# Designing Biosynthetic Gene Clusters (BGCs) using Conditional Variational Autoencoder (cVAE)

PHA6935 (Al for Drug Discovery)

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#### Outline

- Objectives + Recap
- Study Design
- Datasets
- Modeling
- Limitations
- Future Work
- References
- **■** Q/A

## Objectives

 Design novel BGCs using conditional variational autoencoder – a model based on deep learning that generates gene clusters when given a BGC class as input.

## Biosynthetic Gene Clusters (BGCs)

#### What are they?

- Found in bacteria, fungi and some plant species, BGCs are physically clustered groups of two or more genes that together encode a biosynthetic pathway for producing a secondary metabolite. Common types include clusters for nonribosomal peptide synthetase (NRPS), polyketide synthase (PKS), terpenes, and ribosomally synthesized and post-translationally modified peptides (RiPPs).
- These secondary metabolites represent a rich reservoir of small molecule drug candidates utilized as antimicrobial drugs, anticancer therapies, and immunomodulatory agents. (Hannigan et al.)

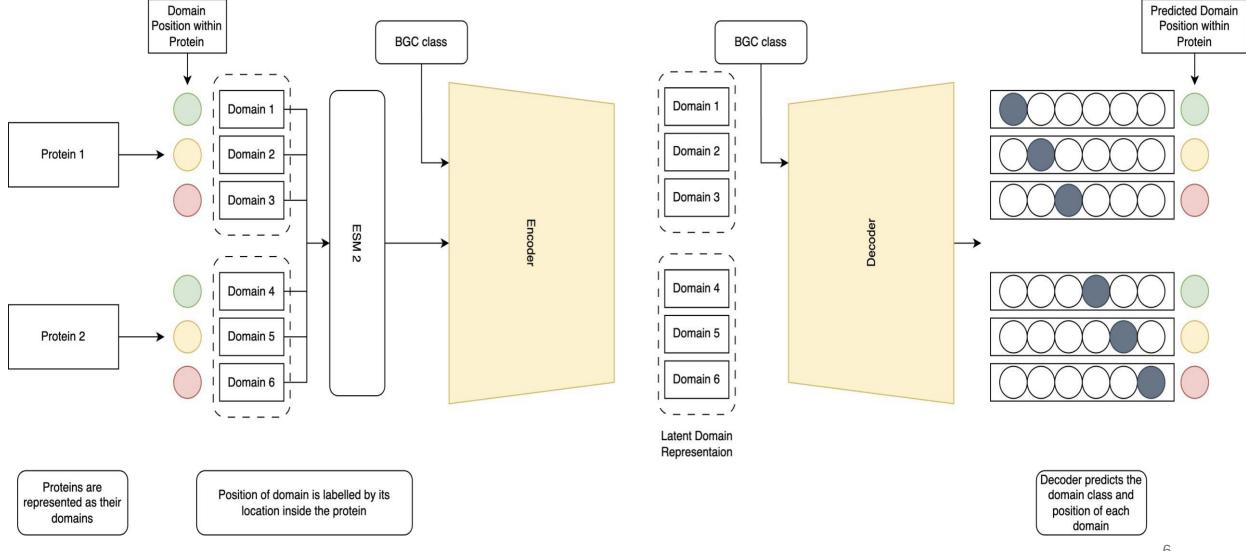
#### How do they work – Biologically (Operons, transcription)

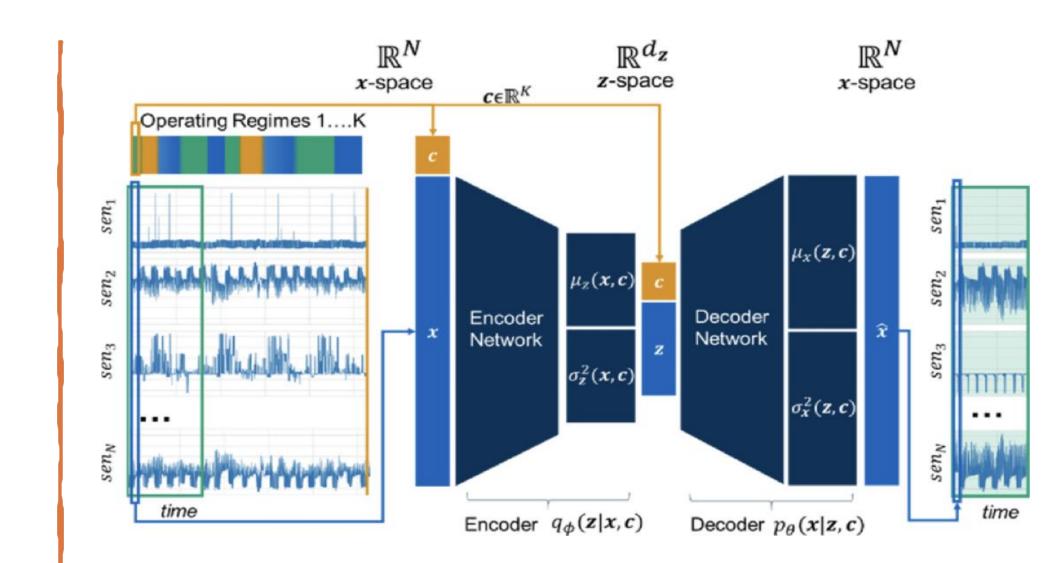
BGCs often contain several operons that are coordinately regulated.

## Why do we need to design BGCs

- Refactoring existing BGCs by modifying regulatory elements, promoters, or gene organization can enhance the expression and yield of desired compounds.
- Many natural BGCs are silent or poorly expressed under standard laboratory conditions. Designing new regulatory systems or reconstructing BGCs can activate these silent pathways, unlocking their potential for producing novel metabolites and drug discovery.

## BGCs design using cVAE



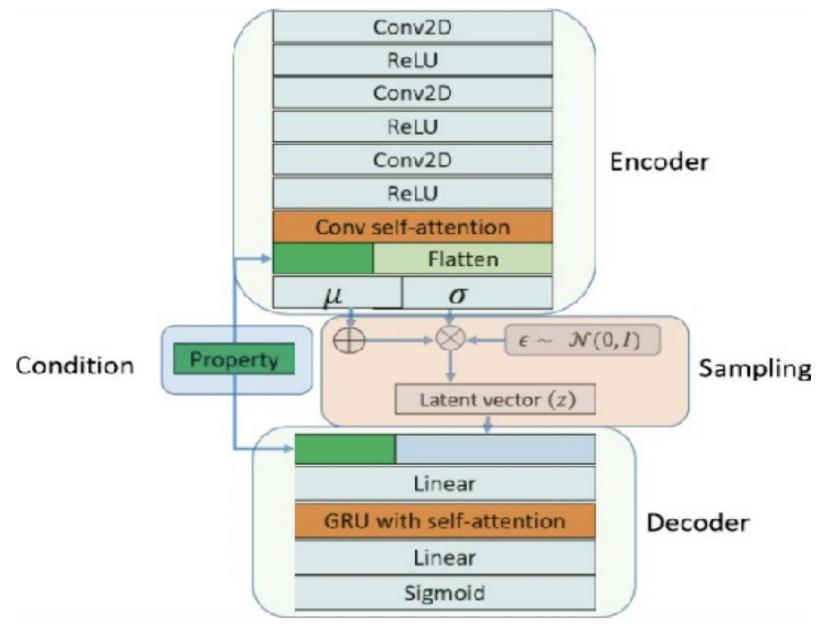


cVAE

Source: http://dx.doi.org/10.1016/j.jmsy.2021.02.006

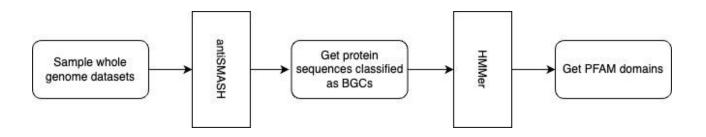
# Attention-based cVAE

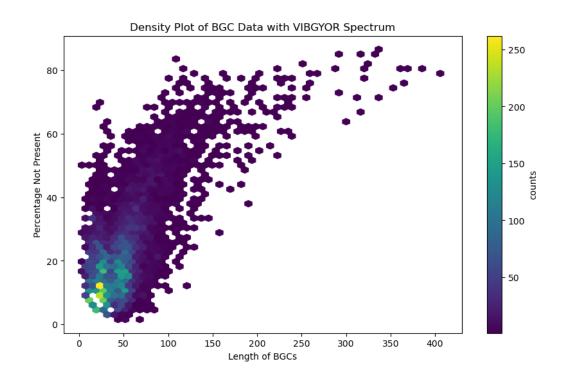
Sofi et al built a model using 2D convolutional layers in the encoder, followed by a convolutional-based selfattention layer (SAL), while employing an attention- based Recurrent Neural Network (RNN) for the decoder

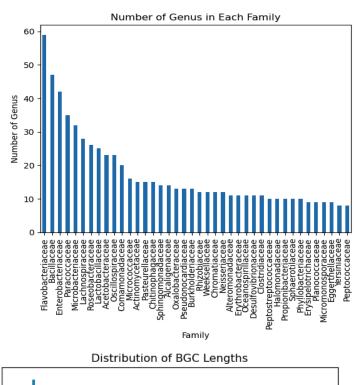


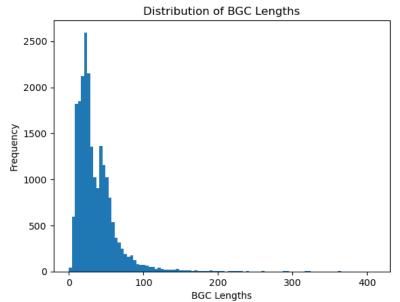
Sofi et al. (2023)

#### **Datasets**

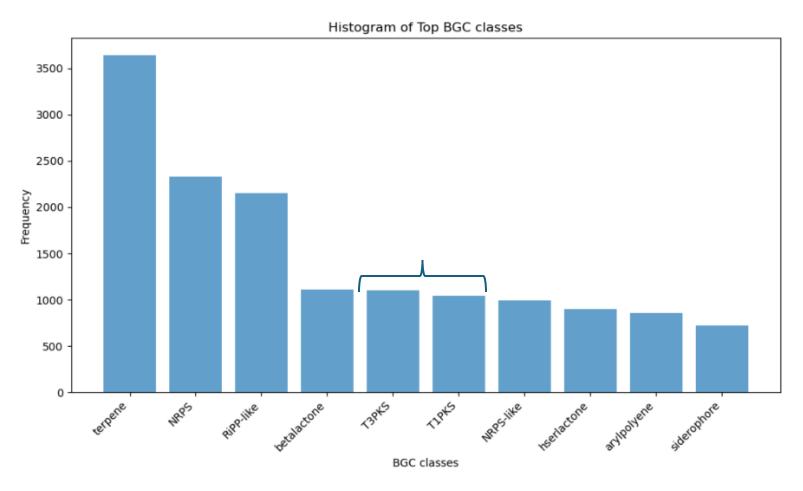








## T1PKS-T3PKS ~2000 Samples



Type I and Type III polyketide synthases (PKSs) differ mainly in their structure and mechanism:

- Type I PKS: Large, modular, multifunctional enzymes. Each module is responsible for a single elongation step, and the modules are arranged in a linear sequence. Found in bacteria.
- Type III PKS: Small, homodimeric enzymes. They lack modularity and catalyze iterative chain extension using a single active site. Found in *plants*, *fungi*, and bacteria.

## Modeling

➤ Bgc-cVAE ESM1b Model

➤ Attention-Based bgc-cVAE Model

Seq2Seq bgc-cVAE

## Bgc-cVAE ESM1b Model

#### **Data Preprocessing**

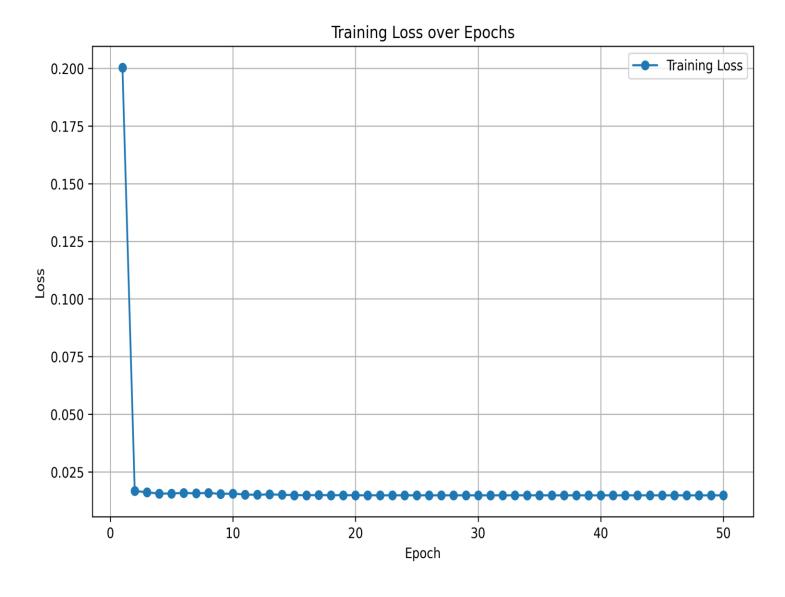


Step	Description	
1. Load PFAM Sequences	Input the sequences from the provided dataset.	
2. Preprocess Sequences	2. Preprocess Sequences Clean and filter the sequences to prepare for embedding.	
3. Apply ESM Model Use the ESM model to generate embeddings for sequence		
4. Extract Embeddings Obtain the numerical embeddings for downstream tasks.		
5. Save Embeddings	Store the embeddings in a structured format for modeling.	

☐ Total PFAM IDs in MiBIG: 3685 ☐ Total PFAM IDs in final\_pfams.fasta: 19092 ☐ Common PFAM IDs: 3685 ☐ Shape of PFAM embeddings (pfam\_dense): (2024, 19092) ☐ Shape of ESM embeddings (esm\_dense): (19450, 1280) ☐ Number of rows in MiBIG dataset: 2024 ☐ Padding PFAM embeddings with 17426 rows to match FSM embeddings... Concatenated embedding shape: (19450, 20372)

#### Model Architecture and Parameters

Component Details		Details	
0	Model Type	Conditional Variational Autoencoder (cVAE)	
1	Encoder Input Dimensions	(batch_size=64, input_dim=1280)	
2	Latent Space Dimensions	latent_dim=128	
3	Encoder Layers		
4	Decoder Input Dimensions	s (latent_dim=128 + condition_dim=10)	
5	Decoder Output Dimensions	Decoder Output Dimensions (batch_size=64, output_dim=1280)	
6	Embedding Data Shape	(19450, 1280)	
7	Condition Data Shape	(19450, 3)	
8	Number of BGC Classes	Number of BGC Classes 3	
9	Activation Functions	Activation Functions ReLU (encoder), Sigmoid (decoder)	
10	Latent Sampling Equation	z = mu + exp(0.5 * logvar) * epsilon	
11	Loss Function	MSE + KL Divergence	
12	Optimizer	Adam Optimizer	
13	Learning Rate 0.001		
14	Batch Size	64	
15	Epochs	50	
16	Gradient Clipping	1.0	
17	Learning Rate Scheduler	ReduceLROnPlateau (factor=0.1, patience=5)	
18	Training Focus	MLP layers for both Encoder/Decoder, no CNN/RNN	



#### **Loss Function Components:**

- Reconstruction Loss (MSE): Measures how well the reconstructed output matches the input embeddings.
- **KL Divergence**: Encourages the latent space to follow a Gaussian distribution, facilitating smooth sampling.
- Combined Loss:

Total Loss = Reconstruction Loss +  $\beta$  × KL Divergence

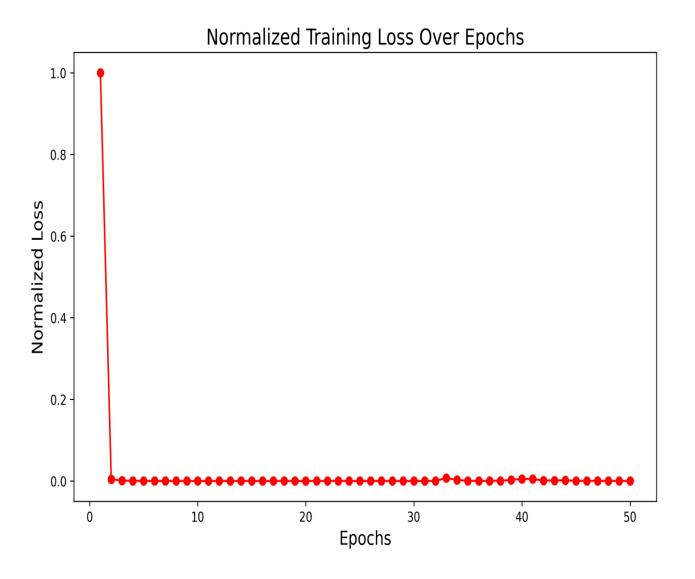
(where  $\beta$  = 1.0 in this case unless otherwise specified).

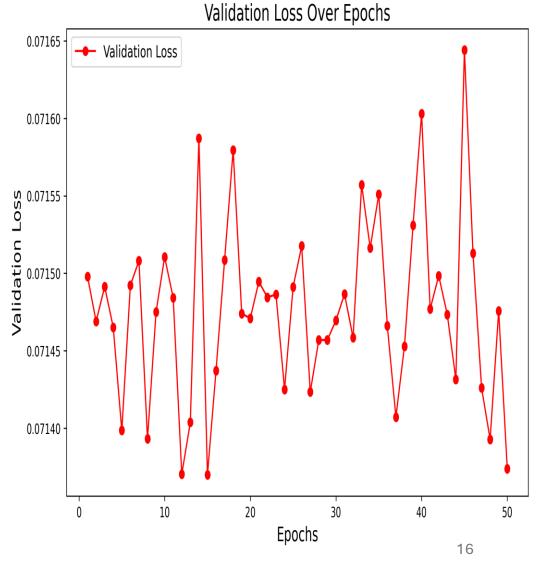
## Attention-Based bgc-cVAE Model

#### Model Architecture and Results

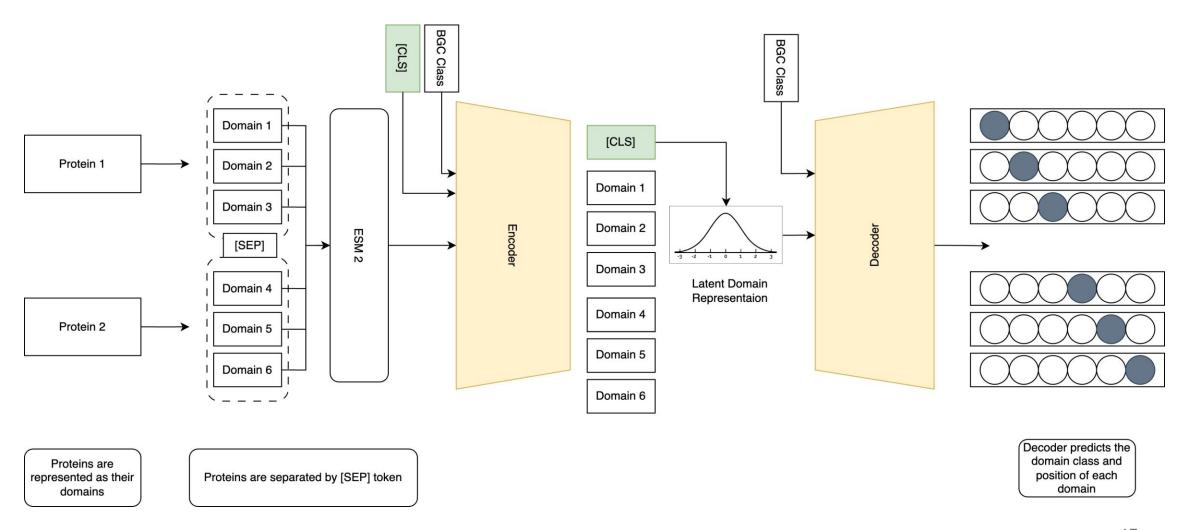
Γ	Component	Details
0	Model Type	Conditional Variational Autoencoder (CVAE)
1	Encoder Input Dimensions	(batch_size=32, input_dim=1280) torch.Size([32, 130, 1280])
2	Padded Embeddings Shape	torch.Size([32, 130, 1280])
3	Padded Embeddings Shape Latent Space Dimensions	latent dim=128
4	Encoder Layers	1D CNN: Conv1d -> MaxPool1d -> Fully Connected
5	Decoder Input Dimensions	(latent_dim=128 + condition_dim=10)
6	Decoder Output Dimensions	(batch_size=32, output_dim=1280)
7	Decoder Output Dimensions Embedding Data Shape Condition Data Shape	1D CNN: Conv1d -> MaxPool1d -> Fully Connected  (latent_dim=128 + condition_dim=10)  (batch_size=32, output_dim=1280)  (2145, 1280)
8	Condition Data Shape	(2145, 3)
9	Number of BGC Types	2145
10	Train Size	1716
11	Validation Size	214
12	Test Size	215
13	Shape of First Embedding	(148, 1280)
14	Activation Functions	ReLU (encoder), Sigmoid (decoder)  z = mu + exp(0.5 * logvar) * epsilon  MSE + KL Divergence
15	Latent Sampling Equation	z = mu + exp(0.5 * logvar) * epsilon
16	Loss Function	MSE + KL Divergence
17	Optimizer	Adam Optimizer
18	Learning Rate	0.001
19 20	Batch Size	32
20	Epochs	50
21 22 23 24	Gradient Clipping	1.0
22	Learning Rate Scheduler	ReduceLROnPlateau (factor=0.1, patience=5)
23	Training Focus	1D CNN for Encoder, LSTM + Attention for Decoder
24	BLEU Score	0.8187

## Training vs Validation Loss

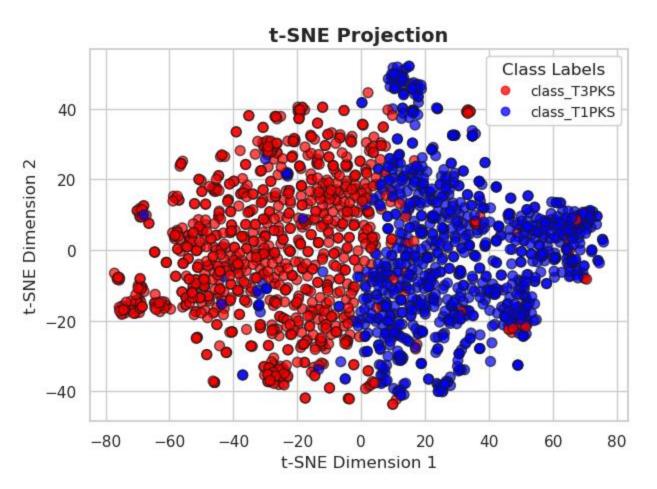


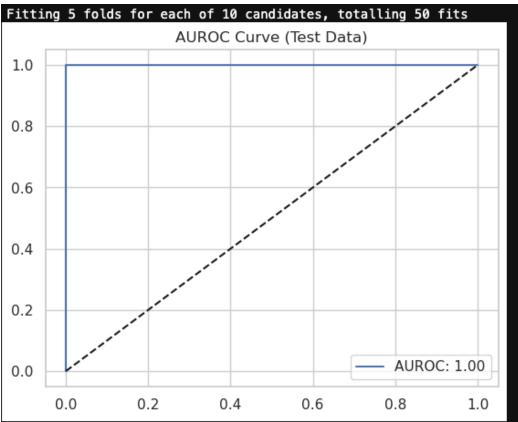


## Seq2Seq bgc-cVAE



## Seq2Seq bgc-cVAE – ESM1b Oracle Model

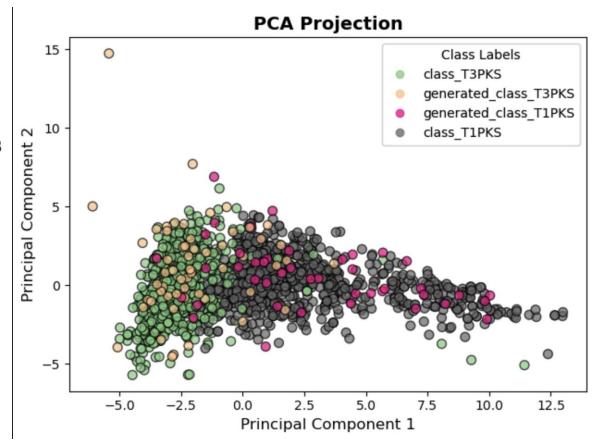




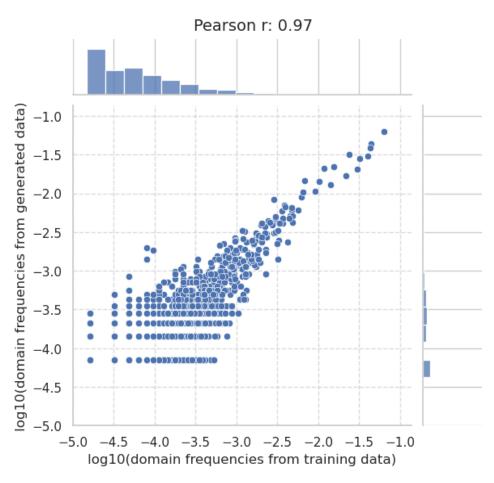
## Seq2Seq bgc-cVAE - Results

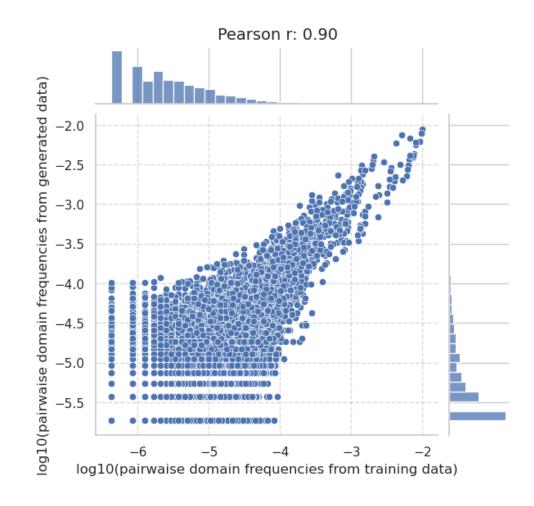
Table 1: Prediction Percentages for Generated BGCs

Seed	T3PKS (%)	T1PKS (%)
42	74.71	83.26
123	71.83	83.06
456	72.00	85.60



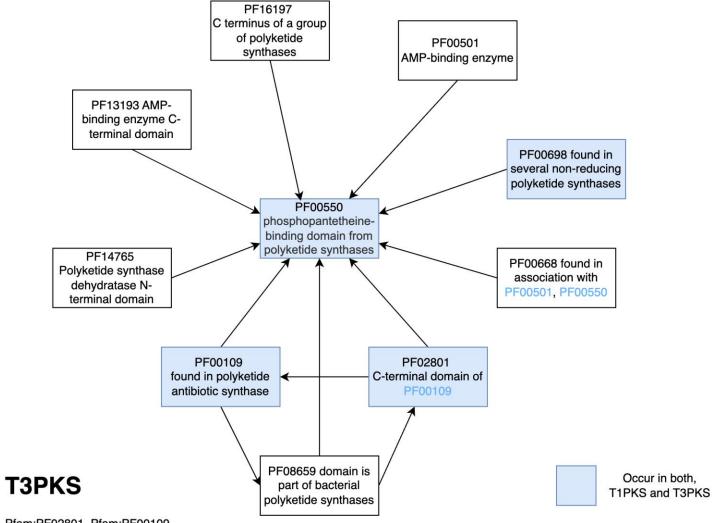
## Seq2Seq bgc-cVAE - Results





Category	Percentage (%)
Paired-domains in train not in synthetic	85.36
Paired-domains in synthetic not in train	40.02

## Seq2Seq bgc-cVAE - Results



Pfam:PF02801, Pfam:PF00109, Pfam:PF00550, Pfam:PF00975, Pfam:PF00698 are found in aflatoxin biosynthesis pathway, produced in fungi

#### Limitations

- Trained on limited data, with only 2 BGC class types
- Data is generated from antiSMASH, which is a rule-based method to detect BGCs
- More metrics need to be deviced for accurate classification of BGCs generated via seq2seq model

#### **Future Work**

#### Short-term

- While occurrence of functional domains in synthetic BGCs is important, it is equally important that the domains occur in correct order – need for a new metric (MSA based on pfam domains)
- Train on more data with naturally occurring BGCs
- Ablation study using random domain embeddings instead of ESM1b

#### Long-term

 Model that learns to modify plant BGCs to closely mimic yeast BGCs (yeastizing plant enzymes + microbial cell factories)

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## Thank you!

Q/A