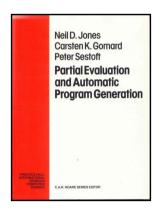
Parallel Functional Programming in Java 8

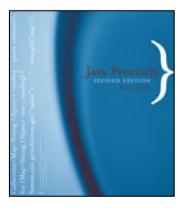
Peter Sestoft
IT University of Copenhagen

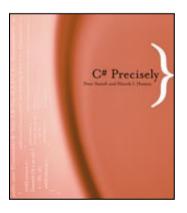
Parallel Functional Programming Chalmers, Thursday 2023-05-04

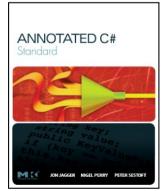
The speaker

- MSc 1988 computer science and mathematics and PhD 1991, DIKU, Copenhagen University
- KU, DTU, KVL and ITU; and Glasgow U, AT&T Bell Labs, Microsoft Research UK, Harvard University
- Functional, object-oriented, and parallel software

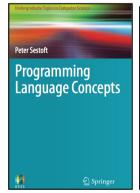








2007





2002, 2005, 2016

2004, 2012

2012, 2017

Plan

- Java 8 functional programming
 - Package java.util.function
 - Lambda expressions, method reference expressions
 - Functional interfaces, targeted function type
- Java 8 streams for bulk data
 - Package java.util.stream
- High-level parallel programming
 - Streams: primes, top-down parsing, n-queens, ...
 - Array parallel prefix operations
 - Class java.util.Arrays static methods

Materials

- Sestoft: Java Precisely 3rd ed., MIT Press 2016
 - § 11.13: Lambda expressions
 - § 11.14: Method reference expressions
 - § 23: Functional interfaces
 - § 24: Streams for bulk data
 - § 25: Class Optional<T>
- Book examples are called Example154.java etc
 - Get them from the book homepage http://www.itu.dk/people/sestoft/javaprecisely/

Functional and stream programming in Java 8 (2014)

- Lambda expressions
 (String s) -> s.length
- Method reference expressions String::length
- Functional interfacesFunction<String,Integer>
- Streams for bulk data processing Stream<String> ss = ... Stream<Integer> is = ss.map(String::length)
- Parallel stream processingis = ss.parallel().map(String::length)
- Parallel array operations
 Arrays.parallelSetAll(arr, i -> sin(i/PI/100.0))
 Arrays.parallelPrefix(arr, (x, y) -> x+y)

Functional programming in Java

- Immutable data instead of objects with state
- Recursion instead of loops
- Higher-order functions that may
 - take functions as argument
 - return functions as result

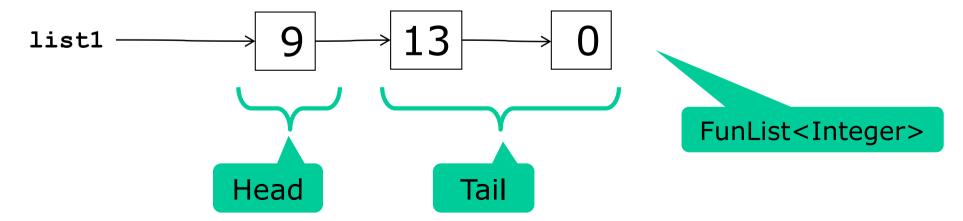
```
class FunList<T> {
    final Node<T> first;
    protected static class Node<U> {
        public final U item;
        public final Node<U> next;
        public Node(U item, Node<U> next) { ... }
    }
    ...
}
```

Example154.java

Immutable data

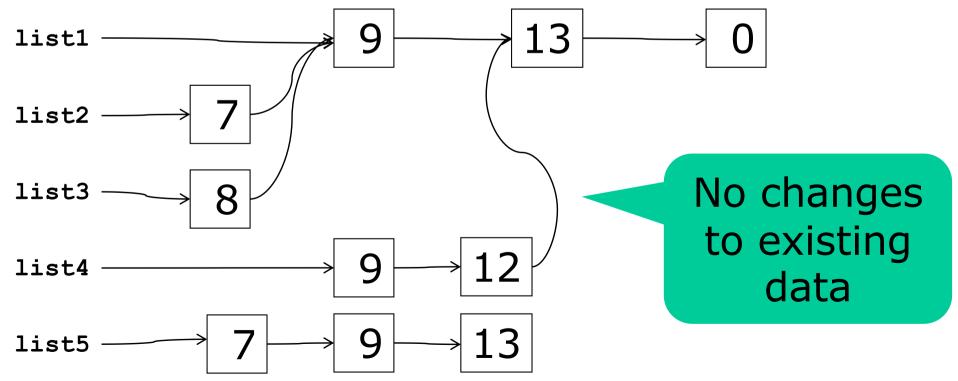
FunList<T>, linked lists of nodes

```
class FunList<T> {
    final Node<T> first;
    protected static class Node<U> {
        public final U item;
        public final Node<U> next;
        public Node(U item, Node<U> next) { ... }
    }
    static <T> FunList<T> cons(T item, FunList<T> list) {
        return new FunList<T> (new Node<T> (item, list.first));
    }
}
```



Immutability: No changes to existing data

```
FunList<Integer> empty = new FunList<>(null),
  list1 = cons(9, cons(13, cons(0, empty))),
  list2 = cons(7, list1),
  list3 = cons(8, list1),
  list4 = list1.insert(1, 12),
  list5 = list2.removeAt(3);
Insert 12 before element number 1
```



Recursion in insert

- "If i is zero, put item in a new node, and let its tail be the old list xs"
- "Otherwise, put the first element of xs in a new node, and let its tail be the result of inserting item in position i-1 of the tail of xs"

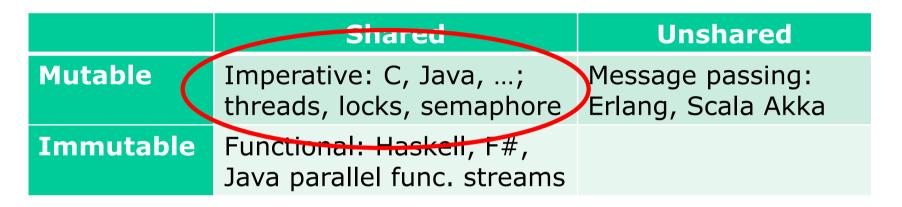
Functional programming and immutable data: Pros and cons

- Immutability leads to more data allocation
 - Takes time and space
 - But modern allocators, garbage collectors are fast
- Immutable data can be safely shared
 - May actually reduce amount of allocation
- Immutable data are automatically threadsafe
 - No (other) thread can destructively update it;
 very good for parallel programming
 - And also due to visibility effects of final modifier

Subtle Java point;
Java Precisely § 20.5.2

Mutable and/or shared memory

- Mutable: Data can be updated, reassigned
- Shared: Data can be accessed from two threads



Languages, techniques

| | Shared | Unshared |
|-----------|----------------------------|----------|
| Mutable | Difficult, race conditions | Easy |
| Immutable | Easy | Easy |

Conceptual difficulty

| | Shared | Unshared |
|-----------|--|----------------------|
| Mutable | Slow (cache states $M \leftrightarrow I$) | ast (cache state M) |
| Immutable | Fast (cache state S) | Fast (cache state E) |

MESI cache hardware

Java lambda expressions 1

One argument lambda expressions:

```
Example64.java
Function<String,Integer>
  fsi1 = s -> Integer.parseInt(s)
                                    Function that takes a string s
... fsi1.apply("004711") ...
                                     and parses it as an integer
           Calling the function
                                                    Same, written
                                                     in other ways
Function<String,Integer>
  fsi2 = s -> { return Integer.parseInt(s);
  fsi3 = (String s) -> Integer.parseInt(s);
```

Two-argument lambda expressions:

```
BiFunction<String,Integer,String>
  fsis1 = (s, i) -> s.substring(i, Math.min(i+3, s.length()));
```

Java method reference expressions

```
BiFunction<String,Integer,Character> charat
                                                               Example67.java
  = String::charAt;
                                   Same as (s,i) -> s.charAt(i)
System.out.println(charat.apply("ABCDEF", 1));
Function<String,Integer> parseint = Integer::parseInt;
                                   Same as fsi1, fsi2 and fsi3
Function<Integer,Character> hex1
  = "0123456789ABCDEF"::charAt;
                                     Conversion to hex digit
                                  Class and array constructors
Function<Integer,C> makeC = C::new;
Function<Integer,Double[]> make1DArray = Double[]::new
```

Targeted function type (TFT)

- A lambda expression or method reference expression does not have a type in itself
- Therefore must have a targeted function type
- Lambda or method reference must appear as
 - Assignment right hand side:

```
• Function<String, Integer> f = Integer::parseInt;
```

- Argument to call:

TFT

- stringList.map(Integer::parseInt)
- In a cast: map's argument type is TFT
 - (Function<String,Integer>) Integer::parseInt
- Argument to return statement: TFT
 - return Integer::parseInt;

Enclosing method's return type is TFT

Functions as arguments: map

```
public <U> FunList<U> map(Function<T,U> f) {
   return new FunList<U>(map(f, first));
}
static <T,U> Node<U> map(Function<T,U> f, Node<T> xs) {
   return xs == null ? null
      : new Node<U>(f.apply(xs.item), map(f, xs.next));
}
```

- Function map encodes general behavior
 - Transform each list element to make a new list
 - Argument f expresses the specific transformation
- Just as in Haskell, Scala, F#, Scheme, ...
- Same effect as OO "template method pattern"

Calling map

7 9 13

```
FunList<Double> list8 = list5.map(i -> 2.5 * i);
```

17.5 22.5 32.5

```
FunList<Boolean> list9 = list5.map(i -> i < 10);</pre>
```

true true false

Java 8 functional interfaces

A functional interface has exactly one abstract

method

```
interface Function<T,R> {
   R apply(T x);
}
```

```
from T to R
```

Type of functions

```
C#: Func<T,R>
```

```
F#: T -> R
```

```
interface Consumer<T> {
  void accept(T x);
}
```

Type of functions from T to void

C#: Action<T>

F#: T -> unit

Java Precisely page 125

(Too) many Java functional interfaces

| Interface | Sec. | Function Type | Single Abstract Method Signature | | |
|---------------------------------------|---------------------------------------|-------------------------------------|--------------------------------------|--|--|
| | One-Argument Functions and Predicates | | | | |
| Function <t,r></t,r> | 23.5 | T -> R | R apply(T) | | |
| UnaryOperator <t></t> | 23.6 | T -> T | T apply(T) | | |
| Predicate <t></t> | 23.7 | T -> boolean | boolean test(T) | | |
| Consumer <t></t> | 23.8 | T -> void | void accept (T) | | |
| Supplier <t></t> | 23.9 | void -> T | T get() | | |
| Runnable | | void -> void | void run() | | |
| Two-Argument Functions and Predicates | | | | | |
| BiFunction <t,u,r></t,u,r> | 23.10 | T * U -> R | R apply(T, U) | | |
| BinaryOperator <t></t> | 23.11 | T * T -> T | T apply(T, T) | | |
| BiPredicate <t,u></t,u> | 23.7 | T * U -> boolean | boolean test(T, U) | | |
| BiConsumer <t,u></t,u> | 23.8 | T * U -> void | void accept (T, U) | | |
| Primi | itive-Type | e Specialized Versions of the Gener | ic Functional Interfaces | | |
| DoubleToIntFunction | 23.5 | double -> int | int applyAsInt(double) | | |
| DoubleToLongFunction | 23.5 | double -> long | long applyAsLong(double) | | |
| IntToDoubleFunction | 23.5 | int -> double | double applyAsDouble(int) | | |
| IntToLongFunction | 23.5 | int -> long | long applyAsLong(int) | | |
| LongToDoubleFunction | 23.5 | long -> double | double applyAsDouble(long) | | |
| LongToIntFunction | 23.5 | long -> int | int applyAsInt(long) | | |
| DoubleFunction <r></r> | 23.5 | double -> R | R apply(double) | | |
| IntFunction <r></r> | 23.5 | int -> R | R apply(int) | | |
| LongFunction <r></r> | 23.5 | long -> R | R apply(long) | | |
| ToDoubleFunction <t></t> | 23.5 | T -> double | double applyAsDouble(T) | | |
| ToIntFunction <t></t> | 23.5 | T -> int | int applyAsInt(T) | | |
| ToLongFunction <t></t> | 23.5 | T -> long | long applyAsLong(T) | | |
| ToDoubleBiFunction <t.u></t.u> | 23.10 | T * U -> double | double applyAsDouble(T, U) | | |
| ToIntBiFunction <t,u></t,u> | 23.10 | T * U -> int | int applyAsInt(T, U) | | |
| ToLongBiFunction <t,u></t,u> | 23.10 | T * U -> long | long applyAsLong(T, U) | | |
| DoubleUnaryOperator | 23.6 | double -> double | double applyAsDouble(double) | | |
| IntUnaryOperator | 23.6 | int -> int | int applyAsInt(int) | | |
| LongUnaryOperator | 23.6 | long -> long | long applyAsLong(long) | | |
| DoubleBinaryOperator | 23.11 | double * double -> double | double applyAsDouble(double, double) | | |
| IntBinaryOperator | 23.11 | int * int -> int | int applyAsInt(int, int) | | |
| LongBinaryOperator | 23.11 | long * long -> long | long applyAsLong(long, long) | | |
| DoublePredicate | 23.7 | double -> boolean | boolean test(double) | | |
| IntPredicate | 23.7 | int -> boolean | boolean test(int) | | |
| LongPredicate | 23.7 | long -> boolean | boolean test (long) | | |
| DoubleConsumer | 23.8 | double -> void | void accept (double) | | |
| IntConsumer | 23.8 | int -> void | void accept (int) | | |
| LongConsumer | 23.8 | long -> void | void accept (long) | | |
| ObjDoubleConsumer <t></t> | 23.8 | T * double -> void | void accept (T, double) | | |
| ObjIntConsumer <t></t> | 23.8 | T * int -> void | void accept(T, int) | | |
| ObjLongConsumer <t></t> | 23.8 | T * long -> void | void accept (T, long) | | |
| Boolean Supplier | 23.9 | void -> boolean | boolean getAsBoolean() | | |
| DoubleSupplier | 23.9 | void -> double | double getAsDouble() | | |
| IntSupplier | 23.9 | void -> double | int getAsInt() | | |
| LongSupplier | 23.9 | void -> long | long getAsLong() | | |
| Longouppiici | 23.7 | void -> iong | Total decustorid () | | |

```
interface IntFunction<R> {
   R apply(int x);
}
```

Use instead of Function<Integer,R> to avoid (un)boxing

Primitive-type specialized interfaces

Primitive-type specialized interfaces for int, double, and long

```
interface Function<T,R> {
   R apply(T x);
}

interface IntFunction<R> {
   R apply(int x);
}

Why
both?

What difference?
```

```
Function<Integer,String> f1 = i -> "#" + i;
IntFunction<String> f2 = i -> "#" + i;
```

- Calling f1.apply(42) will box 42 as Integer
 - Allocating object in heap, takes time and memory
- Calling f2.apply(42) avoids boxing, is faster
- Purely for performance

Java streams for bulk data

- Stream<T> is a finite or infinite sequence of T
 - Possibly lazily generated
 - Possibly parallel
- Stream methods
 - map, flatMap, reduce, filter, ...
 - These take functions as arguments
 - Can be combined into pipelines
 - Java optimizes (and parallelizes) the pipelines well
- Similar to
 - Java Iterators, but very different implementation
 - The Enumerable extension methods underlying C#/.NET Language Integrated Query (Linq)

Some stream operations

- Stream<Integer> s = Stream.of(2, 3, 5)
- s.filter(p) = those x where p.test(x) holds s.filter(x -> x%2==0) gives 2
- s.map(f) = results of f.apply(x) for x in s s.map(x -> 3*x) gives 6, 9, 15
- s.flatMap(f) = a flattening of the streams
 created by f.apply(x) for x in s
 - $s.flatMap(x \rightarrow Stream.of(x,x+1))$ gives 2,3,3,4,5,6
- s.findAny() = some element of s, if any, or else the absent Option<T> value
 - s.findAny() gives 2 or 3 or 5
- s.reduce(x0, op) = x0*s0*...*sn if we write
 op.apply(x,y) as x*y
 - s.reduce(1, $(x,y) \rightarrow x*y$) gives 1*2*3*5 = 30

Similar functions are everywhere

- Java stream map is called
 - map in Haskell, Scala, F#, Clojure
 - Select in C# Linq
- Java stream flatMap is called
 - concatMap in Haskell
 - flatMap in Scala
 - collect in F#
 - SelectMany in C# Linq
 - mapcat in Clojure
- Java reduce is a special (assoc. op.) case of
 - foldl in Haskell
 - foldLeft in Scala
 - fold in F#
 - Aggregate in C# Linq
 - reduce in Clojure

Counting primes on Java 8 streams

A standard Java for loop:

```
int count = 0;
for (int i=0; i<range; i++)
  if (isPrime(i))
    count++;</pre>
```

Classical efficient imperative loop

Sequential Java 8 stream:

```
IntStream.range(0, range)
.filter(i -> isPrime(i))
.count()
```

Pure functional programming ...

Parallel Java 8 stream:

```
IntStream.range(0, range)
.parallel()
.filter(i -> isPrime(i))
.count()
```

... and thus parallelizable and thread-safe

Performance results (!!)

Counting the primes in 0 ...99,999

| Method | Intel i7 (ms) | AMD Opteron (ms) |
|----------------------|---------------|------------------|
| Sequential for-loop | 9.9 | 40.5 |
| Sequential stream | 9.9 | 40.8 |
| Parallel stream | 2.8 | 1.7 |
| Best thread-parallel | 3.0 | 4.9 |
| Best task-parallel | 2.6 | 1.9 |

- Functional streams give the simplest solution
- Nearly as fast as tasks and threads, or faster:
 - Intel i7 (4 cores) speed-up: 3.6 x
 - AMD Opteron (32 cores) speed-up: 24.2 x
 - ARM Cortex-A7 (RP 2B) (4 cores) speed-up: 3.5 x
- The future is parallel and functional ☺

Side-effect freedom: functional!

From the java.util.stream package docs:

Side-effects

function-type

Side-effects in behavioral parameters to stream operations are, in general, discouraged, as they can often lead to unwitting violations of the statelessness requirement, as well as other thread-safety hazards.

Should say "catastrophic"

- Java compiler and type system cannot enforce side-effect freedom – unlike Haskell
- Java runtime cannot detect violations

Creating Java streams 1

• Explicitly or from array, collection or map:

```
IntStream is = IntStream.of(2, 3, 5, 7, 11, 13);
String[] a = { "Hoover", "Roosevelt", ...};
Stream<String> presidents = Arrays.stream(a);
Collection<String> coll = ...;
Stream<String> countries = coll.stream();
Map<String,Integer> phoneNumbers = ...;
Stream<Map.Entry<String,Integer>> phones
  = phoneNumbers.entrySet().stream();
```

• Finite, ordered, sequential, lazily generated

Example164.java

Creating Java streams 2

- Useful special-case streams:
- IntStream.range(0, 100_000)
- random.ints(5_000)
- bufferedReader.lines()
- regex.matcher(text).results()
- bitset.stream()
- Functional iterators for infinite streams
- Imperative generators for infinite streams
- StreamBuilder<T>: eager, only finite streams

Creating Java streams 3: generators

• Generating 0, 1, 2, 3, ...

Functional

```
Example16<mark>5.jav</mark>a
IntStream nats1 = IntStream.iterate(0, x -> x+1);
              Most efficient (!!),
                                              Object-oriented
              and parallelizable
                                                imperative
IntStream nats2 = IntStream.generate(new IntSupplier() {
  private int next = 0;
  public int getAsInt() { return next++; }
});
```

Imperative, using final array for mutable state

```
final int[] next = { 0 };
IntStream nats3 = IntStream.generate(() -> next[0]++);
```

TestStreamSums.java

Streams & floating-point sum

• Eg. compute series sum: for N=999,999,999

$$\frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{N}$$

• Could make a DoubleStream, and use .sum()

```
IntStream.range(1, N)
   .mapToDouble(i -> 1.0/i)
   .sum();
21.300481501347942
```

Or parallel DoubleStream and .sum()

```
IntStream.range(1, N)
    .parallel()
    .mapToDouble(i -> 1.0/i)
    .sum();
```

21.300481501347942

Precise (not exact) is 21.300481501347944

What about a good old-fashioned for loop?

Summation with good old for-loops

Compute series sum: for N=999,999,999

$$\frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{N}$$

• For-loop, forwards summation

```
double sum = 0.0;
for (int i=1; i<N; i++)
  sum += 1.0/i;</pre>
21.300481501348550
```

For-loop, backwards summation

```
21.300481501346148
```

Different!

```
double sum = 0.0;
for (int i=1; i<N; i++)
  sum += 1.0/(N-i);</pre>
```

- Floating-point is sensitive to summation order
- Java DoubleStream .sum() uses Kahan summation to avoid these problems

TestStreamSums.java

Streams for top-down parsing 1

Three forms of grammar Rule:

```
Literal: parse a
r1 r2 ... rn Sequence: parse r1, then r2, ...
r1 | r2 Alternative: parse r1 or r2
```

```
static abstract class Rule {
   final Rule first;

   public abstract IntStream match(String s, int p);

public static boolean match(String s) {
   return first.match(s, 0).anyMatch(pos -> pos == s.length());
  }

static class Lit extends Rule { ... }

static class Seq extends Rule { ... }

static class Alt extends Rule { ... }
```

Streams for top-down parsing 2

```
static class Lit extends Rule {
 final String val;
 public IntStream match(String s, int pos) {
    return s.startsWith(val, pos) ? IntStream.of(pos+val.length())
                                   : IntStream.empty();
static class Seq extends Rule {
 final Rule[] rules;
 public IntStream match(String s, int pos0) {
    IntStream result = IntStream.of(pos0);
    for (int rule : rules)
      result = result.flatMap(pos -> rule.match(s, pos));
    return result;
} }
static class Alt extends Rule {
 final Seq alt1, alt2;
 public IntStream match(String s, int pos0) {
    return IntStream.concat(alt1.match(s, pos0), alt2.match(s, pos0));
} }
```

Streams for backtracking

Eg. generate all n-permutations of 0, 1, ..., n-1
 n=3: [2,1,0], [1,2,0], [2,0,1], [0,2,1], [0,1,2], [1,0,2]

Set of numbers not yet used in tail

An incomplete permutation

```
public static Stream<IntList> perms(BitSet todo, IntList tail) {
   if (todo.isEmpty())
     return Stream.of(tail);
   else
     return todo.stream().boxed()
        .flatMap(r -> perms(minus(todo, r), new IntList(r, tail)));
}
```

```
public static Stream<IntList> perms(int n) {
  BitSet todo = new BitSet(n); todo.flip(0, n);
  return perms(todo, null);
}
```

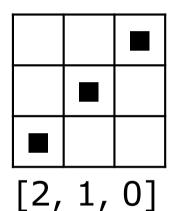
{ 0, ..., n-1 }

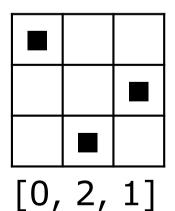
Empty permutation []

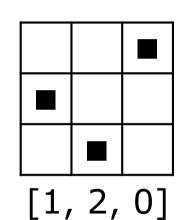
A closer look at generation for n=3

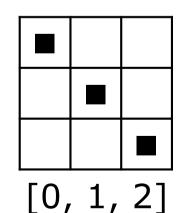
```
todo
                     tail
     (\{0,1,2\},[])
(\{1,2\},[0])
         (\{2\}, [1,0])
            ({},[2,1,0])
                             To result stream
         (\{1\}, [2,0])
            (\{\}, [1,2,0])
                             To result stream
(\{0,2\},[1])
         (\{2\}, [0,1])
            (\{\}, [2,0,1])
                             To result stream
         (\{0\}, [2,1])
            (\{\}, [0,2,1])
                             To result stream
     (\{0,1\},[2])
```

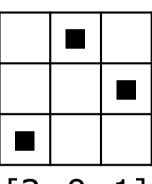
A permutation is a safe rook (tårn) placement on a chessboard



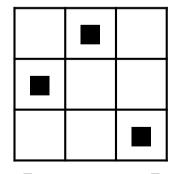












[1, 0, 2]

Solutions to the n-queens problem

- For queens, just take diagonals into account:
 - consider only r that are safe for the partial solution

```
public static Stream<IntList> queens(BitSet todo, IntList tail)
  if (todo.isEmpty())
                                        Diagonal
    return Stream.of(tail);
  else
                                         check
    return todo.stream()
      .filter(r -> safe(r, tail)).boxed()
      .flatMap(r -> queens(minus(todo, r), new IntList(r, tail)))
                                                        .parallel()
         public static boolean safe(int mid, IntList tail) {
           return safe(mid+1, mid-1, tail);
         public static boolean safe(int d1, int d2, IntList tail) {
           return tail==null || d1!=tail.item && d2!=tail.item && safe(d1+1, d2-1, tail.next);
```

- Simple, and parallelizable for free, 3.5 x faster
- Solve or generate sudokus: much the same

Versatility of streams

- Many uses of a stream of solutions
 - Print the number of solutions
 System.out.println(queens(8).count());
 - Print all solutions
 queens(8).forEach(System.out::println);
 - Print an arbitrary solution (if there is one)
 System.out.println(queens(8).findAny());
 - Print the 20 first solutions
 queens(8).limit(20).forEach(System.out::println);
- Much harder in an imperative version
- Separation of concerns (Dijkstra): production of solutions versus consumption of solutions

Example174.java

Streams for quasi-infinite sequences

- van der Corput numbers
 - 1/2, 1/4, 3/4, 1/8, 5/8, 3/8, 7/8, 1/16, ...
 - Dense and uniform in interval [0, 1]
 - For simulation and finance, Black-Scholes options
- Trick: vd Corput numbers as base-2 fractions
 0.1, 0.01, 0.11, 0.001, 0.101, 0.011, 0.111, ...
 are bit-reversals of 1, 2, 3, 4, 5, 6, 7, ... in binary

```
public static DoubleStream vanDerCorput() {
   return IntStream.range(1, 31).asDoubleStream()
        .flatMap(b -> bitReversedRange((int)b));
}

private static DoubleStream bitReversedRange(int b) {
   final long bp = Math.round(Math.pow(2, b));
   return LongStream.range(bp/2, bp)
        .mapToDouble(i -> (double)(bitReverse((int)i) >>> (32-b)) / bp);
}
```

Java 8 stream properties

- Some stream dimensions
 - Finite versus infinite
 - Lazily generated (by iterate, generate, ...)
 versus eagerly generated (stream builders)
 - Ordered (map, filter, limit ... preserve element order) versus unordered
 - Sequential (all elements processed on one thread) versus parallel
- Java streams
 - can be lazily generated, like Haskell lists
 - but are use-once, unlike Haskell lists
 - reduces risk of space leaks
 - limits expressiveness, harder to compute average ...

How are Java streams implemented?

Spliterators

```
interface Spliterator<T> {
  long estimateSize();
  void forEachRemaining(Consumer<T> action);
  boolean tryAdvance(Consumer<T> action);
  void Spliterator<T> trySplit();
}
```

Many method calls, well inlined/fused by the JIT

Parallelization

- Divide stream into chunks using trySplit
- Process each chunk in a Java task (Haskell "spark")
- Run on thread pool using work-stealing queues
- ... thus similar to Haskell parBuffer/parListChunk

Example25.java

Parallel (functional) array operations

- Simulating random motion on a line
 - Take n random steps of length at most [-1, +1]:

- Compute the positions at end of each step: a[0], a[0]+a[1], a[0]+a[1]+a[2], ...

```
Arrays.parallelPrefix(a, (x,y) -> x+y);
```

NB: Updates array a

- Find the maximal absolute distance from start:

- A lot done, fast, without loops or assignments
 - Just arrays and streams and functions

Array and streams and parallel ...

Associative array aggregation

```
Arrays.parallelPrefix(a, (x,y) -> x+y);
```

- Such operations can be parallelized well
 - So-called *prefix scans* (Blelloch 1990)
- Streams and arrays complement each other:
- Streams: lazy, possibly infinite, non-materialized, use-once, parallel pipelines
- Arrays: eager, always finite, materialized, use-many-times, parallel prefix scans

Some problems with Java streams

- Streams are use-once & have other restrictions
 - Probably to permit easy parallelization
- Hard to create lazy finite streams
 - Probably to allow simple high-performance implementation
- Difficult to control resource consumption
- A single side-effect may mess all up completely
- Sometimes .parallel() hurts performance a lot
 - See exercise
 - And strange behavior, in parallel + limit in Sudoku generator
- Laziness in Java easily goes wrong, try-with-resource:

2P

A multicore performance mystery

K-means clustering 2P: Assign – Update –
 Assign – Update … till convergence

```
Pseudocode
while (!converged) {
                                                                           TestKMeansSolution.java
  let taskCount parallel tasks do {
                                                      Assign
    final int from = \ldots, to = \ldots;
    for (int pi=from; pi<to; pi++)</pre>
      myCluster[pi] = closest(points[pi], clusters);
  let taskCount parallel tasks do {
                                                     Update
    final int from = \ldots, to = \ldots;
    for (int pi=from; pi<to; pi++)</pre>
      myCluster[pi].addToMean(points[pi]);
                                                              Imperative
```

- Assign: writes a point to myCluster[pi]
- Update: calls addToMean on myCluster[pi]

A multicore performance mystery

- "Improved" version 2Q:
 - call addToMean directly on point
 - instead of first writing it to myCluster array

```
while (!converged) {
   let taskCount parallel tasks do {
     final int from = ..., to = ...;
     for (int pi=from; pi<to; pi++)
        closest(points[pi], clusters).addToMean(points[pi]);
   }
   ...
}</pre>
```

Performance of k-means clustering

Sequential: as you would expect, 5% speedup

Parallel: surprisingly bad! "Improved"

| | 2P | 2Q | Ratio 2Q/2P | |
|--|-------|-------|-------------|------|
| Sequential | 4.240 | 4.019 | 0.95 | Bad |
| 4-core parallel i7 | 1.310 | 2.234 | 1.70 | |
| 24-core parallel Xeon | 0.852 | 6.587 | 7.70 | Very |
| Time in seconds for 200 000 points 81 clusters 1/8/48 tasks 108 iterations | | | | |

onas for 200,000 points, 81 clusters, 1/8/48 tasks, 108 iterations

- Q: WHY is the "improved" code slower?
- A: Cache invalidation and false sharing

The Point and Cluster classes

```
class Point {
  public final double x, y;
}
```

```
static class Cluster extends ClusterBase {
  private volatile Point mean;
  private double sumx, sumy;
  private int count;
  public synchronized void addToMean(Point p) {
    sumx += p.x;
    sumy += p.y;
    count++;
  }
  ...
}
```

mean sumx sumy count

Cluster object layout (maybe)

Parallel streams to the rescue, 3P 3P

```
while (!converged) {
  final Cluster[] clustersLocal = clusters;
  Map<Cluster, List<Point>> groups =
                                                                    Assign
    Arrays.stream(points).parallel()
          .collect(Collectors.groupingBy(p -> closest(p,clustersLocal)));
  clusters = groups.entrySet().stream().parallel()
    .map(kv -> new Cluster(kv.getKey().getMean(), kv.getValue()))
    .toArray(Cluster[]::new);
  Cluster[] newClusters =
                                                                   Update
    Arrays.stream(clusters).parallel()
          .map(Cluster::computeMean).toArray(Cluster[]::new);
  converged = Arrays.equals(clusters, newClusters);
  clusters = newClusters;
                                                                 Functional
```

| | 2P | 2Q | 3P stream |
|-----------------------|-------|-------|-----------|
| Sequential | 4.240 | 4.019 | 5.353 |
| 4-core parallel i7 | 1.310 | 2.234 | 1.350 |
| 24-core parallel Xeon | 0.852 | 6.587 | 0.553 |



Time in seconds for 200,000 points, 81 clusters, 1/8/48 tasks, 108 iterations

Mutable and/or shared memory

- Mutable: Data can be updated, reassigned
- Shared: Data can be accessed from two threads

| | Shared | Unshared |
|-----------|---|--|
| Mutable | Imperative: C, Java,; threads, locks, semaphore | Message passing: Erlang, Scala Akka |
| Immutable | Functional: Haskell, F#, Java parallel func. streams | |

Languages, techniques

| | Shared | Unshared |
|-----------|----------------------------|----------|
| Mutable | Difficult, race conditions | Easy |
| Immutable | Easy | Easy |

Conceptual difficulty

| | Shared | Unshared |
|-----------|--|----------------------|
| Mutable | Slow (cache states $M \leftrightarrow I$) | Fast (cache state M) |
| Immutable | Fast (cache state S) | Fast (cache state E) |

MESI cache hardware

Materials

Reading

- Java Precisely 3rd ed. § 11.13, 11.14, 23, 24, 25
- Optional:
 - http://www.itu.dk/people/sestoft/papers/benchmarking.pdf
 - http://www.itu.dk/people/sestoft/papers/cpucache-20170319.pdf

"A multicore performance mystery"

Exercises

- Extend immutable list class with functional programming; use parallel array operations; use streams of words and streams of numbers
- Alternatively: Make a faster and more scalable kmeans clustering implementation, if possible, in any language