

# Electromagnetic solvers in 2D and 3D

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BOUT++ Workshop

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- Potential fields in reduced MHD models
- 2D direct solvers used in BOUT and BOUT++
- 2D iterative solvers in BOUT++ (using PETSc)
- Issues with 2D solvers
- 3D solvers and preliminary results
- Some different approaches
- Discussion

Drift-reduced fluid models usually formulated in terms of a vorticity

- Fluid velocity assumed to have form  $\mathbf{v} = v_{\parallel} \mathbf{b} + \text{drifts}$
- Rather than evolving  $\mathbf{v}$ , solve for  $v_{\parallel}$  and a scalar vorticity  $\omega = \mathbf{b} \cdot \nabla \times (m_i n_i \mathbf{v})$
- From either momentum or charge conservation  $\nabla \cdot \mathbf{J} = 0$ :

$$\frac{\partial}{\partial t} \nabla \cdot \left( \frac{m_i n}{B^2} \nabla_{\perp} \phi \right) = \nabla \cdot (\mathbf{J}_{\parallel} \mathbf{b}) + \nabla \cdot \mathbf{J}_{\text{dia}} + \text{Higher order terms}$$

with  $\nabla_{\perp} = \nabla - \mathbf{b} \mathbf{b} \cdot \nabla$

- Inverting the operator  $\nabla \cdot \left( \frac{m_i n}{B^2} \nabla_{\perp} \phi \right)$  to obtain the potential  $\phi$  is a major part of the complexity and computational expense in a drift-reduced fluid simulation

In curvilinear coordinates the operator to be inverted is

$$\nabla \cdot \left( \frac{m_i n}{B^2} \nabla_{\perp} \phi \right) = \frac{1}{J} \frac{\partial}{\partial u^i} \left( J \frac{m_i n}{B^2} g^{ij} (\nabla_{\perp} \phi)_j \right)$$

- The Clebsch coordinate system  $\mathbf{B} = \nabla\psi \times \nabla\alpha$  used in BOUT++ is non-orthogonal, since  $\alpha =$  toroidal angle.
- This enables FFTs to be used, but  $g^{ij} (\nabla_{\perp} \phi)_j \neq 0$  along  $\mathbf{B}$  direction.
- Drift ordering  $k_{\parallel} \ll k_{\perp}$  is usually used to drop derivatives along  $\mathbf{B}$ .
- This reduces the number of dimensions to 2, reducing the computational difficulty.

## 2D inversion using FFTs

The standard BOUT++ coordinate system (inherited from BOUT)<sup>1</sup> uses toroidal angle  $\zeta$  as one of its coordinates:

$$\begin{aligned}x &= \psi - \psi_0 & y &= \theta \\z &= \zeta - \int_{\theta_0}^{\theta} \nu(\psi, \theta) d\theta\end{aligned}$$

with  $\nu(\psi, \theta) = \frac{\mathbf{B} \cdot \nabla \zeta}{\mathbf{B} \cdot \nabla \theta}$  is the local field-line pitch.

- In these coordinates equilibrium quantities and metric components are constant, so Fourier transforms can be used
- **But:** Only if we assume that the coefficient is constant in  $\zeta$

$$\frac{1}{J} \frac{\partial}{\partial u^i} \left( \underbrace{J \frac{m_i n}{B^2} g^{ij}}_{\text{Constant in } \zeta} (\nabla_{\perp} \phi)_j \right)$$

Commonly called the **Boussinesq approximation**

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<sup>1</sup>See coordinates manual for details

## 2D inversion using FFTs

In the Laplacian class implementations, the operator is expanded in a non-conservative form:

$$\nabla \cdot (\alpha \nabla_{\perp} \phi) = \omega \quad \rightarrow \quad \nabla_{\perp}^2 \phi + \frac{1}{\alpha} \nabla_{\perp} \alpha \cdot \nabla_{\perp} \phi = \omega / \alpha$$

which is solved by setting coefficients:

$$D \nabla_{\perp}^2 x + \frac{1}{C} \nabla_{\perp} C \cdot \nabla_{\perp} x = b$$

```
Laplacian* phiSolver = Laplacian::create();
```

```
phiSolver->setCoefD(1.0); // This is the default  
phiSolver->setCoefC(alpha);
```

```
Field3D phi = phiSolver->solve(omega / alpha);
```

**Note:** If any coefficients depend on  $z$  ( $\zeta$ ) then they are averaged

## 2D inversion using FFTs

The Laplacian operator can be written in terms of  $\psi$  derivatives as:

$$\begin{aligned}\nabla_{\perp}^2 &= (RB_{\theta})^2 \left[ \frac{\partial^2}{\partial \psi^2} + \frac{B^2}{(RB_{\theta})^4} \frac{\partial^2}{\partial z^2} \right] \\ &+ \frac{1}{J} \frac{\partial}{\partial \psi} \left[ J (RB_{\theta})^2 \right] \frac{\partial}{\partial \psi} - \frac{1}{J} \frac{\partial}{\partial y} \left( \frac{B_{\zeta}}{B_{\theta}^2 R} \right) \frac{\partial}{\partial z}\end{aligned}$$

Taking Fourier transforms in  $z$ ,

$$\frac{\partial}{\partial z} \rightarrow -ik_z$$

- For each toroidal mode  $k_z$ , these equations reduce to a second order equation in  $\psi$  (or  $x$ ).
- These can be solved independently using efficient algorithms

## 2D inversion using FFTs: Implementation

The 1D equations in  $x$  are discretised using a 3-point stencil

- Tridiagonal system of equations

Boundary conditions need to be set on inner and outer  $x$

- Zero value (the default)
- Zero gradient
- Decaying Laplacian approximation
- Cylindrical boundary condition
- ...

See `include/invert_laplace.hxx`

Set using a system of flags

```
phiSolver->setInnerBoundaryFlags (INVERT_DC_GRAD);  
phiSolver->setOuterBoundaryFlags (INVERT_AC_GRAD);
```

- Note the distinction between DC ( $k_z = 0$ ) and AC ( $k_z \neq 0$ ) components:  $k_z = 0$  is a special case due to gauge invariance



The Boussinesq approximation can lead to non-conserved energy

- Solving equations for the shear Alfvén wave: Vorticity  $\omega$  and electromagnetic potential  $A_{\parallel}$ , with auxiliary equations for the electrostatic potential  $\phi$  and parallel current  $j_{\parallel} = \mathbf{b}_0 \cdot \mathbf{j}$ :

$$\begin{aligned}\frac{\partial \omega}{\partial t} &= \nabla \cdot (\mathbf{b}_0 j_{\parallel}) & \frac{\partial A_{\parallel}}{\partial t} &= -\mathbf{b}_0 \cdot \nabla \phi \\ \omega &= \nabla \cdot \left( \frac{m_i n}{B^2} \nabla_{\perp} \phi \right) & \nabla_{\perp}^2 A_{\parallel} &= -\mu_0 j_{\parallel}\end{aligned}$$

- This has a conserved energy

$$E = \frac{1}{2} \int dV \left[ \frac{m_i n}{B^2} |\nabla_{\perp} \phi|^2 + \frac{1}{\mu_0} |\nabla_{\perp} A_{\parallel}|^2 \right]$$

# Energy conservation

Making the approximation

$$\nabla \cdot \left( \frac{m_i n}{B^2} \nabla_{\perp} \phi \right) \simeq \nabla \cdot \left( \underbrace{\frac{m_i n_0}{B^2}}_{\text{Axisymmetric, constant}} \nabla_{\perp} \phi \right)$$

modifies the conserved energy, but the approximation

$$\nabla \cdot \left( \frac{m_i n}{B^2} \nabla_{\perp} \phi \right) \simeq n \nabla \cdot \left( \frac{m_i}{B^2} \nabla_{\perp} \phi \right)$$

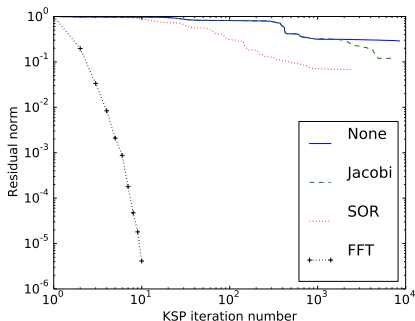
introduces an energy source

$$\frac{dE}{dt} = \int dV \left[ \phi \frac{m_i}{B^2} \frac{\partial \nabla_{\perp} \phi}{\partial t} \cdot \nabla n \right]$$

→ Would like to remove the Boussinesq approximation

# 2D inversion using PETSc

- BOUT++ can use the PETSc library to solve these equations
- Contains a number of iterative schemes e.g. CG, GMRES, ...
- To find a solution efficiently, a preconditioner is needed



- See talk at 2013 workshop: [bout2013.llnl.gov](http://bout2013.llnl.gov)
- Examples: `examples/blob2d`
- Need to compile and configure with PETSc

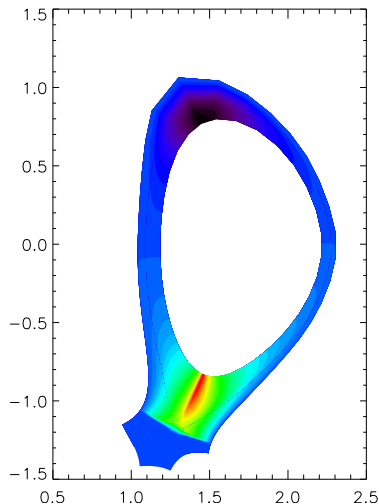
```
./configure --with-petsc
```

- 1 **Boundary conditions:** A separate boundary condition is imposed on each  $x - z$  plane ( $\psi - \zeta$  in most simulations). This may over-constrain the problem

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- 2 **Difficulty with  $m = 0$  modes:** If  $z$  is toroidal angle, then the  $y$  (parallel; poloidal) derivatives cannot be neglected for the  $n = 0, m = 1$  mode.
  - Becomes particularly problematic in X-point geometry
  - Switching to using planes in  $\psi - \theta$  helps, but loses the ability to take Fourier transforms and reduce to 1-D problem.

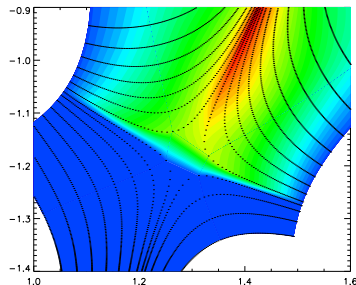
# Numerical methods - Global X-point geometry

- The standard method previously used has problems solving for global-scale electric fields (e.g.  $n = 0$ ) in X-point geometry



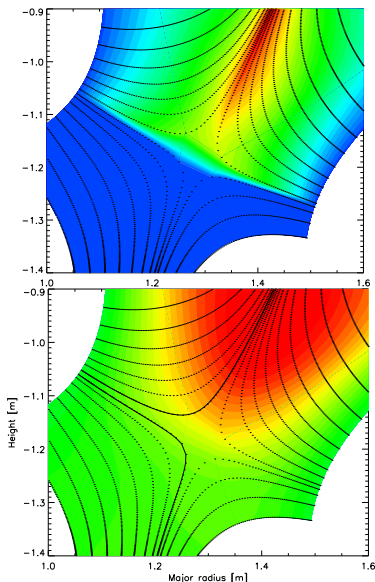
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# Numerical methods - Global X-point geometry

- The standard method previously used has problems solving for global-scale electric fields (e.g.  $n = 0$ ) in X-point geometry
- Neglect of “small” quantities can lead to unphysical solutions
- Efficient methods implemented to solve full 3D problem
- Should enable more realistic simulation of global X-point geometry





A big problem for all 3D simulations is **Fast timescales**: Parallel electron dynamics tends to make timesteps very small ( $\ll$  ion cyclotron time).

- How to include parallel Ohm's law, but remove these timescales?
- Preconditioning complicated by mixing of  $k_{\perp}$  and  $k_{\parallel}$ .  
→ Multigrid methods?
- P.Tamain: Combining Ohm's law and Vorticity equation into 3D equation for  $\phi$
- Is there a better way?