

Fundamentos Lógicos y Algebraicos

# The Language of First-Order Logic

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*First-Order Logic* (also called *Predicate Logic*), see, e.g., [Mendelson97], is an appropriate (simple, familiar) framework to

- ① *specify* programs (*syntax*)
- ② *describe* computations (*semantics*)
- ③ *specify* properties about programs, data, etc.
- ④ *reason* about computations and program properties (*verification*)

- ① Signatures
- ② Terms
- ③ Atoms
- ④ Well-formed formulas
- ⑤ Sentences
- ⑥ Clauses

A *signature* is a set of *symbols* together with a mapping *ar* which indicates the number of *arguments* of each symbol (i.e., its *arity*)

We often write  $h/k$  to denote that *h is a k-ary symbol*, i.e.,  $ar(h) = k$

The application of symbols to arguments can be *prefix* (by default), *infix* (e.g.,  $-+-$ ), *postfix* (e.g.,  $-!$ ), or *mixfix* (e.g., *if\_then\_else\_*)

Symbols of arith  $k$  are called *k-ary*; some of them have ‘nicknames’

- Zero-argument symbols (e.g.,  $0$ ,  $[]$ ) are called *constants*
- Symbols of arity 1 are called *monadic* (e.g.,  $isOdd_{/1}$ ,  $qsort_{/1}$ ,  $..::Int$ )
- Symbols of arity 2 are called *binary* (e.g.,  $max_{/2}$ ,  $_{\geq-}$ ,  $-+-$ ,  $..::$ ,  $..::-$ )
- Symbols of arity 3 are called *ternary* (e.g., *if\_then\_else\_*)

There are signatures of:

- *Function symbols*, returning some object out from its arguments (e.g.,  $0$ ,  $qsort$ ,  $max$ ). We often denote such signatures as  $\mathcal{F}$
- *Predicate symbols*, representing *properties* that *hold* on the arguments (e.g.,  $isOdd$ ,  $..::Int$ ,  $_{\geq-}$ ). We often denote such signatures as  $\mathcal{P}$

Let  $\mathcal{X}$  be a countable set of *variables*  $x, y, z, \dots$ , and  $\mathcal{F}$  be a *signature of function symbols*.

*Terms* over  $\mathcal{F}$  and  $\mathcal{X}$  are defined by *induction*:

- (T-Base1) *Variable* symbols  $x$  are terms
- (T-Base2) *Constant* symbols  $a$  (i.e.,  $ar(a) = 0$ ) are terms
- (T-Induction) if  $f$  is a  $k$ -ary *function* symbol (i.e.,  $k = ar(f)$ ),  $k > 0$ , and  $t_1, \dots, t_k$  are *terms*, then  $f(t_1, \dots, t_k)$  is a term.

The set of *terms* is denoted as  $\mathcal{T}(\mathcal{F}, \mathcal{X})$ .

### Example (Practical use of terms)

- Arithmetic expressions:  $x + (y + z)$ ,  $x \times y + x \times z$ ,  $x + 0$ .
- Data structures: a list  $[2, 0, 1]$  is represented as  $2:0:1:[]$ .
- Function calls:  $2+1$  represents a call to the *addition* operator.
- Assignments:  $n := 3$  is a term:  $:=$  is a *binary* operator.
- Conditional statements:  $\text{if } n > 0 \text{ then } n := n-1 \text{ else } n := n+1$  is a term:  $\text{'if\_then\_else\_}'$  is a *ternary* symbol,  $>$  is a *binary* operator, ...

Let  $\mathcal{F}$  be a signature of *functions* and  $\Pi$  be a signature of *predicates*  
If  $P/_n \in \Pi$  and  $t_1, \dots, t_n \in \mathcal{T}(\mathcal{F}, \mathcal{X})$  then  $P(t_1, \dots, t_n)$  is an *atom*

### Example (Use in classical logic)

- $\text{isPhilosopher}(\text{socrates})$  encodes “*Socrates is a philosopher*”
- $\text{teacherOf}(\text{socrates}, \text{plato})$  encodes “*Socrates is the teacher of Plato*”

### Example (Use in mathematics)

- $2 + 2 = 4$
- $x + 0 = x$

### Example (Use in computer science)

- $\langle [(m, 0), (n, 1)] \mid m := 2 \rangle \rightarrow \langle [(m, 2), (n, 1)] \mid \text{skip} \rangle$
- $0 :: \text{Int}$

## Example (Relational databases)

Consider the relational database using predicate *teach* [Reiter78, p. 59]:

<i>teach</i>	<i>Teacher</i>	<i>Student</i>
	alice	peter
	alice	quentin

It can be modeled as a set of atoms *Teach*:

$$\{\textit{teach}(\textit{alice}, \textit{peter}), \textit{teach}(\textit{alice}, \textit{quentin})\}$$

Given signatures  $\mathcal{F}$  and  $\Pi$  as above, a first-order *formula*  $F$  (often called *well-formed formula*, or *wff*) is built as follows:

- (F-Base1) Atoms are formulas.
- (F-Induction1) if  $F$  and  $F'$  are formulas, then  $F \wedge F'$  and  $\neg F$  (and also  $F \vee F'$ ,  $F \Rightarrow F'$ , ...) are formulas.
- (F-Induction2) if  $x$  is a variable and  $F$  is a formula, then  $(\forall x) F$  and  $(\exists x) F$  are formulas.

The set of first-order formulas is denoted as  $Form(\mathcal{F}, \Pi, \mathcal{X})$

### Example (Use in mathematics)

- $(\forall x) (x + 0 = x \wedge x \times 0 = 0)$

### Example (Use in classical logic)

- $(\forall x) (\text{isPhilosopher}(x) \Rightarrow \text{isClever}(x))$ , i.e., *all philosophers are clever*
- $(\forall x)(\forall y) (\text{isPhilosopher}(x) \wedge \text{teacherOf}(x, y) \Rightarrow \text{isPhilosopher}(y))$ ,  
i.e., *somebody who is taught by a philosopher is a philosopher as well*

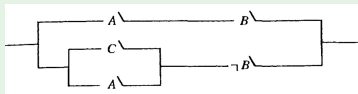


## Example (Use in computer science)

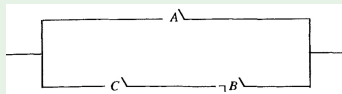
- $(\forall m)(\forall n) (m::Int \wedge n::Int \Rightarrow m + n::Int)$ , i.e., *whenever  $m$  and  $n$  are of type  $Int$ , the expression  $m + n$  is of type  $Int$*

## Example (Use in computer engineering (Shannon 1938 MsC Thesis))

Claude E. Shannon pioneered the use of logic in *electric engineering*, treating circuits as *boolean expressions*



$$(A \wedge B) \vee ((C \vee A) \wedge \neg B)$$



$$A \vee (C \wedge \neg B)$$

From [Mendelson97, Figures 1.2 & 1.3]

The rightmost (smaller/cheaper) circuit is *equivalent* to the leftmost one. Such an equivalence can be *proved* to *simplify* the first into the second.

## Definition (Sentence)

A *sentence* is a well-formed formula whose variables are *all quantified*.

## Example (Sentence / No sentence)

- The formulas  $0 = 1$  and  $(\forall x) x + 0 = x$  are *sentences*.
- The formula  $x \times 0 = 0$  is *not*.

## Definition (Theory)

A *set of sentences* Th is a *theory*.

A *literal*  $L$  is either an *atom*  $A$  or the *negation*  $\neg A$  of an atom  $A$ .

A *clause*  $C$  is a disjunction  $L_1 \vee \dots \vee L_n$  of literals, often represented as a *set*  $C = \{L_1, \dots, L_n\}$ . Implicitly, variables are all *universally* quantified.

As we will see, *sentences* can be seen as *sets of clauses*  $\{C_1, \dots, C_m\}$ , where, for all  $1 \leq i \leq m$ ,  $C_i$  is a set of *literals*.

### Example (sentences in clausal form)

- $\{\{\neg \text{isPhilosopher}(x), \text{isClever}(x)\}\}$
- $\{\{\neg \text{isPhilosopher}(x), \neg \text{teacherOf}(x, y), \text{isPhilosopher}(y)\}\}$
- $\{\{x + 0 = x\}, \{x \times 0 = 0\}\}$
- $\{\{\neg m :: \text{Int}, \neg n :: \text{Int}, m + n :: \text{Int}\}\}$

*Innermost* commas are *disjunction*  $\vee$ ; *outermost* commas are *conjunction*  $\wedge$



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