## **Operating Systems**

Lecture: Synchronization

Anton Burtsev November, 2021

## Starting other CPUs

```
1317 main(void)
                     Started from main()
1318 {
1336
      startothers(); // start other processors
1337 kinit2(P2V(4*1024*1024), P2V(PHYSTOP));
1338 userinit(); // first user process
      mpmain();
1339
1340 }
```

### Starting other CPUs

- Copy start code in a good location
  - 0x7000 (remember same as the one used by boot loader)
- Pass start parameters on the stack
  - Allocate a new stack for each CPU
  - Send a magic inter-processor interrupt (IPI) with the entry point (mpenter())

```
1374 startothers(void)
                                    Start other CPUs
1375 {
       code = P2V(0x7000):
1384
       memmove(code, _binary_entryother_start,
1385

    Copy start code to 0x7000

    Start code is linked into the kernel.

               (uint)_binary_entryother_size);
                                                         • binary entryother start
1386
                                                         • binary entryother size
       for(c = cpus; c < cpus+ncpu; c++){</pre>
1387
         if(c == cpus+cpunum()) // We've started already.
1388
1389
            continue:
. . .
         stack = kalloc();
1394
1395
          *(void**)(code-4) = stack + KSTACKSIZE;
1396
          *(void**)(code-8) = mpenter;
          *(int**)(code-12) = (void *) V2P(entrypgdir);
1397
1398
```

lapicstartap(c->apicid, V2P(code));

1399

```
1374 startothers(void)
```

### Start other CPUs

```
1375 {
       code = P2V(0x7000):
1384
1385
       memmove(code, _binary_entryother_start,
              (uint)_binary_entryother_size);
1386
       for(c = cpus; c < cpus+ncpu; c++){</pre>
1387
1388
         if(c == cpus+cpunum()) // We've started already.
1389
           continue:
         stack = kalloc();
1394
                                                      stack?
         *(void**)(code-4) = stack + KSTACKSIZE:
1395
1396
         *(void**)(code-8) = mpenter;
         *(int**)(code-12) = (void *) V2P(entrypgdir);
1397
1398
         lapicstartap(c->apicid, V2P(code));
1399
```

 Allocate a new kernel stack for each CPU

 What will be running on this

#### 1374 startothers(void)

### Start other CPUs

```
1375 {
      code = P2V(0x7000):
1384

    Allocate a new

1385
      memmove(code, _binary_entryother_start,
                                                   kernel stack for
              (uint)_binary_entryother_size);
                                                   each CPU
1386
      for(c = cpus; c < cpus+ncpu; c++){</pre>
1387
1388
         if(c == cpus+cpunum()) // We've started already.
1389
          continue:

    What will be

                                                   running on this
         stack = kalloc();
1394
                                                   stack?
         *(void**)(code-4) = stack + KSTACKSIZE:
1395

    Scheduler

1396
         *(void**)(code-8) = mpenter;
         *(int**)(code-12) = (void *) V2P(entrypgdir);
1397
1398
         lapicstartap(c->apicid, V2P(code));
1399
```

```
1374 startothers(void)
                                 Start other CPUs
1375 {
1384 code = P2V(0x7000):
      memmove(code, _binary_entryother_start,
1385
              (uint) binary entryother size);
1386
      for(c = cpus; c < cpus+ncpu; c++){</pre>
1387
         if(c == cpus+cpunum()) // We've started already.
1388
1389
          continue:

    What is done

. . .
                                                   here?
         stack = kalloc();
1394
1395
         *(void**)(code-4) = stack + KSTACKSIZE;
1396
         *(void**)(code-8) = mpenter;
         *(int**)(code-12) = (void *) V2P(entrypgdir);
1397
1398
         lapicstartap(c->apicid, V2P(code));
1399
```

```
1374 startothers(void)
                                  Start other CPUs
1375 {
1384 code = P2V(0x7000):
1385
       memmove(code, _binary_entryother_start,
              (uint) binary entryother size);
1386
       for(c = cpus; c < cpus+ncpu; c++){</pre>
1387
         if(c == cpus+cpunum()) // We've started already.
1388
1389
           continue:
                                                  What is done here?
. . .

    Kernel stack

         stack = kalloc();
1394
                                                    Address of mpenter()
1395
         *(void**)(code-4) = stack + KSTACKSIZE;

    Physical address of

                                                      entrypgdir
1396
         *(void**)(code-8) = mpenter;
         *(int**)(code-12) = (void *) V2P(entrypgdir);
1397
1398
         lapicstartap(c->apicid, V2P(code));
1399
```

```
1374 startothers(void)
```

### Start other CPUs

```
1375 {
      code = P2V(0x7000):
1384
       memmove(code, _binary_entryother_start,
1385
              (uint) binary entryother size);
1386
       for(c = cpus; c < cpus+ncpu; c++){</pre>
1387
1388
         if(c == cpus+cpunum()) // We've started already.
1389
           continue:

    Send "magic"

                                                    interrupt
         stack = kalloc();
1394

    Wake up other

         *(void**)(code-4) = stack + KSTACKSIZE;
1395
                                                     CPUs
1396
         *(void**)(code-8) = mpenter;
         *(int**)(code-12) = (void *) V2P(entrypgdir);
1397
1398
         lapicstartap(c->apicid, V2P(code));
1399
```

```
1123 .code16
1124 .globl start
1125 start:
1126
       cli
1127
1128
       xorw %ax, %ax
       movw %ax, %ds
1129
1130
       movw %ax, %es
       movw %ax, %ss
1131
1132
```

## entryother.S

- Disable interrupts
- Init segments with 0

```
1133
       lgdt gdtdesc
1134
       movl %cr0, %eax
      orl $CRO_PE, %eax
1135
      movl %eax, %cr0
1136
       ljmpl $(SEG_KCODE<<3), $(start32)</pre>
1150
1151
1152 .code32
1153 start32:
      movw $(SEG_KDATA<<3), %ax</pre>
1154
1155
      movw %ax, %ds
      movw %ax, %es
1156
      movw %ax, %ss
1157
1158
      movw $0, %ax
1159
      movw %ax, %fs
      movw %ax, %gs
1160
```

### entryother.S

- Load GDT
- Switch to 32bit mode
  - Long jump to start32
- Load segments

```
1162
      # Turn on page size extension for 4Mbyte pages
1163
      movl %cr4, %eax
      orl $(CR4_PSE), %eax
1164
1165
      movl %eax, %cr4
      # Use enterpgdir as our initial page table
1166
1167
      movl (start-12), %eax
1168
      movl %eax, %cr3
1169
     # Turn on paging.
1170
      movl %cr0, %eax
      orl $(CRO_PE|CRO_PG|CRO_WP), %eax
1171
1172
      movl %eax. %cr0
1173
1174
      # Switch to the stack allocated by startothers()
1175
      movl (start-4), %esp
1176
      # Call mpenter()
                                              entryother.S
      call *(start-8)
1177
```

```
1162
      # Turn on page size extension for 4Mbyte pages
1163
      movl %cr4, %eax
      orl $(CR4_PSE), %eax
1164
1165
      movl %eax, %cr4
      # Use enterpgdir as our initial page table
1166
1167
      movl (start-12), %eax
1168
      movl %eax, %cr3
1169
     # Turn on paging.
1170
      movl %cr0, %eax
      orl $(CRO_PE|CRO_PG|CRO_WP), %eax
1171
1172
      movl %eax. %cr0
1173
1174
      # Switch to the stack allocated by startothers()
1175
      movl (start-4), %esp
1176
      # Call mpenter()
                                             entryother.S
      call *(start-8)
1177
```

```
1162
      # Turn on page size extension for 4Mbyte pages
1163
      movl %cr4, %eax
      orl $(CR4_PSE), %eax
1164
1165
      movl %eax, %cr4
1166
      # Use enterpgdir as our initial page table
      movl (start-12), %eax
1167
1168
      movl %eax, %cr3
1169
     # Turn on paging.
1170
      movl %cr0, %eax
      orl $(CRO_PE|CRO_PG|CRO_WP), %eax
1171
1172
      movl %eax, %cr0
1173
1174
      # Switch to the stack allocated by startothers()
1175
      movl (start-4), %esp
1176
      # Call mpenter()
                                             entryother.S
      call *(start-8)
1177
```

```
1162
      # Turn on page size extension for 4Mbyte pages
1163
      movl %cr4, %eax
      orl $(CR4_PSE), %eax
1164
1165
      movl %eax, %cr4
1166
      # Use enterpgdir as our initial page table
1167
      movl (start-12), %eax
1168
      movl %eax, %cr3
1169
     # Turn on paging.
1170
      movl %cr0, %eax
      orl $(CRO_PE|CRO_PG|CRO_WP), %eax
1171
      movl %eax, %cr0
1172
1173
1174
      # Switch to the stack allocated by startothers()
1175
      movl (start-4), %esp
1176
      # Call mpenter()
                                             entryother.S
      call *(start-8)
1177
```

```
1251 static void
1252 mpenter(void)
1253 {
1254
       switchkvm();
1255
       seginit();
1256
       lapicinit();
       mpmain();
1257
1258 }
```

```
1251 static void
1252 mpenter(void)
                       Init segments
1253 {
1254
       switchkvm();
1255
       seginit();
       lapicinit();
1256
1257
       mpmain();
1258 }
```

```
seginit(void)
                                    Init segments
 struct cpu *c;
 // Map "logical" addresses to virtual addresses using identity map.
  // Cannot share a CODE descriptor for both kernel and user
 // because it would have to have DPL_USR, but the CPU forbids
 // an interrupt from CPL=0 to DPL=3.
 c = &cpus[cpuid()];
 c->gdt[SEG_KCODE] = SEG(STA_X|STA_R, 0, 0xffffffff, 0);
 c->gdt[SEG_KDATA] = SEG(STA_W, 0, 0xffffffff, 0);
 c->gdt[SEG_UCODE] = SEG(STA_X|STA_R, 0, 0xffffffff, DPL_USER);
 c->gdt[SEG_UDATA] = SEG(STA_W, 0, 0xffffffff, DPL_USER);
 lgdt(c->gdt, sizeof(c->gdt));
```

### Per-CPU variables

Variables private to each CPU

#### Per-CPU variables

- Variables private to each CPU
  - Current running process
  - Kernel stack for interrupts
    - Hence, TSS that stores that stack

```
struct cpu cpus[NCPU];
```

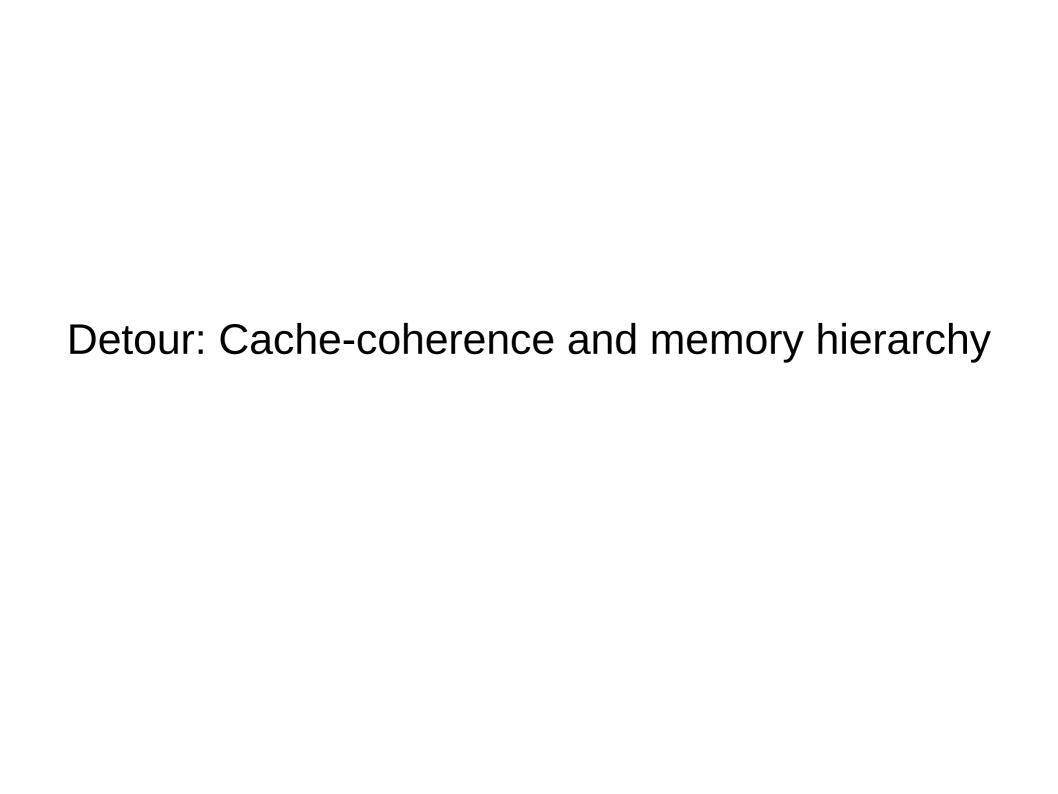
```
// Per-CPU state
struct cpu {
                             // Local APIC ID
 uchar apicid;
  struct context *scheduler; // swtch() here to enter scheduler
  struct taskstate ts;
                             // Used by x86 to find stack for interrupt
  struct segdesc gdt[NSEGS]; // x86 global descriptor table
 volatile uint started;
                             // Has the CPU started?
 int ncli;
                              // Depth of pushcli nesting.
                              // Were interrupts enabled before pushcli?
 int intena;
  struct proc *proc;
                             // The process running on this cpu or null
};
```

extern struct cpu cpus[NCPU];

```
cpuid()
// Must be called with interrupts disabled
int cpuid() {
 return mycpu()-cpus;
struct cpu* mycpu(void)
 int apicid, i;
  if(readeflags()&FL_IF)
   panic("mycpu called with interrupts enabled\n");
 apicid = lapicid();
 // APIC IDs are not guaranteed to be contiguous. Maybe we should have
 // a reverse map, or reserve a register to store &cpus[i].
 for (i = 0; i < ncpu; ++i) {
    if (cpus[i].apicid == apicid)
     return &cpus[i];
 }
 panic("unknown apicid\n");
```

```
1250 // Common CPU setup code.
1251 static void
1252 mpmain(void)
                                       mpmain()
1253 {
1254 cprintf("cpu%d: starting %d\n", cpuid(), cpuid());
1255 idtinit(); // load idt register
     xchg(&(mycpu()->started), 1); // tell startothers() we're up
1256
1257 scheduler(); // start running processes
1258 }
```

## How CPUs access memory?



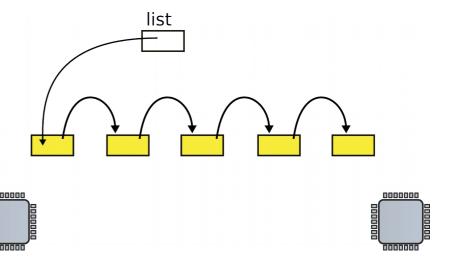
## Synchronization

### Race conditions

- Example:
  - Disk driver maintains a list of outstanding requests
  - Each process can add requests to the list

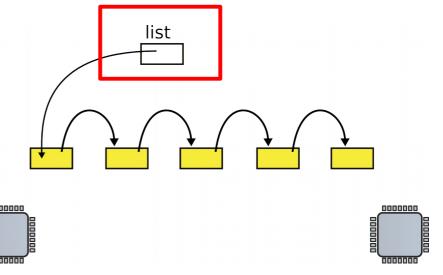
```
1 struct list {
    int data;
    struct list *next;
4 };
6 struct list *list = 0;
9 insert(int data)
10 {
11
     struct list *1;
12
13
     1 = malloc(sizeof *1);
14
     1->data = data;
15
     1->next = list;
16
     list = 1;
17 }
```

- List
  - One data element
  - Pointer to the next element



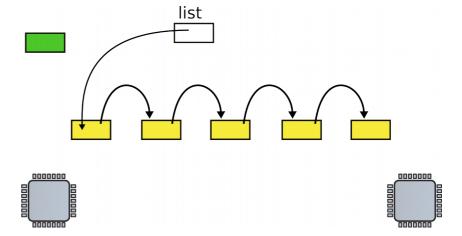
```
1 struct list {
    int data;
3
    struct list *next;
4 };
6 struct list *list = 0;
9 insert(int data)
10 {
11
     struct list *1;
12
13
     1 = malloc(sizeof *1);
     1->data = data;
14
15
     1->next = list;
16
     list = 1;
17 }
```

Global head



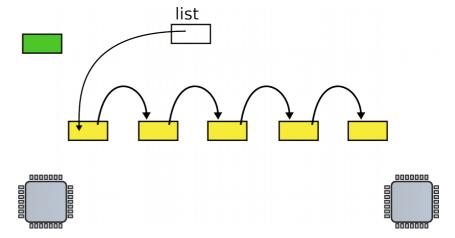
```
1 struct list {
   int data;
    struct list *next;
4 };
6 struct list *list = 0;
9 insert(int data)
10 {
11
     struct list *1;
12
     1 = malloc(sizeof *1);
13
14
     1->data = data;
15
     1->next = list;
16
     list = 1;
17 }
```

- Insertion
  - Allocate new list element



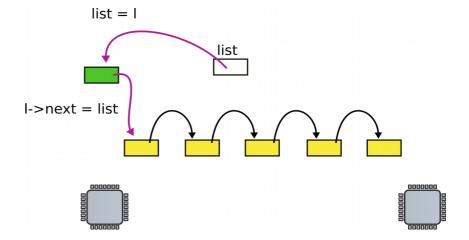
```
1 struct list {
    int data;
    struct list *next;
4 };
6 struct list *list = 0;
9 insert(int data)
10 {
11
     struct list *1:
12
13
     1 = malloc(sizeof *1);
14
     1->data = data;
     1->next = list;
15
16
     list = 1;
17 }
```

- Insertion
  - Allocate new list element
  - Save data into that element



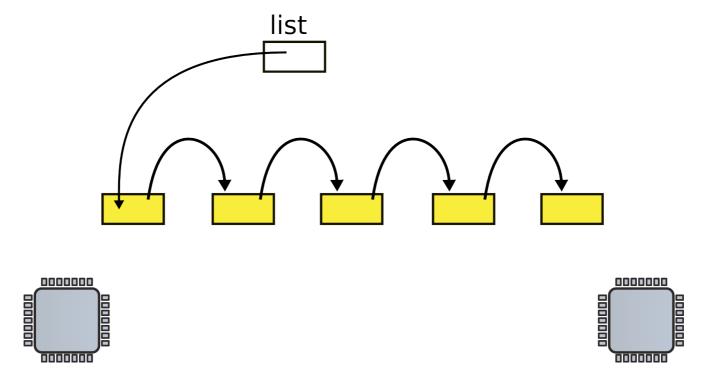
```
1 struct list {
    int data;
3
    struct list *next;
4 };
6 struct list *list = 0;
9 insert(int data)
10 {
11
     struct list *1:
12
13
     1 = malloc(sizeof *1);
14
     1->data = data;
15
     l->next = list;
16
     list = 1;
```

- Insertion
  - Allocate new list element
  - Save data into that element
  - Insert into the list



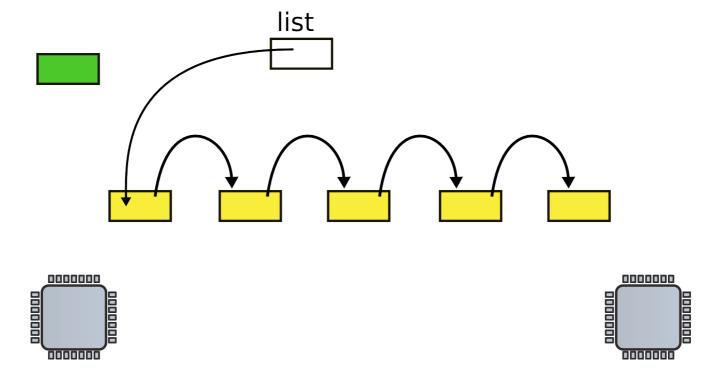
## Now what happens when two CPUs access the same list

## Request queue (e.g. pending disk requests)

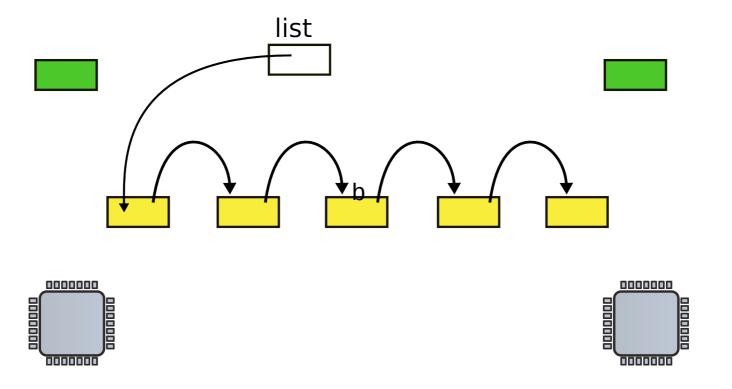


 Linked list, list is pointer to the first element

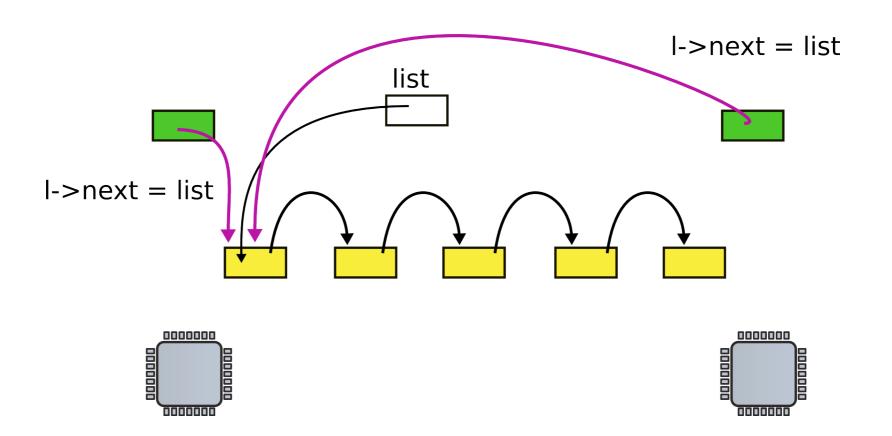
## CPU1 allocates new request



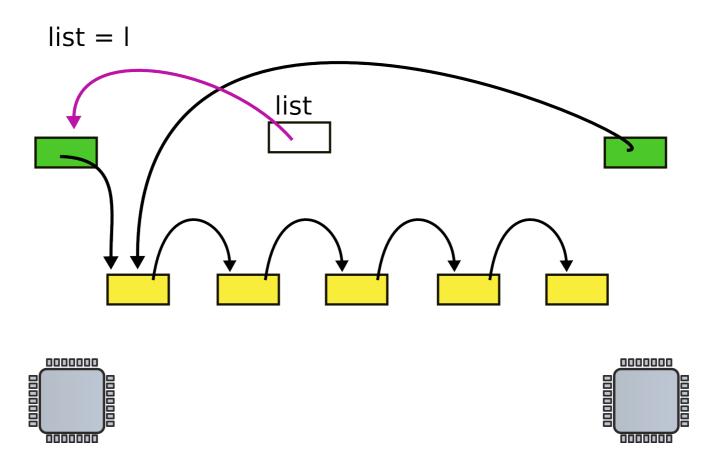
# CPU2 allocates new request



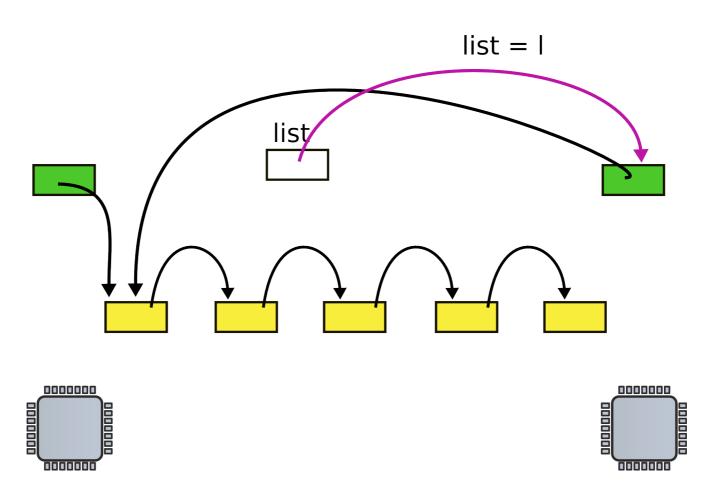
# CPUs 1 and 2 update next pointer



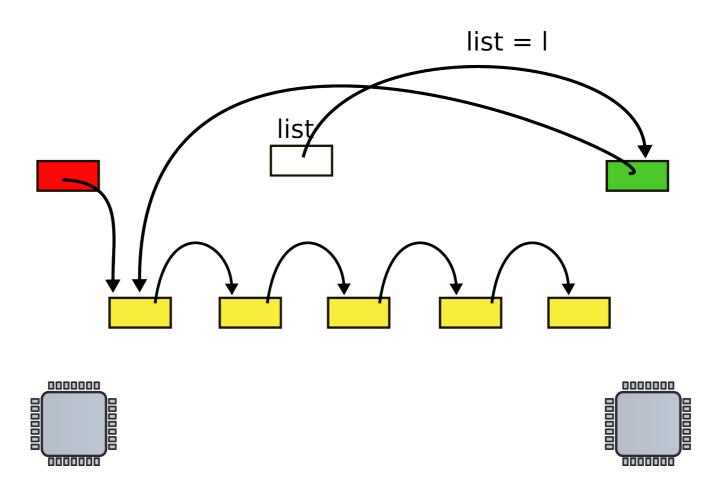
# CPU1 updates head pointer



# CPU2 updates head pointer



## State after the race (red element is lost)



#### Mutual exclusion

Only one CPU can update list at a time

```
1 struct list {
   int data;
   struct list *next;
4 };
6 struct list *list = 0;
  struct lock listlock;
9 insert(int data)
10 {
11
   struct list *1;
    1 = malloc(sizeof *1);
13
     acquire(&listlock);
14
    1->data = data;
15
    1->next = list;
    list = 1;
16
     release(&listlock);
17 }
```

### List implementation with locks

Critical section

How can we implement acquire()?

### Spinlock

```
21 void
22 acquire(struct spinlock *lk)

    Spin until lock is 0

23 {
24 for(;;) {
                                 • Set it to 1
25
       if(!lk->locked) {
26
         lk \rightarrow locked = 1;
         break;
27
28
29 }
30 }
```

#### Still incorrect

```
21 void
22 acquire(struct spinlock *lk)
23 {
24 for(;;) {
25
       if(!lk->locked) {
26
         lk->locked = 1;
27
         break;
28
29
30 }
```

- Two CPUs can reach line #25 at the same time
  - See not locked, and
  - Acquire the lock
- Lines #25 and #26 need to be atomic
  - I.e. indivisible

### Compare and swap: xchg

- Swap a word in memory with a new value
  - Return old value

## Correct implementation

```
1573 void
1574 acquire(struct spinlock *lk)
1575 {
1580 // The xchg is atomic.
1581 while(xchg(&lk->locked, 1) != 0)
1582
1592 }
```

#### xchgl instruction

```
0568 static inline uint
0569 xchg(volatile uint *addr, uint newval)
0570 {
0571 uint result;
0572
0573 // The + in "+m" denotes a read-modify-write
          operand.
     asm volatile("lock; xchgl %0, %1" :
0574
                    "+m" (*addr), "=a" (result) :
0575
                    "1" (newval) :
0576
                    "cc");
0577
0578 return result;
0579 }
```

### Correct implementation

```
1573 void
1574 acquire(struct spinlock *lk)
1575 {
. . .
1580 // The xchg is atomic.
      while(xchg(&lk->locked, 1) != 0)
1581
1582
1584
      // Tell the C compiler and the processor to not move loads or
stores
1585
      // past this point, to ensure that the critical section's memory
1586 // references happen after the lock is acquired.
1587
      __sync_synchronize();
1592 }
```

#### Deadlocks

#### Deadlocks

```
acquire(A)

acquire(B)

acquire(B) {
    while(xchg(&B->locked, 1) != 0)
}
acquire(A) {
    while(xchg(&A->locked, 1) != 0)
}
```





### Lock ordering

• Locks need to be acquired in the same order

### Locks and interrupts

```
Network
                        interrupt
                                     network_packet(){
network_packet(){
                                        insert() {
  insert() {
                                          acquire(A)
     acquire(A)
                     0000000
```

#### Locks and interrupts

Never hold a lock with interrupts enabled

```
1573 void
1574 acquire(struct spinlock *lk)
1575 {
1576
      pushcli(); // disable interrupts to avoid deadlock.
1577
      if(holding(lk))
        panic("acquire");
1578
1580 // The xchg is atomic.
      while(xchg(&lk->locked, 1) != 0)
1581
1582
1587 __sync_synchronize();
                          Disabling interrupts
1592 }
```

### Simple disable/enable is not enough

- If two locks are acquired
  - Interrupts should be re-enabled only after the second lock is released

Pushcli() uses a counter

```
1655 pushcli(void)
                         Pushcli()/popcli()
1656 {
1657
      int eflags;
1658
       eflags = readeflags();
1659
1660 cli();
       if(cpu->ncli == 0)
1661
1662
         cpu->intena = eflags & FL_IF;
1663
       cpu->ncli += 1;
1664 }
```

```
1667 popcli(void)
                       Pushcli()/popcli()
1668 {
       if(readeflags()&FL IF)
1669
         panic("popcli - interruptible");
1670
1671
       if(--cpu->ncli < 0)
         panic("popcli");
1672
       if(cpu->ncli == 0 && cpu->intena)
1673
         sti();
1674
1675 }
```



```
100 struct q {
                                     112 void*
101 void *ptr;
                                     113 recv(struct q *q)
102 };
                                     114 {
103
                                     115 void *p;
104 void*
                                     116
105 send(struct q *q, void *p)
                                     117 while((p = q \rightarrow ptr) == 0)
106 {
                                     118 ;
107 while (q-)ptr != 0)
                                     119 q->ptr = 0;
108;
109 q - ptr = p;
                                     120
                                            return p;
110 }
                                     121 }
```

Sends one pointer between two CPUs

```
100 struct q {
                                      112 void*
101 void *ptr;
                                      113 recv(struct q *q)
102 };
                                      114 {
103
                                      115 void *p;
104 void*
                                      116
105 send(struct q *q, void *p)
                                      117 while((p = q \rightarrow ptr) == 0)
106 {
                                      118 ;
107 while(q->ptr != 0)
                                       119 q \rightarrow ptr = 0;
108;
109 q - ptr = p;
                                             return p;
                                      120
110 }
                                      121 }
```

```
100 struct q {
                                        112 void*
101 void *ptr;
                                        113 recv(struct q *q)
102 };
                                        114 {
103
                                        115 void *p;
104 void*
                                        116
105 send(struct q *q, void *p)
                                        117 while((p = q \rightarrow ptr) == 0)
106 {
                                        118 ;
107 while (q->ptr != 0)
                                        119 q \rightarrow ptr = 0;
108;
109 q \rightarrow ptr = p;
                                               return p;
                                        120
110 }
                                        121 }
```

```
100 struct q {
                                    112 void*
101 void *ptr;
                                    113 recv(struct q *q)
102 };
                                    114 {
103
                                    115 void *p;
104 void*
                                    116
105 send(struct q *q, void *p)
                                    117 while((p = q->ptr) == 0)
106 {
                                    118 ;
107 while(q->ptr != 0)
                                    119 q->ptr = 0;
108;
109 q - ptr = p;
                                    120
                                          return p;
110 }
                                    121 }
```

- Works well, but expensive if communication is rare
  - Receiver wastes CPU cycles

#### Sleep and wakeup

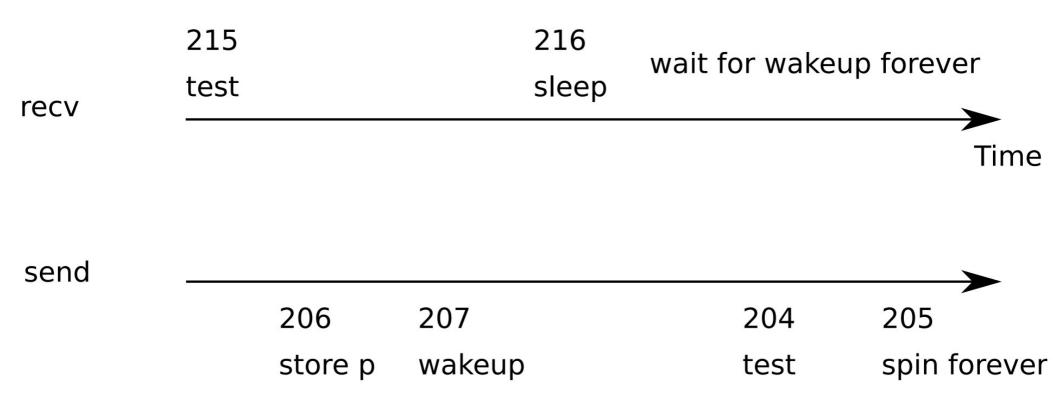
- sleep(channel)
  - Put calling process to sleep
  - Release CPU for other work
- wakeup(channel)
  - Wakes all processes sleeping on a channel
    - If any
  - i.e., causes sleep() calls to return

```
210 void*
201 void*
                                 211 recv(struct q *q)
202 send(struct q *q, void *p)
                                 212 {
203 {
                                 213 void *p;
    while(q->ptr != 0)
204
                                 214
205
                                      while((p = q->ptr) == 0)
                                 215
206 	 q->ptr = p;
                                 216
                                        sleep(q);
      wakeup(q); /*wake recv*/
207
                                 217 	 q->ptr = 0;
208 }
                                       return p;
                                 218
                                 219 }
```

```
210 void*
201 void*
                                  211 recv(struct q *q)
202 send(struct q *q, void *p)
                                   212 {
203 {
                                   213 void *p;
    while(q->ptr != 0)
204
                                   214
205 ;
                                   215 \quad \text{while}((p = q - > ptr) == 0)
206 	 q->ptr = p;
                                   216 sleep(q);
207 wakeup(q); /*wake recv*/
                                   217 	 q->ptr = 0;
208 }
                                   218
                                         return p;
                                   219 }
```

- recv() gives up the CPU to other processes
  - But there is a problem...

#### Lost wakeup problem



```
300 struct q {
                                   Lock the queue
    struct spinlock lock;
301
302 void *ptr;
                                   316 void*
303 };
                                   317 recv(struct q *q)
304
                                   318 {
305 void*
306 send(struct q *q, void *p)
                                   319 void *p;
307 {
                                   320
      acquire(&q->lock);
308
                                          acquire(&q->lock);
                                   321
    while(q->ptr != 0)
309
                                   322
                                          while((p = q \rightarrow ptr) == 0)
310
                                   323
                                            sleep(q);
311
    q-ptr = p;
                                   324
                                         q \rightarrow ptr = 0;
312
    wakeup(q);
                                          release(&q->lock);
                                   325
      release(&q->lock);
313
                                   326
                                          return p;
314 }
                                   327 }
```

- Doesn't work either: deadlocks
  - Holds a lock while sleeping

#### Pass lock inside 300 struct q { 301 struct spinlock lock; sleep() 302 void \*ptr; 316 void\* 303 }; 317 recv(struct q \*q) 304 318 { 305 void\* 306 send(struct q \*q, void \*p) 319 void \*p; 307 { 320 acquire(&q->lock); 308 acquire(&q->lock); 321 while(q->ptr != 0) 309 322 while(( $p = q \rightarrow ptr$ ) == 0) 310 323 sleep(q, &q->lock); 311 q-ptr = p; 324 $q \rightarrow ptr = 0;$ 312 wakeup(q); release(&q->lock); 325 release(&q->lock); 313 326 return p; 314 } 327 }

```
2809 sleep(void *chan, struct spinlock *lk)
2810 {
. . .
2823
       if(lk != &ptable.lock){
2824
         acquire(&ptable.lock);
2825
         release(lk):
2826
2827
2828
       // Go to sleep.
2829
       proc->chan = chan;
2830
       proc->state = SLEEPING;
2831
       sched();
. . .
2836
       // Reacquire original lock.
2837
       if(lk != &ptable.lock){
2838
         release(&ptable.lock);
2839
         acquire(lk);
2840
2841 }
```

### sleep()

- Acquire ptable.lock
  - All process operations are protected with ptable.lock

```
2809 sleep(void *chan, struct spinlock *lk)
2810 {
. . .
2823
       if(lk != &ptable.lock){
2824
         acquire(&ptable.lock);
2825
         release(lk);
2826
2827
2828
       // Go to sleep.
2829
       proc->chan = chan;
2830
       proc->state = SLEEPING;
2831
       sched();
. . .
2836
       // Reacquire original lock.
2837
       if(lk != &ptable.lock){
2838
         release(&ptable.lock);
2839
         acquire(lk);
2840
2841 }
```

## sleep()

- Acquire ptable.lock
  - All process operations are protected with ptable.lock
- Release 1k
  - Why is it safe?

```
2809 sleep(void *chan, struct spinlock *lk)
2810 {
. . .
2823
       if(lk != &ptable.lock){
2824
         acquire(&ptable.lock);
         release(lk):
2825
2826
2827
2828
       // Go to sleep.
2829
       proc->chan = chan;
2830
       proc->state = SLEEPING;
2831
       sched();
. . .
2836
       // Reacquire original lock.
2837
       if(lk != &ptable.lock){
2838
         release(&ptable.lock);
2839
         acquire(lk);
2840
2841 }
```

## sleep()

- Acquire ptable.lock
  - All process operations are protected with ptable.lock
- Release 1k
  - Why is it safe?
  - Even if new wakeup starts at this point, it cannot proceed
  - Sleep() holds ptable.lock

```
wakeup()
2853 wakeup1(void *chan)
2854 {
2855
    struct proc *p;
2856
for(p = ptable.proc; p < &ptable.proc[NPROC]; p++)
        if(p->state == SLEEPING && p->chan == chan)
2858
2859
         p->state = RUNNABLE;
2860 }
2864 wakeup(void *chan)
2865 {
     acquire(&ptable.lock);
2866
     wakeup1(chan);
2867
      release(&ptable.lock);
2868
2869 }
```

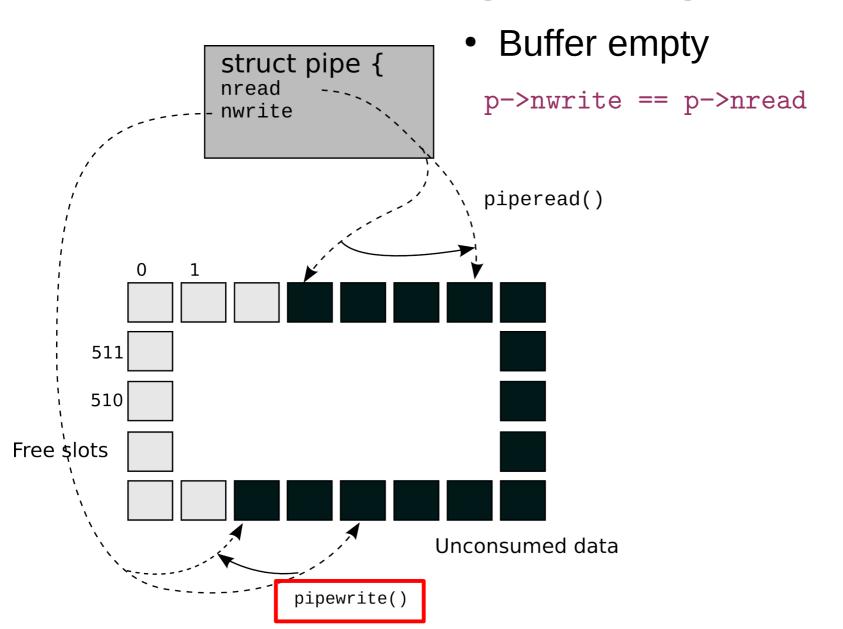
# Pipes

```
Pipe
6459 #define PIPESIZE 512
6460
6461 struct pipe {
6462 struct spinlock lock;
6463 char data[PIPESIZE];
6464
      uint nread; // number of bytes read
6465
      uint nwrite; // number of bytes written
6466
      int readopen; // read fd is still open
      int writeopen; // write fd is still open
6467
6468 };
```

```
Pipe
6459 #define PIPESIZE 512
6460
6461 struct pipe {
6462 struct spinlock lock;
6463 char data[PIPESIZE];
      uint nread; // number of bytes read
6464
6465
      uint nwrite; // number of bytes written
6466
      int readopen; // read fd is still open
6467
      int writeopen; // write fd is still open
6468 };
```

Buffer full

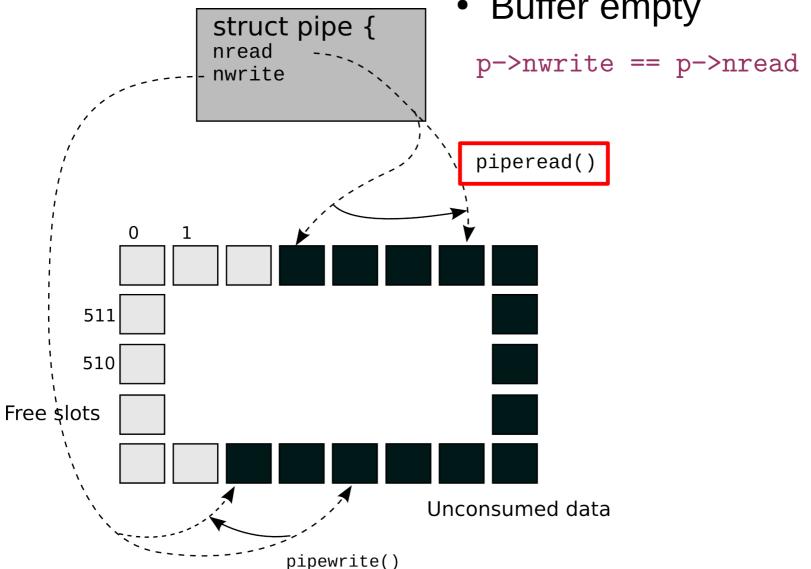
```
p->nwrite == p->nread + PIPESIZE
```



Buffer full

p->nwrite == p->nread + PIPESIZE

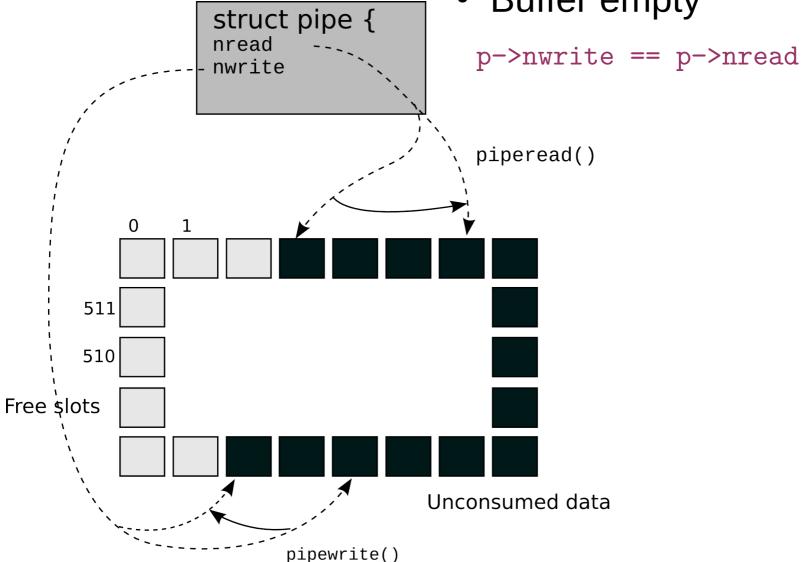




Buffer full

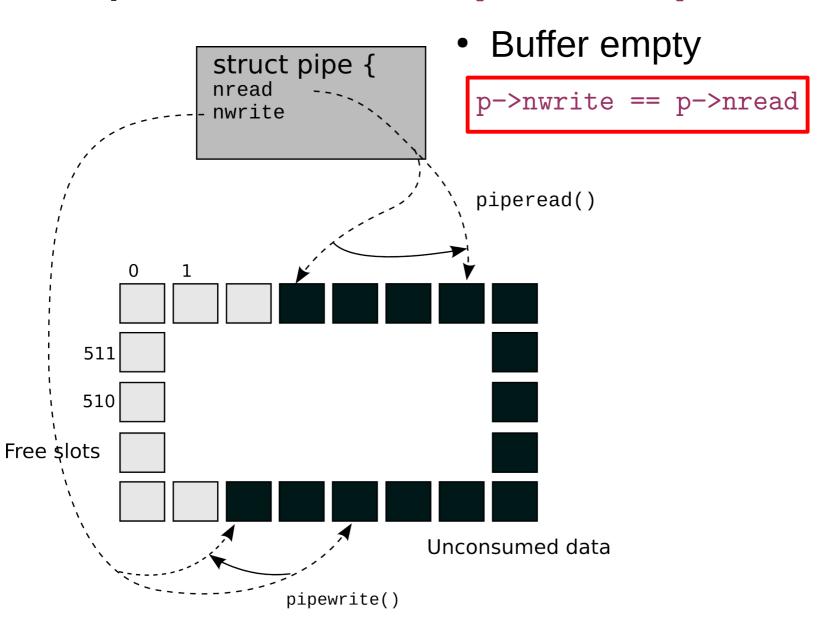
p->nwrite == p->nread + PIPESIZE

Buffer empty



Buffer full

p->nwrite == p->nread + PIPESIZE



```
6551 piperead(struct pipe *p, char *addr, int n)
6552 {
6553
       int i;
6554
       acquire(&p->lock);
6555
6556
       while(p->nread == p->nwrite && p->writeopen){
6557
         if(proc->killed){
           release(&p->lock);
6558
6559
           return -1;
6560
6561
         sleep(&p->nread, &p->lock);
6562
6563
       for(i = 0; i < n; i++){
6564
         if(p->nread == p->nwrite)
6565
           break;
6566
         addr[i] = p->data[p->nread++ % PIPESIZE];
6567
6568
       wakeup(&p->nwrite);
6569
       release(&p->lock);
6570
       return i;
6571 }
```

#### piperead()

- Acquire pipe lock
  - All pipe operations are are protected with the lock

```
6551 piperead(struct pipe *p, char *addr, int n)
6552 {
6553
       int i;
6554
6555
       acquire(&p->lock);
6556
       while(p->nread == p->nwrite && p->writeopen){
6557
         if(proc->killed){
           release(&p->lock);
6558
6559
           return -1;
6560
6561
         sleep(&p->nread, &p->lock);
6562
       }
6563
       for(i = 0; i < n; i++){
6564
         if(p->nread == p->nwrite)
6565
           break;
6566
         addr[i] = p->data[p->nread++ % PIPESIZE];
6567
6568
       wakeup(&p->nwrite);
       release(&p->lock);
6569
6570
       return i;
6571 }
```

#### piperead()

- If the buffer is empty && the write end is still open
  - Go to sleep

```
6551 piperead(struct pipe *p, char *addr, int n)
6552 {
6553
       int i;
6554
       acquire(&p->lock);
6555
6556
       while(p->nread == p->nwrite && p->writeopen){
6557
         if(proc->killed){
           release(&p->lock);
6558
6559
           return -1;
6560
6561
         sleep(&p->nread, &p->lock);
6562
       }
6563
       for(i = 0; i < n; i++){
6564
         if(p->nread == p->nwrite)
6565
           break;
6566
         addr[i] = p->data[p->nread++ % PIPESIZE];
6567
       wakeup(&p->nwrite);
6568
       release(&p->lock);
6569
6570
       return i;
6571 }
```

## piperead()

- After reading some data from the buffer
  - Wakeup the writer

```
6530 pipewrite(struct pipe *p, char *addr, int n)
6531 {
6532
       int i;
6533
6534
       acquire(&p->lock);
       for(i = 0; i < n; i++){
6535
6536
         while(p->nwrite == p->nread + PIPESIZE){
           if(p->readopen == 0 || proc->killed){
6537
6538
             release(&p->lock);
6539
             return -1;
6540
           wakeup(&p->nread);
6541
6542
           sleep(&p->nwrite, &p->lock);
6543
         p->data[p->nwrite++ % PIPESIZE] = addr[i];
6544
6545
       }
6546
       wakeup(&p->nread);
6547
       release(&p->lock);
6548
       return n;
6549 }
```

#### pipewrite()

- If the buffer is full
  - Wakeup reader
  - Go to sleep

```
6530 pipewrite(struct pipe *p, char *addr, int n)
6531 {
6532
       int i;
6533
6534
       acquire(&p->lock);
6535
       for(i = 0; i < n; i++){
6536
         while(p->nwrite == p->nread + PIPESIZE){
6537
           if(p->readopen == 0 || proc->killed){
6538
             release(&p->lock);
6539
             return -1;
6540
           }
           wakeup(&p->nread);
6541
6542
           sleep(&p->nwrite, &p->lock);
6543
         p->data[p->nwrite++ % PIPESIZE] = addr[i];
6544
6545
       }
6546
       wakeup(&p->nread);
6547
       release(&p->lock);
6548
       return n;
6549 }
```

## pipewrite()

- If the buffer is full
  - Wakeup reader
  - Go to sleep
- However if the read end is closed
  - Return an error
  - (-1)

```
6530 pipewrite(struct pipe *p, char *addr, int n)
6531 {
6532
       int i;
6533
6534
       acquire(&p->lock);
       for(i = 0; i < n; i++){
6535
6536
         while(p->nwrite == p->nread + PIPESIZE){
6537
           if(p->readopen == 0 || proc->killed){
6538
             release(&p->lock);
6539
             return -1;
6540
6541
           wakeup(&p->nread);
6542
           sleep(&p->nwrite, &p->lock);
6543
         p->data[p->nwrite++ % PIPESIZE] = addr[i];
6544
6545
6546
       wakeup(&p->nread);
       release(&p->lock);
6547
6548
       return n;
6549 }
```

#### pipewrite()

- Otherwise keep writing bytes into the pipe
- When done
  - Wakeup reader

## Thank you!