

## Problem 5

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### Problem statement

#### The EXPERIMENTAL CUISINE problem

We are feeling experimental and want to create a new dish. There are various ingredients we can choose from and we'd like to use as many of them as possible, but some ingredients don't go well with others. If there are  $n$  possible ingredients (numbered 1 to  $n$ ), we write down the  $n \times n$  matrix  $D$  giving the *discord* between any pair of ingredients. This *discord* is a real number between 0.0 and 1.0, where 0.0 means "they go together perfectly" and 1.0 means "they don't go together". For example, if  $D[2,3] = 0.1$  and  $D[1,5] = 1.0$ , then ingredients 2 and 3 go together pretty well whereas 1 and 5 clash badly.

Notice that  $D$  is necessarily symmetric and that the diagonal entries are always 0.0. Any set of ingredients always incurs a penalty which is the sum of all discors values between pairs of ingredients. For instance the set of ingredients  $\{1, 2, 3\}$  incurs a penalty of  $D[1,2] + D[1,3] + D[2,3]$ . We want the penalty to be small.

#### Exercise

Given  $n$  ingredients, and the discord  $n \times n$  matrix  $D$  and some number  $p$ , compute the maximum number of ingredients we can choose with penalty  $\leq p$

Show that if EXPERIMENTAL CUISINE is solvable in polynomial time, then is so 3SAT.

#### Answer

A way to prove that if EXPERIMENTAL CUISINE is solvable in polynomial time, then so is 3SAT is by reducing in polynomial time 3SAT to EXPERIMENTAL CUISINE.

Assuming a reduction is found, it means that EXPERIMENTAL CUISINE is harder or as hard to solve as 3SAT. Once this has been established, there is no doubt that if we assume that EXPERIMENTAL CUISINE is solvable in polynomial time, we have that 3SAT must be solvable in polynomial time, because it is easier or as easy to solve as EXPERIMENTAL CUISINE, based on our previous assumptions.

The problem is that 3SAT and EXPERIMENTAL CUISINE are *very* different problems one to another. 3SAT is about boolean formula satisfiability and EXPERIMENTAL CUISINE is much more similar to a LONGEST PATH problem, for example. Because of this, we'll have to use another problem to help us with the reduction: INDEPENDENT SET.

**INDEPENDENT SET** A subset of nodes  $S \subseteq V$  is an *independent set* of graph  $G = (V, E)$  if there are no edges between them. Given a graph  $G = (V, E)$  and a number  $s \leq |V|$ , say if there is a subset  $S$  size  $s$  that is an *independent set* of  $G$ .

INDEPENDENT SET is very similar (or at least more similar than 3SAT) to EXPERIMENTAL CUISINE. If we interpret EXPERIMENTAL CUISINE as a graph problem, with  $D$  as its adjacency matrix, the similarities begin to arise. And what is more convenient: 3SAT can be reduced to INDEPENDENT SET, and it has already been done [1, p. 262]!

The problem is that INDEPENDENT SET is a search problem while EXPERIMENTAL CUISINE is an *optimisation* problem (Given a set of restrictions, find the better solution to the problem). This makes it very difficult if not impossible to find a reduction directly from one another. Fortunately, we know that a search problem reduces in polynomial time to its optimisation version and vice versa [1, p. 250], so we can easily re-formulate EXPERIMENTAL CUISINE to be a search problem:

**EXPERIMENTAL CUISINE** Given  $n$  ingredients, a number  $k \leq n$ , the discord  $n \times n$  matrix  $D$  and some number  $p$ , compute if it is possible to choose  $k$  ingredients which the total discord between them is  $\leq p$ .

From now on, when we refer to the problem, we'll be referring to its search version.

Now it is only left for us to reduce INDEPENDENT SET to EXPERIMENTAL CUISINE.

## INDEPENDENT SET $\longrightarrow$ EXPERIMENTAL CUISINE

**Building the reduction** For a reduction to be effective, we have to create a function  $F$  that modifies an instance  $I$  of INDEPENDENT SET to a valid one of EXPERIMENTAL CUISINE. Additionally, we have to create a function  $h$  that takes in a valid solution  $S$  to EXPERIMENTAL CUISINE and transforms it to be a valid solution of INDEPENDENT SET. Finally, if  $F(I)$  is not a solution to EXPERIMENTAL CUISINE, then  $I$  should not be a solution of INDEPENDENT SET.

Let us begin with the reduction. Given an instance of INDEPENDENT SET,  $G$ , where  $G$  is a graph, construct the following:

Set  $D$  to be an incidence matrix of  $G$ , where  $a_{ij} = 0$  if the graph's vertices do not share a common edge, and  $a_{ij} = 1$  if they are, and assign to the maximum discordance  $d$  the value of 0. We should then set  $k$  to  $s$ .

If we feed this values to an algorithm that solves EXPERIMENTAL CUISINE, the result that it yields is a boolean value which says if an independent set of  $G$  and size  $s$  has been found.

We do not need to transform the solution of EXPERIMENTAL CUISINE to one of INDEPENDENT SET, as they should both output the same boolean variable.

This construction clearly takes polynomial time to create. We now have to prove that an answer to INDEPENDENT SET can always be reconstructed from our reduction to EXPERIMENTAL CUISINE, and that it satisfies the properties we've assigned to it (in this case, the solution of the reduction to EXPERIMENTAL CUISINE is the same to the one of the INDEPENDENT SET instance we've made the reduction from).

**Proving that this reduction is correct** There are two things to show:

1. If  $F(I)$  is a solution to EXPERIMENTAL CUISINE, then  $I$  should be a solution to INDEPENDENT SET.

It is easier for us to prove the contrapositive:

If  $I$  is not a solution to INDEPENDENT SET, we'd have that no independent set  $S \subseteq V$  of size  $k$  or more exists. When we build  $F(I)$ , we'd have that it would be *impossible* for it to be a solution in EXPERIMENTAL CUISINE.

Because of the way it's build, we'd have that there'd be not enough ingredients to satisfy the condition. Because it is impossible for the algorithm that solves EXPERIMENTAL CUISINE to pick nodes that are connected in the graph (because we've assigned them a discordance value of 1). If no independent set of size  $k$  is found in  $I$ , that means that in  $F(I)$  there'd be a number  $n < k$  of ingredients that share 0-weight edges between them. Given the nature of our reduction, if this is true, it means that our algorithm cannot choose more than  $n$  ingredients, thus returning *false* and satisfying this first restriction.

2. If  $F(I)$  is not a solution to EXPERIMENTAL CUISINE, then  $I$  should not be a solution to INDEPENDENT SET.

This can be seen by again looking at how the reduction is made. By contradiction, if we assume  $F(I)$  to be a solution of EXPERIMENTAL CUISINE, we'd have that there are  $k$  ingredients that can be chosen with a maximum discordance of 0. Then, this means that at least  $k$  nodes in the graph of  $I$  should not be connected between them. If the problem should output true if an independent set in  $G$  of size  $s = k$  exists, we'd have that  $I$  has to be a solution of INDEPENDENT SET, because of the definition of an independent set of nodes. We have thus reached a contradiction, and the implication is proven.

The reduction has been proven to be correct.

**Conclusion** Let us be reminded of the problem statement: Show that if EXPERIMENTAL CUISINE is solvable in polynomial time, then is so 3SAT.

The path we've followed to prove this has been:

1. Saying that if we can prove that  $3SAT \leq_m^P$  EXPERIMENTAL CUISINE, the statement is satisfied.
2. Reducing 3SAT to INDEPENDENT SET.
3. Reducing INDEPENDENT SET to EXPERIMENTAL CUISINE.

There only remains some explanation as to why those steps are sufficient to prove that the statement is true.

We have  $3\text{SAT} \leq_m^P \text{INDEPENDENT SET} \leq_m^P \text{EXPERIMENTAL CUISINE}$

This means that  $3\text{SAT}$  is as easy or easier to solve than  $\text{EXPERIMENTAL CUISINE}$ . If we assume  $\text{EXPERIMENTAL CUISINE}$  solvable in polynomial time, then an easier problem than it should be solved in polynomial time or less!

This concludes the proof.

## References

- [1] C.H. Papadimitriou S. Dasgupta and U.V. Vazirani. *Algorithms*. McGraw-Hill Education, 2006.