

1. Provide $O(f(N))$ for the following

- a) $N + 1$
- b) $1 + 1/N$
- c) $(1 + 1/N)(1 + 2/N)$
- d) $2N^3 + 15N^2 + N$
- e) $\lg(2N)/\lg N$
- f) $\lg(N^2 + 1)/\lg N$
- g) $N^{100}/2^N$

Answer:

- a) $O(N)$
- b) $O(1)$
- c) $O(1)$
- d) $O(N^3)$
- e) $O(1)$: $\lg(2N)/\lg N = (\lg 2 + \lg N)/\lg N = \lg 2/\lg N + 1$
- f) $O(1)$: $\lg(N^2 + 1)/\lg N \sim \lg(N^2)/\lg N = 2$, when N is very large
- g) $O(1)$: polynomial grows slower than exponential function

2. Which ones are correct according asymptotic notation definitions?

- 1) $3n^2 - 100n + 6 = O(n)$
- 2) $3n^2 - 100n + 6 = O(n^2)$
- 3) $3n^2 - 100n + 6 = O(n^3)$
- 4) $3n^2 - 100n + 6 = \Omega(n)$
- 5) $3n^2 - 100n + 6 = \Omega(n^2)$
- 6) $3n^2 - 100n + 6 = \Omega(n^3)$
- 7) $3n^2 - 100n + 6 = \Theta(n)$
- 8) $3n^2 - 100n + 6 = \Theta(n^2)$
- 9) $3n^2 - 100n + 6 = \Theta(n^3)$

Answer: The correct ones are: 2, 3, 4, 5, 8

3. Provide running time in big O notation for the following methods

```
29 public void a(int N) {  
30     int sum = 0;  
31     for (int i = N; i > 0; i /= 2)  
32         for(int j = 0; j < i; j++)  
33             sum++;  
34 }
```

Answer: Assume $N = 2^m$, Line 33 is executed about this number of times:

$$N + N/2 + N/4 + \dots + 2 + 1$$

$$= 2^m + 2^{m-1} + 2^{m-2} + \dots + 2 + 1$$

$$= 2^{m+1} - 1$$

$$= 2N - 1$$

$$= O(N) \text{ (this is for best-case, worst-case, and average-case)}$$

For other N values, choose a closest power of 2 number that is greater than N to do approximation.

```

36 public void b(int N) {
37     int sum = 0;
38     for (int i = 1; i < N; i *= 2)
39         for (int j = 0; j < i; j++)
40             sum++;
41 }

```

Answer:

Function b does the similar thing as a, the only difference is that in function a i goes from high to low, while in function b i goes from low to high. Assume $N = 2^m$, Line 40 is executed about this number of times:

$$\begin{aligned}
 &1 + 2 + 4 + \dots + N/4 + N/2 \\
 &= 1 + 2 + 2^2 + \dots + 2^{(m-2)} + 2^{(m-1)} \\
 &= 2^m - 1 \\
 &= N - 1 \\
 &= O(N) \text{ (this is for best-case, worst-case, and average-case)}
 \end{aligned}$$

```

43 public void c(int N) {
44     int sum = 0;
45     for (int i = 1; i < N; i *= 2)
46         for (int j = 0; j < N; j++)
47             sum++;
48 }

```

Answer:

The outer for statement runs about $\lg N$ times, and the inner for statement runs N times during each outer for loop iteration. Thus running time is $O(N \log N)$ for best-case, worst-case, and average-case.

4. What is the best-case and worst-case running time for these methods? Assuming inputs are all valid.

```
87 public static long factorial(int n) {  
88     if (n<=1) {  
89         return 1;  
90     } else {  
91         return n * factorial(n-1);  
92     }  
93 }
```

Answer:

The running time function can be written as the following:

$t(1) = c_1$, where c_1 is a constant

$t(n) = t(n-1) + c_2$, where c_2 is a constant

Use substitution method, we have

$t(n) = t(n-1) + c_2$

$t(n-1) = t(n-2) + c_2$

$t(n-2) = t(n-3) + c_2$

....

$t(3) = t(2) + c_2$

$t(2) = t(1) + c_2$

Adding all the above equations together gives us

$t(n) = t(1) + (n-1)*c_2 = c_1 + (n-1)*c_2 = O(n)$, which is best-case and worst-case running time.

```
42 public static void whiteList(int[] a, int[] keys) {  
43     if (a==null || keys==null) return; // invalid inputs  
44  
45     for (int key: keys) {  
46         if (binarySearchI(a, key)<0) {  
47             //print if not in whitelist a  
48             System.out.println(key);  
49         }  
50     }  
51 }
```

Answer:

Assume neither a nor keys is null, $n=a.length$ and $m=keys.length$

For binary search, best-case running time is $O(1)$ and worst-case running time is $O(\lg n)$. Since the for statement loops m times, thus best-case running time is $O(m)$ and the worst-case running time is $O(m \lg n)$.

6. Space complexity analysis

a) For `int[]` with size `n`, what is the O-notation for its space requirement?

Answer: $O(n)$

b) For `Integer[][]` with size `n*m`, what is the O-notation for its space requirement?

Answer: $O(n*m)$

c) For `LinkedList<Integer>` with `n` nodes, what is the O-notation for its space requirement?

Answer: $O(n)$

7. Provide best-case and worst-case space complexity analysis for these methods.

```
6 public static int binarySearchR(int[] a, int key, int low, int high) {
7     if (low > high) {
8         return -1;
9     } else {
10        int mid = (low+high)/2;
11        if (a[mid] < key) {
12            return binarySearchR(a, key, mid+1, high);
13        } else if (a[mid] > key) {
14            return binarySearchR(a, key, low, mid-1);
15        } else {
16            return mid;
17        }
18    }
19 }
```

Answer: Assume `a` is not null and `a.length` is `n`.

Best-Case	Worst-Case
For the best-case, search key is found at the first try. <code>binarySearchR</code> only declares one variable inside it, and the method is only called once, $s(n) = c = O(1)$.	For the worst-case, search key does not exist in the array, <code>binarySearchR</code> will be called at most about $\lg n$ times, which uses at most about $\lg n$ stack frames at a particular moment. Since the method only declares one variable, thus $s(n) = c * \lg n = O(\lg n)$

```
65 private void resize(int max) {
66     E[] temp = (E[]) new Object[max];
67     for (int i = 0; i < n; i++) {
68         temp[i] = a[i];
69     }
70     a = temp;
71 }
```

Answer:

The method declares two variables `temp` and `i`, which uses $O(\max)$ and $O(1)$ space respectively. In total the space complexity is $O(\max)$ for both best-case and worst-case.