

# Autoencoders

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Advanced Institute for Artificial Intelligence – AI2

<https://advancedinstitute.ai>



# Introduction

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What are Autoencoders?

## Definitions

- “Unsupervised” learning algorithm that applies backpropagation, setting the target values to be equal to the inputs:
  - uses  $y^{(i)} = x^{(i)}$
- Internally, it has a hidden layer that describes a code used to represent the input;
- Consists of two parts:
  - Encoder function  $h = f(x)$ , and
  - Decoder function that produces a **reconstruction**  $r = g(h)$ ;
- Designed to be **unable to learn to copy perfectly**;

## Question

Why simply learning to set  $g(f(x)) = x$  everywhere is not especially useful?

## Definitions

- Special cases of feedforward networks and may be trained with all the same techniques (minibatch gradient descent, back-propagation, etc.);
- Usually restricted to copy only approximately, i.e., producing data **that only resembles the training data**.
- The model is forced to prioritize only a few aspects of the input;
  - Often learns useful properties of the data, e.g., relevant features;
- Traditionally used for **dimensionality reduction** or **feature learning**;

## Example

Suppose the inputs  $x$  are the pixel intensity values from a **10×10 image** (100 pixels) -  $n=100$ , and there are  $s_2 = 50$  hidden units in layer  $L_2$ .

From the definition of Autoencoders we have  $y \in \mathcal{R}^{100}$ . Since there are only 50 hidden units, the network is forced to learn a “compressed” representation of the input.

Given only the vector of hidden unit activations  $a^{(2)} \in \mathcal{R}^{50}$ , it **must try to “reconstruct”** the 100-pixel input  $x$ .

## Definitions

- If there is some underlying structure in the data, e.g., some of the input features are correlated, then this algorithm **will be able to discover some of those correlations**.
- This simple form of autoencoder most likely will learn a low-dimensional representation very similar to PCAs.
- Can be thought of as **data compression algorithms**;
- Compression and decompression functions are:
  1. data-specific,
  2. lossy, and
  3. learned automatically from examples rather than engineered by a human;

## Question

1. Why Autoencoders wouldn't make great **general-purpose** compression algorithms?
2. Why do they **need** to be lossy?
3. An autoencoder trained on pictures of faces would do a good job on compressing pictures of trees?

## What are they good for?

### □ Data compression?

- Almost impossible to beat standard algorithms, such as JPEG, MP3, etc.;
- You can **improve the performance by restricting the type of data** it uses;
  - **Loss of generalization capability!**
- Generally impractical for real-world data compression problems:
  - Can only be used on data that is similar to what they were trained on.

### □ Dimensionality reduction:

- If the decoder is linear and the cost function is the Mean Square Error, an Autoencoder learns to span the same subspace as the PCA;

### □ Data denoising

- the data is partially corrupted by noises;
- the model is trained to predict the original, uncorrupted data point as its output;





# Undercomplete Autoencoders

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# Undercomplete Autoencoders

- Autoencoder whose code dimension is less than the input dimension;
- Forces the autoencoder to capture the most relevant features of the training data;
  - Also known as bottlenecks;
- Minimize the Loss function, where  $f$  is the function learned by the encoder and  $g$  is the function learned by the decoder by tweaking the parameters  $\theta$  and  $\phi$ :

$$L_{AE}(\theta, \phi) = \frac{1}{n} \sum_{i=1}^n (x^{(i)} - f_{\theta}(g_{\phi}(x^{(i)})))^2$$

- Nonlinear encoder functions  $f$  and nonlinear decoder functions  $g$  can learn a more powerful nonlinear generalization of PCA;

# Undercomplete Autoencoders

## Implementation

### □ General Architecture:

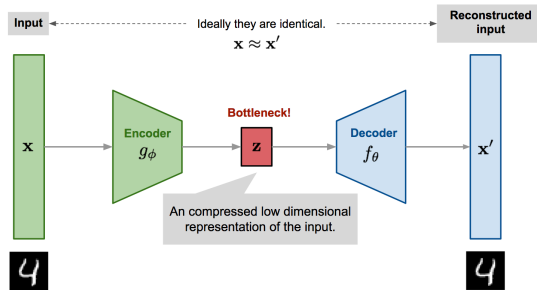


Figure: General architecture of an undercomplete autoencoder

*Image from Autoencoder architecture by Lilian Weng*

## Implementation

- Dimensionality Reduction for a 3D dataset

```
1 encoding_dim = 2
2 input_layer = keras.Input(shape=(3,))
3 encoded = layers.Dense(encoding_dim, activation="sigmoid")(input_layer)
4 decoded = layers.Dense(3, activation="sigmoid")(encoded)
5 autoencoder = keras.Model(input_layer, decoded)
6 autoencoder.compile(loss="mse", optimizer="SGD")
```

# Undercomplete Autoencoders

## Implementations

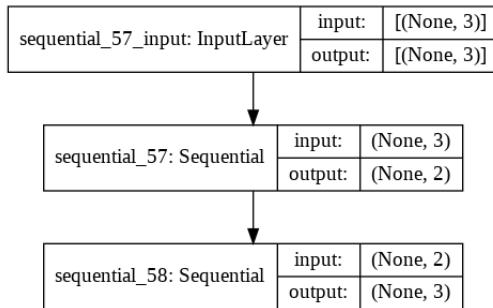


Figure: Autoencoder Architecture

# Undercomplete Autoencoders

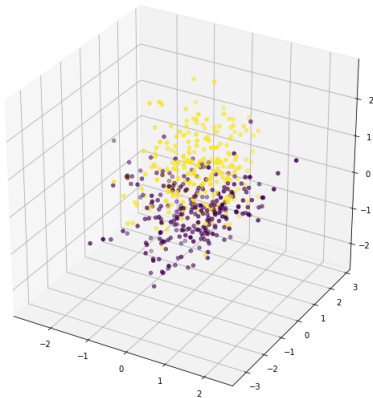


Figure: 3D data before encoding

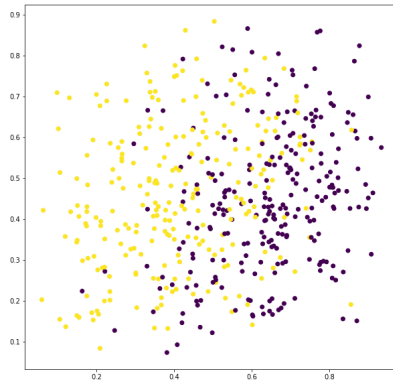


Figure: 2D data after encoding

# Undercomplete Autoencoders

[Jupyter Notebook](#) with example and exercises.

# Undercomplete Autoencoders

## What they are not good at?

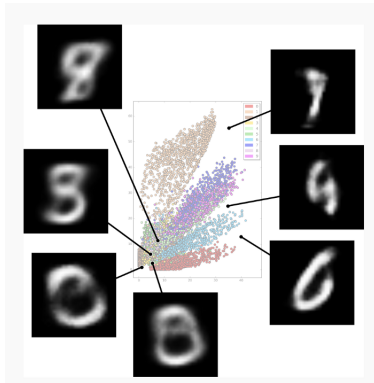


Figure: Latent Space representation for the MNIST dataset



## What they are not good at?

- Some of the biggest challenges regarding the latent space are:
  - **Gaps in the latent space:** we do not know what data points in these spaces may look like
  - **Separability in the latent space:** there are also regions where the labeled is randomly interspersed
  - **Discrete latent space:** we do not have a statistical model that has been trained for arbitrary input

## Limitations

- Unfortunately, undercomplete autoencoders **fail to learn anything useful** if the encoder and decoder **are given too much capacity**
- Also occurs if the hidden code has the same dimension as the input;
- The same happens in the overcomplete case, where the hidden code has dimension greater than the input;
- Even a linear encoder and decoder can **learn to copy the input** to the output
  - **Nothing useful is learned about the data distribution**



# Regularized Autoencoders

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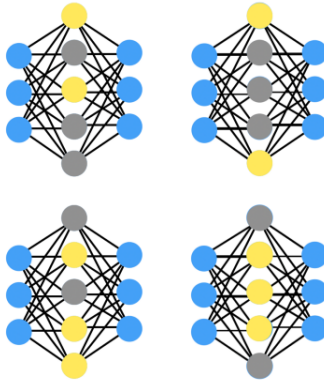
- Use a loss function that encourages the model to have other properties, e.g., sparsity of the representation, and robustness to noise or to missing inputs;
- Can be nonlinear and overcomplete but still learn something useful about the data distribution;
- The two of the most common Regularized Autoencoders are:
  - **Sparse Autoencoders:** sparsity penalty added to his original loss function;
  - **Denoising Autoencoders:** adding noise (Gaussian for example) to the inputs forces our model to learn important features;

## Sparse Autoencoders

- Is simply an autoencoder whose training criterion involves a **sparsity penalty**;
- Presents a larger latent dimension than the input or output dimensions;
- Typically used to **learn features for another task**, such as classification;
- Think of the penalty simply as a **regularizer term**;
- We would like to constrain the neurons to be **inactive most of the time**;
- Reduce the propensity for the network to overfit;
- It can **no longer copy the input through certain nodes**:
  - in each run, **those nodes may not be the active**

# Regularized Autoencoders

## Sparse Autoencoders



*Image by Shreya Chaudhary*

## Sparse Autoencoders - Implementation

In Keras, this can be done by adding an `activity_regularizer` to our Dense layer

```
1 encoded = layers.Dense(encoding_dim, activation='relu',  
2                        activity_regularizer=regularizers.l1(10e-5))(input_img)
```

With the added regularization the model is less likely to overfit and **can be trained longer**;

□ [Example Notebook](#) for the MNIST dataset;

## Denoising Autoencoders

- The input is partially corrupted by adding noises to or masking some values of the input vector in a stochastic manner;
- the model is trained to recover the original input (note: not the corrupt one);

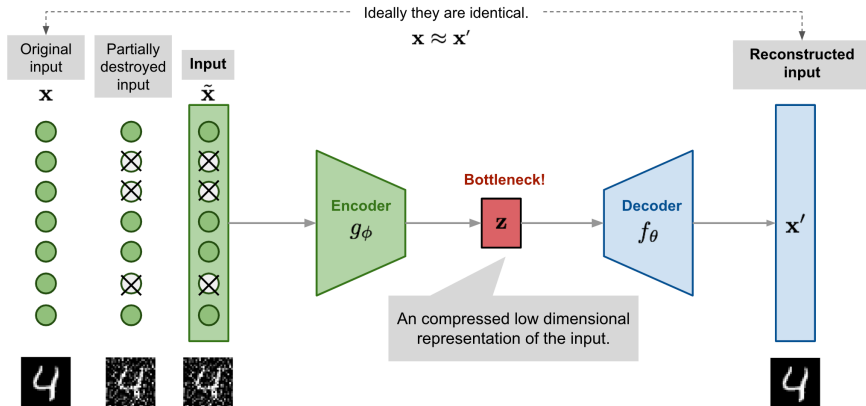
$$\tilde{\mathbf{x}}^{(i)} \sim \mathcal{M}_{\mathcal{D}}(\tilde{\mathbf{x}}^{(i)} | \mathbf{x}^{(i)})$$

$$L_{\text{DAE}}(\theta, \phi) = \frac{1}{n} \sum_{i=1}^n (\mathbf{x}^{(i)} - f_{\theta}(g_{\phi}(\tilde{\mathbf{x}}^{(i)})))^2$$

where  $\mathcal{M}_{\mathcal{D}}$  defines the mapping from the true data samples to the noisy or corrupted ones.



## Denoising Autoencoders



*Image by Lilian Weng*

## Denoising Autoencoders

- Design motivated by the fact that humans can easily recognize an object even the view partially occluded;
- To “repair” the input, the DAE has to discover the relationship between dimensions of input in order to infer missing pieces;
- On images, the model is likely to depend on evidence gathered from a combination of many input dimensions to recover the denoised version;
  - This builds up a good foundation for learning robust latent representation;
- In the [original DAE paper](#), a fixed proportion of input dimensions are selected at random and their values are forced to 0 (same as dropout?);

## Denoising Autoencoders - Implementation

In the case of the MNIST dataset:

```
1 noise_factor = 0.5
2 x_train_noisy = x_train + noise_factor * np.random.normal(loc=0.0, scale=1
    .0, size=x_train.shape)
3 x_test_noisy = x_test + noise_factor * np.random.normal(loc=0.0, scale=1.0
    , size=x_test.shape)
```



Figure: MNIST digits after adding Noise

[Jupyter Notebook](#) with the example implementation of Denoising Autoencoder for the MNIST.