NOVA Microhypervisor Interface Specification

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The specification could include technical inaccuracies or typographical errors. Additions and changes are periodically made to the information therein; these will be incorporated into new versions of the specification, if any.

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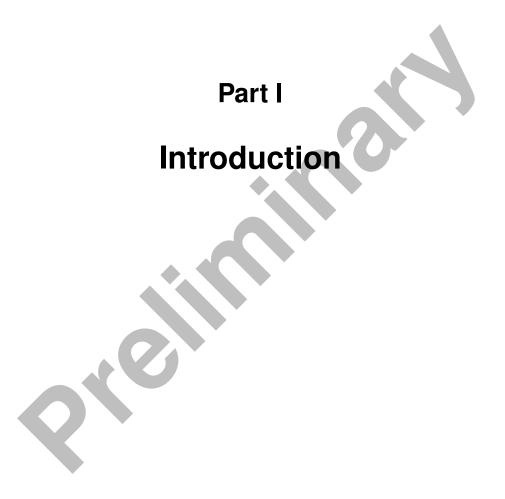
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Notation

Throughout this document, the following symbols are used:

- Indicates that the value of this parameter or field is **undefined**. Future versions of this specification may define a meaning for the parameter or field.
- _ Indicates that the value of this parameter or field is **ignored**. Future versions of this specification may define a meaning for the parameter or field.
- **■** Indicates that the value of this parameter or field is **unchanged**. The microhypervisor will preserve the value across hypercalls.





1 System Architecture

The NOVA OS Virtualization Architecture facilitates the coexistence of multiple legacy guest operating systems and a multi-server user-mode framework on a single platform [9]. The core system leverages virtualization technology provided by modern x86 or ARM platforms and comprises the NOVA microhypervisor and one or more Virtual-Machine Monitors (VMMs).

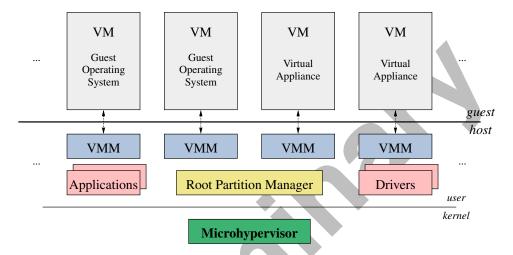


Figure 1.1: System Architecture

Figure 1.1 shows the structure of the system. The microhypervisor is the only component running in privileged root/kernel mode. It isolates the user-level servers, including the virtual-machine monitor, from one another by placing them in different address spaces in unprivileged root/user mode. Each legacy guest operating system runs in its own virtual-machine environment in non-root mode and is therefore isolated from the other components.

Besides isolation, the microhypervisor also provides mechanisms for partitioning and delegation of platform resources, such as CPU time, physical memory, I/O ports and hardware interrupts and for establishing communication paths between different protection domains.

The virtual-machine monitor handles virtualization faults and implements virtual devices that enable legacy guest operating systems to function in the same manner as they would on bare hardware. Providing this functionality outside the microhypervisor in the VMM considerably reduces the size of the trusted computing base for all applications that do not require virtualization support.

The architecture and interfaces of the VMM and the multi-server user-mode framework are not described in this document.

Part II Basic Abstractions

2 Kernel Objects

2.1 Protection Domain

- 1. The Protection Domain (PD) is a unit of protection and isolation.
- 2. Access to a Protection Domain (PD) is controlled by a PD Object Capability (CAP_{OBJpp}).
- 3. A PD is composed of a set of spaces that store Capabilities (CAPs) to kernel objects or platform resources that can be accessed by ECs within that PD. The following subsections detail these spaces.

2.1.1 Object Space

- 1. The Object Space (SPC_{OBJ}) is available on all architectures.
- 2. Each slot of the Object Space contains either a Null Capability (CAP₀) or an Object Capability (CAP_{0BJ}) that refers to a kernel object.
- 3. An Object Capability Selector (SEL_{OBJ}) serves as index into the Object Space and selects a slot.
- 4. Each hypercall issued from within the PD explicitly specifies the SEL_{OBJ} to select the CAP_{OBJ} for the kernel object on which it operates.

2.1.2 Memory Space

- 1. The Memory Space (SPC_{MEM}) is available on all architectures.
- 2. Each slot of the Memory Space contains either a Null Capability (CAP₀) or a Memory Capability (CAP_{MEM}) that refers to a 4 KiB page frame in physical memory.
- 3. A Memory Capability Selector (SEL_{MEM}) serves as index into the Memory Space and selects a slot.
- 4. Each memory access issued from within the PD implicitly uses the virtual page number (VirtAddr >> 12) of the access as SELMEN to select the CAPMEN for the 4 KiB page frame on which it operates.

2.1.3 I/O Port Space

- 1. The I/O Port Space (SPC_{PIO}) is available on the x86 architecture only.
- 2. Each slot of the I/O Port Space contains either a Null Capability (CAP₀) or an I/O Port Capability (CAP_{PIO}) that refers to the physical I/O port corresponding to the slot number.
- 3. An I/O Port Capability Selector (SELPIO) serves as index into the I/O Port Space and selects a slot.
- 4. Each I/O access (IN/OUT instruction) issued from within the PD implicitly uses the I/O port number of the access as SEL_{PIO} to select the CAP_{PIO} for the I/O port on which it operates.

2.1.4 MSR Space

- 1. The MSR Space (SPC_{MSR}) is available on the x86 architecture only.
- 2. Each slot of the MSR Space contains either a Null Capability (CAP₀) or an MSR Capability (CAP_{MSR}) that refers to the physical MSR corresponding to the slot number.
- 3. An MSR Capability Selector (SEL_{MSR}) serves as index into the MSR Space and selects a slot.
- 4. Each MSR access (RDMSR/WRMSR instruction) issued from within the PD implicitly uses the MSR number of the access as SEL_{MSR} to select the CAP_{MSR} for the MSR on which it operates.

2.2 Execution Context

- 1. The Execution Context (EC) is an abstraction for an activity within a PD.
- 2. Access to an Execution Context (EC) is controlled by an EC Object Capability (CAP_{OBJ_{EC}}).
- 3. An EC is permanently bound to exactly one physical CPU.
- 4. An EC is permanently bound to the PD for which it was created.
- 5. There exist three types of Execution Context:
 - Local Thread these may optionally have PTs (but not SCs) bound to it.
 - Global Thread these may optionally have an SC (but not PTs) bound to it.
 - Virtual CPUs these may optionally have an SC (but not PTs) bound to it.
- 6. An EC comprises the following state:
 - Reference to bound PD (2.1)
 - Event Selector Base (SEL_{EVT})
 - User Thread Control Block (ARM, x86) (UTCB) (3.3)
 - Central Processing Unit (CPU) registers (architecture dependent)
 - Floating Point Unit (FPU) registers (architecture dependent)

2.3 Scheduling Context

- 1. The Scheduling Context (SC) is a unit of dispatching and prioritization.
- 2. Access to a Scheduling Context (SC) is controlled by an SC Object Capability (CAP_{OBlsc}).
- 3. An SC is permanently bound to exactly one physical CPU.
- 4. An SC is permanently bound to the EC for which it was created.
- 5. Donation allows another EC to consume the budget of the SC for the duration of the donation.
- 6. A scheduling context comprises the following state:
 - Reference to bound EC (2.2)
 - Scheduling priority numerically higher priorities always preempt numerically lower priorities
 - Scheduling budget time after which the SC can be preempted by an SC with the same priority

2.4 Portal

- 1. A Portal (PT) represents a dedicated entry point into the PD in which the portal was created.
- 2. Access to a Portal (PT) is controlled by a PT Object Capability (CAP_{OBJpt}).
- 3. A PT is permanently bound to the EC for which it was created.
- 4. A portal comprises the following state:
 - Reference to bound EC (2.2)
 - Message Transfer Descriptor (ARM, x86) (MTD) (3.4)
 - Entry instruction pointer
 - Portal Identifier (PID)

2.5 Semaphore

- 1. A Semaphore (SM) provides a means to synchronize execution and interrupt delivery by selectively blocking and unblocking execution contexts.
- 2. Access to a Semaphore (SM) is controlled by a SM Object Capability (CAPOBJSM).



Part III Application Programming Interface

3 Data Types

3.1 Capability

A Capability (CAP) is a reference to a resource plus associated auxiliary data, such as access permissions.

Capabilities are opaque and immutable for applications – they cannot be inspected or modified directly; instead applications refer to a Capability via a Capability Selector (SEL).

3.1.1 Null Capability

A Null Capability (CAP₀) does not refer to anything and carries no permissions.

3.1.2 Object Capability

An Object Capability (CAP_{OBJ}) is stored in the Object Space (SPC_{OBJ}) of a PD and refers to a kernel object.

3.1.2.1 PD Object Capability

A PD Object Capability (CAP_{OBJpp}) refers to a Protection Domain (PD) and carries the following permissions:

10100	ASSIGN	SC	EC PT SM	PD	CTRL	PD EC PT SM SC	reate_pd permitted if set. reate_pd permitted if set. reate_ec, create_pt, create_sm permitted it set. reate_sc permitted if set.
	4	3	2	1	0	ASSIGN	ssign_dev permitted if set.

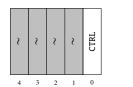
3.1.2.2 EC Object Capability

An EC Object Capability (CAPOBJEC) refers to an Execution Context (EC) and carries the following permissions:

```
ctrl_ec permitted if set.
                                         create_pt can bind a Portal (PT) to the EC if set.
                         BIND_{PT}
\mathbf{BIND}_{SC}
   BIND_{PT}
                         BIND_{SC}
                                         create_sc can bind a Scheduling Context (SC) to the EC if set.
```

3.1.2.3 SC Object Capability

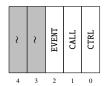
An SC Object Capability (CAP_{OBJsc}) refers to a Scheduling Context (SC) and carries the following permissions:



CTRL ctrl_sc permitted if set.

3.1.2.4 PT Object Capability

A PT Object Capability (CAP_{OBJer}) refers to a Portal (PT) and carries the following permissions:

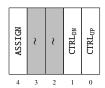


CTRL ctrl_pt permitted if set. ipc_call permitted if set. CALL **EVENT**

Delivery of events permitted if set.

3.1.2.5 SM Object Capability

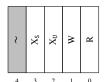
An SM Object Capability (CAP_{OBJsw}) refers to a Semaphore (SM) and carries the following permissions:



ctrl_sm (Up) permitted if set. $CTRL_{IIP}$ ctrl_sm (Down) permitted if set. $CTRL_{DN}$ ASSIGN † assign_int permitted if set.

3.1.3 Memory Capability

A Memory Capability (CAP_{MEM}) is stored in the Memory Space (SPC_{MEM}) of a PD, refers to a 4 KiB page frame, and carries the following permissions:



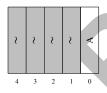
the page frame is readable if set. R W the page frame is writable if set.

 $X_U\ ^{\ddagger}$ the page frame is executable (in user mode) if set.

the page frame is executable (in supervisor mode) if set. \mathbf{X}_{S}

3.1.4 I/O Port Capability

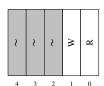
A I/O Port Capability (CAPPIO) is stored in the I/O Port Space (SPCPIO) of a PD, refers to an I/O port, and carries the following permissions:



the I/O port is accessible (via IN/OUT) if set.

3.1.5 MSR Capability

A MSR Capability (CAPMSR) is stored in the MSR Space (SPCMSR) of a PD, refers to a Model-Specific Register (MSR), and carries the following permissions:



the MSR is readable (via RDMSR) if set. R the MSR is writable (via WRMSR) if set.

[†]This permission bit is only defined for interrupt semaphores.

 $^{^{\}ddagger}$ If the hardware supports only combined execute permissions (X) for both modes, then X = X_U \vee X_S.

3.2 Capability Selector

A Capability Selector (SEL) is an application-visible unsigned number as follows:

- An Object Capability Selector (SEL_{OBJ}) indexes into the Object Space (SPC_{OBJ}) of a Protection Domain (PD) and selects a slot that contains either a Null Capability (CAP₀) or an Object Capability (CAP_{OBJ}).
- A Memory Capability Selector (SEL_{MEM}) indexes into the Memory Space (SPC_{MEM}) of a Protection Domain (PD) and selects a slot that contains either a Null Capability (CAP₀) or a Memory Capability (CAP_{MEM}).
- An I/O Port Capability Selector (SEL_{PIO}) indexes into the I/O Port Space (SPC_{PIO}) of a Protection Domain (PD) and selects a slot that contains either a Null Capability (CAP₀) or an I/O Port Capability (CAP_{PIO}).
- An MSR Capability Selector (SEL_{MSR}) indexes into the MSR Space (SPC_{MSR}) of a Protection Domain (PD) and selects a slot that contains either a Null Capability (CAP₀) or an MSR Capability (CAP_{MSR}).



3.3 User Thread Control Block

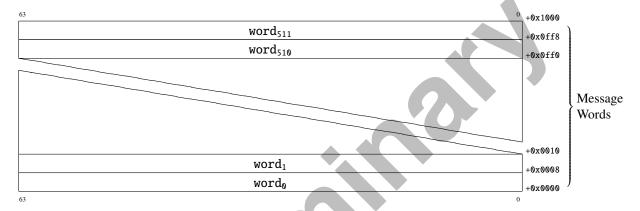
Each host EC (local/global thread) has its own User Thread Control Block (ARM, x86) (UTCB), which is mapped into the Memory Space (SPC_{MEM}) of the PD in which that EC is executing. A guest EC (virtual CPU) does not have a UTCB.

A User Thread Control Block (ARM, x86) has a size of one memory page (4 KiB). Because a UTCB is owned by the microhypervisor, it cannot be delegated using ctrl_pd.

To ensure proper visibility of loads and stores with relaxed memory ordering, application programs are expected to access a UTCB only from the EC to which that UTCB is bound.

3.3.1 Regular Layout

During regular IPC (see 3.4.1), the UTCB is used for data transfer and has a regular layout with 512 message words.



The data transfer from one UTCB to another UTCB is defined as follows:

- The data transfer is performed by the CPU on which the caller EC and callee EC execute.
- The data transfer uses the regular layout.
- The data is copied from low words to high words, beginning with word₀.
- The granularity of the loads and stores used for copying is **undefined**.
- Loads from and stores to the UTCB are non-atomic and use relaxed memory ordering.

3.3.2 Architectural Layout

During architectural IPC (see 3.4.2), the UTCB is used for state transfer and has an architectural layout (ARM, x86).

The state transfer between the architectural registers and a UTCB is defined as follows:

- The state transfer is performed by the CPU on which the faulting EC and callee EC execute.
- The state transfer uses the architectural layout.
- The state is copied between architectural registers and the UTCB in an undefined order.
- The granularity of the loads and stores used for copying is **undefined**.
- Loads from and stores to the UTCB are non-atomic and use relaxed memory ordering.

3.4 Message Transfer Descriptor

3.4.1 Regular IPC

For regular Inter-Process Communication (IPC), the Message Transfer Descriptor (ARM, x86) (MTD) is provided by the sender, passed to the receiver, and uses the following layout:



The MTD controls the data transfer (see 3.3.1) as shown in Figure 3.1:

- During ipc_call, it specifies the number of message words to transfer from the UTCB of the caller EC (sender) to the UTCB of the callee EC (receiver).
- During ipc_reply, it specifies the number of message words to transfer from the UTCB of the callee EC (sender) to the UTCB of the caller EC (receiver).

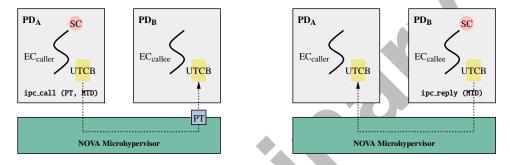


Figure 3.1: Regular IPC

3.4.2 Architectural IPC

For exceptions and intercepts, the Message Transfer Descriptor (ARM, x86) (MTD) is provided by the architectural event-specific portal (ARM, x86) or sender, passed to the receiver, and uses an architectural bitfield layout (ARM, x86):

- If a bit is 0, then the microhypervisor does **not** transmit the architectural state associated with that bit.
- If a bit is 1, then the microhypervisor transmits the architectural state associated with that bit.

The MTD controls the state transfer (see 3.3.2) as shown in Figure 3.2:

- During an exception/intercept, it specifies the subset of registers to transfer from the architectural state of the faulting EC (sender) to the UTCB of the callee EC (receiver).
- During ipc_reply, it specifies the subset of registers to transfer from the UTCB of the callee EC (sender) to the architectural state of the faulting EC (receiver).

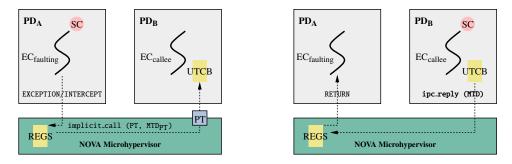


Figure 3.2: Architectural IPC

4 Hypercalls

4.1 Definitions

4.1.1 Hypercall Numbers

Each hypercall is identified by a unique number. The following hypercalls are currently defined:

Number	Hypercall	Section
0x0	ipc_call	4.2.1
0x1	ipc_reply	4.2.2
0x2	create_pd	4.3.1
0x3	create_ec	4.3.2
0x4	create_sc	4.3.3
0x5	create_pt	4.3.4
0x6	create_sm	4.3.5
0x7	ctrl_pd	4.4.1
8x0	ctrl_ec	4.4.2
0x9	ctrl_sc	4.4.3
0xa	ctrl_pt	4.4.4
0xb	ctrl_sm	4.4.5
0xc	ctrl_hw	4.4.6
0xd	assign_int	4.5.1
0xe	assign_dev	4.5.2
0xf	reserved for future use	

4.1.2 Status Codes

Hypercalls return a status code to indicate success or failure. The following status codes are currently defined:

Number	Status Code	Description
0x0	SUCCESS	Operation Successful
0x1	TIMEOUT	Operation Timeout
0x2	ABORTED	Operation Abort
0x3	OVRFLOW	Operation Overflow
0x4	BAD_HYP	Invalid Hypercall
0x5	BAD_CAP	Invalid Capability
0x6	BAD_PAR	Invalid Parameter
0x7	BAD_FTR	Invalid Feature
8x0	BAD_CPU	Invalid CPU Number
0x9	BAD_DEV	Invalid Device ID
0xa	INS_MEM	Insufficient Memory

4.1.3 Space Type

Number	TYPE _{SPC}	Contains	Indexed By	Description
0x0	SPC _{OBJ}	CAP _{OBJ}	SEL _{OBJ}	Object Space
0x1	SPC _{MEM}	CAP_{MEM}	SEL _{MEM}	Memory Space
0x2	SPC_{PIO}	$CAP_{\mathtt{PIO}}$	SEL _{PIO}	I/O Port Space
0x3	SPC_{MSR}	CAP_{MSR}	SEL_{MSR}	MSR Space

4.1.4 Table Type

Num	ber TYPE _{TBL}	Description
0x	0 CPU_HST	CPU Page Table for Host Accesses
0x	1 CPU_GST	CPU Page Table for Guest Accesses
0x	2 DMA_HST	DMA Page Table for Host Accesses
0x	3 DMA_GST	DMA Page Table for Guest Accesses



4.2 Communication

4.2.1 IPC Call

Parameters:

Flags:



Description:

Sends a message from EC_{CURRENT} (caller) to the EC (callee) to which the specified Portal (PT) is bound. Prior to the hypercall:

• { PD_{CURRENT}, SEL_{OBJ} pt } must refer to a PT Object Capability (CAP_{OBJPT}) with permission CALL.

If the hypercall completed successfully:

- If **T=0** (**No Timeout**): If the callee **EC** was still busy handling a prior **ipc_call**, then the caller **EC** has helped run that prior **ipc_call** to completion, i.e. until the callee **EC** became available again.
- The microhypervisor has transferred a message from the UTCB of the caller EC to the UTCB of the callee EC. The content of that message is defined by the MTD mtd, which has been passed from the caller EC to the callee EC.
- The hypercall returns once the callee EC has issued an ipc_reply. Upon return, the UTCB of the caller EC and the parameter mtd have been updated by the reply message.
- The Current Scheduling Context (SC_{CURRENT}) has been donated to the callee EC upon ipc_call and returned back upon ipc_reply, thereby accounting the entire handling of the request to SC_{CURRENT}.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

• { PD_{CURRENT}, SEL_{OBJ} pt } did not refer to a PT Object Capability (CAP_{OBJPT}) or that capability had insufficient permissions.

BAD_CPU

• Caller EC and callee EC are on different CPUs.

TIMEOUT

• The callee EC is still busy handling a prior ipc_call – only if **T=1** (**Timeout**).

ABORTED

• The callee EC is dead and the operation aborted.

4.2.2 IPC Reply

Parameters:

Flags:



Description:

Sends a reply message from EC_{CURRENT} (callee) back to the caller EC (if one exists) and subsequently waits for the next incoming message.

If the hypercall completed successfully:

- If a caller **EC** exists:
 - The microhypervisor has transferred a reply message from the UTCB of the callee EC back to the UTCB of the caller EC.
 - The content of that reply message is defined by the MTD mtd, which has been passed from the callee EC back to the caller EC.
 - The Current Scheduling Context (SC_{CURRENT}) that had been donated to the callee EC upon ipc_call
 has been returned back to the caller EC.
- ECCURRENT blocks until the next incoming message arrives on any Portal (PT) bound to it.

Status:

This hypercall does not return directly.

Instead, when the next message arrives via a subsequent ipc call to any Portal (PT) bound to the callee EC:

- The microhypervisor passes the Portal Identifier (PID) of the called PT to the callee EC.
- The UTCB of the callee EC and the parameter mtd have been updated by the incoming message.
- Execution of the callee EC continues at the Instruction Pointer (IP) configured in the called PT.

4.3 Object Creation

4.3.1 Create Protection Domain

Parameters:

Flags:



Description:

Creates a new Protection Domain (PD).

Prior to the hypercall:

- { PD_{CURRENT}, SEL_{OBJ} own } must refer to a PD Object Capability (CAP_{OBJpp}) with permission PD.
- { PD_{CURRENT}, SEL_{OBJ} sel } must refer to a Null Capability (CAP₀).

If the hypercall completed successfully:

- A new Protection Domain (PD) has been created.
- The resources for the created PD were accounted to the PD referred to by { $PD_{CURRENT}$, SEL_{OBJ} own }.
- { $PD_{CURRENT}$, SEL_{OBJ} sel } refers to a PD Object Capability (CAP_{OBJPD}) for the created PD with defined permissions inherited from { $PD_{CURRENT}$, SEL_{OBJ} own }.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

- { PD_{CURRENT}, SEL_{OBJ} own } did not refer to a PD Object Capability (CAP_{OBJPD}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} sel } did not refer to a Null Capability (CAP₀).

INS_MEM

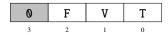
• { PD_{CURRENT}, SEL_{OBJ} own } had insufficient memory resources for PD creation.

4.3.2 Create Execution Context

Parameters:

```
status = create_ec (SEL_{OBJ})
                              sel,
                                             // Created EC
                                             // Owner PD
                      SEL<sub>OB1</sub>
                              own,
                      SEL_{MEM}
                              utcb,
                                             // UTCB Address (Page Number)
                      UINT
                                            // CPU Number
                              cpu,
                      UINT
                                            // Initial Stack Pointer
                              sp,
                                            // Event Selector Base
                      SELEVT
                              evt):
```

Flags:



Description:

Creates a new Execution Context (EC).

Prior to the hypercall:

- { PD_{CURRENT}, SEL_{OBJ} own } must refer to a PD Object Capability (CAP_{OBJPD}) with permission EC.
- { PD_{CURRENT}, SEL_{OBJ} sel } must refer to a Null Capability (CAP₀).

If the hypercall completed successfully:

- V=0 (Thread): A new host Execution Context (EC) has been created with its UTCB mapped at virtual page number utcb and its initial Stack Pointer (SP) set to sp.
 - **T=0** (**Local Thread**): Portals (PTs) can subsequently be bound to that **EC** and the **EC** will run whenever any of those bound portals is called.
 - T=1 (Global Thread): The EC will generate a startup exception the first time a Scheduling Context (SC) is bound to it.
- V=1 (Virtual CPU): A new guest Execution Context (EC) has been created. The EC will generate a startup exception the first time a Scheduling Context (SC) is bound to it. The parameters utcb and sp were ignored.
 - T=0: The virtual CPU uses no time adjustment.
 - T=1: The virtual CPU uses time offsetting.
- The created EC will be able to use FPU instructions only if the F-flag is set. Otherwise any FPU access by that EC will generate an exception.
- The created EC is bound to the PD referred to by { PD_{CURRENT}, SEL_{OBJ} own } on CPU cpu with its Event Selector Base (SEL_{EVT}) set to evt.
- The resources for the created EC were accounted to the PD referred to by { PD_CURRENT, SELOBJ own }.
- { PD_{CURRENT}, SEL_{OBJ} sel } refers to an EC Object Capability (CAP_{OBJEC}) for the created EC with all defined permissions set.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

- { PD_{CURRENT}, SEL_{OBJ} own } did not refer to a PD Object Capability (CAP_{OBJPD}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} sel } did not refer to a Null Capability (CAP₀).

BAD_CPU

• The CPU number is invalid.

BAD_FTR

• Virtual CPUs are not supported on the machine.

BAD_PAR

• UTCB region is not free or outside the user-accessible memory range.

INS_MEM

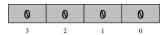
• { $PD_{CURRENT}$, SEL_{OBJ} own } had insufficient memory resources for EC creation.



4.3.3 Create Scheduling Context

Parameters:

Flags:



Description:

Creates a new Scheduling Context (SC).

Prior to the hypercall:

- { PD_{CURRENT}, SEL_{OBJ} own } must refer to a PD Object Capability (CAP_{OBJpp}) with permission SC.
- { PD_{CURRENT}, SEL_{OBJ} ec } must refer to an EC Object Capability (CAP_{OBJEC}) with permission BIND_{SC}.
- { PD_{CURRENT}, SEL_{OBJ} sel } must refer to a Null Capability (CAP₀).

If the hypercall completed successfully:

- A new Scheduling Context (SC) has been created.
- The created SC is bound to the EC referred to by { PD_CURRENT, SEL_OBJ ec } on the CPU of that EC with its scheduling parameters set to budget and priority.
- The resources for the created SC were accounted to the PD referred to by { $PD_{CURRENT}$, SEL_{OBJ} own }.
- { PD_{CURRENT}, SEL_{OBJ} sel } refers to an SC Object Capability (CAP_{OBJsc}) for the created SC with all defined permissions set.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

- { PD_{CURRENT}, SEL_{OBJ} own } did not refer to a PD Object Capability (CAP_{OBJPD}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} ec } did not refer to a EC Object Capability (CAP_{OBJEC}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} sel } did not refer to a Null Capability (CAP₀).
- Binding the SC to the EC failed, e.g. because the EC is a local EC.

BAD_PAR

• Scheduling budget or priority was zero.

INS_MEM

• { PD_{CURRENT}, SEL_{OBJ} own } had insufficient memory resources for SC creation.

4.3.4 Create Portal

Parameters:

Flags:



Description:

Creates a new Portal (PT).

Prior to the hypercall:

- { PD_{CURRENT}, SEL_{OBJ} own } must refer to a PD Object Capability (CAP_{OBJpp}) with permission PT.
- { PD_{CURRENT}, SEL_{OBJ} ec } must refer to an EC Object Capability (CAP_{OBJEC}) with permission BIND_{PT}.
- { PD_{CURRENT}, SEL_{OBJ} sel } must refer to a Null Capability (CAP₀).

If the hypercall completed successfully:

- A new Portal (PT) has been created.
- The created PT is bound to the EC referred to by { PD_{CURRENT}, SEL_{OBJ} ec } on the CPU of that EC, with its portal Instruction Pointer (IP) set to ip, its initial MTD set to 0 and its initial PID set to 0.
- The resources for the created PT were accounted to the PD referred to by { PD_CURRENT, SELOBJ own }.
- { PD_{CURRENT}, SEL_{OBJ} sel } refers to an PT Object Capability (CAP_{OBJPT}) for the created PT with all defined permissions set.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

- { PD_{CURRENT}, SEL_{OBJ} own } did not refer to a PD Object Capability (CAP_{OBJPD}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} ec } did not refer to a EC Object Capability (CAP_{OBJEC}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} sel } did not refer to a Null Capability (CAP₀).
- Binding the PT to the EC failed, e.g. because the EC is not a local EC.

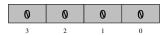
INS_MEM

• { PD_{CURRENT}, SEL_{OBJ} own } had insufficient memory resources for PT creation.

4.3.5 Create Semaphore

Parameters:

Flags:



Description:

Creates a new Semaphore (SM).

Prior to the hypercall:

- { PD_{CURRENT}, SEL_{OBJ} own } must refer to a PD Object Capability (CAP_{OBJPD}) with permission SM.
- { PD_{CURRENT}, SEL_{0BJ} sel } must refer to a Null Capability (CAP₀).

If the hypercall completed successfully:

- A new Semaphore (SM) has been created.
- The created SM has its initial counter value set to cnt.
- The resources for the created SM were accounted to the PD referred to by { $PD_{CURRENT}$, SEL_{OBJ} own }.
- { PD_{CURRENT}, SEL_{OBJ} sel } refers to an SM Object Capability (CAP_{OBJSM}) for the created SM with all defined permissions set.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

- { PD_{CURRENT}, SEL_{OBJ} own } did not refer to a PD Object Capability (CAP_{OBJPD}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} sel } did not refer to a Null Capability (CAP₀).

INS_MEM

• { PD_{CURRENT}, SEL_{OBJ} own } had insufficient memory resources for SM creation.

4.4 Object Control

4.4.1 Control Protection Domain

Parameters:

```
status = ctrl_pd (SEL<sub>OB</sub>) spd,
                                             // Protection Domain: Source
                                             // Protection Domain: Destination
                     SEL<sub>OB1</sub>
                            dpd,
                                             // Base Selector: Source
                     SEL
                            src.
                     SEL
                            dst.
                                             // Base Selector: Destination
                                             // Order
                     UINT
                            ord,
                    UINT
                                             // Permission Mask
                            pmm,
                    TYPE_{SPC} spc,
                                             // Space Type
                                             // Table Type
                    TYPE_{TBL} tbl,
                                             // Cacheability Attribute
                     ATTR<sub>CA</sub> ca,
                     ATTR<sub>SH</sub> sh);
                                             // Shareability Attribute
```

Flags:



Description:

Takes capabilities from the Source Protection Domain (PD) and grants them to the Destination Protection Domain (PD) and thereby optionally reduces the permissions of the destination capabilities.

Prior to the hypercall:

- { PD_{CURRENT}, SEL_{OBJ} spd } must refer to a PD Object Capability (CAP_{OBJen}) with permission CTRL.
- { PD_{CURRENT}, SEL_{OBJ} dpd } must refer to a PD Object Capability (CAP_{OBJpp}) with permission CTRL.
- { PD_{CURRENT}, SEL_{OBJ} dpd } must not refer to a PD Object Capability (CAP_{OBJPD}) for PD_{NOVA}.
- SEL src and SEL dst must be order-aligned, i.e. src=0 (mod 2^{ord}) and dst=0 (mod 2^{ord}).
- TYPE_{SPC} spc and TYPE_{TBL} tb1 must be valid, i.e. supported by the architecture.
- ATTR_{CA} ca and ATTR_{SH} sh must be valid, i.e. supported by the architecture.

If the hypercall completed successfully:

- If spc=SPC_{OBJ}: All CAP_{OBJ} and CAP₀ from source SEL range { PD spd, SEL_{OBJ} src...src+2^{ord}-1 } were delegated to destination SEL range { PD dpd, SEL_{OBJ} dst...dst+2^{ord}-1 }. Any pre-existing CAP_{OBJ} in the destination selector range were revoked. The parameters tbl, ca and sh were ignored.
- If spc=SPCMEM: All CAPMEM and CAP₀ from source SEL range { PD spd, SELMEM src...src+2^{ord}-1 } were delegated to destination SEL range { PD dpd, SELMEM dst...dst+2^{ord}-1 }. Any pre-existing CAPMEM in the destination selector range were revoked.
- If spc=SPC_{PIO}: All CAP_{PIO} and CAP₀ from source SEL range { PD spd, SEL_{PIO} src...src+2^{ord}-1 } were delegated to destination SEL range { PD dpd, SEL_{PIO} dst...dst+2^{ord}-1 }. Any pre-existing CAP_{PIO} in the destination selector range were revoked. The parameters ca and sh were ignored.
- If spc=SPC_{MSR}: All CAP_{MSR} and CAP₀ from source SEL range { PD spd, SEL_{MSR} src...src+2^{ord}-1 } were delegated to destination SEL range { PD dpd, SEL_{MSR} dst...dst+2^{ord}-1 }. Any pre-existing CAP_{MSR} in the destination selector range were revoked. The parameters ca and sh were ignored.
- The permissions of each destination capability were masked by computing the logical AND of the permissions of the respective source capability and the permission mask pmm, i.e.
 - for bits set (1) in pmm, the respective permissions were *inherited* from the source capability.
 - for bits clear (0) in pmm, the respective permissions were *removed* for the destination capability.
- If the source capability was a Null Capability (CAP₀) or if the destination capability has zero permissions after masking, then the destination capability is now a Null Capability (CAP₀).
- The resources for storing the granted capabilities were accounted to the PD referred to by { PD_{CURRENT}, SEL_{OBJ} dpd }.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

- { PD_{CURRENT}, SEL_{OBJ} spd } did not refer to a PD Object Capability (CAP_{OBJPD}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} dpd } did not refer to a PD Object Capability (CAP_{OBJPD}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} dpd } referred to a PD Object Capability (CAP_{OBJpp}) for PD_{NOVA}.

BAD_PAR

- SEL src or SEL dst was not order-aligned.
- SEL src+2^{ord}-1 or SEL dst+2^{ord}-1 was larger than the maximum selector number.
- If **spc=SPC**_{PIO} **or spc=SPC**_{MSR}: SEL src was not equal to SEL dst.
- TYPE_{SPC} spc or TYPE_{TBL} tbl was not valid, i.e. not supported by the architecture.
- ATTR_{CA} ca or ATTR_{SH} sh was not valid, i.e. not supported by the architecture.

INS_MEM

• { PD_CURRENT, SEL_OBJ dpd } had insufficient memory resources for allocating the storage required for granting all destination capabilities. This constitutes a partial failure of the operation, because those destination capabilities, for which storage allocation succeeded or storage already existed, have been granted.



4.4.2 Control Execution Context

Parameters:

```
status = ctrl_ec (SEL<sub>OBJ</sub> ec);  // Execution Context
```

Flags:



Description:

Prior to the hypercall:

• { PD_{CURRENT}, SEL_{OBJ} ec } must refer to a EC Object Capability (CAP_{OBJFC}) with permission CTRL.

If the hypercall completed successfully:

- The EC referred to by { PD_{CURRENT}, SEL_{OBJ} ec } has been forced to enter the microhypervisor. It will generate a recall exception prior to its next exit from the microhypervisor and will traverse through the respective Portal (PT).
- If **S=0** (**Weak**): the hypercall returns as soon as the recall exception has been *pended*, i.e. the EC may not have entered the microhypervisor yet.
- If **S=1** (**Strong**): the hypercall returns as soon as the recall exception has been *observed*, i.e the EC will have entered the microhypervisor.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

• { PD_{CURRENT}, SEL_{OBJ} ec } did not refer to a EC Object Capability (CAP_{OBJEC}) or that capability had insufficient permissions.

4.4.3 Control Scheduling Context

Parameters:

Flags:



Description:

Prior to the hypercall:

• { PD_{CURRENT}, SEL_{OBJ} sc } must refer to an SC Object Capability (CAP_{OBJ_{SC}}) with permission CTRL.

If the hypercall completed successfully:

• The microhypervisor has returned the total consumed execution time in ticks for the SC referred to by { PD_CURRENT, SEL_OBJ sc }.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

• { PD_{CURRENT}, SEL_{OBJ} sc } did not refer to an SC Object Capability (CAP_{OBJ_{SC}}) or that capability had insufficient permissions.



4.4.4 Control Portal

Parameters:

Flags:



Description:

Prior to the hypercall:

• { PD_{CURRENT}, SEL_{OBJ} pt } must refer to a PT Object Capability (CAP_{OBJpt}) with permission CTRL.

If the hypercall completed successfully:

- The microhypervisor has set the Portal Identifier (PID) to pid and the Message Transfer Descriptor (ARM, x86) (MTD) to mtd for the Portal referred to by { PD_{CURRENT}, SEL_{OBJ} pt }.
- Subsequent portal traversals will use the new MTD and return the new PID.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

• { PD_{CURRENT}, SEL_{OBJ} pt } did not refer to a PT Object Capability (CAP_{OBJPT}) or that capability had insufficient permissions.

4.4.5 Control Semaphore

Parameters:

Flags:



Description:

Prior to the hypercall:

- If D=0 (Up): { $PD_{CURRENT}$, SEL_{OBJ} sm } must refer to a SM Object Capability (CAP_{OBJ_{SM}}) with permission CTRL_{UP}.
- If D=1 (Down): { $PD_{CURRENT}$, SEL_{OBJ} sm } must refer to a SM Object Capability (CAP_{OBJsm}) with permission CTRL_{DN}.

If the hypercall completed successfully:

- If **D=0** (**Up**): if there were **ECs** blocked on the semaphore, then the microhypervisor has released one of those blocked **ECs**. Otherwise, the microhypervisor has incremented the semaphore counter. The deadline timeout value and the Z-flag were ignored.
- If **D=1** (**Down**): if the semaphore counter was larger than zero, then the microhypervisor has decremented the semaphore counter (**Z=0**) or set it to zero (**Z=1**). Otherwise, the microhypervisor has blocked EC_{CURRENT} on the semaphore. If the deadline timeout value was non-zero, EC_{CURRENT} unblocks with a timeout status when the architectural timer reaches or exceeds the specified ticks value.

Blocking and releasing of ECs on a semaphore uses the FIFO queueing discipline.

Status:

SUCCESS

• The hypercall completed successfully.

TIMEOUT

• If **D=1**: Down operation aborted when the timeout triggered.

OVRFLOW

• If **D=0**: Up operation aborted because the semaphore counter would overflow.

BAD_CAP

• { PD_{CURRENT}, SEL_{OBJ} sm } did not refer to a SM Object Capability (CAP_{OBJSM}) or that capability had insufficient permissions.

BAD_CPU

• If **D=1** on an interrupt semaphore: Attempt to wait for the interrupt on a different CPU than the CPU to which that interrupt has been routed via assign_int.

4.4.6 Control Hardware

This hypercall is currently reserved for future use.



4.5 Interrupt and Device Assignment

4.5.1 Assign Interrupt

Parameters:

Flags:

G	P	T	M
3	2	1	0

Description:

Configures an interrupt and routes it to the specified CPU.

Prior to the hypercall:

- { PD_{CURRENT}, SEL_{OBJ} sm } must refer to a SM Object Capability (CAP_{OBJSK}) with permission ASSIGN.
- CAP_{OBless} must refer to an interrupt semaphore and thereby identifies the interrupt.

If the hypercall completed successfully:

- The interrupt referred to by { PD_{CURRENT}, SEL_{OB} sm } has been routed to the CPU cpu.
- Mask
 - M=0: The interrupt is now unmasked, i.e. it will be signaled on the semaphore.
 - M=1: The interrupt is now masked, i.e. it will not be signaled on the semaphore.
- Trigger
 - **T=0**: The interrupt is now configured for edge-triggered operation.
 - T=1: The interrupt is now configured for level-triggered operation.
- Polarity
 - P=0: The interrupt is now configured for active-high operation.
 - − P=1: The interrupt is now configured for active-low operation.
- Guest
 - **G=0**: The interrupt is now host-owned.
 - **G=1**: The interrupt is now guest-owned (VM pass-through).
- If the interrupt is an MSI, only the PCI device referred to by dev will be authorized to generate that MSI. The device driver must program the returned msi_addr and msi_data values into the MSI registers of that device to ensure proper interrupt operation. If the interrupt is pin-based, the parameter dev was ignored and the parameters msi_addr and msi_data return 0.

Prior to the first invocation of assign_int for an interrupt, the state of that interrupt is as follows:

- the interrupt is masked.
- trigger, polarity and ownership are undefined.
- target CPU and authorized device are undefined.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CPU

• The specified CPU number was invalid.

BAD_CAP

- { PD_{CURRENT}, SEL_{OBJ} sm } did not refer to a SM Object Capability (CAP_{OBJ_{SM}}) or that capability had insufficient permissions.
- CAPOBJ_{SM} did not refer to an interrupt semaphore.



4.5.2 Assign Device

Parameters:

Flags:



Description:

Assigns the specified device (*) to the specified Protection Domain (PD):

- ARM: dev encodes the SID of the device and also the SMMU resources (stream mapping group, translation context) to be used for managing that device.
- x86: dev encodes the BDF of the device. There are no SMMU resources needed.

Prior to the hypercall:

- PD_{CURRENT} must be the Root Protection Domain (PD_{ROOT}).
- { PD_{CURRENT}, SEL_{OBJ} pd } must refer to a PD Object Capability (CAP_{OBJP}) with permission ASSIGN.
- { PD_{NOVA}, SEL_{MEM} smmu } must refer to the physical address of an SMMU device.
- The SID/BDF and SMMU resources encoded in dev must be supported by the hardware (see 6.5.1).
- TYPE_{TBL} tbl must refer to a DMA page table.

If the hypercall completed successfully:

- The device, referred to by the SID/BDF in dev, has been assigned to the Protection Domain (PD) referred to by { PD_{CURRENT}, SEL_{OBJ} pd }, such that DMA transactions of that device will be translated by the DMA page table tbl of that PD.
- DMA transactions of that device will be managed using the SMMU resources encoded in dev. Prior users
 of those SMMU resources have been unconfigured.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_HYP

• The hypercall was not issued from the Root Protection Domain (PD_{ROOT}).

BAD_DEV

• { PD_{NOVA}, SEL_{MEM} smmu } did not refer to the physical address of an SMMU device.

BAD_CAP

• { PD_{CURRENT}, SEL_{OBJ} pd } did not refer to a PD Object Capability (CAP_{OBJPD}) or that capability had insufficient permissions.

BAD_PAR

• At least one of the parameters dev or tbl was not valid.

^{*}See the architecture-specific binding for encoding details.

5 Booting

5.1 Microhypervisor

5.1.1 ELF Image Loading

The bootloader must place all loadable (PT_LOAD) program segments of the NOVA microhypervisor into physical memory (RAM) according to the physical addresses (p_paddr) and memory sizes (p_memsz) defined in the NOVA microhypervisor ELF image. The following is an example:

```
readelf -l nova.elf
```

```
Elf file type is EXEC (Executable file)
Entry point 0x48000000
```

There are 2 program headers, starting at offset 64

Program Headers:

Type	Offset	VirtAddr	PhysAddr
	FileSiz	MemSiz	Flags Align
LOAD	0x000000000000000b0	0x0000000048000000	0x0000000048000000
	0x00000000000000268	0x000000000001000	RWE 0x8
LOAD	008000000000000x0	0x0000ff8000001000	0x0000000048001000
	0x0000000000000e960	0x0000000000fff000	RWE 0x800

If the physical address range defined in the ELF image is suboptimal for a particular platform, the bootloader may optionally shift all loadable program segments lower or higher in physical memory, by applying an offset, subject to the following constraints:

- The same offset must be applied to each loadable program segment and to the entry point.
- The offset must be a multiple of 2 MiB, i.e. PhysAddr_{NEW} = PhysAddr_{ELF} \pm n \times 2 MiB.
- The entire physical memory region occupied by the NOVA microhypervisor must be RAM.

After loading the NOVA microhypervisor into physical memory, the bootloader must invoke the entry point of the ELF image with architecture-specific preconditions (ARM, x86).

5.1.2 Platform Resource Access

Possession of a PD Object Capability (CAP_{OBJpD}) for PD_{NOVA} allows the caller to invoke the ctrl_pd hypercall to take resources from the NOVA Protection Domain and grant them to another Protection Domain.

The following capabilities can be taken from the NOVA Protection Domain (PD_{NOVA}):

Physical Memory

{ PD_{NOVA} , SEL_{MEM} 0... $PHYS_{NUM}-1$ } refer to CAP_{MEM} for page frames in physical memory, where $PHYS_{NUM}$ is the number of page frames supported by the platform. Physical memory regions protected by the NOVA microhypervisor (ARM, x86) cannot be taken.

Interrupt Semaphores

{ PD_{NOVA} , SEL_{OBJ} 1024...1024+INT_{NUM}-1 } refer to $CAP_{OBJ_{SM}}$ for interrupt semaphores, where INT_{NUM} is the number of supported interrupts, as conveyed by the HIP. These capabilities can be used with the assign_int and ctrl_sm hypercalls.

Console Signaling Semaphore

{ PD_{NOVA} , SEL_{OBJ} $SEL_{NUM}-1$ } refers to a $CAP_{OBJ_{SM}}$ for the signaling semaphore of the NOVA memory-buffer console. This capability can be used with the $ctrl_sm$ hypercall.

5.2 Root Protection Domain

After the NOVA microhypervisor has initialized the system, it creates the following initial kernel objects:

- PD_{ROOT} the Root Protection Domain
- EC_{ROOT} the Root Execution Context (executing in PD_{ROOT})
- SC_{ROOT} the Root Scheduling Context (bound to EC_{ROOT})

The Root Protection Domain (PD_{ROOT}) is responsible for bootstrapping the other components of the user-mode framework by creating additional kernel objects, loading additional images, assigning resources, etc.

5.2.1 ELF Image Format

The ELF image of the Root Protection Domain (PD_{ROOT}) must be an executable (ET_EXEC) file that has been compiled for the respective architecture and

- linked such that p_filesz = p_memsz
- loaded such that p_vaddr ≡ LOAD_ADDR* + p_offset (mod PAGE_SIZE)

holds for each loadable (PT_LOAD) program segment. These constraints ensure that the NOVA microhypervisor can map all program segments directly from physical into virtual memory without any additional memory allocation or copying. The following is an example:

```
readelf -1 root.elf

Elf file type is EXEC (Executable file)
Entry point 0x10000120

There are 2 program headers, starting at offset 64
```

Program Headers:

Туре	Offset	VirtAddr	PhysAdd	lr
	FileSiz	MemSiz	Flags	Align
LOAD	0x00000000000000000	0x0000000010000000	00000x0	00010000000
	0x00000000000000a75	0x00000000000000a75	R E	0x1000
LOAD	0x0000000000001000	0x0000000010001000	0x00000	000010001000
	0x000000000000f004	0x000000000000f004	RW	0x1000

5.2.2 Initial Configuration

Prior to invoking the entry point of the Root Protection Domain (PD_{ROOT}) ELF image, using the Root Execution Context (EC_{ROOT}), the NOVA microhypervisor sets up PD_{ROOT} as follows.

5.2.2.1 Object Space

The object space contains the following initial capabilities:

```
• { PD<sub>ROOT</sub>, SEL<sub>OBJ</sub> SEL<sub>NUM</sub>-1 } refers to a PD Object Capability (CAP<sub>OBJPD</sub>) for PD<sub>NOVA</sub>.
```

- { PD_{ROOT}, SEL_{OBJ} SEL_{NUM}-2 } refers to a PD Object Capability (CAP_{OBJen}) for PD_{ROOT}.
- { PD_{ROOT}, SEL_{OBJ} SEL_{NUM}-3 } refers to a EC Object Capability (CAP_{OBJ_{FC}}) for EC_{ROOT}.
- { PD_{ROOT}, SEL_{OBJ} SEL_{NUM}-4 } refers to a SC Object Capability (CAP_{OBJsc}) for SC_{ROOT}.

All other { PD_{ROOT} , SEL_{OBJ} } refer to a Null Capability (CAP₀).

The value of SEL_{NUM} is conveyed in the Hypervisor Information Page (ARM, x86) (HIP).

^{*}This is the address in physical memory at which the bootloader has placed the ELF image.

5.2.2.2 Memory Space

ELF Program Segments

The microhypervisor maps the Root Protection Domain (PD_{ROOT}) into virtual memory according to the virtual addresses (p_vaddr), memory sizes (p_memsz) and page attributes (p_flags) of all loadable (PT_LOAD) program segments defined in the PD_{ROOT} ELF image.

Hypervisor Information Page

The microhypervisor maps the Hypervisor Information Page (ARM, x86) (HIP) read-only into the memory space 4 KiB below the end of user-accessible virtual memory. The virtual address of the HIP is passed to EC_{ROOT} at the entry point (ARM, x86).

UTCB

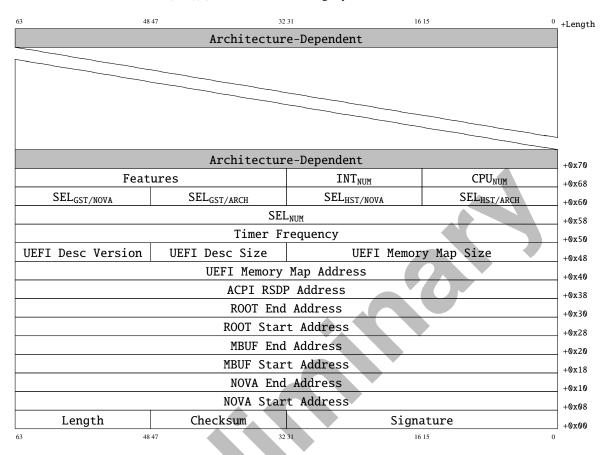
The microhypervisor maps the User Thread Control Block (ARM, x86) of EC_{ROOT} into the memory space 4 KiB below the address of the HIP.

All other { PD_{ROOT} , SEL_{MEM} } refer to a Null Capability (CAP₀).



5.3 Hypervisor Information Page

The Hypervisor Information Page (ARM, x86) (HIP) conveys information about the platform and configuration to the Root Protection Domain (PD_{ROOT}) and has the following layout:



All HIP fields are unsigned values, unless stated otherwise, and have the following meaning:

Signature

The value 0x41564f4e identifies the NOVA microhypervisor.

Checksum

The checksum is valid if 16bit-wise addition of the entire HIP contents produces a value of 0.

Length

Length of the entire HIP in bytes.

NOVA Start/End Address

Physical start and end address of the NOVA microhypervisor image.

MBUF Start/End Address

Physical start and end address of the memory buffer console region (see C.1).

ROOT Start/End Address

Physical start and end address of the root protection domain image.

ACPI RSDP Address

UEFI Memory Map Address

Physical address of the **UEFI** Memory Map (**0**xffffffffffffffff if not present).

UEFI Memory Map Size

Total size of the **UEFI** Memory Map (**0** if not present).

UEFI Desc Size

UEFI Memory Descriptor Size (0 if not present).

UEFI Desc Version

UEFI Memory Descriptor Version (0 if not present).

Timer Frequency

Timer tick frequency in Hz.

SEL_{NUM}

Total number of capability selectors in each object space.

SEL_{HST/ARCH}

Number of capability selectors required for handling architectual host events. (ARM, x86)

SEL_{HST/NOVA}

Number of additional capability selectors required for handling microhypervisor host events. (ARM, x86)

SEL_{GST/ARCH}

Number of capability selectors required for handling architectual guest events. (ARM, x86)

SEL_{GST/NOVA}

Number of additional capability selectors required for handling microhypervisor guest events. (ARM, x86)

CPU_{NUM}

Total number of CPUs that are online.

INT_{NUM}

Total number of interrupts that can be used via interrupt semaphores.

Features

Supported platform features.

Architecture-Dependent

Architecture-dependent part. (ARM, x86)

Part IV Application Binary Interface

6 ABI aarch64

6.1 Boot State

6.1.1 NOVA Microhypervisor

The bootloader must set up the CPU register state according to one of the launch types listed below when it transfers control to the NOVA microhypervisor. Furthermore, the following preconditions must be satisfied:

- The CPU must execute in EL2 (hypervisor mode) or in EL3 (monitor mode).
- Paging (MMU) must be disabled (SCTLR_ELx.M=0) or must use an identity (1:1) mapping.
- Interrupts must be disabled (PSTATE.DAIF=0b1111).
- The physical memory region occupied by the microhypervisor image must be clean to the PoC.
- All DMA activity targeting the physical memory region occupied by the microhypervisor must be quiesced. That physical memory region should also be protected against DMA accesses on systems with an SMMU.

6.1.1.1 Multiboot v2 Launch

Only this launch type supports 64-bit UEFI platforms.

Register	Value / Description
IP	Physical address of the NOVA Protection Domain (PD _{NOVA}) ELF image entry point
X0	Multiboot v2 magic value (0x36d76289) [7]
X1	Physical address of the Multiboot v2 information structure [7]
0ther	~

The NOVA microhypervisor consumes the following multiboot tags, if present: 1, 3, 12, 20.

6.1.1.2 Multiboot v1 Launch

Register	Value / Description
IP	Physical address of the NOVA Protection Domain (PD _{NOVA}) ELF image entry point
X0	Multiboot v1 magic value (0x2badb002) [6]
X1	Physical address of the Multiboot v1 information structure [6]
Other	

The NOVA microhypervisor consumes the following multiboot flags, if present: 2, 3.

6.1.1.3 Legacy Launch

Register	Value / Description
IP	Physical address of the NOVA Protection Domain (PD _{NOVA}) ELF image entry point
X0	Physical address of the Flattened Device Tree [5] (FDT) for the system [†]
X1	Physical address of the Root Protection Domain (PD _{ROOT}) ELF image
Other	~

[†]Due to its alignment constraint, a valid FDT address will never be equal to a Multiboot magic value.

6.1.2 Root Protection Domain

The NOVA microhypervisor sets up the CPU register state as follows when it transfers control to the Root Execution Context (EC_{ROOT}):

Register	Value / Description
IP	Virtual address of the Root Protection Domain (PD _{ROOT}) ELF image entry point
SP	Virtual address of the Hypervisor Information Page (ARM, x86) (HIP)
X0	X0 at boot time †
X1	X1 at boot time †
X2	X2 at boot time †
0ther	~



[†]The register contains the preserved original value from the point when control was transferred from the bootloader to the microhypervisor.

6.2 Physical Memory

6.2.1 Memory Map

The Root Protection Domain (PD_{ROOT}) can obtain a list of available/reserved memory regions as follows:

- On platforms using Unified Extensible Firmware Interface [11], by parsing the UEFI memory map.
- On platforms using Flattened Device Tree [5], by parsing the FDT.

6.2.2 Protected Regions

The following regions of physical memory are protected by the NOVA microhypervisor and are therefore inaccessible to user-mode applications:

- Physical memory occupied by the NOVA microhypervisor (conveyed via HIP).
- Physical memory occupied by GICD, GICR, GICC, GICH devices (conveyed via FDT).
- Physical memory occupied by SMMU devices (conveyed via FDT).

6.3 Virtual Memory

The accessible virtual memory range for user-mode applications is 0 - 0x7fffffffff.

6.3.1 Cacheability Attributes

Encoding	$ATTR_{CA}$	Description
0x0	DEV	Device
0x1	$DEV_{-}E$	Device, Early Ack
0x2	DEV_RE	Device, Early Ack, Reordering
0x3	DEV_GRE	Device, Early Ack, Reordering, Gathering
0x4	-	reserved
0x5	MEM_NC	Memory, Inner/Outer Non-Cacheable
0 x6	$\mathtt{MEM}_{-}\mathtt{WT}$	Memory, Inner/Outer Write-Through
0x7	MEM_WB	Memory, Inner/Outer Write-Back

Please refer to [3] for details on the architectural behavior.

6.3.2 Shareability Attributes

Encoding	ATTR _{SH}	Description
0x0	NONE	Not Shareable
0x1	-	reserved
0x2	OUTER	Outer Shareable
0x3	INNER	Inner Shareable

Please refer to [3] for details on the architectural behavior.

6.4 Event-Specific Capability Selectors

For the delivery of exception/intercept messages, the microhypervisor performs an implicit portal traversal. The selector for the destination portal (SEL_{OBJ}):

- is determined by adding the exception/intercept number to the affected EC's Event Selector Base (SEL_{EVT}).
- indexes into the Object Space (SPC_{OBJ}) of the affected EC's Protection Domain (PD).
- must refer to a PT Object Capability (CAP_{OBJ_{PT}}), otherwise the affected EC is killed.

6.4.1 Architectural Events

Host Exceptions and Guest Intercepts

SEL _{OBJ}	Exception / Intercept	SEL _{OBJ}	Exception / Intercept	
SEL _{EVT} + 0x0	Unknown Reason	$\overline{SEL_{EVT} + 0x20}$	Instruction Abort (lower EL)	
$SEL_{EVT} + 0x1$	Trapped WFI or WFE	$SEL_{EVT} + 0x21$	Instruction Abort (same EL)*	
$SEL_{EVT} + 0x2$	reserved	$SEL_{EVT} + 0x22$	PC Alignment Fault	
$SEL_{EVT} + 0x3$	Trapped MCR or MRC	$SEL_{EVT} + 0x23$	reserved	
$SEL_{EVT} + 0x4$	Trapped MCRR or MRRC	$SEL_{EVT} + 0x24$	Data Abort (lower EL)	
$SEL_{EVT} + 0x5$	Trapped MCR or MRC	$\frac{SEL_{EVT}}{} + 0x25$	Data Abort (same EL)*	
$SEL_{EVT} + 0x6$	Trapped LDC or STC	$SEL_{EVT} + 0x26$	SP Alignment Fault	
$SEL_{EVT} + 0x7$	SVE, SIMD, FPU	$\frac{SEL_{EVT}}{} + 0x27$	reserved	
$SEL_{EVT} + 0x8$	Trapped VMRS Access	$\frac{SEL_{EVT}}{} + 0x28$	Trapped FPU (AArch32)	
$SEL_{EVT} + 0x9$	Trapped PAuth Instruction	$\frac{SEL_{EVT}}{} + 0x29$	reserved	
$SEL_{EVT} + 0xa$	reserved	$SEL_{EVT} + 0x2a$	reserved	
$SEL_{EVT} + 0xb$	reserved	$SEL_{EVT} + 0x2b$	reserved	
$SEL_{EVT} + 0xc$	Trapped MRRC	$SEL_{EVT} + 0x2c$	Trapped FPU (AArch64)	
$SEL_{EVT} + 0xd$	Branch Target Exception	$SEL_{EVT} + 0x2d$	reserved	
$SEL_{EVT} + 0xe$	Illegal Execution State	SEL _{EVT} + 0x2e	reserved	
$SEL_{EVT} + 0xf$	reserved	$SEL_{EVT} + 0x2f$	SError	
$\frac{SEL_{EVT}}{} + 0x10$	reserved	$SEL_{EVT} + 0x30$	Breakpoint (lower EL)	
$\frac{SEL_{EVT}}{} + 0x11$	SVC (from AArch32 State)	$SEL_{EVT} + 0x31$	Breakpoint (same EL)*	
$\frac{SEL_{EVT}}{} + 0x12$	HVC (from AArch32 State)	$SEL_{EVT} + 0x32$	Software Step (lower EL)	
$\frac{SEL_{EVT}}{} + 0x13$	SMC (from AArch32 State)	$SEL_{EVT} + 0x33$	Software Step (same EL)*	
$\frac{SEL_{EVT}}{} + 0x14$	reserved	$SEL_{EVT} + 0x34$	Watchpoint (lower EL)	
$\frac{SEL_{EVT}}{} + 0x15$	SVC (from AArch64 State)*	$SEL_{EVT} + 0x35$	Watchpoint (same EL)*	
$SEL_{EVT} + 0x16$	HVC (from AArch64 State)	$SEL_{EVT} + 0x36$	reserved	
$SEL_{EVT} + 0x17$	SMC (from AArch64 State)	$SEL_{EVT} + 0x37$	reserved	
$SEL_{EVT} + 0x18$	Trapped MSR or MRS	$SEL_{EVT} + 0x38$	BKPT (AArch32)	
$SEL_{EVT} + 0x19$	Trapped SVE	$SEL_{EVT} + 0x39$	reserved	
$SEL_{EVT} + 0x1a$	Trapped ERET	$SEL_{EVT} + 0x3a$	Vector Catch (AArch32)	
$SEL_{EVT} + 0x1b$	reserved	$SEL_{EVT} + 0x3b$	reserved	
$SEL_{EVT} + 0x1c$	PAuth Instruction Failure	$SEL_{EVT} + 0x3c$	BRK (AArch64)	
$SEL_{EVT} + 0x1d$	reserved	$SEL_{EVT} + 0x3d$	reserved	
$SEL_{EVT} + 0x1e$	reserved	$SEL_{EVT} + 0x3e$	reserved	
$SEL_{EVT} + 0x1f$	reserved	$SEL_{EVT} + 0x3f$	reserved	

Please refer to [3] for more details on each of these events.

^{*}These events may be handled by the microhypervisor, in which case they will not cause portal traversals.

6.4.2 Microhypervisor Events

SEL _{OBJ}	Event
SEL _{EVT} + SEL _{ARCH} + 0x0	Startup
$SEL_{EVT} + SEL_{ARCH} + 0x1$	Recall
$SEL_{EVT} + SEL_{ARCH} + 0x2$	Virtual Timer

The value of $\mathsf{SEL}_{\mathsf{ARCH}}$ depends on the origin of the event:

- $SEL_{ARCH} = SEL_{HST/ARCH}$ (0x40) for events that occurred in the host.
- $SEL_{ARCH} = SEL_{GST/ARCH}$ (0x40) for events that occurred in the guest.



6.5 Architecture-Dependent Structures

6.5.1 Hypervisor Information Page

63	48 47	32 31	16	15	+Length
	~	(CTX _{NUM}	SMG_{NUM}	Arch+0x00
63	48 47	32.31	16	15	0

$\mathsf{SMG}_{\mathsf{NUM}}$

Number of SMMU stream mapping groups.

CTXNIIM

Number of SMMU translation contexts.

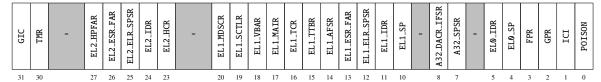


6.5.2 User Thread Control Block

-		VMCR	ELRSR	+0x2d0)
AP1R3	AP1R2	AP1R1	AP1R0	+0x2c0	
APOR3	AP0R2	APOR1	AP0R0	+0x2b0	
LR	15	LR	14	+0x2a0	
LR	13	LR	.12	+0x290	
LR	11	LR	10	+0x280	GIC
LF	19	LI	R8	+0x270	
LF	R7	Li	R6	+0x260	
LF	R5	LI	R4	+0x250	
LF	13	LI	R2	+0x240	
LF	R1	LI	RO	+0x230)
CNTVO	FF_EL2	CNTKC	TL_EL1	+0x220	TMR
CNTV_C	TL_EL0	CNTV_CV	VAL_ELO	+0x210) TWIK
-	-	HPFA	R_EL2	+0x200)
FAR.	EL2	ESR.	_EL2	+0x1f0	
SPSR	_EL2	ELR	EL2	+0x1e0	EL2
VMPID	R_EL2	VPID	R_EL2	+0x1d0	
HCRX	_EL2	HCR.	EL2	+0x1c0)
-		MDSCI	R_EL1	+0x1b0)
SCTLE	R_EL1	VBAR	LEL1	+0x1a0	
AMAII	R_EL1	MAIR	R_EL1	+0x190	
TCR_	EL1	TTBR1_EL1		+0x180	
TTBR	0_EL1	AFSR1_EL1		+0x170	EL1
AFSRO	D_EL1	FAR.	EL1	+0x160	
ESR.	EL1	SPSR	R_EL1	+0x150	
ELR	ELR_EL1		TIDR_EL1	+0x140	
TPIDE	R_EL1	SP_EL1		+0x130)
-		IFSR	DACR	+0x120	A32
SPSR_und	SPSR_irq	SPSR_fiq	SPSR_abt	+0x110) 110-
TPIDRE		TPID		+0x100	
SP_		X		+0x0f0	
XZ			28	+0x0e0	
XZ	2.7	X26		+0x0d0	
X2		X24		+0x0c0	
X2		X22		+0x0b0	
X2		X20		+0x0a0	
X1		X18		+0x090	
	X17		X16		EL0
X15		X14		+0x070	
	X13		X12		
	X11		X10		
Х		X8		+0x040	
X		X6		+0x030	
X		X4		+0x020	
	Х3		X2		
X		X		+0x000	J
48 32	16	0 48 32	2 16	0	

6.5.3 Message Transfer Descriptor

The Message Transfer Descriptor (ARM, x86) (MTD), which controls the subset of the architectural state transferred during exceptions and intercepts, as described in Section 3.4.2, has the following layout:



Each MTD bit controls the transfer of the listed architectural state to/from the respective fields in the UTCB (6.5.2) as follows:

- State with access r can be read from the architectural state into the UTCB.
- State with access w can be written from the UTCB into the architectural state.

MTD Bit	Access	Host Exception State	Guest Intercept State
POISON	W	Kills the Thread	Kills the vCPU
ICI^{\dagger}	W	Invalidates the entire I-Cache	Invalidates the entire I-Cache
GPR	rw	X0 X30	X0 X30
EL0_SP	rw	SP_EL0	SP_EL0
EL0_IDR	rw	TPIDR_EL0, TPIDRRO_EL0	TPIDR_EL0, TPIDRRO_EL0
A32_SPSR	rw	-	SPSR_ABT, SPSR_FIQ, SPSR_IRQ, SPSR_UND
A32_DACR_IFSR	rw	-	DACR, IFSR
EL1_SP	rw	-	SP_EL1
$EL1_IDR$	rw	-	TPIDR_EL1, CONTEXTIDR_EL1
EL1_ELR_SPSR	rw	-	ELR_EL1, SPSR_EL1
EL1_ESR_FAR	rw	-	ESR_EL1, FAR_EL1
EL1_AFSR	rw	-	AFSR0_EL1, AFSR1_EL1
$EL1_{-}TTBR$	rw	-	TTBR0_EL1, TTBR1_EL1
EL1_TCR	rw	-	TCR_EL1
EL1_MAIR	rw	-	MAIR_EL1, AMAIR_EL1
EL1_VBAR	rw	-	VBAR_EL1
EL1_SCTLR	rw	-	SCTLR_EL1
EL1_MDSCR	rw	-	MDSCR_EL1
EL2_HCR	rw	-	HCR_EL2, HCRX_EL2
EL2_IDR	rw	-	VPIDR_EL2, VMPIDR_EL2
EL2_ELR_SPSR	rw	ELR_EL2, SPSR_EL2	ELR_EL2, SPSR_EL2
EL2_ESR_FAR	r	ESR_EL2, FAR_EL2	ESR_EL2, FAR_EL2
EL2_HPFAR	r	_	HPFAR_EL2
TMR			CNTV_CVAL_ELO, CNTV_CTL_ELO
ITIK	rw	_	CNTKCTL_EL1, CNTVOFF_EL2
GIC	rw		LRO LR15, APxRO APxR3
GTC.	r	_	ELRSR, VMCR

[†]Only affects a VIPT instruction cache of the local core. Has no effect on PIPT instruction caches, data caches, or caches of other cores.

6.6 Calling Convention

The following pages describes the calling convention for each hypercall. An execution context calls into the microhypervisor by loading the hypercall identifier and other parameters into the specified processor registers and then executes the svc #0 instruction [3].

The hypercall identifier consists of the hypercall number and hypercall-specific flags, as illustrated in Figure 6.1.



Figure 6.1: Hypercall Identifier

The status code returned from a hypercall has the format shown in Figure 6.2.



Figure 6.2: Status Code

The assignment of hypercall parameters to general-purpose registers is shown on the left side; the contents of the registers after the hypercall is shown on the right side.

IPC Call

$$\begin{array}{c|cccc} pt_{[63-8]} \ hypercall_{[7-0]} & \textbf{X0} & & & \textbf{ipc_call} \\ & mtd_{[31-0]} & \textbf{X1} & & & & \textbf{X1} \\ & & & & & & & \textbf{X1} \\ & & & & & & & \textbf{IP} & & \textbf{IP+4} \end{array}$$

IPC Reply

$$\begin{array}{c|cccc} \text{hypercall}_{[7-0]} & \textbf{X0} & & & \textbf{ipc_reply} \\ & \text{mtd}_{[31-0]} & \textbf{X1} & & & \textbf{X1} & & \text{mtd}_{[31-0]} \\ & & & & & & & & & & & & & & & \\ & & & & & & & & & & & & & & \\ & & & & & & & & & & & & & & \\ & & & & & & & & & & & & & & \\ & & & & & & & & & & & & & & \\ & & & & & & & & & & & & & & \\ & & & & & & & & & & & & & \\ & & & & & & & & & & & & & \\ & & & & & & & & & & & & & \\ & & & & & & & & & & & & & \\ & & & & & & & & & & & & \\ & & & & & & & & & & & \\ & & & & & & & & & & & \\ & & & & & & & & & & & \\ & & & & & & & & & & & \\ & & & & & & & & & & & \\ & & & & & & & & & & & \\ & & & & & & & & & & \\ & & & & & & & & & & \\ & & & & & & & & & & \\ & & & & & & & & & & \\ & & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & \\ & & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & &$$

Create Protection Domain

Create Execution Context

Create Scheduling Context

Create Portal

Create Semaphore

Control Protection Domain

Control Execution Context

Control Scheduling Context

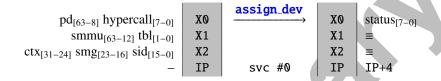
Control Portal

$$\begin{array}{c|cccc} pt_{[63-8]} \ hypercall_{[7-0]} & \text{X0} & & \hline & \textbf{ctrl_pt} & & \text{X0} & & status_{[7-0]} \\ & pid & \text{X1} & & & \text{X1} & \equiv \\ & mtd_{[31-0]} & \text{X2} & & & \text{X2} & \equiv \\ & & & & & & \text{IP} & \text{IP+4} \end{array}$$

Control Semaphore

Assign Interrupt

Assign Device



6.7 Supplementary Functionality

This section describes functions that do **not** conform to the calling convention for hypercalls. Because these functions cannot perform capability-based access control, their invocation is restricted to the Root Protection Domain (PD_{ROOT}). Invocation of these functions from any other Protection Domain generates an exception.

Secure Monitor Call

This call is proxy-filtered by the microhypervisor. If the function parameter indicates an **atomic SIP service call**, then the microhypervisor issues the corresponding SMC to the platform firmware on behalf of the caller. Otherwise this function generates an exception. Register allocation conforms to the ARM SMCCC [2].

		proxy_smc		
function[31-0]	X0	—————————————————————————————————————	X0	~
_	X1		X1	~
_	X2		X2	~
_	Х3		Х3	~
_	X4		X4	~
_	X5		X5	~
_	Х6		Х6	~
_	X7		Х7	~
_	Х8		X8	~
_	Х9		Х9	~
_	X10		X10	~
_	X11		X11	~
_	X12		X12	~
_	X13		X13	~
_	X14		X14	~
_	X15		X15	~
_	X16		X16	~
_	X17		X17	~
_	IP	svc #1	IP	IP+4

7 ABI x86-64

7.1 Boot State

7.1.1 NOVA Microhypervisor

The bootloader must set up the CPU register state according to one of the launch types listed below when it transfers control to the NOVA microhypervisor. Furthermore, the following preconditions must be satisfied:

- The CPU state must conform to a machine state defined in the Multiboot Specification v2 [7] or v1 [6].
- All DMA activity targeting the physical memory region occupied by the microhypervisor must be quiesced. That physical memory region should also be protected against DMA accesses on systems with an IOMMU.

7.1.1.1 Multiboot v2 Launch

Only this launch type supports 64-bit **UEFI** platforms.

Register	Value / Description
EIP	Physical address of the NOVA Protection Domain (PD _{NOVA}) ELF image entry point
EAX	Multiboot v2 magic value (0x36d76289) [7]
EBX	Physical address of the Multiboot v2 information structure [7]
0ther	~

The NOVA microhypervisor consumes the following multiboot tags, if present: 1, 3, 12, 20.

7.1.1.2 Multiboot v1 Launch

Register	Value / Description
EIP	Physical address of the NOVA Protection Domain (PD _{NOVA}) ELF image entry point
EAX	Multiboot v1 magic value (0x2badb002) [6]
EBX	Physical address of the Multiboot v1 information structure [6]
Other	~

The NOVA microhypervisor consumes the following multiboot flags, if present: 2, 3.

7.1.2 Root Protection Domain

The NOVA microhypervisor sets up the CPU register state as follows when it transfers control to the Root Execution Context (EC_{ROOT}):

Register	Value / Description
RIP	Virtual address of the Root Protection Domain (PD _{ROOT}) ELF image entry point
RSP	Virtual address of the Hypervisor Information Page (ARM, x86) (HIP)
RDI	EAX at boot time †
RSI	EBX at boot time †
0ther	~

[†]The register contains the preserved original value from the point when control was transferred from the bootloader to the microhypervisor.

7.2 Physical Memory

7.2.1 Memory Map

The Root Protection Domain (PD_{ROOT}) can obtain a list of available/reserved memory regions as follows:

- On platforms using Multiboot v2 (UEFI boot services enabled), by parsing the UEFI memory map [11].
- On platforms using Multiboot v2, by parsing the Multiboot v2 memory map [7].
- On platforms using Multiboot v1, by parsing the Multiboot v1 memory map [6].

7.2.2 Protected Regions

The following regions of physical memory are protected by the NOVA microhypervisor and are therefore inaccessible to user-mode applications:

- Physical memory occupied by the NOVA microhypervisor (conveyed via HIP).
- Physical memory occupied by Local APIC and I/O APIC devices (conveyed via ACPI MADT).
- Physical memory occupied by IOMMU devices (conveyed via ACPI DMAR).
- Physical memory occupied by firmware runtime services (conveyed via UEFI memory map).

7.3 Virtual Memory

The accessible virtual memory range for user-mode applications is 0-0x7ffffffffff.

7.3.1 Cacheability Attributes

Encoding	$ATTR_{CA}$	Description
0x0	WB	Write Back
0x1	WT	Write Through
0x2	WC	Write Combining
0x3	UC	Strong Uncacheable
0x4	WP	Write Protected

Please refer to [1, 4] for details on the architectural behavior.

7.3.2 Shareability Attributes

Encoding	ATTR _{SH}	Description
0x0	UNUSED	Always use this value

7.4 Event-Specific Capability Selectors

For the delivery of exception/intercept messages, the microhypervisor performs an implicit portal traversal. The selector for the destination portal (SEL_{OBJ}):

- is determined by adding the exception/intercept number to the affected EC's Event Selector Base (SEL_{EVT}).
- indexes into the Object Space (SPC_{OBJ}) of the affected EC's Protection Domain (PD).
- must refer to a PT Object Capability (CAP_{OBJ_{PT}}), otherwise the affected EC is killed.

7.4.1 Architectural Events

Host Exceptions

SEL _{OBJ}	Exception	SEL _{OBJ}	Exception
SEL _{EVT} + 0x0	#DE	SEL _{EVT} + 0x10	#MF
$SEL_{EVT} + 0x1$	#DB	$SEL_{EVT} + 0x11$	#AC
$SEL_{EVT} + 0x2$	reserved	$SEL_{EVT} + 0x12$	#MC*
$SEL_{EVT} + 0x3$	#BP	$SEL_{EVT} + 0x13$	#XM
$SEL_{EVT} + 0x4$	#OF	$SEL_{EVT} + 0x14$	#VE
$SEL_{EVT} + 0x5$	#BR	$SEL_{EVT} + 0x15$	#CP
$SEL_{EVT} + 0x6$	#UD	$SEL_{EVT} + 0x16$	reserved
$SEL_{EVT} + 0x7$	#NM*	$\frac{SEL_{EVT}}{} + 0x17$	reserved
$SEL_{EVT} + 0x8$	#DF*	$SEL_{EVT} + 0x18$	reserved
$SEL_{EVT} + 0x9$	reserved	$SEL_{EVT} + 0x19$	reserved
$SEL_{EVT} + 0xa$	#TS*	$SEL_{EVT} + 0x1a$	reserved
$SEL_{EVT} + 0xb$	#NP	$SEL_{EVT} + 0x1b$	reserved
$SEL_{EVT} + 0xc$	#SS	$SEL_{EVT} + 0x1c$	reserved
$SEL_{EVT} + 0xd$	#GP	$SEL_{EVT} + 0x1d$	reserved
$SEL_{EVT} + 0xe$	#PF	SEL _{EVT} + 0x1e	reserved
$SEL_{EVT} + 0xf$	reserved	SEL _{EVT} + 0x1f	reserved
SELEVT + UXI	reserved	SELEVT + UXII	reserved

^{*}These events may be handled by the microhypervisor, in which case they will not cause portal traversals.

[†]These events may be force-enabled by the microhypervisor, in which case they will cause portal traversals.

Guest Intercepts (VMX)

SEL _{OBJ}	Intercept	SEL _{OBJ}	Intercept
$SEL_{EVT} + 0x0$	Exception or NMI*	SEL _{EVT} + 0x28	PAUSE
$SEL_{EVT} + 0x1$	External Interrupt*	$SEL_{EVT} + 0x29$	VM Entry Failure (MCE)
$SEL_{EVT} + 0x2$	Triple Fault [†]	$SEL_{EVT} + 0x2a$	reserved
$SEL_{EVT} + 0x3$	INIT [†]	$SEL_{EVT} + 0x2b$	TPR Below Threshold
$SEL_{EVT} + 0x4$	SIPI [†]	$SEL_{EVT} + 0x2c$	APIC Access
$SEL_{EVT} + 0x5$	I/O SMI	$SEL_{EVT} + 0x2d$	Virtualized EOI
$SEL_{EVT} + 0x6$	Other SMI	$SEL_{EVT} + 0x2e$	GDTR/IDTR Access
$SEL_{EVT} + 0x7$	Interrupt Window	$SEL_{EVT} + 0x2f$	LDTR/TR Access
$SEL_{EVT} + 0x8$	NMI Window	$SEL_{EVT} + 0x30$	EPT Violation [†]
$SEL_{EVT} + 0x9$	Task Switch [†]	$SEL_{EVT} + 0x31$	EPT Misconfiguration
$SEL_{EVT} + 0xa$	CPUID [†]	$SEL_{EVT} + 0x32$	INVEPT
$SEL_{EVT} + 0xb$	GETSEC [†]	$SEL_{EVT} + 0x33$	RDTSCP
$SEL_{EVT} + 0xc$	HLT [†]	$SEL_{EVT} + 0x34$	Preemption Timer
$SEL_{EVT} + 0xd$	INVD [†]	$SEL_{EVT} + 0x35$	INVVPID
$SEL_{EVT} + 0xe$	INVLPG*	$SEL_{EVT} + 0x36$	WBINVD, WBNOINVD
$SEL_{EVT} + 0xf$	RDPMC	$SEL_{EVT} + 0x37$	XSETBV
$SEL_{EVT} + 0x10$	RDTSC	$SEL_{EVT} + 0x38$	APIC Write
$SEL_{EVT} + 0x11$	RSM	$SEL_{EVT} + 0x39$	RDRAND
$SEL_{EVT} + 0x12$	VMCALL	$SEL_{EVT} + 0x3a$	INVPCID
$SEL_{EVT} + 0x13$	VMCLEAR	$SEL_{EVT} + 0x3b$	VMFUNC
$SEL_{EVT} + 0x14$	VMLAUNCH	$SEL_{EVT} + 0x3c$	ENCLS
$SEL_{EVT} + 0x15$	VMPTRLD	$SEL_{EVT} + 0x3d$	RDSEED
$SEL_{EVT} + 0x16$	VMPTRST	$SEL_{EVT} + 0x3e$	PML Log Full
$\frac{SEL_{EVT}}{} + 0x17$	VMREAD	$SEL_{EVT} + 0x3f$	XSAVES
$\frac{SEL_{EVT}}{} + 0x18$	VMRESUME	$\frac{SEL_{EVT}}{} + 0x40$	XRSTORS
$SEL_{EVT} + 0x19$	VMWRITE	$\frac{SEL_{EVT}}{} + 0x41$	reserved
$SEL_{EVT} + 0x1a$	VMXOFF	$SEL_{EVT} + 0x42$	SPP Miss / Misconfiguration
$SEL_{EVT} + 0x1b$	VMXON	$SEL_{EVT} + 0x43$	UMWAIT
$SEL_{EVT} + 0x1c$	CR Access*	SEL _{EVT} + 0x44	TPAUSE
$SEL_{EVT} + 0x1d$	DR Access	$SEL_{EVT} + 0x45$	LOADIWKEY
$SEL_{EVT} + 0x1e$	I/O Access [†]	$SEL_{EVT} + 0x46$	reserved
$SEL_{EVT} + 0x1f$	RDMSR [†]	$SEL_{EVT} + 0x47$	reserved
$\frac{SEL_{EVT}}{} + 0x20$	WRMSR [†]	$SEL_{EVT} + 0x48$	ENQCMD PASID Failure
$\frac{SEL_{EVT}}{} + 0x21$	VM Entry Failure (State) [†]	$SEL_{EVT} + 0x49$	ENQCMDS PASID Failure
$SEL_{EVT} + 0x22$	VM Entry Failure (MSR)	$SEL_{EVT} + 0x4a$	Bus Lock
$SEL_{EVT} + 0x23$	reserved	$SEL_{EVT} + 0x4b$	Notify Window
$\frac{SEL_{EVT}}{} + 0x24$	MWAIT	$SEL_{EVT} + 0x4c$	SEAMCALL
$SEL_{EVT} + 0x25$	MTF	$SEL_{EVT} + 0x4d$	TDCALL
$SEL_{EVT} + 0x26$	reserved	$SEL_{EVT} + 0x4e$	reserved
$SEL_{EVT} + 0x27$	MONITOR	$SEL_{EVT} + 0x4f$	reserved

Please refer to [4] for more details on each of these events.

7.4.2 Microhypervisor Events

SEL _{OB} J	Event
SEL _{EVT} + SEL _{ARCH} + 0x0	Startup
$SEL_{EVT} + SEL_{ARCH} + 0x1$	Recall

The value of SEL_{ARCH} depends on the origin of the event:

- $SEL_{ARCH} = SEL_{HST/ARCH}$ (0x20) for events that occurred in the host.
- $SEL_{ARCH} = SEL_{GST/ARCH}$ (0x100) for events that occurred in the guest.

7.5 Architecture-Dependent Structures

7.5.1 Hypervisor Information Page

The architecture-dependent HIP structure is empty.

7.5.2 User Thread Control Block

	-	IA32_KERNEL_GS_BASE					
IA32	FMASK	IA32_LSTAR					
IA32	_STAR	IA32_EFER					
IA32	PAT	IA32_SYSENTER_EIP					
IA32_SYS	ENTER_ESP	IA32_SYS	ENTER_CS	4			
DI	R7	CI	R8				
CI	R4	CI	R3				
CI	R2	CI	30				
PDP	TE3	PDP	TE2				
PDP	TE1	PDP	TE0				
Base	IDTR	Limit IDTR		-			
Base	GDTR	Limit GDTR		_			
Base	LDTR	Limit LDTR	AR LDTR*	SEL LDTR			
Base	e TR	Limit TR	AR TR*	SEL TR			
Base	e GS	Limit GS	AR GS*	SEL GS			
Base	e FS	Limit FS	AR FS*	SEL FS			
Base	e ES	Limit ES	AR ES*	SEL ES			
Base	e DS	Limit DS	AR DS*	SEL DS			
Base	e SS	Limit SS	AR SS* SEL SS				
Base	e CS	Limit CS	AR CS* SEL CS				
DT Vectoring Error	IDT Vectoring Info	Interruption Error	uption Error Interruption Info [†]				
TPR Threshold	Exception Bitmap	PF Error Match	PF Erro	or Mask			
	Controls	2nd Exec Controls 1st Exec Controls					
2nd Exit Qu	alification	1st Exit Qu	1st Exit Qualification				
Activity	Interruptibility	Instruction Info	Instruction Info Instruction Length				
RIP		RFLAGS					
R15	V	R14					
R13		R12					
R11)	R10					
R9		R8					
	(RDI)	R6	(RSI)				
	(RBP)	R4 (RSP)					
	(RBX)		(RDX)				
R1	(RCX)	R0	(RAX)				

^{*}See Section 7.5.2.1 for encoding details. †See Section 7.5.2.2 for encoding details.

7.5.2.1 Encoding: Segment Access Rights

~	U	G	D/B	L	AVL	P	DF	PL	S		Type	
	12	11	10	9	8	7	6	5	4	3		0
Field			Desc	riptio	n							
U			0 = S	egmei	nt Usat	ole			_			
U			1 = S	egmei	nt Unu	sable						
G			Gran	ularity	r				_			
D /D			0 = 16-bit segment				_					
D/B			1 = 3	2-bit s	segmen	ıt						
L			64-bi	t mod	e active	e (CS o	only)		_			
AVL			Avail	able fo	or use l	by syst	em so	ftware	_			
P			Segm	ent Pr	esent		_					
DPL			Desci	Descriptor Privilege Level								
S			0 = S	ystem	l				_			
3			1 = C	ode o	r Data							

Segment Type

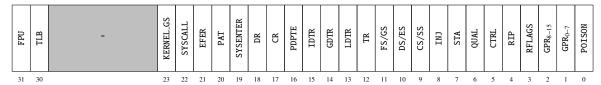
7.5.2.2 Encoding: Interruption Information

Type

٧	~	N I E Type Vector				
31		13 12 11 10 8 7 0				
Field	Description					
V	0 = Fields E, Type, Vector are invalid					
V	1 = Fields E, Type,	1 = Fields E, Type, Vector are valid				
N	0 = Do not request	an NMI window				
N	1 = Request an NN	fI window				
т	0 = Do not request	0 = Do not request an interrupt window				
1	1 = Request an inte	1 = Request an interrupt window				
E	0 = Do not deliver	0 = Do not deliver the error code from the UTCB Interruption Error field 1 = Deliver the error code from the UTCB Interruption Error field				
E.	1 = Deliver the error					
	0 = External Interrupt					
	2 = Non-Maskable	2 = Non-Maskable Interrupt				
Type	3 = Hardware Exce	3 = Hardware Exception 4 = Software Interrupt 5 = Privileged Software Exception				
Type	4 = Software Intern					
	5 = Privileged Soft					
	6 = Software Exce	ption				
•	7 = Other Event (n	ot delivered through IDT)				
Vector	IDT Vector of Inter	IDT Vector of Interrupt or Exception				

7.5.3 Message Transfer Descriptor

The Message Transfer Descriptor (ARM, x86) (MTD), which controls the subset of the architectural state transferred during exceptions and intercepts, as described in Section 3.4.2, has the following layout:



Each MTD bit controls the transfer of the listed architectural state to/from the respective fields in the UTCB (7.5.2) as follows:

- State with access r can be read from the architectural state into the UTCB.
- State with access w can be written from the UTCB into the architectural state.

MTD Bit	Access	Host Exception State	Guest Intercept State		
POISON	W	Kills the Thread	Kills the vCPU		
GPR ₀₋₇	rw	R0 R7	R0 R7		
GPR_{8-15}	rw	R8 R15	R8 R15		
RFLAGS	rw	RFLAGS*	RFLAGS		
RIP	rw	RIP	RIP, Instruction Length, Instruction Info		
CTRL	W	-	Execution Controls, Exception Bitmap, PF Error		
QUAL	r	Exit Qualifications [†]	Exit Qualifications		
STA	rw	_	Interruptibility State, Activity State		
TNI	rw		Interruption Info, Interruption Error		
INJ	r	_	IDT Vectoring Info, IDT Vectoring Error		
CS/SS	rw	-	CS, SS (Selector, Base, Limit, AR)		
DS/ES	rw	-	DS, ES (Selector, Base, Limit, AR)		
FS/GS	rw	-	FS, GS (Selector, Base, Limit, AR)		
TR	rw	-	TR (Selector, Base, Limit, AR)		
LDTR	rw	-	LDTR (Selector, Base, Limit, AR)		
GDTR	rw	-	GDTR (Base, Limit)		
IDTR	rw	-	IDTR (Base, Limit)		
PDPTE	rw	-	PDPTE0 PDPTE3		
CR	rw		CR0, CR2, CR3, CR4, CR8		
DR	rw		DR7		
SYSENTER	rw	-	IA32_SYSENTER_{CS,ESP,EIP}		
PAT	rw) -	IA32_PAT		
EFER	rw	–	IA32_EFER		
SYSCALL	rw	_	IA32_{STAR,LSTAR,FMASK}		
KERNEL_GS	rw	-	IA32_KERNEL_GS_BASE		
TLB	W	_	Invalidates the TLB for the vCPU		

^{*}Only the arithmetic flags are writable.

[†]The 1st exit qualification contains the exception error code. The 2nd exit qualification contains the fault address.

7.6 Calling Convention

The following pages describes the calling convention for each hypercall. An execution context calls into the microhypervisor by loading the hypercall identifier and other parameters into the specified processor registers and then executes the syscall instruction [1, 4].

The hypercall identifier consists of the hypercall number and hypercall-specific flags, as illustrated in Figure 7.1.

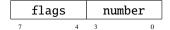


Figure 7.1: Hypercall Identifier

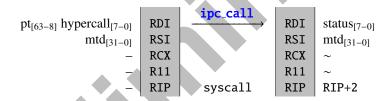
The status code returned from a hypercall has the format shown in Figure 7.2.



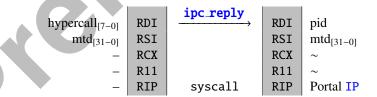
Figure 7.2: Status Code

The assignment of hypercall parameters to general-purpose registers is shown on the left side; the contents of the registers after the hypercall is shown on the right side.

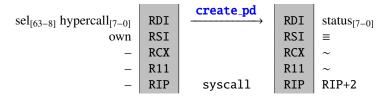
IPC Call



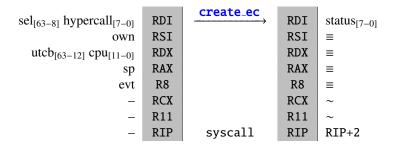
IPC Reply



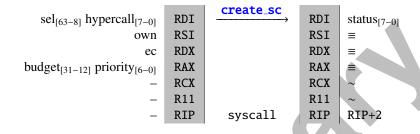
Create Protection Domain



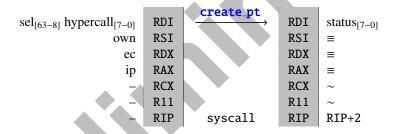
Create Execution Context



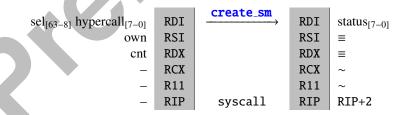
Create Scheduling Context



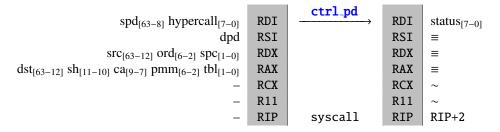
Create Portal



Create Semaphore



Control Protection Domain

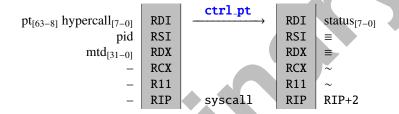


Control Execution Context

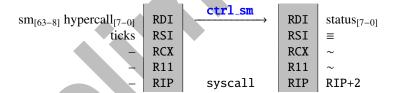
Control Scheduling Context

sc _[63-8] hypercall _[7-0]	RDI		RDI	status _[7-0]
_	RSI		RSI	ticks
_	RCX		RCX	~
_	R11		R11	~
_	RIP	syscall	RIP	RIP+2

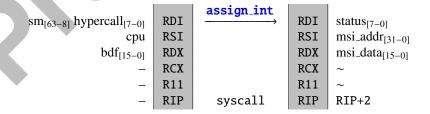
Control Portal



Control Semaphore



Assign Interrupt



Assign Device

Part V Appendix

A Acronyms

ACPI Advanced Configuration and Power Interface [12]

ATTR_{CA} Cacheability Attribute (ARM, x86)
ATTR_{SH} Shareability Attribute (ARM, x86)

BDF PCI Bus:Device:Function

CAP Capability

CAP₀ **Null Capability** CAPMEM **Memory Capability MSR** Capability CAP_{MSR} **CAP_{OBJ} Object Capability** $CAP_{OBJ_{PD}}$ PD Object Capability $CAP_{OBJ_{EC}}$ **EC** Object Capability $CAP_{OBJ_{SC}}$ SC Object Capability PT Object Capability $CAP_{OBJ_{PT}}$ **SM** Object Capability $CAP_{OBJ_{SM}}$ I/O Port Capability CAP_{PIO} CPU Central Processing Unit

DMA Direct Memory Access

EC Execution Context

EC_{CURRENT} Current Execution Context
EC_{ROOT} Root Execution Context

ELF Executable and Linkable Format [10]

FDT Flattened Device Tree [5]
FPU Floating Point Unit

HIP Hypervisor Information Page (ARM, x86)

IP Instruction Pointer

IPC Inter-Process Communication

MSI Message Signaled Interrupt [8]

MSR Model-Specific Register

MTD Message Transfer Descriptor (ARM, x86)
PCI Peripheral Component Interconnect [8]

PD Protection Domain

PD_{CURRENT} Current Protection Domain
PD_{NOVA} NOVA Protection Domain
PD_{ROOT} Root Protection Domain

PID Portal Identifier

PT Portal

SC Scheduling Context

 SCCURRENT
 Current Scheduling Context

 SCROOT
 Root Scheduling Context

 SEL
 Capability Selector

SEL_{EVT} Event Selector Base

SELMEMMemory Capability SelectorSELMSRMSR Capability SelectorSELOBJObject Capability SelectorSELPIOI/O Port Capability Selector

SID Stream Identifier
SM Semaphore

SMMU System Memory Management Unit

SP Stack Pointer

SPC_{MEM} Memory Space

SPC_{MSR} MSR Space

SPC_{OBJ} Object Space

SPC_{PIO} I/O Port Space

TYPE_{SPC} Space Type

TYPE_{TBL} Table Type

UEFI Unified Extensible Firmware Interface [11]
UTCB User Thread Control Block (ARM, x86)

VMM Virtual-Machine Monitor

ipc_call Hypercall (ARM, x86): IPC Call ipc_reply Hypercall (ARM, x86): IPC Reply

create_pd Hypercall (ARM, x86): Create Protection Domain create_ec Hypercall (ARM, x86): Create Execution Context Create_sc Hypercall (ARM, x86): Create Scheduling Context

create_pt Hypercall (ARM, x86): Create Portal

create_sm Hypercall (ARM, x86): Create Semaphore

ctrl_pdHypercall (ARM, x86): Control Protection Domainctrl_ecHypercall (ARM, x86): Control Execution Contextctrl_scHypercall (ARM, x86): Control Scheduling Context

ctrl_pt Hypercall (ARM, x86): Control Portal
ctrl_sm Hypercall (ARM, x86): Control Semaphore

ctrl hw Hypercall: Control Hardware

assign_int Hypercall (ARM, x86): Assign Interrupt assign_dev Hypercall (ARM, x86): Assign Device

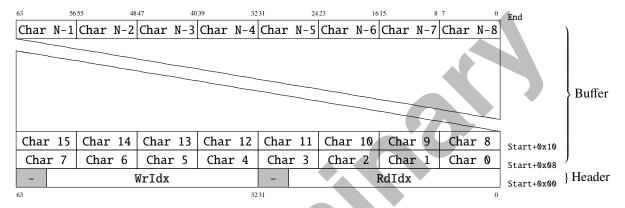
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C Console

C.1 Memory-Buffer Console

The NOVA microhypervisor implements a memory-buffer console that provides run-time debug output. The memory-buffer console consists of a signaling semaphore (see 5.1.2) and an in-memory data structure with a header and a buffer as follows:



The start address and end address of the memory-buffer console are conveyed in the HIP.

The buffer size (N characters) can be computed as:

The fields of the header are used as follows:

- RdIdx ranges from 0 ... N-1.
 It points to the next character in the buffer that the console consumer will read and is typically advanced by the console consumer.
- WrIdx ranges from 0 ... N-1.
 It points to the next character in the buffer that the NOVA microhypervisor will write and is only advanced by the NOVA microhypervisor.
- The buffer is empty if RdIdx is equal to WrIdx.
- Otherwise WrIdx is ahead of RdIdx, wrapping around the buffer size N accordingly, i.e. character N+x will be stored in the same buffer slot as character x.
- If the buffer becomes full, the NOVA microhypervisor advances RdIdx, forcing the oldest character to be discarded from the buffer.
- At the end of each line, the NOVA microhypervisor invokes ctrl_sm (Up) on the signaling semaphore. The console consumer should use ctrl_sm (Down) on the signaling semaphore instead of polling WrIdx.

C.2 UART Console

Additionally several different UART consoles can be used to provide boot-time-only debug output of the microhypervisor. UART consoles should be configured for 115200 baud and 8N1 mode.

D Download

The source code of the NOVA microhypervisor and the latest version of this document can be downloaded from GitHub.

https://github.com/udosteinberg/NOVA

