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Alternative α -synuclein transcript usage as a convergent mechanism in Parkinson's disease pathology

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 α -Synuclein is implicated both in physiological functions at neuronal synaptic terminals as well as in pathological processes in the context of Parkinson's disease. However, the molecular mechanisms for these apparently diverse roles are unclear. Here we show that specific RNA transcript isoforms of α -synuclein with an extended 3' untranslated region, termed aSynL, appear selectively linked to pathological processes, relative to shorter α -synuclein transcripts. Common variants in the aSynL 3' untranslated region associated with Parkinson's disease risk promote the accumulation and translation of aSynL transcripts. The presence of intracellular dopamine can further enhance the relative abundance of aSynL transcripts through alternative polyadenylation site selection. We demonstrate that the presence of the extended aSynL transcript 3' untranslated region impacts accumulation of α -synuclein protein, which appears redirected away from synaptic terminals and towards mitochondria, reminiscent of Parkinson's disease pathology. Taken together, these findings identify a novel mechanism for aSyn regulation in the context of Parkinson's disease-associated genetic and environmental variations.

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arkinson's disease (PD) is a progressive movement disorder of aging, characterized by rigidity, gait difficulty, slowed movements and a tremor at rest¹. These clinical findings relate to the preferential loss of dopamine (DA) neurons in the substantia nigra (SN) pars compacta of the ventral midbrain. Postmortem pathological analyses of PD patient brain also demonstrate distinctive cytoplasmic protein aggregates (termed Lewy bodies), composed largely of α-synuclein (aSyn), as well as evidence for oxidative stress and mitochondrial dysfunction². A challenge to the mechanistic study of PD and other slowly progressive neurodegenerative disorders is that molecular findings at autopsy are often secondary to the disease process, rather than causal. Significant insight into molecular mechanism of PD has come from analyses of rare familial forms of the disease that are caused by inherited genetic mutations. Mutations at several loci, including aSyn and the Leucine-rich repeat kinase-2 (LRRK2) genes, can lead to rare familial forms of PD^{3,4}. Furthermore, typical non-familial 'sporadic' PD is associated with common genetic variants at the familial PD genes, aSyn and LRRK2 (refs 5–7), supporting the notion that similar (albeit unknown) mechanisms may underlie familial and 'sporadic' PD.

Despite the extensive genetic and pathological evidence implicating aSyn in the aetiology of PD, the mechanism of action remains unclear. In afflicted PD brain neurons, as well as in transgenic overexpression models of PD, aSyn protein displays a propensity to form soluble multimers as well as larger insoluble aggregates; such modified aSyn species are hypothesized to be toxic to neurons⁸. In addition, in the context of disease pathology, aSyn is reported to be preferentially relocalized away from synaptic terminals—where it is thought to have a regulatory role in physiological synaptic transmission^{9,10}—and towards protein inclusions and somatic structures including mitochondria^{1,11}. However, the roles of such pathological aSyn findings in PD aetiology, and how these may relate to physiological functions of aSyn in healthy brain, remain to be determined.

Whole-transcriptome brain gene expression analyses potentially afford a relatively unbiased and hypothesis-free approach to the identification of candidate causal molecular pathways in the context of brain disorder^{12–15}, such as PD brain pathology. However, a common hurdle to the approach is that the majority of global transcriptome-wide differential expression changes seen in patient brain are likely secondary to disease pathology, such as the selective loss of DA neurons in PD, rather than related to the aetiological chain of events that lead to pathology. Global gene expression network tools have been developed with the intent of distinguishing secondary transcriptome changes from causal primary events^{16–19}. Differential co-expression analysis (DCA)¹⁶ is based on the notion that transcripts encoding causal 'master regulators', that drive the expression

changes in disease, are normally highly correlated in expression with many downstream 'slave' transcripts. In a disease state where a 'master regulator' is dysfunctional—such as a mutant transcription factor in familial genetic disorders—the correlation networks are predicted to be reconfigured ^{16,20} most prominently around a 'master regulator' node. Briefly, DCA identifies transcripts that exhibit the greatest and most consistent change in their co-expression correlation with the set of differentially expressed transcripts, when comparing panels of healthy control and patient tissue samples.

We herein describe DCA of PD brain tissue, and identify a specific transcript isoform of aSyn with a long 3' untranslated region (UTR), termed aSynL, that is highly altered in co-expression correlation in the context of PD tissue. The ratio of aSynL to shorter aSyn transcripts is increased in the context of PD as well as PD risk factors, including the presence of specific common genetic variants at the aSyn locus, advanced age and toxin exposure. In unaffected brain tissue, levels of aSynL appear highest in midbrain DA neurons that are prominently lost in PD. aSynL transcript expression leads to the preferential accumulation of aSyn protein away from synaptic terminals and towards mitochondria, reminiscent of disease pathology. Taken together, these findings suggest a model in which expression of aSynL transcripts, relative to shorter isoforms, is induced by major PD risk factors and has a selective role in PD pathology.

Results

DCA of PD brain tissue identifies aSynL as a node. DCA was performed on an existing gene expression data set from age-matched unaffected-control and PD patient SN tissue at autopsy (GEO accession code GSE7621)¹². Strikingly, the most highly reconfigured (or 'rewired') probeset, 204467_s_at (3'UTR1), detects an aSyn isoform that harbours a longer 3'UTR, aSynL (Fig. 1a, Supplementary Fig. S1 and Supplementary Table S1). Replication of the study with independent PD and unaffected SN data sets (GEO accession codes GSE8397 (ref. 13), GSE20292 (ref. 14), GSE20141 (ref. 15) again identified aSynL as among the most rewired transcripts, and aSynL ranked first in a combined analysis (Fig. 1a; Supplementary Table S1). Of note, despite being the most differentially correlated, aSynL is not among the most differentially expressed transcripts between patients and controls (Fig. 1a, Supplementary Data 1).

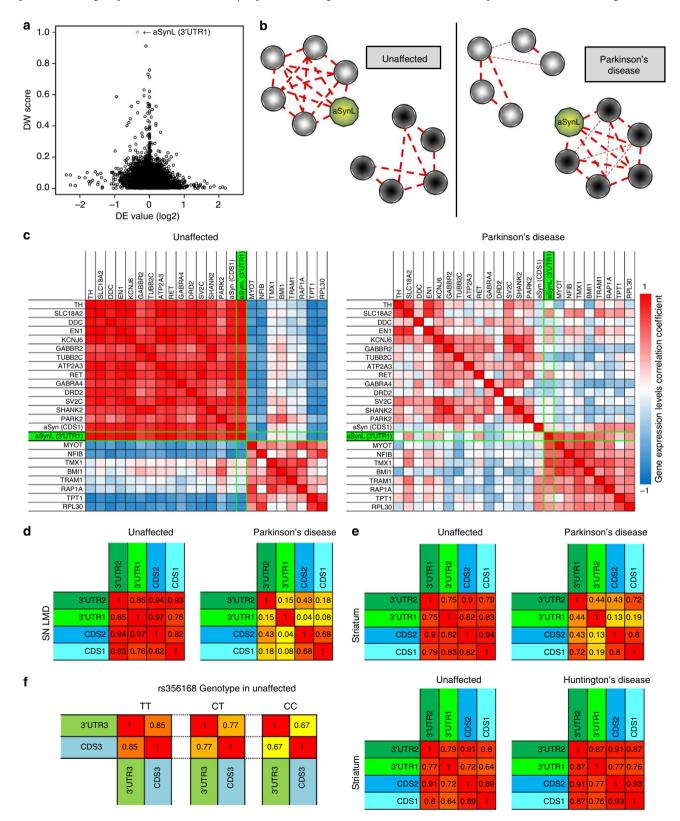
A *post-hoc* analysis of unaffected-control SN tissue revealed that aSynL expression is normally highly correlated with a sub-network of gene transcripts that are associated with synaptic and vesicular transport functions, including Dopa decarboxylase (DDC) and vesicle monoamine transporter type 2 (SLC18A2). In contrast, in a PD SN tissue panel, aSynL expression was instead correlated with transcripts annotated for nuclear localization and transcription regulation functions (Fig. 1b,c, Supplementary Data 2). The global rewiring

Figure 1 | Altered aSyn transcript co-expression correlation networks in PD brain tissue. (a-c) aSyn transcripts are globally rewired in PD brain tissue. (a) Plot of the normalized DW score (y axis) and differential expression (DE) (x axis, plotted in log2) between PD and unaffected control brain tissue cohorts. Each circle represents an Affymetrix probeset. An aSyn probeset specific for longer 3'UTR isoforms (aSynL, 204467_s_at; highlighted in red) is most differentially co-expressed out of 22283 total in meta-analysis across all data sets. (b) Schematic of aSynL network rewiring in PD. aSyn transcripts recognized by the aSynL probeset (aSynL) are presented in green. (c) Transcript co-expression correlation tables for unaffected control (left) and PD brain tissue (right) cohorts. An aSynL probeset specific for longer isoforms (3'UTR1/204467_s_at; Supplementary Fig. S1) is highlighted in green. A second a Syn probeset that targets the a Syn coding sequences regardless of 3'UTR structure (CDS1/211546_x_at) is adjacent. High correlations (r=1) are denoted in red, high anti-correlation (r = -1) in blue and weak correlation in white (r = 0). n = 10 for unaffected, n = 15 for PD. (**d-f**) A loss of correlation in expression levels of aSynL with other aSyn transcript isoforms is specifically associated with PD. Correlation tables of aSyn isoform expression are presented in laser-microdissected SN DA neurons from PD patient tissue or unaffected controls (d; n = 10 and 18 per group) or in striatum samples from PD (\mathbf{e} , upper panel, n = 19 and 16 per group) or Huntington's disease patients (\mathbf{e} , lower panel, n = 32 and 38 per group) along with corresponding unaffected controls. High correlation (r=1) is depicted in red, weak correlation (r=0) in yellow. In PD samples, longer aSynL transcripts (as detected by probeset 3'UTR1) are relatively unwired from shorter transcripts (as detected by CDS1, CDS2 [207827_x_at] or 3'UTR2 [204466_s_at]; the latter probeset recognizes the most proximal region of the aSyn 3'UTR; see Supplementary Fig. S1). (f) aSyn transcript co-expression was quantified in cortical tissue from 183 unaffected control individuals grouped according to their genotype for the PD-associated SNP (rs356168), using Illumina aSyn probes CDS3 and 3'UTR3 (Supplementary Fig. S1b). Individuals homozygous for the PD-risk allele ('CC', left) demonstrated significantly decreased aSyn transcript co-expression correlation relatively to those that harbour 1 ('CT', middle) or 2 ('TT', right) protective alleles.

of aSynL in PD midbrain is unlikely to be a trivial consequence of the loss of midbrain dopaminergic neurons (mDNs), as similar findings were obtained with laser-dissected mDN tissue (Supplementary Fig. S1).

Surprisingly, among transcripts that appeared uncorrelated from aSynL (detected by the distal 3'UTR probeset 3'UTR1; 204467_s_at; Supplementary Fig. S1) in PD were other shorter aSyn transcripts, as quantified using a probeset within the aSyn protein coding

sequences (CDS1 probeset, 211546_x_at, detects all isoforms; Fig. 1c and Supplementary Fig. S1). Comparable rewiring among aSyn isoforms in PD was seen in a second independent data set from laser-dissected mDN tissue, and using additional probesets (Fig. 1d). We did not observe such aSyn transcript unwiring in other neurological diseases including Huntington's disease or frontotemporal dementia (Fig. 1e and Supplementary Fig. S1). In cortical brain samples from unaffected individuals²¹, the presence of a common single-nucleotide



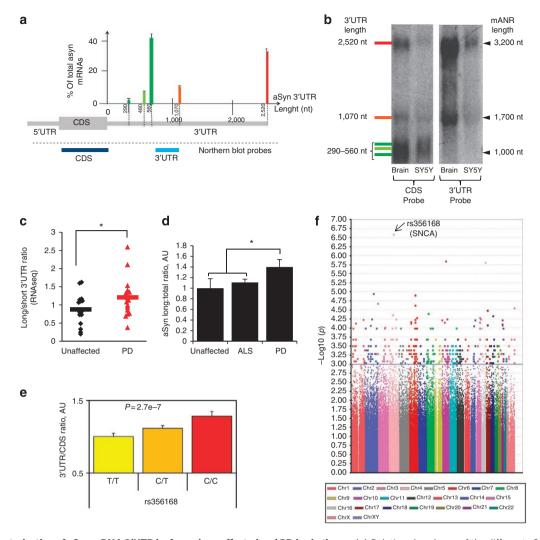


Figure 2 | Characterization of aSyn mRNA 3'UTR isoforms in unaffected and PD brain tissue. (a) Relative abundance of the different aSyn 3'UTR species, determined by pA-RNAseq in 17 cortical brain samples from unaffected individuals. The frequency of 3'UTR species is expressed as the percentage of total aSyn transcript, with short species (290, 480 or 560 nt) in shades of green; medium (1,070 nt) in orange and long (2,520 nt) in red. (b) Northern blot analysis of RNA from human brain or SH-SY5Y cells, as indicated. Blots were hybridized with probes targeting the aSyn CDS (left panel) or the 3'UTR (right panel). Nucleotide length is presented on the right; the corresponding 3'UTR size (colour coded as per a) is indicated on left. (c) Ratio of long 3'UTR aSyn mRNA to short 3'UTR aSyn mRNA species counts, evaluated by pA-RNAseq of cortical samples from unaffected individuals (n = 17, black diamonds) and from PD patients (n = 17, red triangles). Ratio corresponds to the long 3'UTR species (1,070 and 2,520 nt) read count divided by the shorter 3'UTR species (290, 480 or 560 nt) read count. Horizontal bars represent the means. *P<0.05, two-tailed t-test. (d) Ratio of aSynL:total transcripts, as quantified by RT-qPCR in cortical samples from PD (n=18), amyotrophic lateral sclerosis (ALS) (n=16) or unaffected individuals (n=8). The mean transcript ratio of the control group was arbitrarily set to 1 as a reference. *P<0.05, analysis of variance followed by the Bonferroni correction. (e) aSynL:total transcript ratios in cortical tissue samples from unaffected non-PD individuals (n = 365) are presented as a function of rs356168 PD-associated risk allele load. Individuals harbour O PD-risk alleles ('CC', left), 1 PD-risk allele ('CT', middle) or 2 PD risk alleles ('TT', right). Association between the allelic load of the T causative variant and the aSvnL:total ratio was evaluated by linear regression. (f) Manhattan plot representing the association of 380,157 SNPs with the aSynL:total ratio in the non-PD brain tissue cohort as in (e). X axis represents chromosomal location, y axis represents - log10 of the unadjusted P-value of association for each SNP with elevated aSyn transcript ratio. The aSyn 3' locus SNP rs356168 (arrow) exhibits the highest association. Error bars represent the s.e.m.

polymorphism (SNP) variant in the aSyn locus associated with increased PD risk (C at rs356168, 3kb downstream of the aSyn 3'UTR) was correspondingly associated with significantly decreased co-expression correlation between aSynL and other aSyn transcripts (Fig. 1f; Supplementary Data 3). Thus, even unaffected individuals harbouring an aSyn PD-risk variant display the aSyn isoform rewiring pattern of PD tissue.

We sought to more precisely characterize the different aSyn 3'UTR mRNA species in normal and PD human brain. High-throughput, whole-transcriptome sequencing of the 3'UTR ends of polyadenylated (PolyA) mRNA transcripts (termed pA-RNAseq;

see Methods) was performed on a cohort of 17 unaffected and 17 PD cerebral cortical tissue samples. This revealed five aSyn 3'UTR isoforms, with lengths from 290 to 2,520 nt (Fig. 2a; Supplementary Fig. S2). Of these, the 560- and 2,520-nt forms were predominant. The existence and relative preponderance of these species was further confirmed by northern blot (Fig. 2b). We next hypothesized, based on the DCA analysis above, that aSyn 3'UTR selection might be altered in PD. Comparison of pA-RNAseq profiles from PD and unaffected cerebral cortex samples revealed an increase in the preponderance of the long 3'UTR species (>560 nt) relative to shorter species (<560 nt; Fig. 2c, Supplementary Fig. S2). Such a relative

increase in aSynL was confirmed by quantitative real-time PCR (rt-qPCR) and appeared specific for PD, as the increase was also observed by comparison with RNA from amyotrophic lateral sclerosis patient samples (Fig. 2d). We note that the modified aSyn 3'UTR selection associated with PD patient tissue was detected in cerebral cortex tissue, which typically harbours pathological evidence of the disease process without frank cell loss²²; thus, this phenotype is unlikely to be a secondary consequence of neurodegeneration. Reanalysis of the aSynL:total ratio in the context of SN (Supplementary Fig. S2) or laser-microdissected SN mDNs (Supplementary Fig. S2) from PD patients or unaffected individuals did not show statistically significant change, perhaps reflecting confounding effects of the late-stage disease pathology in these samples.

To further circumvent potential confounding effects in diseased tissue, we quantified the aSynL:total transcript ratio in unaffected brain tissue from individuals with PD risk-associated or protective SNP variants at the aSyn locus. Reanalysis of cortical tissue from 182 unaffected individuals²³ demonstrated that the risk-associated variant (C at rs356168) was highly predictive of an elevated aSynL: total transcript ratio. This ratio quantitative trait locus (rQTL) effect was reproduced in an independent series of cerebral cortical tissue samples from 183 AD patients²³. Combination of both data sets by studying all 365 samples together also led to a highly significant association (n = 365; $P < 10^{-6}$ by linear regression coefficient analysis for allelic load; Fig. 2e). We further pursued the regulation of the aSyn rQTL using an unbiased, genome-wide approach by reanalysis of concurrent genome-wide SNP and cerebral cortical gene expression data^{21,23}. Strikingly, this genome-wide reanalysis identified the same PD risk-associated SNP in the 3' region of the aSyn locus (rs356168; as in Fig. 2e) as the most highly correlated with the aSyn mRNA 3'UTR ratio (Fig. 2f; Supplementary Data 4). Of note, no significant association was found in the 5' region of the aSyn locus (Supplementary Fig. S2).

DA regulation of aSyn transcript polyA site selection. Reanalysis of data from a publicly available Gene Expression Atlas (GEO accession code GSE7307) revealed surprising tissue selectivity in the relative abundance of the aSynL transcript. Among 22 human brain regions analysed, SN exhibited the highest ratio (Supplementary Fig. S8). Given the pathological evidence for altered aSyn accumulation in PD mDNs, we hypothesized that DA could directly modulate aSyn 3'UTR usage, in parallel with genetic regulation as detailed above. Treatment of primary rat cortical neuron with exogenous DA (100 µM) in vitro led to an increase in the aSynL: total ratio, as determined by rt-qPCR (Fig. 3a). We next examined the role of DA on aSyn transcript regulation in vivo. Control mice with a normal complement of mDNs (DA transporter (DAT)-Cre/Dicerflox/+24) treated systemically with 1-Dopa (20 mg kg-1 daily for 5 days)—which is taken up by mDNs through the DAT and leads to increased DA content—displayed an increased aSynL: total ratio in midbrain, as determined by RT-qPCR (Fig. 3b). Such l-Dopa treatment did not alter the aSyn transcript ratio in midbrain tissue from littermates deficient in mDNs (DAT-Cre/Dicerflox/flox mice). Furthermore, the effect of l-Dopa on the ratio was not apparent in brain regions other than midbrain, such as striatal tissue (data not shown). We note that this contrasts with the PD risk-associated SNP effect on the transcript ratio described above, which is readily evident in non-dopaminergic neurons, suggesting that the mechanism of DA action on the aSyn transcript ratio may be distinct from that of the risk SNP. Screening of other neurotransmitter receptor signalling modulators in vitro also supported a role for GABAergic modulation in the regulation of the aSynL:total transcript ratio. Specifically, the GABA-A (γ-aminobutyric acid) antagonist picrotoxin, significantly increased this ratio in cortical primary cultures whereas GABA-A agonist muscimol decreased it (Supplementary Fig. S3). In contrast, modulation of N-methyl-D-aspartate or kainate glutamate receptors did not appear to impact the aSynL:total transcript ratio (Supplementary Fig. S3).

To confirm the modified aSyn 3'UTR usage in an independent manner, we next performed *in situ* hybridization (ISH) studies on primary cortical neuron cultures from transgenic mice bearing a fragment of human chromosome 4 encompassing the whole aSyn locus including the 3'UTR²⁵ (termed aSyn P1 artificial chromosome (PAC) mice). Nucleic acid probes were designed to either detect all human aSyn mRNA species or specifically the human long 3'UTR; these probes do not cross-react with endogenous rodent aSyn mRNA (Supplementary Fig. S3). As expected, treatment with either DA or picrotoxin led to an increase in the aSynL:total ratio (Fig. 3c,d).

To characterize the mechanism by which DA impacts the aSynL: total ratio, we sought to distinguish between co-transcriptional modifications (acting on nascent aSyn mRNA generation), such as alternative cleavage and poly(A) site selection, and post-transcriptional effects on the relative stability of different isoforms. We thus performed pulse-chase nascent RNA-labeling studies in DA-treated or -untreated human SH-SY5Y cells (using 5-ethynyl uridine (EU); Supplementary Fig. S3). DA treatment exclusively during the pulselabeling period led to a robust and durable increase in aSyn_L:total ratio among the labelled RNA population. By contrast, DA treatment exclusively post-labeling did not produce any effect on the aSyn_I:total ratio among the labelled RNA population (Fig. 3e and Supplementary Fig. S3). Consistently with those results, treatment with the transcriptional inhibitor, actinomycin D, along with DA, prevented the increase in aSyn_I:total ratio (Supplementary Fig S3e). Taken together, these data suggest that DA acts co-transcriptionally to modify alternative 3'UTR cleavage and poly(A) site selection, rather than acting post-transcriptionally on the stability of the mRNA isoforms. Furthermore, disruption of a PolyA site corresponding to the short 3'UTR within an aSyn mini-gene plasmid occluded the DA-mediated increase in the aSyn_I:total ratio in the mini-gene context (Supplementary Fig. S3).

The regulation of aSyn 3'UTR selection by DA may either be a consequence of accumulation of intracellular DA or be due to receptor-mediated dopaminergic signalling. We sought to distinguish these mechanisms. As intracellular accumulation of DA is greatly facilitated by the DAT in SN neurons (Supplementary Fig. S4) but is absent from cortical neurons, we overexpressed DAT in cortical neuron cultures; this significantly increased the sensitivity of primary cortical neurons to DA (at 10 µM) with respect to aSynL:total ratio modification (Supplementary Fig. S4). In contrast, the monoamine reuptake inhibitor nomifensine suppressed sensitivity to high-dose DA (100 µM; Supplementary Fig. S4). These data support a specific role for cytoplasmic DA in the regulation of the aSynL 3'UTR ratio, perhaps due to the induction of oxidative stress^{26,27}. Consistent with this notion, environmental risk factors associated with cellular oxidative stress and increased PD risk—such as aging, rotenone or 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine exposure—all predictably increase the aSynL:total ratio. In contrast, nicotine exposure—associated with decreased risk—reduces the aSynL:total ratio (Supplementary Fig. S7). We cannot exclude additional extracellular roles for DA through receptor signalling, but analysis of receptor agonists was inconclusive (Supplementary Fig. S4).

aSynL 3'UTR is associated with increased protein translation. Prior proteomic analyses of neuroblastoma cultures *in vitro* have suggested that exogenous DA can potentiate aSyn protein accumulation^{28,29}, reminiscent of the effect of DA on the aSynL:total ratio (Fig. 3a, Supplementary Fig. S3). Consistent with this, either DA or picrotoxin treatment significantly increased endogenous aSyn protein levels in SH-SY5Y cells, as quantified by enzyme-linked immunosorbent assay (ELISA) (Fig. 3f). Similarly, l-Dopa treatment of 10-mo-old human aSyn PAC transgenic mice (as above in Fig. 3b) significantly

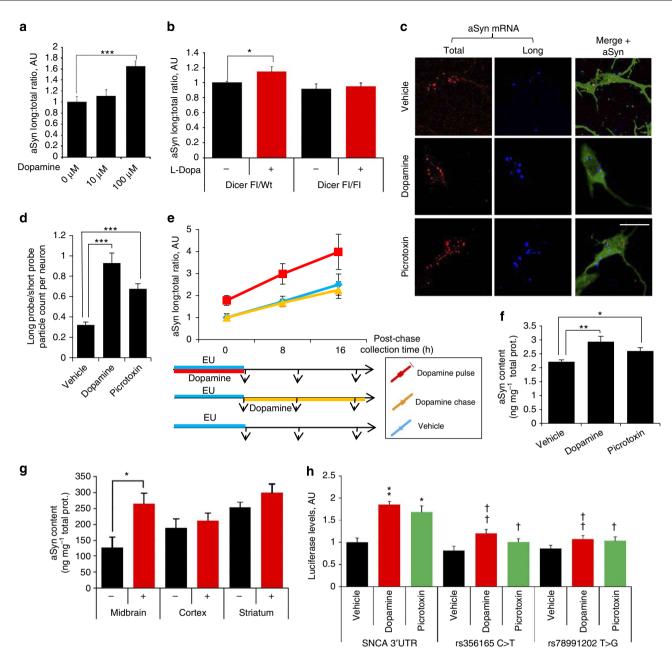


Figure 3 | Regulation of aSyn transcript isoform ratio in vitro and in vivo. (a) aSynL:total ratio RT-qPCR quantification in rat primary cortical neuron cultures exposed to extracellular DA as indicated. N=8 per group. (b) I-Dopa treatment (20 mg kg⁻¹per day, 5 days) of 2-month-old control (DAT-Cre/ Dicer $^{flox/flox}$) mice but not Dicer-deficient mice (DAT-Cre/Dicer $^{flox/flox}$, having lost >95% of mDNs²⁴) led to an increased aSynL:total ratio in midbrain. N=6 per group. (c) In situ hybridization (ISH) with probes for human aSyn CDS (red) or specific for the aSyn long 3'UTR (blue) of primary cortical neuron cultures from aSyn-PAC transgenic mice. Cells were treated with DA (100 µM), picrotoxin (100 µM) or vehicle for 24 h and co-stained with antibodies to aSyn (green). Scale bar, $10 \,\mu\text{m}$. (d) aSynL:total ratio was quantified in terms of particle count per neuron using ISH probes as per (c). n > 10 neurons per group from three independent wells. (e) SH-SY5Y cells were cultured for 8 h in the presence of EU (to label newly transcribed RNA; 'pulse') and subsequently maintained without EU for the indicated period of time ('chase'). aSynL:total ratio was then evaluated by RT-gPCR in EU-labeled nascent RNA, as well as in total RNA. Pulse-chase analyses were conducted in the absence of DA ('vehicle'; blue), in the presence of 100 µM DA during the EU-labeling period only ('DA pulse'; red), or during the chase exclusively ('DA chase'; yellow). n = 5 per group (f), total endogenous aSyn protein levels in SH-SY5Y cells treated with DA (100 μ M), picrotoxin (100 μ M) or vehicle for 48 h. n = 5 per group. (g) I-Dopa treatment (20 mg kg $^{-1}$ IP daily for 5 days) of 2-month-old aSyn PAC transgenic mice led to significantly increased aSyn protein in midbrain tissue. n > 5 mice per group. (h) Human SH-SY5Y cells were transfected with a firefly luciferase reporter vector harbouring a 1.1-kb human aSyn 3'UTR element (along with a Renilla luciferase control vector), or with this luciferase vector modified to encode the rs356165 (C>T) or the rs78991202 (T>G) minor alleles. DA ($100\,\mu\text{M}$) or picrotoxin ($100\,\mu\text{M}$) were added to the culture medium for 24h before luciferase activity quantification. n = 6 for each group. For all graphs, error bars are s.e.m.; *P < 0.05, **P < 0.01 and ***P<0.001, as determined by analysis of variance followed by the Bonferroni correction. For h specifically, *P<0.05, **P<0.01 for comparisons with the Vehicle treatment for the same plasmid vector, and $^{\dagger}P < 0.05$, $^{\dagger}P < 0.01$ for comparisons with the SNCA 3'UTR plasmid vector under the same treatment (dopamine or picrotoxin).

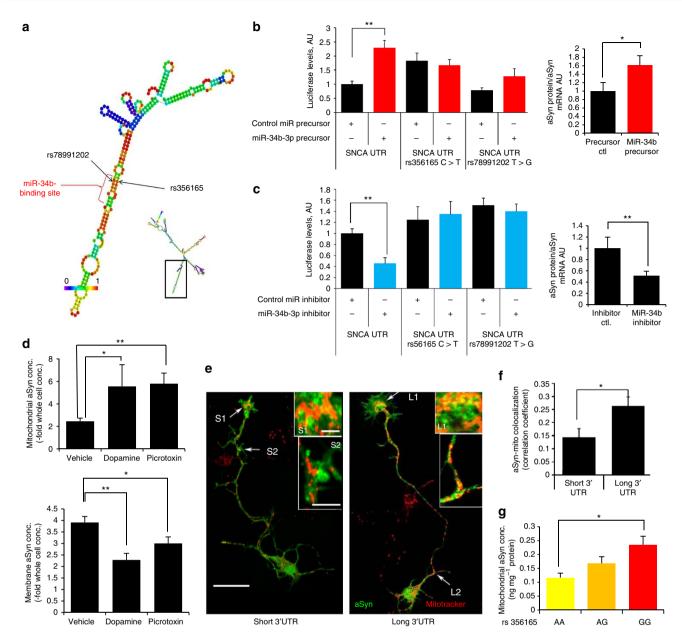


Figure 4 | Longer aSyn transcript 3'UTRs promote protein accumulation and mitochondrial localization. (a) Predicted local secondary structure of aSyn 3'UTR RNA near the rs356165 and rs78991202 SNPs using RNAfold⁴⁵. A putative miR-34-3p-binding site lies in this region (as determined by Targetscan analysis). Insert panel shows the predicted global structure of the aSyn 3'UTR, with black box denoting the area of interest. (b,c) Left panels: luciferase activity in HEK293 cells, quantified 24 h after transfection with the luciferase-aSyn 3'UTR reporter vector, along with a miR-34b-mimic (b) or a miR-34b-inhibitor (c). n=6 for each group. Right panels: total endogenous aSyn protein levels (normalized to total aSyn mRNA levels as measured by RT-qPCR) in SH-SY5Y cells transfected with a miR-34b-mimic (b) or with a miR-34b-inhibitor (c). n = 5 for each group. (d) In SH-SY5Y cells exposed to DA (100 µM) or picrotoxin (100 µM) for 48 h, aSyn protein content is preferentially increased in mitochondrial preparations relative to whole-cell aSyn content (upper panel) and decreased in membrane preparations (lower panel, n = 5 for each group) (e.f.), Rat primary cortical neurons cultures at 3 days in vitro were transfected with a vector encoding a GFP-aSyn fusion protein (green) with either a short (0.3 kb) or a long (1.1 kb) aSyn 3'UTR and stained with Mitotracker (e, in red) followed by confocal microscopy. Increased colocalization was observed in the context of the longer 3'UTR, both within the axonal growth cone terminal fields (L1 or S1 arrows, magnified in upper inserts) as well as in axonal processes (arrows L2 or S2, magnified in lower inserts). Scale bar, 10 μm in main panel, 5 μm in insets. (f) Colocalization quantification of aSyn-GFP and Mitotracker as in (d-e; n=12 randomly chosen fields per well, with >3 wells per group. (g) aSyn protein levels in mitochondrial protein fractions isolated from 19 human cortical brain samples of PD-free individuals, grouped by their rs356165 genotype (AA, n = 3; AG, n = 12; and GG, n = 4). For all graphs, error bars represent the s.e.m. (**b** and **d**) *P < 0.05and **P<0.01, as quantified by analysis of variance followed by the Bonferroni post-hoc correction. (\mathbf{c} and \mathbf{f}) *P<0.05, by two-tailed t-test. (\mathbf{g}) *P<0.05, as evaluated by linear regression against rs356168 allelic load.

increased the accumulation of human aSyn protein in midbrain but not in other brain regions such as striatum or cortex (Fig. 3g). To further parse the role of the aSyn 3'UTR element, we transfected luciferase assay vectors that harbours either an 1,100-nucleotide

(3'UTR-long) or a 560-nucleotide (3'UTR-short) human aSyn 3'UTR element into SH-SY5Y human neuroblastoma cells (Supplementary Fig. S5). Treatment of 3'UTR-long vector-transfected SH-SY5Y cells with DA led to increased luciferase expression (Fig. 3h) that was by

contrast not observed with 3'UTR-short-transfected cells (Supplementary Fig. S5). Taken together, these findings directly implicate the distal part of the aSyn 3'UTR as a cis-acting element, leading to increased mRNA translation and protein accumulation.

Genetic variants modify aSynL translation. We hypothesized that SNPs present within the aSvn transcript 3'UTR and that are associated with PD risk may have a direct role in aSynL translation regulation by modifying key 3'UTR cis-acting elements³⁰. We identified six candidate SNPs that are present in the aSyn 3'UTR and show significant variability in the population (minor allele frequency > 1%; Supplementary Fig. S5). Luciferase assay vector analysis in SH-SY5Y cells revealed that two out of these six SNPs-rs356165 and rs78991202-modify DA responsiveness of the aSyn 3'UTR (Fig. 3h, Supplementary Fig. S5). Both of these are specific to the long (rather than the short) aSynL 3'UTR form. Furthermore, whereas these SNPs are separated by ~80 nt within the primary 3'UTR sequence, RNA secondary structure analysis predicts that both are located within complementary strands of a single stem-loop structural element (Fig. 4a). We further note that rs356165 is tightly linked (in linkage disequilibrium) with the SNP identified in the above rQTL analysis as regulating the aSynL:total ratio (rs356168; Supplementary Table S4) and is strongly associated with PD risk (Supplementary Table S4; linkage for rs78991202 is undetermined). Importantly, the protective allele of rs356165 was found to reduce aSyn 3'UTR-mediated translation (Fig. 3h). Taken together, these data implicate rs356165 as a candidate causative variant within the aSyn 3'UTR.

Scanning for potential cis-acting regulatory modules within the aSyn 3'UTR that could be altered by the SNP variants, we identified a miR-34b-binding site that overlaps with the rs356165 and rs78991202 sites (using TargetScan analysis³¹; Fig. 4a). Co-transfection of HEK-293 cells with a miR-34b-3p precursor, along with a luciferase vector bearing the aSyn 3'UTR, significantly induced the level of the luciferase reporter (Fig. 4b, relative to a control miRNA precursor). In contrast, transfection of a miR-34b-3p-specific inhibitor significantly decreased luciferase expression (Fig. 4c; relative to a control miRNA inhibitor). Those effects were moreover abolished in the context of constructs harbouring either of the two SNP variants as above (rs3561165 C>T and rs78991202 T>G). The effects of the miR-34b-3p mimic and inhibitor on aSyn translation were further confirmed in the context of endogenous human aSyn in human neuroblastoma SH-SY5Y cells (right panels, Fig. 4b,c, Supplementary Fig. S5). Although miRNAs typically inhibit the stability of targeted mRNAs, other examples of microRNA-mediated translational induction have been described³². The miR-34b effect appears independent of the DA effect in the context of the wild-type allele (rs3561165 C and rs78991202T), as the two treatments appeared simply additive (Supplementary Fig. S5). We note that unlike the miR-34b target site which is present in aSynL but not short aSyn 3'UTR transcript isoforms, the predicted target sites for other miRNAs previously implicated in the regulation of aSyn, such as miR-7³³, are present within the proximal region of the aSyn 3'UTR and thus impact expression of both long and short isoforms equivalently (Supplementary Fig. S5 and data not shown).

The aSynL 3'UTR impacts protein localization. We next probed the potential impact of aSyn 3'UTR regulation on aSyn protein accumulation and subcellular localization in primary neurons. Treatment of primary cortical neurons aSyn transgenic PAC mice with DA or picrotoxin, shown above to increase the proportion of aSynL transcripts, also significantly increased the fraction of aSyn protein that colocalized with mitochondria (Supplementary Fig. S6). This was confirmed by biochemical analysis in human SH-SY5Y cells, as either DA or picrotoxin treatment was associated with an increased proportion of endogenous aSyn protein within mitochondrial

fractions (Fig. 4d). To relate these findings more directly to the aSyn 3'UTR, we transfected vectors encoding a green fluorescent protein (GFP)-aSyn fusion gene, bearing either a short or a long aSyn 3'UTR (300 and 1,100 nt, respectively), into primary rat cortical neuron cultures. Consistent with a regulatory role for the aSyn 3'UTR, expression of transcripts that harbour the 1.1-kb aSyn 3'UTR led to increased aSyn protein co-localization with mitochondria, relative to expression of transcripts that harbour a short 3'UTR (Fig. 4e,f). Similar transfection experiments in SH-SY5Y cells, followed by biochemical purification of mitochondrial protein fractions, confirmed the preferential mitochondrial accumulation of aSyn protein in the context of long aSyn 3'UTR transcript expression (Supplementary Fig. S6). Concomitant with mitochondrial relocalization in the context of DA or picrotoxin treatment of SH-SY5Y cells, endogenous aSyn protein concentration in the total membrane protein fraction was decreased (Fig. 4d). Similarly, in primary rat cortical neuron cultures transfected with a GFP-aSyn fusion gene, colocalization with the presynaptic marker synaptophysin was reduced in the context of the longer aSyn 3'UTR (data not shown). Taken together, these results suggest a preferential mitochondrial localization of aSyn protein produced from the aSynL transcript. We note that 3'UTR-dependent recruitment of mRNAs to the vicinity of mitochondria has been described for other transcripts $^{34-36}$.

If indeed aSynL leads to the preferential localization of aSyn protein at mitochondria, one prediction would be that such localization would be apparent in human brain tissue from unaffected individuals that harbour the PD risk-associated allele (as such tissue displays an increased aSynL/total ratio; Fig. 2e). Consistent with this hypothesis, aSyn protein concentration in mitochondrial fractions was significantly increased in the context of the aSyn locus SNP risk allele in an allele dose-dependent manner (Fig. 4g), whereas such an increase was not apparent for total aSyn concentration (data not shown). A final prediction is that aSyn relocalization to mitochondria might lead to evidence of mitochondrial dysfunction³⁷, even in brain tissue from unaffected individuals that harbour the PD risk allele. To this end, we identified those transcriptome-wide gene expression changes that are most highly dependent on the allelic load of the PD risk-associated SNP variant across a panel of 183 cortical brain samples from unaffected individuals (see Methods). The set of modified transcripts was then functionally annotated by gene set enrichment analysis (GSEA³⁸; Supplementary Fig. S6). Among the seven Gene Ontology categories most impacted by the risk SNP (n = 183; P < 0.01, FDR < 25% by GSEA using Kolmogorov–Smirnov statistical analysis) the majority relate to mitochondrial function (Supplementary Table S3). This is consistent with prior studies of patient-derived PD SN autopsy tissue using differential expression GSEA analysis¹⁵.

Discussion

Using a combination of brain gene expression DCA and functional studies in model systems, we provide evidence that aSyn transcripts with an extended 3'UTR, aSynL, are selectively associated with PD pathology. Diverse PD risk factors—such as risk-associated variants at the aSyn locus, aging, and toxin exposure—all similarly increase the aSynL:total ratio. These findings suggest a model in which such PD risk factors converge on a common mechanism: differential aSyn transcript 3'UTR usage (Fig. 5).

The studies herein support the utility of brain DCA network analysis as an adjunct to genome-wide association (GWA) studies in the pursuit of molecular mechanisms of non-familial 'sporadic' neurodegeneration. GWA studies have identified common genetic variants associated with non-familial PD risk. However, such variants can be difficult to functionally parse. DCA is a gene expression network approach to identify candidate transcripts associated with disease that is completely independent of GWA studies. In cases where genetic association studies and DCA converge on a

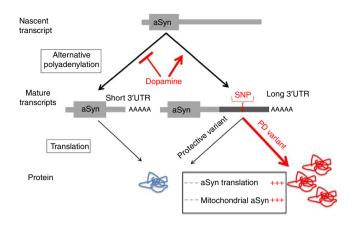


Figure 5 | Regulation and consequences of aSyn 3'UTR alternative usage. Nascent aSyn RNA transcripts are co-transcriptionally processed to generate mRNA species with alternative 3'UTR elements. Increased cytoplasmic DA promotes the generation of an mRNA isoform that harbours a longer 3'UTR, aSynL. The presence of a longer 3'UTR, particularly in the context of disease-associated SNP variants at the aSyn 3'UTR locus, enhances the accumulation of aSyn protein, as well as the preferential localization of aSyn protein to mitochondria.

candidate, as with aSynL, a mechanistic pathway may be strongly supported.

The generation of aSyn transcripts with longer 3'UTR elements impacts aSyn protein accumulation and intracellular sorting in a manner consistent with disease pathology: there is redistribution away from synaptic terminals and towards mitochondria and soma structures (Fig. 5). 3'UTR elements typically regulate mRNA translation and stability through interactions with other RNA species (such as miR-34b) or binding proteins, but an impact on protein localization is less common. The impact of the aSynL transcript 3'UTR on the subcellular distribution of aSyn protein to mitochondria has some precedent. In the context of a subset of nuclear-encoded genes, 3'UTR elements have been shown to directly guide recruitment of mRNA transcripts to the vicinity of mitochondria, followed by local translation, leading to mitochondrial protein localization^{34,35}. Alternatively, 3'UTR elements may modify post-translational protein sorting indirectly. We speculate that the regulation of aSyn transcript alternative 3'UTR usage may serve a physiological function, such as in the context of synaptic regulation by aSyn, in addition to a pathological role. Consistent with such a functional role, proximal sequences within the aSyn 3'UTR are evolutionarily conserved from human to rodents. But of note, the human aSynL 3'UTR region additionally contains a long interspersed element repeat not present in other organisms. As this long interspersed element element encompasses the rs356165 SNP and the miR-34b-binding site sequences, it imparts human-specific aspects of aSyn regulation.

Finally, our data imply that modifiers of the aSynL:total ratio may be of potential therapeutic value. We note that the aSynL:total ratio is also elevated in gene expression analysis of patient blood samples relative to unaffected controls (Supplementary Fig. S8), suggesting utility as a biomarker for disease or treatment.

Methods

In vivo I-Dopa treatment. Two-month-old DAT-Cre/Dicer flox/flox and DAT-Cre/Dicer flox/+24 or 40-weeks-old male PAC-Tg (SNCA)+/-;Snca+/- (a gift from Dr Robert L. Nussbaum, University of California San Francisco, 25) received $20\,\mathrm{mg\,kg^{-1}}$ l-Dopa with $12\,\mathrm{mg\,kg^{-1}}$ benserazide (or PBS vehicle only) by intraperitoneal injection daily for 5 days. Benserazide, a Dopa decarboxylase inhibitor that does not cross the blood–brain barrier, was used in combination with l-Dopa as it is with PD patients, to prevent l-Dopa decarboxylation outside of the brain. One hour after the last dose, mice were anesthetized by inhaled isoflurane and

the brains were rapidly removed. The prefrontal cortex, striatum and midbrain were dissected out and stored at $-80\,^{\circ}$ C. All studies adhered to the animal welfare guidelines of the Columbia University Institute Animal Care and Use Committee.

polyA-RNAseq. Libraries generation and sequencing: First-strand cDNA was synthesized from 1 µg of RNA per biological sample using SuperScript III (Invitrogen) following the manufacturer's instructions and using the pdT-FS oligonucleotide to prime the reverse transcription. Barcoded first-strand samples from different samples were then pooled and treated with RNase H (Invitrogen) at 37 °C for 20 min followed by 15 min at 75 °C to degrade RNA template. First-stand cDNA was then purified using the QIAquick PCR Purification kit (Qiagen) in a total volume of 30 ul. Second-strand cDNA was synthesized from 25 ul of first-strand cDNA template by adding 10 µl 10× buffer 2 (NEB), 5 µl 10 mM deoxyribonucleotide triphosphates, 20 U Klenow Fragment (3' \rightarrow 5'exo-; NEB), 10 µl of 100 µM tagged second-strand primer (R-SS oligonucleotide: 5'-TCCGATCTGANNNNNNN-3' with N = A, C, T, G mix) and 46 μ l water. The reaction mix was incubated at 37 °C for 30 min, followed by 10 min at 75 °C then cooled down at 4 °C. Double-stranded cDNA was purified using PureLink PCR micro columns (Invitrogen) in a 30-ul volume. Illumina-compatible libraries were then generated by PCR from 25 ul of double-stranded cDNA template using Accuprime Pfx polymerase (Invitrogen) following the manufacturer's instruction with NNSR forward (5'-AATGATACG GCGACCACCGAGATCTACACTCTTTCCCTACACGACGCTCTTCCGA TCTCT-3') and NNSR reverse (5'-CAAGCAGAAGACGGCATACGAGATCGG TCTCGGCATTCCTGCTGAACCGCTCTTCCGATCTGA-3') primers. Thermo cycling conditions were 2 min at 94 °C followed by 2 cycles of 94 °C for 10 s, 40 °C for 2 min, 68 °C for 1 min; 8 cycles of 94 °C for 10 s, 60 °C for 30 s, 68 °C for 1 min; 15 cycles of 94 °C for 15 s, 60 °C for 30 s, 68 °C for 1 min with an additional 10 s added at each cycle; and 68 °C for 5 min before cooling to 4 °C. Amplified libraries were purified using PureLink PCR micro columns (Invitrogen) and directly used to generate clusters for sequencing-by-synthesis using the Illumina HiSeq 2000 platform. In total, 100 bp single-end reads were obtained by sequencing to gener-

ate > 300 million reads for the 34 samples.

Data were analysed using Galaxy³⁹: Illumina reads were converted to FASTQ Sanger format using FASTQ Groomer, the first 27 bp at their 5'ends of the reads were trimmed using FASTQ Trimmer to remove the polyA and adapters sequences and mapped to human hg19 genome using Burrows–Wheeler Alignment tools⁴⁰ with Galaxy's default settings allowing 4% of missing alignments. All those tools are included in the Galaxy NGS toolset.

Quantitative RT-PCR. RT-qPCR analyses were performed as described⁴¹. The human aSynL:total mRNA ratio was quantified in terms of ΔΔCt⁴¹ using primer pair Lh for the human long form (HaSynLfw (5'-CTGACACAAAGGACAAA-3') and HaSynLrv (5'-TTCCGAGTGTAGGGTTAATGTT-3')) and primer pair Th for human total (HaSynTfw (5'-AGGGTGTTCTCTATGTAGG-3') and HaSynTrv (5'-ACTGTCTTCTGGGCTACTGC-3')). For analysis of either mouse or rat, primer pairs mrL (RaSynLfw (5'-AACTTCTTGAGAACAGCAACAA-3') and RaSynLrv (5'-CTCCCCTCTCACTACAG-3')) and mrT (RaSynTfw (5'-CAACGT GCCCAGTCA-3') RaSynTrv (5'-GGATGCTGAGGGGCAGGT-3')) were used.

In situ hybridization. *In situ* hybridization (ISH) were performed using Quanti-Gene ViewRNA ISH Cell Assay (Panomics) following the manufacturer's instructions, with QG ViewRNA TYPE 8 Probe Sets (Panomics) designed to target either human aSyn CDS sequences (bases 264-634 from NM_000345.3; Panomics) or to target human aSynL 3'UTR sequences (bases 1,180-1,760 from NM_000345.3).

Primary neurons cultures. Cultures of rodent neurons were prepared using standard methods as described⁴². Cells were maintained *in vitro* for 3–5 days before drug treatments or transfection using Lipofectamine 2000 (Invitrogen) following the manufacturer's instructions.

Western blotting. Western blotting was performed according to the manufacturer's protocols with NuPage Bis-Tris Mini Gel and Xcell II Blot Module (Invitrogen)⁴³ with alpha-synuclein antibody (C20, Santa Cruz), Tom20 (Abnova), synaptophysin (Millipore) and β-actin (Abcam, 1:400).

Northern blotting. Northern blots were performed using the NorthernMax kit (Ambion) following the manufacturer's instructions. Ten microgram of total RNA was purified using the miRNeasy kit (Qiagen) and loaded per lane. Probes for northern blots were generated from a human brain cDNA template by PCR amplification using primers HNBaSynTfw (5'-AGCCATGGATGTATTCATGAAAGGA-3') and HNBaSynTrv (5'-TTAGGCTTCAGGTTCGTAGTC-3') for the human aSyn CDS probe, and HNBaSynLfw (5'-GATGTGTTTTATTCACTTGTG-3') and HNBaSynLrv (5'-AAAAGGCTCAATTAAAAATGTATAAC-3') for the 3'UTR-specific probe.

aSyn protein quantification. Human aSyn protein levels were determined using the aSyn Human ELISA kit (Invitrogen). Absorbance was read on a VersaMax ELISA Microplate Reader (Molecular Devices, Inc.) at 450 nm. The amount of human aSyn was normalized to total cellular protein as determined with the DC Protein Assay Reagent kit (Bio-Rad). For subcellular compartment quantifications,

mitochondria were purified using the Qproteome Mitochondria Isolation Kit (Qiagen) and membrane fractions were isolated using the Subcellular Protein Fractionation kit (Pierce) following the manufacturers' instructions; aSyn protein levels were then quantified by ELISA as above. Mitochondrial preparations were validated by western blot analysis for Tom20 and synaptophysin (Supplementary Fig. S6).

Nascent RNA capture. Briefly, cells were incubated with EU in the culture medium, which is incorporated into the newly transcribed RNA, for the indicated period, followed by a chase period in the absence of EU. At different time points during the chase, total RNA is extracted using the miRNeasy kit (Qiagen). From this total population, labelled RNA is captured using the Click-iT Nascent RNA Capture Kit (Invitrogen) following the manufacturer's instructions; total and nascent RNA were then subjected to RT-qPCR analysis.

Immunocytochemistry. Cells were immunostained with Rabbit anti-aSyn (Santa Cruz, 1:200) or Mouse anti-Synaptophysin (Millipore, 1:100) as primary antibodies, and Alexa 555 goat anti-rabbit IgG or Alexa 633 goat anti-mouse IgG (Invitrogen) secondary antibodies. MitoTracker-Orange (Invitrogen, 1:10,000) was added into media for 15–20 min in living cell culture. Fluorescent microscopy was performed using a Zeiss LSM510 Meta confocal microscope. Colocalization analyses were done in R using EBImage package; using Pearson's correlation coefficient.

Luciferase assays. The human SH-SY5Y neuroblastoma cell line (ATCC) was cultured following the distributor's instructions. Cells were plated at a density of 4×10^5 cells per well (in 48-well plates) in wells coated with 0.1% gelatin (Specialty Media, Millipore) 24 h before transfection. Transfections were performed with Lipofectamine 2000 reagent (Invitrogen) following the manufacturer's instructions. The human HEK-293T cell line (ATCC) was cultured in DMEM (Invitrogen) supplemented with 10% fetal bovine serum (Invitrogen). Cells were plated at a density of 8×10^3 cells per well (96-well plates). Mir-34b-3p precursor, specific anti-miR Mir-34b-3p inhibitor, anti-miR negative control #1 and pre-miR negative control #1 were purchased from Ambion. Cells were co-transfected with luciferase reporter plasmids and a small RNA or inhibitor (as indicated) using siPort NeoFx reagent (Ambion) following the manufacturer's protocol. Luciferase and Renilla activities were measured 24 or 48 h after transfection using Dual-Glo luciferase assay system (Promega).

Plasmids. Dual hRen/hLuc pEZX-MT01 plasmid with the first 1,074 bp ('Long', HmiT017582-MT01) or 560 bp ('Short', HmiT017583-MT01) of human aSyn 3′UTR downstream of luciferase or control vector (CmiT000001-MT01) were purchased from Genecopoeia. Point mutant corresponding to rs356165 C > T and rs78991202 A > C were generated from HmiT017582-MT01 by site-directed mutagenesis (Genewiz). Plasmids expressing a GFP−aSyn fusion with either a long (1,074 bp) or a short (560 bp) 3′UTR were generated by insertion in a pEGFP-C1vector (Clontech) between its *Xho*I and *Hind*III restriction sites of *Hind*III/XhoI digested PCR products obtained from human brain cDNA using the forward primer XhoI-Start (5′-ATCTCGAGCCATGGATGTATTCATGAAAGGA-3′) with either *Hind*III-275 (5′-CAAAGCTTAGGTGTTTTTAATTTGTTTAACATCGT-3′) or *Hind*III-1074 (5′-CAAAGCTTCATGGTCGAATATTATTTATTGTCAGAA-3′) as a reverse primer. PolyA-disrupted vector was generated from pEGFP-aSyn-Long 3′UTR; the putative PolyA signal at position 542−552 of the aSyn 3′UTR '5′-AATTAAAATAA-3″ was deleted by site-directed mutagenesis (Genewiz).

Human autopsied brain samples. Age-matched samples from PD patients (5 female, 12 male, average age 78.29 ± 5.95), unaffected individuals (5 female, 12 male, average age 74.05 ± 13.04) or amyotrophic lateral sclerosis patients (7 female, 9 male, average age 70.02 ± 11.01) BA9 area brain samples were obtained from the New York Brain Bank⁴⁴. Samples were provided devoid of any personal information.

Statistical analysis. Results are given as mean ±s.e.m. Where appropriate, statistical analysis were performed with analysis of variance test followed by the Bonferroni corrected tests. Otherwise, comparisons between groups were conducted using Student's *t*-test.

QTL analysis. Data from cerebral cortex transcriptome-wide gene expression analyses, as well as genome-wide SNP analyses for 183 non affected individuals and 182 Alzheimer's affected individuals, were previously described²³. These were downloaded (http://labs.med.miami.edu/myers/LFuN/LFuN.html) and reanalysed. The rQTL for each sample was determined as a ratio of the value for probes GI_6806896-I and GI_6806897-A. Subsequently, the rQTL value was provided as a continuous numeric trait variable in the gplink assoc function, filtering for minor allele frequency below 0.05, genotype missingness > 0.1 and Hardy-Weinberg equilibrium threshold of 0.001. Haploview was used to generate a Manhattan plot of the output data. To evaluate the effect of other SNPs at the SNCA locus independently of rs356168, the aSynL:total ratio values were corrected for each samples for rs356168 allelic load by fitting of a linear regression (ratio ~a.AL+b, with ratio the aSynL:total ratio and AL the rs356168 allelic load) model using R *lm* function. Residuals obtained by this operation were then used

as the aSynL:total ratio corrected for rs356168 and analysed for quantitative trait association analysis in *gplink*.

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Author contributions

A.A. and H.R. designed studies, interpreted data and wrote the manuscript. H.R. performed the bioinformatics analysis and the molecular biology experiments. L.Q., A.Z. and W. V. performed the cell culture experiments. T.Y. performed the animal experiments. D. R. performed the northern blot.

Additional information

Accession codes: Sequences for RNA transcripts provided in Supplementary Data 1–4 have been deposited in the Gene Expression Omnibus database under accession code GSE40710.

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