

(https://colab.research.google.com/github/Joriswillems/deeplearning/blob/master/assignment2/2IMM10_Assignment2/Assignment2/

Assignment 2, Question 1

Group 82

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Reading material

• [1] Artem Babenko, Anton Slesarev, Alexandr Chigorin, Victor Lempitsky, "Neural Codes for Image Retrieval", ECCV, 2014. https://arxiv.org/abs/1404.1777 (https://arxiv.org/abs/1404.1777).

NOTE When submitting your notebook, please make sure that the training history of your model is visible in the output. This means that you should **NOT** clean your output cells of the notebook. Make sure that your notebook runs without errors in linear order.

Image Retrieval with Neural Codes

In this task, we are trying the approach proposed in [1], meaning we are using the representations learned by a ConvNet for image retrieval. In particular, we are going to

- 1. Train and evaluate a ConvNet on an image dataset.
- 2. Compute the outputs of intermediate layers for a new image dataset, which has not been used during training. These values serve as a representation, so-called *neural codes* for the new images.
- 3. Use the neural codes for image retrieval, by comparing the Euclidean distances between the codes of a query image and the remaining images.
- 4. Evaluate results both qualitatively and in terms of mean average precision.

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```
In [150]:
```

```
%matplotlib inline
import os
import multiprocessing
import shutil
#from google.colab import drive
import numpy as np
import pickle
import keras.backend as K
import tensorflow as tf
from tensorflow import keras
from tensorflow.keras.models import Sequential, load model
from tensorflow.keras.layers import Flatten, Input, Dense, Conv2D, MaxPooling2D,
ReLU, Dropout, Reshape, UpSampling2D, BatchNormalization
from tensorflow.keras import losses, optimizers
from tensorflow.keras.utils import plot model
import matplotlib
import matplotlib.pyplot as plt
import matplotlib.image as mpimg
import time
num train classes = 190
```

Mount Google Drive

We will save our model there, in the folder deeplearning2020_ass2_task1. The model is rather big, so please make sure you have about 1 GB of space in your Google Drive.

```
In [2]:
```

```
# if not os.path.isdir('drive'):
# drive.mount('drive')
# else:
# print('drive already mounted')

# base_path = os.path.join('drive', 'My Drive', 'deeplearning2020_ass2_task1')
# if not os.path.isdir(base_path):
# os.makedirs(base_path)
```

Download Tiny Imagenet

Tiny Imagenet is small subset of the original Imagenet dataset (http://www.image-net.org/), which is one of the most important large scale image classification datasets. Tiny Imagenet has 200 classes, and contains 500 training examples for each class, i.e. 100,000 training examples in total. The images are of dimensions 64x64. Note: You will need to re-download the data, when your Colab session has been disconnected (i.e. re-evaluate this cell).

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In [3]:

```
# get tiny imagenet
if not os.path.isdir('tiny-imagenet-200'):
    start_time = time.time()
    if not os.path.isfile('tiny-imagenet-200.zip'):
        ! wget "http://cs231n.stanford.edu/tiny-imagenet-200.zip"
        ! unzip -q tiny-imagenet-200.zip -d .
        print("Unzipped.")
        print("Elapsed time: {} seconds.".format(time.time()-start_time))
else:
        print('Found folder tiny-imagenet-200')
```

Found folder tiny-imagenet-200

Load Tiny Imagenet

We are going to use the official training set of Tiny Imagenet for our purposes, and ignore the official validation and test sets. We will use 190 classes (95,000 images) of the official training set to make new training, validation and test sets, containing 76,000, 9,500, and 9,500 images, respectively. The remaining 10 classes (5,000 images) will never have been seen during training, and will constitute an *out-of-domain* (ood) set. The ood set will be used for image retrieval. Thus, we'll have:

- Train data: 76,000 images, 64x64x3 pixels, classes 0-189
- Validation data: 9,500 images, 64x64x3 pixels, classes 0-189
- Test data: 9,500 images, 64x64x3 pixels, classes 0-189
- Out-of-domain data: 5000 images, 64x64x3 pixels, classes 190-199

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In [4]:

```
def load imagenet(num train classes):
  def load class images(class string, label):
   Loads all images in folder class string.
    :param class string: image folder (e.g. 'n01774750')
    :param label: label to be assigned to these images
    :return class k img: (num files, width, height, 3) numpy array containing
                         images of folder class string
    :return class k labels: numpy array containing labels
   class k path = os.path.join('tiny-imagenet-200/train/', class string, 'image
s')
   file list = sorted(os.listdir(class k path))
   dtype = np.uint8
   class k img = np.zeros((len(file list), 64, 64, 3), dtype=dtype)
    for 1, f in enumerate(file list):
      file path = os.path.join('tiny-imagenet-200/train/', class string, 'image
s', f)
      img = mpimg.imread(file path)
      if len(img.shape) == 2:
        class_k_img[l, :, :, :] = np.expand_dims(img, -1).astype(dtype)
        class k img[l, :, :, :] = img.astype(dtype)
   class k labels = label * np.ones(len(file list), dtype=dtype)
   return class_k_img, class_k_labels
  # get the word description for all imagenet 82115 classes
  all class dict = {}
  for k, line in enumerate(open('tiny-imagenet-200/words.txt', 'r')):
   n id, description = line.split('\t')[:2]
   all class dict[n id] = description
  # this will be the description for our 200 classes
 class dict = {}
  # we enumerate the classes according to their folder names:
  # 'n01443537' -> 0
  # 'n01629819' -> 1
  # ...
 ls train = sorted(os.listdir('tiny-imagenet-200/train'))
 imq = None
 labels = None
 ood x = None
 ood y = None
  # the first num train classes will make the training, validation, test sets
  for k in range(num train classes):
   # the word descritpion of the current class
   class dict[k] = all class dict[ls train[k]]
   # load images and labels for current class
   class k img, class k labels = load class images(ls train[k], k)
    # concatenate all samples and labels
   if img is None:
      img = class k img
```

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```
labels = class k labels
      img = np.concatenate((img, class k img), axis=0)
      labels = np.concatenate((labels, class k labels))
  # the remaining classes are the out of domain (ood) set
  for k in range(num train classes, 200):
    class dict[k] = all class dict[ls train[k]]
    class k img, class k labels = load class images(ls train[k], k)
    if ood x is None:
      ood x = class k img
      ood y = class k labels
    else:
      ood x = np.concatenate((ood x, class k img), axis=0)
      ood y = np.concatenate((ood y, class k labels))
  return img, labels, ood x, ood y, class dict
print('Loading data...')
start time = time.time()
train x, train y, ood x, ood y, class dict = load imagenet(num train classes)
print('Data loaded in {} seconds.'.format(time.time() - start time))
def split data(x, y, N):
 x N = x[0:N, ...]
 y_N = y[0:N]
 x rest = x[N:, ...]
 y_rest = y[N:, ...]
 return x_N, y_N, x_rest, y_rest
# fix random seed
np.random.seed(42)
# shuffle
N = train x.shape[0]
rp = np.random.permutation(N)
train x = train x[rp, ...]
train y = train y[rp]
# train/validation split 80 - 10 - 10
N \text{ val} = int(round(N * 0.1))
N \text{ test} = int(round(N * 0.1))
val x, val y, train x, train y = split data(train x, train y, N val)
test_x, test_y, train_x, train_y = split_data(train_x, train_y, N_test)
# shuffle ood data
N \text{ ood} = \text{ood } x.shape[0]
rp = np.random.permutation(N ood)
ood x = ood x[rp, ...]
ood_y = ood_y[rp]
# convert all data into float32
train x = train x.astype(np.float32)
train y = train y.astype(np.float32)
val_x = val_x.astype(np.float32)
val_y = val_y.astype(np.float32)
test_x = test_x.astype(np.float32)
test y = test y.astype(np.float32)
ood x = ood x.astype(np.float32)
ood y = ood y.astype(np.float32)
```

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```
# normalize
train_x /= 255.
val_x /= 255.
test_x /= 255.
ood_x /= 255.

print(train_x.shape)
print(val_x.shape)
print(test_x.shape)
print(ood_x.shape)
```

```
Loading data...

Data loaded in 137.37462401390076 seconds.

(76000, 64, 64, 3)

(9500, 64, 64, 3)

(9500, 64, 64, 3)

(5000, 64, 64, 3)
```

Show Some Images

In [5]:

```
# def show_random_images(img, labels, K, qualifier):
# for k in range(K):
# idx = np.random.randint(0, img.shape[0])
# print("{} {}: {}".format(qualifier, idx, class_dict[labels[idx]]))
# plt.imshow(img[idx,:,:,:])
# plt.show()

# show_random_images(train_x, train_y, 3, 'train')
# show_random_images(val_x, val_y, 3, 'validation')
# show_random_images(test_x, test_y, 3, 'test')
# show_random_images(ood_x, ood_y, 3, 'out of domain')
```

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Make and Train Model

Implement a convolutional neural network similar to the one in [1]. We will simplify the architecture a bit, since we are dealing with Tiny Imagenet here, and since we would have trouble training the original model in Colab:

- 1. For the first convolutional layer, use a kernel size of 4 and stride 1, but still 96 filters.
- 2. For the hidden fully connected layers, use 2048 units, instead of 4096.

Otherwise, use the same architecture as in [1].

Some hints and remarks:

- Use 'same' padding for all convolutional and pooling layers.
- For the last layer, use num_train_classes (defined above) units.
- For all layers use *relu* activation functions, except for the last layer, where you should use the *softmax* activation.
- Apply dropout with dropout rate 0.5 before the two hidden fully connected layers.
- Train the model with the Adam optimizer, using a learning rate of 0.0001 and set amsgrad=True.
- Use early stopping [2] by calling model.fit(...) with argument *callbacks=[early_stopping_callback]*. The early_stopping_callback is already defined below. You'll need to provide the validation set as argument *validation_data* in model.fit(...).
- Train using *crossentropy* loss. You can use losses.sparse_categorical_crossentropy, since labels are not encoded in one-hot encoding.
- Use a batch size of 100.
- Train for maximal 100 epochs (early stopping will likely stop training much earlier).
- During training, measure accuracy and top-5 accuracy. You can use sparse_top_k_categorical_accuracy, since labels are not encoded in one-hot encoding.

[2] https://en.wikipedia.org/wiki/Early_stopping (https://en.wikipedia.org/wiki/Early_stopping)

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In [5]:

```
def make model():
  # keras model
 model = Sequential()
  # Make your model here
  # ...
 model.add(Conv2D(96, kernel size=(4,4), activation='relu', padding='same', inp
ut shape=(64,64,3))
 model.add(MaxPooling2D(padding='same'))
 model.add(Conv2D(192, kernel size=(5,5), activation='relu', padding='same'))
 model.add(MaxPooling2D(padding='same'))
 model.add(Conv2D(288, kernel_size=(3,3), activation='relu', padding='same'))
 model.add(Conv2D(288, kernel size=(3,3), activation='relu', padding='same'))
 model.add(Conv2D(256, kernel size=(3,3), activation='relu', padding='same'))
 model.add(MaxPooling2D(padding='same'))
 model.add(Flatten(name="L5"))
 model.add(Dropout(0.5))
 model.add(Dense(2048, activation='relu', name='L6'))
 model.add(Dropout(0.5))
 model.add(Dense(2048, activation='relu', name='L7'))
 model.add(Dense(num train classes, activation='softmax'))
  # ...
  return model
def make model and train():
  if not os.path.isdir(base path):
    raise AssertionError('No folder base path. Please run cell "Mount google dri
ve" above.')
 model = make model()
 model.summary()
 early stopping callback = keras.callbacks.EarlyStopping(monitor='val loss',
                                                           min delta=0,
                                                           patience=0,
                                                           verbose=1,
                                                           mode='auto')
  # run training here
 model.compile(optimizer=optimizers.Adam(learning rate=0.0001, amsgrad=True),
                loss=losses.sparse categorical crossentropy,
                metrics=['accuracy','sparse_top_k_categorical_accuracy'])
 model.fit(train x, train y, batch size=100, epochs=100, callbacks=early stoppi
ng callback, validation data=(val x, val y))
  # ...
  # save model to google drive
 model.save(os.path.join(base_path, 'model.h5'))
 tf.keras.backend.clear session()
make model and train()
```

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Model: "sequential"

orical_accuracy: 0.5415

Layer (type)	Output Shape	Param #
conv2d (Conv2D)	(None, 64, 64, 96)	4704
<pre>max_pooling2d (MaxPooling2D)</pre>	(None, 32, 32, 96)	0
conv2d_1 (Conv2D)	(None, 32, 32, 192)	460992
<pre>max_pooling2d_1 (MaxPooling2</pre>	(None, 16, 16, 192)	0
conv2d_2 (Conv2D)	(None, 16, 16, 288)	497952
conv2d_3 (Conv2D)	(None, 16, 16, 288)	746784
conv2d_4 (Conv2D)	(None, 16, 16, 256)	663808
max_pooling2d_2 (MaxPooling2	(None, 8, 8, 256)	0
L5 (Flatten)	(None, 16384)	0
dropout (Dropout)	(None, 16384)	0
L6 (Dense)	(None, 2048)	33556480
dropout_1 (Dropout)	(None, 2048)	0
L7 (Dense)	(None, 2048)	4196352
dense (Dense)	(None, 190)	389310
Total params: 40,516,382 Trainable params: 40,516,382 Non-trainable params: 0 Epoch 1/100 760/760 [====================================		
Epoch 3/100 760/760 [====================================	sparse_top_k_categorical ccuracy: 0.2242 - val_sp ========] - 159s 209m sparse_top_k_categorical ccuracy: 0.2580 - val_sp ========] - 159s 209m	_accuracy: 0.421 barse_top_k_categ ns/step - loss: _accuracy: 0.497 barse_top_k_categ

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```
Epoch 6/100
2.8836 - accuracy: 0.3248 - sparse top k categorical accuracy: 0.599
8 - val loss: 3.0446 - val accuracy: 0.3020 - val sparse top k categ
orical accuracy: 0.5677
Epoch 7/100
760/760 [============ ] - 159s 209ms/step - loss:
2.6681 - accuracy: 0.3657 - sparse top k categorical accuracy: 0.644
5 - val loss: 2.9502 - val accuracy: 0.3191 - val sparse top k categ
orical accuracy: 0.5859
Epoch 8/100
760/760 [============= ] - 159s 209ms/step - loss:
2.4533 - accuracy: 0.4048 - sparse top k categorical accuracy: 0.688
8 - val loss: 2.8982 - val accuracy: 0.3333 - val sparse top k categ
orical accuracy: 0.5935
Epoch 9/100
2.2408 - accuracy: 0.4463 - sparse top k categorical accuracy: 0.728
6 - val loss: 2.9349 - val accuracy: 0.3336 - val sparse top k categ
orical accuracy: 0.5986
Epoch 00009: early stopping
```

Evaluate Model

Evaluate the crossentropy, classification accuracy and top-5 classification accuracy on the train, validation and test sets.

```
In [151]:
base_path = ""
model = load_model(os.path.join(base_path, 'model.h5'))
```

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```
In [6]:
```

```
# evaluate model here
# ...
print("Training set: ")
loss, acc, top 5 acc = model.evaluate(train x, train y, batch size=100)
print("Cross entropy: {:.3f}, accuracy: {:.3f}, top-5 accuracy: {:.3f}".format(1
oss, acc, top 5 acc))
print("---")
print("Validation set: ")
loss, acc, top 5 acc = model.evaluate(val x, val y, batch size=100)
print("Cross entropy: {:.3f}, accuracy: {:.3f}, top-5 accuracy: {:.3f}".format(1
oss, acc, top 5 acc))
print("----")
print("Test set: ")
loss, acc, top 5 acc = model.evaluate(test x, test y, batch size=100)
print("Cross entropy: {:.3f}, accuracy: {:.3f}, top-5 accuracy: {:.3f}".format(1
oss, acc, top 5 acc))
# ...
Training set:
```

Name two techniques which would likely improve the test accuracy.

ANSWER

We train and evaluate the network in terms of a classification task. The high training accuracy and low test/val accuracy indicates that the network was severely overfitting. Classification accuracy on the test set can be improved by reducing overfitting (e.g. by using regularisation methods such as L1/L2-regularisation, data augmentation, reduce network capacity, batch normalisation or increase dropout rates).

It must be noted that we target learning representations for image retrieval, rather than high classification accuracy. The paper suggests that degradation of efficiency of the neural codes is rather graceful after compressing these neural codes (e.g. by PCA-based compression or discriminative dimensionality reduction).

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Image Retrieval

We are now using the trained model for image retrieval on the out-of-domain dataset ood_x. We are considering, in turn, single images from *ood_x* as query image, and the remaining 4,999 images as retrieval database. The task of image retrieval (IR) is to find the *K* most similar images to the query image. In [1], similarity is defined as Euclidean distance between I2-normalised neural codes, where neural codes are simply the outputs of particular ConvNet layers.

For each of the 3 layers which were considered in [1], perform the following steps:

- 1. Perform image retrieval for the first 10 images from ood_x. Retrieve the K=5 most similar images for each query. Show the query image and the retrieved images next to each other, and mark the retrieved images which have the same class (stored in ood_y) as the query image. See Fig. 2 and 3 in [1] for examples (your results do not need to look precisely like in the paper. E.g., you can use *imshow* and *subplot*, and simply use *print* for the labels).
- 2. Compute and report the *mean average precision* (mAP), by computing the *average precision* (AP) for each image in *ood_x*, and taking the mean AP over all 5,000 images.

Hints:

- · Make sure that the model is properly loaded, see previous cell.
- To obtain the neural codes, you can use the provided function eval_layer_batched(model, layer_name, ood_x, 100). It evaluates the layer with name *layer_name* for the whole ood_x. For example, if the layer contains 1024 units, this function will return a numpy array of size 5000x1024, with rows corresponding to images and columns to units.
- · The AP is defined as follows.
 - Let *TP* be the number of *true positives*, that is, the number of retrieved images which have the *same* label as the guery image.
 - Let *FP* be the number of *false positives*, that is, the number of retrieved images which have a *different* label than the guery image.
 - Let *FN* be the number of *false negatives*, that is, the number of *non-retrieved* images, which have the *same* label as the query image.
 - The precision of an IR algorithm is defined as precision := TP / (TP + FP).
 - The recall is defined as recall := TP / (TP + FN).
 - To better understand precision and recall, figure a haystack with some needles in it. Precision will be high if you carefully select very few objects, where you are sure that these are needles. But recall will be low then. Recall will be high if you just grab and return the whole haystack. But precision will be low then. Thus, precision and recall are (usually) opposed to each other and represent a tradeoff.
 - This trade-off can typically be governed by some hyper-parameter, in our case *K*, the number of retrieved images. For large *K*, we have large recall but low precision, for small *K* we have higher precision but low recall.
 - The trade-off can be inspected by looking at the precision-recall curve. The AP is defined as area under the precision-recall curve.
 - Fortunately, an estimator of AP is already implemented for you in the function *average_precision*. It takes two arguments:
 - sorted_class_vals: list of class values of the 4,999 other images, sorted according to closeness to the query image (closest first, most distant last).
 - true_class: the class values of the query image.

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In [179]:

```
from sklearn.preprocessing import normalize
from sklearn.neighbors import NearestNeighbors
def get layer functor(model, layer name):
    inp = model.input
    output = model.get_layer(layer_name).output
    return K.function([inp], [output])
def eval layer(x, layer functor):
    return layer functor(x)[0]
def eval layer batched(model, layer name, x, batch size):
    layer functor = get layer functor(model, layer name)
    idx = 0
    ret vals = None
    while idx < x.shape[0]:</pre>
        if idx + batch size > x.shape[0]:
            batch x = x[idx:, ...]
        else:
            batch x = x[idx:(idx+batch size), ...]
        batch vals = eval layer(batch x, layer functor)
        if ret vals is None:
            ret_vals = batch_vals
        else:
            ret vals = np.concatenate((ret vals, batch vals), 0)
        idx += batch size
    return ret_vals
def average precision(sorted class vals, true class):
    ind = sorted class vals == true class
    num positive = np.sum(ind)
    cum_ind = np.cumsum(ind).astype(np.float32)
    enum = np.array(range(1, len(ind)+1)).astype(np.float32)
    return np.sum(cum ind * ind / enum) / num positive
# perform image retrieval here
def get kNN indcs(layer name, number of query images=10, k neighbors=5):
    neural codes = normalize(eval layer batched(model, layer name, ood x, 100),
axis=1)
    knn = NearestNeighbors(n neighbors=k neighbors, n jobs=-1, p=2)
    knn.fit(neural codes)
    return knn.kneighbors(neural codes[:number of query images], n neighbors=k n
eighbors+1, return distance=False)
def plot layer imgs(k):
    fig, axes = plt.subplots(nrows=3, ncols=6, figsize=(15,8))
    for i,axrow in enumerate(axes):
        for j, subplot in enumerate(axrow):
            idx = idcs[k, i,j]
            subplot.imshow(ood x[idx])
            subplot.set_xticks([]); subplot.set_yticks([])
            subplot.set ylabel("layer {}".format(i+5)) if j==0 else False
            color = 'green' if (ood y[idx] == ood y[k]) else 'red'
```

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```
subplot.set_title(class_dict.get(ood_y[idx])[:-1], fontdict={'colo
r': color})
    print("\n\n")
    plt.show()

def get_MAP(layer_name):
    knn_idcs = get_kNN_indcs(layer_name, number_of_query_images=5000, k_neighbor
s=4999)[:, 1:]
    precision = [average_precision(ood_y[knn_idcs[sampleidx]], ood_y[sampleidx])
for sampleidx in range(len(knn_idcs))]
    return np.mean(precision)
```

plot image retrieval

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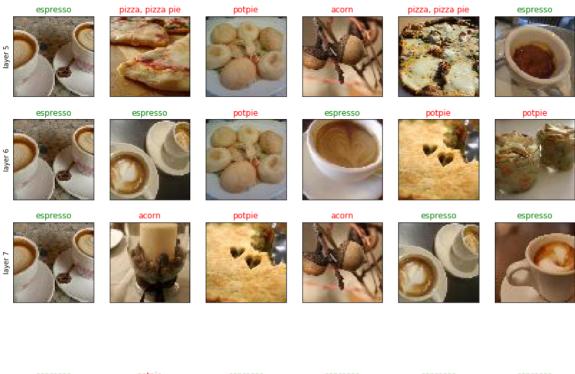
In [148]:

```
knn_indcs_5 = get_kNN_indcs("L5", number_of_query_images=10, k_neighbors=5)
knn_indcs_6 = get_kNN_indcs("L6", number_of_query_images=10, k_neighbors=5)
knn_indcs_7 = get_kNN_indcs("L7", number_of_query_images=10, k_neighbors=5)
idcs = np.swapaxes(np.concatenate([knn_indcs_5, knn_indcs_6, knn_indcs_7]).resha
pe((3, 10, 6)), axis1=0, axis2=1)
[plot_layer_imgs(i) for i in range(10)];
```

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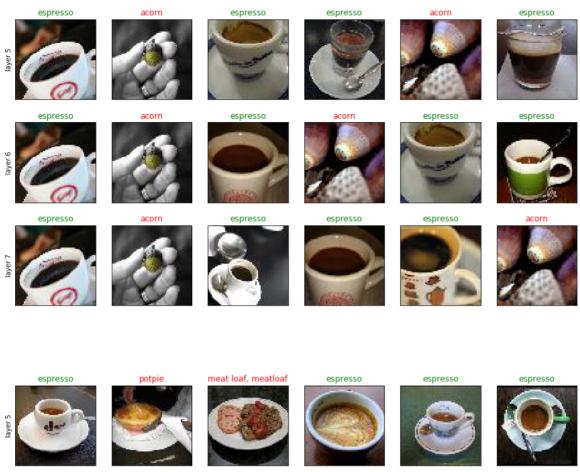


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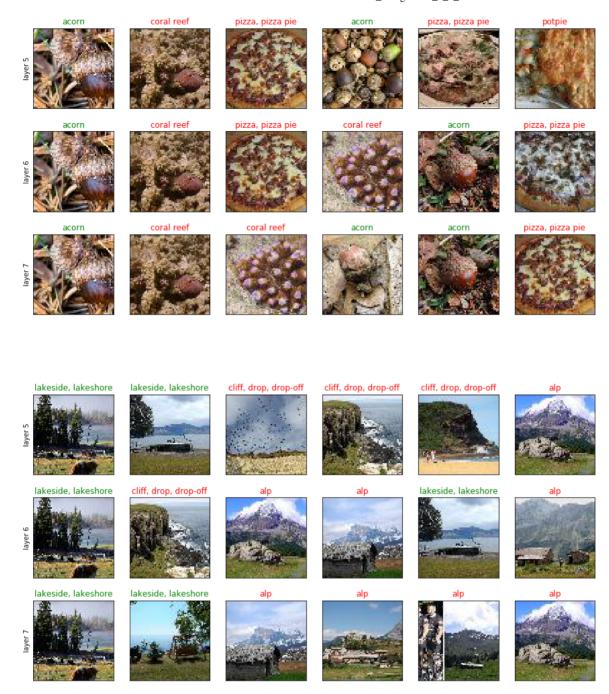


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precision accuracy

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In [180]:

```
import pandas as pd

results= []
layer_names = ["L5", 'L6', 'L7']
[results.append(get_MAP(layer_name)) for layer_name in layer_names];

pd.DataFrame({'Mean precision' : results}, index=layer_names)
```

Out[180]:

	Mean precision
L5	0.291952
L6	0.322170
L7	0.345915

What are the qualitative differences between the different layers for neural codes?

ANSWER

Our deep convolutional network learns spatial hierachies of patterns when trained on a classification task. More specifically, the first layers learns very local patterns (e.g. edges), while deeper layers learn specific combinations of patterns. The deepest layers learn the most complex abstractions and representations of the image data. Therefore, the neural codes of deeper layers are simply increasingly more complex representations of the initial image data. These representations can be used for image retrieval.

Do the observed mAP values (roughly) confirm the observations by Babenko et al.?

ANSWER

In Babenko et al. layer 6 performed consistently better, whereas for us layer 7 resulted in the best mAP value. A possible explanation could be that our image data is too complex to be accurately represented by neural codes from layers 5 and 6. The observations could be different when image retrieval is performed with images containing only simple shapes/patterns.

Furthermore, it must be noted that our layer 6 consists of 2048 neurons whereas the paper's architecture has 4096 neurons in layer 6, enabling more complex representations to be learned in the paper's layer 6. This could also explain the observed differences between our experiment and the paper's results.

Peer review

We worked together on all tasks, therefore, points can be distributed equally.

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In [ ]:
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localhost:8888/lab 21/21