically from  $(q, y, A\beta)$  to  $(q, y, \alpha\beta)$
  - Let G = (V, T, S, P) be a CFG, then we construct PDA M = ({q}, T, T  $\cup$  V,  $\delta$ , S, q ) as follows:
    - If A  $\rightarrow \alpha \in P$ , then  $\delta(q, \epsilon, A) = (q, \alpha)$  (= Replace A by  $\alpha$  in stack by  $\epsilon$ -move.)
    - $\delta(q, X, X) = (q, \epsilon)$  for all  $X \in T$  (= If top symbol X is matched with input symbol X, erase it)
  - L(G) = {w | S  $\Rightarrow$  w and w  $\in$  T\*} iff L(M) = {w  $\in$  S\*| (q, w, S)  $\vdash$  (q,  $\epsilon$ ,  $\epsilon$ )}



Example – convert CFG into PDA

w = a + a \* a

Leftmost Derivation

$$E \Rightarrow E + T \Rightarrow T + T \Rightarrow F + T \Rightarrow a + T$$
  
\Rightarrow a + T \* F \Rightarrow a + F \* F \Rightarrow a + a \* a

PMA M = ({q}, {\*, +, (, ), a}, V 
$$\cup$$
 T,  $\delta$ , E, q )  $\delta$ (q,  $\epsilon$ , E) = {(q, E + T), (q, T)}  $\delta$ (q,  $\epsilon$ , T) = (q, T \* F), (q, F)}  $\delta$ (q,  $\epsilon$ , F) = (q, ( E )), (q, a)}  $\delta$ (q, X, X) = {(q,  $\epsilon$ )} for all X  $\in$  T

PDA M: Simulate leftmost derivation.

(q, a+a\*a, E) \( \) (q, a+a\*a, E+T) \( \) (q, a+a\*a, T+T) \( \) (q, a+a\*a, F+T) \( \) (q, a+a\*a, a+T) \( \) (q, a\*a, T) \( \) (q, a\*a, T\*F)

$$\vdash$$
 (q, a\*a, F\*F)  $\vdash$  (q, a\*a, a\*F)  $\vdash$  (q, \*a, \*F)

$$\vdash (q, a, F) \vdash (q, a, a) \vdash (q, \varepsilon, \varepsilon)$$



## Questions?

