

# 1 Optimality conditions for the sedimentation equations

The relevant part of the equation is:

$$\nabla \cdot \left( \rho \nabla \frac{\delta F[\rho]}{\delta \rho} \right) = \frac{1}{\beta} \left( \frac{\nabla^2 \rho}{1 - \eta} + \nabla \rho \cdot \nabla \frac{(3 - 2\eta)}{(1 - \eta)^2} - \rho \nabla^2 \frac{\eta - 2}{(\eta - 1)^2} \right),$$

where  $\eta = a\rho$  and  $a = \pi\sigma^2/4$ . Consider:

$$\begin{aligned} F_1(\rho) &= \nabla^2 \rho \frac{1}{1 - a\rho} \\ F_2(\rho) &= \nabla \rho \cdot \nabla \left( \frac{3 - 2a\rho}{(1 - a\rho)^2} \right) \\ F_3(\rho) &= \rho \nabla^2 \left( \frac{a\rho - 2}{(a\rho - 1)^2} \right) \end{aligned}$$

Then

$$F_1(\rho + h) - F_1(\rho) = \nabla(\rho + h) \frac{1}{1 - a(\rho + h)} - \nabla \rho \frac{1}{1 - a\rho}$$

Using the expansion:

$$\frac{1}{c - x} = \frac{1}{c} + \frac{1}{c^2}x + O(x^2),$$

where  $c = 1 - a\rho$ , we get:

$$\begin{aligned} F_1(\rho + h) - F_1(\rho) &= \nabla^2(\rho + h) \left( \frac{1}{1 - a\rho} + \frac{a}{(1 - a\rho)^2}h \right) - \nabla^2 \rho \frac{1}{1 - a\rho} \\ &= \nabla^2 h \left( \frac{1}{1 - a\rho} \right) + \nabla^2 \rho \left( \frac{a}{(1 - a\rho)^2}h \right) \end{aligned}$$

For  $F_2$  we consider the expansion:

$$\frac{1}{(c - x)^2} = \frac{1}{c^2} + \frac{2}{c^3}x + O(x^2),$$

and get:

$$\begin{aligned} F_2(\rho + h) - F_2(\rho) &= \nabla(\rho + h) \cdot \nabla \left( \frac{3 - 2a(\rho + h)}{(1 - a(\rho + h))^2} \right) - \nabla \rho \cdot \nabla \left( \frac{3 - 2a\rho}{(1 - a\rho)^2} \right) \\ &= \nabla(\rho + h) \cdot \nabla \left( \frac{3 - 2a(\rho + h)}{(1 - a\rho)^2} + \frac{3 - 2a(\rho + h)}{(1 - a\rho)^3}2ah \right) - \nabla \rho \cdot \nabla \left( \frac{3 - 2a\rho}{(1 - a\rho)^2} \right) \\ &= \nabla h \cdot \nabla \left( \frac{3 - 2a\rho}{(1 - a\rho)^2} \right) + \nabla \rho \cdot \nabla \left( h \left( \frac{-2a}{(1 - a\rho)^2} + \frac{6a - 4a^2\rho}{(1 - a\rho)^3} \right) \right) \\ &= \nabla h \cdot \nabla \left( \frac{3 - 2a\rho}{(1 - a\rho)^2} \right) + (\nabla h \cdot \nabla \rho) \left( \frac{-2a}{(1 - a\rho)^2} + \frac{6a - 4a^2\rho}{(1 - a\rho)^3} \right) \\ &\quad + h \nabla \rho \cdot \nabla \left( \frac{-2a}{(1 - a\rho)^2} + \frac{6a - 4a^2\rho}{(1 - a\rho)^3} \right) \end{aligned}$$

Finally, we have:

$$\begin{aligned}
F_3(\rho + h) - F_3(\rho) &= (\rho + h) \nabla^2 \left( \frac{a(\rho + h) - 2}{(a(\rho + h) - 1)^2} \right) - \rho \nabla^2 \left( \frac{a\rho - 2}{(a\rho - 1)^2} \right) \\
&= (\rho + h) \nabla^2 \left( \frac{a(\rho + h) - 2}{(1 - a\rho)^2} + \frac{a(\rho + h) - 2}{(1 - a\rho)^3} 2ah \right) - \rho \nabla^2 \left( \frac{a\rho - 2}{(a\rho - 1)^2} \right) \\
&= h \nabla^2 \left( \frac{a\rho - 2}{(a\rho - 1)^2} \right) + \rho \nabla^2 \left( h \left( \frac{a}{(1 - a\rho)^2} + \frac{2a^2\rho - 4a}{(1 - a\rho)^3} \right) \right) \\
&= h \nabla^2 \left( \frac{a\rho - 2}{(a\rho - 1)^2} \right) + \rho \left( \frac{a}{(1 - a\rho)^2} + \frac{2a^2\rho - 4a}{(1 - a\rho)^3} \right) \nabla^2 h \\
&\quad + 2\rho \nabla \left( \frac{a}{(1 - a\rho)^2} + \frac{2a^2\rho - 4a}{(1 - a\rho)^3} \right) \cdot \nabla h + \rho h \nabla^2 \left( \frac{a}{(1 - a\rho)^2} + \frac{2a^2\rho - 4a}{(1 - a\rho)^3} \right)
\end{aligned}$$

Combining these in the Lagrangian gives:

$$\begin{aligned}
\mathcal{L}_\rho(\rho, \mathbf{w}, q)h &= -\frac{1}{\beta} \int_0^T \int_\Omega q \nabla^2 h \left( \frac{1}{1 - a\rho} \right) + q \nabla^2 \rho \left( \frac{a}{(1 - a\rho)^2} h \right) \\
&\quad + q \nabla h \cdot \nabla \left( \frac{3 - 2a\rho}{(1 - a\rho)^2} \right) + q (\nabla h \cdot \nabla \rho) \left( \frac{-2a}{(1 - a\rho)^2} + \frac{6a - 4a^2\rho}{(1 - a\rho)^3} \right) \\
&\quad + q h \nabla \rho \cdot \nabla \left( \frac{-2a}{(1 - a\rho)^2} + \frac{6a - 4a^2\rho}{(1 - a\rho)^3} \right) \\
&\quad - q h \nabla^2 \left( \frac{a\rho - 2}{(a\rho - 1)^2} \right) - q \rho \left( \frac{a}{(1 - a\rho)^2} + \frac{2a^2\rho - 4a}{(1 - a\rho)^3} \right) \nabla^2 h \\
&\quad - q \rho \nabla \left( \frac{2a}{(1 - a\rho)^2} + \frac{4a^2\rho - 8a}{(1 - a\rho)^3} \right) \cdot \nabla h - q \rho h \nabla^2 \left( \frac{a}{(1 - a\rho)^2} + \frac{2a^2\rho - 4a}{(1 - a\rho)^3} \right).
\end{aligned}$$

Rearranging gives:

$$\begin{aligned}
\mathcal{L}_\rho(\rho, \mathbf{w}, q)h &= -\frac{1}{\beta} \int_0^T \int_\Omega h \left( q \nabla^2 \rho \left( \frac{a}{(1 - a\rho)^2} \right) + q \nabla \rho \cdot \nabla \left( \frac{-2a}{(1 - a\rho)^2} + \frac{6a - 4a^2\rho}{(1 - a\rho)^3} \right) - q \nabla^2 \left( \frac{a\rho - 2}{(a\rho - 1)^2} \right) \right. \\
&\quad \left. - q \rho \nabla^2 \left( \frac{a}{(1 - a\rho)^2} + \frac{2a^2\rho - 4a}{(1 - a\rho)^3} \right) \right) \\
&\quad + \nabla h \cdot \left( q \nabla \left( \frac{3 - 2a\rho}{(1 - a\rho)^2} \right) + q \nabla \rho \left( \frac{-2a}{(1 - a\rho)^2} + \frac{6a - 4a^2\rho}{(1 - a\rho)^3} \right) - q \rho \nabla \left( \frac{2a}{(1 - a\rho)^2} + \frac{4a^2\rho - 8a}{(1 - a\rho)^3} \right) \right) \\
&\quad + \nabla^2 h \left( q \left( \frac{1}{1 - a\rho} \right) - q \rho \left( \frac{a}{(1 - a\rho)^2} + \frac{2a^2\rho - 4a}{(1 - a\rho)^3} \right) \right)
\end{aligned}$$

Integration by parts gives:

$$\begin{aligned}
\mathcal{L}_\rho(\rho, \mathbf{w}, q)h &= -\frac{1}{\beta} \int_0^T \int_\Omega h \left( q \nabla^2 \rho \left( \frac{a}{(1-a\rho)^2} \right) + q \nabla \rho \cdot \nabla \left( \frac{-2a}{(1-a\rho)^2} + \frac{6a-4a^2\rho}{(1-a\rho)^3} \right) - q \nabla^2 \left( \frac{a\rho-2}{(a\rho-1)^2} \right) \right. \\
&\quad \left. - q\rho \nabla^2 \left( \frac{a}{(1-a\rho)^2} + \frac{2a^2\rho-4a}{(1-a\rho)^3} \right) \right) \\
&\quad - h \nabla \left( q \nabla \left( \frac{3-2a\rho}{(1-a\rho)^2} \right) + q \nabla \rho \left( \frac{-2a}{(1-a\rho)^2} + \frac{6a-4a^2\rho}{(1-a\rho)^3} \right) - q\rho \nabla \left( \frac{2a}{(1-a\rho)^2} + \frac{4a^2\rho-8a}{(1-a\rho)^3} \right) \right) \\
&\quad + h \nabla^2 \left( q \left( \frac{1}{1-a\rho} \right) - q\rho \left( \frac{a}{(1-a\rho)^2} + \frac{2a^2\rho-4a}{(1-a\rho)^3} \right) \right)
\end{aligned}$$

So we have:

$$\begin{aligned}
\mathcal{L}_\rho(\rho, \mathbf{w}, q)h &= -\frac{1}{\beta} \int_0^T \int_\Omega h \left[ q \nabla^2 \rho \left( \frac{a}{(1-a\rho)^2} \right) + q \nabla \rho \cdot \nabla \left( \frac{-2a}{(1-a\rho)^2} + \frac{6a-4a^2\rho}{(1-a\rho)^3} \right) - q \nabla^2 \left( \frac{a\rho-2}{(a\rho-1)^2} \right) \right. \\
&\quad \left. - q\rho \nabla^2 \left( \frac{a}{(1-a\rho)^2} \right) - q\rho \nabla^2 \left( \frac{2a^2\rho-4a}{(1-a\rho)^3} \right) \right. \\
&\quad \left. - \nabla \cdot \left( q \nabla \left( \frac{3-2a\rho}{(1-a\rho)^2} \right) \right) - \nabla \cdot \left( q \nabla \rho \left( \frac{-2a}{(1-a\rho)^2} \right) \right) - \nabla \cdot \left( q \nabla \rho \left( \frac{6a-4a^2\rho}{(1-a\rho)^3} \right) \right) \right. \\
&\quad \left. + \nabla \cdot \left( q\rho \nabla \left( \frac{2a}{(1-a\rho)^2} \right) \right) + \nabla \cdot \left( q\rho \nabla \left( \frac{4a^2\rho-8a}{(1-a\rho)^3} \right) \right) \right. \\
&\quad \left. + \nabla^2 \left( q \left( \frac{1}{1-a\rho} \right) \right) - \nabla^2 \left( q\rho \left( \frac{a}{(1-a\rho)^2} \right) \right) - \nabla^2 \left( q\rho \left( \frac{2a^2\rho-4a}{(1-a\rho)^3} \right) \right) \right] drdt
\end{aligned}$$

And combining fractions gives:

$$\begin{aligned}
\mathcal{L}_\rho(\rho, \mathbf{w}, q)h &= -\frac{1}{\beta} \int_0^T \int_\Omega h \left[ q \nabla^2 \rho \left( \frac{a}{(1-a\rho)^2} \right) + q \nabla \rho \cdot \nabla \left( \frac{2a(a\rho-2)}{(1-a\rho)^3} \right) - q \nabla^2 \left( \frac{a\rho-2}{(a\rho-1)^2} \right) \right. \\
&\quad \left. - q\rho \nabla^2 \left( \frac{a(3-a\rho)}{(1-a\rho)^3} \right) - \nabla \cdot \left( q \nabla \left( \frac{3-2a\rho}{(1-a\rho)^2} \right) \right) - \nabla \cdot \left( q \nabla \rho \left( \frac{2a(a\rho-2)}{(1-a\rho)^3} \right) \right) \right. \\
&\quad \left. + \nabla \cdot \left( q\rho \nabla \left( \frac{-2a(a\rho-3)}{(1-a\rho)^3} \right) \right) + \nabla^2 \left( q \left( \frac{1}{1-a\rho} \right) \right) - \nabla^2 \left( q\rho \left( \frac{-a(a\rho-3)}{(1-a\rho)^3} \right) \right) \right] drdt
\end{aligned}$$

According to Mathematica this is:

$$\mathcal{L}_\rho(\rho, \mathbf{w}, q)h = -\frac{1}{\beta} \int_0^T \int_\Omega h \left[ \frac{1}{(a\rho-1)^3} \left( 4a \nabla \rho \cdot \nabla q + 2a(-1+a\rho)q \nabla^2 \rho + (-1+5a\rho-2a^2\rho^2) \nabla^2 q \right) \right] drdt$$

And rewriting this is:

$$\mathcal{L}_\rho(\rho, \mathbf{w}, q)h = -\frac{1}{\beta} \int_0^T \int_\Omega h \left[ \frac{4a \nabla \rho \cdot \nabla q}{(a\rho-1)^3} + \frac{2aq \nabla^2 \rho}{(a\rho-1)^2} + \frac{(-1+5a\rho-2a^2\rho^2) \nabla^2 q}{(a\rho-1)^3} \right] drdt$$

## 1.1 Boundary Terms

We have the equation:

$$\rho \nabla \frac{\delta F[\rho]}{\delta \rho} = \frac{1}{\beta} \left( \frac{\nabla \rho}{1 - \eta} - \rho \nabla \frac{\eta - 2}{(\eta - 1)^2} \right)$$

Then:

$$\begin{aligned} F_4(\rho) &= \frac{\nabla \rho}{1 - a\rho} \\ F_5(\rho) &= \rho \nabla \frac{a\rho - 2}{(a\rho - 1)^2} \end{aligned}$$

Then for  $F_4$  we have:

$$\begin{aligned} F_4(\rho + h) - F_4(\rho) &= \nabla(\rho + h) \frac{1}{1 - a(\rho + h)} - \nabla \rho \frac{1}{1 - a\rho} \\ &= \nabla(\rho + h) \left( \frac{1}{1 - a\rho} + \frac{a}{(1 - a\rho)^2} h \right) \\ &= \nabla h \left( \frac{1}{1 - a\rho} \right) + \nabla \rho \left( \frac{a}{(1 - a\rho)^2} h \right) \end{aligned}$$

For  $F_5$  we get:

$$\begin{aligned} F_5(\rho + h) - F_5(\rho) &= (\rho + h) \nabla \frac{a(\rho + h) - 2}{(a(\rho + h) - 1)^2} - \rho \nabla \frac{a\rho - 2}{(a\rho - 1)^2} \\ &= (\rho + h) \nabla \left( \frac{a(\rho + h) - 2}{(1 - a\rho)^2} + \frac{a(\rho + h) - 2}{(1 - a\rho)^3} 2ah \right) - \rho \nabla \frac{a\rho - 2}{(a\rho - 1)^2} \\ &= h \nabla \left( \frac{a\rho - 2}{(1 - a\rho)^2} \right) + \rho \nabla \left( h \left( \frac{a}{(1 - a\rho)^2} + \frac{2a^2\rho - 4a}{(1 - a\rho)^3} \right) \right) \\ &= h \nabla \left( \frac{a\rho - 2}{(1 - a\rho)^2} \right) + h\rho \nabla \left( \frac{a}{(1 - a\rho)^2} + \frac{2a^2\rho - 4a}{(1 - a\rho)^3} \right) + \nabla h \left( \rho \frac{a}{(1 - a\rho)^2} + \rho \frac{2a^2\rho - 4a}{(1 - a\rho)^3} \right) \end{aligned}$$

Then the boundary terms for the Lagrangian are:

$$\begin{aligned} \mathcal{L}_{\rho,1}(\rho, \mathbf{w}, q)h &= -\frac{1}{\beta} \int_0^T \int_{\partial\Omega} \left( q_{\partial\Omega} \nabla h \left( \frac{1}{1 - a\rho} \right) + q_{\partial\Omega} \nabla \rho \left( \frac{1}{(1 - a\rho)^2} h \right) - q_{\partial\Omega} h \nabla \left( \frac{a\rho - 2}{(1 - a\rho)^2} \right) \right. \\ &\quad \left. - h q_{\partial\Omega} \rho \nabla \left( \frac{a}{(1 - a\rho)^2} + \frac{2a^2\rho - 4a}{(1 - a\rho)^3} \right) - q_{\partial\Omega} \nabla h \left( \rho \frac{a}{(1 - a\rho)^2} + \rho \frac{2a^2\rho - 4a}{(1 - a\rho)^3} \right) \right) \cdot \mathbf{n} dr dt \end{aligned}$$

From the integration by parts of the terms within the domain we get:

$$\begin{aligned}\mathcal{L}_{\rho,2}(\rho, \mathbf{w}, q)h = & -\frac{1}{\beta} \int_0^T \int_{\partial\Omega} \left( h \left( q \nabla \left( \frac{3-2a\rho}{(1-a\rho)^2} \right) + q \nabla \rho \left( \frac{-2a}{(1-a\rho)^2} + \frac{6a-4a^2\rho}{(1-a\rho)^3} \right) \right. \right. \\ & \left. \left. - q\rho \nabla \left( \frac{2a}{(1-a\rho)^2} + \frac{4a^2\rho-8a}{(1-a\rho)^3} \right) \right) \right. \\ & \left. + \nabla h \left( q \left( \frac{1}{1-a\rho} \right) - q\rho \left( \frac{a}{(1-a\rho)^2} + \frac{2a^2\rho-4a}{(1-a\rho)^3} \right) \right) \right. \\ & \left. - h \nabla \left( q \left( \frac{1}{1-a\rho} \right) - q\rho \left( \frac{a}{(1-a\rho)^2} + \frac{2a^2\rho-4a}{(1-a\rho)^3} \right) \right) \right) \cdot \mathbf{n} dr dt\end{aligned}$$

Combining all of these give all boundary terms for the Lagrangian:

$$\begin{aligned}\mathcal{L}_{\rho}(\rho, \mathbf{w}, q)h = & -\frac{1}{\beta} \int_0^T \int_{\partial\Omega} \left( h \left( q_{\partial\Omega} \nabla \rho \left( \frac{1}{(1-a\rho)^2} \right) - q_{\partial\Omega} \nabla \left( \frac{a\rho-2}{(1-a\rho)^2} \right) \right. \right. \\ & \left. \left. - q_{\partial\Omega} \rho \nabla \left( \frac{a}{(1-a\rho)^2} + \frac{2a^2\rho-4a}{(1-a\rho)^3} \right) + \left( q \nabla \left( \frac{3-2a\rho}{(1-a\rho)^2} \right) + q \nabla \rho \left( \frac{-2a}{(1-a\rho)^2} + \frac{6a-4a^2\rho}{(1-a\rho)^3} \right) \right. \right. \right. \\ & \left. \left. - q\rho \nabla \left( \frac{2a}{(1-a\rho)^2} + \frac{4a^2\rho-8a}{(1-a\rho)^3} \right) \right) - \nabla \left( q \left( \frac{1}{1-a\rho} \right) - q\rho \left( \frac{a}{(1-a\rho)^2} + \frac{2a^2\rho-4a}{(1-a\rho)^3} \right) \right) \right) \\ & \left. + \nabla h \left( q_{\partial\Omega} \left( \frac{1}{1-a\rho} \right) - q_{\partial\Omega} \left( \rho \frac{a}{(1-a\rho)^2} + \rho \frac{2a^2\rho-4a}{(1-a\rho)^3} \right) + q \left( \frac{1}{1-a\rho} \right) \right. \right. \\ & \left. \left. - q\rho \left( \frac{a}{(1-a\rho)^2} + \frac{2a^2\rho-4a}{(1-a\rho)^3} \right) \right) \right) \cdot \mathbf{n} dr dt\end{aligned}$$

Comparing terms in  $\nabla h$  (there should be  $\cdot \mathbf{n}$  everywhere in the below):

$$\begin{aligned}& \left[ q_{\partial\Omega} \left( \frac{1}{1-a\rho} \right) - q_{\partial\Omega} \left( \rho \frac{a}{(1-a\rho)^2} + \rho \frac{2a^2\rho-4a}{(1-a\rho)^3} \right) \right. \\ & \left. + q \left( \frac{1}{1-a\rho} \right) - q\rho \left( \frac{a}{(1-a\rho)^2} + \frac{2a^2\rho-4a}{(1-a\rho)^3} \right) \right] \cdot \mathbf{n} = 0.\end{aligned}$$

This holds when  $q_{\partial\Omega} = -q$ . Then for  $h \neq 0$  we get:

$$\begin{aligned}& \left[ -q \nabla \rho \left( \frac{1}{(1-a\rho)^2} \right) + q \nabla \left( \frac{a\rho-2}{(1-a\rho)^2} \right) \right. \\ & \left. + q\rho \nabla \left( \frac{a}{(1-a\rho)^2} + \frac{2a^2\rho-4a}{(1-a\rho)^3} \right) + q \nabla \left( \frac{3-2a\rho}{(1-a\rho)^2} \right) + q \nabla \rho \left( \frac{-2a}{(1-a\rho)^2} + \frac{6a-4a^2\rho}{(1-a\rho)^3} \right) \right. \\ & \left. - q\rho \nabla \left( \frac{2a}{(1-a\rho)^2} + \frac{4a^2\rho-8a}{(1-a\rho)^3} \right) - \nabla \left( q \left( \frac{1}{1-a\rho} \right) - q\rho \left( \frac{a}{(1-a\rho)^2} + \frac{2a^2\rho-4a}{(1-a\rho)^3} \right) \right) \right] \cdot \mathbf{n} = 0\end{aligned}$$

According to Mathematica this is:

$$\frac{1}{(a\rho-1)^3} \left[ (-1+a)(-1+a\rho)q \nabla \rho + (1+a\rho) \nabla q \right] \cdot \mathbf{n} = 0$$

Rearranging in terms of  $q$  gives:

$$\left[ q \frac{(a-1)\nabla\rho}{(a\rho-1)^2} + \nabla q \frac{(1+a\rho)}{(a\rho-1)^3} \right] \cdot \mathbf{n} = 0$$

## 2 Constriction Flow

### 2.1 Equilibrium

We choose  $N = 50$ ,  $n = 30$  and a box from  $-3$  to  $3$  in both directions. We choose the strength of  $V_{ext}$  to be 10 instead of 1000 as in the paper. We vary constriction width  $b$  and interaction strength  $\kappa$  in equilibrium.

For  $b = 0.6$  and varying interaction strengths, the results are shown in Figures 1, 2 and 3.

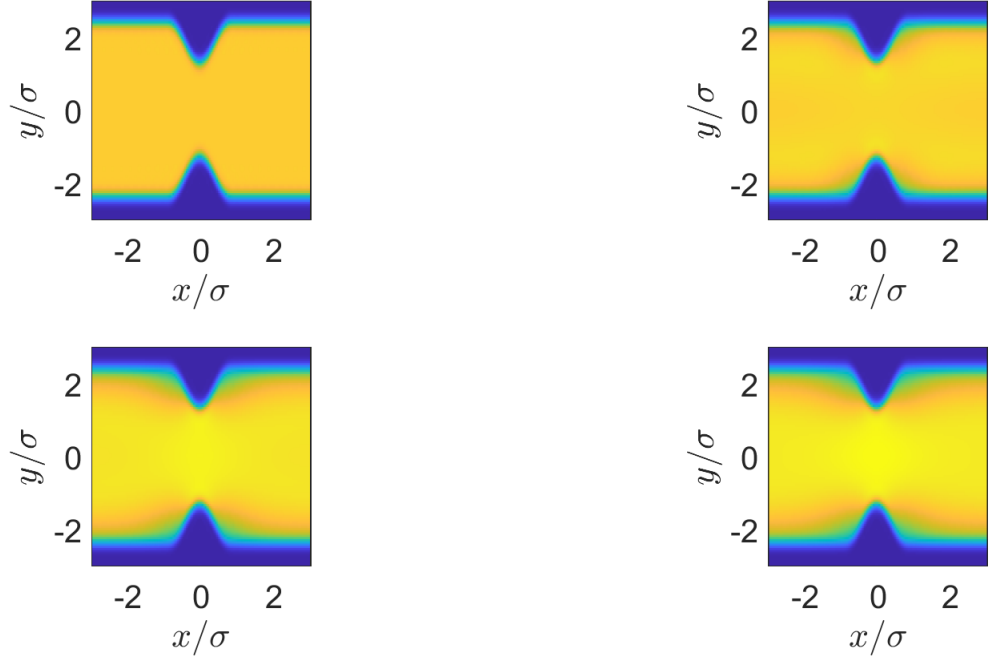


Figure 1:  $b = 0.6$ ,  $\kappa = -0.2$

In Figure 4 we see how the dynamics changes for a wider constriction (compare to Figure 2).

### 2.2 Non-Equilibrium

We impose a flow of size 1 on the equilibrium setup.

For  $b = 0.6$  the results are displayed in Figures 5, 6, 7 and 8.

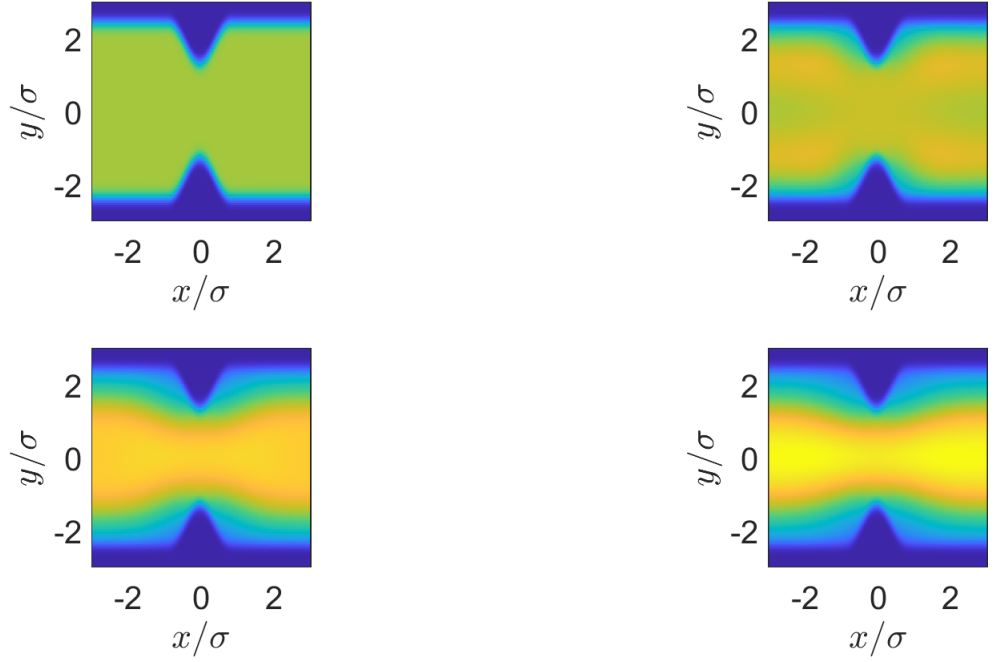


Figure 2:  $b = 0.6$ ,  $\kappa = -0.5$

### 3 Sedimentation

The result for the configurations as in Figure 8 in Archer's paper can be seen in Figure 9 (needs more points).

The result for the configurations as in Figure 10 in Archer's paper can be seen in Figures 10 and 11 (needs more points).

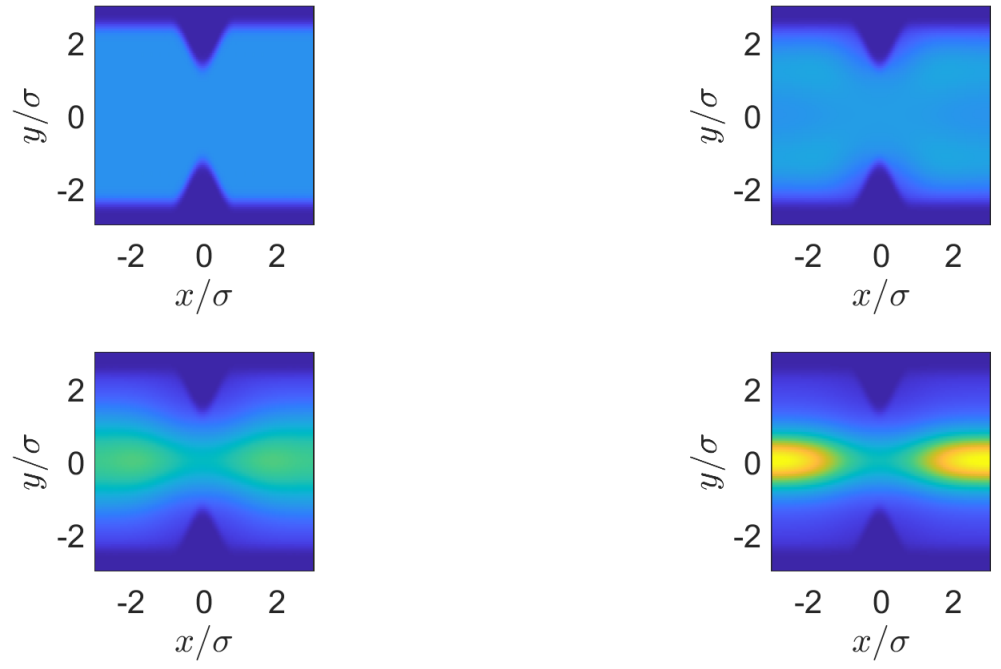


Figure 3:  $b = 0.6$ ,  $\kappa = -0.8$

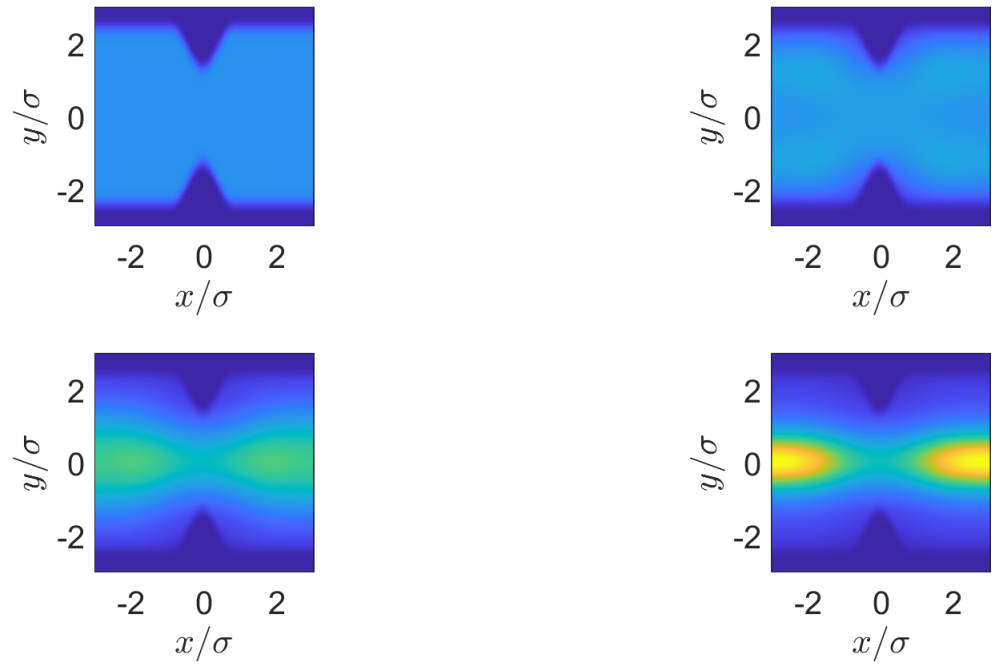


Figure 4:  $b = 0.8$ ,  $\kappa = -0.5$



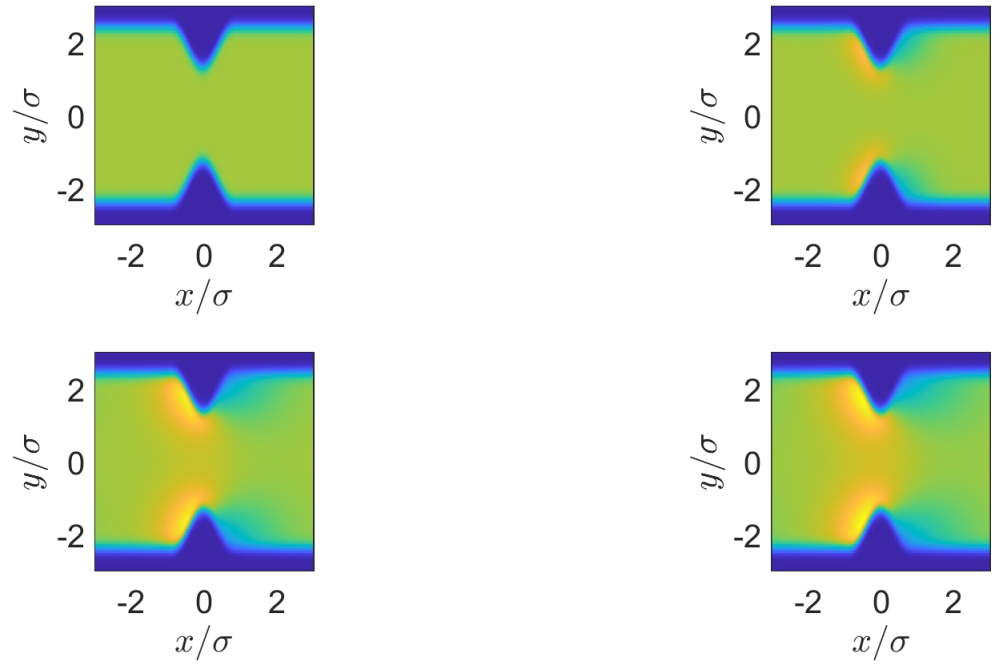


Figure 5:  $b = 0.6$ ,  $\kappa = 0$

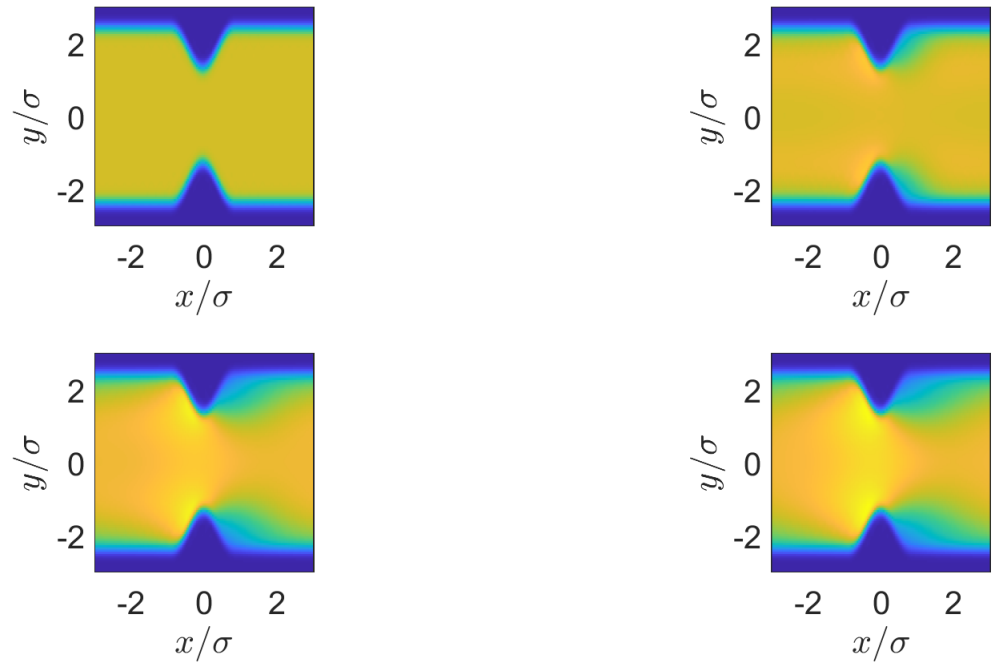


Figure 6:  $b = 0.6$ ,  $\kappa = -0.2$

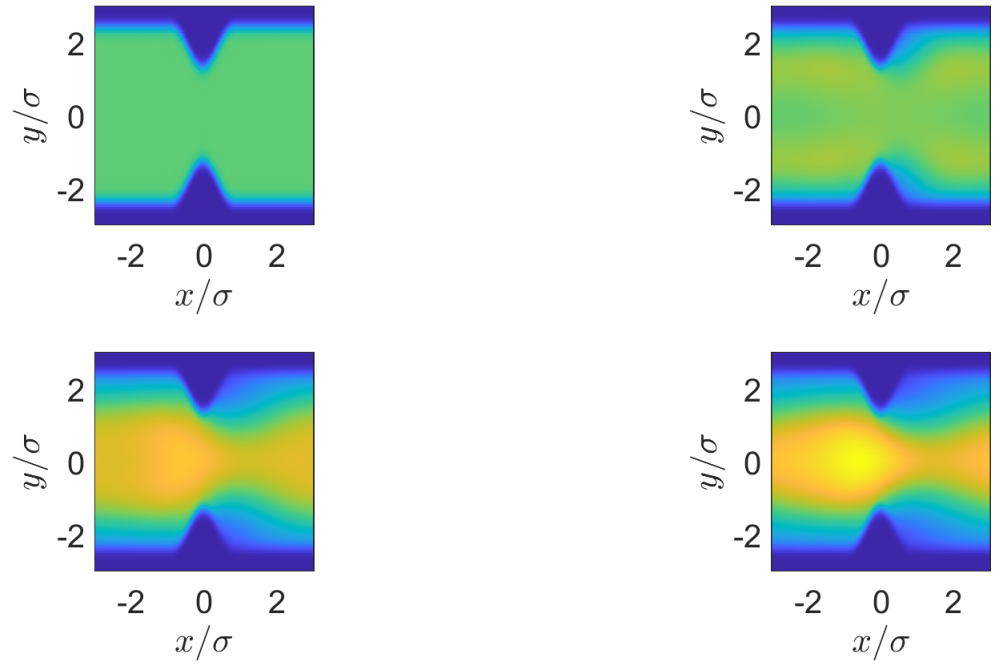


Figure 7:  $b = 0.6$ ,  $\kappa = -0.5$

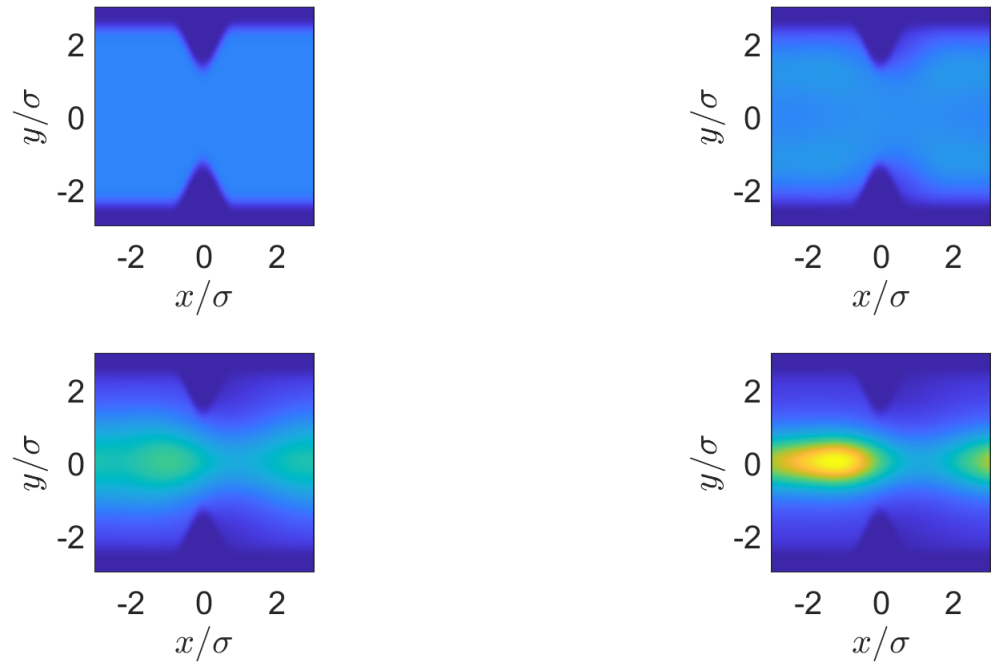


Figure 8:  $b = 0.6$ ,  $\kappa = -0.8$

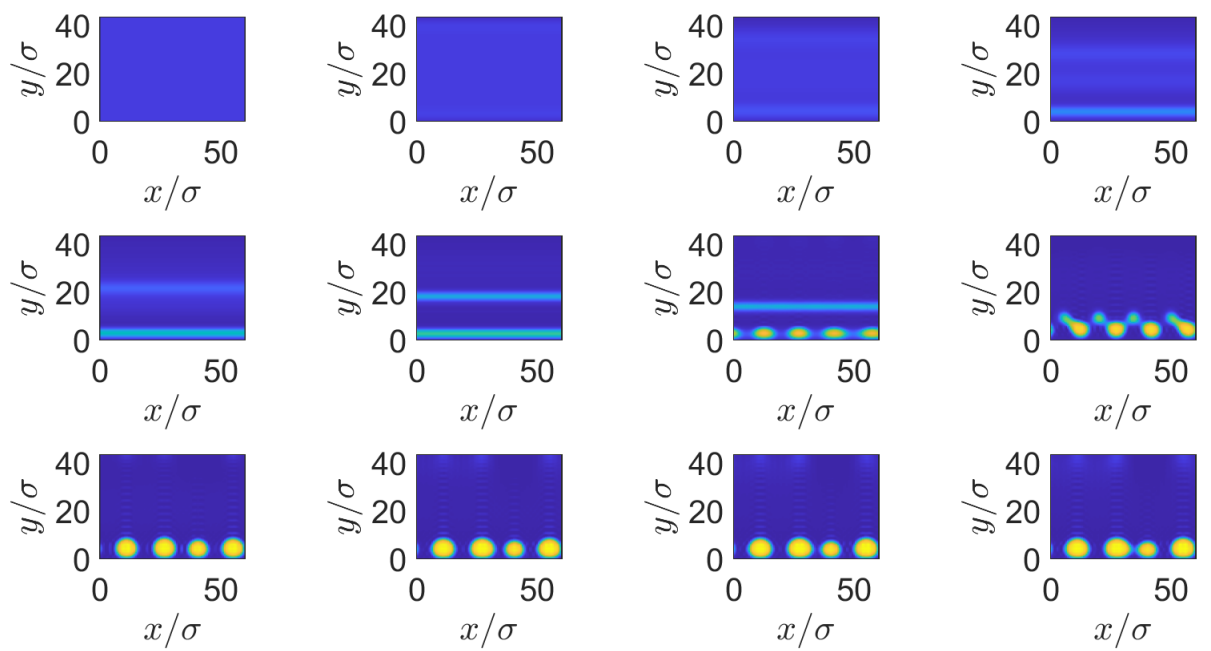


Figure 9: Result corresponding to Figure 8 in Archer's paper

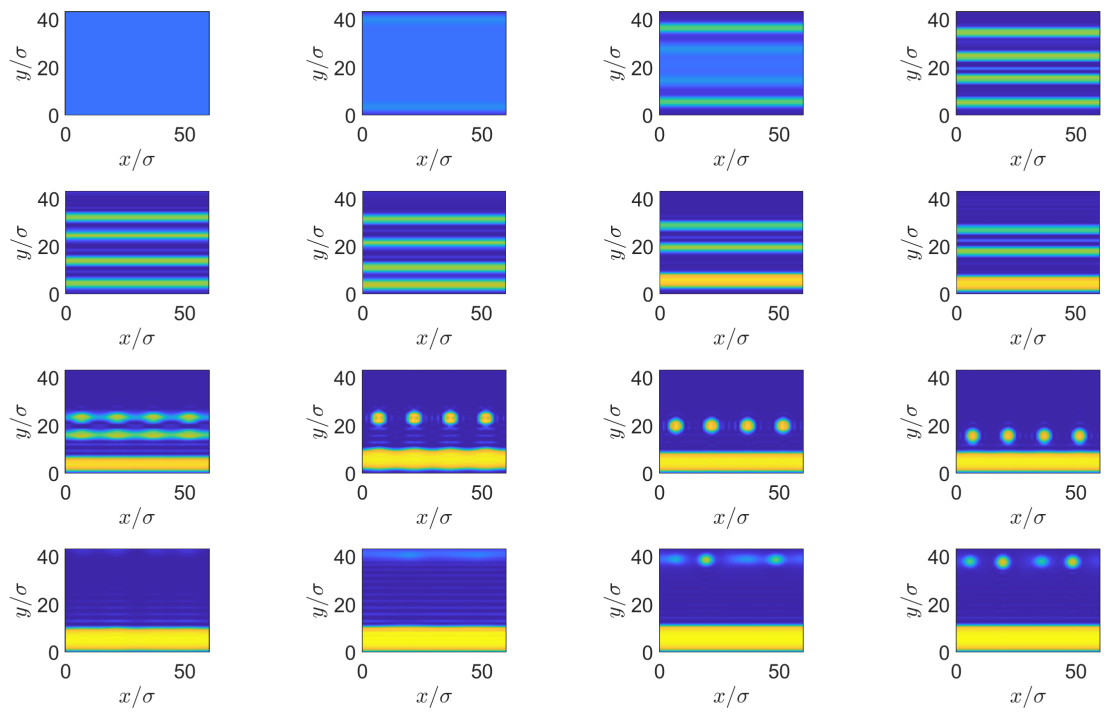


Figure 10: Result corresponding to Figure 10 in Archer's paper, at equispaced times

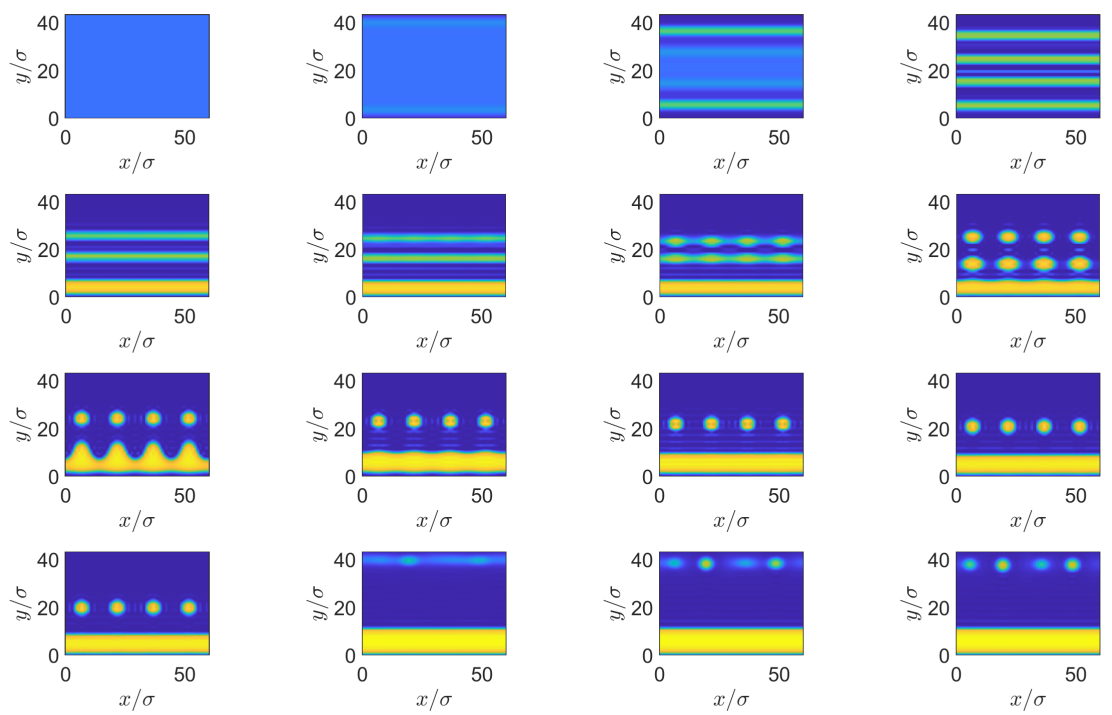


Figure 11: Result corresponding to Figure 10 in Archer's paper, at times 1,3,6,9,22,23,24,25,26,27,28,29,30, 43,46,49