Coalgebraic Representation of Büchi Automata

Research Internship Presentation

Jorrit de Boer

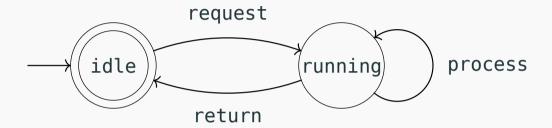
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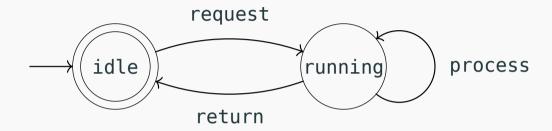
Introduction

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Outline:

- 1. Büchi Automata
- 2. Coalgebraic Representation Deterministic Finite Automata
- 3. Coalgebraic Representation Nondeterministic Finite Automata
- 4. Coalgebraic Representation Possibly Infinite Behavior Nondeterministic Finite Automata
- 5. Coalgebraic Representation Büchi Automata
- 6. Outline Derivation using Game Semantics

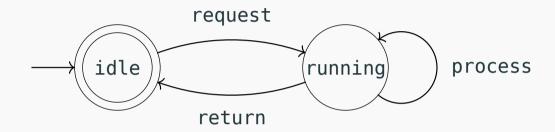




Definition: A (nondeterministic) Büchi Automaton

 $A = \langle S, \Sigma, \delta, s_0, F \rangle$, where:

- S: finite set of states
- Σ : alphabet
- $s_0 \in S$: initial state
- $\delta: S \times \Sigma \to \mathcal{P}(S)$: transition function
- $F \subseteq S$: set of final (or accepting) states.

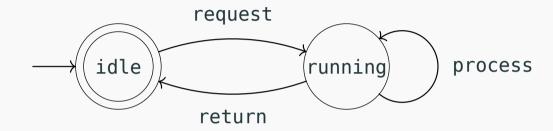


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A run of A on an ω -word $w=\sigma_0\sigma_1...\in \Sigma^\omega$ is an infinite sequence of states $s_0,s_1,...\in S^\omega$ such that for all $n,s_{n+1}\in \delta(s_n,\sigma_n)$

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Accepted language: $(request \cdot process^* \cdot return)^{\omega}$

Coalgebra

 $\langle S, \Sigma, \delta, o \rangle$ with states S, alphabet Σ , transition function $\delta: S \times \Sigma \to S$, $o: S \to 2$ ($2 = \{0, 1\}$). Can be represented by a coalgebra $\langle o, \delta \rangle: S \to 2 \times S^{\Sigma}$ for functor $FS = 2 \times S^{\Sigma}$

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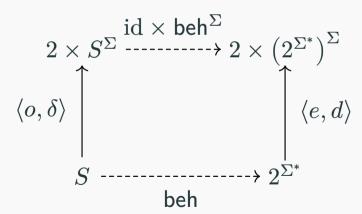
The final coalgebra for F is $\langle e, d \rangle : 2^{\Sigma^*} \to 2 \times \left(2^{\Sigma^*}\right)^{\Sigma}$. Where

- $e(L) = L(\varepsilon)$, i.e. e(L) = 1 iff $\varepsilon \in L$
- $d(L)(\sigma) = L_{\sigma}$ where $L_{\sigma}(w) = L(\sigma w)$ so $w \in d(L)(\sigma)$ iff $\sigma w \in L$

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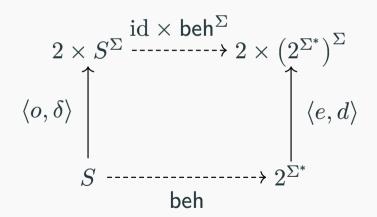
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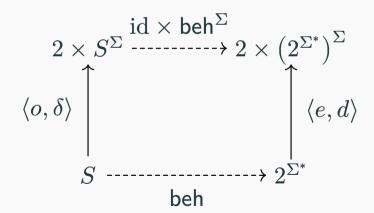
Following the paths through the diagram we obtain:

- $beh(s)(\varepsilon) = e(beh(s)) = o(s)$, and
- $\mathrm{beh}(s)(\sigma w) = \mathrm{beh}(s)_a(w) = d(\mathrm{beh}(s))(\sigma) = \mathrm{beh}(\delta(s)(\sigma))(w),$

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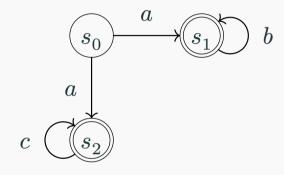


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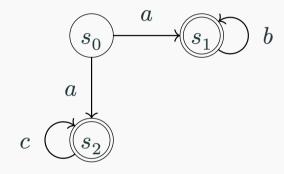
So beh captures exactly the accepted language of the automaton!

Nondeterministic Finite Automata



Might be modeled by coalgebra $c:S \to 2 \times \mathcal{P}(\Sigma \times S)$.

Nondeterministic Finite Automata



Might be modeled by coalgebra $c: S \to 2 \times \mathcal{P}(\Sigma \times S)$.

A final coalgebra $z:Z\to 2\times \mathcal P(\Sigma\times Z)$ cannot exist. Lambek's lemma says z would have to be an isomorphism, which would imply $Z\cong \mathcal P(Z)$

Solution by Hasuo, Jacobs, Sokolova 2007

Kleisli Category of the monad \mathcal{P} :

A coalgebra $c:S\to\Sigma\times S$ in $\mathcal{K}\ell(\mathcal{P})$ is $c:S\to\mathcal{P}(\Sigma\times S)$ in **Sets**.

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Kleisli Category of the monad \mathcal{P} :

A coalgebra $c: S \to \Sigma \times S$ in $\mathcal{K}\ell(\mathcal{P})$ is $c: S \to \mathcal{P}(\Sigma \times S)$ in **Sets**. Concretely:

- $\eta_X: X \to \mathcal{P}(X): \eta_X(x) = \{x\}$
- $\mu_X: \mathcal{P}(\mathcal{P}(X)) \to \mathcal{P}(X): \mu_X(A) = \bigcup_{a \in A} a.$

For
$$f: X \to Y$$
, $\mathcal{P}(f): \mathcal{P}(X) \to \mathcal{P}(Y)$ by $\mathcal{P}(f)(A) = \{f(a) \mid a \in A\}$

- **objects**: the same as for **Sets**, sets
- morphisms: $f: X \to Y$ in $\mathcal{K}\ell(\mathcal{P})$ is $f: X \to \mathcal{P}(Y)$ in **Sets**. For morphisms $f: X \to Y$ and $g: Y \to Z$ in $\mathcal{K}\ell(\mathcal{P})$ we define

$$g\odot f:=X\stackrel{f}{\rightarrow}\mathcal{P}(Y)\stackrel{\mathcal{P}(g)}{\rightarrow}\mathcal{P}(\mathcal{P}(Z))\stackrel{\mu_Y}{\rightarrow}\mathcal{P}(Z)$$

Initial Algebra ⇒ Final Coalgebra

Model NFA $\langle S, \Sigma, \delta, o \rangle$ by coalgebra $c: S \to 1 + \Sigma \times S$ for the functor $FS = 1 + \Sigma \times S$, which is $c: S \to \mathcal{P}(1 + \Sigma \times S)$ in **Sets**.

Lift functor F in **Sets** to \overline{F} in $\mathcal{K}\ell(\mathcal{P})$

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Lift functor F in **Sets** to \overline{F} in $\mathcal{K}\ell(\mathcal{P})$

Theorem [Hasuo, Jacobs, Sokolova 2007]: An initial algebra $\alpha: FA \to A$ for the functor F in **Sets** yields the final coalgebra for \overline{F} in $\mathcal{K}\ell(\mathcal{P})$:

$$\left(\eta_{FA}\circ\alpha^{-1}\right):A\to\overline{F}A\ in\ \mathcal{K}\ell(\mathcal{P})$$

Coalgebraic Representation Nondeterministic Automata

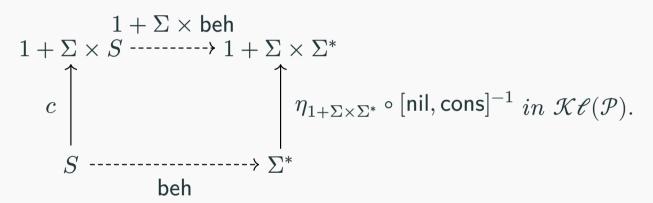
The initial algebra for $FS = 1 + \Sigma \times S$ is $[\mathsf{nil}, \mathsf{cons}] : 1 + \Sigma \times \Sigma^* \to \Sigma^*$:

$$\bullet \ \, \operatorname{nil}(*) = \varepsilon \qquad \qquad \operatorname{cons}(\sigma,w) = \sigma w$$

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$$\begin{array}{c} 1 + \Sigma \times \text{beh} \\ 1 + \Sigma \times S & \longrightarrow 1 + \Sigma \times \Sigma^* \\ \hline c & & & \uparrow \\ S & \longrightarrow \Sigma^* \\ \hline \text{beh} \end{array} \circ [\text{nil}, \text{cons}]^{-1} \ in \ \mathcal{K}\ell(\mathcal{P}).$$

$$\varepsilon \in \mathsf{beh}(s) \iff * \in c(s) \iff \mathsf{state}\ s \text{ is accepting}$$

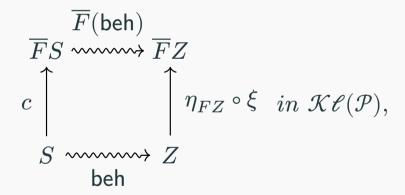
$$\sigma w \in \mathsf{beh}(s) \Longleftrightarrow (\sigma, w) \in ((\Sigma \times \mathsf{beh}) \circ c)(s) = \{(\sigma, \mathsf{beh}(t)) \mid (\sigma, t) \in c(s)\} \Longleftrightarrow \exists t. (t \in \delta(s)(\sigma) \land w \in \mathsf{beh}(t)).$$

Theorem [Jacobs 2004]: A final coalgebra $\xi:Z\to FZ$ yields a weakly final coalgebra

$$(\eta_{FZ} \circ \xi) : Z \to \overline{F}(Z) \ in \ \mathcal{K}\ell(\mathcal{P})$$

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beh is not unique. However, we can take beh $^{\infty}$, the maximal mapping with respect to inclusion.

 $\xi: \Sigma^{\infty} \to 1 + \Sigma \times \Sigma^{\infty}$ is the final F-coalgebra, defined by $\xi(\varepsilon) = * \in 1$ and $\xi(\sigma w) = (\sigma, w)$ $(\Sigma^{\infty} = \Sigma^* \cup \Sigma^{\omega})$.

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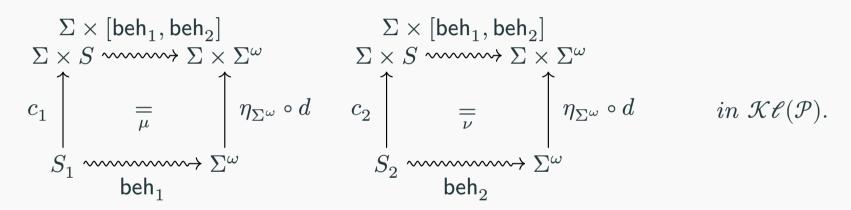
$$\begin{array}{c} 1 + \Sigma \times \operatorname{beh}_c^\infty \\ 1 + \Sigma \times S & \longrightarrow 1 + \Sigma \times \Sigma^\infty \\ c & \cong \int J\xi & in \ \mathcal{K}\ell(\mathcal{P}). \\ S & \longrightarrow \Sigma^\infty \\ \operatorname{beh}_c^\infty \end{array}$$

$$\varepsilon \in \mathsf{beh}^\infty(s) \Longleftrightarrow * \in c(s) \Longleftrightarrow \mathsf{state}\ s \ \mathsf{is} \ \mathsf{accepting}$$

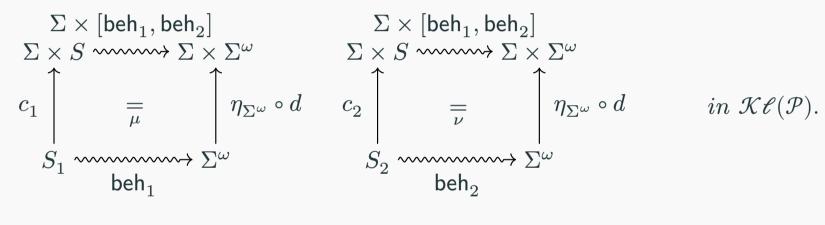
$$\sigma w \in \mathsf{beh}^\infty(s) \Longleftrightarrow \exists t. \Big(s \overset{\sigma}{\to} t \land w \in \mathsf{beh}^\infty(t)\Big).$$

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$$\begin{split} \operatorname{beh}_1 &\stackrel{\mu}{=} (\eta_{\Sigma^\omega} \circ d)^{-1} \odot \overline{F}[\operatorname{beh}_1, \operatorname{beh}_2] \odot c_1 \\ \operatorname{beh}_2 &\stackrel{\nu}{=} (\eta_{\Sigma^\omega} \circ d)^{-1} \odot \overline{F}[\operatorname{beh}_1, \operatorname{beh}_2] \odot c_2 \end{split}$$

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Rewrite to:

$$\mathsf{beh}_1 \stackrel{\mu}{=} \diamondsuit_{\delta}([\mathsf{beh}_1, \mathsf{beh}_2]) \upharpoonright S_1 \qquad \qquad \mathsf{beh}_2 \stackrel{\nu}{=} \diamondsuit_{\delta}([\mathsf{beh}_1, \mathsf{beh}_2]) \upharpoonright S_2$$

Where $\diamondsuit_{\delta}: (\mathcal{P}(\Sigma^{\omega}))^S \to (\mathcal{P}(\Sigma^{\omega}))^S$ is given by

$$\diamondsuit_{\delta}(\mathsf{beh})(s) = \{\sigma \cdot w \mid s' \in \delta(s)(\sigma), w \in \mathsf{beh}(s')\}.$$

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Definition: The *solution* to this *equational system* is calculated as follows:

- Intermediate solution $l_1^{(1)} \coloneqq \mu u_1.f_1(u_1,u_2)$
- $l^{\text{sol}} := \nu u_2 . f_2 \Big(l_1^{(1)}(u_2), u_2 \Big)$
- $l_1^{\text{sol}} = l_1^{(1)} (l_2^{\text{sol}})$

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Concretely:

- $l_1^{(1)} := \mu u_1 . \diamondsuit_{\delta}([u_1, u_2]) \upharpoonright S_1$
- $\bullet \ l_2^{\rm sol} \coloneqq \nu u_2.u_2 \diamondsuit_{\delta}([\mu u_1.\diamondsuit_{\delta}([u_1,u_2]) \upharpoonright S_1,u_2]) \upharpoonright S_2,u_2)$
- $\bullet \ l_1^{\rm sol} = \mu u_1. \diamondsuit_{\delta} \big(\big[u_1, \nu u_2. u_2 \stackrel{\nu}{=} \diamondsuit_{\delta} ([\mu u_1'. \diamondsuit_{\delta} ([u_1', u_2]) \upharpoonright S_1, u_2]) \upharpoonright S_2, u_2 \big) \big] \big) \upharpoonright S_1$

Let $A=\langle S, \Sigma, \delta, s_0, F \rangle$ be a Büchi automaton. Take $S_1=S\setminus F, S_2=F$. Model δ by coalgebras $c_1:S_1\to \mathcal{P}(\Sigma\times S), c_2:S_2\to \mathcal{P}(\Sigma\times S)$. Take the initial algebra $d:\Sigma^\omega\to \Sigma\times \Sigma^\omega$ defined by $d(\sigma w)=(\sigma,w)$ in **Sets**.

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Theorem [Urabe, Shimizu, Hasuo 2016]: The solutions beh_1 , beh_2 to the system of equations coincide with the accepted language of the Büchi Automaton A.

Alternate Proof of Coincidence Result

Problem: system of fixed point equations is convoluted.

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Alternate derivation using game semantics:

Game Semantics For Modal Mu-Calculus: $s \models^T \varphi \iff$ verifier has a winning strategy in $\mathcal{G}(\varphi, T)$

Outline:

- Convert system of equations to modal mu-calculus formula
- Apply game semantics of modal mu-calculus
- Prove: V has a winning strategy in $\mathcal{G}(\varphi,T)$ from state $(s_i,w) \Longleftrightarrow w \in \mathsf{beh}(s_i)$

Converting formula:

$$\begin{split} l_{\mathrm{sol}}^2 &= \nu u_2.\diamondsuit_{\delta}^2 \big[\big(\mu u_1'.\diamondsuit_{\delta}^1[u_1',u_2]\big), u_2 \big] \\ \\ \overline{\varphi_2} &= \nu u_2.(p_2 \wedge \diamondsuit((\mu u_1'.p_1 \wedge \diamondsuit((p_1 \wedge u_1') \vee (p_2 \wedge u_2))) \vee (p_2 \wedge u_2)))) \end{split}$$

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Defining Transition System for Büchi Automaton A:

Let $A=(S_1\cup S_2,\Sigma,\delta)$ be a Büchi automaton. Let Transition System (TS) over the set of propositional variables $\{p_1,p_2\}$, denoted as T_A , as follows:

- States: (s, w) for $s \in S$ and $w \in \Sigma^{\omega}$
- Transition $(s, \sigma w) \to (s', w)$ for $s, s' \in S, \sigma \in \Sigma, w \in \Sigma^{\omega}$, iff $s' \in \delta(s)(\sigma)$
- Labeling function: $\lambda((s, w)) = \{p_i\}$ iff $s \in S_i$

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$$\begin{split} l_{\mathrm{sol}}^2 &= \nu u_2.\diamondsuit^2_{\delta} \big[\big(\mu u_1'.\diamondsuit^1_{\delta} [u_1',u_2] \big), u_2 \big] \\ \\ \overline{\varphi_2} &= \nu u_2. (p_2 \wedge \diamondsuit((\mu u_1'.p_1 \wedge \diamondsuit((p_1 \wedge u_1') \vee (p_2 \wedge u_2))) \vee (p_2 \wedge u_2)))) \end{split}$$

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Game Semantics For Modal Mu-Calculus: $(s, w) \models \varphi$ iff Verifier has a winning strategy in $\mathcal{G}(\varphi, T_A)$ from state $(\varphi, (s, w))$

Converting formula:

$$\begin{split} l_{\mathrm{sol}}^2 &= \nu u_2.\diamondsuit^2_{\delta} \big[\big(\mu u_1'.\diamondsuit^1_{\delta} [u_1',u_2] \big), u_2 \big] \\ \\ \overline{\varphi_2} &= \nu u_2. (p_2 \wedge \diamondsuit((\mu u_1'.p_1 \wedge \diamondsuit((p_1 \wedge u_1') \vee (p_2 \wedge u_2))) \vee (p_2 \wedge u_2)))) \end{split}$$

Defining Transition System for Büchi Automaton A:

Let $A=(S_1\cup S_2,\Sigma,\delta)$ be a Büchi automaton. Let Transition System (TS) over the set of propositional variables $\{p_1,p_2\}$, denoted as T_A , as follows:

- States: (s, w) for $s \in S$ and $w \in \Sigma^{\omega}$
- Transition $(s, \sigma w) \to (s', w)$ for $s, s' \in S, \sigma \in \Sigma, w \in \Sigma^{\omega}$, iff $s' \in \delta(s)(\sigma)$
- Labeling function: $\lambda((s, w)) = \{p_i\}$ iff $s \in S_i$

Game Semantics For Modal Mu-Calculus: $(s, w) \models \varphi$ iff Verifier has a winning strategy in $\mathcal{G}(\varphi, T_A)$ from state $(\varphi, (s, w))$

Lemma: Verifier has a winning strategy in $\mathcal{G}(\varphi, T_A)$ from state iff the Büchi automaton accepts w from s.

Conclusion

- 1. Büchi Automata
 - Modeling infinite behavior
- 2. Coalgebraic Representation Nondeterministic Finite Automata
 - Work in $\mathcal{K}\ell(\mathcal{P})$
 - Initial coalgebra in **Sets** yields final coalgebra in $\mathcal{K}\ell(\mathcal{P})$
- 3. Coalgebraic Representation Possibly Infinite Behavior Nondeterministic Finite Automata
 - Final coalgebra in **Sets** yields weakly final coalgebra in $\mathcal{K}\ell(\mathcal{P})$
 - This adds infinite behavior
- 4. Coalgebraic Representation Büchi Automata
 - Split $S = S_1 \cup S_2$
 - Take those traces which are solution to system of fixed point equations
- 5. Outline Derivation using Game Semantics
 - Use game semantics for modal mu-calculus to obtain more comprehensive proof of coincidence