

European Social Survey 2014 – Round 7

ANNOTATIONS FOR INTEGRATED DATA FROM CONTACT FORMS

ESS7CFe02.1

EDITION 2.1

NSD – NORWEGIAN CENTER FOR RESEARCH DATA

Version Notes

ESS7 contact form edition 2.1 (published 01.12.16):

Applies to datafile ESS7 contact form edition 2.1

Changes from edition 2.0

Changes in variables:

Variable FIELDINI, “Interviewer institutional belonging”, was added, for records from Portugal, as multiple fieldwork organizations.

Changes in country data:

UNITED KINGDOM:

NHHMEM (“Number of members 15 years or more in household”): has been recoded from 999 to 99 (“Not available”) in 1730 cases.

ESS7 contact form edition 2.0 (published 26.05.16):

Applies to datafile ESS7 contact form edition 2.0

Changes from edition 1.0

Additional countries:

Hungary, Israel, Spain, Portugal, Lithuania and United Kingdom

Changes in variables:

Variables YEARV1 – YEARV20 were added, for records from United Kingdom, due to long fieldwork period.

Changes in country data:

BELGIUM:

TOTCIN1 (“Number of visits the original interviewer (INTNUM1) did”): has been corrected in 6 records.

GERMANY:

TOTCIN10-TOTCIN21 (“Number of visits the nth interviewer (INTNUMn) did”): Data was erroneously omitted in edition 1.0, and has now been included.

SWITZERLAND:

INTNUM4 (“Interviewer-number of the fourth interviewer”) has been recoded from value 99999 to 999999 (“Not available”) in 2 records.

ESS7 contact form edition 1.0 (published 30.11.15):

Includes data from Austria, Belgium, Czech Republic, Denmark, Estonia, Finland, France, Germany, Ireland, Netherlands, Norway, Poland, Slovenia, Sweden and Switzerland.

Deviations

Some countries deviated from the variable specifications in the ESS7 Data Protocol. Variables with deviations are noted in capital.

- **Contact attempts (concerns variables DATE_x, MONV_x, DAYV_x, HOUR_x, MINV_x, MODE_x, RESULB_x and OUTNIC_x):** Some countries had more than 10 contact attempts [Belgium (16), Portugal (20), United Kingdom (20) Spain (21), Germany (69), Switzerland (22), Finland (32), Sweden (80)] or less than 10 contact attempts [Austria (5), Lithuania (5)]. In Germany, there are some inconsistencies caused by interviewers and telephone teams working independently of one-another; the telephone team contacted households that should not logically have been contacted (eg. respondents who had completed interviews, and invalid addresses.) In Slovenia, there are 46 unresolvable inconsistencies caused by missing values in OUTNIC_x. In some cases, Slovenia had more than 10 contact attempts, but they only recorded data for the first 10 attempts.

In the Netherlands,

- Data inconsistencies make it impossible to determine which visit resulted in a complete interview for 26 respondents.
 - The recorded weekday of an interview is inconsistent with the day-of-the-week for 391 interviews.
 - There are 46 cases of chronologically inconsistent visits (eg. visit number 1 recorded as occurring after visit number 2.)
 - There are 1893 cases of erroneous routing between RESULB_x and OUTNIC_x. In the majority of these cases, the OUTNIC_x is missing when the RESULB_x indicates that it should have been filled out.
 - There are 226 cases where the interview date differs between Contact Form, and the Main data file; the survey organization was unable to verify the correct dates.
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- **Interviewer number (INTNUM_x) and number of visits the interviewer did (TOTCIN_x):** Some countries had more than 3 interviewers attempting to contact the sample unit [Germany (21), Sweden (5), Switzerland (8)], or less than 3 interviewers [Denmark (2),

Spain (2), Portugal (2), Lithuania (2)]. In Denmark, system was not able to separate contact attempts between the first and second interviewer. **TOTCIN1** and **TOTCIN2** were therefore recoded into 999 “Not available”. In the Netherlands, there are 156 unresolvable inconsistencies between TOTCIN_x and the number of interviews recorded in the contact attempt variables (DATE_x - MINV_x). Austria did not deposit INTNUM1/INTNUM2/INTNUM3 or TOTCIN1/TOTCIN2/TOTCIN3, due to the fieldwork organisation providing only one interviewer number per sample unit (the interviewer who finished the visits). However, it was possible to create INTNUM1 for sample units in PSUs where only one interviewer was deployed (81.33 % of the sample units). Hence INTNUM1 and TOTCIN1 were calculated by the data archive for these sample units, based on auxiliary information (Number of interviewers deployed in each PSU). In Germany, the number of contact attempts made by the 10th to the 21st interviewers (TOTCINT10-21) were not recorded; respondents who were contacted by more than 10 interviewers are coded as 999 Not Available in these variables.

- **Number of members in household (NHHMEM):** This information is missing for more than 20 % of sample units in several countries (France, Ireland and Netherlands), due to the difficulty of obtaining it.
- **Number of households selected at the address (HHSELECT).** In the Netherlands, 990 cases have missing values in this variable; several interviewers overlooked it due to the low number of multiple household addresses in the Netherlands.
- **Refusal information (concerns variables REFVIS_x, AGEA_x, GENDERA_x, COOP_x and RERSB_{x_y}).** Some countries collected information for more than 3 refusals [France (4), Spain (4)] or for less than 3 refusals [Denmark (1)]. In France, there are 123 cases where RERSB_{x_y} are missing when a substantial value should be present. A mistake has led to missing values in the COOP2-4, AGEA_2-4 and GENDERA2-4 variables for all refusals.

In the Netherlands,

- There are 1488 cases where the recording of a refusal is inconsistent between the REFVIS_x and OUTNIC_x variables.
- There are 101 cases with chronological inconsistencies in the REFVIS_x variables.
- There are 2211 cases where an interviewer has filled out RECONVA, without a relevant refusal being recorded in the OUTNIC_x variables.

- **Refusal conversion (RECONVA):** Information is not available for some countries because the order of visit when the refusal conversion took place was not recorded (Austria, Denmark). In France, refusal conversion was not only used after refusals, but also in cases where no contact was achieved with sample unit. In the United Kingdom, refusal conversion was used for all re-issued cases.
- **Number of automatic telephone calls prior to/after first face to face visit or contact attempt (NUMTEL/NUMTELA):** Information is available for a limited number of countries. Even when this information was collected, the information might not be fully valid as there is some ambiguity about what constitutes an *automatic* telephone call.
- **Demographics variables for individuals who decline to be interviewed (COOPx, AGEA_x, GENDERAx):** In Germany, the survey organization elected to ask these questions via a paper questionnaire; the response rate was very low, leading to a large number of missing values.
- **Neighbourhood characteristics (TYPE, ACCESS, PHYSA, LITTERA, VANDAA):** This information was not collected in Norway and Sweden due to privacy issues.

Note on missing values:

Not applicable: 6, 66, 666 etc., where the sample unit has been routed away from the question. This missing value might be coded in the national data files before deposit, but the coding will be overwritten by standardised procedures at NSD.

Don't know: 8, 88, 888 etc. These codes are almost always available. They can be included in the list of answering options presented to the interviewers, or placed in administrative columns, menus etc., depending on the routines of the survey organisation. These codes are *not* overwritten during data processing at NSD.

Not available: 9, 99, 999 etc. are administrative variables and interviewer codes for missing data not elsewhere explained, for example interviewer errors and production/system errors. This missing value might be coded in the national data files before deposit, but the coding will be overwritten by standardised procedures at NSD.

System missing: “.” is used in some cases when data has not been gathered in a given country. For instance, if there were only 10 contact attempts in a given country, the variables DATE11 (*Date of the eleventh visit*) and upwards will be set to system missing for all respondents in this country. This missing value might be coded in the national data files before deposit, but the coding will be overwritten by standardised procedures at NSD.

Per variable, the following columns are given:

Qno: Number of the question in the contact form

Name: Name of the variable

Label: Short explanation of the variable, also used as label in the dataset

Values: Values of the different categories of the variable

Categories: Categories of the variable

Info: Reason for variable or question

I = Identification of sample unit

RNR = Information to calculate the response-rates and non-response-rates

NR = Information about the non-respondents

RI = Information that can be used for re-issuing sample units

S = Information about the selection procedure

C = Information about the contact procedure

Qno	Name	Label	Values	Categories	Info
	name	Title of data set			
	essround	ESS round			
	edition	Edition of ESS contact file			
	proddate	Production date of ESS contact file			
	idno	Respondent's identification number			I
	cntry	Country			I
	typesamp	Type of the sample	1 2 3	Individual person Household Address	C
A1	numhh	Number of households at the address	666 999	Not applicable Not available	S C
A2	hhselect	Number of households selected at the address	66 99	Not applicable Not available	S C
	multhnum	Identification number of household on address	666 999	Not applicable Not available	S C
B2	nhhmem	Number of members 15 years or more in household	66 99	Not applicable Not available	S C
	age_su	Exact age of the sample unit (individual sample frame)	666 999	Not applicable Not available	
	gndr_su	Gender of the sample unit (individual sample frame)	1 2 6 9	Male Female Not applicable Not available	
	defectcf	Contact form information for the sample unit	1 2	Contact form filled in by interviewer Contact form missing	C
	interva	Interview information for the sample unit	1 2 3 4 5 9	Complete and valid interview related to CF Interview incomplete Interview invalid No interview because of opt out list No interview for other reason Not available	C
	telnum	Telephone number	1 2 3 9	Present No phone Refused Not available	I
	intnum1	Interviewer-number of the interviewer who started the visits	999999	Not available	C
	intnum2	Interviewer-number of the interviewer who started the re-issue	666666 999999	Not applicable Not available	
	intnum3	Interviewer-number			

	INTNUM4- INTNUM21	of the third interviewer			
	totcin1	Number of visits the original interviewer (INTNUM1) did -	999	Not available	C
	totcin2	Number of visits the second interviewer (INTNUM2) did	666 999	Not applicable Not available	
	totcin3	Number of visits the third interviewer (INTNUM3) did			
	TOTCIN4- TOTCIN21				
1	datev1	Date of the first visit	1-31 99	Not available	C
	date2-date10	Date of the second visit → Date of the tenth visit	1-31 66 99	Not applicable Not available	
	DATE11- DATE80				
1	monv1	Month of the first visit	1-12 99	Not available	C
	monv2- monv10	Month of the second visit → Month of the tenth visit	1-12 66 99	Not applicable Not available	
	MONV11- MONV80				
2	dayv1	Weekday of the first visit	01 02 03 04 05 06 07 99	Monday Tuesday Wednesday Thursday Friday Saturday Sunday Not available	C
	dayv2-dayv10	Weekday of the second visit → Weekday of the tenth visit	01 02 03 04 05 06 07 66 99	Monday Tuesday Wednesday Thursday Friday Saturday Sunday Not applicable Not available	
	DAYV11- DAYV80				
3	hourv1	Hour of the first visit	0-23 99	Not available	C
	hourv2-	Hour of the second	0-23		

	hourv10 HOURV11- HOURV80	visit → Hour of the tenth visit	66 99	Not applicable Not available	
3	minv1 minv2-minv10 MINV11- MINV80	Minute of the first visit Minute of the second visit → Minute of the tenth visit	0-59 99 0-59 66 99	Not available Not applicable Not available	C
4	modevb1 modevb2-modevb10 MODEVB11- MODEVB80	Mode of the first visit Mode of the second visit → Mode of the tenth visit	1 2 3 4 5 9 1 2 3 4 5 6 9	Personal face-to-face Telephone Personal but only by intercom Information through survey organization Other Not available Personal face-to-face Telephone Personal but only by intercom Information through survey organization Other Not applicable Not available	C
	numtel	Number of automatic calls before face to face contact or contact attempts	666 999	Not applicable Not available	C
	numtela	Number of automatic calls after first face-to-face contact or contact attempts	666 999	Not applicable Not available	
5	resulb1	Result of the first visit	01 02 03 04 05	Completed interview Partial interview Contact with someone, don't know if respondent Contact with respondent but no interview Contact with someone other	C RNR

	resulb2- resulb10	Result of the second visit → Result of tenth visit	06 07 08 99 01 02 03 04 05 06 07 08 66 99	than respondent No contact at all Address not valid, unoccupied/demoli shed/institution Other information about sample unit Not available Completed interview Partial interview Contact with someone, don't know if respondent Contact with respondent but no interview Contact with someone other than respondent No contact at all Address not valid, unoccupied/demoli shed/institution Other information about sample unit Not applicable Not available	
	RESULB11- RESULB80				
6	outnic1- outnic10	Outcome when there was no interview (visit 1) → Outcome where there was no interview (visit 10)	01 02 03 04 05 06 07 08 09 10 11 12 13 66	Appointment Refusal of respondent Refusal by proxy Household refusal, before selection Respondent not available/away Respondent mentally/physically not able, ill/sick (short term) Respondent mentally/physically not able, ill/sick (long term) Respondent deceased Respondent moved out of country Respondent moved to unknown destination Respondent moved, still in country Language barrier Other Not applicable	C RNR
	OUTNIC11- OUTNIC80				

			99	Not available	
7	refvis1- refvis3	Number of visit at which a refusal occurred (1) → Number of visit at which a refusal occurred (3)	666 999	Not applicable Not available	C NR
	REFVIS4				
8	rersb1_1- rersb3_8	Reason for refusal (1_1) → Reason for refusal (3_8)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 66 99	Bad timing, otherwise engaged Not interested Don't know subject, too difficult for me Waste of time Waste of money Interferes with my privacy Never do surveys Co-operated too often Do not trust surveys Previous bad experience Don't like subject Refuses because no approval to cooperate Do not admit strangers to my house/afraid Other Not applicable Not available	NR RI
	RERSB4_1- RERSB4_8				
9	coop1-coop3	Estimation of the cooperation rate at refvis1 → Estimation of the cooperation rate at refvis3	1 2 3 4 8 66 99	Will definitely not cooperate in future Will probably not cooperate in future May perhaps co- operate in future Will cooperate in future Don't know, never saw R, no selected R Not applicable Not available	NR RI
	COOP4				
10	agea_1- agea_3	Estimation of age of respondent or household member who refuses, by interviewer	1 2 3 4 8	Under 20 20 to 39 40 to 59 60 or over Don't know, never saw R, no	NR RI

			66 99	selected R Not applicable Not available	
	AGEA_4				
11	gendera1- gendera3	Gender of respondent or household member who refuses, recorded by interviewer	1 2 8 66 99	Male Female Don't know, never saw R, no selected R Not applicable Not available	NR RI
	GENDERA4				
12	outinval	Outcome address invalid	01 02 03 04 05 06 07 66 99	Derelict or demolished house/address Not yet built/not yet ready for occupation Address is not occupied Address not residential: business purpose Address not residential: institution Address not traceable, address was not sufficient Other Not applicable Not available	NR RNR
N1	type	Type of house respondent lives in	01 02 03 04 05 06 07 08 09 10 88 99	Farm Single unit: Detached house Single unit: Semi- detached house Single unit: Terraced house Only housing unit in building with other purpose Multi-unit house, flat Multi-unit: student apartments, rooms Multi-unit: Sheltered /retirement housing House-trailer or boat Other Don't know Not available	
N2	access	Entry phone or locked gate/door before reaching respondent's	1 2 3	Yes, entry phone Yes, locked gate/door Yes, entry phone	

		individual door	4 9	and locked gate/door No, neither of these Not available	
N3	physa	Assessment overall physical condition building/house	1 2 3 4 5 9	Very good Good Satisfactory Bad Very bad Not available	
N4	littera	Amount of litter and rubbish in the immediate vicinity	1 2 3 4 9	Very large amount Large amount Small amount None or almost none Not available	
N5	vandaa	Amount of vandalism and graffiti in the immediate vicinity	1 2 3 4 9	Very large amount Large amount Small amount None or almost none Not available	
	reconva	Number of visit when refusal conversion activities started	00 666 999	No refusal conversion took place Not applicable Not available	C RI