"How much is in a square?" An appraisal of relational thinking

IFIP WG2.1 meeting #81

Kloster Neustadt, Germany, 4th April 2024

J.N. OLIVEIRA



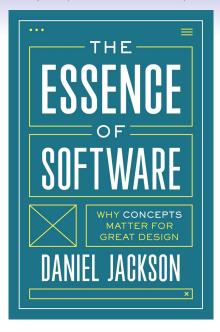
University of Minho & INESC TEC

Ageing

Quote from *Du und die Musik. Eine Einführung für alle Musikfreunde* by Frederich Herzfeld, Berlin, 1951:

"The young are to the content what the old are to the continent".

More and more interested in simple patterns (shapes, "continents") easy to use and communicate to a wider audience.





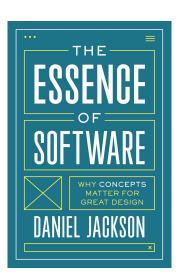
The Essence of Software

"(...) The best services revolve around a small number of concepts that are well designed and easy (...) to understand and use, and their innovations often involve simple but compelling new concepts."



The Essence of Software

- ... small number
- ... well defined
- ... easy to understand
- ... easy to use





Freyd & Ščedrov, 1990

"(...) A special feature of our approach is a general **calculus of relations** presented in part two.

This calculus offers another, **often more amenable** framework for concepts and methods discussed in part one."

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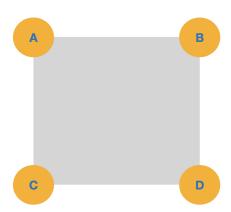
Categories, Allegories

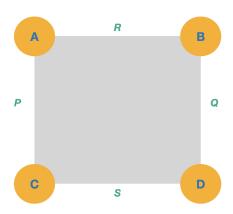
PETER J. FREYD

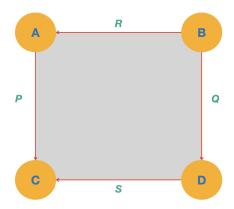


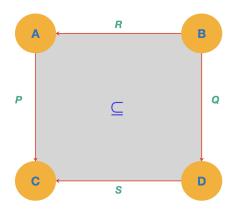
Squares

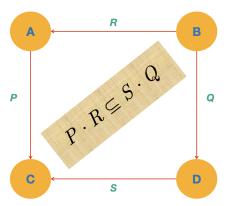












Four binary relations:

$$\begin{array}{ccc}
A & \xrightarrow{R} & B \\
P \downarrow & \subseteq & \downarrow Q & P \cdot R \subseteq S \cdot Q \\
C & \longleftarrow & D
\end{array} \tag{1}$$

Terminology:

R — pre-producer

P — pre-consumer

Q — post-producer

5 — post-consumer

Pointfree:



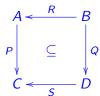
$$P \cdot R \subseteq S \cdot Q$$

Pointwise:

$$\exists \qquad a \qquad \qquad d$$

$$P \cdot R \Rightarrow S \cdot Q$$

Pointfree:



$$P \cdot R \subseteq S \cdot Q$$

Pointwise:

$$\exists \qquad a \qquad d \qquad d$$

$$P \cdot R \Rightarrow S \cdot Q$$

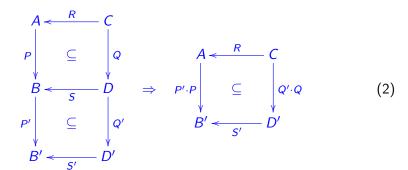
1	14	14	4
11	7	6	9
8	10	10	5
13	2	3	15

Perhaps not what you were expecting...

... but we can do a lot with

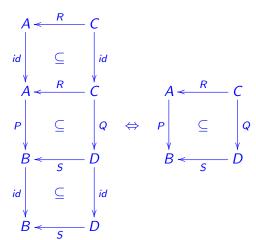
$$\begin{array}{c|c}
A & \xrightarrow{R} & B \\
\downarrow & & & \downarrow \\
C & \longleftarrow & D
\end{array}$$

Vertical composition



Horizontal composition

Identity



(Similarly for horizontal.)



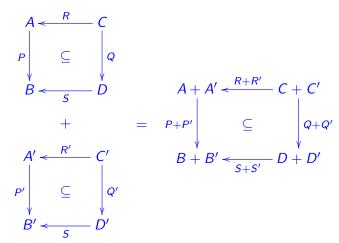
Converse



The converse of a square is its "passive voice"



Square (direct) sums



Functorial squares

Functor **F**:

$$\begin{array}{ccccc}
A & \xrightarrow{R} & C & & \mathbb{F} A & \xrightarrow{\mathbb{F} R} & \mathbb{F} C \\
P & \subseteq & & Q & \Rightarrow & \mathbb{F} P & \subseteq & & \mathbb{F} Q \\
B & \xrightarrow{S} & D & & \mathbb{F} B & \xrightarrow{\mathbb{F} S} & \mathbb{F} D
\end{array}$$

F should be monotonic and preserve converses — a **relator** (Backhouse and Backhouse, 2004).

Some relations f fit into the following squares:

Left square:
$$\langle \forall a :: \langle \exists b :: b f a \rangle \rangle$$
 f is **total**.

Right square:
$$\langle \forall b, b' :: \langle \exists a :: b f a \wedge b' f a \rangle \Rightarrow (b = b') \rangle$$

f is univocal.

Such relations f are called functions

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Let f be a **function**. Then:



This the **shunting** rule

$$f \cdot R \subseteq Q \quad \Leftrightarrow \quad R \subseteq f^{\circ} \cdot Q \tag{5}$$

which, by taking converses, becomes:

$$R \cdot f^{\circ} \subseteq Q \Leftrightarrow R \subseteq Q \cdot f$$
 (6)

"Nice" rules about functions

(Functional) equality:

$$f \subseteq g \Leftrightarrow f = g \Leftrightarrow g \subseteq f$$
 (7)

Existential quantifiers go away:

$$b(f^{\circ} \cdot R \cdot g) a \Leftrightarrow (f b) R(g a)$$
 (8)

$$B \xrightarrow{f} C \xleftarrow{R} D \xleftarrow{g} A$$







A very common square with 2 functions:

$$\begin{array}{ccc}
A & \xrightarrow{R} & B \\
\downarrow f & \subseteq & \downarrow g & f \cdot R \subseteq S \cdot g \\
C & \longleftarrow & D
\end{array} \tag{9}$$

This square captures a **higher-order relation** on functions:

$$f S^R g \Leftrightarrow f \cdot R \subseteq S \cdot g \tag{10}$$

In words:

"R-related inputs are mapped to S-related outputs".

Let
$$R := id$$
, $S := (\leqslant)$:
$$A \xleftarrow{id} A$$

$$f \downarrow \subseteq \downarrow g$$

$$C \xleftarrow{(\leqslant)} D$$

$$f \subseteq (\leqslant) \cdot g$$

This square captures the (\leq) -pointwise-ordering of functions:

$$f (\leqslant)^{id} g \Leftrightarrow \langle \forall a :: f a \leqslant g a \rangle$$

In words:

"The same input is mapped to (\leq) -related outputs".

"Higher-order" squares

Because of their role in *free theorems*, these squares will be referred to as **Reynolds squares**:

$$A \stackrel{R}{\longleftarrow} B$$

$$f \downarrow \subseteq \qquad \downarrow g \quad \text{that is to say,} \qquad A \stackrel{R}{\longleftarrow} B$$

$$C \stackrel{S}{\longleftarrow} D$$

$$C^{A} \stackrel{S^{R}}{\longleftarrow} D^{B}$$

Thus one is lead to **relational exponentials** S^R such that e.g.

$$(S^R)^{\circ} = (S^{\circ})^{(R^{\circ})} \tag{11}$$

$$id^{id} = id (12)$$

etc. **NB**: We often write $S \leftarrow R$ or $R \rightarrow S$ instead of S^R when exponents get too nested.

"Higher-order" squares

Functions-only Reynolds squares:

$$f(h \to k) g \Leftrightarrow f \cdot h = k \cdot g \tag{13}$$

In case of h° instead of h,

$$f(h^{\circ} \to k) g \Leftrightarrow f \cdot h^{\circ} \subseteq k \cdot g \tag{14}$$

we get a **higher-order function** (via shunting + equality):

$$(h^{\circ} \to k) g = k \cdot g \cdot h \tag{15}$$

Then:

$$(id \to k) g = k \cdot g \tag{16}$$

$$(h^{\circ} \to id) g = g \cdot h \tag{17}$$

cf. covariant and contravariant exponentials.



"Higher-order" squares

In fully pointfree notation, the exponentials (16,17) become

$$k^{id} = (k \cdot)$$

 $id^{(h^{\circ})} = (\cdot h)$

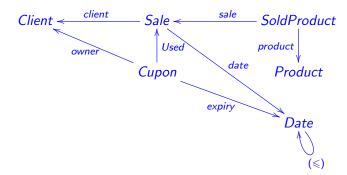
Then, by (11):

$$id^h = (\cdot h)^{\circ} \tag{18}$$

and so and so forth (very rich construction!).

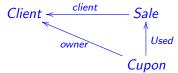
Prosaic applications

"Chase" the squares:

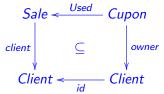


"Chase" the squares

Pick



and try orienting it:



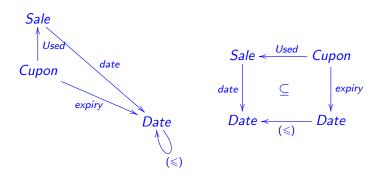
Indeed:

Coupons can only be used by clients who own them.



"Chase" the squares

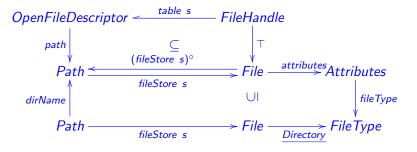
Now this one:



Coupons cannot be used beyond their expiry date.

Formal modelling

Model invariants as squares, cf. e.g.



(Verified File System (VFS) case study in (Oliveira and Ferreira, 2013).)

Higher-order Reynolds squares

Exponential relations S^R can involve other exponentials, for instance $(S^Q)^R$ i.e. $R \to S^Q$:

$$A \xleftarrow{R} B$$

$$f \downarrow \subseteq \downarrow g \qquad f(R \to S^Q) g$$

$$X^C \xleftarrow{S^Q} Y^D$$

Let us unfold this, assuming all fresh variables universally quantified:

Higher-order Reynolds squares

```
f(R \to S^Q) g
                                                                           (19)
\Leftrightarrow { Reynolds square (9) }
      f \cdot R \subset S^Q \cdot g
            { shunting (5) followed by "nice rule" (8) }
     a R b \Rightarrow (f a) S^Q (g b)
            { (9) again }
      a R b \Rightarrow ((f a) \cdot Q \subseteq S \cdot (g b))
            \{ (5) followed by (8) again \}
      a R b \Rightarrow c Q d \Rightarrow (f a c) S (g b d)
                                                                           (20)
```

Relational types

 $S^R \cap id$ captures all Reynolds squares (9) in which f = g:

$$\begin{array}{cccc}
A & \xrightarrow{R} & A \\
f & \subseteq & \downarrow f & f \cdot R \subseteq S \cdot f \\
C & \longleftarrow & C
\end{array} \tag{21}$$

In this case we often abbreviate $f(R \to S) f$ to $f: R \to S$, meaning that f has **relational type** $R \to S$.

Note how type variables A and C in $f : A \to C$ are straightforwardly replaced by relations R and S in $f : R \to S$.

🎏 types "are" relations (Voigtländer, 2019).

Category

Objects — binary relations *R*, *S*, ...

Morphisms — $R \xrightarrow{f} S$ as above (21)

 \nearrow This category is named Rel_2 in (Plotkin et al., 2000).

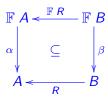
Relational type $R \to S$ corresponds to the homset Rel_2 (R, S).

 Rel_2 is Cartesian closed, meaning that homset $R \to Q^S$ is, by uncurrying, isomorphic to $R \times S \to Q$.

NB: "Tensor" product: (y,x) $(R \times S)$ $(b,a) \Leftrightarrow y R b \wedge x S a$.

Algebraic squares

Let $f, g := \alpha, \beta$ in a Reynolds square, where α and β are \mathbb{F} -algebras:



In a succint way, the square tells that R is a **logical relation** from α to β .

Compare with:

Definition 2.2. Given a signature Σ and two models, M and N, of the language L generated by Σ , a (binary) logical relation from M to N consists of, for each type σ of L, a relation $R_{\sigma} \subseteq M_{\sigma} \times N_{\sigma}$ such that

- for all f ∈ M_{σ→τ} and g ∈ N_{σ→τ}, we have f R_{σ→τ} g if and only if for all x ∈ M_σ and y ∈ N_σ, if x R_σ y then f(x) R_τ g(y);
- for all (x₀, x₁) ∈ M_{σ×τ} and (y₀, y₁) ∈ N_{σ×τ}, we have (x₀, x₁) R_{σ×τ} (y₀, y₁) if and only if x₀ R_σ y₀ and x₁ R_τ y₁;
 * R₁ *:
- M(c) R_σ N(c) for every constant c in Σ of type σ.

(Plotkin et al. (2000) 'Lax Logical Relations', ICALP 2000: 85-102)

Algebraic squares

In case R is a function h (R := h),

$$\begin{bmatrix}
\mathbb{F} & A & \stackrel{\mathbb{F} & h}{\longleftarrow} & \mathbb{F} & B \\
\alpha \downarrow & = & \downarrow \beta \\
B & \stackrel{h}{\longleftarrow} & A
\end{bmatrix}$$

the square means

$$\alpha \cdot \mathbb{F} \ h = h \cdot \beta$$

by (7) and h is said to be a \mathbb{F} -homomorphism.

Coalgebraic squares

Let $f,g:=\gamma,\phi$ in a Reynolds square, where γ and ϕ are \mathbb{F} -coalgebras:

$$\begin{array}{c|c}
A & \xrightarrow{R} & B \\
\gamma & \subseteq & \phi \\
\mathbb{F} & A & \xrightarrow{\mathbb{F} & R} & \mathbb{F} & B
\end{array}$$

R is said to be a **bisimulation** between the two coalgebras, meaning:

$$\langle \forall a, b : a R b : (\gamma a) (\mathbb{F} R) (\phi b) \rangle$$

Very special squares

Assume two preorders (\sqsubseteq) and (\leqslant) in:

$$A \stackrel{(\sqsubseteq)}{\longleftarrow} A$$

$$f^{\circ} \middle| = \middle| g$$

$$B \stackrel{(\leqslant)}{\longleftarrow} B$$

$$f^{\circ} \cdot (\sqsubseteq) = (\leqslant) \cdot g$$

$$f b \sqsubseteq a \Leftrightarrow b \leqslant g a \quad (22)$$

In this very special situation, f and g in



are said to be **Galois connected** (GC) and we write

$$f \vdash g$$
 (23)

Very special squares

Assume two preorders (\sqsubseteq) and (\leqslant) in:

$$A \overset{(\sqsubseteq)}{\longleftarrow} A$$

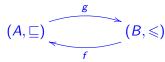
$$f^{\circ} \downarrow \qquad = \qquad \downarrow g$$

$$B \overset{(\leqslant)}{\longleftarrow} B$$

$$f^{\circ} \cdot (\sqsubseteq) = (\leqslant) \cdot g$$

$$f b \sqsubseteq a \Leftrightarrow b \leqslant g a \quad (22)$$

In this very special situation, f and g in



are said to be **Galois connected** (GC) and we write

$$f \vdash g$$
 (23)

Let a parametric function $f : \mathbb{F} X \to \mathbb{G} X$ be given.

Its **free theorem** states that **f** has **relational type**

$$f: \mathbb{F} R \to \mathbb{G} R$$
 (24)

for any *R* relating its parameters, as shown in the corresponding square:

$$\mathbb{F} A \overset{\mathbb{F} R}{\longleftarrow} \mathbb{F} B$$

$$f \downarrow \qquad \qquad \qquad \downarrow f$$

$$\mathbb{G} A \overset{\mathbb{G} R}{\longleftarrow} \mathbb{G} B$$

This extends to multi-parametric f, as shown next.

Example: Haskell constant function const : $a \rightarrow b \rightarrow a$, that is const : $A \rightarrow A^B$.

By (24), const has relational type $R \to R^S$, that is:

$$A \leftarrow R \qquad C$$

$$const \qquad \subseteq \qquad const \qquad const \cdot R \subseteq R^{S} \cdot const \qquad (25)$$

$$A^{B} \leftarrow C^{D}$$

Pointwise equivalent, recall (19,20)

$$a R c \Rightarrow b S d \Rightarrow (\text{const } a b) R (\text{const } c d)$$

for all a, b, c, d

Example: Haskell constant function const : $a \rightarrow b \rightarrow a$, that is const : $A \rightarrow A^B$.

By (24), const has relational type $R \to R^S$, that is:

$$A \leftarrow \frac{R}{C}$$

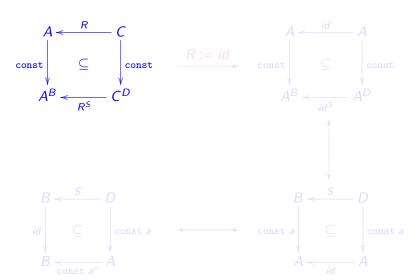
$$const \downarrow \subseteq \int_{const} const \cdot R \subseteq R^{S} \cdot const \qquad (25)$$

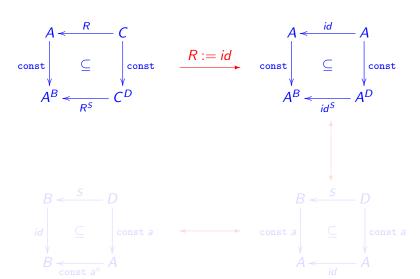
$$A^{B} \leftarrow \frac{C^{D}}{R^{S}} C^{D}$$

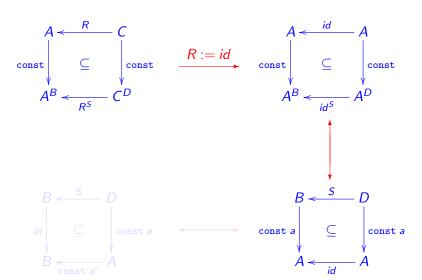
Pointwise equivalent, recall (19,20):

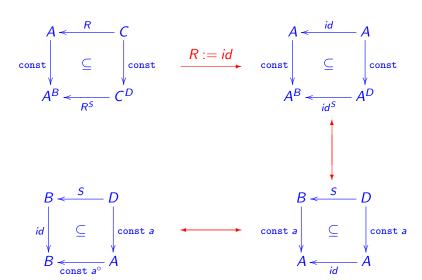
$$a R c \Rightarrow b S d \Rightarrow (\text{const } a b) R (\text{const } c d)$$

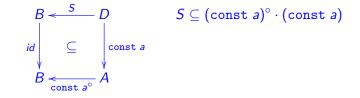
for all a, b, c, d:











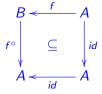
Thus $(const a)^{\circ} \cdot const a$ is the largest posible S, i.e. the **top** relation \top :

$$(\operatorname{const} a)^{\circ} \cdot (\operatorname{const} a) = \top \tag{26}$$

Thus no other function can be less injective than const a.

On Injectivity

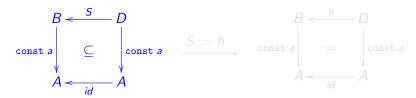
Injective functions fit in the following square:



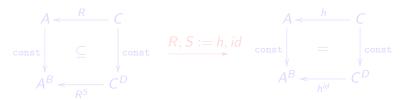
 $f^{\circ} \cdot f$ is the **kernel** of f.

The kernel $f^{\circ} \cdot f$ of a function tells whow **injective** a function is.

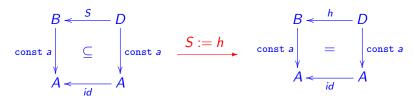
The larger the kernel the **least injective** a function is.



const $a \cdot h = \text{const } a$



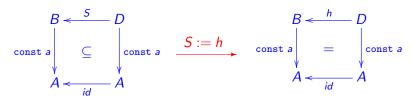
$$h \cdot (\text{const } a) = \text{const } (h \ a)$$



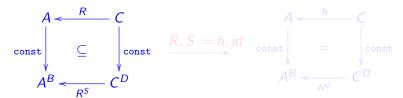
const $a \cdot h = \text{const } a$



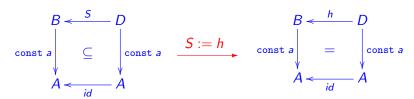
$$h \cdot (\text{const } a) = \text{const } (h \ a)$$



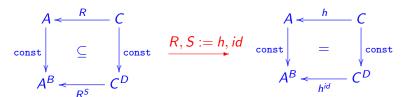
const $a \cdot h = \text{const } a$



$$h \cdot (\text{const } a) = \text{const } (h \ a)$$



const $a \cdot h = \text{const } a$



$$h \cdot (\text{const } a) = \text{const } (h \ a)$$

Example:

flip::
$$(a \to b \to c) \to b \to a \to c$$
 (27)

Free theorem: flip: $Q^{SR} \rightarrow Q^{RS}$, i.e.

$$g\left(R o Q^S\right)f \ \Rightarrow \ (\mathtt{flip}\,g)\left(S o Q^R\right)\left(\mathtt{flip}\,f\right)$$

that is:

$$\begin{array}{cccc}
A & \xrightarrow{R} & X & B & \xrightarrow{S} & Y \\
\downarrow g & \subseteq & \downarrow f & \Rightarrow & \widetilde{g} & \subseteq & \downarrow \widetilde{f} \\
C^{B} & \xrightarrow{Q^{S}} & Z^{Y} & C^{A} & \xrightarrow{Q^{R}} & Z^{X}
\end{array} \tag{28}$$

Notation: \tilde{f} abbreviates flip f where convenient.

For Q := id, S := id and R := r (a function):

$$f(r \to id) g \Rightarrow \widetilde{f}(id \to id^r) \widetilde{g}$$

$$\Leftrightarrow \qquad \{ (13); (16) \}$$

$$f \cdot r = g \Rightarrow \widetilde{f} \subseteq id^r \cdot \widetilde{g}$$

$$\Leftrightarrow \qquad \{ id^r = (\cdot r)^\circ (18) ; \text{substitution of } g; \text{shunting } (5) \}$$

$$(\cdot r) \cdot \widetilde{f} = \widetilde{f \cdot r}$$

This is the **fusion-law** of *flipping* — here obtained more directly than through an **adjunction**, as in e.g. (Oliveira, 2020).

Since **types** are (higher-order) **squares**...

... "how much is in a type"?

Quite a lot.

As we shall see by handling the types of the following functions:

foldl :: Foldable
$$t \Rightarrow (b \rightarrow a \rightarrow b) \rightarrow b \rightarrow t \ a \rightarrow b$$

foldr :: Foldable $t \Rightarrow (a \rightarrow b \rightarrow b) \rightarrow b \rightarrow t \ a \rightarrow b$ (29)

(Hackage's Data.Foldable)

foldI and foldr squares

Relational types (for \mathbb{T} in the **Foldable** class):

$$\mathbf{foldl}: (S \to S^R) \to (S \to S^{\mathbb{T} R}) \tag{30}$$

$$\mathbf{foldr}: (R \to S^S) \to (S \to S^{\mathbb{T} R}) \tag{31}$$

As seen above:

- Two squares in each type.
- The left one is a side-condition for the right one to hold.

foldI squares

The squares of

foldl :
$$(S \to S^R) \to (S \to S^{\mathbb{T} R})$$

are:

foldl squares

For R, S := id, h (hence X = A), both $S^{\mathbb{T}} R$ and S^R reduce to $(h \cdot)$ by $\mathbb{T} id = id$ and (16).

So the squares become equalities:

$$B \stackrel{h}{\longleftarrow} Y \qquad B \stackrel{h}{\longleftarrow} Y$$

$$g \downarrow \qquad \downarrow f \qquad \Rightarrow \quad \text{foldl } g \downarrow \qquad \qquad \downarrow \text{foldl } f$$

$$B^{A} \stackrel{h}{\longleftarrow} Y^{A} \qquad B^{\mathbb{T} A} \stackrel{h}{\longleftarrow} Y^{\mathbb{T} A}$$

Pointwise:

$$h(f y x) = g(h y) x \Rightarrow h(\text{foldl } f y xs) = \text{foldl } g(h y) xs$$

Fusion law of **foldl** proved in (Bird and Gibbons, 2020) for finite lists.

foldr

Repeating the above for foldr (31):

Same right square as in (32), but the side-condition square is different:

$$g \cdot R \subseteq S^S \cdot f$$

foldr squares

For R, S := id, h we get

$$\begin{array}{cccc}
A & \stackrel{id}{\longleftarrow} A & & B & \stackrel{h}{\longleftarrow} Y \\
g \downarrow & \downarrow f & \Rightarrow & \text{foldr } g \downarrow & & \downarrow \text{foldr } f \\
B^B & \stackrel{h}{\longleftarrow} Y^Y & & B^{\mathbb{T} A} & & & \downarrow f \\
\end{array}$$

where the side-condition square unfolds to:

$$g (id \rightarrow h^{h}) f$$

$$\Leftrightarrow \{ (11) \}$$

$$(g x) h^{h} (f x)$$

$$\Leftrightarrow \{ (13) \}$$

$$(g x) \cdot h = h \cdot (f x)$$

foldr squares

Altogether, one gets:

$$\begin{array}{cccc}
B & \stackrel{h}{\leftarrow} & Y & & B & \stackrel{h}{\leftarrow} & Y \\
g \times \downarrow & & \downarrow f \times & \Rightarrow & \text{foldr } g \downarrow & & \downarrow & \text{foldr } f \\
B & \stackrel{h}{\leftarrow} & Y & & B^{\mathbb{T} A} & \stackrel{(h \cdot)}{\leftarrow} & Y^{\mathbb{T} A}
\end{array}$$

that is:

$$(g \times f) \cdot h = h \cdot (f \times f) \Rightarrow \text{foldr } g \cdot h = (h \cdot f) \cdot \text{foldr } f$$
 (34)

Going fully pointwise,

$$g \times (h y) = h (f \times y) \Rightarrow h (foldr f e \times s) = foldr g (h e) \times s (35)$$

foldr-fusion law proved in (Bird and Gibbons, 2020) for finite lists.

Permutativity squares

Let f and g be the same function in (34), say s, and h := s a

$$\begin{array}{cccc}
B \stackrel{s \ a}{\leftarrow} B \\
s \times \downarrow & \downarrow s \times & \Rightarrow & \text{foldr } s \downarrow & \downarrow \text{foldr } s \\
B \stackrel{s}{\leftarrow} B & & & & B^{\mathbb{T} A} \stackrel{s}{\leftarrow} B^{\mathbb{T} A}
\end{array}$$

Then (34) becomes:

$$(s \times) \cdot (s \ a) = (s \ a) \cdot (s \times) \Rightarrow \text{foldr } s \cdot (s \ a) = (s \ a \cdot) \cdot \text{foldr } s \quad (36)$$

Property

$$(s x) \cdot (s a) = (s a) \cdot (s x) \tag{37}$$

is called (left) permutativity in (Danvy, 2023).

If s is associative and commutative then it is permutative.

Is foldI equal to foldr?

Looking at

foldl :: Foldable
$$t \Rightarrow (b \rightarrow a \rightarrow b) \rightarrow b \rightarrow t \ a \rightarrow b$$

foldr :: Foldable $t \Rightarrow (a \rightarrow b \rightarrow b) \rightarrow b \rightarrow t \ a \rightarrow b$

the *type-wise distance* between **foldr** and **foldl** is the flip (27) of the first parameter.

So the "best fit" one can aim at is

$$foldl f \stackrel{?}{=} foldr \stackrel{\sim}{f}$$
 (38)

possibly valid for a (as wide as possible) class of functions f and instances of class *Foldable*.

But... no law relating both

Free theorems only relate pairs of folds, e.g. in (35):

$$g \times (h y) = h (f \times y) \Rightarrow h (foldr f e \times s) = foldr g (h e) \times s$$

Perhaps a universal property could be found?

For this we need to get rid of one of the foldr.

One way is to assume that, for some α and γ ,

holds. Then $(f, e := \alpha, \gamma)$:

$$g \times (h y) = h (\alpha \times y) \Rightarrow h \times s =$$
foldr $g (h \gamma) \times s =$ foldr g

But... no law relating both

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$$g \times (h y) = h (\alpha \times y) \Rightarrow h \times s =$$
foldr $g (h \gamma) \times s$

Towards foldr-universal

Let us introduce $z = h \gamma$ and drop xs:

$$\begin{cases} h \gamma = z \\ h (\alpha \times y) = g \times (h y) \end{cases} \Rightarrow h = \text{foldr } g z$$
 (40)

So, **foldr** g z is the unique solution for h to the equations

$$\begin{cases} h \gamma = z \\ h (\alpha x y) = g x (h y) \end{cases}$$

Substituting this solution in the equations we get a definition for **foldr**:

$$\begin{cases} \text{ foldr } g \ z \ \gamma = z \\ \text{ foldr } g \ z \ (\alpha \times y) = g \times (\text{foldr } g \ z \ y) \end{cases}$$
 (41)

Towards foldr-universal

Moreover, this definition is mathematically equivalent to (just replace h by **foldr** g z and simplify):

$$h = \mathbf{foldr} \ g \ z \quad \Rightarrow \quad \left\{ \begin{array}{l} h \ \gamma = z \\ h \ (\alpha \times y) = g \times (h \ y) \end{array} \right. \tag{42}$$

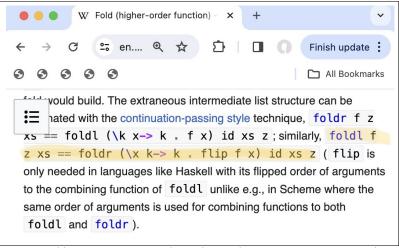
Altogether, (40) and (42) make up a universal property:

$$h = \mathbf{foldr} \ g \ z \quad \Leftrightarrow \quad \left\{ \begin{array}{l} h \ \gamma = z \\ h \ (\alpha \ x \ xs) = g \ x \ (h \ xs) \end{array} \right. \tag{43}$$

(For lists, we can easily identify $\gamma = []$ and $\alpha \times xs = x : xs.)$

What about fold!?

Wikipedia



https://en.wikipedia.org/wiki/Fold_(higher-order_function)

Wikipedia

That is,

$$\overbrace{\text{foldI } f} = \text{foldr } (\lambda x \ k \to k \cdot \widetilde{f} \ x) \ id \tag{44}$$

or

$$\overbrace{\text{foldI } f} = \operatorname{foldr} (\theta \ f) \ id
\text{where } (\theta \ f) \times k = k \cdot (\widetilde{f} \times)$$
(45)

cf. the square

$$B^{B} \stackrel{\theta f}{\longleftarrow} A$$

$$(\cdot k) \downarrow \qquad \qquad \downarrow \tilde{f}$$

$$B^{B} \stackrel{(k)}{\longleftarrow} B^{B}$$

$$(46)$$

Ok?

Let us unfold (45) via universal property (43):

```
foldl f = foldr (\theta \ f) id
        { universal-foldr (43) }
{ definition of \theta (46) }
\begin{cases} \mathbf{foldl} \ f \ \gamma = id \\ \mathbf{foldl} \ f \ (\alpha \ x \ xs) = \mathbf{foldl} \ f \ xs \ (\mathring{f} \ x \ z) \end{cases}
         \{ go pointwise on z and unfold the flips \}
\begin{cases} \text{ foldl } f \ z \ \gamma = z \\ \text{ foldl } f \ z \ (\alpha \ x \ xs) = \text{foldl } f \ (f \ z \ x) \ xs \end{cases}
```

Universal-foldI

An advantage of defining **foldl** "as a **foldr**" (45) is that the universal property of the latter induces the universal property of the former:

```
k = \textbf{foldI} \ f
\Leftrightarrow \qquad \left\{ \begin{array}{l} \textbf{foldI} \ f = \textbf{foldr} \ (\theta \ f) \ id \ (45) \ ; \ \textbf{flipping} \ \right\}
\overset{\sim}{k} = \textbf{foldr} \ \theta \ f \ id
\Leftrightarrow \qquad \left\{ \begin{array}{l} \textbf{universal-foldr} \ (43) \ \text{etc} \ \right\}
\begin{cases} \overset{\sim}{k} \ \gamma = id \\ \overset{\sim}{k} \ (\alpha \ x \ xs) = (\theta \ f) \ x \ (\overset{\sim}{k} \ xs) \end{cases}
```

Universal-foldI

```
{ introduce z and flip }
\begin{cases} k \ z \ \gamma = z \\ k \ z \ (\alpha \ x \ xs) = (\theta \ f) \ x \ (\overset{\sim}{k} \ xs) \ z \end{cases}
           \{ (\theta f) \times g = g \cdot (\widetilde{f} \times) (46) \}
\begin{cases} k z \gamma = z \\ k z (\alpha x xs) = \overset{\sim}{k} xs (f z x) \end{cases}
           { flipping }
\begin{cases} k z \gamma = z \\ k z (\alpha x xs) = k (f z x) xs \end{cases}
```

Universal-foldl

Thus we get the universal-property of **foldl**:

$$k = \text{foldl } f \Leftrightarrow \begin{cases} k \ z \ \gamma = z \\ k \ z \ (\alpha \times xs) = k \ (f \ z \times x) \times s \end{cases}$$
 (47)

Ok — now we know something else about foldl and foldr.

Let us then address the question (38) that motivated this case-study:

Under what conditions does fold $f = \text{foldr } \tilde{f} \text{ hold?}$

Universal-foldl

Thus we get the universal-property of **foldl**:

$$k = \text{foldl } f \Leftrightarrow \begin{cases} k \ z \ \gamma = z \\ k \ z \ (\alpha \times xs) = k \ (f \ z \ x) \ xs \end{cases}$$
 (47)

Ok — now we know something else about foldl and foldr.

Let us then address the question (38) that motivated this case-study:

Under what conditions does fold f = foldr f hold?

Equating foldl and foldr

The popular assumption is that **foldl** f e and **foldr** \tilde{f} e compute the same output for f associative and e its **unit**, see e.g. exercise 1.10 of (Bird and Gibbons, 2020).

```
However, we have that, for instance (\div is div),
```

```
foldI (\div) 100000 [99, 2, 7] = 72 = foldr (\widecheck{\div}) 100000 [99, 2, 7] foldI (\div) 10000 [99, 2, 7] = 7 = foldr (\widecheck{\div}) 10000 [99, 2, 7]
```

and yet

- the other parameter can be any number.

How do we explain this and similar examples?

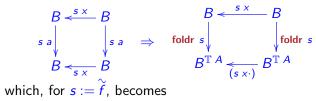
Equating foldl and foldr

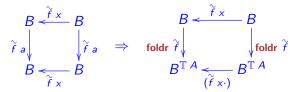
We can use **foldl**-universal (47) to find an answer:

```
foldl f = \text{foldr } \hat{f}
⇔ { (47) }
              \begin{cases} \mathbf{foldr} \stackrel{\sim}{f} z \gamma = z \\ \mathbf{foldr} \stackrel{\sim}{f} z (\alpha \times ss) = \mathbf{foldr} \stackrel{\sim}{f} (f z \times s) \times s \end{cases}
\Leftrightarrow { flipping f z x }
              \begin{cases} \mathbf{foldr} \stackrel{\sim}{f} z \ \gamma = z \\ \mathbf{foldr} \stackrel{\sim}{f} z \ (\alpha \ x \ xs) = \mathbf{foldr} \stackrel{\sim}{f} \stackrel{\sim}{(f} x \ z) \ xs \end{cases}
```

Back to the permutativity squares

Recall (36)





This suits us because permuting foldr \tilde{f} with \tilde{f} x will be useful. Let us see why:

Equating foldl and foldr

```
\begin{cases} \mathbf{foldr} \ \widetilde{f} \ z \ \gamma = z \\ \mathbf{foldr} \ \widetilde{f} \ z \ (\alpha \ x \ xs) = \mathbf{foldr} \ \widetilde{f} \ (\widetilde{f} \ x \ z) \ xs \end{cases}
\Leftrightarrow \qquad \left\{ \begin{array}{c} (36) \text{ assuming permutativity: } (\widetilde{f} \ x) \cdot (\widetilde{f} \ a) = (\widetilde{f} \ a) \cdot (\widetilde{f} \ x) \right\} \\ \begin{cases} \mathbf{foldr} \ \widetilde{f} \ z \ \gamma = z \\ \mathbf{foldr} \ \widetilde{f} \ z \ (\alpha \ x \ xs) = \widetilde{f} \ x \ (\mathbf{foldr} \ \widetilde{f} \ z \ xs) \end{cases}
\Leftrightarrow \qquad \left\{ \begin{array}{c} \mathbf{definition of foldr} \ (41) \ \end{array} \right\}
True
```

Conclusion

We conclude that **foldl** $f = \mathbf{foldr} \ \widetilde{f}$ holds for the instances of class *Foldable* such that **foldr** $\alpha \ \gamma = id$ for some α and γ (39), provided that \widetilde{f} is **permutative**.

Back to

foldI (
$$\div$$
) 100000 [99, 2, 7] = 72 = foldr ($\widetilde{\div}$) 100000 [99, 2, 7]
foldI (\div) 10000 [99, 2, 7] = 7 = foldr ($\widetilde{\div}$) 10000 [99, 2, 7]

How can we be sure $(\stackrel{\sim}{\div})$ is permutative?

Equating foldl and foldr

Recall that the specification of $x \div y$ is a **Galois connection**:

We can use (48) and **indirect equality** over (\leq) to prove

$$(\stackrel{\sim}{\div} a) \cdot (\stackrel{\sim}{\div} b) = (\stackrel{\sim}{\div} b) \cdot (\stackrel{\sim}{\div} a)$$

that is:

$$(x \div b) \div a = (x \div a) \div b$$

Never underestimate indirect equality

```
y \leq (x \div b) \div a
      { Galois connection (48) twice }
(v \times a) \times b \leq x
      \{ (x) \text{ is associative and commutative } \}
(y \times b) \times a \leq x
      { Galois connection (48) twice in the opposite direction }
v \leq (x \div a) \div b
      { by indirect equality (Dijkstra, 2001) }
(x \div b) a = (x \div a) \div b
```

Summary

Knowing that **permutativity** is enough for foldr/foldl "equality" is not new — see e.g. (Danvy, 2023).

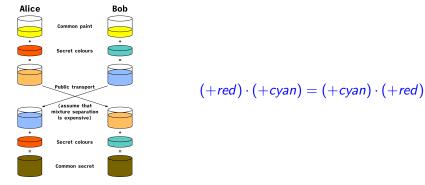
Danvy's reasoning is, however, quite different: he **postulates** permutativity as side condition and then **proves** it in Coq by **list induction**.

Above, permutativity arose by **free-theorem** calculation.

Moreover, we've shown that a commutative + associative **lower** adjoint f in $f \dashv g$ ensures permutative g, widening Olivier Danvy's result.

Permutativity

Diffie-Hellman key exchange (Merkle, 1978)¹:





¹Source: Wikipedia

Summary

Following the advice of Freyd & Ščedrov:

"This calculus [of relations] offers another, often more amenable framework for concepts and methods discussed in part one."

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Annex

On relational exponentials S^R

By MS vertical composition (2) one immediately infers that, as expected, it is monotone on the base and anti-monotonic on the exponent:

$$\left\{\begin{array}{ll} R'\subseteq R\\ S\subseteq S' \end{array}\right. \Rightarrow S^R\subseteq {S'}^{R'}$$

We also know that $id^{id} = id$ (12).

By horizontal composition (3) we get

$$S^R \cdot {S'}^{R'} \subseteq (S \cdot S')^{(R \cdot R')}$$

However, the converse inclusion does not hold and so relational exponentiation is not in general a (bi)relator — in a sense, relational exponentiation can be regarded as a "lax (bi)relator.

Backhouse and Backhouse (2004) give conditions for strengthening (49) to an equality that include the cases involving functions and converses of functions used above.

Data.Foldable

```
instance Foldable \mathbb{M} where foldMap = maybe mempty foldr _ z Nothing = z foldr f z (Just x) = f x z foldl _ z Nothing = z foldl f z (Just x) = f z x
```

```
Let \alpha \times _ = Just \times and \gamma = Nothing and unfold foldr \alpha \gamma:

foldr \alpha Nothing Nothing = Nothing

foldr \alpha Nothing (Just \times) = \alpha \times z = Just \times
```

So foldr $\alpha \gamma = id$.



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