

1 **No evidence of reduced capacity during highly demanding cognitive tasks in healthy older**
2 **adults at electroencephalographic risk of cognitive impairment**

3 Jorge Sigg-Alonso¹, Mauricio González-López¹, Eduardo Gonzalez-Moreira², Rodolfo Solís-
4 Vivanco^{3,4}, Thalía Fernández^{1*}

5

6 ¹ Laboratory of Psychophysiology, Department of Behavioral and Cognitive Neurobiology, Instituto
7 de Neurobiología, Universidad Nacional Autónoma de México (UNAM) Campus Juriquilla,
8 Querétaro, México.

9 ² Center for Biomedical Imaging and Neuromodulation, Nathan Kline Institute for Psychiatric
10 Research, Orangeburg, USA.

11 ³ Laboratory of Cognitive and Clinical Neurophysiology, Instituto Nacional de Neurología y
12 Neurocirugía Manuel Velasco Suárez, Ciudad de México, México.

13 ⁴ Faculty of Psychology, Universidad Nacional Autónoma de México, Ciudad de México 04510,
14 México.

15

16

17 *Corresponding author

18

19 E-mail: thaliafh@yahoo.com.mx (TF)

20

21 Abstract

22 Healthy older adults with excessive theta absolute power (AP) are considered at
23 electroencephalographic risk of developing cognitive impairment 7 to 10 years later. Although this
24 population may exhibit a normotypic cognitive state, as revealed by traditional neuropsychological
25 assessment, less is known about their performance during tasks with high cognitive demand and
26 whether the degree of excessive theta AP can be used to predict their performance, which was our
27 objective. We compared the scores from highly demanding memory tasks (i.e., the Visual Short-
28 Term Memory Binding Test (VSTMBT) and the Loewenstein-Acevedo Scale for Semantic
29 Interference and Learning (LASSI-II)) between older adults with and without excessive theta AP.
30 No significant differences were found between the groups for any test score or for the predictive
31 value of theta AP for performance. The results of this study provide evidence that older adults with
32 excessive theta AP do not exhibit impaired performance in highly demanding cognitive contexts.
33 The possible role of cognitive reserve in alleviating evidence of deterioration is discussed.

34

35

36 **Keywords:** Cognitive decline, EEG, neuropsychological assessment, older adults, theta.

37

38 Introduction

39 As a result of population growth and aging, the World Health Organization estimates that, by 2030,
40 the number of adults over 60 will reach 65.7 million people worldwide [1]. In Mexico, it is estimated
41 that this number will reach 20 million [2]. Advanced age is the main risk factor for the development
42 of neurocognitive disorders [1], which in severe cases result in disability and dependence, causing
43 heavy emotional and economic costs at the individual, family, and social levels [3]. There is an
44 increasing need to identify biomarkers capable of detecting the first physiological correlates of
45 pathological cognitive aging before the onset of a neurocognitive disorder. Currently known
46 biomarkers with the capacity to detect subtle changes include the concentrations of amyloid beta
47 ($A\beta_{42}$) and tau proteins in cerebrospinal fluid (CSF) and amyloid positron emission tomography
48 features [4]. These biomarkers are invasive and expensive; therefore, there is a need to identify
49 non-invasive and cost-effective measures sensitive to early subtle brain changes that occur in the
50 preclinical phase of a neurocognitive disorder.

51 From a neurophysiological perspective, the resting-state electroencephalogram (EEG), which
52 reflects the functional integrity of the brain, has been established as a sensitive neuroimaging
53 technique to detect incipient changes in neural activity in the early stages of a neurocognitive
54 disorder [5,6]. Prichep et al. [7] reported that healthy older adults with subjective memory
55 complaints (Global Deterioration Scale=2) and excessive absolute power (AP) in the theta
56 frequency band had an increased risk of developing major cognitive impairment 7 to 10 years later.
57 In addition, excessive theta power in older adults has been associated with increased $A\beta$
58 deposition in the brain [8], decreased concentrations in CSF [9], decreased brain and hippocampal
59 volumes [10], and progressive subjective cognitive decline [11]. Our research group studied this

60 electroencephalographic risk marker in healthy older adults and identified EEG changes in both
61 functional connectivity at rest [12] and event-related potentials during cognitive tasks [13,14]. In
62 those studies, we did not find behavioral differences associated with high theta power, probably
63 due to the use of traditional neuropsychological tests. However, Prichep et al. [7] reported that
64 older adults who later exhibited cognitive deterioration and had higher theta AP also performed
65 worse on attention tests, i.e., the Digit Span Test (forward and backward) and the Digit Symbol
66 Substitution Test.

67 In addition to these neurophysiological approaches, efforts have been made to develop new
68 neuropsychological tests that are capable of detecting subtle changes in cognitive processes
69 during the preclinical phases of neurocognitive disorders [15,16,17,18,19], that is, when individuals
70 report subjective cognitive decline that is not psychometrically objective and therefore does not
71 meet the criteria for a neurocognitive diagnosis [20]. This effort has arisen because traditional
72 neuropsychological tests have been shown to lack sensitivity for detecting subtle cognitive decline
73 [19,21]. Recent evidence suggests that tasks with high cognitive demand, due to either
74 interference control requirements or working memory load, may be sensitive to early cognitive
75 changes in preclinical Alzheimer's disease [18]. Specifically, Parra et al. [21] reported that
76 asymptomatic carriers of the E280A0 mutation of the presenilin 1 gene (familial Alzheimer's
77 disease) performed worse than healthy adult controls did in the Visual Short-Term Memory Binding
78 Test (VSTMBT), a visual working memory test with varying levels of perceptual load demand.
79 Koppara et al. [22] also reported worse performance in the feature binding task (short-term
80 memory binding condition) of the VSTMBT in subjects with cognitive complaints. In both studies,
81 no differences were found between groups in other neuropsychological tests.

82 Similarly, Loewenstein et al. [18] reported significantly lower performance in older adults with
83 subjective cognitive complaints (pre-mild cognitive impairment [PreMCI]) than in controls using the
84 Loewenstein-Acevedo Scale for Semantic Interference (LASSI), a verbal memory test requiring
85 effective proactive and retroactive interference control. Additionally, Crocco et al. [16] reported that
86 the percentage of semantic intrusions in this test distinguished subjects with PreMCI from controls.
87 Loewenstein et al. [18] reported an association between increased A β load on positron emission
88 tomography (PET) brain scans and failure to recover from proactive semantic interference as
89 measured with the LASSI in otherwise neuropsychologically normal older adults. Using this test,
90 they also found an association among brain volume loss [23], hippocampal and precuneus cortical
91 thinning [24], and failure to recover from proactive semantic interference in participants with early
92 amnesic MCI.

93 Our study breaks new ground in this field by investigating the potential relationship between EEG-
94 based biomarkers of cognitive impairment risk and neuropsychological tests that have
95 demonstrated high sensitivity to the preclinical phases of neurocognitive disorders. This study
96 aimed to fill a significant gap in the current literature. Our research goal was to determine whether
97 there are discernible alterations in scores on highly demanding cognitive tests (i.e., the VSTMBT
98 and LASSI-II) in healthy older adults with electroencephalographic risk of cognitive impairment
99 (excessive theta AP) and whether the degree of theta AP predicts their performance.

100

101

102

103

Materials and methods

The present study was approved by the Bioethics Committee of the Institute of Neurobiology (INB) at Universidad Nacional Autónoma de México (UNAM, REF 030-H-RM) in accordance with the guidelines established by the Declaration of Helsinki.

Participants

The sample included 63 healthy adults over 55 years of age with no history of neurological disease or current psychiatric diseases. The inclusion criteria were as follows: a) at least 9 years of formal education; b) normal global functioning (Global Deterioration Scale score ≤ 2 ; [24]); c) an age-adjusted normal cognitive state (Montreal Cognitive Assessment (MoCA) score > 23 ; [25-27]); d) average intelligence (Wechsler Intelligence Scale for Adults Version IV score > 80 ; [28]); e) normal daily functioning (Instrumental Activities of Daily Living Scale score < 8 ; [20,30]); and f) normal levels of hemoglobin, cholesterol, triglycerides, glucose, and thyroid-stimulating hormone. All participants were screened for psychopathology with the 5.0.0 Spanish version of the MINI International Neuropsychiatric Interview [31] and a semistructured clinical interview performed by a clinical psychologist and a neuropsychologist. Although some participants scored 1 standard deviation below their normative group on some neuropsychological tests, none of them met Jak and Bondi's criterion for a mild neurocognitive disorder of > 1 SD below the norm in two tests per cognitive domain [32]. Participants were recruited from the general population through social media advertisements, radio, and recommendations from other participants. All participants signed a letter of informed consent before starting any procedure.

Neuropsychological instruments

A neuropsychological battery of traditional tests was designed to explore memory (Rey's complex figure [RCF], logical memory, and verbal paired associates [PAs]), executive functioning (phonological and semantic verbal fluency, mazes, Tower of Hanoi [3- and 4- disc versions], Trail Making Test [TMT] A and B, and Stroop), and intellectual capacity (WAIS-IV; [28]). Tests were selected from the Neuropsi Attention and Memory battery (NAM-2; [33]), the Neuropsychological Battery of Executive Functions and Frontal Lobes (BANFE- 2; [34]), and the versions of the TMT by Arango-Lasprilla et al. [35] and Stroop de Rivera et al. [36]. In addition, the VSTMBT [37] and the Loewenstein-Acevedo Scale for Semantic Interference and Learning II (LASSI-II; [18]; Roa et al., in preparation) were administered. The VSTMBT consists of a visual short-term memory task with two conditions: one requires the individual to remember the shape (single condition) of geometric stimuli, and the other requires them to remember both the color and the shape (binding condition). Both visual versions had low cognitive load (remember two forms) and a high cognitive load (remember three forms) forms and were presented interleaved (Fig 1). The percentage of correct responses was used for the analysis. After a warning stimulus (+), the figure to be remembered was presented for 2 s (encoding phase). A blank screen was presented for 1 s (retention phase), and finally, a second figure (probe) was presented. The participant reported verbally whether the encoding and probe figures matched. As soon as the participant gave an answer, the researcher presented the next trial. A total of 32 trials were performed for each condition.

Please, insert Figure 1

Figure 1. Example of two VSTMBT items with high cognitive load. The upper row shows a single-condition trial (shape). The lower row shows a binding-condition trial (shape and color). “+” is the fixation visual point. Adapted from [38].

The LASSI-II is a word learning test that requires individuals to learn 2 lists (i.e., A and B) of 15 words each, which contain three semantic categories: 5 fruits, 5 clothes, and 5 musical instruments. This test involves a free recall (free recall A and B) and cued recall (cued recall A and B) after the first presentation of each list, a second learning trial for each list followed by a cued recall (cued recall A2 and cued recall B2), a free and cued recall of list A (free and cued recall A3) after cued recall B2 and a delayed recall of both lists. This test enables the assessment of maximum storage capacity (cued recall A2), the effects of proactive semantic interference (PSI) (cued recall B) and retroactive semantic interference (RSI) (cued recall A3), and recovery from PSI (r-PSI) (cued recall B2) after a second learning trial. The percentage of semantic intrusions was calculated for PSI and r-PSI, two measures that have been shown to be highly sensitive to cognitive decline. The percentage of PSI (% of intrusion errors on cued recall B) was calculated by dividing the total intrusions in cued recall B by the sum of total intrusions in cued recall B and total correct responses in cued recall B, and the percentage of r-PSI (% of intrusion errors on cued recall B2) was calculated by dividing the total intrusions in cued recall B2 by the sum of total intrusions in cued recall B2 and total correct responses in cued recall B2 (as in [16]). The total number of intrusions was calculated as the sum of all intrusions in the complete test. The tests were administered to all participants in the same order by a neuropsychologist.

The full neuropsychological battery was administered in the morning, and standardized scores were calculated for each measure using the corresponding norms for the Mexican population (except for the VSTMBT and LASSI-II, which do not yet have normative data).

EEG recording and analysis

A resting 19-channel EEG was recorded using the Medicid IV system (Neuronic Mexicana, SA, Mexico) and the Track Walker TM v2.0 data capture system. The electrodes were mounted on an elastic cap (Electro-Cap, International, Inc., Eaton, Ohio, USA) according to the International 10-20 System and referenced to linked earlobes. Recordings were carried out in a sound-muffled, air-conditioned, and faradized chamber with a comfortable chair and dim lighting. The participants were asked to keep their eyes closed, relax (without goal-directed purposeful thinking) and not fall asleep. The EEG acquisition lasted 10 minutes, with a sampling rate of 200 Hz, a gain of 20,000, and impedances below 10 k Ω .

The EEG was visually inspected with Track Walker TM v2.0 software by two electroencephalography experts, and 24 epochs of 2.56 seconds were selected manually. These epochs were free of physiological and non-physiological artifacts, as well as paroxysmal activity, and, in most participants, exhibited frequency and amplitude features of the posterior dominant alpha rhythm to avoid slow activity associated with drowsiness. Using Neuronic Quantitative Tomographic EEG software (Neuronic Mexicana S.A de C.V., version N_I_SW-5 6.2.4.0), the power spectrum was calculated from the selected epochs for each participant using fast Fourier transform with a spectral resolution of 0.39 Hz for each electrode and frequency bin (0.78–19.14 Hz). The spectral matrices were corrected by the global scale factor [39] to eliminate intrinsic non-neurophysiological interindividual differences. The AP was subsequently calculated at each electrode for the following frequency bands: delta [1.5–3.5 Hz], theta (3.5–7.5 Hz], alpha (7.5–12.5 Hz], and beta (12.5–19.5]. Z values for AP were subsequently obtained according to values from a normative database [40]. The participants were classified into two groups: i) the control group

(n=27), which consisted of participants with a normal EEG, i.e., without abnormal waveforms and a normal quantitative EEG in all frequency bands ($-1.96 < z < 1.96$), and ii) the risk group (n=36), which included participants with excessive theta AP ($z > 1.96$) in at least one electrode. Participants who exhibited any other abnormalities were excluded from the analysis.

To further study the relationship between excessive theta AP and cognition, the risk group was separated into two groups: the low-risk group (n=18) and the high-risk group (n=18). These groups were created by a median split according to four different criteria: the sum of the theta AP z value across all electrodes, the squared sum of the AP z value (maintaining the sign) across all electrodes, the mean of the theta AP z value across electrodes, and the number of electrodes with a theta AP z value > 1.96 .

Statistical Analysis

A custom script for MATLAB R2020b (MathWorks, Natick, MA) was used for permutation statistical testing. The software Jasp 0.19.0 (<https://jasp-stats.org>) was used for the analysis of sociodemographic data, analysis of variance (ANOVA), principal component analysis (PCA), and regression analysis. All *p* values were corrected for multiple comparisons with the false discovery rate (FDR).

- Risk group vs. control group

Comparisons of cognitive measures and normalized AP values for each frequency band between groups were performed using the multivariate permutation method (5,000 permutations). This non-parametric technique does not assume an *a priori* theoretical distribution since the distribution of

null hypotheses of the statistical tests is generated iteratively through the random processing of the data. The probability values were corrected for multiple comparisons using the FDR. One subject was excluded from the group difference analyses involving AP because of data corruption in the EEG file.

For the analysis of continuous sociodemographic variables (age and years of schooling), the t test for independent samples was used. For the analysis of categorical variables (sex), the chi-square test (χ^2) was used.

- High-risk group vs. low-risk group vs. control group

One-way ANOVA was used for the group comparisons between the control and low- and high-risk groups in the highly demanding cognitive tests.

- Multiple regression analysis between theta and cognition

Before the multiple regression analysis, a PCA of the theta AP z value of each electrode, using the varimax rotation method and a loading factor threshold of 0.7, was performed to identify the principal components (PCs) for use as predictors in the subsequent multiple regression analysis; the dependent variable was one cognitive score in each model. This analysis was performed to study the ability of excessive theta AP to predict performance on highly demanding memory tests and traditional neuropsychological tests.

Results

Demographic data

233 There were no significant differences between groups for age (risk: $\bar{x} = 65.55 \pm 7.45$, control: $\bar{x} =$
234 65.03 ± 6.95), $t = 0.28$, $p = 0.97$), education (risk: $\bar{x} = 19.26 \pm 3.79$, control: $\bar{x} = 20.37 \pm 4.36$), t
235 $= -1.07$, $p = 0.97$), or sex (risk female: 74.1%, control female: 69.4%; $\chi^2 = 0.16$, $p = 0.97$). In both
236 groups, some individuals had scores below what was expected for their age on one of the
237 traditional neuropsychological tests (control group: 44%, risk group: 47%), but they did not meet
238 the criteria for a neurocognitive disorder [32].

239 EEG data

240 Our group formation criterion was based on theta AP according to age-adjusted norms. Therefore,
241 there were statistically significant differences between the risk and control groups in the mean z
242 theta AP at all electrodes (all $p < 0.001$; $\bar{x} = 7.33 \pm 2.76$). Within the risk group, the electrodes
243 with significantly higher theta zAP ($z > 1.96$) were F8 ($t = -5.33$, $p < 0.001$), F4 ($t = -4.99$, $p < 0.001$),
244 and P3 ($t = 4.93$, $p < 0.001$), whereas the control group had mean theta Z values close to 0 (Fig 2).
245 There were no significant differences in the delta, alpha, or beta frequency bands.

246

247 **Please insert Figure 2**

248 **Figure 2. Z-based theta (3.5–7.5 Hz) AP in both groups.** a) Power spectra for the risk (red) and
249 control (blue) groups (Pz as a representative electrode). b) Mean z theta absolute power
250 topographic maps.

251

252 Cognitive results

253 - *Risk group vs. control group*

We did not find significant differences between the groups in any traditional neuropsychological test, including memory and executive function (Table 1) or intelligence tests (supporting information S1 Table).

Table 1. Traditional neuropsychological tests.

		Risk Mean(SD)	Control Mean(SD)	t value	p-FDR
Memory	RCF				
	Copy	10.86(1.80)	9.77(2.10)	-2.14	0.65
	Retrieval	10.50(3.05)	9.92(2.09)	-0.84	0.96
	Logical memory				
	Encoding	13.77(2.84)	13.48(2.24)	-0.43	0.96
	Retrieval	11.91(2.63)	12.37(2.20)	-0.42	0.96
	PA				
	Encoding	11.16(2.62)	11.96(2.31)	1.21	0.89
	Retrieval	13.33(2.90)	13.03(2.20)	0.71	0.96
Executive	Semantic fluency	12.66(3.52)	12.51(3.09)	-0.19	0.97
	Phonologic fluency	14.05(3.25)	13.29(2.99)	-0.92	0.96
	Maze planning	11.30(2.14)	11.22(1.45)	-0.15	0.97
	Hanoi 3	11.16(2.06)	9.81(3.01)	-2.07	0.65
	Hanoi 4	8.16(3.73)	8.55(3.28)	0.43	0.96
	TMT-A	82.47(16.56)	79.70(20.05)	-0.59	0.96
	TMT-B	74.16(13.60)	69.37(14.79)	-1.32	0.87
	Stroop interference	42.58(19.37)	48.88(24.42)	1.15	0.90

RCF: Rey–Osterrieth complex figure; PA: verbal paired associates; TMT: Trail Making Test.

260 Similarly, we did not find significant differences between groups in any score of the VSTMBT
 261 (Table 2) or the LASSI-II test (Table 3), the total number of intrusions, the percentage of semantic
 262 intrusions (% of intrusion errors on cued recalls B and B2), or the recovery from interference (cued
 263 recall B2).

264

Table 2. Visual short-term memory binding test results in both groups.				
VSTMBT	Risk Mean (SD)	Control Mean (SD)	t value	p-FDR
STM Single 2	98.25(3.55)	96.51(6.57)	-1.33	0.87
STM Single 3	92.69(8.12)	87.01(19.29)	-1.06	0.94
STM Binding 2	91.99(9.03)	89.80(10.99)	-0.87	0.96
STM Binding 3	71.67(10.07)	75.67(13.35)	1.33	0.87

265

STM: short-term memory; 2: two objects to remember; 3: three objects to remember.

266

267

Table 3. Loewenstein–Acevedo Scale for Semantic Interference and Learning scores in both groups.

LASSI	Risk Mean (SD)	Control Mean (SD)	t value	p-FDR
Free recall A	10.27(2.66)	10.85(1.87)	0.94	0.96
Cued recall A	11.55(2.43)	12.14(1.48)	1.11	0.90
Cued recall A2 (maximum storage)	13.97(1.13)	14.40(0.63)	1.72	0.79
Free recall B	8.16(2.19)	8.11(2.02)	-0.13	0.98
Cued recall B (PSI)	8.22(2.49)	8.59(2.30)	0.58	0.96
Cued recall B2 (r-PSI)	1.91(1.88)	2(1.41)	0.14	0.97
Free recall A3	8.13(2.90)	8.59(2.62)	0.61	0.96
Cued recall A3 (RSI)	9.77(2.86)	10.11(3.40)	0.40	0.96
Delayed recall A and B	21.13(4.22)	21.66(4.11)	0.46	0.96
% of intrusion errors on cued recall B	0.14(0.11)	0.14(0.11)	-0.53	0.96
% of intrusion errors on cued recall B2	0.12(0.12)	0.14(0.10)	0.09	0.98
Total intrusions	1.48(1.54)	1.56(1.76)	0.44	0.96

PSI: proactive semantic interference; r-PSI: recovery from proactive semantic interference; RSI: retroactive semantic interference, A: first learning of the first list; A2: second learning of the first list with a cued recall; B: first learning of the second list; B2: second learning of the second list with a cued recall; A3: recall of the first list after interference of list B.

277 - *High-risk group vs. low-risk group vs. control group*

278 When three groups were considered (high risk, low risk, and control), for any of the four separation
279 criteria used, the one-way ANOVA used to compare the groups did not yield significant differences
280 in traditional neuropsychological test scores or highly demanding cognitive test scores (supporting
281 information S2-S5 Tables).

282 - *Regression analysis between the zAP (theta) value and cognition*

283 The principal component analysis of the zAP (*theta*) revealed three main components: PC1: frontal
284 component (F7, Fp1, Fp2, Fz, F3, F4, F8, and T3); PC2: centroparietal component (Pz, Cz, C4,
285 C3, P4, and P3); and PC3: occipitotemporal component (O1, O2, T6, and T5). No regression
286 model was statistically significant for any of the cognitive scores (Table 4).

287

288 **Table 4. Regression analysis results.**

Cognitive test	R ²	F-Statistic df(3,59)	p-FDR
RCF			
Copy	0.04	1.01	0.96
Retrieval	0.03	0.61	0.96
Logical memory			
Encoding	0.02	0.39	0.96
Retrieval	0.009	0.18	0.97
PA			
Encoding	0.05	1.05	0.96
Retrieval	0.009	0.17	0.97

Semantic fluency	0.02	0.43	0.96
Phonologic fluency	0.12	2.78	0.65
Maze planning	0.08	1.71	0.87
Hanoi 3	0.05	1.11	0.96
Hanoi 4	0.07	1.48	0.89
TMT-A	0.02	0.50	0.96
TMT-B	0.02	0.58	0.96
Stroop interference	0.03	0.76	0.96
STM Single 2	0.31	0.63	0.96
STM Single 3	0.01	0.39	0.96
STM Binding 2	0.06	1.40	0.89
STM Binding 3	0.08	1.84	0.87
Free recall A	0.03	0.63	0.96
Cued recall A	0.01	0.31	0.97
Cued recall A2	0.07	1.55	0.89
(maximum storage)			
Free recall B	0.03	0.68	0.96
Cued recall B	0.02	0.42	0.96
(PSI)			
Cued recall B2	0.01	0.38	0.96
(r-PSI)			
Free recall A3	0.01	0.34	0.97
Cued recall A3	0.009	0.18	0.97

(RSI)

Delayed recall	0.09	2.08	0.87
% of intrusion errors	0.001	0.01	1.00
on cued recall B			
% of intrusion errors	0.003	0.06	1.00
on cued recall B2			
Total intrusions	0.04	0.84	0.96

RCF: Rey–Osterrieth complex figure; PA: verbal paired associates; TMT: Trail Making Test; STM: short-term memory; r-PSI: recovery from proactive semantic interference; RSI: retroactive semantic interference.

Discussion

In this study, we aimed to explore potential cognitive differences between two groups: individuals with an EEG risk of cognitive impairment, defined by excessive theta activity, and control individuals with a normal EEG. Given the inability to identify cognitive differences between these groups using low-demand tests, our main objective, similar to that of previous studies [16,18,21,22], was to identify potential cognitive alterations in older adults in the presumably preclinical phase of a neurocognitive disorder using highly demanding tests (i.e., VSTMBT and LASSI-II) and traditional neuropsychological tests.

For the traditional neuropsychological tests, we did not find significant differences between the groups when separating a sample of healthy older adults according to their EEG (excessive theta or normal). The risk group did not differ from the control group, consistent with previous results [12, 13,14], despite separating the risk group according to severity (low risk, high risk) or looking for

relationships between theta activity and performance in these tests using multiple regression models.

Differences between the risk and control groups were expected when high cognitive demand tests were used; however, we did not detect any significant differences in the present study. Furthermore, we found no significant differences in highly demanding test results between the control group and the low- and high-risk groups. In addition, there was no association between theta activity and the LASSI-II and VSTMBT scores in the regression analysis. Notably, none of the previous studies [16,18,21,22] used the EEG as a group formation criterion but instead used clinical and neuropsychological criteria. To our knowledge, the study by Prichep et al. [7] is the only report exploring neuropsychological differences between older adults with and without electroencephalographic risk for cognitive decline that reported cognitive differences, specifically in the Digit Span and Digit Symbol Substitution tests. These contrasting findings might be because the participants in the study mentioned above, in addition to excessive theta AP, had excessive delta AP and reduced beta relative power. The difference may also be due to the health differences between Prichep's participants and our participants; as opposed to Prichep et al., we included only participants who had normal levels of cholesterol, glucose, triglycerides, hemoglobin, and thyroid-stimulating hormone, since those physiological parameters are known to impact brain function [41-44].

Excessive theta AP has been reported to predict cognitive decline 7 to 10 years later. The subjects in the present study may be at a preclinical phase in which cognitive difficulties can be compensated for, even though brain function is already compromised, especially because the included subjects were 55 years of age and older and that the sociodemographic characteristics of the sample presented a bias toward higher education. Given that educational level is considered a

proxy of cognitive reserve [47], the negative results obtained could be due to a protective or compensatory effect on cognitive capacity even when neurophysiological alterations have already occurred. These results highlight the advantage of assessing the risk of cognitive decline in older adults using EEG, as it is more sensitive to early changes in brain function than are cognitive-behavioral assessments, regardless of cognitive compensatory mechanisms.

As Rentz et al. [19] established, our findings support the argument that transversal neuropsychological assessments lack sufficient sensitivity to detect subtle cognitive alterations in the preclinical phase of a neurocognitive disorder. It is therefore advised to prioritize the study of neurophysiological markers of risk for cognitive decline with cost-effective instruments such as EEG, including at rest and during task performance (ERPs), which could detect alterations even earlier than neuropsychological tests can.

One of the limitations of this study is the lack of use of established biomarkers in CSF, PET, or genotyping, which could confirm that the sample subjects indeed have a predisposition toward neurodegenerative diseases that result in memory impairments, which could have strengthened our results. Further limitations are a sample bias towards higher education and the fact that we did not assess memory deficits using all available cognitive tests for neuropsychological testing. Future studies should expand on our results by using other neuropsychological tests and methodologies (i.e., longitudinal testing) to corroborate our findings.

In conclusion, the urgency of early detection of pathologic cognitive decline cannot be overstated. Early diagnosis of a neurodegenerative disease and subsequent early treatment can significantly improve the quality of life of patients. Our results suggest that neurophysiological techniques can detect subtle changes in brain function sooner than neuropsychological assessments can, even when high-demand cognitive tests such as the VSTMBT and the LASSI-II are administered,

underscoring the significance of our research. Therefore, it may be desirable to include electroencephalographic recordings in routine neurological assessments of older adults to identify those who are at risk for cognitive impairment. Future investigations should study the relationship between EEG alterations and cognitive decline in longitudinal follow-ups, reinforcing the importance of early interventions and treatments.

Acknowledgments

The authors would like to express their gratitude to Thalia Harmony Baillet, Héctor Belmont, Paloma Arlet Roa Rojas, Luisa Mariana Pérez Figueroa, Teresa Álvarez, Gina Lorena Quirarte, Nuri Aranda López, Daniela Roldán García, Bertha Esquivel, and Carolina Villada for their technical support. This article constitutes a part of Jorge Sigg-Alonso's PhD project. We thank the Consejo Nacional de Humanidades, Ciencia y Tecnología (CONAHCYT) for scholarship number 765024, awarded to Jorge Sigg-Alonso during his PhD.

References

1. Alzheimer's Disease International. Policy brief: Risk factors for dementia. Alzheimer's Disease International. 2012. Available from: <https://www.alz.co.uk/sites/default/files/policy-brief-risk-factors-for-dementia.pdf>
2. Gutiérrez LM, Kershenobich S. Envejecimiento y salud: Una propuesta para un plan de acción. 1 ed. México: Dirección General de Publicaciones y Fomento Editorial; 2013.
3. Gutiérrez-Robledo LM, Arrieta-Cruz I. Demencias en México: la necesidad de un Plan de Acción [Dementia in Mexico: The need for a National Alzheimer's Plan]. *Gac Med Mex*. 2015 Sep-Oct;151(5):667-73. Spanish. PMID: 26526483.
4. Jack CR Jr, Knopman DS, Jagust WJ, Petersen RC, Weiner MW, Aisen PS, et al. Tracking pathophysiological processes in Alzheimer's disease: an updated hypothetical model of dynamic biomarkers. *Lancet Neurol*. 2013 Feb;12(2):207-16. doi: 10.1016/S1474-4422(12)70291-0. PMID: 23332364; PMCID: PMC3622225.
5. Babiloni C, Arakaki X, Azami H, Bennys K, Blinowska K, Bonanni L, et al. Measures of resting state EEG rhythms for clinical trials in Alzheimer's disease: Recommendations of an expert panel. *Alzheimers Dement*. 2021 Sep;17(9):1528-1553. doi: 10.1002/alz.12311. Epub 2021 Apr 15. PMID: 33860614; PMCID: PMC8647863.
6. Rossini PM, Di Iorio R, Vecchio F, Anfossi M, Babiloni C, Bozzali M, et al. Early diagnosis of Alzheimer's disease: the role of biomarkers including advanced EEG signal analysis. Report from the IFCN-sponsored panel of experts. *Clin Neurophysiol*. 2020 Jun;131(6):1287-1310. doi: 10.1016/j.clinph.2020.03.003. Epub 2020 Mar 12. PMID: 32302946.
7. Pritchep LS, John ER, Ferris SH, Rausch L, Fang Z, Cancro R, et al. Prediction of longitudinal cognitive decline in normal elderly with subjective complaints using electrophysiological imaging. *Neurobiol Aging*. 2006 Mar;27(3):471-81. doi: 10.1016/j.neurobiolaging.2005.07.021. Epub 2005 Oct 6. PMID: 16213630.
8. Spinelli G, Bakardjian H, Schwartz D, Potier MC, Habert MO, Levy M, et al. Theta Band-Power Shapes Amyloid-Driven Longitudinal EEG Changes in Elderly Subjective Memory Complainers At-Risk for Alzheimer's Disease. *J Alzheimers Dis*. 2022;90(1):69-84. doi: 10.3233/JAD-220204. PMID: 36057818; PMCID: PMC9661330.

9. Smailovic U, Koenig T, Kåreholt I, Andersson T, Kramberger MG, Winblad B, et al. Quantitative EEG power and synchronization correlate with Alzheimer's disease CSF biomarkers. *Neurobiol Aging*. 2018 Mar;63:88-95. doi: 10.1016/j.neurobiolaging.2017.11.005. Epub 2017 Nov 16. Erratum in: *Neurobiol Aging*. 2020 Jul;91:171. doi: 10.1016/j.neurobiolaging.2020.03.005. PMID: 29245058.
10. Fernández A, Arrazola J, Maestú F, Amo C, Gil-Gregorio P, Wienbruch C, Ortiz T. Correlations of hippocampal atrophy and focal low-frequency magnetic activity in Alzheimer disease: volumetric MR imaging-magnetoencephalographic study. *AJNR Am J Neuroradiol*. 2003 Mar;24(3):481-7. PMID: 12637301; PMCID: PMC7973601.
11. Gouw AA, Alsema AM, Tijms BM, Borta A, Scheltens P, Stam CJ, et al. EEG spectral analysis as a putative early prognostic biomarker in nondemented, amyloid positive subjects. *Neurobiol Aging*. 2017 Sep;57:133-142. doi: 10.1016/j.neurobiolaging.2017.05.017. Epub 2017 Jun 1. PMID: 28646686.
12. González-López M, Gonzalez-Moreira E, Areces-González A, Paz-Linares D, Fernández T. Who's driving? The default mode network in healthy elderly individuals at risk of cognitive decline. *Front Neurol*. 2022 Nov 30;13:1009574. doi: 10.3389/fneur.2022.1009574. PMID: 36530633; PMCID: PMC9749402.
13. Alatorre-Cruz GC, Silva-Pereyra J, Fernández T, Rodríguez-Camacho MA. Poor working memory performance in healthy elderly adults with electroencephalographic risk of cognitive decline affects syntactic processing. *Clin Neurophysiol*. 2019 Dec;130(12):2222-2230. doi: 10.1016/j.clinph.2019.09.009. Epub 2019 Oct 15. PMID: 31698266.
14. Sánchez-Moguel SM, Alatorre-Cruz GC, Silva-Pereyra J, González-Salinas S, Sanchez-Lopez J, Otero-Ojeda GA, Fernández T. Two Different Populations within the Healthy Elderly: Lack of Conflict Detection in Those at Risk of Cognitive Decline. *Front Hum Neurosci*. 2018 Jan 11;11:658. doi: 10.3389/fnhum.2017.00658. PMID: 29375352; PMCID: PMC5768990.
15. Allison SL, Rodebaugh TL, Johnston C, Fagan AM, Morris JC, Head D. Developing a Spatial Navigation Screening Tool Sensitive to the Preclinical Alzheimer Disease Continuum. *Arch Clin Neuropsychol*. 2019 Oct 24;34(7):1138-1155. doi: 10.1093/arclin/acz019. PMID: 31197326; PMCID: PMC6849466.

16. Crocco EA, Loewenstein DA, Curiel RE, Alperin N, Czaja SJ, Harvey PD, et al. A novel cognitive assessment paradigm to detect Pre-mild cognitive impairment (PreMCI) and the relationship to biological markers of Alzheimer's disease. *J Psychiatr Res.* 2018 Jan;96:33-38. doi: 10.1016/j.jpsychires.2017.08.015. Epub 2017 Aug 24. PMID: 28957712; PMCID: PMC6132245.
17. Donohue MC, Sperling RA, Salmon DP, Rentz DM, Raman R, Thomas RG, et al. The preclinical Alzheimer cognitive composite: measuring amyloid-related decline. *JAMA Neurol.* 2014 Aug;71(8):961-70. doi: 10.1001/jamaneurol.2014.803. PMID: 24886908; PMCID: PMC4439182.
18. Loewenstein DA, Curiel RE, Greig MT, Bauer RM, Rosado M, Bowers D, et al. A Novel Cognitive Stress Test for the Detection of Preclinical Alzheimer Disease: Discriminative Properties and Relation to Amyloid Load. *Am J Geriatr Psychiatry.* 2016 Oct;24(10):804-13. doi: 10.1016/j.jagp.2016.02.056. Epub 2016 Apr 4. PMID: 27160985; PMCID: PMC5026876.
19. Rentz DM, Parra Rodriguez MA, Amariglio R, Stern Y, Sperling R, Ferris S. Promising developments in neuropsychological approaches for the detection of preclinical Alzheimer's disease: a selective review. *Alzheimers Res Ther.* 2013 Nov 21;5(6):58. doi: 10.1186/alzrt222. PMID: 24257331; PMCID: PMC3978443.
20. American Psychiatric Association. Diagnostic and statistical manual of mental disorders. 5th ed. Arlington: American Psychiatric Association; 2013.
21. Liang Y, Pertzov Y, Nicholas JM, Henley SMD, Crutch S, Woodward F, et al. Visual short-term memory binding deficit in familial Alzheimer's disease. *Cortex.* 2016 May;78:150-164. doi: 10.1016/j.cortex.2016.01.015. Epub 2016 Feb 6. PMID: 27085491; PMCID: PMC4865502.
22. Loewenstein DA, Curiel RE, DeKosky S, Rosselli M, Bauer R, Grieg-Custo M, et al. Recovery from Proactive Semantic Interference and MRI Volume: A Replication and Extension Study. *J Alzheimers Dis.* 2017;59(1):131-139. doi: 10.3233/JAD-170276. PMID: 28598850; PMCID: PMC5660922.
23. Loewenstein DA, Curiel RE, Wright C, Sun X, Alperin N, Crocco E, et al. Recovery from Proactive Semantic Interference in Mild Cognitive Impairment and Normal Aging: Relationship to Atrophy in Brain Regions Vulnerable to Alzheimer's Disease. *J Alzheimers*

Dis. 2017;56(3):1119-1126. doi: 10.3233/JAD-160881. PMID: 28106554; PMCID: PMC5660921.

24. Reisberg B, Ferris SH, de Leon MJ, Crook T. Global Deterioration Scale (GDS). *Psychopharmacol Bull.* 1988;24(4):661-3. PMID: 3249768.
25. Nasreddine ZS, Phillips NA, Bédirian V, Charbonneau S, Whitehead V, Collin I, et al. The Montreal Cognitive Assessment, MoCA: a brief screening tool for mild cognitive impairment. *J Am Geriatr Soc.* 2005 Apr;53(4):695-9. doi: 10.1111/j.1532-5415.2005.53221.x. Erratum in: *J Am Geriatr Soc.* 2019 Sep;67(9):1991. doi: 10.1111/jgs.15925. PMID: 15817019.
26. Palacios A. Validez y confiabilidad del Montreal Cognitive Assessment (MoCA) en su versión traducida al español para el cribaje del deterioro cognitivo leve en adultos mayores. *Revista Colombiana de Psiquiatría.* 2017;47(4):237-243. doi: <https://doi.org/10.1016/j.rcp.2017.05.003>.
27. Villa MA. Envejecimiento cognoscitivo vs deterioro cognitivo leve. In Villa MA, Navarro ME, Villaseñor T, editors. *Neuropsicología clínica hospitalaria.* México: Manual Moderno; 2016. pp. 263-282. Available from: https://manualmoderno.com/apoyos_electronicos/9786074485813/ingr_9786074485813.php
28. Wechsler D, de la Guía E, Vallar F. *WAIS-IV: escala de inteligencia de Wechsler para adultos-IV.* Madrid: Pearson; 2012.
29. Lawton MP, Brody EM. Assessment of older people: self-maintaining and instrumental activities of daily living. *Gerontologist.* 1969 Autumn;9(3):179-86. PMID: 5349366.
30. Vergara I, Bilbao A, Orive M, Garcia-Gutierrez S, Navarro G, Quintana JM. Validation of the Spanish version of the Lawton IADL Scale for its application in elderly people. *Health Qual Life Outcomes.* 2012 Oct 30;10:130. doi: 10.1186/1477-7525-10-130. PMID: 23110491; PMCID: PMC3541128.
31. Sheehan DV, Lecrubier Y, Sheehan KH, Amorim P, Janavs J, Weiller E, et al. The Mini-International Neuropsychiatric Interview (M.I.N.I.): the development and validation of a structured diagnostic psychiatric interview for DSM-IV and ICD-10. *J Clin Psychiatry.* 1998;59 Suppl 20:22-33;quiz 34-57. PMID: 9881538.

32. Jak AJ, Preis SR, Beiser AS, Seshadri S, Wolf PA, Bondi MW, et al. Neuropsychological Criteria for Mild Cognitive Impairment and Dementia Risk in the Framingham Heart Study. *J Int Neuropsychol Soc.* 2016 Oct;22(9):937-943. doi: 10.1017/S1355617716000199. Epub 2016 Mar 31. PMID: 27029348; PMCID: PMC5045758.
33. Ostrosky-Solis F, Gomez-Perez EM, Matute E, Rosselli M, Ardila A, Pineda D. NEUROPSI ATTENTION AND MEMORY: a neuropsychological test battery in Spanish with norms by age and educational level. *Appl Neuropsychol.* 2007;14(3):156-170. doi:10.1080/09084280701508655
34. Lázaro J, Ostrosky F, Lozano A. Bateria Neuropsicológica de Funciones Ejecutivas y Lóbulos Frontales-2. México: Manual Moderno. 2012.
35. Arango-Lasprilla JC, Rivera D, Aguayo A, Rodríguez W, Garza MT, Saracho CP, et al. Trail Making Test: Normative data for the Latin American Spanish speaking adult population. *NeuroRehabilitation.* 2015;37(4):639-61. doi: 10.3233/NRE-151284. PMID: 26639932.
36. Rivera D, Perrin PB, Stevens LF, Garza MT, Weil C, Saracho CP, et al. Stroop Color-Word Interference Test: Normative data for the Latin American Spanish speaking adult population. *NeuroRehabilitation.* 2015;37(4):591-624. doi: 10.3233/NRE-151281. PMID: 26639926.
37. Della Sala S, Kozlova I, Stamate A, Parra MA. A transcultural cognitive marker of Alzheimer's Disease. *Int J Geriatr Psychiatry.* 2018 Jun;33(6):849-856. doi: 10.1002/gps.4610. Epub 2016 Nov 2. PMID: 27805729.
38. Pietto M, Parra MA, Trujillo N, Flores F, García AM, Bustin J, et al. Behavioral and Electrophysiological Correlates of Memory Binding Deficits in Patients at Different Risk Levels for Alzheimer's Disease. *J Alzheimers Dis.* 2016 Jun 30;53(4):1325-40. doi: 10.3233/JAD-160056. PMID: 27372640.
39. Hernández JL, Valdés P, Biscay R, Virues T, Szava S, Bosch J, et al. A global scale factor in brain topography. *Int J Neurosci.* 1994 Jun;76(3-4):267-78. doi: 10.3109/00207459408986009. PMID: 7960483.
40. Bosch-Bayard J, Galan L, Aubert Vazquez E, Virues Alba T, Valdes-Sosa PA. Resting State Healthy EEG: The First Wave of the Cuban Normative Database. *Front Neurosci.* 2020 Dec 1;14:555119. doi: 10.3389/fnins.2020.555119. PMID: 33335467; PMCID: PMC7736237.

41. Ađar A, Yargıçođlu P, Sentürk KU, Oner G. The role of diet cholesterol changes on EEG. *Int J Neurosci*. 1994 Mar;75(1-2):103-9. doi: 10.3109/00207459408986293. PMID: 8050844.
42. An YJ, Jung KY, Kim SM, Lee C, Kim DW. Effects of blood glucose levels on resting-state EEG and attention in healthy volunteers. *J Clin Neurophysiol*. 2015 Feb;32(1):51-6. doi: 10.1097/WNP.0000000000000119. PMID: 25647771.
43. Kececi H, Degirmenci Y. Quantitative EEG and cognitive evoked potentials in anemia. *Neurophysiol Clin*. 2008 Apr;38(2):137-43. doi: 10.1016/j.neucli.2008.01.004. Epub 2008 Feb 21. PMID: 18423335.
44. Pohunková D, Sulc J, Vána S. Influence of thyroid hormone supply on EEG frequency spectrum. *Endocrinol Exp*. 1989 Dec;23(4):251-8. PMID: 2620656.
45. Davis SW, Dennis NA, Daselaar SM, Fleck MS, Cabeza R. Que PASA? The posterior-anterior shift in aging. *Cereb Cortex*. 2008 May;18(5):1201-9. doi: 10.1093/cercor/bhm155. Epub 2007 Oct 8. PMID: 17925295; PMCID: PMC2760260.
46. Meng X, D'Arcy C. Education and dementia in the context of the cognitive reserve hypothesis: a systematic review with meta-analyses and qualitative analyses. *PLoS One*. 2012;7(6):e38268. doi: 10.1371/journal.pone.0038268. Epub 2012 Jun 4. PMID: 22675535; PMCID: PMC3366926.

Supporting information

S1 Table. Risk vs. control group differences on the WAIS-IV.

S2 Table. High risk vs. Low risk vs. Control group differences on the median of the sum of zAP(theta).

S3 Table. High risk vs. Low risk vs. Control group differences on the zAP(theta) average.

S4 Table. High risk vs. Low risk vs. Control group differences on the sum of electrodes with excess zAP(theta).

S5 Table. High risk vs. Low risk vs. Control group differences on the sum of zAP(theta) squared (maintaining the sign).