

10. Consider a positive frequency solution of the Dirac equation with  $\mathbf{p} = \mathbf{0}$ ,

$$u(\mathbf{p}) = \sqrt{m} \begin{pmatrix} \xi \\ \xi \end{pmatrix} ; \quad \xi = \text{constant.}$$

(a) Show that spatial rotations of  $\psi(x) = u(\mathbf{p})e^{-ip \cdot x}$  with angles  $\varphi$  act on  $\xi$  as

$$\xi \longrightarrow e^{i\varphi \cdot \boldsymbol{\sigma}/2} \xi$$

**Solution (a)**

If we consider rotations in the vector sense, they are generated by the  $\tilde{\mathcal{M}}^{ij}$

$$\tilde{\mathcal{M}}^{12} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} = -\tilde{\mathcal{M}}^{21} \quad ; \quad \tilde{\mathcal{M}}^{23} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix} = -\tilde{\mathcal{M}}^{32}$$

$$\tilde{\mathcal{M}}^{31} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix} = -\tilde{\mathcal{M}}^{13},$$

so considering a Lorentz transformation with parameters  $\Omega_{ij} = -\varepsilon_{ijk}\varphi^k$ , we get that a vector transforms under a rotation of angle  $\varphi = \sqrt{\varphi^i \varphi_i}$ , along an axis of unit vector  $\hat{\mathbf{n}} = \boldsymbol{\varphi}/\sqrt{\varphi^i \varphi_i}$  with the following matrix:

$$\tilde{\Lambda}_{rot} = \exp \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & -\varphi^3 & \varphi^2 \\ 0 & \varphi^3 & 0 & -\varphi^1 \\ 0 & -\varphi^2 & \varphi^1 & 0 \end{pmatrix} = \mathbb{1} + \sin \varphi (\hat{\mathbf{n}} \cdot \mathbf{J}) + (1 - \cos \varphi) (\hat{\mathbf{n}} \cdot \mathbf{J})^2$$

$$J_i = -\frac{1}{2} \varepsilon_{ijk} \tilde{\mathcal{M}}^{jk}$$

On the other hand, spinors transform under other representation of the Lorentz group, generated by the matrices:

$$S^{\rho\sigma} \equiv \frac{1}{4} [\gamma^\rho, \gamma^\sigma] = \frac{1}{2} \gamma^\rho \gamma^\sigma - \frac{1}{2} \gamma^\sigma \gamma^\rho$$

If we now consider the generators of rotations we get that:

$$S^{ij} = \frac{1}{2} \gamma^i \gamma^j = \frac{1}{2} \begin{pmatrix} 0 & \sigma^i \\ -\sigma & 0 \end{pmatrix} \begin{pmatrix} 0 & \sigma^j \\ -\sigma^j & 0 \end{pmatrix} = -\frac{i}{2} \varepsilon_{ijk} \begin{pmatrix} \sigma_k & 0 \\ 0 & \sigma_k \end{pmatrix} \quad : \quad i \neq j$$

Which means that under rotations, spinors transform by the multiplication of the following matrix

$$S[\Lambda]_{rot} = \exp \left[ \frac{i}{4} \varepsilon_{ijk} \phi^k \varepsilon^{ijm} \begin{pmatrix} \sigma_m & 0 \\ 0 & \sigma_m \end{pmatrix} \right] = \exp \left[ \frac{i}{2} \varphi^k \delta_k^m \begin{pmatrix} \sigma_m & 0 \\ 0 & \sigma_m \end{pmatrix} \right] = \begin{pmatrix} e^{i\varphi \cdot \boldsymbol{\sigma}/2} & 0 \\ 0 & e^{i\varphi \cdot \boldsymbol{\sigma}/2} \end{pmatrix}$$

□

(b) By taking  $\varphi_1 = \varphi_2 = 0$  -i.e., performing rotations parallel to the axis  $x_3$  - show that the  $\pm 1$  eigenstates of  $\sigma^3$ , that we commonly dub **spin up** and **spin down**, correspond, in terms of  $\psi$ , to taking

$$\xi = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad , \quad \xi = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

respectively.

**Solution (b)**

If we consider the  $\varphi_1 = \varphi_2 = 0$  case, considering  $\varphi = \varphi_3$  then the rotation matrix would be:

$$S[\Lambda]_{rot} = \begin{pmatrix} e^{i\varphi/2} & 0 & 0 & 0 \\ 0 & e^{-i\varphi/2} & 0 & 0 \\ 0 & 0 & e^{i\varphi/2} & 0 \\ 0 & 0 & 0 & e^{-i\varphi/2} \end{pmatrix}$$

Then if we consider

$$S[\Lambda]_{rot} u(\mathbf{p}) = \begin{pmatrix} e^{i\varphi/2} \xi^1 \\ e^{-i\varphi/2} \xi^2 \\ e^{i\varphi/2} \xi^1 \\ e^{-i\varphi/2} \xi^2 \end{pmatrix} = \lambda u(\mathbf{p}),$$

we can easily see there exists the eigenstates and corresponding eigenvalue are:

$$\xi = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \lambda = e^{i\varphi/2}; \quad \xi = \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \quad \lambda = e^{-i\varphi/2}$$

which are directly related (due to the block structure of the rotation matrix) to the eigenstates and eigenvalues of  $\sigma^3$ .

□

- (c) Solutions at  $\mathbf{p} \neq \mathbf{0}$  can be obtained by **boosting** the  $\mathbf{p} = \mathbf{0}$  solutions above. In particular, boosts along the  $x^3$  direction are generated by  $S^{03}$ , which leads to solutions with  $\mathbf{p}^T = (0, 0, p^3)$ . Prove that the boosted positive frequency solutions with spin up and down, respectively, have the form

$$u(\mathbf{p}) = \begin{pmatrix} \sqrt{p \cdot \sigma} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \sqrt{p \cdot \bar{\sigma}} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \end{pmatrix} = \begin{pmatrix} \sqrt{E - p^3} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \sqrt{E + p^3} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \end{pmatrix}$$

$$u(\mathbf{p}) = \begin{pmatrix} \sqrt{p \cdot \sigma} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \\ \sqrt{p \cdot \bar{\sigma}} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \end{pmatrix} = \begin{pmatrix} \sqrt{E + p^3} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \\ \sqrt{E - p^3} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \end{pmatrix}$$

**Solution (c)**

If we consider the boost matrix in the  $x^3$ , in the spinor representation we get that, considering that the parameter for this boost must be  $\Omega_{03} = -\Omega_{30} = \zeta$ , and that  $S^{03} = \frac{1}{2} \gamma^0 \gamma^3$ :

$$S[\Lambda]_{boost} = \exp \left[ \frac{1}{2} p^3 / E \begin{pmatrix} -\sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix} \right] = \sum_{n=0}^{\infty} \frac{(\zeta/2)^n}{n!} \begin{pmatrix} -\sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix}^n =$$

$$\sum_{k=0}^{\infty} \frac{(\zeta/2)^{2k}}{(2k)!} \begin{pmatrix} -\sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix}^{2k} + \sum_{j=0}^{\infty} \frac{(\zeta/2)^{2j+1}}{(2j+1)!} \begin{pmatrix} -\sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix}^{2j+1}$$

$$= \mathbb{1} \sum_{k=0}^{\infty} \frac{(\zeta/2)^{2k}}{(2k)!} + \begin{pmatrix} -\sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix} \sum_{j=0}^{\infty} \frac{(\zeta/2)^{2j+1}}{(2j+1)!}$$

$$= \mathbb{1} \cosh \frac{\zeta}{2} + \begin{pmatrix} -\sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix} \sinh \frac{\zeta}{2}$$

Now, if we recall from normal vectors we know that this parameter theta  $\zeta$  fulfills the following relations:

$$E = m \cosh \zeta$$

$$p^3 = m \sinh \zeta$$

Additionally, considering the relations:

$$\cosh \frac{\zeta}{2} = \sqrt{\frac{\cosh \zeta + 1}{2}}$$

$$\tanh \frac{\zeta}{2} = \frac{\sinh \zeta}{\cosh \zeta + 1}$$

we can obtain that:

$$\begin{aligned} S[\Lambda]_{boost} &= \cosh \frac{\zeta}{2} \left[ \mathbb{1} + \tanh \frac{\zeta}{2} \begin{pmatrix} -\sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix} \right] = \sqrt{\frac{\cosh \zeta + 1}{2}} \left[ \mathbb{1} + \frac{\sinh \zeta}{\cosh \zeta + 1} \begin{pmatrix} -\sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix} \right] = \\ &= \sqrt{\frac{E+m}{2m}} \left[ \mathbb{1} + \frac{p^3}{E+m} \begin{pmatrix} -\sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix} \right] = \sqrt{\frac{E+m}{2m}} \begin{pmatrix} 1 - \frac{p^3}{E+m} & 0 & 0 & 0 \\ 0 & 1 + \frac{p^3}{E+m} & 0 & 0 \\ 0 & 0 & 1 + \frac{p^3}{E+m} & 0 \\ 0 & 0 & 0 & 1 - \frac{p^3}{E+m} \end{pmatrix} \end{aligned}$$

If we now apply it to a spin up solution:

$$S[\Lambda]_{boost} u(\mathbf{p})^\uparrow = \sqrt{\frac{E+m}{2}} \begin{pmatrix} 1 - \frac{p^3}{E+m} \\ 0 \\ 1 + \frac{p^3}{E+m} \\ 0 \end{pmatrix} = \begin{pmatrix} \frac{E+m-p^3}{\sqrt{2(E+m)}} \\ 0 \\ \frac{E+m+p^3}{\sqrt{2(E+m)}} \\ 0 \end{pmatrix} = \begin{pmatrix} \sqrt{\frac{(E+m-p^3)^2}{2(E+m)}} \\ 0 \\ \sqrt{\frac{(E+m+p^3)^2}{2(E+m)}} \\ 0 \end{pmatrix}$$

Let's calculate the following:

$$\begin{aligned} (E+m+p^3)^2 &= E^2 + m^2 + (p^3)^2 + 2Em + 2Ep^3 + 2mp^3 = 2E^2 + 2Em + 2Ep^3 + 2mp^3 \\ &= 2(E+m)(E+p^3) \\ (E+m-p^3)^2 &= E^2 + m^2 + (p^3)^2 + 2Em - 2Ep^3 - 2mp^3 = 2E^2 + 2Em - 2Ep^3 - 2mp^3 \\ &= 2(E+m)(E-p^3) \end{aligned}$$

Which means that, indeed:

$$S[\Lambda]_{boost} u(\mathbf{p})^\uparrow = \begin{pmatrix} \sqrt{E-p^3} \\ 0 \\ \sqrt{E+p^3} \\ 0 \end{pmatrix}$$

Conversely, if we apply the boost matrix to the spin down solution we get:

$$S[\Lambda]_{boost} u(\mathbf{p})^\downarrow = \begin{pmatrix} \sqrt{E+p^3} \\ 0 \\ \sqrt{E-p^3} \\ 0 \end{pmatrix}$$

□

- (a) Show that the boosted solutions have a well-defined massless limit  $m \rightarrow 0$  (where  $E = p^3$ ), and that

$$u(\mathbf{p}) = \begin{pmatrix} \sqrt{p \cdot \sigma} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \sqrt{p \cdot \bar{\sigma}} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \end{pmatrix} \rightarrow \sqrt{2E} \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}$$

$$u(\mathbf{p}) = \begin{pmatrix} \sqrt{p \cdot \sigma} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \\ \sqrt{p \cdot \bar{\sigma}} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \end{pmatrix} \rightarrow \sqrt{2E} \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}$$

The existence of a well-defined  $m \rightarrow 0$  limit is actually one of the reasons behind our choice of normalization  $\xi^\dagger \xi = 1$ .

### Solution (d)

Considering the results obtained in section (c), this result is immediate. Indeed, we need a massless state to be boosted to be well defined, as there is no frame which defines a massless, zero-momentum particle -i.e a massless particle as a photon cannot be at rest.

□

**10.** Let  $\psi^{(c)} = C\psi^*$  be the charge conjugate of the spin 1/2 Dirac field  $\psi$ , where  $C$  is the charge conjugation matrix.

- (a) Prove that the combinations  $\bar{\psi}^{(c)}\psi$  and  $\bar{\psi}\psi^{(c)}$  are lorentz invariant. These are called **Majorana mass terms**, as opposed to the **Dirac mass term**  $\bar{\psi}\psi$ .

### Solution (a)

Lets first consider the properties of the charge conjugation matrix:

$$C^\dagger C = \mathbb{1} \quad ; \quad C^\dagger \gamma^\mu C = -(\gamma^\mu)^* \implies S[\Lambda]^* = -C^\dagger S[\Lambda] C$$

Then, we can see how  $\psi^{(c)}$  and  $\bar{\psi}^{(c)}$ , change under Lorentz transformations:

$$\begin{aligned} \psi^{(c)} = C\psi^* &\longrightarrow CS[\Lambda]^* \psi^* = CC^\dagger S[\Lambda] C \psi^* = S[\Lambda] C \psi^* = S[\Lambda] \psi^{(c)} \\ &\implies \bar{\psi}^{(c)} \longrightarrow \psi^{(c)\dagger} C^\dagger \gamma^0 \end{aligned}$$

- (b) Rewrite  $\bar{\psi}^{(c)}\psi$  and  $\bar{\psi}\psi^{(c)}$  in terms of chiral (Weyl) spinors. Discuss the key physical differences between Majorana and Dirac mass terms.
- (c) Consider the expansion of a Majorana spinor, that satisfies the condition  $\psi^{(c)} = \psi$ , in normal modes. Discuss the physical interpretation of the result.