

Fundamentos Lógicos y Algebraicos

# Interpretation of First-Order Formulas

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SOFTWARE (MITSS)

What is the *meaning* of the following expressions?

- $5+5$
- $\text{zusatz}(V,V)$
- $f(a,b)$
- $x+0$
- $\text{philosopher}(\text{plato})$
- $\text{philosopher}(\text{david})$
- $q(c)$
- $(\forall x) \text{isPhilosopher}(x) \Rightarrow \text{isClever}(x)$
- $(\forall m)(\forall n) m :: \text{Int} \wedge n :: \text{Int} \Rightarrow m + n :: \text{Int}$

- ① Interpreting function symbols. Algebras
- ② Interpreting terms
- ③ Interpreting predicate symbols. First-Order Interpretations
- ④ Interpreting atoms
- ⑤ Interpreting formulas

Given a signature of function symbols  $\mathcal{F}$ , an  $\mathcal{F}$ -algebra is a pair  $\mathcal{A} = (\text{dom}(\mathcal{A}), \mathcal{F}_{\mathcal{A}})$ , where

- $\text{dom}(\mathcal{A})$  is a *non-empty set* (*the domain* or *carrier*), often written  $\mathcal{A}$

$\mathcal{F} = \{\text{homer, plato, socrates}\}$ , all of them *constant symbols*










$$\mathcal{A}_1 \quad \{\text{img1}, \text{img2}, \text{img3}\}$$

$$\mathcal{A}_2 \quad \{\text{img4}, \text{img5}, \text{img6}\}$$

$$\mathcal{A}_3 \quad \mathbb{N} = \{0, 1, 2, \dots\}$$

$$\mathcal{A}_4 \quad \{\text{img7}\}$$

- $\mathcal{F}_{\mathcal{A}}$  is a set of *mappings*  $f^{\mathcal{A}} : \mathcal{A}^k \rightarrow \mathcal{A}$  for each  $f \in \mathcal{F}$  with  $k = \text{ar}(f)$ .

| $\mathcal{F} = \{\text{homer, plato, socrates}\}$ | $f \in \mathcal{F}$ | $f^{\mathcal{A}_1}$   | $f^{\mathcal{A}_2}$  | $f^{\mathcal{A}_3}$ | $f^{\mathcal{A}_4}$   | ... |
|---|---------------------|---|--|---------------------|---|-----|
|   | homer               |  |  | 5                   |  |     |
|   | plato               |  |  | 5                   |  |     |
|   | socrates            |  |  | 8                   |  |     |

A *valuation mapping*  $\alpha : \mathcal{X} \rightarrow \mathcal{A}$  gives *values* to the variable symbols.

The *evaluation* mapping  $[-]_{\alpha}^{\mathcal{A}} : \mathcal{T}(\mathcal{F}, \mathcal{X}) \rightarrow \mathcal{A}$  is defined as follows: for all terms  $t \in \mathcal{T}(\mathcal{F}, \mathcal{X})$ :

| $t$                  | $[t]_{\alpha}^{\mathcal{A}}$   | Comment  |
|----------------------|--|--|
| variable $x$         | $\alpha(x)$  | Use the <i>valuation mapping</i> $\alpha$                  |
| constant $a$         | $a^{\mathcal{A}}$  | $a$ interpreted using $\mathcal{F}$ -algebra $\mathcal{A}$ |
| $f(t_1, \dots, t_k)$ | $f^{\mathcal{A}}([t_1]_{\alpha}^{\mathcal{A}}, \dots, [t_k]_{\alpha}^{\mathcal{A}})$ | <i>Recursion</i> + interpretation $f^{\mathcal{A}}$ of $f$ |

## Example (Interpreting terms without variables - valuation unneeded!)

Let  $\mathcal{F} = \{1, \text{add}/_2\}$  and  $\mathcal{A} = (\mathbb{N}, \mathcal{F}_{\mathbb{N}})$  be given by  $1^{\mathcal{A}} = 1$  and  $\text{add}^{\mathcal{A}}(x, y) = x + y$  for all  $x, y \in \mathbb{N}$ . For any *valuation function*  $\alpha$ ,

$$[1]_{\alpha}^{\mathcal{A}} = 1^{\mathcal{A}} = 1$$

$$\begin{aligned} [\text{add}(1, \text{add}(1, 1))]_{\alpha}^{\mathcal{A}} &= \text{add}^{\mathcal{A}}([1]_{\alpha}^{\mathcal{A}}, [\text{add}(1, 1)]_{\alpha}^{\mathcal{A}}) \\ &= \text{add}^{\mathcal{A}}(1, \text{add}^{\mathcal{A}}([1]_{\alpha}^{\mathcal{A}}, [1]_{\alpha}^{\mathcal{A}})) \\ &= \text{add}^{\mathcal{A}}(1, \text{add}^{\mathcal{A}}(1, 1)) \\ &= \text{add}^{\mathcal{A}}(1, 1 + 1) \\ &= \text{add}^{\mathcal{A}}(1, 2) \\ &= 1 + 2 \\ &= 3 \end{aligned}$$

This is an *intended interpretation*, but it is *not* the only one

## Example (Interpreting terms without variables - valuation unneeded!)

Let  $\mathcal{F} = \{1, \text{add}_{/2}\}$  and  $\mathcal{A} = (\mathbb{N}, \mathcal{F}_{\mathbb{N}})$  be given by  $1^{\mathcal{A}} = 2$  and  $\text{add}^{\mathcal{A}}(x, y) = x \times y$  for all  $x, y \in \mathbb{N}$ . For any *valuation function*  $\alpha$ ,

$$[1]_{\alpha}^{\mathcal{A}} = 1^{\mathcal{A}} = 2$$

$$\begin{aligned} [\text{add}(1, \text{add}(1, 1))]_{\alpha}^{\mathcal{A}} &= \text{add}^{\mathcal{A}}([1]_{\alpha}^{\mathcal{A}}, [\text{add}(1, 1)]_{\alpha}^{\mathcal{A}}) \\ &= \text{add}^{\mathcal{A}}(2, \text{add}^{\mathcal{A}}([1]_{\alpha}^{\mathcal{A}}, [1]_{\alpha}^{\mathcal{A}})) \\ &= \text{add}^{\mathcal{A}}(2, \text{add}^{\mathcal{A}}(2, 2)) \\ &= \text{add}^{\mathcal{A}}(2, 2 \times 2) \\ &= \text{add}^{\mathcal{A}}(2, 4) \\ &= 2 \times 4 \\ &= 8 \end{aligned}$$

This is (perhaps) an *unintended (but still valid) interpretation*

## Example (Interpreting terms with variables - valuation required!)

Let  $\mathcal{F} = \{0, +_{/2}, \times_{/2}\}$  and  $\mathcal{A} = (\mathbb{N}, \mathcal{F}_{\mathbb{N}})$  be given by

$$0^{\mathcal{A}} = 0, \quad x +^{\mathcal{A}} y = x + y, \quad \text{and} \quad x \times^{\mathcal{A}} y = x \cdot y (!)$$

for all  $x, y \in \mathbb{N}$ . Let  $\alpha$  be given by  $\alpha(x) = \alpha(y) = 2$  and  $\alpha(z) = 1$ . Then,

$$\textcircled{1} \quad [x + 0]_{\alpha}^{\mathcal{A}} = [x]_{\alpha}^{\mathcal{A}} +^{\mathcal{A}} [0]_{\alpha}^{\mathcal{A}} = \alpha(x) +^{\mathcal{A}} 0^{\mathcal{A}} = 2 +^{\mathcal{A}} 0 = 2 + 0 = 2$$

$\textcircled{2}$

$$\begin{aligned} [(x + y) \times (x + z)]_{\alpha}^{\mathcal{A}} &= [x + y]_{\alpha}^{\mathcal{A}} \times^{\mathcal{A}} [x + z]_{\alpha}^{\mathcal{A}} \\ &= ([x]_{\alpha}^{\mathcal{A}} +^{\mathcal{A}} [y]_{\alpha}^{\mathcal{A}}) \times^{\mathcal{A}} ([x]_{\alpha}^{\mathcal{A}} +^{\mathcal{A}} [z]_{\alpha}^{\mathcal{A}}) \\ &= (\alpha(x) +^{\mathcal{A}} \alpha(y)) \times^{\mathcal{A}} (\alpha(x) +^{\mathcal{A}} \alpha(z)) \\ &= (2 +^{\mathcal{A}} 2) \times^{\mathcal{A}} (2 +^{\mathcal{A}} 1) \\ &= 4 \times^{\mathcal{A}} 3 \\ &= 4 \end{aligned}$$


















An  $\mathcal{F}, \Pi$ -interpretation (or *structure*)  $\mathcal{I}$  on a *domain*  $\mathcal{A}$  is a triple  $\mathcal{I} = (\mathcal{A}, \mathcal{F}_{\mathcal{A}}, \Pi_{\mathcal{A}})$  where

- $(\mathcal{A}, \mathcal{F}_{\mathcal{A}})$  is an  $\mathcal{F}$ -algebra,
- The equality symbol  $=$  is interpreted (if used) as the *equality on  $\mathcal{A}$* ,
- for each  $n$ -ary  $P \in \Pi$ ,  $P^{\mathcal{I}} \in \Pi_{\mathcal{A}}$  is an  $n$ -ary *relation* on  $\mathcal{A}$ :

$$P^{\mathcal{I}} \subseteq \mathcal{A}^n = \underbrace{\mathcal{A} \times \cdots \times \mathcal{A}}_{n \text{ times}}$$












i.e.,  $P^{\mathcal{I}}$  is a (possibly empty) set of  $n$ -tuples  $(x_1, \dots, x_n)$ , where  $x_i \in \mathcal{A}$  for all  $1 \leq i \leq n$ .







$\Pi = \{\text{philosopher}_{/1}, \text{teacherOf}_{/2}\}$

| Interpretation  | Domain          | $\text{philosopher}^{\mathcal{I}_i}$  | $\text{teacherOf}^{\mathcal{I}_i}$  |
|-----------------|-----------------|---|---|
| $\mathcal{I}_1$ | $\mathcal{A}_1$ |   |     |
| $\mathcal{I}_2$ | $\mathcal{A}_1$ |   |   ,   ,   |
| $\mathcal{I}_3$ | $\mathcal{A}_2$ |    |     |
| $\mathcal{I}_4$ | $\mathcal{A}_3$ | $\{5\}$   | $\emptyset$   |

$$[P(t_1, \dots, t_n)]_{\alpha}^{\mathcal{I}} = \text{true} \text{ iff } ([t_1]_{\alpha}^{\mathcal{I}}, \dots, [t_n]_{\alpha}^{\mathcal{I}}) \in P^{\mathcal{I}}$$

where  $[-]^I_\alpha : \text{Form}(\Sigma, \mathcal{X}) \rightarrow \text{Bool}$  is called the *interpretation* mapping.

| Interp.         | Domain          | $\text{homer}^{\mathcal{A}_i}$  | $[\text{philosopher}(\text{homer})]_{\alpha}^{\mathcal{I}_i}$  |
|-----------------|-----------------|---|--|
| $\mathcal{I}_1$ | $\mathcal{A}_1$ |  | false (  $\notin$ {  ,  , ...} = philosopher $^{\mathcal{I}_1}$ ) |
| $\mathcal{I}_2$ | $\mathcal{A}_1$ |  | false (  $\notin$ {  ,  , ...} = philosopher $^{\mathcal{I}_2}$ ) |
| $\mathcal{I}_3$ | $\mathcal{A}_2$ |  | true (  $\in$ {  , ...} = philosopher $^{\mathcal{I}_3}$ )   |
| $\mathcal{I}_4$ | $\mathcal{A}_3$ | 5   | true ( $5 \in \{5\}$ = philosopher $^{\mathcal{I}_4}$ )  |

| Interp.         | Domain          | $\text{homer}^{A_i}$  | $\alpha(x)$   | $[\text{teacherOf}(\text{homer}, x)]_{\alpha}^{\mathcal{I}_i}$   |
|-----------------|-----------------|---|---|--|
| $\mathcal{I}_1$ | $\mathcal{A}_1$ |  |  | false $((\text{Homer}, \text{Homer}) \notin \{(\text{Homer}, \text{Homer})\})$   |
| $\mathcal{I}_2$ | $\mathcal{A}_1$ |  |  | true $((\text{Homer}, \text{Homer}) \in \{(\text{Homer}, \text{Homer}), (\text{Homer}, \text{Homer}), (\text{Homer}, \text{Homer})\})$ |
| $\mathcal{I}_3$ | $\mathcal{A}_2$ |  |  | true $((\text{Homer}, \text{Homer}) \in \{(\text{Homer}, \text{Homer})\})$   |




The interpretation mapping is extended to deal with arbitrary (well-formed) formulas. Connectives and quantifiers have a *fixed* interpretation, though.

Use of *connectives*: **and** ( $\wedge$ ), **or** ( $\vee$ ), **implies** ( $\Rightarrow$ ), **not** ( $\neg$ )

| $F$                   | $[F]_{\alpha}^{\mathcal{I}} = \text{true}$   | $[F]_{\alpha}^{\mathcal{I}} = \text{false}$ |
|-----------------------|--|---|
| $F_1 \wedge F_2$      | $[F_1]_{\alpha}^{\mathcal{I}} = \text{true}$ <b>and</b> $[F_2]_{\alpha}^{\mathcal{I}} = \text{true}$ | otherwise                                   |
| $F_1 \vee F_2$        | $[F_1]_{\alpha}^{\mathcal{I}} = \text{true}$ <b>or</b> $[F_2]_{\alpha}^{\mathcal{I}} = \text{true}$  | otherwise                                   |
| $F_1 \Rightarrow F_2$ | $[F_1]_{\alpha}^{\mathcal{I}} = \text{false}$ <b>or</b> $[F_2]_{\alpha}^{\mathcal{I}} = \text{true}$ | otherwise                                   |
| $\neg F'$             | $[F']_{\alpha}^{\mathcal{I}} = \text{false}$   | otherwise                                   |

Other connectives (e.g.,  $\Leftrightarrow$ , *xor*, ...) handled similarly

$F = \text{philosopher}(x) \wedge \text{teacherOf}(\text{homer}, x)$




| Interp.         | $\alpha(x)$   | $[\text{philosopher}(x)]_{\alpha}^{\mathcal{I}_i}$ | $[\text{teacherOf}(\text{homer}, x)]_{\alpha}^{\mathcal{I}_i}$ | $[F]_{\alpha}^{\mathcal{I}_i}$ |
|-----------------|---|--|--|--------------------------------|
| $\mathcal{I}_1$ |  | true   | false  | false                          |
| $\mathcal{I}_2$ |  | true   | true   | true                           |
| $\mathcal{I}_3$ |  | false  | true   | false                          |

## Use of *quantifiers*: **existential** ( $\exists$ ) and **universal** ( $\forall$ )











| $F$              | $[F]_{\alpha}^{\mathcal{I}} = \text{true}$  | $[F]_{\alpha}^{\mathcal{I}} = \text{false}$ |
|------------------|---|---|
| $(\exists x) F'$ | there is $a \in \mathcal{A}$ such that $[F']_{\alpha[x \mapsto a]}^{\mathcal{I}} = \text{true}$ | otherwise                                   |
| $(\forall x) F'$ | for all $a \in \mathcal{A}$ , $[F']_{\alpha[x \mapsto a]}^{\mathcal{I}} = \text{true}$          | otherwise                                   |

If  $(\exists x) F'$  holds, then  $a \in \mathcal{A}$  as above is called a *witness*.


$F = (\exists x) \text{teacherOf}(\text{homer}, x)$


| Int.            | $[F]_{\alpha}^{\mathcal{I}_i}$ | $a \in \mathcal{A}$ | Int.            | $[F]_{\alpha}^{\mathcal{I}_i}$ | $a \in \mathcal{A}$   | Int.            | $[F]_{\alpha}^{\mathcal{I}_i}$ | $a \in \mathcal{A}$   |
|-----------------|--------------------------------|---------------------|-----------------|--------------------------------|---|-----------------|--------------------------------|---|
| $\mathcal{I}_1$ | false                          | –                   | $\mathcal{I}_2$ | true                           |  ,  | $\mathcal{I}_3$ | true                           |  |


$F = (\forall x)(\text{philosopher}(x) \Rightarrow \text{teacherOf}(y, x))$

| Interp.         | $\alpha(y)$   | $[F]_{\alpha}^{\mathcal{I}_i}$ | Comment  |
|-----------------|---|--------------------------------|--|
| $\mathcal{I}_1$ |  | false                          | Both  and  are philosophers, but not taught by  . |
| $\mathcal{I}_2$ |  | true                           | Both  and  are philosophers taught by  .          |
| $\mathcal{I}_3$ |  | false                          |  is a philosopher, but he did <i>not</i> taught to himself.   |

# References

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